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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation Carter and Melissa Cafritz Charitable Trust		A Employer identification number 13-7049874
Number and street (or P O box number if mail is not delivered to street address) 1660 L Street, NW		B Telephone number (see the instructions) (202) 466-5200
City or town Washington	State ZIP code DC 20036	C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 3,156,691.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc., received (att sch)	110,000.			
2 <input type="checkbox"/> If the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	2.			
4 Dividends and interest from securities	48,389.	48,389.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		44,898.		
8 Net short-term capital gain			334.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
Inv in CyStrat Micro Bridge Fund, LLC-	-4,876.	-4,876.		
12 Total. Add lines 1 through 11	153,515.	88,411.	334.	
ADMINISTRATIVE AND EXPENSES				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) L-16b Stmt	3,483.			3,483.
c Other prof fees (attach sch) L-16c Stmt	52,608.			52,608.
17 Interest				
18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	1,190.	1,190.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	971.			971.
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	3,070.	8.		3,062.
24 Total operating and administrative expenses. Add lines 13 through 23	61,322.	1,198.		60,124.
25 Contributions, gifts, grants paid	182,500.			182,500.
26 Total expenses and disbursements. Add lines 24 and 25	243,822.	1,198.		242,624.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-90,307.			
b Net investment income (if negative, enter -0-)		87,213.		
c Adjusted net income (if negative, enter -0-)			334.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing	28,550.	551,451.	551,451.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) L-13 Stmt	2,888,106.	2,321,437.	2,573,876.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe L-15 Stmt)	31,364.	31,364.	31,364.	
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	2,948,020.	2,904,252.	3,156,691.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
F U N D A S S E T B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,948,020.	2,904,252.	
	30 Total net assets or fund balances (see instructions)	2,948,020.	2,904,252.	
	31 Total liabilities and net assets/fund balances (see instructions)	2,948,020.	2,904,252.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,948,020.
2 Enter amount from Part I, line 27a	2	-90,307.
3 Other increases not included in line 2 (itemize) Misc book to tax differences	3	46,539.
4 Add lines 1, 2, and 3	4	2,904,252.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,904,252.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired
P — Purchase
D — Donation(c) Date acquired
(month, day, year)(d) Date sold
(month, day, year)

1 a Long term cap gain distributions	P	01/01/10	12/31/12
b Stock sales- Short Term	P	various	12/31/12
c Stock sales- Long Term	P	01/01/10	12/31/12
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 41,714.		0.	41,714.
b 23,309.		22,975.	334.
c 618,406.		615,556.	2,850.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			41,714.
b 0.	0.	0.	334.
c			2,850.
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] —	2	44,898.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	— [] —	3	334.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	282,230.	3,037,641.	0.092911
2010	251,533.	3,253,748.	0.077306
2009	206,026.	3,124,965.	0.065929
2008	232,312.	3,514,758.	0.066096
2007	233,390.	3,984,740.	0.058571

2 Total of line 1, column (d)	2	0.360813
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.072163
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,009,208.
5 Multiply line 4 by line 3	5	217,153.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	872.
7 Add lines 5 and 6	7	218,025.
8 Enter qualifying distributions from Part XII, line 4	8	242,624.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	872.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	872.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	872.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6a	1,036.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,036.	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	164.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax	11	164.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>Carter Cafritz</u> Telephone no <u>(202) 466-5200</u> Located at <u>1660 L Street, Ste 300</u> <u>Washington, DC</u> ZIP + 4 <u>20036</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country.				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?▶ ☐**5 b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b**

