efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319030443

Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2012

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning 01-01-2012 , 2012, and ending 12-31-2012

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Doing Business As
THE NATIONAL DEVELOPMENT COUNCIL

Number and street (or P O box if mail is not delivered to street address) Room/suite
708 THIRD AVENUE
ROOM/SUITE 710

City or town, state or country, and ZIP + 4
NEW YORK, NY 10017

D Employer identification number
13-6532871

E Telephone number
(212)682-1106

G Gross receipts $ 15,670,148

F Name and address of principal officer
ROBERT W DAVENPORT
708 THIRD AVENUE SUITE 710
NEW YORK, NY 10017

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ WWW NATIONALDEVELOPMENTCOUNCIL ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1972 **M** State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
NDC'S THREE PRIMARY EXEMPT PURPOSES ARE TO ENCOURAGE AND FOSTER BUSINESS OWNERSHIP BY MEMBERS OF DISADVANTAGED GROUPS CONDUCT PROGRAMS TO INFORM AND AID COMMUNITIES IN OBTAINING AND UTILIZING GOVERNMENTAL AND OTHER FUNDS FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT PROVIDE HOUSING FOR LOW INCOME PERSONS

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	10
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	7
5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	94
6	Total number of volunteers (estimate if necessary)	6	
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	11,578,609	9,707,839
	9	Program service revenue (Part VIII, line 2g)	7,082,460	5,592,868
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	65,040	369,441
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,300	0
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	18,727,409	15,670,148
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	125,000	1,889,763
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	7,235,983	7,167,732
	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶675,313		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	5,195,709	6,863,708
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	12,556,692	15,921,203
	19	Revenue less expenses Subtract line 18 from line 12	6,170,717	-251,055
			Beginning of Current Year	**End of Year**
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	21,715,874	21,731,765
	21	Total liabilities (Part X, line 26)	8,894,047	9,427,099
	22	Net assets or fund balances Subtract line 21 from line 20	12,821,827	12,304,666

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2013-11-08
Signature of officer Date

GERTRUDE SCRIVEN CFO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RICK BIANCHI CPA		2013-11-14		

Firm's name ▶ SHALLO GALLUSCIO BIANCHI & FUCITO CPAS — Firm's EIN ▶

Firm's address ▶ 21 NORTH SEVENTH STREET
HUDSON, NY 125342520 — Phone no (518) 828-6500

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☑ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III . . . . ☑

1 Briefly describe the organization's mission

NDC'S THREE PRIMARY EXEMPT PURPOSES ARE TO ENCOURAGE AND FOSTER BUSINESS OWNERSHIP BY MEMBERS OF DISADVANTAGED GROUPS CONDUCT PROGRAMS TO INFORM AND AID COMMUNITIES IN OBTAINING AND UTILITIZING GOVERNMENTAL AND OTHER FUNDS FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT PROVIDE HOUSING FOR LOW INCOME PERSONS

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 3,039,542 including grants of $ 1,889,763) (Revenue $ 1,647,821)

ENCOURAGE AND FOSTER BUSINESS OWNERSHIP BY MEMBERS OF DISADVANTAGED GROUPS SEE SCHEDULE O ENCOURAGE AND FOSTER BUSINESS OWNERSHIP BY MEMBERS OF DISADVANTAGED GROUPS THROUGH OUR SMALL BUSINESS LOAN FUND, NDC HAS FOSTERED NEARLY 500 SMALL BUSINESSES OWNED BY DISADVANTAGED INDIVIDUALS ACROSS THE NATION BY PROVIDING LOANS AND BUSINESS COUNSELING LOANS TOTAL NEARLY 160 MILLION AT VERY LOW INTEREST RATES AND ATTRACTIVE TERMS THE BUSINESS COUNSELING IS FREE OVER THE LAST SEVERAL YEARS, OUR SMALL BUSINESS LOAN FUND HAS RECEIVED RECOGNITION FROM A VARIETY OF INSTITUTIONS THE U S SMALL BUSINESS ADMINISTRATION HAS ACKNOWLEDGED US FOR CARRYING OUT OUR MISSION TO MAKE CREDIT AVAILABLE TO DISADVANTAGED BUSINESSES AND HELPING THOSE BUSINESSES TO SUCCEED THROUGH OUR TECHNICAL ASSISTANCE THE U S DEPARTMENT OF THE TREASURY CERTIFIED OUR SMALL BUSINESS LOAN FUND A COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION (CDFI) AND COMMUNITY DEVELOPMENT ENTITY (CDE) WHOSE PRIMARY PURPOSE IS TO SERVE LOW INCOME POPULATIONS AND LOW INCOME COMMUNITIES IN RECOGNITION OF OUR WORK, THE CDFI FUND AWARDED OUR LOAN FUND MORE THAN 3 MILLION IN GRANTS TO FURTHER CARRY OUT OUR MISSION JPM CHASE MADE A 6 MILLION GRANT TO NDC TO MAKE LOANS IN DISADVANTAGED COMMUNITIES IN THE NEW YORK METROPOLITAN AREA THROUGH OUR SMALL BUSINESS LOAN FUND, NDC RECEIVED A 500,000 GRANT AND A 5 MILLION LOAN FROM BANK OF AMERICA TO DEVELOP A PROGRAM TO MAKE ENERGY RETROFIT IMPROVEMENTS ACCESSIBLE TO SMALL BUSINESSES IN THE UNDERSERVED COMMUNITIES OF NEW YORK CITY, WASHINGTON DC, SEATTLE, AND THE SAN FRANCISCO BAY AND LOS ANGELES METRO-AREAS CITIBANK, PNC BANK, BANK OF AMERICA, MERRILL LYNCH, HABIB BANK, AND THE ROYAL BANK OF SCOTLAND HAVE RECOGNIZED AND SUPPORTED OUR EFFORTS BY MAKING VERY LOW INTEREST LOANS AND GRANTS AVAILABLE TO US TO CARRY OUT OUR MISSION IN LOW INCOME COMMUNITIES AND WITH DISADVANTAGED BUSINESSES THE FORD FOUNDATION AND THE SEATTLE FOUNDATION MADE PROGRAM RELATED INVESTMENTS (PRIS) TO OUR SMALL BUSINESS LOAN FUND TOTALING 2 7 MILLION HERE ARE EXAMPLES OF DISADVANTAGED BUSINESSES THAT NDC'S SMALL BUSINESS LOAN FUND HAS RECENTLY ASSISTED IN VALLEJO, CA, WE PROVIDED FINANCING TO A HISPANIC FAMILY-OWNED COMPANY THAT MANUFACTURES AND INSTALLS REINFORCING STEEL BARS, ENABLING THE COMPANY TO REDESIGN ITS CAPITAL STRUCTURE AND IMPROVE ITS CASH FLOW THE COMPANY EMPLOYS 75 PEOPLE AND PROJECTS TO HIRE AN ADDITIONAL 10 STAFF IN THE NEXT TWO YEARS IN THE SMALL TOWN OF MIDDLETOWN, NY, WHERE OVER 40% OF THE POPULATION IS LATIN-AMERICAN, WE ASSISTED A HISPANIC SUPERMARKET THAT HAD OPENED ITS DOORS IN 1997, TO RENOVATE ITS BUILDING, UPGRADE ITS EQUIPMENT, AND TO REFINANCE THE REAL ESTATE THE COMPANY EMPLOYS SIX PEOPLE AND PLANS TO CREATE FIVE NEW JOBS AS A RESULT OF THE RENOVATIONS ASSISTED A SMALL BUSINESS BASED IN ONE OF CLEVELAND'S TOUGHEST NEIGHBORHOODS THAT COLLECTS AND DISTRIBUTES CLOTHING FOR THE HOMELESS TO EXPAND ITS OPERATIONS, CONSOLIDATE DEBT, AND TO HIRE 12 FORMERLY HOMELESS WORKERS AS FULL-TIME EMPLOYEES THE LOANS WERE AT VERY LOW RATES WORKING WITH A CERTIFIED DISADVANTAGED BUSINESS ENTERPRISE (CERTIFIED BY THE SBA) AND WOMEN AND MINORITY BUSINESS ENTERPRISE, WE PROVIDED WORKING CAPITAL FINANCING TO AN ENVIRONMENTAL CONSULTING FIRM IN SOUTHERN CALIFORNIA PERMITTING THEM TO PAY OFF HIGH INTEREST RATE DEBT PROVIDED EXPANSION FINANCING TO A MINORITY-OWNED CARIBBEAN SPECIALTY FOOD MARKET IN A CARIBBEAN NEIGHBORHOOD ON LONG ISLAND, NY THE FINANCING PERMITTED THE ENTREPRENEUR TO ACQUIRE A NEW BUILDING, ADD 7,000 SQ FT OF PARKING, AND TO INCREASE INVENTORY THIS YEAR, THE MARKET WILL SERVE 120,000 PATRONS IN TRACY, CA, WE PROVIDED EXPANSION FINANCING FOR A MINORITY OWNED OPTOMETRY SERVICE TO HELP THE COMPANY EXPAND INTO AN ADJACENT VACANT UNIT AND CREATE 2 NEW JOBS IN THE SOUTH BRONX, NY, WE PROVIDED WORKING CAPITAL FINANCING TO NYC'S FIRST ALL-ORGANIC AND ALL-NATURAL WHOLESALE FOOD DISTRIBUTOR CREATING 9 NEW FULL TIME JOBS

