Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , **and ending**

Name of foundation
PECKHAM FAMILY FOUNDATION
C/O JOHN R. PECKHAM

A Employer identification number
14-1814765

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
29 OLD ASPETONG ROAD

B Telephone number
(914)949-2000

City or town, state, and ZIP code
KATONAH, NY 10536

C If exemption application is pending, check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☒

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,312,701.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	498,829.			
	2	Check ▶☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	46,150.	46,150.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	133,691.			
	b	Gross sales price for all assets on line 6a 2,020,192.				
	7	Capital gain net income (from Part IV, line 2)		133,691.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	678,670.	179,841.	0.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees				
	c	Other professional fees				
	17	Interest				
	18	Taxes STMT 2	1,696.	0.	0.	0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 3	9,547.	3,250.	0.	0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	11,243.	3,250.	0.	0.
	25	Contributions, gifts, grants paid	396,878.			396,878.
	26	**Total expenses and disbursements.** Add lines 24 and 25	408,121.	3,250.	0.	396,878.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	270,549.			
	b	**Net investment income** (if negative, enter -0-)		176,591.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

8a

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	224,704.	64,344.	64,344.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 4	1,716,188.	2,126,612.	2,207,387.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶ STATEMENT 5)	20,485.	40,970.	40,970.
	16	**Total assets** (to be completed by all filers)	1,961,377.	2,231,926.	2,312,701.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,961,377.	2,231,926.	
	30	**Total net assets or fund balances**	1,961,377.	2,231,926.	
	31	**Total liabilities and net assets/fund balances**	1,961,377.	2,231,926.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,961,377.
2	Enter amount from Part I, line 27a	2	270,549.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,231,926.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,231,926.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	JP MORGAN A/C A00849009 - SEE ATTACHMENT	P		12/31/12
b	JP MORGAN A/C A00849009 - SEE ATTACHMENT	P		12/31/12
c	JP MORGAN A/C A00849009 - SEE ATTACHMENT	P		12/31/12
d	JP MORGAN A/C Q38914-00-0 - SEE ATTACHMENT	P		12/31/12
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	187,059.		180,559.	6,500.
b	419,312.		418,344.	968.
c	1,134,743.		1,022,598.	112,145.
d	279,078.		265,000.	14,078.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				6,500.
b				968.
c				112,145.
d				14,078.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 133,691.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	304,811.	1,972,266.	.154549
2010	344,830.	1,689,001.	.204162
2009	323,660.	1,443,736.	.224182
2008	372,760.	1,439,996.	.258862
2007	422,086.	1,472,066.	.286730

2	**Total** of line 1, column (d)	2	1.128485
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.225697
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,211,901.
5	Multiply line 4 by line 3	5	499,219.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,766.
7	Add lines 5 and 6	7	500,985.
8	Enter qualifying distributions from Part XII, line 4	8	396,878.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

PECKHAM FAMILY FOUNDATION

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	3,532.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	3,532.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	3,532.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,760.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,760.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	1,772.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 6	10	X	

Form **990-PF** (2012)

223531
12-05-12

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JOHN R. PECKHAM Telephone no. ▶ (914)949-2000

Located at ▶ 29 OLD ASPETING ROAD, KATONAH, NY ZIP+4 ▶ 10536

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a During the year did the foundation (either directly or indirectly):				
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
If "Yes," list the years ▶ ____, ____, ____, ____				
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2012 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN R. PECKHAM 29 OLD ASPETONG ROAD KATONAH, NY 10536	TRUSTEE 1.00	0.	0.	0.
AMY PECKHAM 29 OLD ASPETONG ROAD KATONAH, NY 10536	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2012)