If 'Yes' to 6b, file Form 8870

X

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7 b****b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mary T. Hallisy 11613 Springhouse Pl, Reston VA 20194	Director 15.00	52,608.	0.	0.
Carter Cafritz 1660 L Street NW Ste 300 Washington DC 20036	Trustee 2.00	0.	0.	0.
Melissa Cafritz 1660 L Street NW Ste 300 Washington DC 20036	Trustee 2.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 The Carter & Melissa Cafritz Charitable Trust was established by Mr. & Mrs. Carter Cafritz to support nonprofit organizations serving the needs of disadvantaged residents (especially children and youth in the District of Columbia). During the year, the Charitable Trust made donations to organizations listed in Part XV.	182,500.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	2,733,670.
b Average of monthly cash balances	1 b	290,000.
c Fair market value of all other assets (see instructions)	1 c	31,364.
d Total (add lines 1a, b, and c)	1 d	3,055,034.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	3,055,034.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	45,826.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,009,208.
6 Minimum investment return. Enter 5% of line 5	6	150,460.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	150,460.
2a Tax on investment income for 2012 from Part VI, line 5	2 a	872.
b Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	872.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	149,588.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	149,588.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	149,588.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	242,624.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	242,624.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	872.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	241,752.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				149,588.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2012				
a From 2007	43,557.			
b From 2008	56,574.			
c From 2009	51,121.			
d From 2010	88,846.			
e From 2011	131,578.			
f Total of lines 3a through e	371,676.			
4 Qualifying distributions for 2012 from Part XII, line 4 \blacktriangleright \$ 242,624.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				149,588.
e Remaining amount distributed out of corpus	93,036.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	464,712.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	43,557.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	421,155.			
10 Analysis of line 9:				
a Excess from 2008	56,574.			
b Excess from 2009	51,121.			
c Excess from 2010	88,846.			
d Excess from 2011	131,578.			
e Excess from 2012	93,036.			

BAA

Form 990-PF (2012)

N/A

- Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

NONE

- NONE

N/A

- N/A

- N/A

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities	523000		14		48,389.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory	523000		18		44,898.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	Ordinary Income (Inv in CyStrat Micro Bridge)	523000		1	-4,876.	
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				-4,876.	93,287.
13	Total. Add line 12, columns (b), (d), and (e)				13	88,411.

(See worksheet in line 13 instructions to verify calculations)

Part XVI B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF

OMB No 1545-0047

2012

Name of the organization

Carter and Melissa Cafritz Charitable Trust

Employer identification number

13-7049874

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

Carter and Melissa Cafritz Charitable Trust

13-7049874

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Carter Cafritz 1660 L Street NW Washington DC 20036	\$ 110,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Foreign	190.	190.		
Federal	1,000.	1,000.		
Total	<u>1,190.</u>	<u>1,190.</u>		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Dues	2,825.			2,825.
Office	237.			237.
Interest Exp-Margin	8.	8.		
Total	<u>3,070.</u>	<u>8.</u>		<u>3,062.</u>

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person <input checked="" type="checkbox"/> Business <input type="checkbox"/> Thomas B. Wilner 1660 L Street NW Ste 300 Washington DC 20036	Trustee 2.00	0.	0.	0.
Person <input checked="" type="checkbox"/> Business <input type="checkbox"/> Teresa Bertholf 1660 L Street NW Washington DC 20036	Trustee 2.00	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
See Forever Foundation				Person or Business <input checked="" type="checkbox"/>
1851 19th St NW				25,000.
Washington DC 20001		501(c)	General	
Sasha Bruce Youth Work				Person or Business <input checked="" type="checkbox"/>
741 8th Ste SE				15,000.
Washington DC 20003		501(c)	General	
Urban Alliance				Person or Business <input checked="" type="checkbox"/>
1327 14th Street NW				10,500.
Washington DC 20005		501(c)	General	
Total				50,500.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sarecky & Brooks, PC	Maintaining accounting	3,483.			3,483.
Total		3,483.			3,483.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Mary T. Hallisy	Director of Events	52,608.			52,608.
Total		52,608.			52,608.

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Investments- DB	2,309,585.	2,563,876.