4b (Code) (Expenses $ 4,667,082 including grants of $) (Revenue $ 2,298,678)

CONDUCT PROGRAMS TO INFORM AND AID COMMUNITIES IN OBTAINING AND UTILIZING GOVERNMENTAL AND OTHER FUNDS FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT SEE SCHEDULE O INFORM AND AID COMMUNITIES IN OBTAINING AND UTILIZING GOVERNMENTAL FUNDS FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT NDC CONDUCTS TRAINING AND PROVIDES COUNSELING AND TECHNICAL ASSISTANCE TO DISADVANTAGED COMMUNITIES FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT DURING THE YEAR, NDC WORKED WITH MORE THAN 100 COMMUNITIES PROVIDING TECHNICAL ASSISTANCE AND GUIDANCE IN CARRYING OUT ECONOMIC AND COMMUNITY DEVELOPMENT STRATEGIES, PROGRAMS AND PROJECTS AND IN DEVELOPING PUBLIC FACILITIES THROUGH THESE SERVICES, NDC ACHIEVED FINANCING FOR PROJECTS AND PROGRAMS, WITH GOVERNMENT AND PRIVATE SECTOR FUNDS, IN EXCESS OF 700 MILLION A SAMPLE OF THE PROJECTS ON WHICH NDC IS PROVIDING TECHNICAL ASSISTANCE AROUND THE COUNTRY INCLUDE IN SALT LAKE CITY, NDC IS HELPING THE CITY AND COUNTY TO WORK WITH COMMUNITY BASED ORGANIZATIONS AND SEVERAL COMMERCIAL BANKS TO CREATE A COMMUNITY DEVELOPMENT CORPORATION DESIGNED TO REVITALIZE THE LOW INCOME AREAS OF THE CITY AND COUNTY UTILIZING SBA, NEW MARKETS TAX CREDITS (NMTC), LOW INCOME HOUSING TAX CREDITS AND PRIVATE SECTOR AND PHILANTHROPIC SOURCES OF CAPITAL IN DETROIT, MI, NDC WORKED TO STRUCTURE, FINANCE, AND CONVERT A VACANT 40,000 SQ FT BUILDING INTO THE DETROIT WELLNESS CENTER, TO PROVIDE FAMILY AND OBSTETRIC MEDICAL SERVICES AND MENTAL HEALTH AND JUVENILE DELINQUENCY COUNSELING TO RESIDENTS OF THE MINORITY SOUTHWEST COMMUNITY WORK WITH THE CITY OF CLEVELAND, CUYAHOGA COUNTY, AND THE CLEVELAND FOUNDATION TO DESIGN AND IMPLEMENT A LENDING PROGRAM FOR THE GREATER UNIVERSITY CIRCLE, A VERY LOW INCOME COMMUNITY ON CLEVELAND'S EASTSIDE UNDER THE PROGRAM, NDC IDENTIFIES, STRUCTURES, AND FINANCES SMALL BUSINESSES, REAL ESTATE PROJECTS, AND WORKER COOPERATIVES THAT CREATE JOBS AND QUALITY OF LIFE IMPROVEMENTS IN THE NEIGHBORHOOD IN CONJUNCTION WITH THIS EFFORT, NDC HAS RECEIVED LOW COST FINANCING FROM A BANK CONSORTIUM, THE LIVING CITIES FOUNDATION, AND THE FORD FOUNDATION ASSISTED THE HOUSTON FOOD BANK, A FOOD BANK AND EMPLOYMENT TRAINING CENTER THAT SUPPLIES 572 HUNGER RELIEF AGENCIES IN THE HOUSTON AREA, TO PLAN AND IMPLEMENT ITS 50 MILLION EXPANSION TO MEET INCREASED DEMAND FOR FOOD AND EMPLOYMENT TRAINING TO LOW INCOME RESIDENTS OF METROPOLITAN HOUSTON BY FINANCING THE REHABILITATION AND EXPANSION OF ITS FACILITY USING NEW MARKETS TAX CREDITS WORKED WITH MEHARRY MEDICAL COLLEGE, A HISTORICALLY BLACK COLLEGE AND UNIVERSITY (HBCU) TO EXPAND THEIR MEDICAL SERVICES FOR LOW INCOME RESIDENTS, TO ENHANCE THEIR CAMPUS INFRASTRUCTURE, TO CREATE A COMMUNITY FACILITY, AND TO BEGIN REVITALIZING THE SURROUNDING COMMUNITY, ONE OF THE POOREST NEIGHBORHOODS IN NASHVILLE, TN WORKED WITH THE UNIVERSITY OF MARYLAND, EASTERN SHORE, AN HBCU IN RURAL MARYLAND, AND DISCOVER BANK AND RENEWABLE ENERGY TAX CREDITS TO STRUCTURE AND FINANCE A 25 MILLION PROJECT TO REDUCE ENERGY COSTS BY INSTALLING SOLAR PANELS ON THE CHICKEN HOUSES OF LOW INCOME FARMERS IN THE AREA ASSISTING THE CITY OF BUFFALO TO ATTRACT INVESTMENT INTO THE 36 MILLION EXPANSION OF ROSWELL CANCER CENTER CAMPUS USING PUBLIC AND PRIVATE FUNDS THE EXPANSION OF THE CAMPUS WILL CREATE 292 NEW JOBS, MOST OF WHICH WILL BE RESERVED FOR LOW INCOME RESIDENTS WORKING THROUGH A PARTNERSHIP WITH THE NATIONAL ASSOCIATION OF COMMUNITY ECONOMIC DEVELOPMENT AGENCIES, NDC PROVIDES TECHNICAL ASSISTANCE, TRAINING AND FINANCIAL SUPPORT TO FLEDGLING, LOCAL NONPROFIT ECONOMIC DEVELOPMENT ORGANIZATIONS

4c (Code) (Expenses $ 4,303,931 including grants of $) (Revenue $ 2,015,810)

