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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation MID-YORK FOUNDATION		A Employer identification number 16-1378925
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 505		B Telephone number (see instructions) (315) 893-7588
City or town, state, and ZIP code HAMILTON NY 13346-0505		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 982,283	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

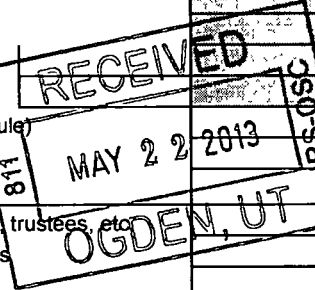
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	27,548	27,548	27,548	
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	16,133			
	b Gross sales price for all assets on line 6a 102,880				
	7 Capital gain net income (from Part IV, line 2)		16,133		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	43,681	43,681	27,548		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	6,359	6,359		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	643			
	16 a Legal fees (attach schedule)	1,075	1,075		
	b Accounting fees (attach schedule)	575	575		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	259			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	6,740	6,740		
	24 Total operating and administrative expenses. Add lines 13 through 23	15,651	14,749	0	0
	25 Contributions, gifts, grants paid	52,450			52,450
26 Total expenses and disbursements. Add lines 24 and 25	68,101	14,749	0	52,450	
27 Subtract line 26 from line 12	-24,420				
a Excess of revenue over expenses and disbursements		28,932			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)			27,548		

For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2012)

SCANNED MAY 29 2013



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			97,230	39,051	39,051
	2 Savings and temporary cash investments					
	3 Accounts receivable <input type="checkbox"/>					
	Less allowance for doubtful accounts <input type="checkbox"/>					
	4 Pledges receivable <input type="checkbox"/>					
	Less allowance for doubtful accounts <input type="checkbox"/>					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) <input type="checkbox"/>					
	Less allowance for doubtful accounts <input type="checkbox"/>					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10 a Investments—U S and state government obligations (attach schedule)					
	b Investments—corporate stock (attach schedule)			848,697	943,232	943,232
	c Investments—corporate bonds (attach schedule)					
Liabilities	11 Investments—land, buildings, and equipment basis <input type="checkbox"/>					
	Less accumulated depreciation (attach schedule) <input type="checkbox"/>					
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule)					
	14 Land, buildings, and equipment basis <input type="checkbox"/>					
	Less accumulated depreciation (attach schedule) <input type="checkbox"/>					
	15 Other assets (describe <input type="checkbox"/>)					
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)			945,927	982,283	982,283
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe <input type="checkbox"/> PAYROLL TAXES WITHHELD)			486	486	
	23 Total liabilities (add lines 17 through 22)			486	486	
	24 Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	25 Unrestricted					
Net Assets or Fund Balances	26 Temporarily restricted					
	27 Permanently restricted					
	28 Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	29 Capital stock, trust principal, or current funds					
	30 Paid-in or capital surplus, or land, bldg, and equipment fund					
	31 Retained earnings, accumulated income, endowment, or other funds			945,441	981,797	
	32 Total net assets or fund balances (see instructions)			945,441	981,797	
Net Assets or Fund Balances	33 Total liabilities and net assets/fund balances (see instructions)			945,927	982,283	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	945,441
2 Enter amount from Part I, line 27a	2	-24,420
3 Other increases not included in line 2 (itemize) <input type="checkbox"/> UNREALIZED GAINS	3	60,776
4 Add lines 1, 2, and 3	4	981,797
5 Decreases not included in line 2 (itemize) <input type="checkbox"/>	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	981,797

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	16,133
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	-391

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	63,741	983,102	0.064837
2010	32,970	947,418	0.034800
2009	35,000	842,271	0.041554
2008	49,737	1,017,841	0.048865
2007	46,605	1,143,174	0.040768

2 Total of line 1, column (d)	2	0.230824
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046165
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	949,238
5 Multiply line 4 by line 3	5	43,822
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	289
7 Add lines 5 and 6	7	44,111
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	52,450

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	289
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	289
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	289
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7		0
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		289
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11 Enter the amount of line 10 to be Credited to 2013 estimated tax Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► VIOLA UHLIG Located at ► PO BOX 57, RT 20 BOUCKVILLE NY Telephone no ► (315) 893-7588 ZIP+4 ► 13310			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 _____, 20 _____, 20 _____, 20 _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 _____, 20 _____, 20 _____, 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☐ No**7b****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	00	0		
	00	0		
	00	0		
	00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	963,693
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	963,693
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	963,693
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	14,455
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	949,238
6	Minimum investment return. Enter 5% of line 5	6	47,462

