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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation GWENDOLYN HALSEY-ALBERTSON FOUNDATION		A Employer identification number 13-7294838
Number and street (or P O box number if mail is not delivered to street address) 103 IVEY LANE	Room/suite	B Telephone number (631) 283-4955
City or town, state, and ZIP code FLAT ROCK, NC 28731		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 675,243.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 1
4 Dividends and interest from securities		20,291.	20,291.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		6,178.			
b Gross sales price for all assets on line 6a		526,239.			
7 Capital gain net income (from Part IV, line 2)			6,178.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		26,470.	26,470.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		1,100.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		15,908.	15,808.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		17,008.	15,808.		0.
25 Contributions, gifts, grants paid		33,000.			33,000.
26 Total expenses and disbursements. Add lines 24 and 25		50,008.	15,808.		33,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<23,538.>			
b Net investment income (if negative, enter -0-)			10,662.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	15,172.	16,825.	16,825.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	280,878.	252,807.	283,296.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	316,142.	319,022.	375,122.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	612,192.	588,654.	675,243.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	612,192.	588,654.		
30 Total net assets or fund balances	612,192.	588,654.		
31 Total liabilities and net assets/fund balances	612,192.	588,654.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	612,192.
2 Enter amount from Part I, line 27a	2	<23,538.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	588,654.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	588,654.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SHORT TERM GAIN PER SMS ATTACHED	P		
b LONG TERM GAIN PER STMT ATTACHED	P		
c RETURN OF CAPITAL	P		
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 204,471.		201,403.	3,068.
b 320,396.		304,020.	16,376.
c		14,638.	<14,638.>
d 1,372.			1,372.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			3,068.
b			16,376.
c			<14,638.>
d			1,372.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,178.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	35,905.	655,927.	.054739
2010	34,545.	675,472.	.051142
2009	34,915.	635,920.	.054905
2008	35,000.	712,350.	.049133
2007	43,551.	830,248.	.052455

2 Total of line 1, column (d)	2	.262374
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052475
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	638,386.
5 Multiply line 4 by line 3	5	33,499.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	107.
7 Add lines 5 and 6	7	33,606.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	33,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	213.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	213.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	213.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	405.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	405.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	192.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 192. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MARY GWEN TYDA</u> Telephone no. ► <u>631-283-4955</u> Located at ► <u>103 IVEY LANE, FLAT ROCK, NC</u> ZIP+4 ► <u>28731</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ <u>N/A</u>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(i)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	646,084.
b	Average of monthly cash balances	1b	2,024.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	648,108.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	648,108.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,722.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	638,386.
6	Minimum investment return. Enter 5% of line 5	6	31,919.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	31,919.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	213.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	213.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,706.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	31,706.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,706.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	33,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	33,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	33,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				31,706.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	2,937.			
b From 2008				
c From 2009	3,289.			
d From 2010	1,681.			
e From 2011	3,299.			
f Total of lines 3a through e	11,206.			
4 Qualifying distributions for 2012 from Part XII, line 4: \$	33,000.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				31,706.
e Remaining amount distributed out of corpus	1,294.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	12,500.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	2,937.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	9,563.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009	3,289.			
c Excess from 2010	1,681.			
d Excess from 2011	3,299.			
e Excess from 2012	1,294.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the alternative test relied upon:
 - a "Assets" alternative test - enter:
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MANNA FOODBANK	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	750.
PROJECT HOME	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
EAST END HOSPICE	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000.
HOSPICE CARE NETWORK	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	250.
FISHER HOUSE	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,500.
Total	SEE CONTINUATION SHEET(S)			33,000.
b Approved for future payment				
NONE				
Total				0.

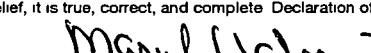
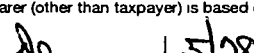
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge				May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No	
	 Signature of officer or trustee		Date 5/28/13		Title OFFICER	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature 		Date	
	JOHN E. LARKIN				05/21/13	
	Check ☐ if self-employed		PTIN			
	Firm's name ▶ MARKOWITZ, FENELON & BANK, LLP				Firm's EIN ▶ 11-3452093	
	Firm's address ▶ 78 WHITE STREET SOUTHAMPTON, NY 11968				Phone no. 631-283-4955	

Form **990-PF** (2012)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOMES FOR OUR TROOPS	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,500.
ST. JOHN'S EPISCOPAL CHURCH	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
MMRF	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	4,000.
CINCINNATI YOUTH COLLABORE	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
OUR MILITARY KIDS	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	750.
INTREPID FALLEN HEROES FUN	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,500.
CRISIS NURSERY	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
LI CARES HARRY CHAPIN FOOD	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,750.
HEIFER PROJECT INTL	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,750.
PARKINSONS DISEASE FOUNDATION	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
Total from continuation sheets				28,000.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALS ASSN OF GREATER NY	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	250.
SOUTHAMPTON HOSPITAL	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,500.
WOUNDED WARRIOR PROJECT	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	750.
CONEROY OF HOPE	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
ST JUDE CHILDRENS RESEARCH	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,750.
WATER MILL VILLAGE IMPROVEMENT ASSOC.	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,500.
DOCTORS WITHOUT BORDERS	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
WATER MILL MUSEUM	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	3,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
RAYMOND JAMES & ASSOC.	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
RAYMOND JAMES & ASSOC. #658	21,663.	1,372.	20,291.
TOTAL TO FM 990-PF, PART I, LN 4	21,663.	1,372.	20,291.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,100.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,100.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	15,471.	15,471.		0.
FOREIGN TAXES PAID	337.	337.		0.
NYS FILING FEE	100.	0.		0.
TO FORM 990-PF, PG 1, LN 23	15,908.	15,808.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
RAYMOND JAMES #658	252,807.	283,296.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	252,807.	283,296.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RAYMOND JAMES #658	COST	292,082.	347,817.
RAYMOND JAMES #658	COST	26,940.	27,305.
TOTAL TO FORM 990-PF, PART II, LINE 13		319,022.	375,122.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 7
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARY GWEN TYDA 103 IVEY LANE FLAT ROCK, NC 28731	TRUSTEE 0.00	0.	0.	0.
GAIL LEVINE 127 HALSEY LANE WATER MILL, NY 11976	TRUSTEE 0.00	0.	0.	0.
JANE HALSEY 258 HALSEY LANE WATER MILL, NY 11976	TRUSTEE 0.00	0.	0.	0.
SUSAN HALSEY-SWANSON P.O. BOX 6 SAGAPONACK, NY 11962	TRUSTEE 0.00	0.	0.	0.
JOHN S. ERWIN 885 THIRD AVE. 16TH FL. NY, NY 10022	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

2012

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS			Notes
				Cost or other basis	Gain or loss	Additional Information	
ABB LIMITED SPONSORED ADR / CUSIP 000375204 / Symbol ABB	19 000	343 13	02/17/12	399 00	-55 87	Sale	
11/13/12							
2 tax lots for 11/15/12 Total proceeds (and cost when required) reported to the IRS							
	29 000	515 61	02/17/12	608 99	-93 38	Sale	
	6 000	106 68	02/29/12	124 55	-17 87	Sale	
11/15/12	35 000	622 29	VARIOUS	733 54	-111 25	Total of 2 lots	
2 tax lots for 11/20/12 Total proceeds (and cost when required) reported to the IRS.							
	11 000	198 58	02/29/12	228 34	-29 76	Sale	
	40 000	722 10	04/26/12	760 76	-38 66	Sale	
11/20/12	51 000	920 68	VARIOUS	989 10	-68 42	Total of 2 lots	
		1,886 10		2,121 64	-235 54		
Security total							
AQR DIVERSIFIED ARBITRAGE FUND / CUSIP 00203H107 / Symbol ADANX	21 102	233 39	01/06/12	228 75	4 64	Sale	
11/13/12							
ACACIA RESH CORPORATION ACACIA / CUSIP 003881307 / Symbol ACTG							
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS.							
	29 000	1,053 63	04/05/11	1,080 54	-26 91	Sale	
	10 000	363 32	11/23/11	296 37	66 95	Sale	
01/06/12	39 000	1,416 95	VARIOUS	1,376 91	40 04	Total of 2 lots	
AGILENT TECHNOLOGIES / CUSIP 00846U101 / Symbol A							
01/06/12	38 000	1,413 63	12/21/11	1,296 84	116 79	Sale	
11/13/12	4 000	146 67	09/21/12	157 20	-10 53	Sale	
		1,560 30		1,454 04	106 26		
Security total							
AIR LIQUIDE / CUSIP 009126202 / Symbol AIQUY	1 100	27 30	02/08/12	25 83	1 47	Sale	
09/26/12							
AKAMAI TECHNOLOGIES / CUSIP 00971T101 / Symbol AKAM							
02/08/12	1 000	34 80	01/06/12	32 72	2 08	Sale	
AMETEK INCORPORATED NEW / CUSIP 031100100 / Symbol AME							
01/06/12	32 000	1,378 85	11/11/11	1,341 60	37 25	Sale	



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Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

2012

(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

				These columns are not reported to the IRS		
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
ANYSYS INCORPORATED / CUSIP: 03662Q105 / Symbol: ANSS						
02/08/12	4 000	252 47	08/31/11	217 69	34 78	Sale
03/16/12	5 000	327 30	08/31/11	272 11	55 19	Sale
	Security total	579.77		489 80	89 97	
APACHE CORPORATION / CUSIP: 037411105 / Symbol: APA						
12/03/12	7 000	539 85	02/17/12	762 20	-222 35	Sale
APPLE INCORPORATED / CUSIP: 037833100 / Symbol: AAPL						
01/06/12	2,000	842.19	09/26/11	796.99	45 20	Sale
2 tax lots for 04/16/12. Total proceeds (and cost when required) reported to the IRS						
	1 000	584 67	09/26/11	398 49	186 18	Sale
	1 000	584 66	10/12/11	406 79	177 87	Sale
04/16/12	2 000	1,169 33	VARIOUS	805.28	364.05	Total of 2 lots
	Security total	2,011 52		1,602.27	409.25	
ASPEN TECHNOLOGY INCORPORATED / CUSIP: 045327103 / Symbol: AZPN						
2 tax lots for 01/06/12. Total proceeds (and cost when required) reported to the IRS						
	53 000	887.20	08/31/11	896 41	-9 21	Sale
	45 000	753 28	11/08/11	828 63	-75 35	Sale
01/06/12	98 000	1,640 48	VARIOUS	1,725 04	-84 56	Total of 2 lots
ASSOCIATED ESTATES RLTY / CUSIP: 045604105 / Symbol: AEC						
01/06/12	56 000	917.83	07/27/11	1,014 29	-96 46	Sale
AUTODESK INCORPORATED / CUSIP: 052769106 / Symbol: ADSK						
10/24/12	5 000	156 67	01/06/12	154 33	2 34	Sale
AVNET INCORPORATED / CUSIP: 063807103 / Symbol: AVT						
01/06/12	56,000	1,765 16	01/31/11	1,996 56	-231 40	Sale
BAYERISCHE MOTOREN WERKE A G / CUSIP: 072743206 / Symbol: BAMXY						
2 tax lots for 08/22/12. Total proceeds (and cost when required) reported to the IRS						
	13 000	329 04	12/13/11	300 17	28 87	Sale

Raymond James & Associates, Inc.

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Proceeds from Broker and Barter Exchange Transactions

2012

(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
BAYERISCHE MOTOREN WERKE A G / CUSIP 072743206 / Symbol: BAMXY (cont'd)							
	1 000	25 31	02/08/12	30 57	-5 26	Sale	
08/22/12	14 000	354 35	VARIOUS	330 74	23 61	Total of 2 lots	
B/E AEROSPACE INCORPORATED / CUSIP: 073302101 / Symbol: BEAV							
01/06/12	28 000	1,107 75	04/06/11	1,031 71	76 04	Sale	
BLACKBAUD INCORPORATED / CUSIP: 09227Q100 / Symbol: BLKB							
02/08/12	4 000	130 27	01/06/12	113 88	16 39	Sale	
BLACKROCK GLOBAL ALLOCATION FU / CUSIP: 09251T509 / Symbol: MALOX							
02/08/12	3 825	74 63	01/06/12	70 57	4 06	Sale	
2 tax lots for 08/07/12 Total proceeds (and cost when required) reported to the IRS							
	1 750	33 58	VARIOUS	33 11	0 47	Sale	
	218 831	4,199 37	01/06/12	4,037.43	161 94	Sale	
08/07/12	220 581	4,232 95	VARIOUS	4,070 54	162 41	Total of 2 lots	
	Security total	4,307 58		4,141.11	166 47		
BORGWARNER INCORPORATED / CUSIP 099724106 / Symbol: BWA							
01/06/12	19 000	1,244 74	09/12/11	1,236 17	8 57	Sale	
CBS CORPORATION NEW CLASS B / CUSIP: 124857202 / Symbol: CBS							
11/13/12	16 000	556 46	04/17/12	525 38	31 08	Sale	
CLECO CORPORATION NEW / CUSIP 12561W105 / Symbol: CNL							
02/08/12	2 000	78 97	01/06/12	73 12	5 85	Sale	
CNOOC LIMITED SPONSORED ADR / CUSIP 126132109 / Symbol: CEO							
11/13/12	1 000	206 00	05/31/12	179 28	26 72	Sale	
CARDTRONICS INCORPORATED / CUSIP 14161H108 / Symbol: CATM							
02/08/12	4 000	106 39	10/05/11	93 17	13 22	Sale	
CARMAX INCORPORATED / CUSIP 143130102 / Symbol: KMX							
01/06/12	46 000	1,406 19	07/27/11	1,518 79	-112 60	Sale	
11/13/12	5 000	170 14	07/06/12	133 22	36 92	Sale	



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Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