TO PROVIDE HOUSING FOR LOW INCOME INDIVIDUALS AND FAMILIES SEE SCHEDULE O TO PROVIDE HOUSING FOR LOW INCOME PERSONS NDC CONDUCTS TRAINING AND PROVIDES COUNSELING AND TECHNICAL ASSISTANCE TO DISADVANTAGED COMMUNITIES AND NONPROFIT ORGANIZATIONS IN SUPPORT OF PROVIDING HOUSING FOR LOW INCOME PERSONS DURING THE YEAR, NDC WORKED WITH MORE THAN 100 COMMUNITIES AND NONPROFIT ORGANIZATIONS AND PROVIDED TRAINING TO MORE THAN 1,800 PRACTITIONERS IN HOUSING DEVELOPMENT FOR LOW INCOME PERSONS AND IN LOW INCOME COMMUNITIES OUR TECHNICAL ASSISTANCE PROVIDED TO NONPROFIT SERVICE PROVIDERS AND COMMUNITY ORGANIZATIONS AND THROUGH NDC'S NONPROFIT HOUSING AFFILIATE AND LOW INCOME HOUSING FUND SPURRED INVESTMENT THAT RESULTED IN GOVERNMENTAL AND OTHER FINANCING TOTALING MORE THAN 100 MILLION, CREATING OR REHABILITATING 900 HOUSING UNITS FOR LOW INCOME PERSONS, FAMILIES, THE ELDERLY, AND PERSONS WITH SPECIAL NEEDS THROUGH OUR HOUSING DEVELOPMENT AFFILIATE, NDC HAS DEVELOPED OVER 8,500 UNITS OF HOUSING FOR LOW INCOME AND DISADVANTAGED PERSONS PARTNERING WITH COMMUNITIES AND/OR LOCAL NOT FOR PROFITS WE INITIALLY EVALUATE THE ROLE THAT WE SHOULD PLAY, WE IDENTIFY THE DEVELOPMENT TEAM, DESIGN THE PROJECT AND STRUCTURE THE FINANCING MORE THAN 75% OF OUR PROJECTS ARE UNDERTAKEN WITH NOT FOR PROFIT PARTNERS AND OVER 35% OF OUR PROJECTS ARE LOCATED IN RURAL AREAS ACROSS THE COUNTRY WHILE THE MAJORITY OF OUR PROJECTS INVOLVE NEW CONSTRUCTION WE HAVE COMPLETED NUMEROUS REHABILITATIONS AND HISTORIC REHABILITATIONS A SAMPLE OF SOME OF OUR PROJECTS THAT WE ARE WORKING ON OR HAVE COMPLETED OVER THE LAST SEVERAL YEARS INCLUDE WORKING WITH MORGAN STANLEY, THE KRESGE FOUNDATION AND THE LOCAL INITIATIVES SUPPORT CORPORATION UNDER A PROGRAM ENTITLED "HEALTHY FUTURES," NDC IS WORKING TO BRING TOGETHER AFFORDABLE HOUSING AND MEDICAL SERVICES PROVIDED BY FEDERALLY QUALIFIED HEALTH CARE CENTERS UNDER HUD'S NEIGHBORHOOD STABILIZATION PROGRAM, NDC HAS PROVIDED FORMAL TRAINING AND TECHNICAL ASSISTANCE TO NEARLY 1,000 LOCAL NON-PROFIT PRACTITIONERS WORKING IN THE NATION'S DISADVANTAGED NEIGHBORHOODS THAT ARE SUFFERING FROM THE HIGHEST RATES OF ABANDONMENT AND FORECLOSURES IN PUERTO RICO, NDC IS WORKING WITH THE DEPARTMENT OF HOUSING TO DEVELOP A STRATEGY TO CONVERT ABANDONED HOUSING INTO RENOVATED UNITS FOR LOW INCOME FAMILIES IN MADISON COUNTY, IL NDC HAS ASSISTED THE MADISON COUNTY HOUSING AUTHORITY TO FURTHER THEIR HOUSING AGENDA AND CREATE 650 UNITS OF NEW, QUALITY AFFORDABLE HOUSING IN SEATTLE, WA, NDC IS WORKING IN THE CAPITAL HILL NEIGHBORHOOD TO PRESERVE AFFORDABLE HOUSING FOR LOCAL RESIDENTS IN A GENTRIFYING NEIGHBORHOOD WORKING WITH THE NATIONAL RURAL HOUSING COALITION, NDC PROVIDES TECHNICAL ASSISTANCE AND FINANCIAL SUPPORT TO RURAL HOUSING ORGANIZATIONS ACROSS THE NATION

4d Other program services (Describe in Schedule O)
(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶ 12,010,555

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f		No
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b	Yes	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		No
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b	Yes	
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V **Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response to any question in this Part V . . . . ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . .	**1a** 40			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	**1b** 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		**1c**		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	**2a** 94			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)		**2b**	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year? . . .		**3a**		No
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*		**3b**		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		**4a**		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . .		**5a**		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		**5b**		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .		**6a**		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		**6b**		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		**7a**		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		**7b**		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		**7c**		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		**7e**		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . .		**7f**		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		**7g**		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		**7h**		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		**8**		
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		**9a**		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		**9b**		
10	**Section 501(c)(7) organizations.** Enter				
a	Initiation fees and capital contributions included on Part VIII, line 12 . . .	**10a**			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**			
11	**Section 501(c)(12) organizations.** Enter				
a	Gross income from members or shareholders	**11a**			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	**11b**			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		**12a**		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	**12b**			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O		**13a**		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	**13b**			
c	Enter the amount of reserves on hand	**13c**			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		**14a**		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . .		**14b**		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI . . . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . .	**1a** 10			
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent . . .	**1b** 7			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . .		**2**		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .		**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . .		**4**		No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .		**5**		No
6	Did the organization have members or stockholders? . . .		**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . .		**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . .		**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body? . . .		**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body? . . .		**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . .		**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . .	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . .	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . .			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . .	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . .	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . .	**12c**	Yes	
13	Did the organization have a written whistleblower policy? . . .	**13**	Yes	
14	Did the organization have a written document retention and destruction policy? . . .	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official . . .	**15a**	Yes	
b	Other officers or key employees of the organization . . .	**15b**		No
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . .	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . .	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶NY

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☐ Own website ☑ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

▶GERTRUDE SCRIVEN 708 THIRD AVENUE SUITE 710 NEW YORK, NY (212) 682-1106

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII . . . . ☑

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) SAMUEL S BEARD CHAIRMAN/BD	39 80 20	X						299,051	1,503	29,222
(2) SETH BONGARTZ BD MEMBER	40 30	X						0	0	0
(3) DAVID BOONE BD MEMBER	10 0 00	X						0	0	0
(4) ROBERT W DAVENPORT PRES/CEO/BD	34 00 22 00	X		X				203,999	131,999	61,433
(5) SAUNDRA JOHNSON HUDSON VP/SEC/BD ME	30 20	X		X				0	0	0
(6) WILLIAM YOUNG III BD MEMBER	30 20	X						0	0	0
(7) BARRY J LANG BD MEMBER	20 00 1 00	X						38,095	1,905	0
(8) INDIA PIERCE LEE BD MEMBER	20 10	X						0	0	0
(9) DOUGLAS PONECK VP/TREAS/BD	10 10	X		X				0	0	0
(10) BURT TAUBER BD MEMBER	30 20	X						0	0	0
(11) GERTRUDE SCRIVEN CFO	36 00 8 00			X				108,821	24,183	35,686
(12) JOHN W DOWNS REG MGR/SEE	35 00 15 00					X		190,527	81,654	64,437
(13) JOHN A FINKE REG MGR/SEE	1 00 49 25					X		31,384	1,545,646	83,778
(14) DANIEL MARSH III REGIONAL MGR	35 00 20 75					X		127,497	77,171	65,236
(15) JOHN LINNER REG MGR/SEE	50 00					X		0	415,828	69,076
(16) SCOTT RODDE SR PROJECT M	30 00 10 00					X		175,563	58,521	79,995

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations

		(D)	(E)	(F)
1b	**Sub-Total** . . . . ▶			
c	**Total from continuation sheets to Part VII, Section A** . . . . ▶			
d	**Total (add lines 1b and 1c)** . . . . ▶	1,174,937	2,338,410	488,863

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶35

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶

Part VIII **Statement of Revenue**

Check if Schedule O contains a response to any question in this Part VIII . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	**1a** Federated campaigns . .	1a				
	b Membership dues	1b				
	c Fundraising events	1c				
	d Related organizations . . .	1d 373,113				
	e Government grants (contributions)	1e 2,201,325				
	f All other contributions, gifts, grants, and similar amounts not included above	1f 7,133,401				
	g Noncash contributions included in lines 1a-1f $					
	h **Total.** Add lines 1a-1f . . . . ▶		9,707,839			
Program Service Revenue		Business Code				
	2a PROVIDE TECHNICAL ASSISTANCE		3,484,666	3,484,666		
	b CONDUCT TRAINING		2,108,202	2,108,202		
	c					
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a–2f . . . . ▶		5,592,868			
Other Revenue	**3** Investment income (including dividends, interest, and other similar amounts) . . . . ▶		369,441	369,441		
	4 Income from investment of tax-exempt bond proceeds . . ▶					
	5 Royalties . . . . ▶					
		(i) Real / (ii) Personal				
	6a Gross rents					
	b Less rental expenses					
	c Rental income or (loss)					
	d Net rental income or (loss) . . . . ▶					
		(i) Securities / (ii) Other				
	7a Gross amount from sales of assets other than inventory					
	b Less cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss) . . . . ▶					
	8a Gross income from fundraising events (not including $ ________ of contributions reported on line 1c) See Part IV, line 18 . .	a				
	b Less direct expenses . . .	b				
	c Net income or (loss) from fundraising events . . ▶					
	9a Gross income from gaming activities See Part IV, line 19 . . .	a				
	b Less direct expenses . . .	b				
	c Net income or (loss) from gaming activities . . . ▶					
	10a Gross sales of inventory, less returns and allowances .	a				
	b Less cost of goods sold . .	b				
	c Net income or (loss) from sales of inventory . . ▶					
	Miscellaneous Revenue	Business Code				
	11a					
	b					
	c					
	d All other revenue					
	e **Total.** Add lines 11a–11d . . . . . . ▶					
	12 **Total revenue.** See Instructions . . . . . ▶		15,670,148	5,962,309		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response to any question in this Part IX . . . . ✓

