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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation THE MILLMAN-HARRIS-ROMANO FOUNDATION		A Employer identification number 14-1833603
Number and street (or P.O. box number if mail is not delivered to street address) 10 CIRCULAR RD	Room/suite	B Telephone number 845-452-6343
City or town, state, and ZIP code POUGHKEEPSIE, NY 12601		C If exemption application is pending, check here <input type="checkbox"/>
Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
Fair market value of all assets at end of year from Part II, col. (c), line 16 \$ 1,073,146.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		3,118.	3,118.		STATEMENT 1
4 Dividends and interest from securities		16,450.	16,450.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		89,913.			
b Gross sales price for all assets on line 6a		705,467.			
7 Capital gain net income (from Part IV, line 2)			89,913.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		109,481.	109,481.		
13 Compensation of officers, directors, trustees, etc.		12,000.	1,800.		10,200.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		3,600.	0.		3,600.
c Other professional fees		540.	0.		540.
17 Interest					
18 Taxes		1,621.	586.		1,035.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		600.	0.		600.
22 Printing and publications					
23 Other expenses		11,933.	8,637.		3,296.
24 Total operating and administrative expenses. Add lines 13 through 23		30,294.	11,023.		19,271.
25 Contributions, gifts, grants paid		29,154.			29,154.
26 Total expenses and disbursements. Add lines 24 and 25		59,448.	11,023.		48,425.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		50,033.			
b Net investment income (if negative, enter -0-)			98,458.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	20,578.	6.	6.
	2 Savings and temporary cash investments	116,034.	115,598.	115,598.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 7	51,942.	51,942.	59,273.
	b Investments - corporate stock STMT 8	609,535.	748,540.	898,269.
	c Investments - corporate bonds STMT 9	67,795.	0.	0.
11 Investments - land, buildings, and equipment: basis				
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other STMT 10	2,333.	0.	0.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers)	868,217.	916,086.	1,073,146.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe STATEMENT 11)	2,563.	399.	
23 Total liabilities (add lines 17 through 22)	2,563.	399.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	865,654.	915,687.	
30 Total net assets or fund balances	865,654.	915,687.		
31 Total liabilities and net assets/fund balances	868,217.	916,086.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	865,654.
2 Enter amount from Part I, line 27a	2	50,033.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	915,687.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	915,687.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 705,467.		615,554.	89,913.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			89,913.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	89,913.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	66,668.	835,204.	.079822
2010	42,858.	1,043,757.	.041061
2009	27,705.	846,049.	.032746
2008	34,043.	1,095,749.	.031068
2007	94,793.	1,201,359.	.078905

2 Total of line 1, column (d)	2	.263602
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052720
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	970,741.
5 Multiply line 4 by line 3	5	51,177.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	985.
7 Add lines 5 and 6	7	52,162.
8 Enter qualifying distributions from Part XII, line 4	8	59,448.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	985.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	985.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	985.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,013.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,013.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	4.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,024.	
11 Enter the amount of line 10 to be credited to 2013 estimated tax	11	1,024.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► MHRFOUNDATION.ORG	13	X	
14	The books are in care of ► THE MILLMAN HARRIS ROMANO FDN. Located at ► 10 CIRCULAR ROAD, POUGHKEEPSIE, NY	Telephone no ► (845) 452-6343 ZIP+4 ► 12601		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?N/A
▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JODE MILLMAN	PRESIDENT, DIRECTOR			
10 CIRCULAR ROAD				
POUGHKEEPSIE, NY 126013315	20.00	12,000.	0.	0.
MICHAEL J HARRIS	SECRETARY, TREASURER, DIRE			
10 CIRCULAR ROAD				
POUGHKEEPSIE, NY 126013315	0.00	0.	0.	0.
ELLIN R. MILLMAN	VICE PRESIDENT, DIRECTOR			
7 ADRIANCE AVENUE				
POUGHKEEPSIE, NY 126013315	0.00	0.	0.	0.
WENDY BERGER	DIRECTOR			
10 CIRCULAR ROAD				
POUGHKEEPSIE, NY 126013315	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	975,514.
b	Average of monthly cash balances	1b	10,010.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	985,524.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	985,524.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	14,783.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	970,741.
6	Minimum investment return. Enter 5% of line 5	6	48,537.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	48,537.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	985.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	985.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	47,552.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	47,552.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	47,552.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	48,425.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	11,023.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	59,448.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	985.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	58,463.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				47,552.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				12,272.
f Total of lines 3a through e	12,272.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	59,448.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				47,552.
e Remaining amount distributed out of corpus	11,896.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	24,168.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	24,168.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011	12,272.			
e Excess from 2012	11,896.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AUTISM WALK PO BOX 73 WAPPINGERS FALLS, NY 12590	NONE	PUBLIC	SPONSOR AUTISM WALK	250.
BARDAVON 1869 OPERAHOUSE 35 MARKET STREET POUGHKEEPSIE, NY 12603	NONE	PUBLIC	SUPPORT OF MUSICAL PROGRAMS	10,714.
CHILDREN'S MEDIA PROJECT 42 CATHERINE STREET STOP 9 POUGHKEEPSIE, NY 12601	NONE	PUBLIC	PROVIDE SPACE AND OPPORTUNITY FOR CHILDREN TO USE MEDIA	7,690.
DUTCHESS COMMUNITY COLLEGE FOUNDATION 53 PENDELL ROAD POUGHKEEPSIE, NY 12601	NONE	PUBLIC	BENEFIT COLLEGE & SPONSORSHIP PROGRAM	2,700.
DUTCHESS COUNTY SPCA 636 VIOLET AVE HYDE PARK, NY 12538	NONE	PUBLIC	SPONSOR PET WALK	500.
Total	SEE CONTINUATION SHEET(S)			29,154.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]


- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

5/22/14
Date

► PRES/DIRECTOR

Title

May the IRS discuss this return with the preparer shown below (see instr)?

