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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

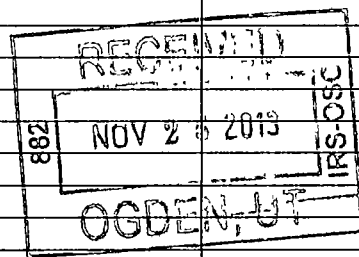
Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE BAIRD FOUNDATION		A Employer identification number 16-6023080
Number and street (or P O box number if mail is not delivered to street address) 11 SUMMER STREET, 4TH FLOOR		B Telephone number (716) 883-2429
City or town, state, and ZIP code BUFFALO, NY 14209		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,037,907. (Part I, column (d) must be on cash basis)	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) MODIFIED CASH	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	344.	344.		STATEMENT 1
	4 Dividends and interest from securities	204,087.	204,087.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	108,323.			
	b Gross sales price for all assets on line 6a	1,698,462.			
	7 Capital gain net income (from Part IV, line 2)		108,323.		
	8 Net short-term capital gain				
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	93,525.	93,525.		STATEMENT 3	
12 Total. Add lines 1 through 11	406,279.	406,279.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	75,000.	15,000.		60,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	20,346.	4,069.		16,277.
	16a Legal fees				
	b Accounting fees STMT 4	17,849.	8,924.		8,925.
	c Other professional fees STMT 5	63,594.	46,863.		16,731.
	17 Interest				
	18 Taxes STMT 6	58,021.	58,021.		0.
	19 Depreciation and depletion	1,060.	1,060.		
	20 Occupancy	26,263.	5,253.		21,010.
	21 Travel, conferences, and meetings	2,749.	0.		2,749.
	22 Printing and publications				
	23 Other expenses STMT 7	33,987.	11,243.		22,744.
	24 Total operating and administrative expenses. Add lines 13 through 23	298,869.	150,433.		148,436.
	25 Contributions, gifts, grants paid	522,059.			522,059.
26 Total expenses and disbursements. Add lines 24 and 25	820,928.	150,433.		670,495.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-414,649.				
b Net investment income (if negative, enter -0-)		255,846.			
c Adjusted net income (if negative, enter -0-)			N/A		



SCANNED NOV 27 2013

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets	1	Cash - non-interest-bearing		64,962.	8,391.	8,391.
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶ 85,000.				
		Less: allowance for doubtful accounts ▶		100,000.	85,000.	85,000.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock STMT 10		5,342,040.	5,669,789.	5,669,789.
	c	Investments - corporate bonds STMT 11		1,989,783.	2,052,178.	2,052,178.
Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other STMT 12		2,159,527.	2,203,336.	2,203,336.
	14	Land, buildings, and equipment basis ▶ 54,728.				
		Less accumulated depreciation ▶ 37,413.		17,354.	17,315.	17,315.
	15	Other assets (describe ▶ OTHER RECEIVABLES)		1,832.	1,898.	1,898.
	16	Total assets (to be completed by all filers)		9,675,498.	10,037,907.	10,037,907.
	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
Net Assets or Fund Balances	23	Total liabilities (add lines 17 through 22)		0.	0.	
		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		9,675,498.	10,037,907.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		9,675,498.	10,037,907.	
	31	Total liabilities and net assets/fund balances		9,675,498.	10,037,907.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,675,498.
2	Enter amount from Part I, line 27a	2	-414,649.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	802,364.
4	Add lines 1, 2, and 3	4	10,063,213.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	25,306.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,037,907.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VARIOUS SECURITIES	P	VARIOUS	VARIOUS
b FROM PCM PARTNERS LP K-1	P	VARIOUS	VARIOUS
c FROM PCM PARTNERS LP K-1	P	VARIOUS	VARIOUS
d CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,614,643.		1,582,253.	32,390.
b		7,886.	-7,886.
c 17,035.			17,035.
d 66,784.			66,784.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			32,390.
b			-7,886.
c			17,035.
d			66,784.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	108,323.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	678,453.	10,427,488.	.065064
2010	731,323.	9,587,857.	.076276
2009	718,238.	8,294,567.	.086591
2008	765,323.	10,294,664.	.074342
2007	942,499.	11,662,994.	.080811

