Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: BOOTH FERRIS FOUNDATION P10544008

A Employer identification number: 13-6170340

Number and street (or P O box number if mail is not delivered to street address) | Room/suite: 10 S DEARBORN IL1-0117

B Telephone number (see instructions): 866- 888-5157

City or town, state, and ZIP code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 207,825,957.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ________ *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

POSTMARK DATE NOV 12 2013 ENVELOPE

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc, received (attach schedule)				
2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	5,854,014.	5,854,014.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	4,396,165.			
b Gross sales price for all assets on line 6a 35,324,982.				
7 Capital gain net income (from Part IV, line 2)		4,396,165.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	164,491.	386,948.		STMT 2
12 **Total** Add lines 1 through 11	10,414,670.	10,637,127.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	1,361,310.	1,020,982.		340,327.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 3	225,331.	38,331.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 4	4,695.			4,695.
24 **Total operating and administrative expenses.** Add lines 13 through 23	1,591,336.	1,059,313.		345,022.
25 Contributions, gifts, grants paid	9,093,600.			9,093,600.
26 **Total expenses and disbursements** Add lines 24 and 25	10,684,936.	1,059,313.		9,438,622.
27 Subtract line 26 from line 12				
a **Excess of revenue over expenses and disbursements**	-270,266.			
b **Net investment income** (if negative, enter -0-)		9,577,814.		
c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	5,404,655.	9,228,056.	9,228,056.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶	332,781.		
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule) STMT 5	82,604,990.	84,803,754.	96,799,567.
c	Investments - corporate bonds (attach schedule) STMT 6	63,570,591.	58,036,456.	61,817,883.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ STMT 7)	35,142,635.	33,989,818.	39,980,451.
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	187,055,652.	186,058,084.	207,825,957.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	187,055,652.	186,058,084.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	187,055,652.	186,058,084.	
31	**Total liabilities and net assets/fund balances** (see instructions)	187,055,652.	186,058,084.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	187,055,652.
2	Enter amount from Part I, line 27a	2	-270,266.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	186,785,386.
5	Decreases not included in line 2 (itemize) ▶ PARTNERSHIP BASIS ADJUSTMENT	5	727,302.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	186,058,084.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	35,324,982.		30,928,817.	4,396,165.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				4,396,165.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	4,396,165.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	9,075,000.	202,707,951.	0.044769
2010	8,876,066.	194,387,768.	0.045662
2009	8,489,112.	175,578,944.	0.048349
2008	11,108,216.	203,944,295.	0.054467
2007	11,750,222.	244,875,814.	0.047984

2 **Total** of line 1, column (d)	2	0.241231
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048246
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	200,860,925.
5 Multiply line 4 by line 3	5	9,690,736.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	95,778.
7 Add lines 5 and 6	7	9,786,514.
8 Enter qualifying distributions from Part XII, line 4	8	9,438,622.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	191,556.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	191,556.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	191,556.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 77,028.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 160,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	237,028.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	1,836.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	43,636.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 43,636. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JPMORGAN CHASE BANK, NA Telephone no ▶ (866)888-5157

Located at ▶ 10 S. DEARBORN, IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603-2003

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b — No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK NA 10 S DEARBORN, IL1-0117, CHICAGO, IL 60603-2003	TRUSTEE 50	1,361,310	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	197,839,481.
b	Average of monthly cash balances	1b	6,080,240.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	203,919,721.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	203,919,721.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,058,796.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	200,860,925.
6	**Minimum investment return.** Enter 5% of line 5	6	10,043,046.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	10,043,046.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	191,556.		
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	191,556.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	9,851,490.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	9,851,490.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	9,851,490.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	9,438,622.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	9,438,622.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	9,438,622.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				9,851,490.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			NONE	
b	Total for prior years 20____, 20____, 20____		NONE		
3	Excess distributions carryover, if any, to 2012				
a	From 2007 — NONE				
b	From 2008 — 597,667.				
c	From 2009 — NONE				
d	From 2010 — NONE				
e	From 2011 — NONE				
f	**Total** of lines 3a through e	597,667.			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 9,438,622.				
a	Applied to 2011, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2012 distributable amount				9,438,622.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	412,868.			412,868.
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	184,799.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e	Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	184,799.			
10	Analysis of line 9				
a	Excess from 2008 — 184,799.				
b	Excess from 2009 — NONE				
c	Excess from 2010 — NONE				
d	Excess from 2011 — NONE				
e	Excess from 2012 — NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOOTH FERRIS FDN C/O JPMORGAN CHASE SERVS INC P.O. BOX 6089 NEWARK DE 19714-6089	NONE	P	SEE ATTACHED	9,093,600.
Total . . . . ▶ **3a**				9,093,600.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	5,854,014.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	4,396,165.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b DEFERRED INCOME			14	164,491.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				10,414,670.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 10,414,670.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 11/07/2013 | ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST AND DIVIDENDS	5,854,014.	5,854,014.
TOTAL	5,854,014.	5,854,014.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DEFERRED INCOME		164,491.	
PARTNERSHIP INCOM			386,948.
	TOTALS	164,491.	386,948.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL TAX PAYMENT - PRIOR YE	110,000.	
FEDERAL ESTIMATES - PRINCIPAL	77,000.	
FOREIGN TAXES ON QUALIFIED FOR	34,700.	34,700.
FOREIGN TAXES ON NONQUALIFIED	3,631.	3,631.
TOTALS	225,331.	38,331.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
ATTORNEY GENERAL FEE	3,695.	3,695.
OTHER EXPENSES	1,000.	1,000.
TOTALS	4,695.	4,695.