<input checked="checked" type="checkbox"/> X	Yes	<input type="checkbox"/>	No
---	------------	--------------------------	-----------

**Paid
Preparer
Use Only**

Print/preparer's name ROBERT UNGER	Preparer's signature <i>Robert Unger</i>	Date 5/16/14	Check <input type="checkbox"/> if self-employed	PTIN P00971190
Firm's name ▶ JUDELSON, GIORDANO & SIEGEL, CPA, PC			Firm's EIN ▶ 14-1619319	
Firm's address ▶ 633 ROUTE 211 EAST MIDDLETOWN, NY 10941			Phone no 845-692-9500	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 SHS BARRICK GOLD CORP FGN COM	P	03/22/11	03/19/12
b	10 SHS BARRICK GOLD CORP FGN COM	P	05/02/11	03/19/12
c	100 SHS AT&T INC PFD 6.375%	P	07/13/10	02/15/12
d	700 SHS AT&T INC PFD 6.375%	P	11/23/09	02/15/12
e	5 SHS URBAN OUTFITTERS INC COM	P	12/04/09	03/19/12
f	25 SHS URBAN OUTFITTERS INC COM	P	11/20/09	03/19/12
g	50 SHS URBAN OUTFITTERS INC COM	P	06/22/09	03/19/12
h	SPDR GOLD TRUST FUND CLOSED-END FUND	P	07/31/09	12/31/12
i	18.363 SHS PIMCO REAL RETURN	P	09/21/12	09/24/12
j	7.153 SHS TEMPLETON GLOBAL BOND	P	09/18/12	09/21/12
k	100 SHS XL GROUP PLC	P	10/20/09	09/21/12
l	50 SHS XL GROUP PLC	P	11/20/09	09/21/12
m	50 SHS XL GROUP PLC	P	12/04/09	09/21/12
n	50 SHS XL GROUP PLC	P	04/05/10	09/21/12
o	5 SHS XL GROUP PLC	P	04/07/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 438.		505.	-67.
b 438.		501.	-63.
c 2,500.		2,661.	-161.
d 17,500.		18,294.	-794.
e 139.		167.	-28.
f 694.		804.	-110.
g 1,388.		1,035.	353.
h 17.		10.	7.
i 129.		129.	0.
j 95.		95.	0.
k 2,419.		1,788.	631.
l 1,210.		902.	308.
m 1,210.		922.	288.
n 1,210.		968.	242.
o 121.		98.	23.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-67.
b			-63.
c			-161.
d			-794.
e			-28.
f			-110.
g			353.
h			7.
i			0.
j			0.
k			631.
l			308.
m			288.
n			242.
o			23.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 SHS XL GROUP PLC	P	12/22/10	09/21/12
b	235 SHS ASTON FAIRPOINTE	P	03/22/11	09/21/12
c	430 SHS ASTON FAIRPOINTE	P	05/02/11	09/21/12
d	2.982 SHS ASTON FAIRPOINTE	P	12/29/11	09/21/12
e	4.578 SHS ASTON FAIRPOINTE	P	12/29/11	09/21/12
f	130 AT&T INC COM	P	11/20/09	09/21/12
g	5 AT&T INC COM	P	03/19/12	09/21/12
h	15 SHS AIR PRODS & CHEMS INC COM	P	11/20/09	09/21/12
i	25 SHS AIR PRODS & CHEMS INC COM	P	12/04/09	09/21/12
j	5 SHS AIR PRODS & CHEMS INC COM	P	12/22/10	09/21/12
k	35 SHS AMAZON COM INC	P	06/22/09	09/21/12
l	1027.310 SHS AMERICAN HIGH INCOME TRUST	P	01/01/99	09/21/12
m	20 SHS AMERICAN WTR WKS CO INC	P	03/19/12	09/21/12
n	15 SHS APACHE CORP	P	04/05/10	09/21/12
o	25 SHS APACHE CORP	P	04/07/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 363.		329.	34.
b 8,187.		7,915.	272.
c 14,980.		14,930.	50.
d 104.		90.	14.
e 159.		138.	21.
f 4,971.		3,387.	1,584.
g 191.		158.	33.
h 1,269.		1,233.	36.
i 2,114.		2,048.	66.
j 423.		452.	-29.
k 9,013.		2,776.	6,237.
l 11,542.		9,500.	2,042.
m 737.		670.	67.
n 1,331.		1,623.	-292.
o 2,218.		2,693.	-475.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			34.
b			272.
c			50.
d			14.
e			21.
f			1,584.
g			33.
h			36.
i			66.
j			-29.
k			6,237.
l			2,042.
m			67.
n			-292.
o			-475.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 SHS APACHE CORP	P	06/03/10	09/21/12
b	5 SHS APACHE CORP	P	12/22/10	09/21/12
c	30 SHS APPLE INC	P	06/22/09	09/21/12
d	50 SHS AVON PRODUCTS INC	P	06/22/09	09/21/12
e	35 SHS AVON PRODUCTS INC	P	10/20/09	09/21/12
f	25 SHS AVON PRODUCTS INC	P	11/20/09	09/21/12
g	25 SHS AVON PRODUCTS INC	P	04/05/10	09/21/12
h	25 SHS AVON PRODUCTS INC	P	04/07/10	09/21/12
i	5 SHS AVON PRODUCTS INC	P	12/22/10	09/21/12
j	25 SHS BANK OF NEW YORK MELLON CORP	P	01/01/99	09/21/12
k	50 SHS BANK OF NOVA SCOTIA HALIFAX COM	P	10/20/09	09/21/12
l	25 SHS BANK OF NOVA SCOTIA HALIFAX COM	P	11/20/09	09/21/12
m	25 SHS BANK OF NOVA SCOTIA HALIFAX COM	P	12/04/09	09/21/12
n	5 SHS BANK OF NOVA SCOTIA HALIFAX COM	P	04/05/10	09/21/12
o	25 SHS BANK OF NOVA SCOTIA HALIFAX COM	P	04/07/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	887.	902.	-15.
b	444.	591.	-147.
c	21,066.	4,109.	16,957.
d	804.	1,282.	-478.
e	563.	1,193.	-630.
f	402.	851.	-449.
g	402.	851.	-449.
h	402.	844.	-442.
i	80.	146.	-66.
j	585.	722.	-137.
k	2,754.	2,226.	528.
l	1,377.	1,136.	241.
m	1,377.	1,160.	217.
n	275.	252.	23.
o	1,377.	1,255.	122.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-15.
b			-147.
c			16,957.
d			-478.
e			-630.
f			-449.
g			-449.
h			-442.
i			-66.
j			-137.
k			528.
l			241.
m			217.
n			23.
o			122.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 SHS BANK OF NOVA SCOTIA HALIFAX COM	P	12/22/10	09/21/12
b	30 SHS CANADIAN NATURAL RES LTD	P	03/19/12	09/21/12
c	40 SHS CELENCE CORP	P	07/31/09	09/21/12
d	20 SHS CELENCE CORP	P	06/03/10	09/21/12
e	10 SHS CELENCE CORP	P	12/22/10	09/21/12
f	5 SHS CELENCE CORP	P	03/22/11	09/21/12