Form 990-PF, Page 2, Part II, Line 13

Continued

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Investment in Ironwood LA Holdings LLC 04-3261356	16,499.	10,000.
Investment in CyStrat Microbridge Fund LLC	-4,647.	0.
Total	<u>2,321,437.</u>	<u>2,573,876.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Distribution Receivable - Inv in 1775 Eye Street	31,364.	31,364.	31,364.
Total	<u>31,364.</u>	<u>31,364.</u>	<u>31,364.</u>

Account Number: 5XG-042693

Recipient's Identification
Number 13-7049874

**2012 TAX and
YEAR-END STATEMENT**
As of 02/25/2013

Recipient's Name and Address:

CARTER & MELISSA CAFRITZ CHAR TR
UAD 11/08/94
C CAFRITZ & M CAFRITZ & T WILNER
& T D BERTHOLF TTEES
1660 L ST NW STE 300
WASHINGTON DC 20036-5641

Your Client Advisor:

HASSAN/GERMANN
ONE PICKWICK PLAZA
3RD FLOOR
GREENWICH CT 06830
(203) 863-2352
ID. CHG

Payer Information:

PERSHING, LLC

**Federal Identification
Number:** 13-2741729

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Summary of Gross Proceeds and Regulated Futures Contracts

Details are reported to the IRS. Refer to the 1099-B section of this statement for those details.

Box	Amount
1	Gross Proceeds (Less Commissions and Fees) 641,714.82
3	Cost or Other Basis 638,531.35
4	Adjustments 0.00
5	Federal Tax Withheld - Gross Proceeds 0.00
6	State Tax Withheld - Gross Proceeds 0.00
7	Regulated Futures and Foreign Currency Forward Contracts:
8	Profit or (Loss) Realized in 2012 0.00
9	Unrealized Profit or (Loss) on Open Contracts - 12/31/2011 0.00
10	Unrealized Profit or (Loss) on Open Contracts - 12/31/2012 0.00
11	Aggregate Profit or (Loss) 0.00
12	Fed. Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts ... 0.00
13	State Tax Withheld - Reg. Futures and Foreign Curr. Forward Contracts 0.00

2012 1099-INT Interest Income OMB No. 1545-0112

Box	Amount
1	Interest Income 0.00
3	Interest on U.S. Savings Bonds & Treasury Obligations 0.00
4	Federal Income Tax Withheld 0.00
5	Investment expenses 0.00
6	Foreign Tax Paid 0.00
8	Tax-Exempt Interest 0.00
9	Specified Private Activity Bond Interest 0.00
10	Tax-Exempt Bond CUSIP No. Various
11/12/13	State/State ID# /State Tax Withheld

2012 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box	Amount
1a	Total Ordinary Dividends 47,959.83
1b	Qualified Dividends 6,489.33
2a	Total Capital Gain Distributions 41,713.60
2b	Unrecaptured Section 1250 Gain 0.00
2c	Section 1202 Gain 0.00
2d	Collectibles (28%) Gain 0.00
3	Nondividend Distributions 0.00
4	Federal Income Tax Withheld 0.00
5	Investment expenses 0.00
6	Foreign Tax Paid 189.62
8	Cash Liquidation Distributions 0.00
9	NonCash Liquidation Distributions 0.00
10	Exempt Interest Dividends 0.00
11	Specified Private Activity Bond Interest Dividends 0.00
12/13/14	State/State ID# /State Tax Withheld

Summary of Original Issue Discount

Details are reported to the IRS. Refer to the 1099-OD section of this statement for those details.

Amount
Original Issue Discount (Non-U.S. Treasury Obligations) 0.00
Other Periodic Interest 0.00
Original Issue Discount on U.S. Treasury Obligations 0.00
Investment expenses 0.00
Federal Income Tax Withheld 0.00
State Tax Withheld

Recipient's Name and Address:
CARTER & MELISSA CAFRITZ CHAR TR
UAD 11/08/94

Account Number: 5XG-042693

Recipient's Identification
Number 13-7049874

**2012 TAX and
YEAR-END STATEMENT**
As of 02/25/2013

2012 Form 1099-B **Proceeds From Broker and Barter Exchange Transactions** **OMB No. 1545-0715**
(For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Adjustments			Redeemed Gain or (Loss)
						Cost or Other Basis (Box 3)	W=Wash Sale Loss (Box 5) O=Option Premium R=Return of Capital		

Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked

Covered (Box 6b)

Description (Box 8): LORD ABBETT SHORT DU RATION INCOME FUND CLASS A									
CUSIP (Box 1d): 543916100									
441 400	SELL	FIRST IN FIRST OUT	01/31/2012	12/19/2012	2,052.51	2,021.61			30.90
439 515	SELL	FIRST IN FIRST OUT	02/29/2012	12/19/2012	2,043.74	2,021.77			21.97
425 465	SELL	FIRST IN FIRST OUT	03/30/2012	12/19/2012	1,978.41	1,957.14			21.27
416 993	SELL	FIRST IN FIRST OUT	04/30/2012	12/19/2012	1,939.02	1,918.17			20.85
406 667	SELL	FIRST IN FIRST OUT	05/31/2012	12/19/2012	1,891.00	1,858.47			32.53
404 810	SELL	FIRST IN FIRST OUT	06/29/2012	12/19/2012	1,882.37	1,858.08			24.29
395 664	SELL	FIRST IN FIRST OUT	07/31/2012	12/19/2012	1,839.84	1,824.01			15.83
404 325	SELL	FIRST IN FIRST OUT	08/31/2012	12/19/2012	1,880.11	1,867.98			12.13
394 918	SELL	FIRST IN FIRST OUT	09/28/2012	12/19/2012	1,836.37	1,832.42			3.95
395 768	SELL	FIRST IN FIRST OUT	10/31/2012	12/19/2012	1,840.32	1,840.32			0.00
379 245	SELL	FIRST IN FIRST OUT	11/30/2012	12/19/2012	1,763.49	1,763.49			0.00
37 426	SELL	FIRST IN FIRST OUT	12/18/2012	12/19/2012	174.04	174.03			0.01
4,542.196	SALE DATE TOTAL		VARIOUS	12/19/2012	21,121.22	20,937.49		0.00	183.73
	SECURITY TOTAL				21,121.22	20,937.49			183.73
Short-Term Covered Total					21,121.22	20,937.49		0.00	183.73

2

Recipient's Name and Address:
CARTER & MELISSA CAFRITZ CHAR TR
UAD 11/08/94

Account Number: 5XG-042693

Recipient's Identification
Number: 13-7049874

2012 TAX and
YEAR-END STATEMENT
As of 02/25/2013

2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 (Continued)
(For individuals report details on Form 1040 Schedule B Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Redeemed Gain or (Loss)
							W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	

Short-Term Transactions for Which Basis Is Not Reported to the IRS: Report on Form 8949, Part I, with Box B Checked

Noncovered (Box 6a)

Description (Box 8): LORD ABBETT SHORT DU RATION INCOME FUND CLASS A									
449.088	SELL	FIRST IN FIRST OUT	12/30/2011	12/19/2012	2,088.26	2,038.86			49.40
449.088	SALE DATE TOTAL		VARIOUS	12/19/2012	2,088.26	2,038.86			49.40
Short-Term Noncovered Total					2,088.26	2,038.86	0.00		49.40
Short-Term Total					23,209.48	22,976.35	0.00		233.13

Long-Term Transactions for Which Basis Is Not Reported to the IRS: Report on Form 8949, Part II, with Box B Checked

Noncovered (Box 6a)

Description (Box 8): ALGER SPECTRA FUND CLASS C									
374.532	SELL	FIRST IN FIRST OUT	03/17/2010	05/03/2012	5,000.00	4,063.67			936.33
Description (Box 8): FIDELITY ADVISOR NEW INSIGHTS FUND CLASS C									
247.525	SELL	FIRST IN FIRST OUT	01/13/2010	06/06/2012	5,000.00	4,150.99			849.01
Description (Box 8): FRANKLIN SMALL-CAP VALUE FUND CLASS C									
232.180	SELL	FIRST IN FIRST OUT	02/07/2011	04/02/2012	10,000.00	10,032.50			(32.50)
Description (Box 8): HENDERSON INTL OPPORTUNITIES FUND CLASS C									
1,722.158	SELL	FIRST IN FIRST OUT	02/17/2011	06/18/2012	30,000.00	36,406.42			(6,406.42)