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	
SEE ATTACHED SCHEDULE	
TOTALS	

BOOTH FERRIS FOUNDATION P10544008 13-6170340

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION

SEE ATTACHED SCHEDULE

TOTALS

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION
HARD ASSETS - SEE STATEMENT
ATLAS GLOBAL LTD
BLACKSTONE REAL ESTATE CMBS
BLACKSTONE PARTNERS OFFSHORE
CHILTON GLOBAL NATURAL RESOURC
GOLDENTREE OFFSHORE FUND LTD
QUANTUM OFFSHORE V LP
CENTAURUS GLOBAL CATALYST FUND
HALCYON PARTNERS OFFSHORE FUND
MAVERICK FUND LTD CLASS C
PERELLA WEINBERG PARTNERS
BLACKSTONE GSO PRIVATE INVEST
STARWOOD SOF VIII PRIVATE
BLACKSTONE R/E CMBS COMM TO BC
PROVIDENCE TMT DEBT OPPORTUNIT
BLUEBAY EMERGING MARKET

TOTALS

RECIPIENT NAME:
BOOTH FERRIS FOUNDATION, JPMORGAN CHASE BANK, N
ADDRESS:
270 PARK AVENUE
NEW YORK, NY 10017
RECIPIENT'S PHONE NUMBER: 212-464-2487
FORM, INFORMATION AND MATERIALS:
ONE COPY OF THE PROPOSAL SHOULD BE ACCOMPANIED BY AN ANNUAL REPORT. FINANCIAL DATA, INCLUDING THE ORGANIZATION'S CURRENT BUDGET AND ITS LATEST AUDITED FINANCIAL STATEMENTS SHOULD BE SUBMITTED AS WELL.
SUBMISSION DEADLINES:
N/A
THE FOUNDATION'S PRIMARY INTERESTS ARE IN THE FIELD OF EDUCATION,
RESTRICTIONS OR LIMITATIONS ON AWARDS:
ESPECIALLY THEOLOGICAL, SMALLER COLLEGES AND INDEPENDENT SECONDARY SCHOOLS. A LIMITED NUMBER OF GRANTS ARE MADE IN THE AREAS OF SOCIAL SERVICES AND CULTURAL ACTIVITIES IN NEW YORK CITY. GRANTS ARE NOT MADE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
INDIVIDUALS, FEDERATED ORGANIZATIONS, OR TO WORK WITH SPECIFIC DISEASE OR DISABILITIES. PROPOSALS FROM EDUCATIONAL INSTITUTIONS FOR SCHOLAR-SHIPS AND FELLOWSHIPS AND FOR RESTRICTED ENDOWMENT ARE DISCOURAGED, AS
RESTRICTIONS OR LIMITATIONS ON AWARDS:
AS ARE PROPOSALS FOR INDIVIDUAL RESEARCH EFFORTS AT SUCH INSTITUTIONS. PROPOSALS FROM SOCIAL SERVICE AND CULTURAL INSTITUTIONS OUTSIDE METRO-POLITAN NEW YORK WILL NOT BE CONSIDERED.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	165,450.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	165,450.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					1,985,280.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	2,245,435.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	4,230,715.