2012

(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

				These columns are not reported to the IRS		
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
Notes						
CARMAX INCORPORATED / CUSIP: 143130102 / Symbol KMX (cont'd)	12 000	436.86	07/06/12	319.73	117.13	Sale
12/07/12		2,013.19		1,971.74	41.45	
Security total						
CASH AMER INTERNATIONAL / CUSIP: 14754D100 / Symbol: CSH	23 000	1,067.17	10/12/11	1,287.00	-219.83	Sale
01/06/12						
CEPHEID / CUSIP 15670R107 / Symbol CPHD	34 000	1,194.75	04/26/11	1,084.86	109.89	Sale
01/06/12						
CHESAPEAKE ENERGY CORPORATION / CUSIP: 165167107 / Symbol CHK	15 000	361.06	07/25/11	511.69	-150.63	Sale
01/06/12						
01/09/12	12 000	281.77	07/25/11	409.35	-127.58	Sale
3 tax lots for 01/27/12 Total proceeds (and cost when required) reported to the IRS						
	16 000	348.82	07/25/11	545.80	-196.98	Sale
	20 000	436.02	07/27/11	675.00	-238.98	Sale
	17 000	370.62	08/17/11	539.24	-168.62	Sale
01/27/12	53 000	1,155.46	VARIOUS	1,760.04	-604.58	Total of 3 lots
Security total		1,798.29		2,681.08	-882.79	
CHINA CONSTR BK CORPORATION / CUSIP: 168919108 / Symbol CICHY	3 000	44.26	02/08/12	49.80	-5.54	Sale
11/08/12						
CHURCH & DWIGHT INCORPORATED / CUSIP: 171340102 / Symbol: CHD	21 000	959.90	11/11/11	920.99	38.91	Sale
01/06/12						
CISCO SYSTEMS INCORPORATED / CUSIP: 17275R102 / Symbol CSCO	34 000	645.33	01/28/11	720.12	-74.79	Sale
01/06/12						
06/07/12	12 000	198.95	02/08/12	242.52	-43.57	Sale
Security total		844.28		962.64	-118.36	
CITIGROUP INCORPORATED COM NEW / CUSIP 172967424 / Symbol: C	4 000	145.83	10/08/12	138.48	7.35	Sale
11/13/12						
COGNIZANT TECHNOLOGY SOLUTIONS / CUSIP: 192446102 / Symbol CTSH	10 000	689.84	04/20/12	722.17	-32.33	Sale
10/24/12						

Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

8 - Description / CUSIP / 1d - Symbol							These columns are not reported to the IRS		
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes		
COMCAST CORPORATION NEW CLASS / CUSIP 20030N101 / Symbol CMCSA									
01/06/12	15 000	372 16	10/19/11	357 00	15 16	Sale			
02/08/12	4 000	107 99	10/19/11	95 20	12 79	Sale			
07/06/12	21 000	663 61	10/19/11	499 80	163.81	Sale			
07/18/12	9 000	288 99	10/19/11	214 20	74.79	Sale			
	Security total	1,432 75		1,166 20	266 55				
COMERICA INCORPORATED / CUSIP 200340107 / Symbol CMA									
01/06/12	34 000	944 16	11/01/11	862 74	81 42	Sale			
COMPASS MINERALS INTERNATIONAL / CUSIP 20451N101 / Symbol. CMP									
02/08/12	3 000	223 16	01/06/12	205 94	17 22	Sale			
COSTCO WHOLESALE CORPORATION / CUSIP: 22160K105 / Symbol: COST									
08/24/12	8 000	765 05	05/30/12	690 14	74 91	Sale			
09/21/12	8 000	827 10	05/30/12	690 14	136 96	Sale			
	Security total	1,592.15		1,380 28	211.87				
COSTAR GROUP INCORPORATED / CUSIP 22160N109 / Symbol. CSGP									
08/30/12	2 000	159 31	01/06/12	125 34	33 97	Sale			
CROWN HOLDINGS INCORPORATED / CUSIP 228368106 / Symbol CCK									
01/06/12	38 000	1,303 37	12/16/11	1,280 62	22 75	Sale			
CULLEN FROST BANKERS / CUSIP 229899109 / Symbol: CFR									
01/06/12	21 000	1,155 90	08/12/11	1,053 80	102 10	Sale			
DWS RREEF GLOBAL REAL ESTATE / CUSIP: 23337G118 / Symbol RRGAX									
02/08/12	13 542	99 40	01/06/12	90 33	9 07	Sale			
11/13/12	31 431	252 39	01/06/12	209 64	42 75	Sale			
	Security total	351 79		299 97	51 82				
DANAHER CORPORATION DEL / CUSIP: 235851102 / Symbol. DHR									
02/08/12	2 000	104.13	01/09/12	95 96	8 17	Sale			
06/07/12	14 000	721 12	01/09/12	671 72	49.40	Sale			
				2 tax lots for 11/13/12 Total proceeds (and cost when required) reported to the IRS					
	4 000	209 71	01/09/12	191 92	17 79	Sale			



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Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

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(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

8 - Description / CUSIP / 1d - Symbol			These columns are not reported to the IRS				
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
DANAHER CORPORATION DEL / CUSIP: 235851102 / Symbol: DHR (cont'd)							
11/13/12	2,000	104 86	02/29/12	106 59	-1 73	Sale	
	6 000	314 57	VARIOUS	298 51	16 06	Total of 2 lots	
	Security total.	1,139 82		1,066 19	73 63		
DAVITA HEALTHCARE PARTNERS / CUSIP: 23918K108 / Symbol: DVA							
01/06/12	18 000	1,403 80	09/16/11	1,313 20	90 60	Sale	
DISNEY WALT COMPANY COM DISNEY / CUSIP: 254687106 / Symbol: DIS							
01/06/12	6 000	240 24	07/11/11	235 91	4 33	Sale	
07/11/12	15 000	709 64	07/11/11	589 79	119 85	Sale	
	Security total	949 88		825 70	124 18		
DISH NETWORK CORPORATION CLASS / CUSIP: 25470M109 / Symbol: DISH							
01/06/12	47 000	1,379 94	10/10/11	1,282 18	97 76	Sale	
DIRECTV / CUSIP: 25490A309 / Symbol: DTV							
10/24/12	15,000	766 50	06/15/12	667 64	98 86	Sale	
11/06/12	13 000	638 49	06/15/12	578 63	59 86	Sale	
	Security total.	1,404 99		1,246 27	158 72		
DONALDSON INCORPORATED / CUSIP: 257651109 / Symbol: DCI							
02/08/12	1,000	75 76	01/06/12	68 75	7 01	Sale	
DRIL-QUIP INCORPORATED / CUSIP: 262037104 / Symbol: DRQ							
02/08/12	2 000	142 37	01/06/12	133 09	9 28	Sale	
EQT CORPORATION / CUSIP: 26884L109 / Symbol: EQT							
01/06/12	13 000	728 03	09/08/11	808 45	-80 42	Sale	
EBAY INCORPORATED / CUSIP: 278642103 / Symbol: EBAY							
01/06/12	12,000	368 29	07/07/11	402 18	-33 89	Sale	
02/08/12	5 000	165 94	07/07/11	167 58	-1 64	Sale	
2 tax lots for 04/20/12 Total proceeds (and cost when required) reported to the IRS				569 75	123 18	Sale	

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(continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

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EBAY INCORPORATED / CUSIP 278642103 / Symbol: EBAY (cont'd)						
	2 000	81 52	07/15/11	64 97	16 55	Sale
04/20/12	19 000	774 45	VARIOUS	634 72	139 73	Total of 2 lots
06/07/12	30 000	1,229 40	07/15/11	974 57	254 83	Sale
2 tax lots for 07/06/12 Total proceeds (and cost when required) reported to the IRS						
	2 000	80 58	07/15/11	64 97	15 61	Sale
	11 000	443 19	07/25/11	372 22	70 97	Sale
07/06/12	13 000	523 77	VARIOUS	437 19	86 58	Total of 2 lots
	Security total	3,061 85		2,616 24	445 61	
ECOLAB INCORPORATED / CUSIP 278865100 / Symbol ECL						
01/06/12	3 000	174 59	02/24/11	143 66	30 93	Sale
02/17/12	11 000	681 49	02/24/11	526 75	154 74	Sale
	Security total	856 08		670 41	185 67	
ENERGIS S A SPONSORED ADR / CUSIP 29274F104 / Symbol: ENI						
2 tax lots for 10/03/12 Total proceeds (and cost when required) reported to the IRS						
	22 000	365 43	12/21/11	376 08	-10 65	Sale
	3 000	49 83	02/08/12	55 59	-5 76	Sale
10/03/12	25 000	415 26	VARIOUS	431 67	-16 41	Total of 2 lots
EVERCORE PARTNERS INCORPORATED / CUSIP 29977A105 / Symbol: EVR						
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS						
	29 000	789 43	05/16/11	1,071 60	-282 17	Sale
	16 000	435 54	07/12/11	508 18	-72 64	Sale
01/06/12	45 000	1,224 97	VARIOUS	1,579 78	-354 81	Total of 2 lots
EXPRESS SCRIPTS HLDG COMPANY / CUSIP 30219G108 / Symbol ESRX						
04/16/12	12 000	681 60	09/09/11	539 15	142 45	Sale
EXXON MOBIL CORPORATION / CUSIP 30231G102 / Symbol: XOM						
07/23/12	1 000	84 75	02/08/12	85 34	-0 59	Sale



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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

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FEDERATED INSTITUTIONAL HIGH Y / CUSIP: 31420B300 / Symbol FIHBX							
11/13/12	134.276	1,353.50	06/11/12	1,311.88	41.62	Sale	
FEDERATED SHORT TERM INCOME FU / CUSIP 31420C787 / Symbol FSTYX							
2 tax lots for 04/16/12 Total proceeds (and cost when required) reported to the IRS							
	97 930	845 14	VARIOUS	844 33	0 81	Sale	
	126 682	1,093 26	02/08/12	1,092 00	1 26	Sale	
04/16/12	224 612	1,938 40	VARIOUS	1,936 33	2 07	Total of 2 lots	
11/13/12	165 940	1,442 02	06/11/12	1,432 06	9 96	Sale	
Security total		3,380 42		3,368 39	12 03		
F5 NETWORKS INCORPORATED / CUSIP 315616102 / Symbol FFIV							
02/08/12	1 000	125 36	01/06/12	105 63	19 73	Sale	
05/02/12	2 000	267 63	01/06/12	211 26	56 37	Sale	
Security total		392 99		316 89	76 10		
FLOWERS FOODS INCORPORATED / CUSIP. 343498101 / Symbol FLO							
01/06/12	63 000	1,185 65	11/21/11	1,260 49	-74 84	Sale	
FORD MTR COMPANY DEL COM PAR / CUSIP. 345370860 / Symbol F							
01/06/12	3 000	35 28	03/29/11	44 79	-9 51	Sale	
2 tax lots for 01/09/12 Total proceeds (and cost when required) reported to the IRS							
	55 000	651.27	03/29/11	821.15	-169.88	Sale	
	50 000	592 06	05/06/11	760 94	-168 88	Sale	
01/09/12	105 000	1,243 33	VARIOUS	1,582 09	-338 76	Total of 2 lots	
Security total		1,278 61		1,626 88	-348 27		
FOREST CITY ENTERPRISES / CUSIP 345550107 / Symbol FCE A							
01/06/12	69 000	810.09	10/19/11	854 28	-44 19	Sale	
FOSSIL INCORPORATED / CUSIP. 349882100 / Symbol. FOSL							
01/06/12	11 000	878 44	12/14/11	885 03	-6 59	Sale	
GARDNER DENVER INCORPORATED / CUSIP 365558105 / Symbol GDI							
01/06/12	12 000	981 96	10/24/11	910 76	71 20	Sale	

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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

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GARTNER INCORPORATED / CUSIP: 366651107 / Symbol: IT							
02/08/12	2,000	75 39	01/06/12	69 97	5 42	Sale	
05/02/12	12,000	533 91	01/06/12	419 83	114 08	Sale	
	Security total:	609 30		489 80	119 50		
GATEWAY FUND CLASS A M/F - NAT / CUSIP: 367829207 / Symbol: GATEX							
11/13/12	10 486	281 77	01/06/12	278 30	3 47	Sale	
GLACIER BANCORP INCORPORATED / CUSIP: 37637Q105 / Symbol: GBCI							
02/08/12	3 000	44 27	01/06/12	38 52	5 75	Sale	
GOLDMAN SACHS GROUP / CUSIP: 38141G104 / Symbol: GS							
02/08/12	1 000	115 74	01/19/12	107 01	8 73	Sale	
11/13/12	4 000	470 94	01/19/12	428 04	42 90	Sale	
12/07/12	5 000	582 14	01/19/12	535 04	47 10	Sale	
	Security total:	1,168.82		1,070 09	98 73		
GOLDMAN SACHS EMERGING MARKETS / CUSIP: 38143H886 / Symbol: GSDIX							
06/11/12	872 678	11,231.36	04/16/12	11,309 91	-78 55	Sale	
11/13/12	154 221	2,152 92	04/16/12	1,998 70	154 22	Sale	
	Security total:	13,384 28		13,308 61	75 67		
GOLDMAN SACHS ABSOLUTE RETURN / CUSIP: 38145N220 / Symbol: GJRTX							
2 tax lots for 06/11/12. Total proceeds (and cost when required) reported to the IRS.							
	623 602	5,512 65	01/06/12	5,575.00	-62 35	Sale	
	10 746	94 99	02/08/12	98 00	-3 01	Sale	
06/11/12	634 348	5,607 64	VARIOUS	5,673 00	-65 36	Total of 2 lots	
GRAPHIC PACKAGING HLDG COMPANY / CUSIP: 388689101 / Symbol: GPK							
2 tax lots for 01/06/12. Total proceeds (and cost when required) reported to the IRS							
	280 000	1,287 99	05/10/11	1,586 65	-298 66	Sale	
	103 000	473 79	07/12/11	550 92	-77 13	Sale	
01/06/12	383 000	1,761 78	VARIOUS	2,137 57	-375 79	Total of 2 lots	
GULFPORT ENERGY CORPORATION / CUSIP: 402635304 / Symbol: GPOR							
02/08/12	1 000	35.83	01/06/12	31 31	4 52	Sale	



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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