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	1,889,763	1,889,763		
2 Grants and other assistance to individuals in the United States See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	676,552		676,552	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	3,777,583	3,182,165	595,418	
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,539,443	1,539,443		
9 Other employee benefits	899,040	551,819	347,221	
10 Payroll taxes	275,114	182,279	92,835	
11 Fees for services (non-employees)				
a Management				
b Legal	76,912	50,873		26,039
c Accounting	57,750	7,357	50,393	
d Lobbying	137,156	137,156		
e Professional fundraising services See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)				
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties . .				
16 Occupancy	323,242	182,535	140,707	
17 Travel	893,192	795,458	97,549	185
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	41,597		41,597	
23 Insurance	112,768		112,768	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a TRAINING EXPENSE	2,201,325	2,201,325		
b COMMISSIONS	628,644			628,644
c EQUIPMENT RENTAL	555,073	369,407	185,666	
d TRAINING SITES	335,076	335,076		
e All other expenses	1,500,973	585,899	894,629	20,445
25 **Total functional expenses.** Add lines 1 through 24e	15,921,203	12,010,555	3,235,335	675,313
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X **Balance Sheet**

Check if Schedule O contains a response to any question in this Part X . . . . ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing	28,389	1	1,425,241
	2	Savings and temporary cash investments	1,100,218	2	32,256
	3	Pledges and grants receivable, net	8,046,934	3	6,268,266
	4	Accounts receivable, net	409,435	4	800,829
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net	136,977	7	965,166
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	178,005	9	87,411
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D — 10a: 739,055			
	b	Less accumulated depreciation — 10b: 615,295	138,253	10c	123,760
	11	Investments—publicly traded securities		11	
	12	Investments—other securities See Part IV, line 11	10,666,280	12	11,226,804
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets See Part IV, line 11	1,011,383	15	802,032
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	21,715,874	16	21,731,765
Liabilities	17	Accounts payable and accrued expenses	149,799	17	307,987
	18	Grants payable		18	
	19	Deferred revenue	385,000	19	385,000
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D	8,359,248	25	8,734,112
	26	**Total liabilities.** Add lines 17 through 25	8,894,047	26	9,427,099
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	12,821,827	27	12,304,666
	28	Temporarily restricted net assets		28	
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	12,821,827	33	12,304,666
	34	Total liabilities and net assets/fund balances	21,715,874	34	21,731,765

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	15,670,148
2	Total expenses (must equal Part IX, column (A), line 25)	2	15,921,203
3	Revenue less expenses Subtract line 2 from line 1	3	-251,055
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	12,821,827
5	Net unrealized gains (losses) on investments	5	-60,216
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-205,890
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	12,304,666

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ______________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☑ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes	

SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Employer identification number
13-6532871

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state ______

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☑ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**)	**(iv)** Is the organization in col **(i)** listed in your governing document? Yes	No	**(v)** Did you notify the organization in col **(i)** of your support? Yes	No	**(vi)** Is the organization in col **(i)** organized in the U S ? Yes	No	**(vii)** Amount of monetary support
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support** (Add lines 7 through 10)						

12 Gross receipts from related activities, etc (see instructions) **12**

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f)) **14**

15 Public support percentage for 2011 Schedule A, Part II, line 14 **15**

16a **33 1/3% support test—2012.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support test—2011.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	6,465,864	4,365,557	5,986,432	11,578,609	7,506,514	35,902,976
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	5,528,466	5,787,074	5,755,923	7,082,460	7,794,193	31,948,116
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5	11,994,330	10,152,631	11,742,355	18,661,069	15,300,707	67,851,092
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						67,851,092

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6	11,994,330	10,152,631	11,742,355	18,661,069	15,300,707	67,851,092
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	14,111	33,313	59,641	65,040	369,441	541,546
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	14,111	33,313	59,641	65,040	369,441	541,546
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)				1,300		1,300
13 **Total support.** (Add lines 9, 10c, 11, and 12)	12,008,441	10,185,944	11,801,996	18,727,409	15,670,148	68,393,938

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	**15**	99 210 %
16 Public support percentage from 2011 Schedule A, Part III, line 15	**16**	99 650 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2012** (line 10c, column (f) divided by line 13, column (f))	**17**	0 %
18 Investment income percentage from **2011** Schedule A, Part III, line 17	**18**	

19a **33 1/3% support tests—2012.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support tests—2011.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319030443

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then
- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC	13-6532871

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	137,156	
c	Total lobbying expenditures (add lines 1a and 1b)	137,156	
d	Other exempt purpose expenditures	15,784,047	
e	Total exempt purpose expenditures (add lines 1c and 1d)	15,921,203	
f	Lobbying nontaxable amount Enter the amount from the following table in both columns	946,060	

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

		(a)	(b)
g	Grassroots nontaxable amount (enter 25% of line 1f)	236,515	
h	Subtract line 1g from line 1a If zero or less, enter -0-		
i	Subtract line 1f from line 1c If zero or less, enter -0-		
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	☐ **Yes**	☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

	Calendar year (or fiscal year beginning in)	**(a)** 2009	**(b)** 2010	**(c)** 2011	**(d)** 2012	**(e)** Total
2a	Lobbying nontaxable amount	663,138	725,988	777,835	946,060	3,113,021
b	Lobbying ceiling amount (150% of line 2a, column(e))					4,669,532
c	Total lobbying expenditures	149,147	106,885	108,309	137,156	501,497
d	Grassroots nontaxable amount	165,785	181,497	194,459	236,515	778,256
e	Grassroots ceiling amount (150% of line 2d, column (e))					1,167,384
f	Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

		(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total Add lines 1c through 1i			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

Identifier	Return Reference	Explanation

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Employer identification number
13-6532871

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶______________

4 Number of states where property subject to conservation easement is located ▶______________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year
▶______________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year
▶ $ ______________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______________

(ii) Assets included in Form 990, Part X ▶ $ ______________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______________

b Assets included in Form 990, Part X ▶ $ ______________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
d ☐ Loan or exchange programs
b ☐ Scholarly research
e ☐ Other
c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII and complete the following table

		Amount
c Beginning balance	**1c**	
d Additions during the year	**1d**	
e Distributions during the year	**1e**	
f Ending balance	**1f**	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ **Yes** ☐ **No**

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	**(b)**Prior year	**b (c)**Two years back	**(d)**Three years back	**(e)**Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

		Yes	**No**
(i) unrelated organizations	**3a(i)**		
(ii) related organizations	**3a(ii)**		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	**3b**		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	**(a)** Cost or other basis (investment)	**(b)**Cost or other basis (other)	**(c)** Accumulated depreciation	**(d)** Book value
1a Land				
b Buildings				
c Leasehold improvements		29,436	7,971	21,465
d Equipment		709,619	607,324	102,295
e Other				
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* . . . . ▶				123,760

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other (A) INVESTMENT - GROW AMERICA FUND, INC	7,232,481	C
(B) INVESTMENT - TIAA CREF	2,828,762	F
(C) INVESTMENT - GAF MUCL	805,561	C
(D) COMMON STOCK-URBAN BANK PARTNERSHIP	360,000	F
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	11,226,804	

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	
PROJECTED DEFINED BENEFIT PENSION	4,855,573
PROJECTED NON QUAL PENSION PLAN LIAB	3,820,563
NSPTA PAYABLE	36,767
INSURANCE PAYABLE	20,984
PAYROLL TAXES PAYABLE	225
TAX DEFERRED ANNUITY PAYABLE	
PREPAID SERVICES	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	8,734,112

2. Fin 48 (ASC 740) Footnote In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	15,609,932
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	-60,216		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	-60,216
3	Subtract line **2e** from line **1**			3	15,670,148
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	15,670,148

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	15,921,203
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	15,921,203
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	15,921,203

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319030443

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Employer identification number
13-6532871

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) NDC SUPPORT I INC 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017	13-4156877		903,738				SEE PART IV SUPP INF
(2) GROW AMERICA FUND 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017	13-3641265		445,438				SEE PART IV SUPP INF
(3) NDC HOUSING & ECONOMIC DEV CORP 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017	11-2933129		540,587				SEE PART IV SUPP INF