g	5 SHS CELENCE CORP	P	03/19/12	09/21/12
h	100 SHS CISCO SYSTEMS	P	06/22/09	09/21/12
i	100 SHS CISCO SYSTEMS	P	10/20/09	09/21/12
j	50 SHS CISCO SYSTEMS	P	11/20/09	09/21/12
k	25 SHS CISCO SYSTEMS	P	04/05/10	09/21/12
l	30 SHS COCO COLA	P	03/22/11	09/21/12
m	30 SHS COCO COLA	P	05/02/11	09/21/12
n	40 SHS COCO COLA	P	03/19/12	09/21/12
o	40 SHS COLGATE PALMOLIVE	P	07/31/09	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 275.		280.	-5.
b 998.		1,080.	-82.
c 3,086.		2,330.	756.
d 1,543.		1,074.	469.
e 771.		594.	177.
f 386.		265.	121.
g 386.		379.	7.
h 1,891.		1,841.	50.
i 1,891.		2,413.	-522.
j 946.		1,170.	-224.
k 473.		653.	-180.
l 1,146.		954.	192.
m 1,146.		1,016.	130.
n 1,528.		1,409.	119.
o 4,246.		2,897.	1,349.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-5.
b			-82.
c			756.
d			469.
e			177.
f			121.
g			7.
h			50.
i			-522.
j			-224.
k			-180.
l			192.
m			130.
n			119.
o			1,349.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 SHS COLGATE PALMOLIVE	P	12/22/10	09/21/12
b	20 SHS CONOCO PHILLIPS COM	P	03/19/12	09/21/12
c	15 SHS COVANCE INC	P	03/19/12	09/21/12
d	25 SHS CUMMINS ENGINE CO INC	P	11/20/09	09/21/12
e	25 SHS CUMMINS ENGINE CO INC	P	12/04/09	09/21/12
f	10 SHS CUMMINS ENGINE CO INC	P	04/05/10	09/21/12
g	25 SHS CUMMINS ENGINE CO INC	P	04/07/10	09/21/12
h	45 SHS DEERE & CO	P	10/05/10	09/21/12
i	5 SHS DEERE & CO	P	12/22/10	09/21/12
j	5 SHS DEERE & CO	P	05/02/11	09/21/12
k	15 SHS DEVON ENERGY CORP	P	03/19/12	09/21/12
l	55 SHS DIGITAL RLTY TR INC COM	P	10/05/10	09/21/12
m	5 SHS DIGITAL RLTY TR INC COM	P	03/19/12	09/21/12
n	100 SHS WALT DISNEY CO-DEL	P	06/22/09	09/21/12
o	25 SHS WALT DISNEY CO-DEL	P	11/20/09	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 531.		405.	126.
b 1,145.		1,206.	-61.
c 714.		725.	-11.
d 2,411.		1,159.	1,252.
e 2,411.		1,090.	1,321.
f 965.		655.	310.
g 2,411.		1,615.	796.
h 3,729.		3,217.	512.
i 414.		419.	-5.
j 414.		489.	-75.
k 913.		1,138.	-225.
l 3,825.		3,373.	452.
m 348.		363.	-15.
n 5,292.		2,282.	3,010.
o 1,323.		748.	575.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			126.
b			-61.
c			-11.
d			1,252.
e			1,321.
f			310.
g			796.
h			512.
i			-5.
j			-75.
k			-225.
l			452.
m			-15.
n			3,010.
o			575.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 SHS WALT DISNEY CO-DEL	P	12/04/09	09/21/12
b	10 SHS WALT DISNEY CO-DEL	P	12/22/09	09/21/12
c	5 SHS WALT DISNEY CO-DEL	P	05/02/11	09/21/12
d	20 SHS WALT DISNEY CO-DEL	P	03/19/12	09/21/12
e	95 SHS DIRECTV COM	P	06/03/10	09/21/12
f	5 SHS DIRECTV COM	P	12/22/10	09/21/12
g	25 SHS EMC CORP-MASS	P	03/22/11	09/21/12
h	30 SHS EMC CORP-MASS	P	05/02/11	09/21/12
i	35 SHS EMC CORP-MASS	P	03/19/12	09/21/12
j	100 SHS EATON CORP	P	10/20/09	09/21/12
k	20 SHS EATON CORP	P	04/07/10	09/21/12
l	10 SHS EATON CORP	P	06/03/10	09/21/12
m	10 SHS EATON CORP	P	12/22/10	09/21/12
n	5 SHS EATON CORP	P	03/22/11	09/21/12
o	50 SHS EXPEDITORS INTL WASH INC	P	06/22/09	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,323.	772.	551.
b	529.	380.	149.
c	265.	217.	48.
d	1,058.	871.	187.
e	4,987.	3,729.	1,258.
f	262.	198.	64.
g	702.	659.	43.
h	842.	853.	-11.
i	983.	1,014.	-31.
j	4,803.	3,210.	1,593.
k	961.	790.	171.
l	480.	358.	122.
m	480.	510.	-30.
n	240.	263.	-23.
o	1,837.	1,591.	246.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			551.
b			149.
c			48.
d			187.
e			1,258.
f			64.
g			43.
h			-11.
i			-31.
j			1,593.
k			171.
l			122.
m			-30.
n			-23.
o			246.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 SHS EXPEDITORS INTL WASH INC	P	11/20/09	09/21/12
b	10 SHS EXPEDITORS INTL WASH INC	P	12/04/09	09/21/12
c	5 SHS EXPEDITORS INTL WASH INC	P	12/22/10	09/21/12
d	10 SHS EXPEDITORS INTL WASH INC	P	05/02/11	09/21/12
e	40 SHS EXXON MOBIL CORP	P	06/22/09	09/21/12
f	25 F5 NETWORKS OMC COM	P	04/05/10	09/21/12
g	25 F5 NETWORKS OMC COM	P	04/07/10	09/21/12
h	10 F5 NETWORKS OMC COM	P	06/03/10	09/21/12
i	50 SHS FREEPORT MCMORAN COPPER & GOLD	P	11/20/09	09/21/12
j	50 SHS FREEPORT MCMORAN COPPER & GOLD	P	12/04/09	09/21/12
k	150 SHS FREEPORT MCMORAN COPPER & GOLD	P	04/07/10	09/21/12
l	15 SHS GOOGLE INC CL A	P	06/22/09	09/21/12
m	100 SHS HARBOR INTL FUND	P	06/22/09	09/21/12
n	50 SHS HARBOR INTL FUND	P	07/31/09	09/21/12
o	50 SHS HARBOR INTL FUND	P	12/04/09	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	919.	805.	114.
b	367.	333.	34.
c	183.	278.	-95.
d	367.	544.	-177.
e	3,680.	2,772.	908.
f	2,694.	1,625.	1,069.
g	2,694.	1,611.	1,083.
h	1,078.	708.	370.
i	2,032.	2,113.	-81.
j	2,032.	1,994.	38.
k	6,096.	6,454.	-358.
l	10,993.	6,056.	4,937.
m	6,037.	4,144.	1,893.
n	3,018.	2,408.	610.
o	3,018.	2,808.	210.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			114.
b			34.
c			-95.
d			-177.
e			908.
f			1,069.
g			1,083.
h			370.
i			-81.
j			38.
k			-358.
l			4,937.
m			1,893.
n			610.
o			210.