223551
12-05-12

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	2,142,417.
b	Average of monthly cash balances		1b	103,168.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,245,585.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,245,585.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	33,684.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,211,901.
6	**Minimum investment return.** Enter 5% of line 5		6	110,595.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	110,595.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 3,532.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	3,532.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	107,063.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	107,063.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	107,063.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	396,878.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	396,878.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	396,878.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					107,063.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years: _____, _____, _____			0.		
3 Excess distributions carryover, if any, to 2012:					
a From 2007	352,038.				
b From 2008	301,418.				
c From 2009	251,816.				
d From 2010	260,824.				
e From 2011	207,937.				
f **Total** of lines 3a through e		1,374,033.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 396,878.					
a Applied to 2011, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2012 distributable amount					107,063.
e Remaining amount distributed out of corpus		289,815.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,663,848.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7		352,038.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		1,311,810.			
10 Analysis of line 9:					
a Excess from 2008	301,418.				
b Excess from 2009	251,816.				
c Excess from 2010	260,824.				
d Excess from 2011	207,937.				
e Excess from 2012	289,815.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. *Subtract line 2d from line 2c*					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test - enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE OF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	SEE ATTACHED	SEE ATTACHED SCHEDULE OF GRANT	SEE ATTACHED SCHEDULE OF GRANTS AND CONTRIBUTIONS PA	396,878.
Total			▶ 3a	396,878.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	46,150.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	133,691.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		179,841.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 179,841.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee

Date 5/7/13

▶ TRUSTEE Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARIGRACE AMATO	MAmato CPA	5/6/13		P01278056

Firm's name ▶ COHNREZNICK LLP

Firm's EIN ▶ 22-1478099

Firm's address ▶ 1311 MAMARONECK AVENUE
WHITE PLAINS, NY 10605

Phone no. (914) 684-2700

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization
PECKHAM FAMILY FOUNDATION
C/O JOHN R. PECKHAM

Employer identification number
14-1814765

Organization type (check one).

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2012)**

Name of organization	Employer identification number
PECKHAM FAMILY FOUNDATION C/O JOHN R. PECKHAM	14-1814765

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PECKHAM INDUSTRIES, INC. 20 HAARLEM AVENUE WHITE PLAINS, NY 10603	$ 498,829.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
PECKHAM FAMILY FOUNDATION C/O JOHN R. PECKHAM	14-1814765

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

PECKHAM FAMILY FOUNDATION
C/O JOHN R. PECKHAM

Employer identification number

14-1814765

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once) ▶ $____________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

PECKHAM FAMILY FOUNDATION **FEIN: 14-1814765**
FORM 990-PF
PAGE 11 PART XV, LINE 3A

Date	Number	Donee	Category	Amount
1/1/2012	1678	Jacob Burns Film Center	Arts	2,500
2/1/2012	1681	Public Theater	Arts	4,300
2/1/2012	1682	Dorset Theater Festival	Arts	1,000
2/1/2012	1687	Saratoga Performing Arts Center	Arts	2,500
2/1/2012	1689	New York City Ballet	Arts	3,680
3/1/2012	1693	Westchester Arts Council	Arts	5,000
4/1/2012	1704	Westchester Arts Council	Arts	2,100
4/1/2012	1708	Charles Wood Theater Foundation	Arts	1,000
4/1/2012	1709	Athens Cultural Arts Center	Arts	1,000
4/1/2012	1710	Adirondack Theater Festival	Arts	500
6/20/2012	1730	Emelin Theater	Arts	500
7/1/2012	1736	Metropolitan Opera	Arts	12,255
9/7/2012	1749	New York City Ballet	Arts	5,000
10/1/2012	1756	Hudson Valley Shakespeare Festival	Arts	2,500
10/1/2012	1759	Westchester Arts Council	Arts	7,000
10/9/2012	1761	Jacob Burns Film Center	Arts	1,000
12/1/2012	1769	Lincoln Center For The Performing Arts	Arts	2,300
12/1/2012	1770	Lincoln Center Theater	Arts	1,510
12/1/2012	1771	Metropolitan Opera Club	Arts	2,500
12/7/2012	1783	Greene County Council For The Arts	Arts	1,000
Total Arts				**59,145**
6/20/2012	1722	Friends Of Karen	Children	3,500
6/20/2012	1725	MVP Basketball Camps	Children	2,500
6/28/2012	1739	Double H. Ranch	Children	2,500
7/25/2012	1742	Green Chimneys	Children	3,500
8/24/2012	1746	Mt. Kisco Child Care Center	Children	500
Total Children				**12,500**
3/1/2012	1697	Fractured Atlas	Community	5,000
4/1/2012	1707	Pegasus Theraputic Riding	Community	3,500
5/1/2012	1713	Habitat For Humanity Of Greater Newburg	Community	1,000
6/20/2012	1723	Family Service Of Westchester	Community	3,000
6/20/2012	1727	Historic Hudson Valley	Community	1,500
6/20/2012	1733	Teatown Lake Reservation	Community	1,000
6/20/2012	1734	Cornell Co-Ooperative Extension	Community	750
6/20/2012	1735	Greene County Aging Services Foundation, Inc	Community	750
7/25/2012	1741	Andrus Children's Center	Community	3,500
8/24/2012	1747	Bennington Habitat For Humanity	Community	500
9/19/2012	1752	Greenwich Fire Department	Community	750
9/19/2012	1753	Frank Mucic / Cementon Historical Marker	Community	250
10/1/2012	1754	United Way Of Northern Westchest	Community	10,000
11/1/2012	1763	Katonah Ambulance Corp	Community	500
11/1/2012	1764	Katonah Village Library	Community	500
11/1/2012	1765	Bedford Fire Department	Community	500