2 Total of line 1, column (d)	2	.383084
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.076617
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	9,828,077.
5 Multiply line 4 by line 3	5	752,998.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,558.
7 Add lines 5 and 6	7	755,556.
8 Enter qualifying distributions from Part XII, line 4	8	670,495.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	5,117.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	5,117.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	5,117.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 12,000.	7	12,000.
b Exempt foreign organizations - tax withheld at source	6b	8	47.
c Tax paid with application for extension of time to file (Form 8868)	6c	9	
d Backup withholding erroneously withheld	6d	10	6,836.
7 Total credits and payments. Add lines 6a through 6d		11	1,716.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 5,120. Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MS. CATHERINE SCHWEITZER</u> Telephone no. ► <u>716-883-2429</u> Located at ► <u>11 SUMMER STREET, 4TH FLOOR, BUFFALO, N.Y.</u> ZIP+4 ► <u>14209</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT J.A. IRWIN 11 SUMMER STREET, 4TH FLOOR BUFFALO, NY 14209	TRUSTEE 2.00	0.	0.	0.
ARTHUR W. CRYER 11 SUMMER STREET, 4TH FLOOR BUFFALO, NY 14209	TRUSTEE 2.00	0.	0.	0.
WILLIAM B. IRWIN 11 SUMMER STREET, 4TH FLOOR BUFFALO, NY 14209	TRUSTEE 2.00	0.	0.	0.
CATHERINE F. SCHWEITZER 11 SUMMER STREET, 4TH FLOOR BUFFALO, NY 14209	EXECUTIVE DIRECTOR 40.00	75,000.	12,201.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,694,599.
b	Average of monthly cash balances	1b	105,812.
c	Fair market value of all other assets	1c	177,332.
d	Total (add lines 1a, b, and c)	1d	9,977,743.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,977,743.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	149,666.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,828,077.
6	Minimum investment return. Enter 5% of line 5	6	491,404.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	491,404.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	5,117.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,117.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	486,287.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	486,287.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	486,287.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	670,495.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	670,495.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	670,495.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				486,287.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	367,965.			
b From 2008	257,501.			
c From 2009	308,754.			
d From 2010	267,401.			
e From 2011	201,506.			
f Total of lines 3a through e	1,403,127.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$	670,495.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				486,287.
e Remaining amount distributed out of corpus	184,208.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,587,335.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	367,965.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,219,370.			
10 Analysis of line 9:				
a Excess from 2008	257,501.			
b Excess from 2009	308,754.			
c Excess from 2010	267,401.			
d Excess from 2011	201,506.			
e Excess from 2012	184,208.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENTS				522,059.
Total			3a	522,059.
b Approved for future payment				
NONE				
Total			3b	0.

The Baird Foundation
Comprehensive Depreciation [Depreciation]
GAAP
For the Period January 1, 2012 to December 31, 2012