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GULFPORT ENERGY CORPORATION / CUSIP: 402635304 / Symbol GPOR (cont'd)									
08/30/12	5 000	127 84	01/06/12	156 55	-28 71	Sale			
	Security total	163 67		187 86	-24.19				
HMS HLDGS CORPORATION / CUSIP: 40425J101 / Symbol: HMSY									
02/08/12	3.000	101 98	01/06/12	94 50	7 48	Sale			
10/17/12	3.000	82 70	01/06/12	94.50	-11 80	Sale			
	Security total	184 68		189 00	-4 32				
HARMONIC INCORPORATED / CUSIP 413160102 / Symbol HLIT									
02/08/12	16 000	105 27	01/06/12	81 86	23 41	Sale			
HARRIS TEETER SUPERMARKETS / CUSIP 414585109 / Symbol HTSI									
08/30/12	4,000	156 07	01/06/12	168 68	-12 61	Sale			
HIBBETT SPORTS INCORPORATED / CUSIP 428567101 / Symbol HIBB									
02/08/12	2 000	97 41	01/06/12	89 97	7 44	Sale			
03/16/12	7 000	367 73	01/06/12	314 88	52 85	Sale			
05/10/12	8 000	472 95	01/06/12	359 87	113 08	Sale			
	Security total	938 09		764 72	173 37				
HITTITE MICROWAVE CORPORATION / CUSIP 43365Y104 / Symbol HITT									
02/08/12	1 000	56 24	01/06/12	50 63	5 61	Sale			
HONEYWELL INTERNATIONAL / CUSIP: 438516106 / Symbol: HON									
01/06/12	6 000	332 27	10/05/11	262 71	69 56	Sale			
02/08/12	2 000	119 59	10/05/11	87 57	32 02	Sale			
2 tax lots for 05/30/12 Total proceeds (and cost when required) reported to the IRS									
	13 000	733 18	10/05/11	569.19	163.99	Sale			
	9 000	507 59	10/17/11	434.43	73 16	Sale			
05/30/12	22 000	1,240 77	VARIOUS	1,003 62	237 15	Total of 2 lots			
	Security total.	1,692 63		1,353.90	338.73				
HUNT J B TRANS SVCS / CUSIP. 445658107 / Symbol JBHT									
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS.									
	18 000	804 51	04/11/11	801 27	3 24	Sale			

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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

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HUNT J B TRANS SVCS / CUSIP 445658107 / Symbol JBHT (cont'd)							
	24 000	1,072 69	07/18/11	1,148 11	-75 42	Sale	
01/06/12	42 000	1,877 20	VARIOUS	1,949 38	-72 18	Total of 2 lots	
IAC INTERACTIVECORP / CUSIP 44919P508 / Symbol IACI							
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS							
	33 000	1,403 29	03/15/11	980 22	423 07	Sale	
	23 000	978 05	06/06/11	828 69	149 36	Sale	
01/06/12	56 000	2,381 34	VARIOUS	1,808 91	572 43	Total of 2 lots	
IBERIABANK CORPORATION / CUSIP: 450828108 / Symbol IBKC							
02/08/12	1 000	54 39	01/06/12	52 52	1 87	Sale	
INTEL CORPORATION / CUSIP 458140100 / Symbol: INTC							
01/06/12	8 000	202 03	05/04/11	185 12	16 91	Sale	
2 tax lots for 01/09/12 Total proceeds (and cost when required) reported to the IRS.							
	18 000	455 61	05/04/11	416 52	39 09	Sale	
	31 000	784 66	07/07/11	717 96	66 70	Sale	
01/09/12	49 000	1,240 27	VARIOUS	1,134 48	105 79	Total of 2 lots	
	Security total	1,442 30		1,319 60	122 70		
INTERNATIONAL PAPER COMPANY / CUSIP 460146103 / Symbol: IP							
04/05/12	1 000	34 91	02/08/12	31 17	3 74	Sale	
ITC HLDGS CORPORATION / CUSIP 465685105 / Symbol ITC							
01/06/12	22 000	1,613 22	07/12/11	1,610 24	2 98	Sale	
IVY ASSET STRATEGY FUND CLASS / CUSIP 466000726 / Symbol WASYX							
02/08/12	12 503	312 19	01/06/12	283 82	28 37	Sale	
11/13/12	10 025	250 63	01/06/12	227 57	23 06	Sale	
	Security total	562 82		511 39	51 43		
JACOBS ENGR GROUP INCORPORATED / CUSIP 469814107 / Symbol JEC							
02/08/12	2 000	91 65	01/06/12	82 11	9 54	Sale	



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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

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JOHN HANCOCK GLOBAL ABSOLUTE / CUSIP 47804M886 / Symbol JHAAAX							
11/13/12	10,740	114 38	06/11/12	112 66	1 72	Sale	
JOHNSON & JOHNSON / CUSIP 478160104 / Symbol JNJ							
11/13/12	2,000	139 59	07/18/12	138 54	1 05	Sale	
JOHNSON CONTROLS INCORPORATED / CUSIP 478366107 / Symbol JCI							
2 tax lots for 10/08/12 Total proceeds (and cost when required) reported to the IRS.							
	16 000	442 16	10/17/11	506 38	-64 22	Sale	
	3 000	82 90	02/08/12	98 64	-15 74	Sale	
10/08/12	19 000	525 06	VARIOUS	605 02	-79 96	Total of 2 lots	
2 tax lots for 10/12/12 Total proceeds (and cost when required) reported to the IRS							
	1 000	26 00	02/08/12	32 88	-6 88	Sale	
	23 000	598 05	09/13/12	646 76	-48 71	Sale	
10/12/12	24 000	624 05	VARIOUS	679 64	-55 59	Total of 2 lots	
Security total		1,149 11		1,284 66	-135 55		
JPMORGAN RESEARCH MARKET NEUTR / CUSIP 4812A1688 / Symbol JPMNX							
04/16/12	82 391	1,216 92	01/06/12	1,197 96	18 96	Sale	
11/13/12	7,544	112 55	01/06/12	109 69	2 86	Sale	
Security total		1,329 47		1,307 65	21 82		
JPMORGAN HIGHBRIDGE STATISTIC- / CUSIP 4812A2439 / Symbol HSKSX							
04/16/12	81 348	1,223 48	01/06/12	1,216 15	7 33	Sale	
2 tax lots for 06/11/12 Total proceeds (and cost when required) reported to the IRS							
	154 170	2,281 72	01/06/12	2,304 85	-23 13	Sale	
	8,205	121 43	02/08/12	123 00	-1 57	Sale	
06/11/12	162 375	2,403 15	VARIOUS	2,427 85	-24 70	Total of 2 lots	
Security total		3,626 63		3,644 00	-17 37		
JUNIPER NETWORKS INCORPORATED / CUSIP 48203R104 / Symbol JNPR							
01/06/12	24 000	493 43	09/19/11	473 86	19 57	Sale	
01/27/12	10 000	206 79	09/19/11	197 44	9 35	Sale	
02/08/12	14 000	323 97	09/19/11	276 42	47 55	Sale	

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JUNIPER NETWORKS INCORPORATED / CUSIP 48203R104 / Symbol JNPR (cont'd)							
2 tax lots for 04/26/12 Total proceeds (and cost when required) reported to the IRS							
	1 000	21 07	09/19/11	19 74	1 33	Sale	
	18 000	379 26	10/17/11	375 34	3 92	Sale	
04/26/12	19 000	400 33	VARIOUS	395 08	5 25	Total of 2 lots	
2 tax lots for 06/07/12. Total proceeds (and cost when required) reported to the IRS							
	12 000	203 27	10/17/11	250 22	-46 95	Sale	
	11 000	186 34	10/26/11	250 51	-64 17	Sale	
06/07/12	23 000	389 61	VARIOUS	500 73	-111 12	Total of 2 lots	
2 tax lots for 06/15/12 Total proceeds (and cost when required) reported to the IRS							
	12 000	196 58	10/26/11	273 29	-76 71	Sale	
	42 000	688 01	12/16/11	787 00	-98 99	Sale	
06/15/12	54 000	884 59	VARIOUS	1,060 29	-175 70	Total of 2 lots	
		Security total		2,903 82	-205 10		
KAYNE ANDERSON MLP INVSMT / CUSIP: 486606106 / Symbol KYN							
11/07/12	17 000	530 31	01/06/12	511 62	18 69	Sale	
KINGFISHER PLC / CUSIP: 495724403 / Symbol KGFHY							
01/18/12	95 000	740 50	01/19/11	825 43	-84 93	Sale	
KIRBY CORPORATION / CUSIP 497266106 / Symbol KEX							
02/08/12	2 000	132 33	01/06/12	134 05	-1 72	Sale	
LABORATORY CORPORATION AMER / CUSIP: 50540R409 / Symbol LH							
01/06/12	12 000	1,048 65	08/02/11	1,067 69	-19 04	Sale	
LAM RESEARCH CORPORATION / CUSIP: 512807108 / Symbol LRCX							
01/06/12	24 000	904 30	12/16/11	870 95	33 35	Sale	
LAS VEGAS SANDS CORPORATION / CUSIP 517834107 / Symbol LVS							
11/13/12	15 000	644 53	07/11/12	620 24	24 29	Sale	
LASALLE HOTEL PTPTYS COM SH BEN / CUSIP 517942108 / Symbol LHO							
01/06/12	37 000	941 27	09/06/11	639 53	301 74	Sale	



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CLEARBRIDGE EQUITY INCOME / CUSIP: 52469H636 / Symbol: SOPAX 01/06/12	246 855	3,310 33	03/14/11	3,248 61	61 72	Sale	
LIBERTY MEDIA CORPORATION / CUSIP: 530322106 / Symbol: LMCA 01/06/12	19 000	1,546 65	02/18/11	1,548 39	-1 74	Sale	
LIFE TIME FITNESS INCORPORATED / CUSIP: 53217R207 / Symbol: LTM 02/07/12	4 000	201 67	01/06/12	180 69	20 98	Sale	
LINCOLN ELEC HLDGS / CUSIP: 533900106 / Symbol: LECO 12/06/12	19 000	903 36	01/06/12	759 44	143 92	Sale	
LOWES COMPANIES INCORPORATED / CUSIP: 548661107 / Symbol: LOW 10/12/12	6 000	187 73	05/30/12	161 86	25 87	Sale	
11/06/12	15 000	496 93	05/30/12	404 65	92 28	Sale	
12/07/12	17 000	595 66	05/30/12	458 60	137 06	Sale	
12/13/12	12 000	418 65	05/30/12	323 72	94 93	Sale	
	6 000	209 33	06/07/12	166 92	42 41	Sale	
	18 000	627 98	VARIOUS	490 64	137 34	Total of 2 lots	
	Security total	1,908.30		1,515 75	392 55		
LULULEMON ATHLETICA / CUSIP: 550021109 / Symbol: LULU 10/17/12	4 000	303 95	01/06/12	214 00	89 95	Sale	
10/25/12	2 000	133 82	01/06/12	107 00	26 82	Sale	
	Security total:	437 77		321.00	116 77		
MDC PARTNERS INCORPORATED / CUSIP: 552697104 / Symbol: MDCA 01/06/12	56 000	732 61	11/08/11	897 01	-164 40	Sale	
MSC INDL DIRECT INCORPORATED / CUSIP: 553530106 / Symbol: MSM 01/06/12	21 000	1,531.95	09/16/11	1,312 44	219 51	Sale	
MANNING & NAPIER INCORPORATED / CUSIP: 56382Q102 / Symbol: MN 02/08/12	5 000	70 14	01/06/12	63 44	6 70	Sale	

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MARKETAXESS HLDGS INCORPORATED / CUSIP: 57060D108 / Symbol MKTX							
08/30/12	5 000	162 04	02/24/12	167 29	-5 25	Sale	C
10/25/12	7 000	215 65	02/24/12	234 21	-18 56	Sale	C
11/07/12	8.000	251 54	02/24/12	267 67	-16 13	Sale	C
	Security total	629 23		669 17	-39 94		
MATTHEWS INTERNATIONAL / CUSIP: 577128101 / Symbol MATW							
01/12/12	20.000	632 60	01/06/12	638 70	-6 10	Sale	
MEDCO HEALTH SOLUTIONS / CUSIP: 58405U102 / Symbol MHSOLD							
01/06/12	4 000	240.48	06/01/11	238 71	1.77	Sale	
2 tax lots for 02/10/12. Total proceeds (and cost when required) reported to the IRS							
	9 000	544 59	06/01/11	537 09	7 50	Sale	
	12 000	726 13	06/10/11	679 78	46.35	Sale	
02/10/12	21 000	1,270 72	VARIOUS	1,216 87	53 85	Total of 2 lots	
	Security total:	1,511 20		1,455 58	55 62		
MERIDIAN BIOSCIENCE / CUSIP: 589584101 / Symbol VIVO							
03/16/12	17.000	307 41	01/06/12	319 56	-12 15	Sale	
MICROS SYSTEMS INCORPORATED / CUSIP: 594901100 / Symbol: MCRS							
02/08/12	2.000	103 55	01/12/12	98 22	5 33	Sale	
12/31/12	10 000	419 99	01/12/12	491 07	-71 08	Sale	
	Security total:	523 54		589 29	-65 75		
MID-AMER APT CMNTYS / CUSIP: 59522J103 / Symbol MAA							
02/08/12	1 000	64 22	08/22/11	65 95	-1 73	Sale	
MIDDLEBY CORPORATION / CUSIP: 596278101 / Symbol: MIDD							
02/08/12	2 000	191 85	01/06/12	187 60	4 25	Sale	
10/17/12	4.000	485 95	01/06/12	375 20	110 75	Sale	
	Security total	677 80		562 80	115 00		
MONDELEZ INTERNATIONAL / CUSIP: 609207105 / Symbol MDLZ							
11/20/12	14 000	360 91	05/30/12	348 13	12 78	Sale	