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			165,450.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			4,230,715.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			4,396,165.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if·*
- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)		17		
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19			
20	Add lines 18 and 19	20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21			
22	Subtract line 21 from line 20. If zero or less, enter -0-		22		
23	Subtract line 22 from line 17. If zero or less, enter -0-		23		
24	Enter the **smaller** of the amount on line 17 or $2,400		24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23		25		
26	Subtract line 25 from line 24		26		
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22		27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)		28		
29	Subtract line 28 from line 27		29		
30	Multiply line 29 by 15% (.15)			30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			31	
32	Add lines 30 and 31			32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)			34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 200. WPX ENERGY INC	VAR	01/04/2012	3,599.00	3,386.00	213.00
100. WPX ENERGY INC	05/05/2011	01/04/2012	1,768.00	1,691.00	77.00
400. WPX ENERGY INC	VAR	01/04/2012	7,010.00	6,667.00	343.00
200. WPX ENERGY INC	08/16/2011	01/04/2012	3,499.00	3,119.00	380.00
200. WPX ENERGY INC	08/16/2011	01/04/2012	3,545.00	3,119.00	426.00
35. WPX ENERGY INC	08/16/2011	01/04/2012	620.00	546.00	74.00
1050. BROADCOM CORP CL A	06/14/2011	01/06/2012	30,770.00	34,900.00	-4,130.00
4342.64 FHLMC	04/19/2011	01/17/2012	4,343.00	4,853.00	-510.00
2845.21 FHLMC	04/25/2011	01/17/2012	2,845.00	3,222.00	-377.00
6806.67 FHLMC	04/19/2011	01/17/2012	6,807.00	7,394.00	-587.00
11793.2 FHLMC	04/13/2011	01/17/2012	11,793.00	12,110.00	-317.00
6546.89 FEDERAL HOME LN MT MULTICLASS	04/21/2011	01/17/2012	6,547.00	6,915.00	-368.00
12472.56 FHLMC REMIC	04/20/2011	01/17/2012	12,473.00	13,486.00	-1,013.00
1741.42 FHLMC	04/27/2011	01/17/2012	1,741.00	1,764.00	-23.00
4906.57 FHLMC	04/26/2011	01/17/2012	4,907.00	5,054.00	-147.00
1375. JOHNSON & JOHNSON	04/26/2011	01/19/2012	89,313.00	89,469.00	-156.00
370000. U S A NOTES	02/18/2011	01/19/2012	371,864.00	369,361.00	2,503.00
650000. FANNIE MAE	04/15/2011	01/20/2012	769,226.00	732,336.00	36,890.00
900. GLOBAL PMTS INC	VAR	01/20/2012	43,278.00	43,448.00	-170.00
7325.94 GOVERNMENT NATL MT REMIC	04/13/2011	01/20/2012	7,326.00	8,095.00	-769.00
3492.71 GNMA	04/12/2011	01/20/2012	3,493.00	3,759.00	-266.00
8209.83 GNMA II	04/26/2011	01/20/2012	8,210.00	8,897.00	-687.00
8564.64 FNMA	04/15/2011	01/25/2012	8,565.00	8,800.00	-235.00
6023.95 FNMA	04/19/2011	01/25/2012	6,024.00	6,423.00	-399.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2303.37 FNMA	04/07/2011	01/25/2012	2,303.00	2,534.00	-231.00
9742.73 FANNIE MAE	04/13/2011	01/25/2012	9,743.00	10,286.00	-543.00
1225. JOHNSON & JOHNSON	VAR	01/26/2012	80,466.00	73,468.00	6,998.00
200. COCA-COLA CO	11/03/2011	02/01/2012	13,592.00	13,729.00	-137.00
200. COCA-COLA CO	11/03/2011	02/01/2012	13,566.00	13,729.00	-163.00