2 Capital gain net income or (net capital loss) - { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2.63600 SHS HARBOR INTL FUND	P	12/18/09	09/21/12
b	68.25300 SHS HARBOR INTL FUND	P	02/25/10	09/21/12
c	40 SHS HARBOR INTL FUND	P	04/05/10	09/21/12
d	29.11100 SHS HARBOR INTL FUND	P	04/07/10	09/21/12
e	250 SHS HARBOR INTL FUND	P	10/05/10	09/21/12
f	8.69500 SHS HARBOR INTL FUND	P	12/17/10	09/21/12
g	115.00 SHS HARBOR INTL FUND	P	12/22/10	09/21/12
h	18.534 SHS HARBOR INTL FUND	P	03/19/12	09/21/12
i	10 SHS HEALTH CARE REIT, INC	P	03/19/12	09/21/12
j	770 SHS HEALTH CARE REIT INC PFD SER	P	06/01/12	09/21/12
k	20 SHS HJ HEINZ CO	P	05/02/11	09/21/12
l	15 SHS HJ HEINZ CO	P	03/19/12	09/21/12
m	15 SHS HESS CORP COM	P	05/02/11	09/21/12
n	100 SHS INTEL CORP	P	06/22/09	09/21/12
o	50 SHS INTEL CORP	P	10/20/09	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 159.		141.	18.
b 4,120.		3,500.	620.
c 2,415.		2,250.	165.
d 1,757.		1,622.	135.
e 15,092.		14,445.	647.
f 525.		514.	11.
g 6,942.		6,900.	42.
h 1,119.		938.	181.
i 574.		551.	23.
j 20,677.		20,151.	526.
k 1,125.		1,028.	97.
l 844.		802.	42.
m 833.		1,272.	-439.
n 2,304.		1,580.	724.
o 1,152.		1,017.	135.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			18.
b			620.
c			165.
d			135.
e			647.
f			11.
g			42.
h			181.
i			23.
j			526.
k			97.
l			42.
m			-439.
n			724.
o			135.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 SHS INTEL CORP	P	11/20/09	09/21/12
b	25 SHS INTEL CORP	P	12/04/09	09/21/12
c	10 SHS INTEL CORP	P	06/03/10	09/21/12
d	20 SHS INTEL CORP	P	12/22/10	09/21/12
e	5 SHS INTEL CORP	P	03/22/11	09/21/12
f	15 SHS INTEL CORP	P	03/19/12	09/21/12
g	25 SHS INTERCONTINENTAL EXCHANGE INC	P	04/05/10	09/21/12
h	5 SHS INTERCONTINENTAL EXCHANGE INC	P	12/22/10	09/21/12
i	110 SHS ISHARES INC MSCI CDA INDEX FD	P	12/22/10	09/21/12
j	35 SHS ISHARES INC MSCI CDA INDEX FD	P	03/22/11	09/21/12
k	120 SHS ISHARES INC MSCI CDA INDEX FD	P	05/02/11	09/21/12
l	30 SHS ISHARES INC MSCI CDA INDEX FD	P	03/19/12	09/21/12
m	310 SHS ISHARES INC MSCI PACIFIC EX	P	10/05/10	09/21/12
n	10 SHS ISHARES INC MSCI PACIFIC EX	P	02/22/10	09/21/12
o	10 SHS ISHARES INC MSCI PACIFIC EX	P	03/22/11	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	576.	482.	94.
b	576.	514.	62.
c	230.	218.	12.
d	461.	418.	43.
e	115.	101.	14.
f	346.	419.	-73.
g	3,449.	2,768.	681.
h	690.	602.	88.
i	3,181.	3,341.	-160.
j	1,012.	1,162.	-150.
k	3,470.	4,091.	-621.
l	868.	867.	1.
m	13,979.	14,062.	-83.
n	451.	463.	-12.
o	451.	457.	-6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			94.
b			62.
c			12.
d			43.
e			14.
f			-73.
g			681.
h			88.
i			-160.
j			-150.
k			-621.
l			1.
m			-83.
n			-12.
o			-6.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	75 SHS ISHARES TR BARCLAYS TIPS BD FD	P	07/31/09	09/21/12
b	25 SHS ISHARES TR BARCLAYS TIPS BD FD	P	09/08/09	09/21/12
c	50 SHS ISHARES TR BARCLAYS TIPS BD FD	P	01/05/10	09/21/12
d	50 SHS ISHARES TR 1 3 YR TREAS INDEX	P	06/01/12	09/21/12
e	20 SHS ISHARES S&P MIDCAP 400 GRWTH	P	03/19/12	09/21/12
f	100 SHS ISHARES TR CORE S&P SMALL-CAP ETF	P	07/31/09	09/21/12
g	50 SHS ISHARES TR CORE S&P SMALL-CAP ETF	P	11/20/09	09/21/12
h	100 SHS ISHARES TR CORE S&P SMALL-CAP ETF	P	10/05/10	09/21/12
i	200 SHS ISHARES TR S&P US P FD STK INDEX FUND	P	04/14/10	09/21/12
j	150 SHS ISHARES TR S&P US P FD STK INDEX FUND	P	07/13/10	09/21/12
k	50 SHS JP MORGAN CHASE & CO	P	06/22/09	09/21/12
l	50 SHS JP MORGAN CHASE & CO	P	07/31/09	09/21/12
m	25 SHS JP MORGAN CHASE & CO	P	11/20/09	09/21/12
n	25 SHS JP MORGAN CHASE & CO	P	12/04/09	09/21/12
o	5 SHS JP MORGAN CHASE & CO	P	12/22/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,099.		7,560.	1,539.
b 3,033.		2,544.	489.
c 6,066.		5,227.	839.
d 4,221.		4,225.	-4.
e 2,278.		2,276.	2.
f 7,892.		4,925.	2,967.
g 3,946.		2,551.	1,395.
h 7,892.		6,040.	1,852.
i 7,975.		7,776.	199.
j 5,981.		5,679.	302.
k 2,044.		1,684.	360.
l 2,044.		1,928.	116.
m 1,022.		1,065.	-43.
n 1,022.		1,052.	-30.
o 204.		211.	-7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,539.
b			489.
c			839.
d			-4.
e			2.
f			2,967.
g			1,395.
h			1,852.
i			199.
j			302.
k			360.
l			116.
m			-43.
n			-30.
o			-7.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 SHS JP MORGAN CHASE & CO	P	03/19/12	09/21/12
b	865 SHS PERKINS MID CAP VALU EFUND CLASS I	P	10/05/10	09/24/12
c	7.82600 SHS PERKINS MID CAP VALU EFUND CLASS I	P	12/20/10	09/24/12
d	115.000 SHS PERKINS MID CAP VALU EFUND CLASS I	P	12/22/10	09/24/12
e	17.24200 SHS PERKINS MID CAP VALU EFUND CLASS I	P	12/22/11	09/24/12
f	72.9200 SHS PERKINS MID CAP VALU EFUND CLASS I	P	12/22/11	09/24/12
g	130 SHS JOHN HANCOCK DISCIPLINED VALUE	P	03/19/12	09/21/12
h	40 SHS JUNIPER NETWORKS INC COM	P	12/22/10	09/21/12
i	5 SHS JUNIPER NETWORKS INC COM	P	03/22/11	09/21/12
j	15 SHS JUNIPER NETWORKS INC COM	P	05/02/11	09/21/12
k	50 SHS KBR INC COM	P	06/22/09	09/21/12