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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol					These columns are not reported to the IRS		
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
MONSANTO COMPANY NEW / CUSIP. 61166W101 / Symbol MON							
02/02/12	5 000	412.55	04/15/11	337 74	74.81	Sale	
NATIONAL AUSTRALIA BK LIMITED / CUSIP 632525408 / Symbol: NABZY							
2 tax lots for 02/08/12 Total proceeds (and cost when required) reported to the IRS							
	21 000	521.00	09/20/11	486 36	34 64	Sale	
	17 000	421 76	11/22/11	388 28	33 48	Sale	
02/08/12	38 000	942.76	VARIOUS	874 64	68 12	Total of 2 lots	
NATIONAL HEALTH INVS / CUSIP. 63633D104 / Symbol. NHI							
02/08/12	3 000	148 94	01/06/12	132 09	16 85	Sale	
NETAPP INCORPORATED / CUSIP 64110D104 / Symbol NTAP							
02/08/12	4 000	158 55	11/30/11	146 36	12 19	Sale	
11/13/12	13 000	344.88	11/30/11	475 65	-130.77	Sale	
2 tax lots for 12/07/12 Total proceeds (and cost when required) reported to the IRS							
	8 000	265 27	01/06/12	278 47	-13 20	Sale	
	6 000	198 95	01/09/12	209 99	-11 04	Sale	
12/07/12	14 000	464 22	VARIOUS	488 46	-24.24	Total of 2 lots	
2 tax lots for 12/21/12 Total proceeds (and cost when required) reported to the IRS							
	3 000	100 48	01/09/12	104 99	-4.51	Sale	
	16 000	535.88	01/27/12	599 34	-63.46	Sale	
12/21/12	19 000	636 36	VARIOUS	704 33	-67 97	Total of 2 lots	
	Security total	1,604 01		1,814 80	-210 79		
NEWELL RUBBERMAID INCORPORATED / CUSIP 651229106 / Symbol NWL							
02/08/12	3 000	57 02	01/06/12	50 40	6 62	Sale	
O REILLY AUTOMOTIVE / CUSIP 67103H107 / Symbol: ORLY							
02/07/12	4 000	328.83	01/06/12	320 20	8 63	Sale	
02/24/12	13 000	1,114 49	01/06/12	1,040 65	73 84	Sale	
03/28/12	9 000	815 24	01/06/12	720 45	94 79	Sale	
	Security total	2,258 56		2,081 30	177 26		

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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	#	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
OIL STS INTERNATIONAL / CUSIP: 678026105 / Symbol OIS								
01/06/12	22 000	1,702 55		02/14/11	1,614 95	87 60	Sale	
PSS WORLD MED INCORPORATED / CUSIP: 69366A100 / Symbol PSSI								
02/08/12	1 000	24 94		01/06/12	24 13	0 81	Sale	
07/03/12	42 000	910 63		01/06/12	1,013 46	-102 83	Sale	
	Security total	935 57			1,037 59	-102 02		
PALL CORPORATION / CUSIP: 696429307 / Symbol PLL								
01/06/12	23 000	1,293 79		12/13/11	1,334 25	-40 46	Sale	
PAR PHARMACEUTICAL COMPANIES / CUSIP: 69888P106 / Symbol: PRXOLD								
07/26/12	14 000	699 86		07/03/12	507 51	192 35	Sale	
09/20/12	15 000	749 09		07/03/12	543 77	205 32	Sale	
	Security total.	1,448 95			1,051 28	397 67		
PAYCHEX INCORPORATED / CUSIP: 704326107 / Symbol: PAYX								
05/02/12	5 000	152 96		02/08/12	157 80	-4 84	Sale	
PEARSON PLC SPONSORED ADR / CUSIP: 705015105 / Symbol PSO								
12/07/12	31 000	585 49		04/10/12	549 16	36 33	Sale	
PEETS COFFEE & TEA / CUSIP: 705560100 / Symbol PEETOLD								
02/08/12	1 000	69 22		01/06/12	58 90	10 32	Sale	
09/20/12	6 000	440 82		01/06/12	353 40	87 42	Sale	
	Security total	510 04			412 30	97 74		
PENNEY J C INCORPORATED / CUSIP: 708160106 / Symbol JCP								
02/02/12	15 000	619 50		11/10/11	493 43	126 07	Sale	
02/08/12	1 000	42 18		11/10/11	32 90	9 28	Sale	
2 tax lots for 06/07/12 Total proceeds (and cost when required) reported to the IRS								
	25 000	612 17		11/10/11	822 37	-210 20	Sale	
	13 000	318 33		01/09/12	449 11	-130 78	Sale	
06/07/12	38 000	930 50		VARIOUS	1,271 48	-340 98	Total of 2 lots	
	Security total	1,592 18			1,797 81	-205 63		



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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
PEPSICO INCORPORATED / CUSIP 713448108 / Symbol PEP	6.000	393.48	08/17/11	386.56	6.92	Sale	
2 tax lots for 06/22/12 Total proceeds (and cost when required) reported to the IRS							
	15.000	1,031.70	08/17/11	966.40	65.30	Sale	
	4.000	275.12	08/31/11	258.24	16.88	Sale	
06/22/12	19.000	1,306.82	VARIOUS	1,224.64	82.18	Total of 2 lots	
09/13/12	4.000	282.15	09/26/11	245.59	36.56	Sale	
Security total		1,982.45		1,856.79	125.66		
PIMCO TOTAL RETURN FUND CLASS / CUSIP 72201M552 / Symbol PTPPX							
11/13/12	377.451	4,378.43	06/11/12	4,257.65	120.78	Sale	
PLANTRONICS INCORPORATED NEW / CUSIP 727493108 / Symbol. PLT							
01/06/12	26.000	926.37	05/16/11	965.66	-39.29	Sale	
POST HLDGS INCORPORATED / CUSIP 737446104 / Symbol POST							
2 tax lots for 02/10/12 Total proceeds (and cost when required) reported to the IRS.							
	9.000	239.15	01/06/12	235.82	3.33	Sale	
	3.000	79.72	02/08/12	77.07	2.65	Sale	
02/10/12	12.000	318.87	VARIOUS	312.89	5.98	Total of 2 lots	
POTASH CORPORATION SASK / CUSIP 73755L107 / Symbol POT							
2 tax lots for 10/24/12 Total proceeds (and cost when required) reported to the IRS							
	21.000	854.47	02/02/12	1,001.20	-146.73	Sale	
	1.000	40.69	03/08/12	43.49	-2.80	Sale	
10/24/12	22.000	895.16	VARIOUS	1,044.69	-149.53	Total of 2 lots	
11/06/12	16.000	645.49	03/08/12	695.76	-50.27	Sale	
Security total		1,540.65		1,740.45	-199.80		
PROASSURANCE CORPORATION / CUSIP 74267C106 / Symbol PRA							
02/08/12	1.000	84.47	08/10/11	70.22	14.25	Sale	
PROCTER & GAMBLE COMPANY / CUSIP 742718109 / Symbol PG							
11/13/12	5.000	335.99	04/05/12	336.94	-0.95	Sale	

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS		
				Cost or other basis	Gain or loss	Additional Information
QBE INS GROUP LIMITED / CUSIP: 74728G605 / Symbol: QBIEY	50 000	593 67	11/22/11	704 13	-110 46	Sale
QEP RES INCORPORATED / CUSIP 74733V100 / Symbol QEP	3 000	89 78	01/06/12	94 71	-4 93	Sale
QUESTAR CORPORATION / CUSIP 748356102 / Symbol STR	5 000	97 74	01/06/12	98 24	-0 50	Sale
ROBECO BP LONG/SHORT RESEARCH / CUSIP: 74925K581 / Symbol: BPIRX	16 917	205 71	08/07/12	200 13	5 58	Sale
RPM INTERNATIONAL INCORPORATED / CUSIP: 749685103 / Symbol RPM	5 000	128 24	01/06/12	117 30	10 94	Sale
RALCORP HLDGS INCORPORATED NEW / CUSIP 751028101 / Symbol RAH	1 000	75 34	01/06/12	73 09	2 25	Sale
12/06/12	8 000	715 50	01/06/12	584 71	130 79	Sale
12/31/12	9 000	806 74	01/06/12	657.80	148.94	Sale
Security total		1,597 58		1,315 60	281 98	
RANGE RES CORPORATION / CUSIP 75281A109 / Symbol RRC	2 000	122 93	01/06/12	122 52	0 41	Sale
RBC BEARINGS INCORPORATED / CUSIP 75524B104 / Symbol. ROLL	1 000	46 34	01/06/12	42 89	3 45	Sale
RELIANCE STEEL & ALUMINUM / CUSIP 759509102 / Symbol. RS	20 000	1,042 97	11/23/11	842 32	200 65	Sale
RESMED INCORPORATED / CUSIP. 761152107 / Symbol RMD	5 000	148 09	01/06/12	125 15	22 94	Sale
RITCHIE BROS AUCTIONEERS / CUSIP 767744105 / Symbol RBA	3,000	73 22	01/06/12	63.93	9 29	Sale
RIVERBED TECHNOLOGY / CUSIP 768573107 / Symbol RVBD	2 000	55 81	01/06/12	51 90	3 91	Sale



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8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange		1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
These columns are not reported to the IRS								
RIVERBED TECHNOLOGY / CUSIP 768573107 / Symbol RVBD (cont'd)								
12/06/12		32 000	573 87	01/06/12	830 35	-256 48	Sale	
		Security total	629 68		882.25	-252.57		
ROCK-TENN COMPANY CLASS A / CUSIP 772739207 / Symbol RKT								
01/06/12		15.000	916 04	01/25/11	944.98	-28.94	Sale	
ROFIN SINAR TECHNOLOGIES / CUSIP 775043102 / Symbol RSTI								
11/20/12		12 000	231 64	01/06/12	302 14	-70 50	Sale	
ROSETTA RESOURCES INCORPORATED / CUSIP 777779307 / Symbol ROSE								
2 tax lots for 01/06/12. Total proceeds (and cost when required) reported to the IRS								
		1 000	46 65	08/10/11	44 29	2 36	Sale	
01/06/12		19 000	886 39	08/18/11	845.32	41 07	Sale	
		20 000	933 04	VARIOUS	889 61	43 43	Total of 2 lots	
RUDDICK CORPORATION / CUSIP 781258108 / Symbol RDKOLD								
02/08/12		1.000	43.61	01/06/12	42.17	1 44	Sale	
GUGGENHEIM MANAGED FUTURES / CUSIP 78356A517 / Symbol RYMTX								
2 tax lots for 04/16/12. Total proceeds (and cost when required) reported to the IRS								
		194 288	4,400 62	01/06/12	4,694 00	-293 38	Sale	
04/16/12		14 524	328 97	02/08/12	339.00	-10 03	Sale	
		208 812	4,729.59	VARIOUS	5,033 00	-303 41	Total of 2 lots	
SLM CORPORATION / CUSIP: 78442P106 / Symbol: SLM								
01/06/12		67 000	937 41	10/19/11	895 58	41 83	Sale	
SANOFI SPONSORED ADR / CUSIP 80105N105 / Symbol SNY								
05/01/12		2 000	76 57	02/08/12	73 98	2 59	Sale	
SCHNITZER STEEL INDUSTRIES / CUSIP: 806882106 / Symbol SCHN								
08/30/12		21 000	567 50	01/06/12	925 52	-358 02	Sale	
SEVEN & I HLDGS COMPANY / CUSIP 81783H105 / Symbol: SVNDY								
02/08/12		1 000	56 43	01/18/12	56 44	-0.01	Sale	

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
SIGNATURE BK NEW YORK NY / CUSIP: 82669G104 / Symbol: SBNY							
08/30/12	3 000	192 20	01/06/12	184 86	7 34	Sale	
SMITH & NEPHEW PLC / CUSIP: 83175M205 / Symbol: SNN							
05/03/12	1 000	50 78	02/08/12	50 49	0 29	Sale	
SOLERA HOLDINGS INCORPORATED / CUSIP: 83421A104 / Symbol: SLH							
02/08/12	1 000	48 48	01/06/12	44 01	4 47	Sale	
SOTHEBYS / CUSIP: 835898107 / Symbol: BID							
01/06/12	29 000	838 20	11/18/11	876 17	-37 97	Sale	
SOURCEFIRE INCORPORATED / CUSIP: 83616T108 / Symbol: FIRE							
02/07/12	5 000	170 57	01/06/12	151 84	18 73	Sale	
05/02/12	6 000	352 92	01/06/12	182 21	170 71	Sale	
	Security total	523 49		334 05	189 44		
STARWOOD HOTELS&RESORTS WRLDWD / CUSIP: 85590A401 / Symbol: HOT							
02/08/12	12 000	677 26	10/17/11	553 94	123 32	Sale	
04/02/12	5 000	281 51	10/17/11	230 81	50 70	Sale	
04/05/12	12 000	660 14	10/17/11	553 95	106 19	Sale	
	Security total	1,618 91		1,338 70	280 21		
STERICYCLE INCORPORATED / CUSIP: 858912108 / Symbol: SRCL							
11/20/12	4 000	359 79	01/06/12	310 08	49 71	Sale	
12/31/12	2 000	184 56	01/06/12	155 04	29 52	Sale	
	Security total	544 35		465 12	79 23		
TARGET CORPORATION / CUSIP: 87612E106 / Symbol: TGT							
01/06/12	4 000	197 73	02/02/11	214 88	-17 15	Sale	
08/09/12	6 000	377 20	10/12/11	319 90	57 30	Sale	
12/13/12	4 000	245 04	05/30/12	230 99	14 05	Sale	
	Security total	819 97		765 77	54 20		
TEAM HEALTH HOLDINGS / CUSIP: 87817A107 / Symbol: TMH							
01/06/12	42 000	953 74	05/10/11	917 25	36 49	Sale	



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Report on Form 8949, Part I, with Box B checked