PECKHAM FAMILY FOUNDATION FEIN: 14-1814765
FORM 990-PF
PAGE 11 PART XV, LINE 3A

Date	Number	Donee	Category	Amount
11/26/2012	1777	Dorset Library	Community	100
12/1/2012	1732	Capital District YMCA	Community	10,000
12/1/2012	1772	Shelburne Farms	Community	500
12/7/2012	1780	Athens Community Foundation	Community	3,500
12/7/2012	1781	Catskill Community Center	Community	2,000
Total Community				**49,100**
1/1/2012	1674	Tulane Educational Fund	Education	2,500
1/1/2012	1675	Hamilton College	Education	2,500
1/1/2012	1676	Oberlin College	Education	2,500
1/1/2012	1677	Kent State University	Education	1,000
2/1/2012	1680	The Masters School	Education	25,000
4/1/2012	1699	The Masters School	Education	5,000
4/1/2012	1706	Junior Achievement Of The Hudson Valley, Inc.	Education	2,000
5/1/2012	1714	Union College	Education	10,000
12/1/2012	1766	The Taft School	Education	5,000
12/1/2012	1767	The Masters School	Education	10,000
12/1/2012	1768	Union College	Education	5,000
12/6/2012	1779	AGC Education & Research Foundation	Education	500
12/20/2012	1785	The Masters School	Education	100,000
Total Education				**171,000**
3/1/2012	1695	Audubon New York	Environment	1,000
4/1/2012	1701	Wildlife Conservation Society	Environment	2,500
4/1/2012	1702	Bedford Audubon Society	Environment	250
6/1/2012	1719	Saw Mill River Audubon	Environment	250
Total Environment				**4,000**
9/1/2012	1748	Multiple Sclerosis Society	Health	500
9/19/2012	1751	Glens Falls Hospital Foundation	Health	1,000
10/1/2012	1755	Northern Westchester Hospital Center	Health	10,000
12/1/2012	1773	White Plains Hospital Center	Health	2,500
Total Health				**14,000**
10/1/2012	1757	Community Center	Hunger	5,000
10/1/2012	1758	Food Bank Of Westchester	Hunger	2,500
Total Hunger				**7,500**
1/27/2012	1690	AGC Charities, Inc	Industry	1,000
2/15/2012	1696	Construction Advancement Institute	Industry	1,200
3/1/2012	1694	AGC - Construction Career Days	Industry	2,000
3/1/2012	1698	St. Baldrick's Foundation	Industry	2,500
3/26/2012	1712	NAPAREF NAPA Care	Industry	5,000
5/17/2012	1718	Tomorrow's Hope Foundation	Industry	1,000
12/1/2012	1774	60 Pine Street Foundation	Industry	1,000

