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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation LEGACY FOUNDATION OF TOMPKINS COUNTY		A Employer identification number 15-6018481
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 97	Room/suite	B Telephone number (607) 273-3210
City or town, state, and ZIP code ITHACA, NY 14851		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,241,090.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		52,018.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		57,506.	57,506.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		32,964.			
b Gross sales price for all assets on line 6a 388,159.					
7 Capital gain net income (from Part IV, line 2)			32,964.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,000.	0.		STATEMENT 2
12 Total. Add lines 1 through 11		145,488.	90,470.		
13 Compensation of officers, directors, trustees, etc			0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		3,850.	3,850.		0.
c Other professional fees STMT 4		17,520.	17,520.		0.
17 Interest					
18 Taxes STMT 5		430.	260.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		17,882.	17,882.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		39,682.	39,512.		0.
25 Contributions, gifts, grants paid		156,407.			156,407.
26 Total expenses and disbursements. Add lines 24 and 25		196,089.	39,512.		156,407.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<50,601.>			
b Net investment income (if negative, enter -0-)			50,958.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED JUN 20 2013

Revenue

Operating and Administrative Expenses

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		101,626.	88,537.	88,537.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		222,376.	217,272.	217,272.
	b	Investments - corporate stock STMT 9		1,716,656.	1,632,536.	1,632,536.
	c	Investments - corporate bonds STMT 10		160,380.	302,745.	302,745.
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		2,201,038.	2,241,090.	2,241,090.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> X and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		2,201,038.	2,241,090.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances		2,201,038.	2,241,090.	
31	Total liabilities and net assets/fund balances		2,201,038.	2,241,090.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,201,038.
2	Enter amount from Part I, line 27a	2	<50,601.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	90,653.
4	Add lines 1, 2, and 3	4	2,241,090.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,241,090.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 388,159.		355,195.	32,964.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			32,964.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	32,964.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	164,183.	2,239,278.	.073320
2010	163,909.	2,193,358.	.074730
2009	161,666.	2,067,398.	.078198
2008	200,767.	2,547,921.	.078796
2007	202,018.	3,015,541.	.066992

2 Total of line 1, column (d)	2	.372036
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.074407
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,215,765.
5 Multiply line 4 by line 3	5	164,868.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	510.
7 Add lines 5 and 6	7	165,378.
8 Enter qualifying distributions from Part XII, line 4	8	156,407.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,019.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,019.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,019.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	670.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	880.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		1,550.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		531.
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 531. Refunded <input type="checkbox"/>	11		0.