100. OCCIDENTAL PETE CORP	08/05/2011	02/01/2012	9,908.00	8,834.00	1,074.00
325. OCCIDENTAL PETE CORP	VAR	02/01/2012	32,265.00	27,494.00	4,771.00
800. COCA-COLA CO	VAR	02/02/2012	54,310.00	54,638.00	-328.00
100. COCA-COLA CO	11/02/2011	02/02/2012	6,797.00	6,779.00	18.00
100. MONSANTO CO NEW	07/27/2011	02/03/2012	8,212.00	7,418.00	794.00
200. MONSANTO CO NEW	VAR	02/03/2012	16,423.00	13,851.00	2,572.00
100. MONSANTO CO NEW	09/19/2011	02/06/2012	7,953.00	6,883.00	1,070.00
200. MONSANTO CO NEW	VAR	02/06/2012	15,995.00	13,342.00	2,653.00
1000. CONSTELLATION ENERGY	04/13/2011	02/07/2012	36,564.00	33,305.00	3,259.00
34. MONSANTO CO NEW	08/10/2011	02/07/2012	2,722.00	2,262.00	460.00
975. BAKER HUGHES INC	VAR	02/10/2012	47,013.00	55,977.00	-8,964.00
2624.21 FHLMC	02/22/2011	02/15/2012	2,624.00	2,923.00	-299.00
464.5 FREDDIE MAC	03/02/2011	02/15/2012	465.00	488.00	-23.00
2766.49 FHLMC	04/19/2011	02/15/2012	2,766.00	3,092.00	-326.00
2395.75 FHLMC	04/25/2011	02/15/2012	2,396.00	2,713.00	-317.00
5176.51 FHLMC	04/19/2011	02/15/2012	5,177.00	5,623.00	-446.00
6950.89 FHLMC	04/13/2011	02/15/2012	6,951.00	7,138.00	-187.00
5505.88 FEDERAL HOME LN MT MULTICLASS	04/21/2011	02/15/2012	5,506.00	5,816.00	-310.00
10900.83 FHLMC REMIC	04/20/2011	02/15/2012	10,901.00	11,787.00	-886.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1201.79 FHLMC	04/27/2011	02/15/2012	1,202.00	1,218.00	-16.00
4784.26 FHLMC	04/26/2011	02/15/2012	4,784.00	4,928.00	-144.00
900. DARDEN RESTAURANTS IN	10/05/2011	02/21/2012	45,868.00	38,119.00	7,749.00
214. DOMINION RES INC VA N	10/14/2011	02/21/2012	10,733.00	10,785.00	-52.00
1036. DOMINION RES INC VA	10/14/2011	02/21/2012	51,980.00	52,210.00	-230.00
7242.42 GOVERNMENT NATL MT REMIC	04/13/2011	02/21/2012	7,242.00	8,003.00	-761.00
9781.75 GNMA	04/12/2011	02/21/2012	9,782.00	10,528.00	-746.00
6651.2 GNMA II	04/26/2011	02/21/2012	6,651.00	7,208.00	-557.00
123. MICROS SYS INC	10/24/2011	02/21/2012	6,308.00	6,135.00	173.00
56. MICROS SYS INC	10/24/2011	02/22/2012	2,850.00	2,793.00	57.00
197. MICROS SYS INC	VAR	02/22/2012	10,073.00	9,548.00	525.00
94. MICROS SYS INC	VAR	02/23/2012	4,836.00	4,471.00	365.00
80. MICROS SYS INC	10/20/2011	02/23/2012	4,101.00	3,774.00	327.00
1782.48 FNMA	01/19/2012	02/27/2012	1,782.00	1,884.00	-102.00
7655.13 FNMA	04/15/2011	02/27/2012	7,655.00	7,866.00	-211.00
4262.54 FNMA	04/19/2011	02/27/2012	4,263.00	4,545.00	-282.00
3007.19 FNMA	04/07/2011	02/27/2012	3,007.00	3,308.00	-301.00
8201.86 FANNIE MAE	04/13/2011	02/27/2012	8,202.00	8,659.00	-457.00
1727.92 FANNIE MAE	03/01/2011	02/27/2012	1,728.00	1,698.00	30.00
962.06 FNMA	10/26/2011	02/27/2012	962.00	978.00	-16.00
129. CARNIVAL CORPORATION	08/09/2011	02/28/2012	3,851.00	3,987.00	-136.00
601. CARNIVAL CORPORATION	VAR	02/29/2012	18,158.00	18,159.00	-1.00
788. SOUTHWESTERN ENERGY C	08/16/2011	03/05/2012	25,649.00	31,093.00	-5,444.00
4774.39 FHLMC	04/19/2011	03/15/2012	4,774.00	5,335.00	-561.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1426. FHLMC	04/25/2011	03/15/2012	1,426.00	1,615.00	-189.00
5934.26 FHLMC	04/19/2011	03/15/2012	5,934.00	6,446.00	-512.00