PECKHAM FAMILY FOUNDATION **FEIN: 14-1814765**
FORM 990-PF
PAGE 11 PART XV, LINE 3A

Date	Number	Donee	Category	Amount
Total Industry				**13,700**
3/1/2012	1691	New York Botanical Gardens	Museums	1,740
4/1/2012	1700	New York Botanical Gardens	Museums	3,000
4/1/2012	1705	Southern Vermont Arts Center	Museums	500
6/1/2012	1716	Holland Villate, Inc Dba New Netherland Museum	Museums	5,000
6/20/2012	1724	Katonah Museum Of Art	Museums	2,500
6/20/2012	1726	Wild Center	Museums	2,000
6/20/2012	1728	Adirondack Museum	Museums	1,000
7/1/2012	1731	Metropolitan Museum Of Art	Museums	4,338
7/1/2012	1737	Museum of Modern Art	Museums	1,155
7/25/2012	1743	FDR Presidential Library	Museums	5,000
12/7/2012	1782	Hyde Collection	Museums	1,000
Total Museums				**27,233**
2/1/2012	1688	Caramoor Center For Music	Music	1,000
3/1/2012	1692	Caramoor Center For Music	Music	10,750
4/1/2012	1703	New York Philharmonic	Music	6,000
4/1/2012	1711	Downtown Music At Grace	Music	1,000
6/20/2012	1729	Westchester Jazz Orchestra	Music	750
7/26/2012	1744	St. Anthony Church	Music	2,000
8/1/2012	1745	Caramoor Center For Music	Music	6,450
9/19/2012	1750	Westchester Philharmonic	Music	5,000
11/17/2012	1775	Westchester Oratorio	Music	250
12/7/2012	1784	Seagle Music Colony	Music	500
Total Music				**33,700**
1/5/2012	1679	Sloan Kettering Cancer Center	Rememberance	500
1/16/2012	1685	Mass General Development Office	Rememberance	500
1/16/2012	1684	American Heart Associaiton	Rememberance	250
5/10/2012	1717	Caldwell Presbyterian Chrch	Rememberance	250
6/5/2012	1720	Edward And Mary Doyle Community Assistance Fund	Rememberance	1,000
7/13/2012	1740	United Hospice Of Rockland	Rememberance	500
9/19/2012	1760	Pittstown Volunteer Emergency Corps	Rememberance	500
10/12/2012	1762	Whit Whit's Research Fund	Rememberance	500
11/22/2012	1776	Memorial Sloane-Kettering Cancer(2)	Rememberance	500
12/5/2012	1778	Rosary Hill Home	Rememberance	500
Total Rememberance				**5,000**
				396,878

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

PECKHAM FAMILY FDN
Account Number. A 00840-00-9

Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
BLACKROCK HIGH YIELD BOND	BHYI 091929638		01/30/12 03/09/12	2,551.643	19,749.72	19,418 00		331.72
DREYFUS/LAUREL FDS TR PRM EMRGN MK I	DDBI 261980494		01/13/12 03/13/12	522 837	7,560.23	6,979.88		580.35
HIGHBRIDGE DYNAMIC COMM STRG FD -SEL	HDCS 48121A670		01/13/12 03/09/12	454.443	8,311.76	7,789.15		522.61
ISHARES MSCI JAPAN INDEX FUND	EWJ 464286848		03/09/12 03/09/12	4,007.000	40,308.09	40,424.22		-116.13
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803		02/07/12 03/09/12	2,489.083	19,688.65	19,589.08		99.57
JPM TAX AWARE EQUITY FD - SEL	JPES 48121L577		02/07/12 03/09/12	1,045.523	19,948.58	19,457.18		491 40
JPM US REAL ESTATE FD - SEL FUND 3037	SUIE 4812C0613		01/13/12 03/09/12	2,312.583	39,683.92	37,648 85		2,035.07
MFS INTL VALUE-I	MINI 55273E822		01/13/12 03/09/12	656.136	17,335.11	16,075.32		1,259.79

PECKHAM FAMILY FDN
Account Number: A 00849-00-9

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
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Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND	PRAS 77956H500		01/13/12 04/12/12	918.905	14,472.75	13,177.10		1,295.65
Total Short-Term Covered					**187,058.81**	**180,558.78**		**6,500.03**

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Peckham Family Foundation
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Capital Gain and Loss Schedule

Short-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
COLUMBIA FDS SER TR II MASS ASIA PC JP R5	TAPR 19763P572		10/28/11 03/09/12	1,636.013	20,237.48	21,186.37		-948.89
DELAWARE EMERGING MARKETS FUND	DEMI 245914817		08/25/11 03/09/12	2,307.130	32,599.75	30,915.54		1,684.21
HIGHBRIDGE DYNAMIC COMM STRG FD -SEL	HDCS 48121A670		12/09/11 03/09/12	3,569.603	65,288.04	65,644.99		-356.95
JPM ASIA EQUITY FD - SEL FUND 1134	JPAS 4812A0706		10/28/11 03/09/12	1,238.615	39,536.59	40,242.60		-706.01
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803		10/28/11 03/09/12	2,399.201	18,977.68	19,001.67		-23.99
JPM INTL VALUE FD - SEL FUND 1296	JIES 4812A0565		10/28/11 03/09/12	5,866.720	72,747.33	75,094.02		-2,346.69
JPM INTL CURRENCY INCOME FD	JCIS 4812A3296		07/26/11 03/09/12	2,299.135	25,520.40	27,129.79		-1,609.39
JPM INTREPID GROWTH FD - SEL FUND 1202	JPGS 4812A2207		10/28/11 03/09/12	770.617	19,635.32	18,294.45		1,340.87
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		12/09/11 01/13/12	1,690.980	18,550.05	18,583.87		-33.82
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		12/09/11 03/09/12	8.745	96.11	96.11		.00