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1b		
c Did the foundation file Form 1120-POL for this year?		X
1c		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JANET HEWITT Telephone no. ► 607-273-3210 Located at ► C/O TOMPKINS TRUST COMPANY, PO BOX 460, ITHACA, N ZIP+4 ► 14851			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,166,989.
b	Average of monthly cash balances	1b	82,519.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,249,508.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,249,508.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	33,743.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,215,765.
6	Minimum investment return. Enter 5% of line 5	6	110,788.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	110,788.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,019.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,019.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	109,769.
4	Recoveries of amounts treated as qualifying distributions	4	3,000.
5	Add lines 3 and 4	5	112,769.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	112,769.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	156,407.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	156,407.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	156,407.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				112,769.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	53,859.			
b From 2008	74,405.			
c From 2009	58,664.			
d From 2010	54,885.			
e From 2011	44,513.			
f Total of lines 3a through e	286,326.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 156,407.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				112,769.
e Remaining amount distributed out of corpus	43,638.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	329,964.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	53,859.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	276,105.			
10 Analysis of line 9:				
a Excess from 2008	74,405.			
b Excess from 2009	58,664.			
c Excess from 2010	54,885.			
d Excess from 2011	44,513.			
e Excess from 2012	43,638.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
• Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MCGRAW HOUSE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
BEECHTREE CARE CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,831.
HABITAT FOR HUMANITY OF TOMPKINS & CORTLAND COUNTIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
CANCER RESOURCE CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
DRYDEN YOUTH OPPORTUNITY FUND	NONE	DONOR ADVISED FUND	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
Total	SEE CONTINUATION SHEET(S)			156,407.
b Approved for future payment				
NONE				
Total				0.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15,000 FHLB 3.40%	P	03/22/11	03/29/12
b	20,000 FHLMC 3.00%	P	03/07/11	03/30/12
c	10,000 GENERAL ELECTRIC 6.00%	P	06/23/06	06/15/12
d	PUBLICLY TRADED SECURITIES-SHORT TERM	P	VARIOUS	VARIOUS
e	PUBLICLY TRADED SECURITIES-LONG TERM	P	VARIOUS	VARIOUS
f	10,000 PITNEY BOWES 4.625%	P	11/19/03	06/30/12
g	25,000 FHLB 2.74%	P	04/04/12	07/27/12
h	20,000 FNMA 1.625%	P	09/26/11	09/28/12
i	10,000 VERIZON VIRGINIA 4.625%	P	03/26/04	12/07/12
j	20,000 FNMA 1.75%	P	09/27/11	12/28/12
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,000.		15,000.	0.
b 20,000.		20,000.	0.
c 10,000.		10,092.	<92.>
d 16,958.		17,570.	<612.>
e 239,953.		207,025.	32,928.
f 10,112.		10,017.	95.
g 25,000.		25,000.	0.
h 20,000.		20,198.	<198.>
i 10,113.		9,983.	130.
j 20,000.		20,310.	<310.>
k 1,023.			1,023.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			<92.>
d			<612.>
e			32,928.
f			95.
g			0.
h			<198.>
i			130.
j			<310.>
k			1,023.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	32,964.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DRYDEN BEAUTIFICATION	NONE	NONE	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
CAYUGA MEDICAL CENTER FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	12,500.
CHALLENGE INDUSTRIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
CHILD DEVELOPMENT COUNCIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
DRYDEN COMMUNITY CENTER CAFE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
BETTER HOUSING FOR TOMPKINS COUNTY INC	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
FAMILY & CHILDREN'S SERVICE OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,726.
FINGER LAKES INDEPENDENCE CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
FOODBANK OF THE SOUTHERN TIER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
BROOKTONDALE COMMUNITY CENTER INC	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	700.
Total from continuation sheets				139,476.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CATHOLIC CHARITIES OF TOMPKINS/TIOGA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,500.
SUNSHINE MILLER	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
RICK PAWLEWICZ	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
CAYUGA ADDICTION RECOVERY SERVICES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,000.
PALEONTOLOGICAL RESEARCH INSTITUTE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
JON RAIMON	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
ANNETTE TWITCHELL	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
SCIENCENTER OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
SEVENTH ART CORPORATION OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
SPCA OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITY ARTS PARTNERSHIP	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
ST. PAUL'S UNITED METHODIST CHURCH	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
COMMUNITY DISPUTE RESOLUTION CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,000.
DRYDEN UNITED METHODIST CHURCH	NONE	NONE	AWARDS, CONTRIBUTIONS & OPERATIONS	1,700.
FINGER LAKES LAND TRUST	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
UNITED WAY OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
UNITY HOUSE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
ITHACA HEALTH ALLIANCE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
TOMPKINS COUNTY SENIOR CITIZENS COUNCIL, INC. D/B/A LIFELONG	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,200.
MIRA'S MOVEMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RUNNING TO PLACES THEATRE COMPANY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,500.
STATE THEATRE OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,500.
THE ADVOCACY CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,000.
THE HISTORY CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
TOMPKINS COUNTY CHAMBER OF COMMERCE FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
TRUMANSBURG CONSERVATORY OF FINE ARTS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
Total from continuation sheets				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury •
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization	Employer identification number
LEGACY FOUNDATION OF TOMPKINS COUNTY	15-6018481

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GEORGE V. & JEAN A. SMITH CHARITABLE TRUST	\$ 29,300.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	JEWELL ESTATE	\$ 6,888.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	STRONG ESTATE	\$ 14,751.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
LEGACY FOUNDATION OF TOMPKINS COUNTY	15-6018481

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	58,529.	1,023.	57,506.
TOTAL TO FM 990-PF, PART I, LN 4	58,529.	1,023.	57,506.