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TECHNIP NEW SPONSORED ADR / CUSIP 878546209 / Symbol: TKPPY							
2 tax lots for 05/31/12. Total proceeds (and cost when required) reported to the IRS.							
	17 000	385 92	11/09/11	378 42	7 50	Sale	
	5 000	113 50	12/13/11	113 95	-0 45	Sale	
05/31/12	22 000	499.42	VARIOUS	492 37	7.05	Total of 2 lots	
2 tax lots for 07/19/12. Total proceeds (and cost when required) reported to the IRS.							
	6 000	162.72	12/13/11	136 74	25 98	Sale	
	15 000	406 80	12/21/11	338 17	68 63	Sale	
07/19/12	21 000	569.52	VARIOUS	474.91	94 61	Total of 2 lots	
	Security total.	1,068 94		967.28	101 66		
TECK RESOURCES LIMITED CLASS B / CUSIP: 878742204 / Symbol: TCK							
05/31/12	1 000	29 51	02/08/12	41 21	-11.70	Sale	
TEMPLETON GLOBAL BOND FUND / CUSIP: 880208400 / Symbol: TGBAX							
02/08/12	11.741	155 22	01/06/12	145 94	9.28	Sale	
2 tax lots for 11/13/12. Total proceeds (and cost when required) reported to the IRS							
	33.789	452 43	01/06/12	420 00	32 43	Sale	
	183 092	2,451 60	01/06/12	2,275 83	175 77	Sale	
11/13/12	216 881	2,904.03	VARIOUS	2,695 83	208 20	Total of 2 lots	
	Security total	3,059 25		2,841 77	217 48		
TERADATA CORPORATION DEL / CUSIP 88076W103 / Symbol: TDC							
01/06/12	24 000	1,163 74	11/08/11	1,351 42	-187 68	Sale	
TESCO PLC SPONSORED ADR / CUSIP: 881575302 / Symbol: TSCDY							
08/14/12	2 000	31.50	02/08/12	31 44	0 06	Sale	
TESCO CORPORATION / CUSIP: 88157K101 / Symbol: TESO							
01/06/12	24 000	337 45	07/12/11	488 84	-151.39	Sale	
TEVA PHARMACEUTICAL INDUSTRIES / CUSIP 881624209 / Symbol: TEVA							
06/22/12	15 000	571 73	12/21/11	620 59	-48 86	Sale	

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
TEVA PHARMACEUTICAL INDUSTRIES / CUSIP: 881624209 / Symbol: TEVA (cont'd)							
3 tax lots for 06/28/12 Total proceeds (and cost when required) reported to the IRS.							
	2 000	76 80	12/21/11	82 75	-5 95	Sale	
	19 000	729 58	01/09/12	840 75	-111.17	Sale	
	4 000	153 59	02/29/12	180 16	-26 57	Sale	
06/28/12	25 000	959 97	VARIOUS	1,103 66	-143 69	Total of 3 lots	
2 tax lots for 07/06/12 Total proceeds (and cost when required) reported to the IRS.							
	5 000	197 66	02/29/12	225 20	-27 54	Sale	
	11 000	434 86	03/08/12	494 72	-59 86	Sale	
07/06/12	16 000	632.52	VARIOUS	719 92	-87 40	Total of 2 lots	
2 tax lots for 07/11/12. Total proceeds (and cost when required) reported to the IRS							
	3 000	117.76	03/08/12	134 92	-17.16	Sale	
	12 000	471 04	03/16/12	518 28	-47 24	Sale	
07/11/12	15 000	588 80	VARIOUS	653 20	-64 40	Total of 2 lots	
	Security total	2,753 02		3,097 37	-344 35		
TEXAS INSTRS INCORPORATED / CUSIP: 882508104 / Symbol: TXN							
5 tax lots for 05/30/12 Total proceeds (and cost when required) reported to the IRS							
	35 000	1,014 30	12/06/11	1,058 85	-44 55	Sale	
	7 000	202 86	01/09/12	208 57	-5 71	Sale	
	5 000	144.90	02/08/12	169.05	-24.15	Sale	
	12 000	347 76	02/10/12	399 72	-51 96	Sale	
	14 000	405 71	05/02/12	447 16	-41.45	Sale	
05/30/12	73 000	2,115 53	VARIOUS	2,283 35	-167 82	Total of 5 lots	
TIDEWATER INCORPORATED / CUSIP: 886423102 / Symbol: TDW							
01/06/12	27 000	1,356 96	01/27/11	1,558 56	-201 60	Sale	
TIFFANY & COMPANY NEW / CUSIP: 886547108 / Symbol: TIF							
08/29/12	13 000	812 61	03/08/12	893 75	-81.14	Sale	
4 tax lots for 09/13/12 Total proceeds (and cost when required) reported to the IRS							
	2 000	124.18	03/08/12	137 50	-13 32	Sale	
	5 000	310 46	04/02/12	350 30	-39 84	Sale	



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Report on Form 8949, Part I, with Box B checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes		
TIFFANY & COMPANY NEW / CUSIP 886547108 / Symbol TIF (cont'd)									
	10 000	620 92	04/05/12	681.69	-60 77	Sale			
	4 000	248 37	05/24/12	225 76	22 61	Sale			
09/13/12	21 000	1,303.93	VARIOUS	1,395.25	-91 32	Total of 4 lots			
12/21/12	10 000	581 00	05/24/12	564 40	16 60	Sale			
	Security total	2,697.54		2,853 40	-155 86				
TIM HORTONS INCORPORATED / CUSIP: 88706M103 / Symbol. THI									
01/06/12	22 000	1,042 12	10/17/11	1,079 82	-37 70	Sale			
TORO COMPANY / CUSIP 891092108 / Symbol TTC									
10/25/12	9 000	371 47	01/06/12	285 56	85 91	Sale			
TOWERS WATSON & COMPANY CLASS / CUSIP 891894107 / Symbol. TW									
01/06/12	13 000	762 65	07/12/11	819 23	-56.58	Sale			
TRACTOR SUPPLY COMPANY / CUSIP: 892356106 / Symbol TSCO									
01/13/12	6 000	482 09	01/06/12	422 37	59 72	Sale			
02/06/12	2 000	162 83	01/06/12	140 79	22 04	Sale			
02/08/12	1 000	84 01	01/06/12	70 40	13 61	Sale			
05/02/12	5 000	497 16	01/06/12	351 97	145.19	Sale			
	Security total	1,226 09		985 53	240 56				
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol. TUP									
02/08/12	3 000	186 20	01/06/12	166 25	19 95	Sale			
TYLER TECHNOLOGIES / CUSIP 902252105 / Symbol. TYL									
08/30/12	6 000	240 47	05/02/12	240 21	0 26	Sale			
US BANCORP DEL / CUSIP 902973304 / Symbol USB									
01/06/12	15 000	415.53	10/05/11	346 82	68 71	Sale			
02/08/12	2 000	59 43	10/05/11	46 24	13 19	Sale			
05/09/12	17 000	531 91	10/05/11	393 06	138 85	Sale			
	Security total	1,006 87		786 12	220 75				
UNITED THERAPEUTICS / CUSIP 91307C102 / Symbol UTHR									
12/31/12	7 000	368 96	01/06/12	343 42	25 54	Sale			

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Proceeds from Broker and Barter Exchange Transactions

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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
UNIVERSAL FST PRODUCTS / CUSIP: 913543104 / Symbol UFPI	2,000	67 85	01/06/12	60 04	7 81	Sale	
02/08/12							
VERISK ANALYTICS INCORPORATED / CUSIP: 92345Y106 / Symbol VRSK	36 000	1,413 69	10/07/11	1,243 22	170 47	Sale	
01/06/12							
VERTEX PHARMACEUTICALS / CUSIP: 92532F100 / Symbol VRTX	4 000	146 63	04/08/11	190 59	-43 96	Sale	
02/08/12							
05/30/12	14 000	812.07	06/10/11	683 20	128 87	Sale	
Security total		958 70		873 79	84 91		
VISA INCORPORATED COM CLASS A / CUSIP: 92826C839 / Symbol V	3,000	430 94	06/22/12	361 44	69 50	Sale	
11/13/12	4 000	556 26	06/22/12	481 92	74 34	Sale	
11/15/12		987 20		843 36	143 84		
Security total							
VODAFONE GROUP PLC NEW / CUSIP: 92857W209 / Symbol VOD	5 000	142.05	01/06/12	139 00	3 05	Sale	
09/28/12							
2 tax lots for 11/09/12 Total proceeds (and cost when required) reported to the IRS	1 000	26.73	01/06/12	27 80	-1 07	Sale	
	12 000	320.75	01/17/12	324.07	-3 32	Sale	
11/09/12	13 000	347 48	VARIOUS	351.87	-4 39	Total of 2 lots	
Security total		489 53		490 87	-1 34		
WABTEC CORPORATION / CUSIP: 929740108 / Symbol WAB	4 000	349 68	01/06/12	280 94	68 74	Sale	
12/06/12							
WADDELL & REED FINL / CUSIP: 930059100 / Symbol WDR	3 000	91 49	01/06/12	78 09	13 40	Sale	
02/08/12							
WASTE CONNECTIONS INCORPORATED / CUSIP: 941053100 / Symbol WCN	5 000	165 81	05/19/11	162 40	3 41	Sale	
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS	33,000	1,094 34	06/09/11	1,018 65	75 69	Sale	
01/06/12	38 000	1,260 15	VARIOUS	1,181 05	79 10	Total of 2 lots	



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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
WATERS CORPORATION / CUSIP 941848103 / Symbol WAT							
02/08/12	1 000	88.01	01/06/12	74 16	13 85	Sale	
07/03/12	3 000	236 66	01/06/12	222 48	14 18	Sale	
Security total.		324 67		296.64	28 03		
WELLS FARGO ADVANTAGE ASSET AL / CUSIP 94985D418 / Symbol EAIFX							
11/13/12	24 940	317.74	01/06/12	300 03	17 71	Sale	
WESTERN ASSET INFLATION INDEX- / CUSIP 957663883 / Symbol WAIIX							
11/13/12	74 889	930 12	06/11/12	912 90	17 22	Sale	
WISCONSIN ENERGY CORPORATION / CUSIP: 976657106 / Symbol WEC							
08/09/12	16 000	631 54	05/15/12	594 65	36 89	Sale	
2 tax lots for 09/21/12 Total proceeds (and cost when required) reported to the IRS							
	1 000	36 74	05/15/12	37 17	-0 43	Sale	
	15 000	551 10	06/07/12	575 42	-24 32	Sale	
09/21/12	16 000	587 84	VARIOUS	612 59	-24 75	Total of 2 lots	
Security total		1,219 38		1,207 24	12.14		
WOLVERINE WORLD WIDE / CUSIP: 978097103 / Symbol WWW							
01/06/12	24 000	834 47	07/18/11	946 88	-112 41	Sale	
WYNDHAM WORLDWIDE CORPORATION / CUSIP: 98310W108 / Symbol WYN							
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS							
	25 000	941 52	02/09/11	755 17	186 35	Sale	
	25 000	941 51	07/18/11	818 07	123 44	Sale	
01/06/12	50 000	1,883 03	VARIOUS	1,573 24	309 79	Total of 2 lots	
ZEBRA TECHNOLOGIES CORPORATION / CUSIP 989207105 / Symbol ZBRA							
02/08/12	2 000	79 12	01/06/12	70 66	8 46	Sale	
WEATHERFORD INTERNATIONAL / CUSIP H27013103 / Symbol WFT							
02/08/12	14 000	247 79	08/01/11	302 85	-55 06	Sale	
03/26/12	12 000	200 39	08/01/11	259 58	-59 19	Sale	

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Proceeds from Broker and Barter Exchange Transactions

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SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
WEATHERFORD INTERNATIONAL / CUSIP: H27013103 / Symbol: WFT (cont'd)							
	2 tax lots for 04/20/12 Total proceeds (and cost when required) reported to the IRS.						
	17 000	238 47	08/01/11	367 74	-129 27	Sale	
	44 000	617 21	11/17/11	684 00	-66 79	Sale	
04/20/12	61 000	855 68	VARIOUS	1,051 74	-196 06	Total of 2 lots	
	Security total	1,303 86		1,614 17	-310 31		
TE CONNECTIVITY LIMITED REG / CUSIP: H84989104 / Symbol: TEL							
11/13/12	7,000	242 40	08/24/12	244 85	-2 45	Sale	
CHECK POINT SOFTWARE TECH / CUSIP: M22465104 / Symbol: CHKP							
01/06/12	22 000	1,138 47	01/10/11	975 77	162 70	Sale	
ASML HOLDING N V / CUSIP: N07059186 / Symbol: ASMLOLD							
01/11/12	8 000	332 41	08/11/11	275 41	57 00	Sale	
NIELSEN HOLDINGS N V / CUSIP: N63218106 / Symbol: NLSN							
01/06/12	45 000	1,327 41	08/24/11	1,236 79	90 62	Sale	
AVAGO TECHNOLOGIES LIMITED SHS / CUSIP: Y0486S104 / Symbol: AVGO							
	2 tax lots for 01/06/12. Total proceeds (and cost when required) reported to the IRS						
	45 000	1,270 81	07/29/11	1,508 19	-237 38	Sale	
	8 000	225 92	08/31/11	272 66	-46 74	Sale	
01/06/12	53 000	1,496 73	VARIOUS	1,780 85	-284 12	Total of 2 lots	
Totals:		204,471.03		201,403.15	3,067.88		



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Proceeds from Broker and Barter Exchange Transactions

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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
AIR LIQUIDE / CUSIP: 009126202 / Symbol: AIQUY							
01/12/12	20 000	497 39	02/08/10	403 13	94 26	Sale	
07/17/12	0.500	10 72	02/08/10	9 16	1 56	Sale	
09/26/12	36 900	915 66	02/08/10	676 15	239 51	Sale	
Security total.		1,423 77		1,088 44	335 33		
AMERICAN TOWER CORPORATION NEW / CUSIP: 03027X100 / Symbol: AMT							
02/08/12	3 000	190 37	11/26/10	155 34	35 03	Sale	
2 tax lots for 02/29/12 Total proceeds (and cost when required) reported to the IRS							
9 000		562 49	11/26/10	466 03	96 46	Sale	
1 000		62 50	01/11/11	50 47	12 03	Sale	
10 000		624 99	VARIOUS	516 50	108 49	Total of 2 lots	
02/29/12							
03/08/12	10 000	629 74	01/11/11	504 65	125 09	Sale	
Security total.		1,445 10		1,176 49	268 61		
AMPHENOL CORPORATION NEW CLASS / CUSIP: 032095101 / Symbol: APH							
4 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS							
24 000		1,109 30	02/08/08	904 11	205 19	Sale	
5 000		231 10	11/13/08	170 26	120 84	Sale	
6 000		277 32	01/21/10	256 52	20 80	Sale	
10 000		462 21	02/08/10	395 40	66 81	Sale	
45 000		2,079 93	VARIOUS	1,666 29	413 64	Total of 4 lots	
01/06/12							
ANADARKO PETE CORPORATION / CUSIP: 032511107 / Symbol: APC							
01/06/12	5 000	401 16	02/08/10	316 70	84 46	Sale	
02/08/12	1 000	86 40	02/08/10	63 34	23 06	Sale	
2 tax lots for 11/13/12 Total proceeds (and cost when required) reported to the IRS							
4 000		285 35	02/08/10	253 36	31 99	Sale	
2 000		142 68	06/22/10	85 16	57 52	Sale	
6 000		428 03	VARIOUS	338 52	89 51	Total of 2 lots	
Security total		915 59		718 56	197 03		
ANHEUSER BUSCH INBEV SANV / CUSIP: 03524A108 / Symbol: BUD							
02/08/12	3 000	194 19	12/03/10	173 23	20 96	Sale	
04/05/12	9 000	633 75	12/03/10	519 69	114 06	Sale	