l	25 SHS KBR INC COM	P	07/31/09	09/21/12
m	25 SHS KBR INC COM	P	11/20/09	09/21/12
n	25 SHS KBR INC COM	P	12/04/09	09/21/12
o	20 SHS KBR INC COM	P	04/05/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 409.		450.	-41.
b 19,269.		18,113.	1,156.
c 174.		174.	0.
d 2,562.		2,589.	-27.
e 384.		348.	36.
f 1,624.		1,473.	151.
g 1,679.		1,669.	10.
h 736.		1,516.	-780.
i 92.		196.	-104.
j 276.		566.	-290.
k 1,550.		875.	675.
l 775.		516.	259.
m 775.		471.	304.
n 775.		462.	313.
o 620.		457.	163.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-41.
b			1,156.
c			0.
d			-27.
e			36.
f			151.
g			10.
h			-780.
i			-104.
j			-290.
k			675.
l			259.
m			304.
n			313.
o			163.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 SHS KBR INC COM	P	04/07/10	09/21/12
b	15 SHS KBR INC COM	P	12/22/10	09/21/12
c	5 SHS KBR INC COM	P	03/22/11	09/21/12
d	10 SHS KBR INC COM	P	05/02/11	09/21/12
e	500 SHS MFS RESEARCH INTERNATIONAL FUND	P	10/20/09	09/21/12
f	100 SHS MFS RESEARCH INTERNATIONAL FUND	P	11/20/09	09/21/12
g	12.02600 SHS MFS RESEARCH INTERNATIONAL FUND	P	12/10/09	09/21/12
h	87.97400 SHS MFS RESEARCH INTERNATIONAL FUND	P	04/05/10	09/21/12
i	100 SHS MFS RESEARCH INTERNATIONAL FUND	P	04/07/10	09/21/12
j	379.05200 SHS MFS RESEARCH INTERNATIONAL FUND	P	10/05/10	09/21/12
k	25.36600 SHS MFS RESEARCH INTERNATIONAL FUND	P	12/08/11	09/21/12
l	5 SHS MASTERCARD INC CL A COM	P	03/19/12	09/21/12
m	15 SHS MCCORMICK & CO INC NON VOTING	P	03/22/11	09/21/12
n	20 SHS MCCORMICK & CO INC NON VOTING	P	05/02/11	09/21/12
o	5 SHS MCCORMICK & CO INC NON VOTING	P	03/19/12	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 775.		567.	208.
b 465.		443.	22.
c 155.		183.	-28.
d 310.		386.	-76.
e 7,734.		7,370.	364.
f 1,547.		1,443.	104.
g 186.		171.	15.
h 1,361.		1,288.	73.
i 1,547.		1,456.	91.
j 5,863.		5,682.	181.
k 392.		349.	43.
l 2,289.		2,130.	159.
m 951.		736.	215.
n 1,267.		982.	285.
o 317.		260.	57.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			208.
b			22.
c			-28.
d			-76.
e			364.
f			104.
g			15.
h			73.
i			91.
j			181.
k			43.
l			159.
m			215.
n			285.
o			57.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 SHS MCDONALDS CORPORATION	P	03/19/12	09/21/12
b	10 SHS MCKESSON CORP COM	P	03/22/11	09/21/12
c	10 SHS MCKESSON CORP COM	P	05/02/11	09/21/12
d	50 SHS MEDTRONIC INC	P	10/20/09	09/21/12
e	25 SHS MEDTRONIC INC	P	11/22/09	09/21/12
f	25 SHS MEDTRONIC INC	P	12/04/09	09/21/12
g	15 SHS MEDTRONIC INC	P	04/07/10	09/21/12
h	5 SHS MEDTRONIC INC	P	12/22/10	09/21/12
i	5 SHS MEDTRONIC INC	P	05/02/11	09/21/12
j	80 SHS METLIFE INC COM	P	10/05/10	09/21/12
k	10 SHS METLIFE INC COM	P	03/19/12	09/21/12
l	35 SHS MONSANTO CO	P	06/22/09	09/21/12
m	5 SHS MONSANTO CO	P	03/19/12	09/21/12
n	20 SHS MURPHY OIL CORP	P	03/19/12	09/21/12
o	40 SHS NEW YORK CMNTY BANCORP INC COM	P	03/19/12	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,407.		1,466.	-59.
b 866.		789.	77.
c 866.		831.	35.
d 2,164.		1,840.	324.
e 1,082.		988.	94.
f 1,082.		1,082.	0.
g 649.		677.	-28.
h 216.		184.	32.
i 216.		212.	4.
j 2,798.		3,194.	-396.
k 350.		391.	-41.
l 3,181.		2,764.	417.
m 454.		401.	53.
n 1,104.		1,197.	-93.
o 550.		555.	-5.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-59.
b			77.
c			35.
d			324.
e			94.
f			0.
g			-28.
h			32.
i			4.
j			-396.
k			-41.
l			417.
m			53.
n			-93.
o			-5.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	65 SHS NEXTERA ENERGY INC	P	10/05/10	09/21/12
b	10 SHS NEXTERA ENERGY INC	P	03/19/12	09/21/12
c	30 SHS NIKE INC CL-B	P	03/19/12	09/21/12
d	50 SHS OCCIDENTAL PETROLEUM CORP	P	05/06/10	09/21/12
e	25 SHS OCCIDENTAL PETROLEUM CORP	P	06/03/10	09/21/12
f	100 SHS ORACLE CORP COM	P	06/22/09	09/21/12
g	50 SHS ORACLE CORP COM	P	10/20/09	09/21/12
h	25 SHS ORACLE CORP COM	P	11/20/09	09/21/12
i	25 SHS ORACLE CORP COM	P	12/04/09	09/21/12
j	70 SHS ORACLE CORP COM	P	04/05/10	09/21/12
k	35 SHS ORACLE CORP COM	P	06/03/10	09/21/12
l	5 SHS ORACLE CORP COM	P	03/21/11	09/21/12
m	50 SHS PEABODY ENERGY CORP COM	P	06/22/09	09/21/12
n	65 SHS PEABODY ENERGY CORP COM	P	12/04/09	09/21/12
o	20 SHS PHILLIP MORRIS INTL INC COM	P	03/19/12	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,460.	3,532.	928.
b	686.	602.	84.
c	2,893.	3,374.	-481.
d	4,375.	4,150.	225.
e	2,188.	2,022.	166.
f	3,260.	2,035.	1,225.
g	1,630.	1,118.	512.
h	815.	566.	249.
i	815.	580.	235.
j	2,282.	1,819.	463.
k	1,141.	806.	335.
l	163.	157.	6.
m	1,196.	1,438.	-242.
n	1,555.	2,837.	-1,282.
o	1,849.	1,726.	123.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			928.
b			84.
c			-481.
d			225.
e			166.
f			1,225.
g			512.
h			249.
i			235.
j			463.
k			335.
l			6.
m			-242.
n			-1,282.
o			123.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 SHS PHILLIPS 66	P	03/19/12	09/21/12
b	14.71100 SHS PIMCO COMMODITY REAL RETURN	P	03/18/10	09/21/12
c	283.94000 SHS PIMCO COMMODITY REAL RETURN	P	04/05/10	09/21/12