10859.53 FHLMC	04/13/2011	03/15/2012	10,860.00	11,151.00	-291.00
5954.46 FEDERAL HOME LN MT MULTICLASS	04/21/2011	03/15/2012	5,954.00	6,289.00	-335.00
10210.22 FHLMC REMIC	04/20/2011	03/15/2012	10,210.00	11,040.00	-830.00
1450.76 FHLMC	04/27/2011	03/15/2012	1,451.00	1,470.00	-19.00
4709.78 FHLMC	04/26/2011	03/15/2012	4,710.00	4,851.00	-141.00
1325. NEXTERA ENERGY INC	VAR	03/16/2012	79,618.00	75,837.00	3,781.00
2311.28 GNMA	04/26/2011	03/20/2012	2,311.00	2,451.00	-140.00
6156.74 GOVERNMENT NATL MT REMIC	04/13/2011	03/20/2012	6,157.00	6,803.00	-646.00
9548.87 GNMA	04/12/2011	03/20/2012	9,549.00	10,277.00	-728.00
6741.52 GNMA II	04/26/2011	03/20/2012	6,742.00	7,306.00	-564.00
1397.03 GNMA	04/12/2011	03/20/2012	1,397.00	1,483.00	-86.00
22. TARGET CORP	08/09/2011	03/20/2012	1,275.00	1,050.00	225.00
691. TARGET CORP	VAR	03/20/2012	40,100.00	32,783.00	7,317.00
440. TARGET CORP	VAR	03/21/2012	25,569.00	20,825.00	4,744.00
157. TARGET CORP	06/14/2011	03/21/2012	9,061.00	7,421.00	1,640.00
85. TARGET CORP	06/14/2011	03/21/2012	4,907.00	4,018.00	889.00
205. TARGET CORP	06/14/2011	03/22/2012	11,815.00	9,689.00	2,126.00
1788.43 FNMA	01/19/2012	03/26/2012	1,788.00	1,890.00	-102.00
8009.4 FNMA	04/15/2011	03/26/2012	8,009.00	8,230.00	-221.00
6299.59 FNMA	04/19/2011	03/26/2012	6,300.00	6,717.00	-417.00
2292.99 FNMA	04/07/2011	03/26/2012	2,293.00	2,522.00	-229.00
9439.74 FANNIE MAE	04/13/2011	03/26/2012	9,440.00	9,966.00	-526.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 585.52 FNMA	10/26/2011	03/26/2012	586.00	595.00	-9.00
175. AMAZON COM INC	VAR	04/09/2012	33,620.00	35,232.00	-1,612.00
171. CAMPBELL SOUP CO	02/07/2012	04/12/2012	5,662.00	5,446.00	216.00
429. CAMPBELL SOUP CO	02/07/2012	04/13/2012	14,189.00	13,663.00	526.00
48. MERCK & CO INC	01/09/2012	04/13/2012	1,820.00	1,835.00	-15.00
67. MERCK & CO INC	01/09/2012	04/13/2012	2,536.00	2,561.00	-25.00
56. MERCK & CO INC	01/09/2012	04/13/2012	2,125.00	2,141.00	-16.00
27. MERCK & CO INC	01/09/2012	04/13/2012	1,025.00	1,032.00	-7.00
99. MERCK & CO INC	01/09/2012	04/13/2012	3,757.00	3,785.00	-28.00
890. XILINX INC	11/01/2011	04/13/2012	31,509.00	29,346.00	2,163.00
6141.15 FHLMC	04/19/2011	04/16/2012	6,141.00	6,863.00	-722.00
1788.6 FHLMC	04/25/2011	04/16/2012	1,789.00	2,026.00	-237.00
8454.89 FHLMC	04/19/2011	04/16/2012	8,455.00	9,184.00	-729.00
5247.22 FEDERAL HOME LN MT MULTICLASS	04/21/2011	04/16/2012	5,247.00	5,542.00	-295.00
10746.72 FHLMC REMIC	04/20/2011	04/16/2012	10,747.00	11,620.00	-873.00
2859.27 FHLMC REMIC	04/19/2011	04/16/2012	2,859.00	3,076.00	-217.00
1169.74 FHLMC	04/27/2011	04/16/2012	1,170.00	1,185.00	-15.00
5247.35 FHLMC	04/26/2011	04/16/2012	5,247.00	5,405.00	-158.00
35. MERCK & CO INC	01/09/2012	04/16/2012	1,329.00	1,338.00	-9.00
2. MERCK & CO INC	01/09/2012	04/16/2012	76.00	76.00	
43. MERCK & CO INC	01/09/2012	04/16/2012	1,633.00	1,644.00	-11.00
80. MERCK & CO INC	01/09/2012	04/16/2012	3,038.00	3,058.00	-20.00
8. MERCK & CO INC	01/09/2012	04/16/2012	304.00	306.00	-2.00
178. MERCK & CO INC	01/09/2012	04/16/2012	6,759.00	6,805.00	-46.00
1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b					