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Capital Gain and Loss Schedule

Short-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary income**
JPM TAX AWARE EQUITY FD - SEL	JPES 48121L577		08/19/11 03/09/12	1,182.273	22,557.77	18,301.59		4,256.18
MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND	EXWA 563821545		10/28/11 03/09/12	3,197.114	24,234.13	25,768 74		-1,534.61
MFS INTL VALUE-I	MINI 55273E822		12/09/11 03/09/12	875 549	23,132.01	21,915 00		1,217.01
PROFESSIONALLY MANAGED PORTFOLIOS THE OSTERWEIS FUND	OSTF 742935406		07/26/11 03/09/12	662.710	18,403.46	18,635 40		-231.94
VANGUARD FI SECS F INTR TRM CP PT FUND 71	VFIC 922031885		12/09/11 01/31/12	1,748.122	17,795.88	17,533.66		262.22
Total Short-Term Non Covered					**419,312.00**	**418,343.80**		**968.20**

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Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ARTIO INTERNATIONAL EQUITY II - I	JETI 04315J837		Various 03/09/12	897.159	9,590.63	11,067.94		-1,477.31
COLUMBIA FDS SER TR II MASS ASIA PC JP R5	TAPR 19763P572		08/13/09 03/09/12	1,329.053	16,440.39	14,539 84		1,900.55
CS BREN EAFE 2/15/12 (10%BUFFER-2XLEV-8.051%CAP-16.102%MAXRTRN) INITIAL LEVEL-1/28/11 :SX5E: 2954.13 UKX:5881.37 TPX:919 69	22546ES62		01/28/11 02/15/12	20,000.000	19,116.00	20,000 00		-884.00
DELAWARE EMERGING MARKETS FUND	DEMI 245914817		01/05/11 03/09/12	1,852.589	26,177.08	30,141.62		-3,964.54
DREYFUS/LAUREL FDS TR PRM EMRGN MK I	DDBI 261980494		04/23/10 03/09/12	1,169 190	16,941.56	16 567.42		374.14
DREYFUS/LAUREL FDS TR PRM EMRGN MK I	DDBI 261980494		04/23/10 03/13/12	1.810	26.17	25.65		.52
EATON VANCE MUT FDS TR FLT RT CL I	EIBL 277911491		Various 03/09/12	4,133 967	37,123 02	36,423 73		699.29
EATON VANCE MUT FDS TR GLOBAL MACRO - I	EIGM 277923728		Various 03/09/12	3,810.520	38,181 41	38,939.69		-758.28
FMI FDS INC LARGE CAP FD	FMIH 302933205		10/01/08 03/09/12	1,105.380	18,249 82	15,000.00		3,249.82

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Peckham Family Foundation
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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ISHARES RUSSELL MIDCAP INDEX FUND	IWR 464287499		06/21/10 03/09/12	202.000	22,106.54	17,807.17		4,299.37
JPM ASIA EQUITY FD - SEL FUND 1134	JPAS 4812A0706		05/11/09 03/09/12	523.029	16,695.09	12,740.98		3,954.11
JPM CALLABLE REN SPX 2/1/12 2XLEV-4.21%CAP-8.42%MAXRTRN INITIAL LEVEL-1/14/11 SPX: 1293.24	48125XAU0		01/14/11 02/01/12	30,000.000	31,162.29	30,000.00		1,162.29
JPM HIGH YIELD FD - SEL FUND 3580	OHYF 4812C0803		Various 03/09/12	7,653.075	60,535 82	60,314.57		221.25
JPM INTL CURRENCY INCOME FD	JCIS 4812A3296		01/15/10 03/09/12	1,372.370	15,233.31	14,899.08		334.23
JPM INTREPID GROWTH FD - SEL FUND 1202	JPGS 4812A2207		08/17/06 03/09/12	833.805	21,245.35	17,359.83		3,885.52
JPM MID CAP EQUITY FD - SEL FUND 689	VSNG 4812A1266		Various 03/09/12	3,666.791	117,337.31	95,099.21		22,238.10
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		Various 03/09/12	3,423.238	37,621.38	36,934.53		686.85
JPM STR INC OPP FD FUND 3844	JSOS 4812A4351		Various 01/30/12	1,692.938	19,418.00	19,510.14		-92.14
JPM STR INC OPP FD FUND 3844	JSOS 4812A4351		08/13/09 03/09/12	1,816.477	20,980.31	20,489.86		490.45