Asset ID	Asset Description	Selected Dates		Asset Balances		Depreciation Method	Life Yr/Mo	Book Cost	Credited Reduction Amount	Depreciable Basis		Current & Accum Depreciation		Net Sec 179/78A	Net Additions	Ending Accum Dep	Net Book Value
		Purchased In Service Date	Disposal Date	Beginning	Additions	Deletions				Bus Use %	Net S179/78A & APD	Prior Reported Depreciation	Depreciable Basis	Beginning Accum Dep	Current Dep APD		
Class F																	
000001	Furniture	1/1/1995		1,020	0	0	7.0	1,020	0	100	0	0	1,020	0	0	0	1,020
000002	Credenza & Desk	1/1/1996		759	0	0	7.0	759	0	100	0	0	759	0	0	0	759
000003	Cabinets	1/1/1995		1,092	0	0	7.0	1,092	0	100	0	0	1,092	0	0	0	1,092
000004	Cabinets	1/1/1996		368	0	0	7.0	368	0	100	0	0	368	0	0	0	368
000005	Printer	1/1/1996		464	0	0	5.0	464	0	100	0	0	464	0	0	0	464
000006	Computer	1/1/1997		2,462	0	0	5.0	2,462	0	100	0	0	2,462	0	0	0	2,462
000007	Computer Software	1/1/1997		2,190	0	0	3.0	2,190	0	100	0	0	2,190	0	0	0	2,190
000008	Computer Equipment & Network	1/1/1998		13,068	0	0	5.0	13,068	0	100	0	0	13,068	0	0	0	13,068
000009	Printer	1/1/2000		1,155	0	0	5.0	1,155	0	100	0	0	1,155	0	0	0	1,155
000010	Laptop for CFS	1/1/2000		2,400	0	0	5.0	2,400	0	100	0	0	2,400	0	0	0	2,400
000011	Office Furniture	1/1/2001		1,000	0	0	7.0	1,000	0	100	0	0	1,000	0	0	0	1,000
000012	TV, VCR	1/1/2003		510	0	0	5.0	510	0	100	0	0	510	0	0	0	510
000013	Cabinets	1/1/2003		486	0	0	7.0	486	0	100	0	0	486	0	0	0	486
000017	Printer	1/1/2001		150	0	0	5.0	150	0	100	0	0	150	0	0	0	150
000019	Laptop	1/1/2003		1,299	0	0	5.0	1,299	0	100	0	0	1,299	0	0	0	1,299
000020	Computer	1/1/2003		931	0	0	5.0	931	0	100	0	0	931	0	0	0	931
000021	Computer Equipment	1/1/2003		1,102	0	0	5.0	1,102	0	100	0	0	1,102	0	0	0	1,102
000022	Laptop computer for ED	6/2/2004		2,100	0	0	5.0	2,100	0	100	0	0	2,100	0	0	0	2,100
000023	Computer	5/2/2007		553	0	0	5.0	553	0	100	0	0	516	553	37	0	553

Asset ID	Selected Dates		Asset Balances			Lifes Yr Mo	Deprec Meth/Conv	Depreciable Basis				Current & Accum Depreciation				Net Book Value				
	Placed In Service Date	Disposal Date	Beginning	Additions	Deletions			Ending	Book Cost	Credit Reduction Amount	Bus Use %	Net S179A & AFYD	Prior Reported Depreciation	Depreciable Basis	Beginning Accum Depr		Current Depr & AFYD	Net Sec 179/179A	Net Adds Deletions	Ending Accum Depr
Class F																				
000024	Symantec Security 6/7/2007		245	0	0	245	SL100MFM	3.0	245	0	100	0	245	245	0	0	0	245	0	
000025	Catherine's computer 9/26/2007		2,105	0	0	2,105	SL100MFM	5.0	2,105	0	100	0	1,789	2,105	1,789	316	0	2,105	0	
000026	new backup tape drive 12/6/2007		148	0	0	148	SL100MFM	5.0	148	0	100	0	121	148	121	27	0	148	0	
000027	back up tape 12/6/2007		100	0	0	100	SL100MFM	5.0	100	0	100	0	82	100	82	18	0	100	0	
000028	Massey Portrait 5/6/2009		35,706	0	0	35,706	None	0.0	35,706	0	100	0	0	0	0	0	0	35,706	0	
000029	Computers (1/2 of purchase) 1/7/2010		1,205	0	0	1,205	SL100FM	5.0	1,205	0	100	0	482	1,205	482	241	0	723	482	
000030	Computer Screen 1/27/2010		250	0	0	250	SL100FM	5.0	250	0	100	0	100	250	100	50	0	150	100	
000031	Back Up Drive and Disks 5/28/2010		610	0	0	610	SL100FM	5.0	610	0	100	0	203	610	203	122	0	325	285	
000032	Copier 10/25/2010		1,033	0	0	1,033	SL100FM	5.0	1,033	0	100	0	258	1,033	258	207	0	465	568	
000033	Computer 8/22/2012		0	1,022	0	1,022	SL100FM	10.0	1,022	0	100	0	0	1,022	0	43	0	43	979	
Subtotal F (29)			74,511	1,022	0	75,533			75,533	0	100	0	36,352	7,271	36,352	1,060	0	37,412	38,120	
Grand Total																				
			74,511	1,022	0	75,533			75,533	0	100	0	36,352	7,271	36,352	1,060	0	37,412	38,120	