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.**

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
ADDITIONAL INFORMATION	SCHEDULE I, PAGE 4, PART IV	PURPOSE OF GRANT TO NDC SUPPORT I CHARITABLE GRANT TO PUBLIC CHARITY TO SUPPORT ITS OPERATIONS PURPOSE OF GRANT TO GROW AMERICA FUND UNRESTRICTED GENERAL OPERATING SUPPORT TO ENABLE GROW AMERICA FUND TO MAKE LOW COST FINANCING AVAILABLE TO DISADVANTAGED BUSINESSES NDC HOUSING & ECONOMIC DEVELOPMENT CORP UNRESTRICTED GENERAL OPERATING SUPPORT TO ENABLE NDC HOUSING & ECONOMIC DEVELOPMENT CORP TO CONSTRUCT LOW INCOME HOUSING, LESSEN THE BURDENS OF GOVERNMENT AND STIMULATE ECONOMIC DEVELOPMENT IN ECONOMICALLY DEPRESSED AREAS

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319030443

Schedule J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Employer identification number
13-6532871

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax idemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☑ Compensation committee ☐ Written employment contract ☑ Independent compensation consultant ☑ Compensation survey or study ☑ Form 990 of other organizations ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**	Yes	
c	Participate in, or receive payment from, an equity-based compensation arrangement?	**4c**		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization?	**5b**		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization?	**6b**		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1)SAMUEL S BEARD CHAIRMANBD MEMBER	(i)	297,197		1,854		29,076	328,127	
	(ii)	1,503				146	1,649	
(2)ROBERT W DAVENPORT PRESCEOBD MEMBER	(i)	200,951		3,048	29,499	7,799	241,297	
	(ii)	131,999			19,088	5,047	156,134	
(3)GERTRUDE SCRIVEN CFO	(i)	107,237		1,584	17,682	11,496	137,999	
	(ii)	24,183			3,953	2,555	30,691	
(4)JOHN W DOWNS REG MGRSEE SCH O	(i)	187,479		3,048	13,643	20,652	224,822	
	(ii)	81,654			21,291	8,851	111,796	
(5)JOHN A FINKE REG MGRSEE SCH O	(i)	28,336		3,048	3,580	588	35,552	
	(ii)	1,545,646			50,617	28,993	1,625,256	
(6)DANIEL MARSH III REGIONAL MGR	(i)	125,913		1,584	22,433	18,522	168,452	
	(ii)	77,171			13,300	10,981	101,452	
(7)JOHN LINNER REG MGRSEE SCH O	(i)							
	(ii)	412,780		3,048	39,573	29,503	484,904	
(8)SCOTT RODDE SR PROJECT MGR	(i)	173,979		1,584	29,424	22,127	227,114	
	(ii)	58,521			21,068	7,376	86,965	

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Identifier	Return Reference	Explanation
SEVERANCE, NONQUALIFIED, AND EQUITY-BASED PAYMENTS	SCHEDULE J, PAGE 1, PART I, LINE 4	GERTRUDE SCRIVEN 0 107 0 JOHN W DOWNS 0 36,036 0 JOHN A FINKE 0 125,714 0 DANIEL MARSH III 0 37,088 0 JOHN LINNER 0 45,815 0 SCOTT RODDE 0 33,780 0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493319030443

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Employer identification number
13-6532871

Identifier	Return Reference	Explanation
ORGANIZATION'S MISSION	FORM 990 - ORGANIZATION'S MISSION	NDC'S THREE PRIMARY EXEMPT PURPOSES ARE TO ENCOURAGE AND FOSTER BUSINESS OWNERSHIP BY MEMBERS OF DISADVANTAGED GROUPS CONDUCT PROGRAMS TO INFORM AND AID COMMUNITIES IN OBTAINING AND UTILITIZING GOVERNMENTAL AND OTHER FUNDS FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT PROVIDE HOUSING FOR LOW INCOME PERSONS

Identifier	Return Reference	Explanation
FIRST ACCOMPLISHMENT DESCRIPTION	FORM 990, PAGE 2, PART III, LINE 4A	ENCOURAGE AND FOSTER BUSINESS OWNERSHIP BY MEMBERS OF DISADVANTAGED GROUPS THROUGH OUR SMALL BUSINESS LOAN FUND, NDC HAS FOSTERED NEARLY 500 SMALL BUSINESSES OWNED BY DISADVANTAGED INDIVIDUALS ACROSS THE NATION BY PROVIDING LOANS AND BUSINESS COUNSELING LOANS TOTAL NEARLY 160 MILLION AT VERY LOW INTEREST RATES AND ATTRACTIVE TERMS THE BUSINESS COUNSELING IS FREE OVER THE LAST SEVERAL YEARS, OUR SMALL BUSINESS LOAN FUND HAS RECEIVED RECOGNITION FROM A VARIETY OF INSTITUTIONS THE U S SMALL BUSINESS ADMINISTRATION HAS ACKNOWLEDGED US FOR CARRYING OUT OUR MISSION TO MAKE CREDIT AVAILABLE TO DISADVANTAGED BUSINESSES AND HELPING THOSE BUSINESSES TO SUCCEED THROUGH OUR TECHNICAL ASSISTANCE THE U S DEPARTMENT OF THE TREASURY CERTIFIED OUR SMALL BUSINESS LOAN FUND A COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION (CDFI) AND COMMUNITY DEVELOPMENT ENTITY (CDE) WHOSE PRIMARY PURPOSE IS TO SERVE LOW INCOME POPULATIONS AND LOW INCOME COMMUNITIES IN RECOGNITION OF OUR WORK, THE CDFI FUND AWARDED OUR LOAN FUND MORE THAN 3 MILLION IN GRANTS TO FURTHER CARRY OUT OUR MISSION JPM CHASE MADE A 6 MILLION GRANT TO NDC TO MAKE LOANS IN DISADVANTAGED COMMUNITIES IN THE NEW YORK METROPOLITAN AREA THROUGH OUR SMALL BUSINESS LOAN FUND, NDC RECEIVED A 500,000 GRANT AND A 5 MILLION LOAN FROM BANK OF AMERICA TO DEVELOP A PROGRAM TO MAKE ENERGY RETROFIT IMPROVEMENTS ACCESSIBLE TO SMALL BUSINESSES IN THE UNDERSERVED COMMUNITIES OF NEW YORK CITY, WASHINGTON DC, SEATTLE, AND THE SAN FRANCISCO BAY AND LOS ANGELES METRO-AREAS CITIBANK, PNC BANK, BANK OF AMERICA, MERRILL LYNCH, HABIB BANK, AND THE ROYAL BANK OF SCOTLAND HAVE RECOGNIZED AND SUPPORTED OUR EFFORTS BY MAKING VERY LOW INTEREST LOANS AND GRANTS AVAILABLE TO US TO CARRY OUT OUR MISSION IN LOW INCOME COMMUNITIES AND WITH DISADVANTAGED BUSINESSES THE FORD FOUNDATION AND THE SEATTLE FOUNDATION MADE PROGRAM RELATED INVESTMENTS (PRIS) TO OUR SMALL BUSINESS LOAN FUND TOTALING 2 7 MILLION HERE ARE EXAMPLES OF DISADVANTAGED BUSINESSES THAT NDC'S SMALL BUSINESS LOAN FUND HAS RECENTLY ASSISTED IN VALLEJO, CA, WE PROVIDED FINANCING TO A HISPANIC FAMILY-OWNED COMPANY THAT MANUFACTURES AND INSTALLS REINFORCING STEEL BARS, ENABLING THE COMPANY TO REDESIGN ITS CAPITAL STRUCTURE AND IMPROVE ITS CASH FLOW THE COMPANY EMPLOYS 75 PEOPLE AND PROJECTS TO HIRE AN ADDITIONAL 10 STAFF IN THE NEXT TWO YEARS IN THE SMALL TOWN OF MIDDLETOWN, NY, WHERE OVER 40% OF THE POPULATION IS LATIN-AMERICAN, WE ASSISTED A HISPANIC SUPERMARKET THAT HAD OPENED ITS DOORS IN 1997, TO RENOVATE ITS BUILDING, UPGRADE ITS EQUIPMENT, AND TO REFINANCE THE REAL ESTATE THE COMPANY EMPLOYS SIX PEOPLE AND PLANS TO CREATE FIVE NEW JOBS AS A RESULT OF THE RENOVATIONS ASSISTED A SMALL BUSINESS BASED IN ONE OF CLEVELAND'S TOUGHEST NEIGHBORHOODS THAT COLLECTS AND DISTRIBUTES CLOTHING FOR THE HOMELESS TO EXPAND ITS OPERATIONS, CONSOLIDATE DEBT, AND TO HIRE 12 FORMERLY HOMELESS WORKERS AS FULL-TIME EMPLOYEES THE LOANS WERE AT VERY LOW RATES WORKING WITH A CERTIFIED DISADVANTAGED BUSINESS ENTERPRISE (CERTIFIED BY THE SBA) AND WOMEN AND MINORITY BUSINESS ENTERPRISE, WE PROVIDED WORKING CAPITAL FINANCING TO AN ENVIRONMENTAL CONSULTING FIRM IN SOUTHERN CALIFORNIA PERMITTING THEM TO PAY OFF HIGH INTEREST RATE DEBT PROVIDED EXPANSION FINANCING TO A MINORITY-OWNED CARIBBEAN SPECIALTY FOOD MARKET IN A CARIBBEAN NEIGHBORHOOD ON LONG ISLAND, NY THE FINANCING PERMITTED THE ENTREPRENEUR TO ACQUIRE A NEW BUILDING, ADD 7,000 SQ FT OF PARKING, AND TO INCREASE INVENTORY THIS YEAR, THE MARKET WILL SERVE 120,000 PATRONS IN TRACY, CA, WE PROVIDED EXPANSION FINANCING FOR A MINORITY OWNED OPTOMETRY SERVICE TO HELP THE COMPANY EXPAND INTO AN ADJACENT VACANT UNIT AND CREATE 2 NEW JOBS IN THE SOUTH BRONX, NY, WE PROVIDED WORKING CAPITAL FINANCING TO NYC'S FIRST ALL-ORGANIC AND ALL-NATURAL WHOLESALE FOOD DISTRIBUTOR CREATING 9 NEW FULL TIME JOBS