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Proceeds from Broker and Barter Exchange Transactions

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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS						
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
ANHEUSER BUSCH INBEV SANV / CUSIP 03524A108 / Symbol BUD (cont'd)						
04/26/12	12 000	866 04	12/03/10	692 93	173 11	Sale
2 tax lots for 08/02/12. Total proceeds (and cost when required) reported to the IRS						
	8 000	634 94	12/27/10	455 59	179 35	Sale
	5 000	396 83	02/02/11	281 19	115 64	Sale
08/02/12	13 000	1,031 77	VARIOUS	736 78	294 99	Total of 2 lots
2 tax lots for 09/06/12. Total proceeds (and cost when required) reported to the IRS						
	3 000	264 08	02/02/11	168 72	95 36	Sale
	7 000	616 20	04/20/11	434 42	181 78	Sale
09/06/12	10 000	880 28	VARIOUS	603 14	277 14	Total of 2 lots
Security total				2,725 77	880 26	
APACHE CORPORATION / CUSIP 037411105 / Symbol APA						
02/08/12	2 000	208 95	02/04/11	235 41	-26 46	Sale
03/26/12	8 000	809 69	02/04/11	941 62	-131 93	Sale
2 tax lots for 05/24/12. Total proceeds (and cost when required) reported to the IRS						
	3 000	249 58	02/04/11	353 11	-103 53	Sale
	6 000	499 15	03/21/11	737 30	-238 15	Sale
05/24/12	9 000	748 73	VARIOUS	1,090 41	-341 68	Total of 2 lots
2 tax lots for 12/03/12. Total proceeds (and cost when required) reported to the IRS						
	3 000	231 36	03/21/11	368 65	-137 29	Sale
	4 000	308 48	07/25/11	514 26	-205 78	Sale
12/03/12	7 000	539 84	VARIOUS	882 91	-343 07	Total of 2 lots
Security total				3,150 35	-843 14	
AUTODESK INCORPORATED / CUSIP 052769106 / Symbol: ADSK						
02/08/12	17 000	655 86	10/19/10	557 95	97 91	Sale
10/08/12	12 000	393 17	10/19/10	393 85	-0 68	Sale
2 tax lots for 10/24/12. Total proceeds (and cost when required) reported to the IRS						
	12 000	375 99	10/19/10	393 85	-17 86	Sale
	4 000	125 33	02/08/11	170 40	-45 07	Sale
10/24/12	16 000	501 32	VARIOUS	564 25	-62 93	Total of 2 lots
Security total:				1,516 05	34 30	



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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
BP PLC SPONSORED ADR / CUSIP 055622104 / Symbol BP							
06/25/12	10 000	375.10	07/21/10	363.80	11.30	Sale	
BNP PARIBAS SPONSORED ADR / CUSIP: 05565A202 / Symbol: BNPQY							
04/10/12	13 000	260.25	02/08/10	423.15	-162.90	Sale	
BAYER A G SPONSORED ADR / CUSIP 072730302 / Symbol BAYRY							
02/08/12	1 000	72.25	02/08/10	65.15	7.10	Sale	
12/07/12	7 000	649.51	02/08/10	456.05	193.46	Sale	
	Security total	721.76		521.20	200.56		
BAYERISCHE MOTOREN WERKE A G / CUSIP: 072743206 / Symbol BAMXY							
08/22/12	39 000	987.12	06/03/10	621.66	365.46	Sale	
BIO RAD LABS INCORPORATED / CUSIP. 090572207 / Symbol BIO							
01/06/12	8 000	785.74	04/14/10	862.47	-76.73	Sale	
BOEING COMPANY / CUSIP 097023105 / Symbol BA							
01/06/12	7 000	516.67	11/26/10	455.50	61.17	Sale	
02/29/12	12 000	904.44	11/26/10	780.87	123.57	Sale	
2 tax lots for 05/17/12 Total proceeds (and cost when required) reported to the IRS.							
	1,000	71.03	11/26/10	65.07	5.96	Sale	
	8 000	568.23	12/01/10	517.41	50.82	Sale	
05/17/12	9 000	639.26	VARIOUS	582.48	56.78	Total of 2 lots	
3 tax lots for 05/30/12 Total proceeds (and cost when required) reported to the IRS							
	4 000	279.12	12/01/10	258.71	20.41	Sale	
	10 000	697.81	12/03/10	663.17	34.64	Sale	
	8 000	558.25	12/20/10	506.07	52.18	Sale	
05/30/12	22 000	1,535.18	VARIOUS	1,427.95	107.23	Total of 3 lots	
11/13/12	5 000	368.69	12/20/10	316.29	52.40	Sale	
	Security total:	3,964.24		3,563.09	401.15		
CHINA CONSTR BK CORPORATION / CUSIP 168919108 / Symbol CICHY							
10/03/12	34 000	473.26	04/15/10	596.50	-123.24	Sale	

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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

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CHINA CONSTR BK CORPORATION / CUSIP 168919108 / Symbol CICHY (cont'd)									
2 tax lots for 11/08/12 Total proceeds (and cost when required) reported to the IRS.									
	15,000	221 33	04/15/10	263 16	-41 83	Sale			
	19,000	280 35	08/30/11	277 21	3 14	Sale			
11/08/12	34,000	501 68	VARIOUS	540 37	-38 69	Total of 2 lots			
	Security total	974 94		1,136 87	-161 93				
CISCO SYSTEMS INCORPORATED / CUSIP 17275R102 / Symbol CSCO									
3 tax lots for 05/15/12 Total proceeds (and cost when required) reported to the IRS									
	29,000	482 84	01/28/11	614 22	-131 38	Sale			
	32,000	532 79	02/04/11	703 31	-170 52	Sale			
	17,000	283 04	02/09/11	375 85	-92 81	Sale			
05/15/12	78,000	1,298 67	VARIOUS	1,693 38	-394 71	Total of 3 lots			
2 tax lots for 05/30/12. Total proceeds (and cost when required) reported to the IRS.									
	34,000	557 24	02/09/11	751 71	-194 47	Sale			
	25,000	409 74	02/14/11	471 98	-62 24	Sale			
05/30/12	59,000	966 98	VARIOUS	1,223 69	-256 71	Total of 2 lots			
2 tax lots for 06/07/12. Total proceeds (and cost when required) reported to the IRS									
	7,000	116 06	02/14/11	132 15	-16 09	Sale			
	36,000	596 86	04/08/11	635 36	-38 50	Sale			
06/07/12	43,000	712 92	VARIOUS	767 51	-54 59	Total of 2 lots			
	Security total	2,978 57		3,684 58	-706 01				
COMCAST CORPORATION NEW CLASS / CUSIP: 20030N101 / Symbol CMCSA									
2 tax lots for 11/13/12 Total proceeds (and cost when required) reported to the IRS									
	8,000	291 75	10/19/11	190 40	101 35	Sale			
	7,000	255 28	11/07/11	159 53	95 75	Sale			
11/13/12	15,000	547 03	VARIOUS	349 93	197 10	Total of 2 lots			
12/03/12	9,000	333 54	11/07/11	205 11	128 43	Sale			
	Security total	880 57		555 04	325 53				
CREDIT SUISSE GROUP / CUSIP: 225401108 / Symbol CS									
05/18/12	23,000	454 49	02/12/10	970 37	-515 88	Sale			



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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

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		These columns are not reported to the IRS			
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				Additional Information	
				Notes	
CREDIT SUISSE COMMODITY RETURN / CUSIP 22544R305 / Symbol. CRSOX	2,644 717	21,898 26	02/08/10	20,919 72	978.54 Sale
01/06/12					
DASSAULT SYSTEMS S A SPONSORED / CUSIP 237545108 / Symbol DASTY	4 000	385 47	07/20/10	267 96	117 51 Sale
05/03/12					
DEUTSCHE BOERSE / CUSIP 251542106 / Symbol DBOEY					
3 tax lots for 01/19/12 Total proceeds (and cost when required) reported to the IRS					
	44,000	237 59	02/26/09	216 86	20 73 Sale
	48 000	259 19	03/09/09	185 88	73 31 Sale
	37,000	199 80	01/25/10	268 81	-69 01 Sale
01/19/12	129 000	696 58	VARIOUS	671 55	25 03 Total of 3 lots
DISNEY WALT COMPANY COM DISNEY / CUSIP. 254687106 / Symbol. DIS					
2 tax lots for 10/24/12 Total proceeds (and cost when required) reported to the IRS					
	7,000	356 51	07/11/11	275 23	81 28 Sale
	6 000	305 58	08/11/11	194 28	111 30 Sale
10/24/12	13,000	662.09	VARIOUS	469 51	192.58 Total of 2 lots
DODGE & COX INCOME FUND NIL / CUSIP. 256210105 / Symbol DODIX					
06/11/12	3,160 050	43,197 88	02/08/10	41,428 26	1,769 62 Sale
11/13/12	323 378	4,507 89	02/08/10	4,239 49	268 40 Sale
	Security total	47,705 77		45,667.75	2,038 02
DR PEPPER SNAPPLE GROUP / CUSIP 26138E109 / Symbol DPS					
01/06/12	27 000	1,047 07	06/25/10	994 69	52 38 Sale
01/17/12	6 000	227 02	06/25/10	221 04	5 98 Sale
2 tax lots for 02/10/12 Total proceeds (and cost when required) reported to the IRS					
	4 000	152 60	06/25/10	147 36	5 24 Sale
	13 000	495 96	09/16/10	456 28	39 68 Sale
02/10/12	17 000	648 56	VARIOUS	603 64	44 92 Total of 2 lots
2 tax lots for 02/29/12. Total proceeds (and cost when required) reported to the IRS					
	1,000	38 08	09/16/10	35.10	2 98 Sale

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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
DR PEPPER SNAPPLE GROUP / CUSIP: 26138E109 / Symbol DPS (cont'd)							
02/29/12	7 000	266 56	10/01/10	249 40	17 16	Sale	
	8 000	304 64	VARIOUS	284 50	20 14	Total of 2 lots	
2 tax lots for 03/08/12. Total proceeds (and cost when required) reported to the IRS							
03/08/12	14 000	528 08	10/01/10	498 80	29 28	Sale	
	3 000	113 16	02/08/11	105 69	7 47	Sale	
	17 000	641 24	VARIOUS	604 49	36 75	Total of 2 lots	
	Security total	2,868 53		2,708 36	160 17		
EBAY INCORPORATED / CUSIP: 278642103 / Symbol EBAY							
2 tax lots for 09/06/12 Total proceeds (and cost when required) reported to the IRS							
09/06/12	9 000	440 63	07/25/11	304 54	136 09	Sale	
	4 000	195 84	08/01/11	130 54	65 30	Sale	
	13 000	636 47	VARIOUS	435 08	201 39	Total of 2 lots	
11/15/12	15 000	690 88	08/01/11	489 53	201 35	Sale	
	Security total	1,327 35		924 61	402 74		
ECOLAB INCORPORATED / CUSIP: 278865100 / Symbol ECL							
03/08/12	10 000	593 67	02/24/11	478 87	114 80	Sale	
ENERGIS S A SPONSORED ADR / CUSIP: 29274F104 / Symbol ENI							
07/19/12	35 000	681 07	06/21/11	789 08	-108 01	Sale	
10/03/12	10 000	166 11	06/21/11	225 45	-59 34	Sale	
	Security total	847 18		1,014 53	-167 35		
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol XOM							
01/06/12	13 000	1,113 87	02/08/10	847 60	266 27	Sale	
02/17/12	7 000	595 26	02/08/10	456 40	138 86	Sale	
05/02/12	8 000	688 48	02/08/10	521 60	166 88	Sale	
07/23/12	25 000	2,118 70	02/08/10	1,630 00	488 70	Sale	
	Security total	4,516 31		3,455 60	1,060 71		
FEDERATED SHORT TERM INCOME FU / CUSIP: 31420C787 / Symbol FSTYX							
2 tax lots for 04/16/12 Total proceeds (and cost when required) reported to the IRS.							
	3 686	31 81	VARIOUS	31 70	0 11	Sale	



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Raymond James & Associates, Inc.