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 62. MERCK & CO INC	01/09/2012	04/16/2012	2,355.00	2,370.00	-15.00
120. MERCK & CO INC	01/09/2012	04/16/2012	4,556.00	4,588.00	-32.00
110. XILINX INC	11/01/2011	04/16/2012	3,880.00	3,627.00	253.00
15904.96 GNMA	04/26/2011	04/20/2012	15,905.00	16,869.00	-964.00
7951.19 GNMA II	04/26/2011	04/20/2012	7,951.00	8,617.00	-666.00
1781. JUNIPER NETWORKS INC	VAR	04/23/2012	36,054.00	48,509.00	-12,455.00
1794.39 FNMA	01/19/2012	04/25/2012	1,794.00	1,896.00	-102.00
2060.11 FNMA	10/26/2011	04/25/2012	2,060.00	2,094.00	-34.00
2874. TEXAS INSTRS INC	VAR	05/07/2012	88,335.00	95,094.00	-6,759.00
1800.37 FNMA	01/19/2012	05/25/2012	1,800.00	1,903.00	-103.00
1710.13 FNMA	10/26/2011	05/25/2012	1,710.00	1,738.00	-28.00
621. AMERIPRISE FINANCIAL	VAR	06/01/2012	28,581.00	33,268.00	-4,687.00
1. AMERIPRISE FINANCIAL IN	02/06/2012	06/04/2012	46.00	53.00	-7.00
264. AMERIPRISE FINANCIAL	VAR	06/04/2012	12,033.00	14,056.00	-2,023.00
264. AMERIPRISE FINANCIAL	VAR	06/04/2012	12,085.00	14,007.00	-1,922.00
5025. GENERAL ELEC CO	09/16/2011	06/15/2012	99,520.00	81,736.00	17,784.00
339. EXXON MOBIL CORP	01/19/2012	06/21/2012	27,948.00	29,400.00	-1,452.00
475. PROCTER & GAMBLE CO	02/01/2012	06/21/2012	28,451.00	30,151.00	-1,700.00
758. CVS CORP	VAR	06/22/2012	34,640.00	31,460.00	3,180.00
167. CVS CORP	01/09/2012	06/22/2012	7,640.00	6,930.00	710.00
150. CVS CORP	01/09/2012	06/22/2012	6,859.00	6,225.00	634.00
2. WILLIAMS COS INC	01/04/2012	06/22/2012	56.00	54.00	2.00
7. WILLIAMS COS INC	01/04/2012	06/22/2012	197.00	188.00	9.00
29. WILLIAMS COS INC	01/04/2012	06/22/2012	817.00	777.00	40.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