Note: There may be differences due to rounding

75533 ABOVE
 14901 WEBSITE DEVELOPMENT COSTS
 90434
 < 351067 ATTWORK + COLLECTIONS INCL ON PART II LINE 13
 54728

THE BAIRD FOUNDATION
FORM 990-PF PART IV ATTACHMENT
December 31, 2012

<u>Security</u>	<u>Shares</u>	<u>Date Sold</u>	<u>Cost Basis</u>	<u>Proceeds</u>	<u>Gain (Loss)</u>
American Express Co Cmn	231	7/17/2012	10,611 32	13,438 19	2,826 87
Apple	24	2/16/2012	6,212 13	11,786 21	5,574 08
Apple	60	4/25/2012	15,530 33	36,791 07	21,260 74
General Electric Common	659	10/9/2012	11,363 71	15,013 79	3,650 08
General Mills	335	2/24/2012	12,312 40	12,789 96	477 56
General Mills	959	6/14/2012	35,246 56	36,406 85	1,160 29
Google, Inc	21	1/10/2012	10,600 13	13,078 13	2,478.00
Honeywell Intl	296	3/20/2012	10,491 96	17,598 30	7,106.34
Lowes Companies	364	11/9/2012	7,968 55	11,506 51	3,537 96
Microsoft	907	11/9/2012	23,077 10	26,259 96	3,182 86
Microsoft	1518	12/3/2013	38,622 98	40,216 22	1,593 24
Microsoft	389	12/3/2013	11,149 79	10,305 75	(844 04)
Nike Cl B	125	2/16/2012	9,636 73	13,268.39	3,631 66
Qualcomm Inc	199	2/16/2012	7,797 33	12,285 09	4,487 76
Thermo Fisher	1268	4/9/2012	61,314 75	68,945 82	7,631 07
Visa	211	5/23/2012	11,955 78	24,907 84	12,952 06
Walt Disney Common	472	6/5/2012	15,220 56	20,923 86	5,703 30
Walt Disney Common	335	8/21/2012	10,802 73	18,858 46	8,055 73
Walt Disney Common	1215	9/20/2012	39,180 05	63,910 73	24,730 68
GS Growth Opportunities Fund	3,089	9/25/2012	62,799 15	80,000 00	17,200 85
MSCI Ishares TR-Ishares	700	5/9/2012	41,911 72	35,531 20	(6,380 52)
MSCI Ishares TR-Ishares	7,150	11/2/2012	428,098 28	384,232 38	(43,865 90)
BNP Paribus Lnk'd to 4/02/12	12	4/2/2012	12,060 00	12,229 92	169 92
BNP Paribus Lnk'd to 4/16/12	76	4/16/2012	75,696 00	66,748 09	(8,947 91)
BNP Paribus linked to TWSE 2/27/12	40	2/27/2012	40,000 00	36,419 00	(3,581 00)
BNP Panbus linked MSCI 7/9/12	200	7/9/2012	200,000 00	167,118 30	(32,881 70)
BNP Panbus linked to TWSE11/02/12	80	9/27/2012	80,000 00	69,316 80	(10,683 20)
WTI Crude Oil Futures Dec 2012 Note	80,000	11/20/2012	80,000 00	77,438 23	(2,561 77)
AB Svensk due 07/16/2012	75	6/21/2012	75,000 00	80,318 25	5,318 25
GS Core Plus Fixed Income Fund A	6,467	2/23/2012	67,532 31	67,000 00	(532 31)
GS Invest Grade Credit Fund A	6,382 98	1/12/2012	60,611 67	60,000 00	(611 67)
GS Invest Grade Credit Fund A	995 03	10/3/2012	9,448 63	10,000 00	551 37
			1,582,252.64	1,614,643.30	32,390.66

The Baird Foundation
Investments
Year ending December 31, 2012

GS Bank Deposit	7,760
Freeport McMoran Copper & Gold	366,795
	<u>374,555</u>

TBF Private Client Portfolio (PCP)