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
REFUND OF PRIOR YEAR DISTRIBUTION	3,000.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,000.	0.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCT.FEES (AUDIT & TAX)	3,850.	3,850.		0.
TO FORM 990-PF, PG 1, LN 16B	3,850.	3,850.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	17,520.	17,520.		0.
TO FORM 990-PF, PG 1, LN 16C	17,520.	17,520.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS FILING FEES	260.	260.		0.	
EXCISE TAX	170.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	430.	260.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	2,820.	2,820.		0.	
DIRECTOR OF DEVELOPMENT SERVICES	8,200.	8,200.		0.	
INSURANCE	853.	853.		0.	
PROFESSIONAL	6,009.	6,009.		0.	
TO FORM 990-PF, PG 1, LN 23	17,882.	17,882.		0.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION				AMOUNT	
UNREALIZED APPRECIATION IN INVESTMENTS				90,653.	
TOTAL TO FORM 990-PF, PART III, LINE 3				90,653.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FHLMC 4.00% 6/12/13	X		25,430.	25,430.
FHLB 3.875% 6/14/13	X		25,421.	25,421.
US TREASURY BOND 7.25% DUE 5/15/16	X		24,553.	24,553.
US TREASURY NOTES 4.625% DUE 11/15/16	X		34,716.	34,716.
US TREASURY NOTES 4.75% DUE 5/15/14	X		31,852.	31,852.
FHLB 2.70% 3/21/2022	X		30,131.	30,131.
FFCB 2.18% 9/20/2021	X		20,094.	20,094.
FNMA 2.00% 9/27/2019	X		25,075.	25,075.
TOTAL U.S. GOVERNMENT OBLIGATIONS			217,272.	217,272.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			217,272.	217,272.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
TOMPKINS FINANCIAL CORP	157,530.	157,530.	
JOHNSON & JOHNSON	32,246.	32,246.	
CHEVRON CORP	44,337.	44,337.	
EXXON MOBIL CORP	25,965.	25,965.	
MICROSOFT CORP	21,368.	21,368.	
ALTRIA GROUP INC.	32,069.	32,069.	
CATERPILLAR INC.	16,578.	16,578.	
CONOCOPHILLIPS	10,438.	10,438.	
GOLDMAN SACHS GROUP INC.	8,291.	8,291.	
AT&T	18,372.	18,372.	
WALT DISNEY	15,684.	15,684.	
GENERAL ELECTRIC	5,562.	5,562.	
IBM	28,733.	28,733.	
ORACLE CORP	37,152.	37,152.	
PFIZER	31,851.	31,851.	
APPLE INC	31,930.	31,930.	
MCDONALDS CORP	29,109.	29,109.	
NATIONAL OILWELL VARCO INC	11,619.	11,619.	
EMERSON ELEC CO	15,888.	15,888.	
HONEYWELL INTL INC	9,521.	9,521.	
ISHARES TR MSCI EAFE IDX ETF	127,082.	127,082.	
PNC FINL SVCS GROUP	11,662.	11,662.	
PROCTER & GAMBLE CO	24,101.	24,101.	