d	990.94800 SHS PIMCO COMMODITY REAL RETURN	P	04/07/10	09/21/12
e	295.32800 SHS PIMCO COMMODITY REAL RETURN	P	06/16/10	09/21/12
f	51.12400 SHS PIMCO COMMODITY REAL RETURN	P	06/17/10	09/21/12
g	55.84300 SHS PIMCO COMMODITY REAL RETURN	P	09/16/10	09/21/12
h	36.49100 SHS PIMCO COMMODITY REAL RETURN	P	12/31/10	09/21/12
i	47.24900 SHS PIMCO COMMODITY REAL RETURN	P	03/17/11	09/21/12
j	94.657 SHS PIMCO COMMODITY REAL RETURN	P	06/16/11	09/21/12
k	94.10800 SHS PIMCO COMMODITY REAL RETURN	P	09/15/11	09/21/12
l	8.97400 SHS PIMCO COMMODITY REAL RETURN	P	12/07/11	09/21/12
m	37.71100 SHS PIMCO COMMODITY REAL RETURN	P	12/07/11	09/21/12
n	258.42000 SHS PIMCO COMMODITY REAL RETURN	P	12/28/11	09/21/12
o	19.93300 SHS PIMCO COMMODITY REAL RETURN	P	03/22/12	09/21/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	468.		358.	110.
b	104.		117.	-13.
c	2,011.		2,273.	-262.
d	7,019.		7,962.	-943.
e	2,092.		2,277.	-185.
f	362.		385.	-23.
g	396.		445.	-49.
h	258.		339.	-81.
i	335.		441.	-106.
j	671.		840.	-169.
k	667.		795.	-128.
l	64.		68.	-4.
m	267.		286.	-19.
n	1,831.		1,687.	144.
o	141.		134.	7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			110.
b			-13.
c			-262.
d			-943.
e			-185.
f			-23.
g			-49.
h			-81.
i			-106.
j			-169.
k			-128.
l			-4.
m			-19.
n			144.
o			7.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	}	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15.65300 SHS PIMCO COMMODITY REAL RETURN	P	06/21/12	09/21/12
b	0.00200 SHS PIMCO COMMODITY REAL RETURN	P	06/21/12	09/24/12
c	10 SHS PRAXAIR INC	P	03/19/12	09/21/12
d	50 SHS PRICE TROWE GROUP I INC	P	06/22/09	09/21/12
e	30 SHS PRICE TROWE GROUP I INC	P	07/31/09	09/21/12
f	5 SHS PRICELINE COM INC	P	10/05/10	09/21/12
g	50 SHS QUALCOMM INC	P	06/22/09	09/21/12
h	25 SHS QUALCOMM INC	P	11/20/09	09/21/12
i	25 SHS QUALCOMM INC	P	04/05/10	09/21/12
j	25 SHS QUALCOMM INC	P	04/07/10	09/21/12
k	95 SHS QUALCOMM INC	P	05/26/11	09/21/12
l	50 SHS QUANTA SVCS INC COM	P	06/22/09	09/21/12
m	5 SHS QUANTA SVCS INC COM	P	07/31/09	09/21/12
n	25 SHS QUANTA SVCS INC COM	P	10/20/09	09/21/12
o	25 SHS QUANTA SVCS INC COM	P	04/05/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 111.		95.	16.
b			0.
c 1,080.		1,115.	-35.
d 3,216.		2,001.	1,215.
e 1,930.		1,405.	525.
f 3,187.		1,713.	1,474.
g 3,211.		2,225.	986.
h 1,605.		1,128.	477.
i 1,605.		1,062.	543.
j 1,605.		1,071.	534.
k 6,100.		5,440.	660.
l 1,242.		1,089.	153.
m 124.		118.	6.
n 621.		563.	58.
o 621.		493.	128.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			16.
b			0.
c			-35.
d			1,215.
e			525.
f			1,474.
g			986.
h			477.
i			543.
j			534.
k			660.
l			153.
m			6.
n			58.
o			128.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25 SHS QUANTA SVCS INC COM	P	04/07/10	09/21/12
b	1059.675 SHS T ROWE PRICE EMERGING MARKETS	P	01/01/99	09/21/12
c	125 SHS ROYCE PREMIER FUND	P	07/31/09	09/21/12
d	1.69700 SHS ROYCE PREMIER FUND	P	12/09/10	09/21/12
e	95 SHS ROYCE PREMIER FUND	P	03/22/11	09/21/12
f	105 SHS ROYCE PREMIER FUND	P	05/02/11	09/21/12
g	0.94900 SHS ROYCE PREMIER FUND	P	12/08/11	09/21/12
h	28.26000 SHS ROYCE PREMIER FUND	P	12/08/11	09/21/12
i	105 SHS ROYCE SPECIAL EQUITY FD	P	03/19/12	09/21/12
j	40 SHS SPDR TR GOLD	P	07/31/09	09/21/12
k	40 SHS SPDR TR GOLD TRUST SALE	P	01/01/99	09/12/12
l	50 SHS HENRY SCHEIN INC	P	10/20/09	09/21/12
m	10 SHS HENRY SCHEIN INC	P	04/07/10	09/21/12
n	5 SHS HENRY SCHEIN INC	P	06/03/10	09/21/12
o	10 SHS HENRY SCHEIN INC	P	12/22/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 621.		496.	125.
b 34,181.		33,369.	812.
c 2,515.		1,818.	697.
d 34.		34.	0.
e 1,911.		2,048.	-137.
f 2,112.		2,381.	-269.
g 19.		17.	2.
h 569.		520.	49.
i 2,344.		2,291.	53.
j 6,878.		3,700.	3,178.
k 2.			2.
l 3,998.		2,813.	1,185.
m 800.		595.	205.
n 400.		287.	113.
o 800.		621.	179.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			125.
b			812.
c			697.
d			0.
e			-137.
f			-269.
g			2.
h			49.
i			53.
j			3,178.
k			2.
l			1,185.
m			205.
n			113.
o			179.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 SHS HENRY SCHEIN INC	P	03/22/11	09/21/12
b	50 SHS SCHLUMBERGER LTD	P	06/22/09	09/21/12
c	30 SHS SCHLUMBERGER LTD	P	10/20/09	09/21/12
d	25 SHS SCHLUMBERGER LTD	P	04/05/10	09/21/12
e	15 SHS SCHLUMBERGER LTD	P	06/03/10	09/21/12
f	40 SHS J M SMUCKER CO	P	10/05/10	09/21/12
g	5 SHS J M SMUCKER CO	P	03/22/11	09/21/12
h	10 SHS J M SMUCKER CO	P	03/19/12	09/21/12
i	25 SHS STARBUCKS CORP	P	03/19/12	09/21/12
j	25 SHS SUNCOR ENERGY INC	P	03/22/11	09/21/12
k	30 SHS SUNCOR ENERGY INC	P	05/02/11	09/21/12
l	25 SHS TARGET CORP	P	03/19/12	09/21/12
m	500 SHS TEMPLETON GLOBAL BOND FUND ADV	P	11/23/09	09/21/12
n	1.89100 SHS TEMPLETON GLOBAL BOND FUND ADV	P	12/17/09	09/21/12
o	500 SHS TEMPLETON GLOBAL BOND FUND ADV	P	01/05/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 400.		335.	65.
b 3,741.		2,668.	1,073.
c 2,245.		2,109.	136.
d 1,871.		1,684.	187.
e 1,122.		849.	273.