GS Bank Deposits	81,476
Abbott Labs	88,098
Altera Corp	41,199
Amazon.com	68,488
American Express Co Cmn	85,933
American Tower Corp Comm	85,692
Apple	127,189
Boeing	95,330
CostCo Wholesale	83,624
Devon Energy Corp	60,470
EMC	79,063
Exxon Mobil Corporation	82,309
General Electric Common	105,706
Google, Inc.	113,181
Honeywell Intl	80,670
Johnson & Johnson	100,033
JP Morgan Chase	106,097
Lowes Companies	84,609
McDonalds	43,752
Merck & Co	78,646
Nike Cl B	91,229
Occidental Petroleum Corp	52,095
Oracle Corporation	68,073
Pepsico Inc	69,456
Praxair Inc	63,809
Procter & Gamble	93,349
Prudential Common	82,715
Qualcomm Inc	86,913
Schlumberger Ltd	87,178
The Travelers Cos Inc.	79,792
Viacom Inc	61,706
Visa	73,213
Accrued Income	2,631
	<u>2,603,722</u>

TBF Equities

Total Cash, Deposits, + MM	224,385
AB Svensk due 10/23/13	213,429
Credit Suisse lkd to Euro Stoxx 50	82,631
Eksportfinans ASA due 01/24/2013	82,121
GS Emerging Mkts Eq	251,608
GS Growth Opportunities Fund	505,355
GS Mid-Cap Value	545,392
GS Small/Mid Cap Growth Fund	260,715

The Baird Foundation
Investments
Year ending December 31, 2012
(Continued)

MSCI Japan Index Fund	42,413
Rabobank Nederland Utrecht	88,362
Royal Bank of Canada Eurostoxx11/10/14	98,550
Royal Bank of Canada linked to MSCIEA	83,566
The Bank of Nova Scotia ...8/17/14	212,986
	<u>2,691,512</u>

TBF Fixed Income

Total Cash, Deposits, + MM	24,081
GS Core Plus Fixed Income Fund A	539,999
GS Emerging Mkts Debt Fd MF	168,856
GS High Yield Instl Mutual Fund	581,082
GS Invest Grade Credit Fund A	738,161
	<u>2,052,178</u>

Other Investments

Listerine Royalty Interest	1,250,000
PCM Partners LP II	609,353
Tiedemann Select Ltd	308,277
Artwork & Book Collection	35,706
	<u>2,203,336</u>

GRAND TOTAL	<u>9,925,303</u>
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Reported on 990-PF as follows:

Part II, Line 10b	5,669,789
Part II, Line 10c	2,052,178
Part II, Line 13	2,203,336
	<u>9,925,303</u>

THE BAIRD FOUNDATION

**GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS
For the Year Ended December 31, 2012**

Arts, Culture, Libraries, Humanities, Museums, Preservation:

West 54 th Street Foundation	\$	200
The Antarctic Heritage Trust		1,000
Barroom Buzzards		6,500
The Buffalo Fine Arts Academy		1,000
The Buffalo Fine Arts Academy		1,000
Buffalo History Museum		500
Buffalo History Museum		1,500
Buffalo History Museum		2,500
Buffalo Museum of Science		2,500
Buffalo Philharmonic Chorus Society, Inc		163
Buffalo Philharmonic Chorus Society, Inc.		250
Buffalo Philharmonic Orchestra Society, Inc.		50,000
Buffalo Philharmonic Orchestra Society, Inc.		2,000
Buffalo Philharmonic Orchestra Society, Inc.		5,000
Buffalo Philharmonic Orchestra Society, Inc.		1,000
Buffalo Philharmonic Orchestra Society, Inc		4,000
Burchfield-Penney Art Center		1,000
Burchfield-Penney Art Center		1,000
Burchfield-Penney Art Center		250
Carousel Society of the Niagara Frontier, Inc		5,000
Carousel Society of the Niagara Frontier, Inc		135
Cazenovia Community Resource Center & Library, Inc.		2,000
Central Terminal Restoration Corporation, Inc.		5,000
CEPA Gallery		1,500
CEPA Gallery		1,000
Glimmerglass Opera, Inc		1,000
Graycliff Conservancy, Inc.		500
Graycliff Conservancy, Inc.		500
Graycliff Conservancy, Inc		20,000
Hull House Foundation		250
Hull House Foundation		2,500
Hyde Hall		1,000
International Skiing History Association, Inc.		500
New England Ski Museum (Karen K and R James Irwin Fund)		1,000
Kavinoky Theatre		1,000
Just Buffalo Literary Center, Inc.		5,000
Kleinhans Music Hall Management Inc.		500
Library of American Landscape History		5,000
Martin House Restoration Corp.		1,000
Martin House Restoration Corp.		10,000
Metropolitan Museum of Art		275