WAL-MART STORES INC	11,258.	11,258.
CELGENE CORP	16,479.	16,479.
COVIDIEN PLC	11,259.	11,259.
ENTERGY CORP	6,056.	6,056.
GENERAL MILLS	8,488.	8,488.
GOOGLE INC	21,221.	21,221.
ISHARES MSCI EMERG MKTS	51,224.	51,224.
ISHARES BARCLAYS 1-3 CREDIT	244,186.	244,186.
ISHARES BARKLAYS MBS BDFD	62,094.	62,094.
ISHARES TR S&P MIDCAP 400	116,447.	116,447.
J.P. MORGAN CHASE & CO	4,617.	4,617.
VERIZON COMMUNICATIONS	19,039.	19,039.
APACHE CORP	7,457.	7,457.
DANAHER CORP	25,714.	25,714.
E M C CORP MASS	21,252.	21,252.
JOHNSON CTLS INC	13,955.	13,955.
KOHL'S CORP	13,324.	13,324.
LOWES COS INC	20,424.	20,424.
TEVA PHARMA INDS	10,642.	10,642.
ACCENTURE PLC	16,625.	16,625.
ALCOA INC	8,029.	8,029.
BHP BILLITON LIMITED	13,724.	13,724.
DOMINION RES INC	9,842.	9,842.
DUPONT EI DENEMOURS & CO	16,867.	16,867.
FORD MOTOR CO	14,633.	14,633.
KIMBERLY CLARK CORP	9,709.	9,709.
POTASH CORP SASK INC	12,817.	12,817.
QUALCOMM INC	23,816.	23,816.
THERMO FISHER SCIENTIFIC INC	7,335.	7,335.
UNITED TECHNOLOGIES CORP	14,352.	14,352.
DIRECTV COM	10,283.	10,283.
HALLIBURTON CO	10,060.	10,060.
HESS CORP	9,533.	9,533.
THOMSON CORP	15,256.	15,256.
VODAFONE GROUP PLC	7,431.	7,431.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,632,536.	1,632,536.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS GROUP 4.750% 7/15/13	10,210.	10,210.
CISCO SYSTEMS 5.5%, DUE 2/22/16	22,861.	22,861.
JP MORGAN CHASE & CO 5.15% DUE 10/1/15	10,992.	10,992.
SBC COMMUNICATIONS 5.10% DUE 9/15/14	10,742.	10,742.
OCCIDENTAL PETE CORP 4.125% 6/1/16	22,186.	22,186.
AFLAC INC 3.45% DUE 8/15/15	16,007.	16,007.
PNC FUNDING CORP 3.625% DUE 2/08/15	21,172.	21,172.
US BANCORP 2.875% DUE 11/20/14	15,622.	15,622.

AT&T INC 3.00% 2/15/2022	20,800.	20,800.
GOLDMAN SACHS GROUP 5.375% 3/15/2020	17,190.	17,190.
MORGAN STANLEY 5.75% 1/25/2021	28,551.	28,551.
PEPSICO INC 3.00% 8/25/2021	31,768.	31,768.
SIMON PPTY.GROUP LP 2.80% 1/30/2017	26,380.	26,380.
VERIZON COMMUNICATIONS INC 3.5% 11/1/2021	21,880.	21,880.
WELLS FARGO 2.625% 12/15/2016	26,384.	26,384.

TOTAL TO FORM 990-PF, PART II, LINE 10C

302,745.

302,745.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LARRY BAUM PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
JAMES BROWN PO BOX 97 ITHACA, NY 14851	VICE PRESIDENT 1.00	0.	0.	0.
PATRICIA JOHNSON PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
ANNE C. DIGIACOMO PO BOX 97 ITHACA, NY 14851	SECRETARY/TREASURER 1.00	0.	0.	0.
GREGORY J. HARTZ PO BOX 97 ITHACA, NY 14851	PRESIDENT 1.00	0.	0.	0.
MARY KANE PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
SUSAN NOHELT PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
SEAN WHITTAKER PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 12
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

GREGORY HARTZ, PRESIDENT
P.O. BOX 97
ITHACA, NY 14851

TELEPHONE NUMBER

607-255-3759

FORM AND CONTENT OF APPLICATIONS

ALL REQUESTS SHOULD BE IN WRITING. REQUESTS SHOULD INDICATE A BRIEF DESCRIPTION OF THE ORGANIZATION REQUESTING THE FUNDS AND THE PURPOSE OF THE REQUEST AND A BUDGET.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION'S POLICY IS TO MAKE THE FUNDS AVAILABLE TO VOLUNTARY AGENCIES SERVING THE TOMPKINS COUNTY AREA.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. LEGACY FOUNDATION OF TOMPKINS COUNTY	Employer identification number (EIN) or 15-6018481
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 97	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ITHACA, NY 14851	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JANET HEWITT - C/O TOMPKINS TRUST COMPANY, PO BOX 460 -

- The books are in the care of ► **ITHACA, NY 14851**

Telephone No. ► **607-273-3210**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	1,550.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	670.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	\$	880.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev 1-2013)