f 3,450.		2,462.	988.
g 431.		359.	72.
h 862.		785.	77.
i 1,276.		1,336.	-60.
j 851.		1,141.	-290.
k 1,021.		1,400.	-379.
l 1,634.		1,459.	175.
m 6,657.		6,355.	302.
n 25.		24.	1.
o 6,657.		6,420.	237.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			65.
b			1,073.
c			136.
d			187.
e			273.
f			988.
g			72.
h			77.
i			-60.
j			-290.
k			-379.
l			175.
m			302.
n			1.
o			237.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3.70100 SHS TEMPLETON GLOBAL BOND FUND ADV	P	01/20/10	09/21/12
b	3.71000 SHS TEMPLETON GLOBAL BOND FUND ADV	P	02/18/10	09/21/12
c	3.66000 SHS TEMPLETON GLOBAL BOND FUND ADV	P	03/17/10	09/21/12
d	3.57000 SHS TEMPLETON GLOBAL BOND FUND ADV	P	04/19/10	09/21/12
e	592.15400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	04/02/10	09/21/12
f	6.43200 SHS TEMPLETON GLOBAL BOND FUND ADV	P	05/19/10	09/21/12
g	6.54000 SHS TEMPLETON GLOBAL BOND FUND ADV	P	06/10/10	09/21/12
h	328.33300 SHS TEMPLETON GLOBAL BOND FUND ADV	P	07/13/10	09/21/12
i	7.90800 SHS TEMPLETON GLOBAL BOND FUND ADV	P	07/19/10	09/21/12
j	7.74400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	08/20/10	09/21/12
k	7.70200 SHS TEMPLETON GLOBAL BOND FUND ADV	P	09/17/10	09/21/12
l	7.57500 SHS TEMPLETON GLOBAL BOND FUND ADV	P	10/19/10	09/21/12
m	7.71900 SHS TEMPLETON GLOBAL BOND FUND ADV	P	11/17/10	09/21/12
n	19.60400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	12/17/10	09/21/12
o	7.86200 SHS TEMPLETON GLOBAL BOND FUND ADV	P	12/17/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	49.	48.	1.
b	49.	48.	1.
c	49.	48.	1.
d	48.	48.	0.
e	7,884.	8,000.	-116.
f	86.	85.	1.
g	87.	85.	2.
h	4,371.	4,291.	80.
i	105.	103.	2.
j	103.	103.	0.
k	103.	104.	-1.
l	101.	104.	-3.
m	103.	105.	-2.
n	261.	262.	-1.
o	105.	105.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1.
b			1.
c			1.
d			0.
e			-116.
f			1.
g			2.
h			80.
i			2.
j			0.
k			-1.
l			-3.
m			-2.
n			-1.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a	7.89400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	01/20/11	09/21/12
b	7.88600 SHS TEMPLETON GLOBAL BOND FUND ADV	P	02/17/11	09/21/12
c	8.04000 SHS TEMPLETON GLOBAL BOND FUND ADV	P	03/17/11	09/21/12
d	7.77700 SHS TEMPLETON GLOBAL BOND FUND ADV	P	04/19/11	09/21/12
e	7.89300 SHS TEMPLETON GLOBAL BOND FUND ADV	P	05/18/11	09/21/12
f	7.88500 SHS TEMPLETON GLOBAL BOND FUND ADV	P	06/17/11	09/21/12
g	7.90200 SHS TEMPLETON GLOBAL BOND FUND ADV	P	07/19/11	09/21/12
h	8.00400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	08/17/11	09/21/12
i	8.27400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	09/19/11	09/21/12
j	8.50200 SHS TEMPLETON GLOBAL BOND FUND ADV	P	10/19/11	09/21/12
k	8.62400 SHS TEMPLETON GLOBAL BOND FUND ADV	P	11/17/11	09/21/12
l	9.04700 SHS TEMPLETON GLOBAL BOND FUND ADV	P	12/19/11	09/21/12
m	33.85600 SHS TEMPLETON GLOBAL BOND FUND ADV	P	12/19/11	09/21/12
n	13.56300 SHS TEMPLETON GLOBAL BOND FUND ADV	P	12/19/11	09/21/12
o	9.02200 SHS TEMPLETON GLOBAL BOND FUND ADV	P	01/19/12	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	105.	107.	-2.
b	105.	106.	-1.
c	107.	107.	0.
d	104.	108.	-4.
e	105.	109.	-4.
f	105.	109.	-4.
g	105.	109.	-4.
h	107.	110.	-3.
i	110.	110.	0.
j	113.	110.	3.
k	115.	110.	5.
l	120.	111.	9.
m	451.	415.	36.
n	181.	166.	15.
o	120.	114.	6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-2.
b			-1.
c			0.
d			-4.
e			-4.
f			-4.
g			-4.
h			-3.
i			0.
j			3.
k			5.
l			9.
m			36.
n			15.
o			6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE MILLMAN-HARRIS-ROMANO FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8.70100 SHS TEMPLETON GLOBAL BOND FUND ADV	P	02/17/12	09/21/12
b	8.91600 SHS TEMPLETON GLOBAL BOND FUND ADV	P	04/18/12	09/21/12
c	32.65000 SHS TEMPLETON GLOBAL BOND FUND ADV	P	05/17/12	09/21/12
d	12.53600 SHS TEMPLETON GLOBAL BOND FUND ADV	P	09/19/12	09/21/12
e	50 SHS TEVA PHARMACEUTICAL INDS ADR LTD	P	06/22/09	09/21/12
f	25 SHS TEVA PHARMACEUTICAL INDS ADR LTD	P	07/31/09	09/21/12
g	25 SHS TEVA PHARMACEUTICAL INDS ADR LTD	P	10/20/09	09/21/12
h	20 SHS TEVA PHARMACEUTICAL INDS ADR LTD	P	04/04/10	09/21/12
i	10 SHS TEVA PHARMACEUTICAL INDS ADR LTD	P	12/22/10	09/21/12
j	5 SHS TEVA PHARMACEUTICAL INDS ADR LTD	P	05/02/11	09/21/12
k	50 SHS THERMO FISHER SCIENTIFIC INC	P	06/22/09	09/21/12
l	50 SHS THERMO FISHER SCIENTIFIC INC	P	10/20/09	09/21/12
m	25 SHS THERMO FISHER SCIENTIFIC INC	P	04/07/10	09/21/12
n	385 SHS US BANCORP DEL DEPOSITARY	P	06/12/12	09/21/12
o	70 SHS VMWARE INC CL A COM	P	06/03/10	09/21/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 116.		114.	2.
b 119.		115.	4.
c 435.		561.	-126.
d 167.		115.	52.
e 1,999.		2,339.	-340.
f 999.		1,318.	-319.
g 999.		1,288.	-289.
h 800.		1,269.	-469.
i 400.		513.	-113.
j 200.		237.	-37.
k 2,983.		2,002.	981.
l 2,983.		2,361.	622.
m 1,492.		1,284.	208.
n 10,722.		10,052.	670.
o 7,013.		4,864.	2,149.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2.
b			4.
c			-126.