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2012

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Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 49. WILLIAMS COS INC	01/04/2012	06/22/2012	1,382.00	1,313.00	69.00
47. WILLIAMS COS INC	01/04/2012	06/22/2012	1,330.00	1,260.00	70.00
40. WILLIAMS COS INC	01/04/2012	06/22/2012	1,135.00	1,072.00	63.00
9. WILLIAMS COS INC	01/04/2012	06/22/2012	255.00	241.00	14.00
176. WILLIAMS COS INC	VAR	06/22/2012	4,994.00	4,715.00	279.00
1806.38 FNMA	01/19/2012	06/25/2012	1,806.00	1,909.00	-103.00
2165.16 FNMA	10/26/2011	06/25/2012	2,165.00	2,201.00	-36.00
9. WILLIAMS COS INC	01/04/2012	06/25/2012	251.00	241.00	10.00
2. WILLIAMS COS INC	01/04/2012	06/25/2012	55.00	54.00	1.00
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
9. WILLIAMS COS INC	01/04/2012	06/25/2012	246.00	241.00	5.00
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
2. WILLIAMS COS INC	01/04/2012	06/25/2012	55.00	54.00	1.00
7. WILLIAMS COS INC	01/04/2012	06/25/2012	194.00	187.00	7.00
16. WILLIAMS COS INC	VAR	06/25/2012	440.00	428.00	12.00
1. WILLIAMS COS INC	01/04/2012	06/25/2012	28.00	27.00	1.00
12. WILLIAMS COS INC	01/04/2012	06/25/2012	332.00	321.00	11.00
8. WILLIAMS COS INC	01/04/2012	06/25/2012	219.00	214.00	5.00
90. WILLIAMS COS INC	01/04/2012	06/25/2012	2,459.00	2,409.00	50.00
55. WILLIAMS COS INC	01/04/2012	06/25/2012	1,505.00	1,472.00	33.00
33. WILLIAMS COS INC	VAR	06/25/2012	902.00	883.00	19.00
1. WILLIAMS COS INC	01/04/2012	06/26/2012	28.00	27.00	1.00
27. WILLIAMS COS INC	01/04/2012	06/26/2012	745.00	722.00	23.00
35. WILLIAMS COS INC	01/04/2012	06/26/2012	965.00	936.00	29.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

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2012

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Employer identification number: 13-6170340

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. WILLIAMS COS INC	01/04/2012	06/26/2012	28.00	27.00	1.00
6. WILLIAMS COS INC	01/04/2012	06/26/2012	166.00	161.00	5.00
400. EQT CORPORATION	VAR	07/02/2012	21,465.00	21,016.00	449.00
130. EQT CORPORATION	VAR	07/03/2012	7,086.00	6,817.00	269.00
192. EQT CORPORATION	02/22/2012	07/03/2012	10,472.00	10,034.00	438.00
74. EQT CORPORATION	VAR	07/03/2012	4,053.00	3,863.00	190.00
44. EQT CORPORATION	03/05/2012	07/05/2012	2,410.00	2,292.00	118.00
112. EQT CORPORATION	03/05/2012	07/05/2012	6,153.00	5,833.00	320.00
101. EQT CORPORATION	VAR	07/06/2012	5,452.00	5,256.00	196.00
1239. CISCO SYS INC	VAR	07/11/2012	20,343.00	22,046.00	-1,703.00
65. HUMANA INC	10/31/2011	07/11/2012	4,846.00	5,607.00	-761.00
27. HUMANA INC	10/31/2011	07/11/2012	2,013.00	2,329.00	-316.00
735. MARRIOTT INTL INC NEW	02/28/2012	07/11/2012	27,884.00	26,002.00	1,882.00
108. HUMANA INC	VAR	07/12/2012	7,958.00	9,311.00	-1,353.00
1. HUMANA INC	10/31/2011	07/13/2012	75.00	86.00	-11.00
2. HUMANA INC	10/31/2011	07/16/2012	149.00	172.00	-23.00
27. HUMANA INC	10/31/2011	07/16/2012	2,015.00	2,328.00	-313.00
13. HUMANA INC	10/31/2011	07/16/2012	969.00	1,121.00	-152.00
18. HUMANA INC	10/31/2011	07/17/2012	1,325.00	1,552.00	-227.00
2. HUMANA INC	10/31/2011	07/17/2012	147.00	172.00	-25.00
1. HUMANA INC	10/31/2011	07/17/2012	75.00	86.00	-11.00
1. HUMANA INC	10/31/2011	07/17/2012	74.00	86.00	-12.00
308. CAMERON INTERNATIONAL	VAR	07/18/2012	13,869.00	17,366.00	-3,497.00
92. CAMERON INTERNATIONAL	02/10/2012	07/18/2012	4,141.00	5,172.00	-1,031.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