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS								
8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
FEDERATED SHORT TERM INCOME FU / CUSIP: 31420C787 / Symbol FSTYX (cont'd)		3,628 970	31,318 01	03/14/11	31,281 72	36 29	Sale	
	04/16/12	3,632 656	31,349 82	VARIOUS	31,313 42	36 40	Total of 2 lots	
FORD MTR COMPANY DEL COM PAR / CUSIP: 345370860 / Symbol F		14 000	164 66	10/25/10	196 97	-32 31	Sale	
GOOGLE INCORPORATED CLASS A / CUSIP: 38259P508 / Symbol GOOG		1,000	653 73	03/19/10	561 34	92 39	Sale	
	06/15/12	1 000	559 49	04/30/10	535 25	24 24	Sale	
	07/27/12	2 000	1,241 35	07/13/10	967 97	273 38	Sale	
	09/06/12	1 000	691.87	07/13/10	483 98	207 89	Sale	
	Security total:		3,146 44		2,548 54	597 90		
HDFC BANK LIMITED ADR REPS 3 / CUSIP: 40415F101 / Symbol HDB		9 000	301 29	02/08/10	199 62	101 67	Sale	
HSBC HLDGS PLC / CUSIP: 404280406 / Symbol HBC		4,298	177 58	04/29/09	147 94	29 64	Sale	
		12,702	524 81	05/01/09	454 97	69 84	Sale	
	01/19/12	17 000	702 39	VARIOUS	602 91	99 48	Total of 2 lots	
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol HAL		11 000	403 61	03/31/10	330 10	73 51	Sale	
		7 000	256 84	05/06/10	211 53	45 31	Sale	
	02/02/12	18 000	660 45	VARIOUS	541 63	118 82	Total of 2 lots	
	04/05/12	17,000	559 45	05/06/10	513 73	45 72	Sale	
	Security total		1,219 90		1,055 36	164 54		
HENNES & MAURITZ AB / CUSIP: 425883105 / Symbol HNNMY		40 000	263 51	04/08/11	284 49	-20 98	Sale	
	05/01/12	80 000	544 00	04/08/11	568 99	-24 99	Sale	
	Security total		807 51		853 48	-45.97		

Raymond James & Associates, Inc.

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Proceeds from Broker and Barter Exchange Transactions

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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
INTERCONTINENTALEXCHANGE / CUSIP 45865V100 / Symbol ICE							
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS							
	8 000	930 69	02/08/10	786.40	144 29	Sale	
	7 000	814 36	01/03/11	842 57	-28 21	Sale	
01/06/12	15 000	1,745 05	VARIOUS	1,628 97	116 08	Total of 2 lots	
INTERNATIONAL PAPER COMPANY / CUSIP 460146103 / Symbol IP							
01/06/12	8 000	249 44	11/12/10	205 64	43 80	Sale	
04/02/12	12 000	421 57	11/12/10	308 45	113 12	Sale	
04/05/12	28 000	977 48	12/15/10	720 82	256 66	Sale	
	Security total	1,648 49		1,234 91	413 58		
JPMORGAN CHASE & COMPANY / CUSIP 46625H100 / Symbol JPM							
01/06/12	16 000	565 49	08/04/10	659 80	-94 31	Sale	
02/08/12	11 000	420 96	08/04/10	453 62	-32 66	Sale	
2 tax lots for 03/16/12. Total proceeds (and cost when required) reported to the IRS							
	9 000	400 04	08/04/10	371 14	28 90	Sale	
	3 000	133 35	08/17/10	112 53	20 82	Sale	
03/16/12	12 000	533 39	VARIOUS	483 67	49 72	Total of 2 lots	
2 tax lots for 07/06/12 Total proceeds (and cost when required) reported to the IRS							
	10 000	340 69	08/17/10	375 10	-34 41	Sale	
	4 000	136 27	08/31/10	144 72	-8 45	Sale	
07/06/12	14 000	476 96	VARIOUS	519 82	-42 86	Total of 2 lots	
	Security total	1,996 80		2,116 91	-120 11		
JOHNSON CONTROLS INCORPORATED / CUSIP 478366107 / Symbol JCI							
01/06/12	11 000	366 09	07/16/10	317 77	48 32	Sale	
2 tax lots for 04/16/12. Total proceeds (and cost when required) reported to the IRS							
	4 000	129 80	07/16/10	115 55	14 25	Sale	
	14 000	454 31	07/28/10	405 83	48 48	Sale	
04/16/12	18 000	584.11	VARIOUS	521 38	62 73	Total of 2 lots	
05/09/12	8 000	249 37	07/28/10	231 91	17 46	Sale	



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Raymond James & Associates, Inc.

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
JOHNSON CONTROLS INCORPORATED / CUSIP 478366107 / Symbol JCI (cont'd)							
3 tax lots for 05/30/12 Total proceeds (and cost when required) reported to the IRS.							
	5 000	152 28	07/28/10	144 94	7 34	Sale	
	13 000	395 92	09/16/10	375 68	20 24	Sale	
	3 000	91 36	05/04/11	120 29	-28 93	Sale	
05/30/12	21 000	639 56	VARIOUS	640 91	-1 35	Total of 3 lots	
2 tax lots for 10/08/12. Total proceeds (and cost when required) reported to the IRS							
	8 000	221 08	05/04/11	320 79	-99 71	Sale	
	22 000	607 97	09/09/11	643 92	-35 95	Sale	
	30 000	829 05	VARIOUS	964 71	-135.66	Total of 2 lots	
10/08/12				2,676 68	-8.50		
Security total							
		2,668 18					
JPMORGAN CORE BOND FUND CLASS / CUSIP 4812C0357 / Symbol PGBOX							
06/11/12	3,795 839	45,625 99	02/08/10	42,741 14	2,884 85	Sale	
11/13/12	276 859	3,369 38	02/08/10	3,117 43	251 95	Sale	
	Security total	48,995.37		45,858 57	3,136 80		
JPMORGAN HIGH YIELD FUND SELEC / CUSIP 4812C0803 / Symbol OHYFX							
01/06/12	1,233.875	9,476 16	02/08/10	9,525 52	-49 36	Sale	
06/11/12	1,244 398	9,681 42	02/08/10	9,606 75	74 67	Sale	
11/13/12	185.494	1,500 65	02/08/10	1,432 01	68 64	Sale	
	Security total	20,658 23		20,564 28	93 95		
KEPPEL LIMITED SPONSORED ADR / CUSIP 492051305 / Symbol KPELY							
02/08/12	2 000	34 63	02/08/10	21 36	13 27	Sale	
KRAFT FOODS INCORPORATED CLASS / CUSIP 50075N104 / Symbol KFTOLD							
01/06/12	32 000	1,207 06	04/16/10	987 81	219 25	Sale	
01/17/12	8 000	306 56	04/16/10	246 95	59 61	Sale	
2 tax lots for 07/06/12 Total proceeds (and cost when required) reported to the IRS							
	11 000	429 11	04/16/10	339 56	89 55	Sale	
	5 000	195 05	05/28/10	145 05	50 00	Sale	
07/06/12	16 000	624 16	VARIOUS	484 61	139 55	Total of 2 lots	
	Security total	2,137 78		1,719 37	418 41		

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
KRAFT FOODS GROUP INCORPORATED / CUSIP: 50076Q106 / Symbol: KRFT							
10/12/12	0 666	30 93	05/28/10	20 35	10 58	Sale	
2 tax lots for 11/13/12 Total proceeds (and cost when required) reported to the IRS							
	4 667	205 19	05/28/10	142 52	62 67	Sale	
	4 333	190 53	07/01/10	126 62	63 91	Sale	
11/13/12	9 000	395.72	VARIOUS	269 14	126 58	Total of 2 lots	
Security total							
		426 65		289 49	137 16		
CLEARBRIDGE EQUITY INCOME / CUSIP: 52469H636 / Symbol: SOPAX							
11/13/12	167 581	2 399 76	03/14/11	2 205 37	194 39	Sale	
LOWES COMPANIES INCORPORATED / CUSIP: 548661107 / Symbol: LOW							
01/06/12	25 000	658 05	02/08/10	550 02	108 03	Sale	
01/17/12	11 000	293 72	02/08/10	242 01	51 71	Sale	
02/08/12	12 000	323 69	02/08/10	264 01	59 68	Sale	
03/08/12	4 000	116 83	02/08/10	88 00	28 83	Sale	
04/17/12	15 000	481 63	02/08/10	330 02	151 61	Sale	
08/24/12	37 000	1 020 92	02/08/10	814 04	206 88	Sale	
10/12/12	4 000	125 16	02/08/10	88 00	37 16	Sale	
Security total:							
		3 020 00		2 376 10	643 90		
MEDTRONIC INCORPORATED / CUSIP: 585055106 / Symbol: MDT							
04/16/12	20 000	750 63	10/25/10	720 49	30 14	Sale	
06/22/12	4 000	152 43	10/25/10	144 10	8 33	Sale	
Security total:							
		903 06		864 59	38 47		
MERCK & COMPANY INCORPORATED / CUSIP: 58933Y105 / Symbol: MRK							
01/06/12	13 000	501 95	08/11/08	464 85	37 10	Sale	
04/16/12	16 000	607 98	08/11/08	572.12	35 86	Sale	
2 tax lots for 05/02/12 Total proceeds (and cost when required) reported to the IRS							
	5 000	195 84	08/11/08	178 79	17 05	Sale	
	12 000	470 03	02/02/11	404 37	65 66	Sale	
05/02/12	17 000	665 87	VARIOUS	583 16	82 71	Total of 2 lots	
11/13/12	4 000	176 35	02/02/11	134 79	41 56	Sale	
Security total							
		1,952.15		1,754 92	197 23		



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Raymond James & Associates, Inc.

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

		These columns are not reported to the IRS				
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
METLIFE INCORPORATED / CUSIP: 59156R108 / Symbol: MET						
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS						
	21 000	700 16	07/08/10	824 64	-124.48	Sale
	4 000	133 36	07/23/10	156 67	-23.31	Sale
01/06/12	25 000	833 52	VARIOUS	981 31	-147 79	Total of 2 lots
MICROSOFT CORPORATION / CUSIP: 594918104 / Symbol: MSFT						
2 tax lots for 01/06/12. Total proceeds (and cost when required) reported to the IRS						
	9 000	251 21	02/08/10	252 54	-1 33	Sale
	20 000	558 23	03/25/10	603 58	-45 35	Sale
01/06/12	29 000	809 44	VARIOUS	856 12	-46 68	Total of 2 lots
2 tax lots for 02/08/12 Total proceeds (and cost when required) reported to the IRS						
	8 000	243 35	03/25/10	241 43	1.92	Sale
	2 000	60 84	04/13/10	60 54	0 30	Sale
02/08/12	10 000	304 19	VARIOUS	301 97	2 22	Total of 2 lots
02/29/12	13 000	414.82	04/13/10	393 50	21 32	Sale
2 tax lots for 04/26/12. Total proceeds (and cost when required) reported to the IRS						
	12 000	384.47	04/13/10	363.24	21 23	Sale
	1 000	32 04	12/20/10	27 96	4 08	Sale
04/26/12	13 000	416 51	VARIOUS	391 20	25.31	Total of 2 lots
09/21/12	21 000	656 44	12/20/10	587.16	69 28	Sale
2 tax lots for 10/08/12 Total proceeds (and cost when required) reported to the IRS						
	3 000	89 64	12/20/10	83 88	5 76	Sale
	16 000	478 06	12/27/10	446 88	31 18	Sale
10/08/12	19 000	567 70	VARIOUS	530 76	36.94	Total of 2 lots
2 tax lots for 10/24/12 Total proceeds (and cost when required) reported to the IRS						
	11 000	307.88	12/27/10	307.23	0 65	Sale
	20 000	559.79	02/02/11	560 60	-0 81	Sale
10/24/12	31 000	867 67	VARIOUS	867 83	-0 16	Total of 2 lots
11/13/12	4 000	108 99	02/24/11	107 15	1 84	Sale

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

8 - Description / CUSIP / 1d - Symbol				These columns are not reported to the IRS			Notes
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	
MICROSOFT CORPORATION / CUSIP: 594918104 / Symbol: MSFT (cont'd)							
2 tax lots for 11/15/12 Total proceeds (and cost when required) reported to the IRS							
	16,000	427 99	02/24/11	428 60	-0 61	Sale	
	7 000	187 24	03/17/11	175 26	11 98	Sale	
11/15/12	23 000	615 23	VARIOUS	603 86	11 37	Total of 2 lots	
2 tax lots for 12/03/12 Total proceeds (and cost when required) reported to the IRS							
	29,000	768 48	03/17/11	726 09	42.39	Sale	
	16 000	423 99	11/07/11	424 16	-0 17	Sale	
12/03/12	45 000	1,192 47	VARIOUS	1,150 25	42.22	Total of 2 lots	
	Security total	5,953 46		5,789 80	163.66		
MID-AMER APT CMNTYS / CUSIP: 59522J103 / Symbol: MAA							
11/07/12	7 000	447 26	08/22/11	461 62	-14 36	Sale	
MONDELEZ INTERNATIONAL / CUSIP: 609207105 / Symbol: MDLZ							
2 tax lots for 11/15/12 Total proceeds (and cost when required) reported to the IRS							
	16 000	401.60	05/28/10	301 27	100 33	Sale	
	4 000	100 40	07/01/10	72 07	28 33	Sale	
11/15/12	20 000	502 00	VARIOUS	373 34	128 66	Total of 2 lots	
2 tax lots for 11/20/12 Total proceeds (and cost when required) reported to the IRS							
	16 000	412 47	07/01/10	288 30	124 17	Sale	
	6 000	154 67	02/08/11	120 69	33 98	Sale	
11/20/12	22 000	567 14	VARIOUS	408 99	158 15	Total of 2 lots	
	Security total	1,069 14		782 33	286 81		
MONOTYPE IMAGING HOLDINGS / CUSIP: 61022P100 / Symbol: TYPE							
01/06/12	117 000	1,776 11	02/08/10	1,054 16	721 95	Sale	
MONSANTO COMPANY NEW / CUSIP: 61166W101 / Symbol: MON							
01/06/12	8 000	619 69	08/10/10	467 49	152 20	Sale	
05/24/12	12 000	877 46	04/15/11	810 57	66 89	Sale	
11/13/12	2 000	171 53	04/15/11	135 10	36 43	Sale	
	Security total	1,668 68		1,413 16	255 52		



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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange
 1e - Quantity
 2a - Proceeds of # stocks, bonds, etc.
 Date of acquisition
 Cost or other basis
 Gain or loss
 Additional Information
 Notes

NESTLE S A SPONSORED ADR / CUSIP 641069406 / Symbol: NSRGY

02/08/12 2 000 117.36

2 tax lots for 04/16/12 Total proceeds (and cost when required) reported to the IRS

6 000 365 51

05/19/08

6 000 365 51

07/31/08

12 000 731 02

VARIOUS

2 tax lots for 07/11/12 Total proceeds (and cost when required) reported to the IRS.