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Peckham Family Foundation
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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM TR I GROWTH ADVANTAGE FD - SEL FUND 1567	JGAS 4812A3718		Various 03/09/12	8,764.859	88,612.72	71,919.48		16,693.24
JPM US LRGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389		02/28/07 03/09/12	4,052.821	89,243.12	76,111.98		13,131.14
MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND	EXWA 563821545		Various 03/09/12	8,809.674	66,777.32	75,234.21		-8,456.89
MANNING & NAPIER FUND INC EQUITY SERIES	EXEY 563821602		Various 03/09/12	4,718.747	89,514.63	72,800.54		16,714.09
PAYDEN HIGH INCOME FUND	PYHR 704329572		Various 03/09/12	4,598.090	32,830.36	29,917.97		2,912.39
PROFESSIONALLY MANAGED PORTFOLIOS THE OSTERWEIS FUND	OSTF 742935406		Various 03/09/12	2,154.243	59,823.32	50,780.90		9,042.42
SPDR GOLD TRUST	GLD 78463V107		Various 03/09/12	250.000	41,556.75	24,625.15		16,931.60
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000328284	78463V107		00/00/00 01/11/12	250.000	13.04	.00		13.04
SPDR GOLD TRUST COST BASIS ALLOC. RATE 0.000300711	78463V107		00/00/00 02/14/12	250.000	12.58	.00		12.58
SPDR S&P 500 ETF TRUST	SPY 78462F103		01/05/11 01/13/12	178.000	22,886.32	22,689.52		196.80

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PECKHAM FAMILY FDN
Account Number: A 00849-00-9

Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SPDR S&P 500 ETF TRUST	SPY 78462F103		01/05/11 01/31/12	143.000	18,734.07	18,228.09		505 98
SPDR S&P 500 ETF TRUST	SPY 78462F103		Various 03/09/12	294.000	40,528.64	34,286.18		6,242.46
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND	PRAS 77956H500		06/21/10 03/09/12	1,250.790	19,749.97	20,863.18		-1,113.21
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND	PRAS 77956H500		06/21/10 04/12/12	1.488	23.44	24.82		-1.38
VANGUARD FI SECS F INTR TRM CP PT FUND 71	VFIC 922031885		12/26/08 01/31/12	79.491	809 22	689.98		119.24
VANGUARD FI SECS F INTR TRM CP PT FUND 71	VFIC 922031885		12/26/08 03/09/12	1,908.346	19,446.05	16,564.45		2,881.60
Total Long-Term Non Covered					1,134,743.03	1,022,597.71		112,145.32

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Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Short-Term Non Covered

scription	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
NP BREN ASIA BASKET 11/16/12 0%BUFFER-2 XLEV- 11.5%CAP 3%MAXRTRN US05567LX283 NITIAL LEVEL-5/11/11 ASIA BASKET:100.00	05567LX28		03/12/12 11/16/12	20,000.000	18,852.12	20,000.00		-1,147.88
CS BREN EAFE 05/02/12 10%BUFFER-2 XLEV- 8.1%CAP 16.2%MAXRTRN INITIAL LEVEL-04/21/11:SX5E:2,936.30 UKX:6,018.2 TPX:842.18	22546E5E0		03/12/12 05/02/12	20,000.000	17,891.40	20,000.00		-2,108.60
DB BREN EEM 11/07/12 10%BUFFER-2 XLEV- 12.15%CAP 24.3%MAXRTRN INITIAL LEVEL-10/21/11 EEM :38 855	2515A1DZ1		03/12/12 11/07/12	20,000.000	22,567.82	20,000.00		2,567.82
DB BREN EAFE 3/28/12 10%BUFFER-2XLEV-7.85%CAP- 15.7%MAXRTRN INITIAL LEVEL-3/11/11:SX5E:2883.84 UKX:5828.67 TPX:915.51	2515A14X6		03/12/12 04/20/12	20,000.000	19,418.02	20,000.00		-581.98