THE BAIRD FOUNDATION

GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS
For the Year Ended December 31, 2012 (continued)

Arts, Culture, Libraries, Humanities, Museums, Preservation: (continued)

Metropolitan Opera Association, Inc.	4,320	
Metropolitan Opera Association, Inc.	1,000	
A Musical Feast	3,000	
New York State Historical Association	1,000	
New York State Historical Association	9,000	
Old Fort Niagara Association Inc.	1,000	
Pathways to History Initiative WNY	3,000	
Pierce Hall Community Center	150	
Pierce Hall Community Center	10,000	
Pierce Hall Community Center, Inc	2,000	
Preservation Buffalo Niagara	36	
Preservation Buffalo Niagara	56	
Preservation Buffalo Niagara	68	
Preservation Buffalo Niagara	8,750	
Preservation Buffalo Niagara	1,250	
Preservation Buffalo Niagara	120	
Preservation of Buffalo Niagara (In-kind donation)	300	
Preservation League of New York State	200	
Preservation League of New York State	240	
Preservation League of New York State	5,000	
Squeaky Wheel / Buffalo Media Resource	110	
The Shaw Festival Foundation	2,500	
Theodore Roosevelt Inaugural Site Foundation	100	
Theodore Roosevelt Inaugural Site Foundation	3,500	
Theodore Roosevelt Inaugural Site Foundation	500	
Torn Space Theatre, Inc.	2,000	
U S. National Ski and Snowboard Hall of Fame	5,000	
Western New York Heritage Press, Inc	1,000	
Western New York Public Broadcasting Association	25,000	
White River Valley Players, Inc.	500	
		\$ 236,723

Community (General Quality of Life, Public Gardens, Monuments, Community Grants):

Alliance for New York State Parks	\$ 5,000	
Buffalo Green Fund	500	
Buffalo Niagara Partnership Foundation	504	
Community Foundation of Sarasota County Inc	15,000	
Lake Champlain Committee Inc.	1,000	
Leadership Buffalo, Inc	30	
Pierce Hall Community Center, Inc. (Storm Fund Relief)	5,000	
United Way of Buffalo & Erie County	1,000	
United Way of Buffalo & Erie County	3,000	
Vermont Public Radio	250	
Western New York Grantmakers Association Inc.	1,500	
		\$ 32,784

THE BAIRD FOUNDATION

GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS
For the Year Ended December 31, 2012 (continued)

Health, Hospitals, Medical Care, Guest Houses (Research, Education, Capital & Special Projects):

Hauptman Woodward Medical Research Institute, Inc.	\$	1,500	
Hauptman Woodward Medical Research Institute, Inc		350	
Hauptman Woodward Medical Research Institute, Inc.		2,500	
Hauptman Woodward Medical Research Institute, Inc.		20,000	
Hope for Tomorrow Foundation		4,000	
Hope for Tomorrow Foundation		2,500	
Kaleida Health Foundation Inc		20,000	
Kevin Guest Home		5,000	
MercyFlight Western New York		842	
Olmsted Center for Sight		5,000	
Planned Parenthood of Northern New England		1,000	
Roswell Park Alliance Foundation		1,000	
Roswell Park Alliance Foundation		1,000	
Roswell Park Alliance Foundation		<u>1,000</u>	
	\$		65,692

Schools, Student Activities (Special Projects, Capital Projects & Libraries):