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	47,462
2a	Tax on investment income for 2012 from Part VI, line 5	2a	289
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	289
3	Distributable amount before adjustments Subtract line 2c from line 1	3	47,173
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	47,173
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	47,173

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	52,450
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	52,450
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	289
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	52,161

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				47,173
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011	15,104			
f Total of lines 3a through e	15,104			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 52,450				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				47,173
e Remaining amount distributed out of corpus	5,277			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	20,381			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	20,381			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011	15,104			
e Excess from 2012	5,277			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SUSAN GEIER P O BOX 505 HAMILTON, NY 13346-0505 315-893-7588

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED APPLICATION

c Any submission deadlines

NOVEMBER 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE MADE TO RECIPIENTS IN MADISON & CHENANGO COUNTIES OF NYS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	27,548	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	16,133	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)		0		43,681	0
13	Total. Add line 12, columns (b), (d), and (e)				13	43,681

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Susan J Geier
Signature of officer or trustee

5/10/13
Date

PRES
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

James S. Cowan, CPA

Preparer's signature

Preparer's signature James S. Cunniff

Date _____

5/7/2013

Check ☒ if
self-employed

PTIN	
------	--

P00315203

Firm's name ▶ James S Cowan, CPA

Firm's address ► P O Box 525, 113 Main St., Whitesboro, NY 13492

Firm's EIN ▶ 16-1450228

Phone no	(315)792-4878
----------	---------------

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals		
											Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
	Long Term CG Distributions	Amount 9,779											
	Short Term CG Distributions												
								Capital Gains/Losses				86,747	16,133
								Other sales				0	0
1	X 600 SH POWERSHARES DYN	73935X369			5/15/2012	P	8/14/2012	17,211	17,602				-391
2	X 04 SH EXPRESS SCRIPTS HL	30219G108			1/26/1999	P	4/3/2012	2	1				1
3	X 720 95 SH FIRST EAGLE GOL	32008F408			11/18/2010	P	5/15/2012	16,995	16,819				176
4	X 840 SH MEDCO HEALTH SOLI	58405U102			1/26/1999	P	4/3/2012	2,419	0				2,419
5	X 2220 249 SH PIMCO FDS PAC	69339Q445			10/28/2008	P	5/15/2012	25,000	23,184				1,816
6	X 1628 664 SH PIMCO FDS PAC	69339I120			12/24/2007	P	5/15/2012	20,000	18,073				1,927
7	X 400 SH POWERSHARES DYN	73935X369			11/18/2010	P	8/14/2012	11,474	11,068				406

Part I, Line 16a (990-PF) - Legal Fees

		1,075	1,075	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	NODELL, JONES & KENDALL, LLP	1,075	1,075	

Part I, Line 16a (990-PF) - Legal Fees

0	
	Disbursements for Charitable Purposes (Cash Basis Only)
1	

Part I, Line 16b (990-PF) - Accounting Fees

		575	575	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	JAMES S. COWAN, CPA	575	575	

Part I, Line 16b (990-PF) - Accounting Fees

0	
	Disbursements for Charitable Purposes (Cash Basis Only)
1	

Part I, Line 18 (990-PF) - Taxes

		259	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	Tax on investment income	259		

Part I, Line 18 (990-PF) - Taxes

0	
	Disbursements for Charitable Purposes
1	

Part I, Line 23 (990-PF) - Other Expenses

		6,740	6,740	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	INSURANCE	2,049	2,049	
2	RENT	1,200	1,200	
3	OFFICE SUPPLIES & EXPENSE	1,579	1,579	
4	NYS DEPT OF LAW FILING	100	100	
5	OTHER ADMINISTRATIVE EXPENSES	1,812	1,812	
6				

Part I, Line 23 (990-PF) - Other Expenses

0	
	Disbursements for Charitable Purposes
1	
2	
3	
4	
5	
6	

MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	0.02	0.00	156.00	0.00
BANK DEPOSIT SWEEP	3.96	0.01	38,894.53	3.88
Interest Period 12/01/12 - 12/31/12				
Total Cash and Sweep Balances	3.98		\$39,050.53	\$3.88