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THE PECKHAM FAMILY FOUNDATION
Account Number: Q 38914-00-0

Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Short-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GS BREN EAFE 06/06/12 10%BUFFER-2 XLEV- 8.36%CAP 16.72%MAXRTRN INITIAL LEVEL-05/20/11:SX5E:2853.98 UKX:5948.49 TPX:827.77	38143UUX7		03/12/12 06/06/12	20,000.000	16,877.05	20,000.00		-3,122.95
GS CONT BUFF EQ SPX 08/08/12 80% CONTIN BARRIER- 3%CPN 20% CAP INITIAL LEVEL-07/22/11 SPX: 1,345.02	38143UWR8		03/12/12 08/08/12	30,000.000	31,025.34	30,000.00		1,025.34
HSBC CONT BUFF EQ SPX 09/19/12 80% CONTIN BARRIER- 10%CPN 20% CAP INITIAL LEVEL-09/02/11 SPX:1173.97	4042K1MY6		03/12/12 09/19/12	35,000.000	42,000.00	35,000.00		7,000.00
HSBC STEP-UP CURRENCY BSKT 12/31/12 LNKD TO BRL SGD IDR & CNY VS USD 10%BUFFER, UNCAPPED 6.2% & 12/4% STEP-UP COUPONS 12/16/11	4042K1UH4		03/12/12 12/31/12	15,000.000	15,000.00	15,000.00		.00
JPM CONT BUFF EQ SPX 12/19/12 30% CONTIN BARRIER- 17.5%CPN 20% CAP NITIAL LEVEL-12/02/11 SPX:1244.28	48125VDV9		03/12/12 12/19/12	30,000.000	35,250.00	30,000.00		5,250.00

THE PECKHAM FAMILY FOUNDATION
Account Number: Q 38914-00-0

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Peckham Family Foundation
14-1814765

Capital Gain and Loss Schedule

Short-Term Non Covered

escription	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM QARN SPX 02/13/13 100/100/100/100-11.5% CPN 10% BUFFER INITIAL LEVEL-01/27/12 SPX:1316.33	48125VKV1		03/12/12 05/11/12	25,000.000	25,718.75	25,000.00		718.75
SG REN SPX 3/28/12 2XLEV-9.2%CAP-18.4%MAXPYMT INITIAL LEVEL-3/11/11 SPX 1304.28	78423AJ94		03/12/12 03/28/12	30,000.000	34,477.41	30,000.00		4,477.41
Total Short-Term Non Covered					279,077.91	265,000.00		14,077.91

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JPMORGAN CHASE BANK A/C 00849-00-9	2,516.	0.	2,516.
JPMORGAN CHASE BANK A/C 00849-00-9	38.	0.	38.
JPMORGAN CHASE BANK A/C 38914-00-0	43,460.	0.	43,460.
JPMORGAN CHASE BANK A/C 38914-00-0	130.	0.	130.
JPMORGAN CHASE BANK A/C 967019664	6.	0.	6.
TOTAL TO FM 990-PF, PART I, LN 4	46,150.	0.	46,150.

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	312.	0.	0.	0.
TAXES	1,384.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	1,696.	0.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	2,797.	0.	0.	0.
MISCELLANEOUS	250.	0.	0.	0.
PROFESSIONAL FEES	6,500.	3,250.	0.	0.
TO FORM 990-PF, PG 1, LN 23	9,547.	3,250.	0.	0.

FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	2,126,612.	2,207,387.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,126,612.	2,207,387.

FORM 990-PF OTHER ASSETS STATEMENT 5

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INVESTMENT INCOME RECEIVABLE	1,276.	15,817.	15,817.
MISC RECEIVABLE	19,209.	25,153.	25,153.
TO FORM 990-PF, PART II, LINE 15	20,485.	40,970.	40,970.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 6

NAME OF CONTRIBUTOR	ADDRESS
PECKHAM INDUSTRIES, INC.	20 HAARLEM AVENUE WHITE PLAINS, NY 10603