Identifier	Return Reference	Explanation
SECOND ACCOMPLISHMENT DESCRIPTION	FORM 990, PAGE 2, PART III, LINE 4B	INFORM AND AID COMMUNITIES IN OBTAINING AND UTILIZING GOVERNMENTAL FUNDS FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT NDC CONDUCTS TRAINING AND PROVIDES COUNSELING AND TECHNICAL ASSISTANCE TO DISADVANTAGED COMMUNITIES FOR SUPPORT OF LOCAL ECONOMIC DEVELOPMENT DURING THE YEAR, NDC WORKED WITH MORE THAN 100 COMMUNITIES PROVIDING TECHNICAL ASSISTANCE AND GUIDANCE IN CARRYING OUT ECONOMIC AND COMMUNITY DEVELOPMENT STRATEGIES, PROGRAMS AND PROJECTS AND IN DEVELOPING PUBLIC FACILITIES THROUGH THESE SERVICES, NDC ACHIEVED FINANCING FOR PROJECTS AND PROGRAMS, WITH GOVERNMENT AND PRIVATE SECTOR FUNDS, IN EXCESS OF 700 MILLION A SAMPLE OF THE PROJECTS ON WHICH NDC IS PROVIDING TECHNICAL ASSISTANCE AROUND THE COUNTRY INCLUDE IN SALT LAKE CITY, NDC IS HELPING THE CITY AND COUNTY TO WORK WITH COMMUNITY BASED ORGANIZATIONS AND SEVERAL COMMERCIAL BANKS TO CREATE A COMMUNITY DEVELOPMENT CORPORATION DESIGNED TO REVITALIZE THE LOW INCOME AREAS OF THE CITY AND COUNTY UTILIZING SBA, NEW MARKETS TAX CREDITS (NMTC), LOW INCOME HOUSING TAX CREDITS AND PRIVATE SECTOR AND PHILANTHROPIC SOURCES OF CAPITAL IN DETROIT, MI, NDC WORKED TO STRUCTURE, FINANCE, AND CONVERT A VACANT 40,000 SQ FT BUILDING INTO THE DETROIT WELLNESS CENTER, TO PROVIDE FAMILY AND OBSTETRIC MEDICAL SERVICES AND MENTAL HEALTH AND JUVENILE DELINQUENCY COUNSELING TO RESIDENTS OF THE MINORITY SOUTHWEST COMMUNITY WORK WITH THE CITY OF CLEVELAND, CUYAHOGA COUNTY, AND THE CLEVELAND FOUNDATION TO DESIGN AND IMPLEMENT A LENDING PROGRAM FOR THE GREATER UNIVERSITY CIRCLE, A VERY LOW INCOME COMMUNITY ON CLEVELAND'S EASTSIDE UNDER THE PROGRAM, NDC IDENTIFIES, STRUCTURES, AND FINANCES SMALL BUSINESSES, REAL ESTATE PROJECTS, AND WORKER COOPERATIVES THAT CREATE JOBS AND QUALITY OF LIFE IMPROVEMENTS IN THE NEIGHBORHOOD IN CONJUNCTION WITH THIS EFFORT, NDC HAS RECEIVED LOW COST FINANCING FROM A BANK CONSORTIUM, THE LIVING CITIES FOUNDATION, AND THE FORD FOUNDATION ASSISTED THE HOUSTON FOOD BANK, A FOOD BANK AND EMPLOYMENT TRAINING CENTER THAT SUPPLIES 572 HUNGER RELIEF AGENCIES IN THE HOUSTON AREA, TO PLAN AND IMPLEMENT ITS 50 MILLION EXPANSION TO MEET INCREASED DEMAND FOR FOOD AND EMPLOYMENT TRAINING TO LOW INCOME RESIDENTS OF METROPOLITAN HOUSTON BY FINANCING THE REHABILITATION AND EXPANSION OF ITS FACILITY USING NEW MARKETS TAX CREDITS WORKED WITH MEHARRY MEDICAL COLLEGE, A HISTORICALLY BLACK COLLEGE AND UNIVERSITY (HBCU) TO EXPAND THEIR MEDICAL SERVICES FOR LOW INCOME RESIDENTS, TO ENHANCE THEIR CAMPUS INFRASTRUCTURE, TO CREATE A COMMUNITY FACILITY, AND TO BEGIN REVITALIZING THE SURROUNDING COMMUNITY, ONE OF THE POOREST NEIGHBORHOODS IN NASHVILLE, TN WORKED WITH THE UNIVERSITY OF MARYLAND, EASTERN SHORE, AN HBCU IN RURAL MARYLAND, AND DISCOVER BANK AND RENEWABLE ENERGY TAX CREDITS TO STRUCTURE AND FINANCE A 25 MILLION PROJECT TO REDUCE ENERGY COSTS BY INSTALLING SOLAR PANELS ON THE CHICKEN HOUSES OF LOW INCOME FARMERS IN THE AREA ASSISTING THE CITY OF BUFFALO TO ATTRACT INVESTMENT INTO THE 36 MILLION EXPANSION OF ROSWELL CANCER CENTER CAMPUS USING PUBLIC AND PRIVATE FUNDS THE EXPANSION OF THE CAMPUS WILL CREATE 292 NEW JOBS, MOST OF WHICH WILL BE RESERVED FOR LOW INCOME RESIDENTS WORKING THROUGH A PARTNERSHIP WITH THE NATIONAL ASSOCIATION OF COMMUNITY ECONOMIC DEVELOPMENT AGENCIES, NDC PROVIDES TECHNICAL ASSISTANCE, TRAINING AND FINANCIAL SUPPORT TO FLEDGLING, LOCAL NONPROFIT ECONOMIC DEVELOPMENT ORGANIZATIONS