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ABBOTT LABORATORIES									
ABT									
Acquired 06/15/10 L nc	1.67	250	47.89	12,154.05	65.5000	16,375.00	4,220.95	140.00	0.85
AMERICAN ELECTRIC POWER									
INC									
AEP									
Acquired 07/15/11 L	1.30	300	37.33	11,365.53	42.6800	12,804.00	1,438.47	564.00	4.40
AT & T INC									
T									
Acquired 11/22/02 L nc	1.71	499	27.52	13,977.42	33.7100	16,821.29	2,843.87	898.20	5.33



MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BCE INC									
BCE									
Acquired 08/23/11 L	2.19	500	39.50	19,983.15	42.9400	21,470.00	1,486.85	1,139.85	5.30
CATERPILLAR INC									
CAT									
Acquired 03/11/08 L nc	2.74	300	71.10	21,589.84	89.6085	26,882.55	5,292.71	624.00	2.32
CONOCOPHILLIPS									
COP									
Acquired 08/20/98 L nc	1.22	206	16.33	3,364.96	57.9900	11,945.94	8,580.98	543.84	4.55
DIRECTV									
DTV									
Acquired 08/17/99 L nc	0.88	172	19.92	3,427.67	50.1600	8,627.52	5,199.85	N/A	N/A
EXPRESS SCRIPTS HLDG CO									
ESRX									
Acquired 01/26/99 L nc	0.37	68	19.20	1,305.71	54.0000	3,672.00	2,366.29	N/A	N/A
HONEYWELL INTERNATIONAL									
HON									
Acquired 10/15/01 L nc		524	28.28	15,078.08		33,258.28	18,180.20		
Acquired 11/22/02 L nc		42	24.20	1,067.22		2,665.74	1,598.52		
Total	3.66	566		\$16,145.30	63.4700	\$35,924.02	\$19,778.72	\$928.24	2.58
ISHARES TR ET									
DOW JONES EPAC SELECT									
DIVIDEND INDEX FD									
IDV									
Acquired 08/14/12 S	3.43	1,000	31.40	31,595.00	33.6700	33,670.00	2,075.00	1,628.00	4.83
JPMORGAN CHASE & CO									
JPM									
Acquired 10/15/01 L nc		440	33.71	15,084.90		19,346.40	4,261.50		
Acquired 11/22/02 L nc		111	24.83	2,834.96		4,880.57	2,045.61		
Total	2.47	551		\$17,919.86	43.9691	\$24,226.97	\$6,307.11	\$661.20	2.73
PENTAIR LTD									
PNR									
Acquired 08/23/11 L	3.00	600	31.17	18,935.90	49.1500	29,490.00	10,554.10	528.00	1.79

MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PHILLIPS 66									
PSX									
Acquired 08/20/98 L nc	0.56	103	9.70	1,000.01	53.1000	5,469.30	4,469.29	103.00	1.88
TOTAL S.A. SPONS ADR									
TOT									
Acquired 05/27/11 L	2.65	500	56.66	28,577.95	52.0100	26,005.00	-2,572.95	1,253.50	4.82
UNITED TECHNOLOGIES CORP									
UTX									
Acquired 03/11/08 L nc	2.50	300	66.79	20,296.84	82.0100	24,603.00	4,306.16	642.00	2.60
Total Stocks and ETFs	30.34			\$221,639.19		\$297,986.59	\$76,347.40	\$9,653.83	3.24
Total Stocks, options & ETFs	30.34			\$221,639.19		\$297,986.59	\$76,347.40	\$9,653.83	3.24

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALLIANZ FDS									
NFJ SMALL-CAP VALUE FD									
CLASS A									
PCVAX									
On Reinvestment									
Acquired 01/30/03 L nc		191.17200	18.98	3,628.44		5,435.02	1,806.58		
Acquired 12/04/03 L nc		983.86500	25.41	25,000.00		27,971.27	2,971.27		
Reinvestments L nc		1,330.10000	26.90	35,780.98		37,814.74	2,033.76		
Reinvestments S		199.55800	28.33	5,654.34		5,673.44	19.10		
Total	7.83	2,704.69500		\$70,063.76	28.4300	\$76,894.47	\$6,830.71	N/A	N/A
Client Investment (Excluding Reinvestments)								\$28,628.44	
Gain/Loss on Client Investment (Including Reinvestments)								\$48,266.03	





MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALLIANZ AGIC OPPORTUNITY FUND CLASS A									
CL-A									
POPAX									
On Reinvestment									
Acquired 05/05/09 L nc		1,406.07400	17.78	25,000.00		33,464.55	8,464.55		
Reinvestments L nc		41.92900	22.62	948.85		997.91	49.06		
Reinvestments S		46.19500	23.03	1,064.33		1,099.45	35.12		
Total	3.62	1,494.19800		\$27,013.18	23.8000	\$35,561.91	\$8,548.73	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$25,000.00			
						\$10,561.91			
CALVERT SOCIAL INVT FUND BOND PORTFOLIO									
CL-A									
CSIBX									
On Reinvestment									
Acquired 06/15/10 L nc		1,347.37700	15.56	20,965.19		21,989.18	1,023.99		
Reinvestments L nc		87.52200	15.70	1,374.14		1,428.37	54.23		
Reinvestments S		58.92200	16.29	959.91		961.60	1.69		
Total	2.48	1,493.82100		\$23,299.24	16.3200	\$24,379.15	\$1,079.91	\$590.05	2.42
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$20,965.19			
						\$3,413.96			
FIRST EAGLE GOLD INC GOLD FD A									
SGGDY									
On Reinvestment									
Acquired 11/18/10 L nc		181.07200	35.67	6,463.13		4,955.94	-1,507.19		
Reinvestments L nc		112.11300	31.91	3,577.69		3,068.53	-509.16		
Reinvestments S		0.86600	27.42	23.75		23.70	-0.05		
Total	0.82	294.05100		\$10,064.57	27.3700	\$8,048.17	-\$2,016.40	\$23.81	0.30
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$6,463.13			
						\$1,585.04			

MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Mutual Funds

Open End Mutual Funds continued

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FIRST EAGLE US VALUE									
FUND CLASS A									
FEVAX									
On Reinvestment		1,564.94500	12.78	20,000.00		27,981.20	7,981.20		
Acquired 05/05/09 L nc		574.38300	17.41	10,000.00		10,269.97	269.97		
Acquired 05/27/11 L nc		133.21800	16.06	2,140.27		2,381.94	241.67		
Reinvestments L nc		70.29900	17.77	1,249.90		1,256.95	7.05		
Reinvestments S									
Total	4.26	2,342.84500		\$33,390.17	17.8800	\$41,890.06	\$8,499.89	\$295.19	0.70
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$30,000.00			
						\$11,890.06			
COLUMBIA FDS SER TR II									
SELECT LARGE-CAP VALUE									
FUND CLASS A									
SLVAX									
On Reinvestment		798.02900	9.96	7,948.36		12,648.75	4,700.39		
Acquired 05/21/04 L nc		45.99800	12.76	587.15		729.08	141.93		
Reinvestments L nc		40.00500	16.04	641.69		634.07	-7.62		
Reinvestments S									
Total	1.43	884.03200		\$9,177.20	15.8500	\$14,011.90	\$4,834.70	\$189.18	1.35
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$7,948.36			
						\$6,063.54			
COLUMBIA FDS SER TR II									
MASS SELECT SMALLER-CAP									
VALUE FD CL A									
SSCVX									
On Reinvestment		1,564.12899	9.59	15,000.00		24,040.65	9,040.65		
Acquired 12/18/02 L nc		705.86501	16.03	11,316.81		10,849.15	-467.66		
Reinvestments L nc		64.15600	15.45	991.85		986.08	-5.77		
Reinvestments S									
Total	3.65	2,334.15000		\$27,308.66	15.3700	\$35,875.88	\$8,567.22	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$15,000.00			
						\$20,875.88			





MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COLUMBIA FDS SER TR II									
MASS SELIG COMMUNICATION									
& INFORMATION FD CL A									
SLMCX									
On Reinvestment									
Acquired 09/21/07 L nc		393.08200	38.16	15,000.00		16,175.32	1,175.32		
Reinvestments L nc		16.72900	41.07	687.21		688.40	1.19		
Reinvestments S		26.83600	41.42	1,111.79		1,104.30	-7.49		
Total	1.83	436.64700		\$16,799.00	41.1500	\$17,968.02	\$1,169.02	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$15,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$2,968.02			
LOOMIS SAYLES FDS II									
STRATEGIC INCOME FD									
CL A									
NEFZX									
On Reinvestment									
Acquired 05/08/03 L nc		1,950.86600	12.60	24,580.90		30,179.88	5,598.98		
Acquired 09/16/03 L nc		16.39200	12.29	201.62		253.58	51.96		
Reinvestments L nc		2,967.00200	13.62	40,428.37		45,899.53	5,471.16		
Reinvestments S		270.61300	15.07	4,080.14		4,186.39	106.25		
Total	8.20	5,204.87300		\$69,291.03	15.4700	\$80,519.38	\$11,228.35	\$4,200.33	5.22
Client Investment (Excluding Reinvestments)									
						\$24,782.52			
Gain/Loss on Client Investment (Including Reinvestments)						\$55,736.86			
JPMORGAN MID CAP VALUE									
CL A									
JAMCX									
On Reinvestment									
Acquired 03/09/05 L nc		834.72500	23.96	20,006.00		22,996.67	2,990.67		
Reinvestments L nc		242.65300	21.55	5,231.45		6,685.09	1,453.64		
Reinvestments S		19.89400	27.68	550.78		548.08	-2.70		
Total	3.08	1,097.27200		\$25,788.23	27.5500	\$30,229.84	\$4,441.61	\$318.20	1.05
Client Investment (Excluding Reinvestments)									
						\$20,006.00			
Gain/Loss on Client Investment (Including Reinvestments)						\$10,223.84			

MID-YORK FOUNDATION, INC

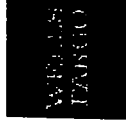
DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PIMCO FUNDS PAC INVT									
ALL ASSET ALL AUTHORITY									
FUND CLASS A									
PAUAX									
On Reinvestment									
Acquired 05/15/12 S		2,399.23200	10.42	25,000.00		26,559.47	1,559.47		
Reinvestments S		97.28400	11.03	1,073.83		1,076.96	3.13		
Total	2.81	2,496.51600		\$26,073.83	11.0700	\$27,636.43	\$1,562.60	\$1,325.64	4.80
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$25,000.00			
						\$2,636.43			
PIMCO FDS PAC INVT									
MGMT SER TOTAL RETURN									
FD CL A									
PTTAX									
On Reinvestment									
Acquired 10/28/08 L nc		2,068.88700	10.33	21,376.18		23,254.27	1,878.09		
Reinvestments L nc		1,519.90600	10.59	16,110.51		17,083.75	973.24		
Reinvestments S		261.48800	11.28	2,951.79		2,939.13	-12.66		
Total	4.41	3,850.28100		\$40,438.48	11.2400	\$43,277.15	\$2,838.67	\$1,232.08	2.85
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$21,376.18			
						\$21,900.97			
PIMCO GLOBAL BOND II									
CL A(US DOLLAR-HEDGED)									
PAIIX									
On Reinvestment									
Acquired 05/19/10 L nc		1,958.65900	9.85	19,292.79		20,546.31	1,253.52		
Reinvestments L nc		170.39300	9.89	1,686.38		1,787.45	101.07		
Reinvestments S		132.70300	10.56	1,401.61		1,392.04	-9.57		
Total	2.42	2,261.75500		\$22,380.78	10.4900	\$23,725.80	\$1,345.02	\$447.82	1.89
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$19,292.79			
						\$4,433.01			





MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PIMCO FDS PAC INVT									
MGMT SER REAL RETURN BD									
FD CL A									
PRTNX									
On Reinvestment									
Reinvestments L nc		1,848.29600	10.59	19,585.74		22,678.57	3,092.83		
Reinvestments S		94.94500	12.37	1,174.57		1,164.99	-9.58		
Total	2.43	1,943.24100		\$20,760.31	12.2700	\$23,843.56	\$3,083.25	\$375.04	1.57
PIMCO FD PAC INVT MGMT									
ALL ASSET FD CLASS A									
PASAX									
On Reinvestment									
Acquired 06/15/10 L nc		3,587.58000	11.82	42,405.20		45,059.97	2,654.77		
Acquired 05/15/12 S		1,689.18900	11.84	20,000.00		21,216.23	1,216.23		
Reinvestments L nc		500.84200	11.81	5,918.49		6,290.58	372.09		
Reinvestments S		243.10000	12.40	3,015.62		3,053.35	37.73		
Total	7.70	6,020.71100		\$71,339.31	12.5600	\$75,620.13	\$4,280.82	\$3,287.30	4.35
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
PIONEER HIGH YIELD FD									
CL C									
PYICX									
On Reinvestment									
Reinvestments L nc		1,305.99500	9.21	12,033.93		13,739.07	1,705.14		
Reinvestments S		59.20000	10.12	599.62		622.78	23.16		
Total	1.46	1,365.19500		\$12,633.55	10.5200	\$14,361.85	\$1,728.30	\$614.33	4.28
PIONEER STRATEGIC									
INCOME FUND CLASS C									
PSRCX									
On Reinvestment									
Acquired 07/15/11 L nc		2,217.28400	10.81	23,968.84		24,478.79	509.95		
Reinvestments L nc		56.19700	10.46	587.99		620.42	32.43		
Reinvestments S		92.98900	10.74	999.44		1,026.61	27.17		
Total	2.66	2,366.47000		\$25,556.27	11.0400	\$26,125.82	\$569.55	\$1,022.31	3.91
Client Investment (Excluding Reinvestments)									
						\$23,968.84			
Gain/Loss on Client Investment (Including Reinvestments)						\$2,156.98			