Babson College	\$	500	
Berkshire School (Karen K and R James Irwin Fund)		1,000	
City Honors		100	
Colgate University		1,000	
Elmwood Franklin School		1,000	
Elmwood Franklin School		1,000	
Health Sciences Charter School		10,000	
Hobart and William Smith Colleges		10,000	
Kent School		250	
Nardin Academy		500	
Nardin Academy		500	
Nardin Academy		1,000	
Niagara University		1,000	
Nichols School of Buffalo		500	
Nichols School of Buffalo		2,000	
The Park School		2,500	
Ridley College Scholarship Fund, Inc		1,000	
Ridley College Scholarship Fund, Inc		1,000	
Rochester Institute of Technology		5,000	
St. Joseph's Collegiate Institute		<u>1,000</u>	
	\$		40,850

THE BAIRD FOUNDATION

**GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS
For the Year Ended December 31, 2012 (continued)**

Science, Environmental Protection & Animal Welfare, Environmental Education:

New York State Chapter of the American Chestnut Foundation Inc.	\$	500	
Beaver Meadow Nature Center		500	
Buffalo Museum of Science		5,000	
Buffalo Museum of Science		2,500	
City of Buffalo Animal Shelter		1,000	
Friends of North Branch Nature Center Inc		1,000	
Great Old Broads for Wilderness		750	
Hope for Cats, Inc		100	
Central Vermont Humane Society		500	
Natural Resources Defense Council Inc		5,000	
North American Bear Center		5,000	
Northeast Wilderness Trust		1,000	
Save Our Strays Association		500	
Society for the Prevention of Cruelty to Animals		3,000	
Vermont Land Trust		1,000	
Vermont Natural Resources Council		1,000	
Vermont Natural Resources Council		60	
Vermont River Conservancy		1,000	
Vermont Volunteer Services for Animals Humane Society		5,000	
White River Partnership		250	
Wildlife Research Institute		5,000	
Zoological Society of Buffalo, Inc		500	
Zoological Society of Buffalo, Inc		500	
Zoological Society of Buffalo, Inc.		<u>20,000</u>	
	\$		60,660

Social (Recreation, Disabled, Elderly, Shelters, Housing, Food Service, Jobs):

Adaptive Ski Program	\$	2,000	
All Hands Volunteers		1,000	
American Red Cross		1,000	
American Snowsports Education Association- Education Foundation		5,000	
Bristol Home, Inc.		2,500	
Buffalo City Mission		1,000	
CMH Counseling		500	
Families of FANA		1,250	
Friends of Night People, Inc.		1,000	
Gliding Stars		5,000	
Legal Aid Bureau of Buffalo, Inc.		1,000	
Legal Aid Bureau of Buffalo, Inc.		1,500	
National Federation for Just Communities of WNY		200	
The National Federation for Just Communities of WNY		3,500	
Park House Community Care		500	
People United for Sustainable Housing		<u>5,000</u>	
	\$		31,950

THE BAIRD FOUNDATION

GRANTS PAID TO BENEFIT NONPROFIT ORGANIZATIONS
For the Year Ended December 31, 2012 (continued)

Youth Clubs & Programs:

Algonquin Campership Fund (Karen K and R James Irwin Fund)	\$	487	
Algonquin Campership Fund (Karen K and R James Irwin Fund)		13	
Boys and Girls Clubs of Buffalo, Inc.		25,000	
Boys and Girls Clubs of Buffalo, Inc.		3,000	
Boys and Girls Clubs of Buffalo, Inc.		10,000	
Boys and Girls Clubs of Buffalo, Inc.		1,000	
The Salvation Army		1,000	
West Side Rowing Club		1,000	
YWCA of Western New York		3,000	
YWCA of Western New York		400	
YWCA of Western New York		7,500	
YWCA of Western New York		<u>1,000</u>	
	\$		53,400
	\$		<u>522,059</u>