Recipient's Name and Address:
CARTER & MELISSA CAFRITZ CHAR TR
UAD 11/08/94

Account Number: 5XG-042693

Recipient's Identification
Number 13-7049874

**2012 TAX and
YEAR-END STATEMENT
As of 02/25/2013**

2012 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0015 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Disposition Method	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Adjustments			Realized Gain or (Loss)
						Cost or Other Basis (Box 3)	W=Wash Sale Loss (Box 5) O=Option Premium R=Return of Capital		

Long-Term Transactions for Which Basis Is Not Reported to the IRS: Report on Form 8949, Part II, with Box B Checked

Noncovered (Box 6a) (continued)

Description (Box 8): LORD ABBETT SHORT DU RATION INCOME FUND CLASS A

CUSIP (Box 1d): 543916100

109,409 190	SELL	FIRST IN FIRST OUT	01/13/2010	12/19/2012	508,752.73	500,000.00			8,752.73
178 773	SELL	FIRST IN FIRST OUT	01/29/2010	12/19/2012	831.29	818.78			12.51
408 655	SELL	FIRST IN FIRST OUT	02/26/2010	12/19/2012	1,900.25	1,871.64			28.61
402 092	SELL	FIRST IN FIRST OUT	03/31/2010	12/19/2012	1,869.73	1,845.60			24.13
403 993	SELL	FIRST IN FIRST OUT	04/30/2010	12/19/2012	1,878.57	1,862.41			16.16
393 378	SELL	FIRST IN FIRST OUT	05/28/2010	12/19/2012	1,829.21	1,801.67			27.54
398 364	SELL	FIRST IN FIRST OUT	06/30/2010	12/19/2012	1,852.39	1,828.49			23.90
388 138	SELL	FIRST IN FIRST OUT	07/30/2010	12/19/2012	1,804.84	1,797.08			7.76
394 080	SELL	FIRST IN FIRST OUT	08/31/2010	12/19/2012	1,832.47	1,828.53			3.94
400 277	SELL	FIRST IN FIRST OUT	09/30/2010	12/19/2012	1,861.29	1,865.29			(4.00)
405 612	SELL	FIRST IN FIRST OUT	10/29/2010	12/19/2012	1,886.10	1,894.21			(8.11)
403 080	SELL	FIRST IN FIRST OUT	11/30/2010	12/19/2012	1,874.32	1,870.29			4.03
597 559	SELL	FIRST IN FIRST OUT	12/17/2010	12/19/2012	2,778.65	2,748.77			29.88
27 161	SELL	FIRST IN FIRST OUT	12/17/2010	12/19/2012	126.30	124.94			1.36
410 278	SELL	FIRST IN FIRST OUT	12/31/2010	12/19/2012	1,907.79	1,887.28			20.51
405 835	SELL	FIRST IN FIRST OUT	01/31/2011	12/19/2012	1,887.13	1,870.90			16.23
417 829	SELL	FIRST IN FIRST OUT	02/28/2011	12/19/2012	1,942.90	1,926.19			16.71

Recipient's Name and Address:
CARTER & MELISSA CAFRITZ CHAR TR
UAD 11/08/94

Account Number: 5XG-042693

Recipient's Identification
Number 13-7049874

**2012 TAX and
YEAR-END STATEMENT
As of 02/25/2013**

2012 Form 1099-B Proceeds from Broker and Barter Exchange Transactions OMB No. 1545-0715 (Continued)
(For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 18)

Quantity (Box 1a)	Disposition Transaction	Disposition Method (Box 1b)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1c)	Gross Proceeds Less Commissions and Fees (Box 2e)	Adjustments			Realized Gain or (Loss)
						Cost or Other Basis (Box 3)	W=Wash Sale Loss (Box 5) O=Option Premium R=Return of Capital		

Long-Term Transactions for Which Basis Is Not Reported to the IRS: Report on Form 8949, Part II, with Box B Checked

Noncovered (Box 6a) (continued)