d			52.
e			-340.
f			-319.
g			-289.
h			-469.
i			-113.
j			-37.
k			981.
l			622.
m			208.
n			670.
o			2,149.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50 SHS XILINX	P	06/22/09	09/21/12
b	50 SHS XILINX	P	10/20/09	09/21/12
c	25 SHS XILINX	P	11/20/09	09/21/12
d	20 SHS XILINX	P	12/04/09	09/21/12
e	50 SHS ZIONS BANCORP COM	P	04/05/10	09/21/12
f	25 SHS ZIONS BANCORP COM	P	04/07/10	09/21/12
g	80 SHS ZIONS BANCORP COM	P	06/03/10	09/21/12
h	25 SHS ZIONS BANCORP COM	P	12/22/10	09/21/12
i	10 SHS ZIONS BANCORP COM	P	03/22/11	09/21/12
j	125 SHS ZION CAP TR B CAP SECS 8% CALLABLE	P	03/19/10	09/21/12
k	225 SHS ZION CAP TR B CAP SECS 8% CALLABLE	P	03/22/10	09/21/12
l	700 SHS ZION CAP TR B CAP SECS 8% CALLABLE	P	04/07/10	09/21/12
m	CAPITAL GAINS DIVIDENDS			
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,744.		994.	750.
b 1,744.		1,174.	570.
c 872.		557.	315.
d 698.		470.	228.
e 1,042.		1,151.	-109.
f 521.		599.	-78.
g 1,667.		1,923.	-256.
h 521.		593.	-72.
i 208.		234.	-26.
j 3,249.		3,049.	200.
k 5,849.		5,519.	330.
l 18,196.		17,297.	899.
m 14.			14.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			750.
b			570.
c			315.
d			228.
e			-109.
f			-78.
g			-256.
h			-72.
i			-26.
j			200.
k			330.
l			899.
m			14.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	89,913.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets	7,300.
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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
FIRST MANHATTAN CO	1,540.
KEY BANK	906.
KEY BANK	672.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	3,118.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FIRST MANHATTAN CO	3,009.	0.	3,009.
IBM	3,881.	0.	3,881.
KEY - FOREIGN DIVIDENDS	1,557.	0.	1,557.
KEY -US INTEREST AS DIVIDEND	386.	0.	386.
KEY BANK	7,617.	0.	7,617.
KEY BANK CAP GAIN DIST	14.	14.	0.
TOTAL TO FM 990-PF, PART I, LN 4	16,464.	14.	16,450.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	3,600.	0.		3,600.
TO FORM 990-PF, PG 1, LN 16B	3,600.	0.		3,600.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE CONTRACTORS	540.	0.		540.
TO FORM 990-PF, PG 1, LN 16C	540.	0.		540.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAX	1,217.	182.		1,035.
FOREIGN TAX WITHHELD	191.	191.		0.
2011 TAX ON 990PF	213.	213.		0.
TO FORM 990-PF, PG 1, LN 18	1,621.	586.		1,035.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	8,637.	8,637.		0.
TELEPHONE	2,467.	0.		2,467.
ANNUAL REPORT	250.	0.		250.
OFFICE EXPENSES	246.	0.		246.
ADVERTISING EXPENSE	210.	0.		210.
DUES & SUBSCRIPTIONS	123.	0.		123.
TO FORM 990-PF, PG 1, LN 23	11,933.	8,637.		3,296.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK NA PORTFOLIO	X		0.	0.
FIRST MANHATTAN PORTFOLIO	X		51,942.	59,273.
TOTAL U.S. GOVERNMENT OBLIGATIONS			51,942.	59,273.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			51,942.	59,273.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
IBM	100,829.	229,580.
KEY BANK NA PORTFOLIO	0.	0.
FIRST MANHATTAN PORTFOLIO	647,711.	668,689.
TOTAL TO FORM 990-PF, PART II, LINE 10B	748,540.	898,269.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK NA PORTFOLIO	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10C	0.	0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
POWERSHARES DB COMMODITY INDEX	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		0.	0.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 11
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL LIABILITIES	2,563.	399.
TOTAL TO FORM 990-PF, PART II, LINE 22	2,563.	399.

THE MILLMAN-HARRIS-ROMANO FOUNDATION

EIN# 14-1833603

FORM 990PF –2012 AMENDED ATTACHMENT

Amended return prepared to properly allocate expenses to net investment income and to disbursements for charitable purposes. Changes made on the amended return:

Part I: Expense allocation

Part V: Report corrected amount of qualifying distributions

Part VI: Recalculated tax and refund

Part XII: #2 Amounts paid to acquire assets used

Part XIII: Change undistributed income for 2012 and excess distributions from 2012 to carryover to 2013.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE MILLMAN-HARRIS-ROMANO FOUNDATION	Employer identification number (EIN) or 14-1833603
	Number, street, and room or suite no. If a P.O. box, see instructions. 10 CIRCULAR RD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. POUGHKEEPSIE, NY 12601	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE MILLMAN HARRIS ROMANO FDN.

- The books are in the care of ► **10 CIRCULAR ROAD - POUGHKEEPSIE, NY 12601**

Telephone No. ► **(845) 452-6343**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ **X** calendar year **2012** or
► ☐ tax year beginning , and ending .

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,013.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	2,013.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2013)