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
GOLDMAN SACHS	220.
PCM PARTNERS LP K-1	124.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	344.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
GOLDMAN SACHS	195,940.	0.	195,940.
PCM PARTNERS LP K-1	8,147.	0.	8,147.
TOTAL TO FM 990-PF, PART I, LN 4	204,087.	0.	204,087.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ROYALTY INCOME	93,525.	93,525.	
TOTAL TO FORM 990-PF, PART I, LINE 11	93,525.	93,525.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDITING FEES	17,849.	8,924.		8,925.
TO FORM 990-PF, PG 1, LN 16B	17,849.	8,924.		8,925.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SECRETARIAL SERVICES	20,914.	4,183.		16,731.
INVESTMENT SERVICE FEES	42,680.	42,680.		0.
TO FORM 990-PF, PG 1, LN 16C	63,594.	46,863.		16,731.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	57,771.	57,771.		0.
DEPT. OF LAW FILING FEE	250.	250.		0.
TO FORM 990-PF, PG 1, LN 18	58,021.	58,021.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	1,285.	642.		643.
MEMBERSHIP DUES	259.	0.		259.
PROPERTY & LIABILITY INSURANCE	4,291.	858.		3,433.
REPAIRS & MAINTENANCE	6,650.	0.		6,650.
MISCELLANEOUS	11,229.	2,246.		8,983.
OFFICE EXPENSE	858.	172.		686.
BANK FEE	259.	130.		129.
TELEPHONE	2,451.	490.		1,961.
INVESTMENT EXPENSES FROM K-1	6,705.	6,705.		0.
TO FORM 990-PF, PG 1, LN 23	33,987.	11,243.		22,744.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
UNREALIZED GAIN(LOSS) ON INVESTMENTS	787,774.
EXPENSES FROM K-1 NOT INCLUDED ON BOOKS	6,704.
ST LOSS OF PCM PARTNERS K-1 NOT INCLUDED ON BOOKS	7,886.
 TOTAL TO FORM 990-PF, PART III, LINE 3	 802,364.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
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DESCRIPTION	AMOUNT
LT GAIN OF PCM PARTNERS K-1 NOT INCLUDED ON BOOKS	17,035.
INTEREST FROM PCM PARTNERS NOT INCLUDED ON BOOKS	124.
DIVIDENDS FROM PCM PARTNERS NOT INCLUDED ON BOOKS	8,147.
 TOTAL TO FORM 990-PF, PART III, LINE 5	 25,306.

FORM 990-PF	CORPORATE STOCK	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	5,669,789.	5,669,789.
 TOTAL TO FORM 990-PF, PART II, LINE 10B	 5,669,789.	 5,669,789.

FORM 990-PF	CORPORATE BONDS	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	2,052,178.	2,052,178.
 TOTAL TO FORM 990-PF, PART II, LINE 10C	 2,052,178.	 2,052,178.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 12

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	FMV	2,167,630.	2,167,630.
ARTWORK AND BOOK COLLECTION	FMV	35,706.	35,706.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,203,336.	2,203,336.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MS. CATHERINE SCHWEITZER
11 SUMMER STREET, 4TH FLOOR
BUFFALO, NY 14209

TELEPHONE NUMBER

(716) 883-2429

FORM AND CONTENT OF APPLICATIONS

WRITTEN APPLICATION STATING AMOUNT AND PURPOSE OF REQUEST. INCLUDE
501(C)(3) EXEMPTION, FINANCIAL STATEMENTS, AND LIST OF BOARD OF DIRECTORS.
APPLICATION MUST BE SIGNED BY PERSON RECEIVING NO COMPENSATION FROM THE
ORGANIZATION.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

PREFERENCES TO AWARDS IN THE WESTERN NEW YORK AREA.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	THE BAIRD FOUNDATION		16-6023080
	Number, street, and room or suite no. If a P O box, see instructions.		Social security number (SSN)
	11 SUMMER STREET, 4TH FLOOR		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	BUFFALO, NY 14209		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MS. CATHERINE SCHWEITZER

- The books are in the care of **11 SUMMER STREET, 4TH FLOOR, BUFFALO, N.Y. - 14209**

Telephone No **716-883-2429**

FAX No

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013.**5 For calendar year **2012**, or other tax year beginning ☐ and ending ☐6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return☐ Change in accounting period

7 State in detail why you need the extension

MORE TIME IS NEEDED TO GATHER ADDITIONAL INFORMATION TO ENSURE A COMPLETE AND ACCURATELY FILED RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	5,116.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	12,000.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Mary Madonia** Title **CPA**Date **8/11/13**

Form 8868 (Rev. 1-2013)