Description (Box 8): LORD ABBETT SHORT DU RATION INCOME FUND C CLASS A									
CUSIP (Box 1d): 543916100 (continued)									
412,013	SELL	FIRST IN FIRST OUT	03/31/2011	12/19/2012	1,915.86	1,895.26			20.60
412,426	SELL	FIRST IN FIRST OUT	04/29/2011	12/19/2012	1,917.78	1,905.41			12.37
412,948	SELL	FIRST IN FIRST OUT	05/31/2011	12/19/2012	1,920.21	1,911.95			8.26
436,093	SELL	FIRST IN FIRST OUT	06/30/2011	12/19/2012	2,027.83	2,006.03			21.80
433,193	SELL	FIRST IN FIRST OUT	07/29/2011	12/19/2012	2,014.35	1,997.02			17.33
445,237	SELL	FIRST IN FIRST OUT	08/31/2011	12/19/2012	2,070.35	2,025.83			44.52
452,091	SELL	FIRST IN FIRST OUT	09/30/2011	12/19/2012	2,102.22	2,038.93			63.29
446,246	SELL	FIRST IN FIRST OUT	10/31/2011	12/19/2012	2,075.04	2,030.42			44.62
455,991	SELL	FIRST IN FIRST OUT	11/30/2011	12/19/2012	2,120.36	2,065.64			54.72
119,350,532	SALE DATE TOTAL		VARIOUS	12/19/2012	554,979.96	545,718.56			9,261.40
	SECURITY TOTAL				554,979.96	545,718.56			9,261.40

Description (Box 8): PIMCO COMMODITY REAL RETURN STRATEGY FUN D INSTITUTIONAL CLASS

CUSIP (Box 1d): 722005667									
1,984,768	SELL	FIRST IN FIRST OUT	06/04/2009	12/19/2012	13,258.25	15,000.00			(1,741.75)
25,049	SELL	FIRST IN FIRST OUT	06/18/2009	12/19/2012	167.33	182.86			(15.53)
2,009,817	SALE DATE TOTAL		VARIOUS	12/19/2012	13,425.58	15,182.86			(1,757.28)
	SECURITY TOTAL				13,425.58	15,182.86			(1,757.28)
	Long-Term Noncovered Total				618,405.54	615,555.00	0.00		2,850.54

Recipient's Name and Address:
CARTER & MELISSA CAFRITZ CHAR TR
UAD 11/08/94

Account Number: 5XG-042693

Recipient's Identification
Number 13-7049874

**2012 TAX and
YEAR-END STATEMENT
As of 02/25/2013**

2012 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Fees (Box 2a)	Cost or Other Basis (Box 3)	Adjustments		Redeemed Gain or (Loss)
						W=Wash Sale Loss (Box 5)	O=Option Premium R=Return of Capital	
Long-Term Total				618,405.54	615,555.00	0.00		2,850.54

Transactions for Which Basis Is Not Reported to the IRS and for Which Short- or Long-Term Determination is Unknown (to Broker); Report on Form 8949, in either Part I or Part II as appropriate, with Box B Checked Noncovered (Box 6a)

Description (Box 9): SPDR GOLD TR GOLD SHS CASH INCOME 2012

CUSIP (Box 1d): 78463V107

156	TRUST SALE		01/11/2012	8.14				
156	TRUST SALE		02/14/2012	7.85				
156	TRUST SALE		03/13/2012	8.08				
156	TRUST SALE		04/10/2012	8.78				
156	TRUST SALE		05/16/2012	9.29				
156	TRUST SALE		06/12/2012	7.90				
156	TRUST SALE		07/06/2012	7.97				
156	TRUST SALE		08/10/2012	8.05				
156	TRUST SALE		09/12/2012	8.24				
156	TRUST SALE		10/08/2012	9.12				
156	TRUST SALE		11/19/2012	8.42				
156	TRUST SALE		12/11/2012	7.96				
SECURITY TOTAL				99.80				

Other Noncovered Total

99.80

80
99.80

Total

641,714.82

0.00

3,083.67