MID-YORK FOUNDATION, INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5878-9701

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PRUDENTIAL JENNISON NATURAL RESOURCES FD CL A PGNAX On Reinvestment Acquired 11/17/03 L nc Reinvestments L nc		672.34400 331.77300	22.31 41.10	15,000.00 13,636.34		30,315.98 14,959.65	15,315.98 1,323.31		
Total	4.61	1,004.11700		\$28,636.34	45.0900	\$45,275.63	\$16,639.29	N/A	N/A
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
Total Open End Mutual Funds	65.69			\$560,013.91		\$645,245.15	\$85,231.24	\$13,921.28	2.16
Total Mutual Funds	65.69			\$560,013.91		\$645,245.15	\$85,231.24	\$13,921.28	2.16

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

6,339										0	0	0
Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	Explanation
1 SUSAN GEIER		18 UNIVERSITY AVE	HAMILTON	NY	13346		PRES	100				
2 JOHN KWASNIK		PO BOX 61	SHERBURNE	NY	13460		VP	100				
3 JENNIFER CALOIA		4229 STONEBRIDGE RD	MORRISVILLE	NY	13408		SEC	100				
4 PAUL NOYES		131 SHERRILL RD	SHERBURNE	NY	13460		TREAS	100				
5 TERENCE MURPHY		2123 SPRING ST	HAMILTON	NY	13346		BOARD MEMBER	100	0	0	0	
6 DONALD DUBOIS		981 PRESTON HILL RD	EARLVILLE	NY	13332		BOARD MEMBER	100	0	0	0	
7 JIM PLESNIARSKI		CRANE LAKE ROAD	HAMILTON	NY	13346		BOARD MEMBER	100	0	0	0	
8 MARGARET RHYDE		2306 SMITH ROAD	HAMILTON	NY	13346		BOARD MEMBER	100	0	0	0	
9 JAMES E TILBE		PO BOX 289	BROOKFIELD	NY	13314		BOARD MEMBER	100	0	0	0	
10 PATTI VANVOORHIS		887 UPHAM ROAD	GEORGETOWN	NY	13072		BOARD MEMBER	100	0	0	0	
11 VIOLAK UHLIG		PO BOX 52	BOUCKVILLE	NY	13310		DIRECTOR	100	6,339	0	0	

APPLICATION
TO
THE MID-YORK FOUNDATION

DATE _____

Name of Organization or
Individual _____

Address _____

His/Her title _____

Person for us to notify _____

His/Her telephone number: Home _____ Work _____

Is there any relationship between you or your organization and
this foundation that would disqualify this grant? (Sec 4946 (a)
(1) IRS code) _____

Has your organization applied to other funding sources for the
grant proposal submitted to The Mid-York Foundation? If yes,
provide detail:

What is the purpose of this request and how and when would
the money be used?

Total amount of money requested \$ _____

When must you know of our decision? _____

Please attach additional information if you wish.

Signature

Please see reverse for important Foundation policy statements.

SELECTED POLICY STATEMENTS OF THE MID-YORK FOUNDATION:

- (1) The Foundation seldom makes grants to applicants in successive years.
- (2) The Foundation does not provide grants for the purpose of funding operating expenses of applicant organizations.
- (3) The Foundation requires grantee organizations to provide a written status report on the disposition of funds granted by the Foundation within a year following the grant and/or other appropriate time periods.
- (4) The Foundation deadline for receipt of applications during its calendar year/fiscal year is November 1. Applicants will receive notification of Foundation action on their proposal no later than February 15th of the following year.