5 000 300 09

07/31/08

1 000 60 02

6 000 360 11

VARIOUS

26 000 1 566 98

02/08/10

Security total 2,775 47

NETAPP INCORPORATED / CUSIP 64110D104 / Symbol NTAP

12/07/12 2 000 66 32

11/30/11

NEUBERGER BERMAN HIGH INCOME B / CUSIP 64128K702 / Symbol NHIAX

01/06/12 738 824 6,627 25

06/11/12 1,060 643 9,567 00

11/13/12 164 199 1,545 11

Security total 17,739 36

PACCAR INCORPORATED / CUSIP 693718108 / Symbol PCAR

01/06/12 7 000 281 82

01/19/12 23 000 1,014 30

Security total 1,296 12

PAYCHEX INCORPORATED / CUSIP 704326107 / Symbol PAYX

2 tax lots for 05/02/12 Total proceeds (and cost when required) reported to the IRS

27 000 825 98

02/08/10

9 000 275 32

02/08/11

36 000 1,101 30

VARIOUS

PEPSICO INCORPORATED / CUSIP 713448108 / Symbol PEP

09/13/12 7 000 493 77

08/31/11

451 91

Sale

41 86

Sale

Total of 2 lots

16 17

Total of 2 lots

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Proceeds from Broker and Barter Exchange Transactions

2012

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LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

				These columns are not reported to the IRS		
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
Notes						
PEPSICO INCORPORATED / CUSIP 713448108 / Symbol PEP (cont'd)						
11/13/12	6 000	413 69	09/26/11	368 39	45 30	Sale
	Security total.	907 46		820 30	87 16	
PFIZER INCORPORATED / CUSIP 717081103 / Symbol PFE						
04/16/12	23 000	504 40	08/01/07	543 00	-38 60	Sale
11/13/12	13 000	314 33	08/01/07	306 91	7 42	Sale
12/03/12	41 000	1,027 43	08/01/07	967 96	59 47	Sale
	Security total.	1,846 16		1,817 87	28 29	
RAYONIER INCORPORATED REIT / CUSIP 754907103 / Symbol RYN						
01/06/12	28 000	1,262 79	02/08/10	757 49	505 30	Sale
01/10/12	0.500	22 61	02/08/10	13 53	9 08	Sale
	Security total	1,285 40		771 02	514 38	
ROCHE HLDG LIMITED SPONSORED / CUSIP 771195104 / Symbol RHHBY						
2 tax lots for 02/08/12 Total proceeds (and cost when required) reported to the IRS.						
	2 000	88 77	10/21/08	73 44	15 33	Sale
02/08/12	1 000	44 39	11/21/08	31 91	12 48	Sale
	3 000	133 16	VARIOUS	105 35	27 81	Total of 2 lots
ROCK-TENN COMPANY CLASS A / CUSIP 772739207 / Symbol: RKT						
01/06/12	2 000	122 14	02/08/10	79 28	42 86	Sale
ROLLS ROYCE HOLDINGS PLC / CUSIP 775781206 / Symbol: RYCEY						
08/22/12	16 000	1,059 39	06/28/11	788 25	271 14	Sale
T ROWE PRICE INTERNATIONAL BO / CUSIP 77956H831 / Symbol PAIBX						
01/06/12	554 240	5,359 50	02/08/10	5,387 21	-27 71	Sale
06/11/12	1,250 576	12,118 08	02/08/10	12,155 60	-37 52	Sale
11/13/12	115 219	1,163 71	02/08/10	1,119 93	43 78	Sale
	Security total.	18,641 29		18,662 74	-21 45	
ROYAL DUTCH SHELL PLC / CUSIP 780259206 / Symbol: RDS A						
02/08/12	1 000	72 66	02/08/10	54 81	17 85	Sale



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(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
ROYAL KPN NV SPONSORED ADR / CUSIP: 780641205 / Symbol KKPNY							
04/03/12	56 000	610.34	02/08/10	885.36	-275.02	Sale	
SLM CORPORATION / CUSIP 78442P106 / Symbol SLM							
01/06/12	92 000	1,287.19	04/08/10	1,211.65	75.54	Sale	
ST JUDE MED INCORPORATED / CUSIP: 790849103 / Symbol STJ							
01/06/12	25 000	878.52	03/24/10	1,008.77	-130.25	Sale	
SANOFI SPONSORED ADR / CUSIP: 80105N105 / Symbol. SNY							
2 tax lots for 04/11/12 Total proceeds (and cost when required) reported to the IRS							
	7 000	255.28	02/08/10	253.19	2.09	Sale	
	1 000	36.47	06/18/10	30.58	5.89	Sale	
04/11/12	8 000	291.75	VARIOUS	283.77	7.98	Total of 2 lots	
05/01/12	14 000	535.97	06/18/10	428.12	107.85	Sale	
	Security total	827.72		711.89	115.83		
SCHLUMBERGER LIMITED / CUSIP 806857108 / Symbol SLB							
01/06/12	5 000	339.88	02/08/10	316.55	23.33	Sale	
02/08/12	6 000	472.61	02/25/10	358.80	113.81	Sale	
07/23/12	3 000	205.14	02/25/10	179.40	25.74	Sale	
3 tax lots for 10/08/12 Total proceeds (and cost when required) reported to the IRS							
	4 000	285.55	02/25/10	239.19	46.36	Sale	
	6 000	428.33	05/20/10	361.89	66.44	Sale	
	2 000	142.78	06/04/10	115.40	27.38	Sale	
10/08/12	12 000	856.66	VARIOUS	716.48	140.18	Total of 3 lots	
11/13/12	2 000	139.07	06/04/10	115.40	23.67	Sale	
	Security total	2,013.36		1,686.63	326.73		
SCHWAB CHARLES CORPORATION NEW / CUSIP 808513105 / Symbol SCHW							
01/06/12	72 000	866.24	02/08/10	1,293.08	-426.84	Sale	
01/19/12	27 000	338.61	02/08/10	484.91	-146.30	Sale	
02/10/12	51 000	622.68	02/08/10	915.93	-293.25	Sale	
2 tax lots for 04/17/12 Total proceeds (and cost when required) reported to the IRS							
	16 000	223.99	02/08/10	287.35	-63.36	Sale	

Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

These columns are not reported to the IRS

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
SCHWAB CHARLES CORPORATION NEW / CUSIP: 808513105 / Symbol SCHW (cont'd)							
	36 000	503 99	09/03/10	497 88	6 11	Sale	
04/17/12	52 000	727 98	VARIOUS	785 23	-57 25	Total of 2 lots	
	Security total	2,555 51		3,479 15	-923 64		
SIEMENS A G SPONSORED ADR / CUSIP: 826197501 / Symbol SI							
02/08/12	2 000	202 11	09/09/08	195 27	6 84	Sale	
SMITH & NEPHEW PLC / CUSIP 83175M205 / Symbol. SNN							
05/03/12	9 000	457 07	07/08/10	396 71	60 36	Sale	
SOLUTIA INCORPORATED COM NEW / CUSIP: 834376501 / Symbol. SOAOLD							
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS							
	14 000	245 69	06/03/10	216 85	28 84	Sale	
	56 000	982 78	07/01/10	697 01	285 77	Sale	
01/06/12	70 000	1,228 47	VARIOUS	913.86	314 61	Total of 2 lots	
SUMITOMO MITSUI FINL GROUP / CUSIP: 86562M209 / Symbol: SMFG							
12/07/12	59 000	383 85	02/08/10	368.16	15 69	Sale	
TAIWAN SEMICONDUCTOR MFG / CUSIP 874039100 / Symbol. TSM							
07/12/12	16 000	205 27	02/08/10	155 00	50 27	Sale	
TARGET CORPORATION / CUSIP: 87612E106 / Symbol: TGT							
01/06/12	7 000	346 03	12/01/10	404 00	-57 97	Sale	
02/08/12	2 000	104 59	02/02/11	107 44	-2 85	Sale	
03/08/12	4 000	228 25	02/02/11	214.88	13 37	Sale	
2 tax lots for 04/17/12 Total proceeds (and cost when required) reported to the IRS							
	1 000	57 71	02/02/11	53 72	3 99	Sale	
	10,000	577 08	02/17/11	539 05	38 03	Sale	
04/17/12	11 000	634 79	VARIOUS	592 77	42 02	Total of 2 lots	
3 tax lots for 07/06/12 Total proceeds (and cost when required) reported to the IRS							
	7 000	402 44	02/17/11	377 33	25 11	Sale	
	7 000	402 44	03/17/11	356.42	46 02	Sale	



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Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

2012

(continued)

LONG-TERM TRANSACTIONS

6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange		1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
These columns are not reported to the IRS								
TARGET CORPORATION / CUSIP 87612E106 / Symbol TGT (cont'd)								
		1 000	57 49	06/01/11	49 12	8 37	Sale	
07/06/12		15 000	862.37	VARIOUS	782 87	79 50	Total of 3 lots	
07/18/12		8 000	490 22	06/01/11	392 96	97 26	Sale	
08/09/12		4 000	251 46	06/01/11	196 48	54 98	Sale	
11/13/12		7 000	439 24	10/12/11	373 22	66 02	Sale	
		Security total:	3,356 95		3,064 62	292 33		
TECK RESOURCES LIMITED CLASS B / CUSIP 878742204 / Symbol TCK								
05/31/12		19 000	560 65	02/08/10	636 31	-75 66	Sale	
TESCO PLC SPONSORED ADR / CUSIP 881575302 / Symbol TSCDY								
4 tax lots for 08/14/12 Total proceeds (and cost when required) reported to the IRS								
		6 000	94 50	07/13/09	104 65	-10 15	Sale	
		18 000	283 49	06/10/10	319 48	-35 99	Sale	
		23 000	362 24	09/24/10	480 70	-118 46	Sale	
		2 000	31 50	02/08/11	38 88	-7 38	Sale	
08/14/12		49 000	771 73	VARIOUS	943 71	-171 98	Total of 4 lots	
TESCO CORPORATION / CUSIP 88157K101 / Symbol. TESO								
01/06/12		61 000	857 69	08/06/10	753 19	104 50	Sale	
US BANCORP DEL / CUSIP 902973304 / Symbol USB								
12/13/12		21 000	667 36	10/05/11	485 55	181 81	Sale	
2 tax lots for 12/21/12 Total proceeds (and cost when required) reported to the IRS								
		37 000	1,191.16	10/05/11	855 49	335 67	Sale	
		23 000	740 45	12/16/11	603 75	136 70	Sale	
12/21/12		60 000	1,931.61	VARIOUS	1,459 24	472 37	Total of 2 lots	
		Security total:	2,598 97		1,944 79	654 18		
UNITED PARCEL SERVICE / CUSIP 911312106 / Symbol UPS								
01/06/12		4 000	295 55	02/08/10	228 04	67 51	Sale	
02/08/12		1 000	77 00	02/08/10	57 01	19 99	Sale	
06/15/12		11 000	856 00	02/08/10	627 11	228 89	Sale	
06/22/12		7 000	540 31	02/08/10	399 07	141 24	Sale	

Raymond James & Associates, Inc.

Account 78696658

Proceeds from Broker and Barter Exchange Transactions

2012

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

8 - Description / CUSIP / 1d - Symbol							These columns are not reported to the IRS		
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes		
UNITED PARCEL SERVICE / CUSIP: 911312106 / Symbol: UPS (cont'd)									
2 tax lots for 10/24/12 Total proceeds (and cost when required) reported to the IRS.									
	13 000	960 30	02/08/10	741 13	219 17	Sale			
	1 000	73 87	05/18/11	73 27	0.60	Sale			
10/24/12	14 000	1,034 17	VARIOUS	814 40	219 77	Total of 2 lots			
	Security total:	2,803 03		2,125.63	677 40				
VERTEX PHARMACEUTICALS / CUSIP 92532F100 / Symbol VRTX									
05/09/12	11,000	672 56	04/08/11	524 11	148.45	Sale			
05/30/12	1 000	58 01	04/08/11	47 65	10.36	Sale			
	Security total	730 57		571 76	158 81				
VODAFONE GROUP PLC NEW / CUSIP 92857W209 / Symbol VOD									
01/10/12	12 000	333 74	10/17/05	351 50	-17.76	Sale			
3 tax lots for 09/13/12 Total proceeds (and cost when required) reported to the IRS.									
	13 000	371.92	10/17/05	380.79	-8 87	Sale			
	10 000	286 09	02/07/08	332 20	-46 11	Sale			
	2 000	57 22	06/17/08	59 65	-2 43	Sale			
09/13/12	25 000	715 23	VARIOUS	772 64	-57 41	Total of 3 lots			
09/28/12	18 000	511 36	06/17/08	536 85	-25 49	Sale			
	Security total:	1,560.33		1,660 99	-100 66				
WILEY JOHN & SONS INCORPORATED / CUSIP 968223206 / Symbol JW A									
2 tax lots for 01/06/12 Total proceeds (and cost when required) reported to the IRS									
	15 000	675 15	02/08/10	597 90	77 25	Sale			
	27 000	1,215 28	03/25/10	1,146 90	68 38	Sale			
01/06/12	42 000	1,890 43	VARIOUS	1,744 80	145 63	Total of 2 lots			
ALLIED WRLD ASSUR COM HLDG AG / CUSIP H01531104 / Symbol: AWH									
01/06/12	37 000	2,331 37	02/08/10	1,657 60	673 77	Sale			
ASML HOLDING N V N Y REGISTRY / CUSIP N07059210 / Symbol ASML									
12/24/12	0 010	0 63	08/11/11	0 29	0 34	Sale			
Totals:		320,396.29		304,019.97	16,376.32				



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Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. GWENDOLYN HALSEY-ALBERTSON FOUNDATION	Employer identification number (EIN) or 13-7294838
	Number, street, and room or suite no. If a P.O. box, see instructions. 103 IVEY LANE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. FLAT ROCK, NC 28731	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARY GWEN TYDA

- The books are in the care of ► **103 IVEY LANE - FLAT ROCK, NC 28731**

Telephone No. ► **631-283-4955**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	213.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	405.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form 8868 (Rev. 1-2013)