Identifier	Return Reference	Explanation
THIRD ACCOMPLISHMENT DESCRIPTION	FORM 990, PAGE 2, PART III, LINE 4C	TO PROVIDE HOUSING FOR LOW INCOME PERSONS NDC CONDUCTS TRAINING AND PROVIDES COUNSELING AND TECHNICAL ASSISTANCE TO DISADVANTAGED COMMUNITIES AND NONPROFIT ORGANIZATIONS IN SUPPORT OF PROVIDING HOUSING FOR LOW INCOME PERSONS DURING THE YEAR, NDC WORKED WITH MORE THAN 100 COMMUNITIES AND NONPROFIT ORGANIZATIONS AND PROVIDED TRAINING TO MORE THAN 1,800 PRACTITIONERS IN HOUSING DEVELOPMENT FOR LOW INCOME PERSONS AND IN LOW INCOME COMMUNITIES OUR TECHNICAL ASSISTANCE PROVIDED TO NONPROFIT SERVICE PROVIDERS AND COMMUNITY ORGANIZATIONS AND THROUGH NDC'S NONPROFIT HOUSING AFFILIATE AND LOW INCOME HOUSING FUND SPURRED INVESTMENT THAT RESULTED IN GOVERNMENTAL AND OTHER FINANCING TOTALING MORE THAN 100 MILLION, CREATING OR REHABILITATING 900 HOUSING UNITS FOR LOW INCOME PERSONS, FAMILIES, THE ELDERLY, AND PERSONS WITH SPECIAL NEEDS THROUGH OUR HOUSING DEVELOPMENT AFFILIATE, NDC HAS DEVELOPED OVER 8,500 UNITS OF HOUSING FOR LOW INCOME AND DISADVANTAGED PERSONS PARTNERING WITH COMMUNITIES AND/OR LOCAL NOT FOR PROFITS WE INITIALLY EVALUATE THE ROLE THAT WE SHOULD PLAY, WE IDENTIFY THE DEVELOPMENT TEAM, DESIGN THE PROJECT AND STRUCTURE THE FINANCING MORE THAN 75% OF OUR PROJECTS ARE UNDERTAKEN WITH NOT FOR PROFIT PARTNERS AND OVER 35% OF OUR PROJECTS ARE LOCATED IN RURAL AREAS ACROSS THE COUNTRY WHILE THE MAJORITY OF OUR PROJECTS INVOLVE NEW CONSTRUCTION WE HAVE COMPLETED NUMEROUS REHABILITATIONS AND HISTORIC REHABILITATIONS A SAMPLE OF SOME OF OUR PROJECTS THAT WE ARE WORKING ON OR HAVE COMPLETED OVER THE LAST SEVERAL YEARS INCLUDE WORKING WITH MORGAN STANLEY, THE KRESGE FOUNDATION AND THE LOCAL INITIATIVES SUPPORT CORPORATION UNDER A PROGRAM ENTITLED "HEALTHY FUTURES," NDC IS WORKING TO BRING TOGETHER AFFORDABLE HOUSING AND MEDICAL SERVICES PROVIDED BY FEDERALLY QUALIFIED HEALTH CARE CENTERS UNDER HUD'S NEIGHBORHOOD STABILIZATION PROGRAM, NDC HAS PROVIDED FORMAL TRAINING AND TECHNICAL ASSISTANCE TO NEARLY 1,000 LOCAL NON-PROFIT PRACTITIONERS WORKING IN THE NATION'S DISADVANTAGED NEIGHBORHOODS THAT ARE SUFFERING FROM THE HIGHEST RATES OF ABANDONMENT AND FORECLOSURES IN PUERTO RICO, NDC IS WORKING WITH THE DEPARTMENT OF HOUSING TO DEVELOP A STRATEGY TO CONVERT ABANDONED HOUSING INTO RENOVATED UNITS FOR LOW INCOME FAMILIES IN MADISON COUNTY, IL NDC HAS ASSISTED THE MADISON COUNTY HOUSING AUTHORITY TO FURTHER THEIR HOUSING AGENDA AND CREATE 650 UNITS OF NEW, QUALITY AFFORDABLE HOUSING IN SEATTLE, WA, NDC IS WORKING IN THE CAPITAL HILL NEIGHBORHOOD TO PRESERVE AFFORDABLE HOUSING FOR LOCAL RESIDENTS IN A GENTRIFYING NEIGHBORHOOD WORKING WITH THE NATIONAL RURAL HOUSING COALITION, NDC PROVIDES TECHNICAL ASSISTANCE AND FINANCIAL SUPPORT TO RURAL HOUSING ORGANIZATIONS ACROSS THE NATION

Identifier	Return Reference	Explanation
ORGANIZATION'S PROCESS USED TO REVIEW FORM 990	FORM 990, PAGE 6, PART VI, LINE 11B	THE ORGANIZATION'S PROCESS IS TO SEND OUT FORM 990, IN ADVANCE OF FILING, TO THE BOARD INVITING THEM TO MAKE QUESTIONS AND COMMENT

Identifier	Return Reference	Explanation
ENFORCEMENT OF CONFLICTS POLICY	FORM 990, PAGE 6, PART VI, LINE 12C	ALL MEMBERS OF THE NDC BOARD OF DIRECTORS AND STAFF ARE REQUIRED TO ANNUALLY EXECUTE A CONFLICT OF INTEREST AND COMPENSATION GUIDELINES ACKNOWLEDGEMENT STATING THAT THEY HAVE RECEIVED, READ AND UNDERSTAND, AND AGREE TO COMPLY WITH THE CONFLICT OF INTEREST AND COMPENSATION GUIDELINES THE CONFLICT OF INTEREST AND COMPENSATION GUIDELINES REQUIRE BOARD AND STAFF MEMBERS TO DISCLOSE ANY POTENTIAL CONFLICTS OF INTEREST IMMEDIATELY THE CHAIRPERSON OF THE BOARD WILL UPON NOTIFICATION OF SUCH AN EVENT APPOINT A DISINTERESTED PERSON OR COMMITTEE TO INVESTIGATE ALTERNATIVES TO THE PROPOSED TRANSACTION VIOLATIONS OF THE CONFLICT OF INTEREST POLICY CAN RESULT IN APPROPRIATE DISCIPLINARY AND CORRECTIVE ACTION ALSO ALL BOARD MEMBERS BY EXECUTING THE CONFLICT OF INTEREST AND COMPENSATION GUIDELINES ACKNOWLEDGE THAT THEY SHARE THE RESPONSIBILITY OF NDC AND NDC SUPPORT I TO REMAIN FAITHFUL TO THE ORGANIZATIONS' CHARITABLE PURPOSES

Identifier	Return Reference	Explanation
COMPENSATION PROCESS FOR TOP OFFICIAL	FORM 990, PAGE 6, PART VI, LINE 15A	& FORM 990, PART VI, LINE 15B - COMPENSATION PROCESS FOR OFFICERS THE BOARD OF DIRECTORS OF NDC HAS A STANDING COMPENSATION REVIEW COMMITTEE, THE MEMBERS OF WHICH ARE ALL INDEPENDENT DIRECTORS THE COMMITTEE ENGAGES A THIRD PARTY INDEPENDENT CONSULTANT TO REVIEW THE COMPENSATION OF THE CHAIRMAN AND PRESIDENT EVERY TWO YEARS THE COMMITTEE REVIEWS AND DISCUSSES THE FINDINGS AT A SPECIAL SESSION WITH ALL BOARD MEMBERS PRESENT EXCEPT THE CHAIRMAN AND PRESIDENT

Identifier	Return Reference	Explanation
GOVERNING DOCUMENTS DISCLOSURE EXPLANATION	FORM 990, PAGE 6, PART VI, LINE 19	FORM 1023 & 990 ARE MADE AVAILABLE UPON REQUEST FORM 990 IS MADE AVAILABLE ON WWW GUIDESTAR ORG NDC VOLUNTARILY MAKES AVAILABLE ITS GOVERNING DOCUMENTS UPON REASONABLE REQUEST FORM 990, PART VII, SECTION A & SCHEDULE J, PART II NDC HAS ESTABLISHED BOTH A QUALIFIED PENSION PLAN AND A NONQUALIFIED DEFERRED COMPENSATION PLAN FOR THE BENEFIT OF ITS EMPLOYEES THE NONQUALIFIED DEFERRED COMPENSATION PLAN IS DEFINED IN SECTION 457(F) OF THE INTERNAL REVENUE CODE NDC'S QUALIFIED PENSION PLAN HAS VARIOUS BENEFIT LIMITATIONS THE IMPACT OF THESE LIMITATIONS CONSIST OF 1) LONG-SERVICE EMPLOYEES RECEIVE A LOWER PENSION BENEFIT AS A PERCENTAGE OF PAY THAN 'NEWER' EMPLOYEES, 2) MANY SENIOR EMPLOYEES HAVE RESTRICTED BENEFITS AND 3) EMPLOYEES WHO SACRIFICED IN NDC'S EARLIER DAYS, WHEN NDC DID NOT HAVE AN ESTABLISHED PENSION PLAN, ARE NOT FAIRLY COMPENSATED NDC WANTED TO FAIRLY COMPENSATE ITS LONG-SERVICE EMPLOYEES WHO SACRIFICED IN NDC'S EARLIER YEARS WHEN IT DID NOT HAVE AN ESTABLISHED PENSION PLAN AND NDC WANTED TO CREATE PARITY BETWEEN THESE LONG-SERVICE EMPLOYEES AND YOUNGER, NEWER EMPLOYEES WHO HAVE PARTICIPATED IN THE QUALIFIED PLAN SINCE THE FIRST YEAR OF THEIR EMPLOYMENT THE SOLUTION WAS FOR NDC TO ESTABLISH A NONQUALIFIED PENSION PLAN, WHICH IS THE ORGANIZATION'S NONQUALIFIED DEFERRED COMPENSATION PLAN (I E NONQUALIFIED 457(F) PLAN) THE BENEFITS UNDER THE NONQUALIFIED PENSION PLAN ARE GENERALLY NOT VESTED UNTIL COMPLETION OF SUBSTANTIAL REQUIREMENTS HOWEVER, THE BENEFITS UNDER THIS NONQUALIFIED DEFERRED COMPENSATION PLAN BECOME TAXABLE TO THE INDIVIDUAL UPON VESTING, EVEN THOUGH THE BENEFIT MAY NOT BE PAYABLE UNTIL A LATER YEAR OR YEARS THE TAXABLE AMOUNT IS THE RESPECTIVE INDIVIDUAL'S LUMP SUM EQUIVALENT OF THE DEFERRED BENEFIT DURING 2012, NDC HAD THREE EMPLOYEES, WHO ARE PARTICIPANTS WITHIN THIS NONQUALIFIED PLAN, VEST AS SO DEFINED BY THE PLAN DOCUMENT THESE THREE EMPLOYEES HAVE WORKED FOR NDC FOR A CONSIDERABLE NUMBER OF YEARS MR DOWNS BEGAN HIS EMPLOYMENT WITH NDC IN 1990 MR FINKE BEGAN HIS EMPLOYMENT WITH NDC IN 1983 MR LINNER BEGAN HIS EMPLOYMENT WITH NDC IN 1989 FOLLOWING ARE THE THREE EMPLOYEES AND THE RESPECTIVE AMOUNT OF THEIR 2012 WAGES, 2012 DEFERRED BENEFITS AND TOTAL COMPENSATION THAT HAVE BEEN REPORTED AS TAXABLE COMPENSATION ON THEIR RESPECTIVE 2012 W-2 FORM EMPLOYEE WAGES 457(F) BENEFIT TOTAL COMPENSATION JOHN DOWNS 184,948 87,233 272,181 JOHN FINKE 338,148 1,238,882 1,577,030 JOHN LINNER 180,548 235,280 415,828

Identifier	Return Reference	Explanation
OTHER CHANGES IN NET ASSETS EXPLANATION	FORM 990, PART XI, LINE 9	OTHER CHANGES IN FUNDED STATUS NONQUALIF PENSION 1,763,384 OTHER CHANGES IN FUNDED STATUS QUALIFIED PENSION 1,969,274

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Employer identification number
13-6532871

Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1) NDC SUPPORT I INC 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017 13-4156877	SEE ATT	VA	501C3	11A	NDC		No
(2) GROW AMERICA FUND INC 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017 13-3641265	SEE ATT	DE	501C3	9	NDC		No
(3) NDC HOUSING & ECONOMIC DEV CORP 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017 11-2933129	SEE ATT	VA	501C3	9	NDC		No

Part III **Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) No	(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

Part IV **Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity? Yes	(i) No
(1) COMMUNITY DEV GROUP KENTUCKY INC 708 THIRD AVENUE SUITE 710 NEW YORK, NY 10017 13-3555220	BLDG MGMT	KY	NDC	C CORP	11	1,700	100 000 %		No

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

		Yes	No
Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule			
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to related organization(s)	1b	Yes	
c Gift, grant, or capital contribution from related organization(s)	1c	Yes	
d Loans or loan guarantees to or for related organization(s)	1d		No
e Loans or loan guarantees by related organization(s)	1e		No
f Dividends from related organization(s)	1f		No
g Sale of assets to related organization(s)	1g		No
h Purchase of assets from related organization(s)	1h		No
i Exchange of assets with related organization(s)	1i		No
j Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l		No
m Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o Sharing of paid employees with related organization(s)	1o		No
p Reimbursement paid to related organization(s) for expenses	1p		No
q Reimbursement paid by related organization(s) for expenses	1q		No
r Other transfer of cash or property to related organization(s)	1r		No
s Other transfer of cash or property from related organization(s)	1s		No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	**(b)** Transaction type (a-s)	**(c)** Amount involved	**(d)** Method of determining amount involved
(1) NDC SUPPORT I INC	B	903,738	COST
(2) NDC SUPPORT I INC	C	373,113	COST
(3) GROW AMERICA FUND INC	B	445,438	COST
(4) NDC HOUSING & ECONOMIC DEV CORP	B	540,587	COST
(5) NDC HOUSING & ECONOMIC DEV CORP	C	3,250,000	COST

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations? Yes	(e) No	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations? Yes	(h) No	(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner? Yes	(j) No	(k) Percentage ownership

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-6532871
Name: NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
ADDITIONAL INFORMATION	SCHEDULE R	SCHEDULE R PART II PRIMARY ACTIVITY OF RELATED TAX EXEMPT ORGANIZATION NDC SUPPORT I INC NDC SUPPORT I INC IS ORGANIZED AND OPERATED SUPERVISED OR CONTROLLED BY AS DEFINED BY SECTION 509A3 OF THE INTERNAL REVENUE CODE AND THE REGULATIONS THEREUNDER AND EXCLUSIVELY FOR THE BENEFIT OF OR TO PERFORM FUNCTIONS OF OR TO CARRY OUT THE CHARITABLE PURPOSES OF NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC NDC A CHARITABLE ORGANIZATION DESCRIBED IN SECTION 501C3 OF THE INTERNAL REVENUE CODE AND A PUBLICLY SUPPORTED ORGANIZATION DESCRIBED IN SECTION 509A2 OF THE INTERNAL REVENUE CODE GROW AMERICA FUND INC GROW AMERICA FUND INC IS A CHARITABLE ORGANIZATION DESCRIBED IN SECTION 501C3 OF THE INTERNAL REVENUE CODE AND A PUBLICLY SUPPORTED ORGANIZATION AS DESCRIBED IN SECTION 509A2 OF THE INTERNAL REVENUE CODE GROW AMERICA FUND INC IS A CERTIFIED COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION ITS PRIMARY ACTIVITY IS TO MAKE LOANS TO SMALL BUSINESSES LOCATED IN ECONOMICALLY DEPRESSED AREAS THAT DO NOT HAVE ACCESS TO TRADITIONAL COMMERCIAL LENDERS IT IS A DELAWARE NONSTOCK NONPROFIT CORPORATION IN WHICH NDC IS THE CORPORATIONS SOLE VOTING MEMBER NDC HOUSING ECONOMIC DEVELOPMENT CORPORATION NDC HOUSING ECONOMIC DEVELOPMENT CORPORATION IS A CHARITABLE ORGANIZATION DESCRIBED IN SECTION 501C3 OF THE INTERNAL REVENUE CODE AND A PUBLICLY SUPPORTED ORGANIZATION AS DESCRIBED IN SECTION 509A2 OF THE INTERNAL REVENUE CODE NDC HOUSING ECONOMIC DEVELOPMENT CORPORATIONS HEDC THREE PRIMARY ACTIVITIES ARE 1 PROVIDE QUALITY LOW INCOME HOUSING 2 LESSEN THE BURDENS OF GOVERNMENT AND 3 STIMULATE ECONOMIC DEVELOPMENT IN LOW INCOME ARES HEDC IS A NONPROFIT CORPORATION UNDER THE LAWS OF THE STATE OF VIRGINIA THE BOARD OF DIRECTORS OF HEDC ARE EMPLOYEES OF NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC NDC

-->

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93493319030443

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2012

Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
NATIONAL COUNCIL FOR COMMUNITY DEVELOPMENT INC	INDIRECT DEPRECIATION	13-6532871

Part I **Election To Expense Certain Property Under Section 179**
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	**(a)** Description of property	**(b)** Cost (business use only)	**(c)** Elected cost
6			

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property **)** (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	41,597

Part III **MACRS Depreciation (Do not** include listed property.**)** (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	**(b)** Month and year placed in service	**(c)** Basis for depreciation (business/investment use only—see instructions)	**(d)** Recovery period	**(e)** Convention	**(f)** Method	**(g)** Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV **Summary** (see instructions)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	41,597
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? Yes No | **24b** If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 . . . **28**

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . **29**

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . . .												
31 Total commuting miles driven during the year .												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . .		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2012 tax year (see instructions)					

43 Amortization of costs that began before your 2012 tax year **43**

44 Total. Add amounts in column (f) See the instructions for where to report **44**