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2012

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 45. HUMANA INC	VAR	07/18/2012	3,297.00	3,873.00	-576.00
10. HUMANA INC	10/31/2011	07/18/2012	733.00	856.00	-123.00
1. HUMANA INC	10/31/2011	07/18/2012	73.00	86.00	-13.00
19. HUMANA INC	10/31/2011	07/18/2012	1,391.00	1,626.00	-235.00
1. HUMANA INC	10/31/2011	07/18/2012	73.00	86.00	-13.00
8. HUMANA INC	10/31/2011	07/19/2012	591.00	685.00	-94.00
12. HUMANA INC	10/31/2011	07/19/2012	886.00	1,027.00	-141.00
9. HUMANA INC	10/31/2011	07/19/2012	675.00	770.00	-95.00
16. HUMANA INC	10/31/2011	07/20/2012	1,184.00	1,369.00	-185.00
15. HUMANA INC	10/31/2011	07/20/2012	1,108.00	1,283.00	-175.00
90000. TEVA PHARMACEUT FIN	11/08/2011	07/20/2012	94,223.00	90,113.00	4,110.00
69000. US BANCORP	05/03/2012	07/20/2012	70,223.00	68,871.00	1,352.00
1812.39 FNMA	01/19/2012	07/25/2012	1,812.00	1,915.00	-103.00
2502.88 FNMA	10/26/2011	07/25/2012	2,503.00	2,544.00	-41.00
418. EXXON MOBIL CORP	01/19/2012	07/27/2012	36,038.00	36,251.00	-213.00
1021. JOHNSON & JOHNSON	VAR	07/27/2012	70,711.00	69,315.00	1,396.00
56. AUTOZONE INC	08/19/2011	08/03/2012	20,581.00	16,256.00	4,325.00
395. COGNIZANT TECHNOLOGY A	VAR	08/03/2012	22,720.00	24,335.00	-1,615.00
116. COGNIZANT TECHNOLOGY A	05/07/2012	08/03/2012	6,639.00	6,768.00	-129.00
413. MARRIOTT INTL INC NEW	VAR	08/13/2012	15,227.00	14,544.00	683.00
1165. MARRIOTT INTL INC NE	01/26/2012	08/14/2012	43,031.00	40,639.00	2,392.00
1200000. CS QARN SPX 02/27	02/10/2012	08/27/2012	1,261,200.00	1,188,000.00	73,200.00
1818.43 FNMA	01/19/2012	08/27/2012	1,818.00	1,922.00	-104.00
2669.33 FNMA	10/26/2011	08/27/2012	2,669.00	2,713.00	-44.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 435. EXXON MOBIL CORP	VAR	09/07/2012	39,040.00	37,712.00	1,328.00
1989. WALGREEN CO	VAR	09/07/2012	69,360.00	59,340.00	10,020.00
158. EXXON MOBIL CORP	01/19/2012	09/13/2012	14,422.00	13,654.00	768.00
1824.49 FNMA	01/19/2012	09/25/2012	1,824.00	1,928.00	-104.00
4284.16 FNMA	10/26/2011	09/25/2012	4,284.00	4,354.00	-70.00
770. CBS CORP	10/06/2011	09/26/2012	27,447.00	16,625.00	10,822.00
166. ADT CORPORATION	VAR	10/04/2012	6,392.00	5,774.00	618.00
37.415 ADT CORPORATION	06/15/2012	10/05/2012	1,412.00	1,301.00	111.00
414. ADT CORPORATION	06/15/2012	10/10/2012	15,517.00	4,080.00	11,437.00
157. ADT CORPORATION	06/15/2012	10/15/2012	5,728.00	1,547.00	4,181.00
233. ADT CORPORATION	06/15/2012	10/16/2012	8,696.00	2,296.00	6,400.00
96. MARATHON PETROLEUM COR	VAR	10/16/2012	5,298.00	4,590.00	708.00
111. MARATHON PETROLEUM CO	VAR	10/16/2012	6,112.00	5,295.00	817.00
31. MARATHON PETROLEUM COR	VAR	10/16/2012	1,709.00	1,472.00	237.00
114. MARATHON PETROLEUM CO	VAR	10/16/2012	6,300.00	5,398.00	902.00
534. MARATHON PETROLEUM CO	VAR	10/16/2012	29,240.00	25,121.00	4,119.00
286. MARATHON PETROLEUM CO	VAR	10/17/2012	15,912.00	13,409.00	2,503.00
409. MARATHON PETROLEUM CO	07/18/2012	10/17/2012	22,764.00	19,153.00	3,611.00
6. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	331.00	281.00	50.00
49. MARATHON PETROLEUM COR	07/18/2012	10/17/2012	2,740.00	2,295.00	445.00
121. MARATHON PETROLEUM CO	07/18/2012	10/17/2012	6,672.00	5,666.00	1,006.00
176. ABBOTT LABS	VAR	10/18/2012	11,610.00	12,227.00	-617.00
721. ABBOTT LABS	VAR	10/18/2012	47,180.00	47,239.00	-59.00
118. ABBOTT LABS	VAR	10/18/2012	7,734.00	7,305.00	429.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 741. ABBOTT LABS	VAR	10/18/2012	48,657.00	45,212.00	3,445.00
5. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	301.00	235.00	66.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	60.00	47.00	13.00
146. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	8,758.00	6,858.00	1,900.00
399. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	23,916.00	18,742.00	5,174.00
54. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	3,219.00	2,537.00	682.00
107. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	6,373.00	5,026.00	1,347.00
116. CAPITAL ONE FINL CORP	VAR	10/23/2012	6,855.00	5,434.00	1,421.00
88. CAPITAL ONE FINL CORP	VAR	10/23/2012	5,239.00	4,100.00	1,139.00
14. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	832.00	651.00	181.00
145. CAPITAL ONE FINL CORP	VAR	10/23/2012	8,622.00	6,715.00	1,907.00
1830.58 FNMA	01/19/2012	10/25/2012	1,831.00	1,935.00	-104.00
2716.19 FNMA	10/26/2011	10/25/2012	2,716.00	2,761.00	-45.00
1516. ALTERA CORP	VAR	10/26/2012	45,994.00	58,101.00	-12,107.00
114. COVIDIEN PLC NEW	07/27/2012	10/26/2012	6,256.00	6,250.00	6.00
1. COVIDIEN PLC NEW	07/27/2012	10/26/2012	55.00	55.00	
74. COVIDIEN PLC NEW	07/27/2012	10/31/2012	4,064.00	4,057.00	7.00
47. COVIDIEN PLC NEW	07/27/2012	11/01/2012	2,600.00	2,577.00	23.00
2. COVIDIEN PLC NEW	07/27/2012	11/01/2012	111.00	110.00	1.00
21. COVIDIEN PLC NEW	07/27/2012	11/01/2012	1,166.00	1,151.00	15.00
135. COVIDIEN PLC NEW	07/27/2012	11/02/2012	7,550.00	7,401.00	149.00
99. EOG RESOURCES INC	VAR	11/05/2012	11,508.00	11,246.00	262.00
53. EOG RESOURCES INC	09/07/2012	11/05/2012	6,157.00	5,969.00	188.00
167. PIONEER NAT RES CO	04/19/2012	11/05/2012	17,800.00	17,679.00	121.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 23. COVIDIEN PLC NEW	07/27/2012	11/05/2012	1,278.00	1,261.00	17.00
42. GOLDMAN SACHS GROUP IN	01/26/2012	11/08/2012	4,878.00	4,577.00	301.00
2. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	232.00	218.00	14.00
29. GOLDMAN SACHS GROUP IN	01/26/2012	11/08/2012	3,366.00	3,160.00	206.00
9. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	1,049.00	981.00	68.00
60. GOLDMAN SACHS GROUP IN	VAR	11/08/2012	6,967.00	6,524.00	443.00
25. GOLDMAN SACHS GROUP IN	01/26/2012	11/08/2012	2,892.00	2,716.00	176.00
51. GOLDMAN SACHS GROUP IN	01/26/2012	11/09/2012	5,871.00	5,540.00	331.00
57. GOLDMAN SACHS GROUP IN	01/26/2012	11/09/2012	6,616.00	6,192.00	424.00
157. LAM RESEARCH CORPORAT	01/06/2012	11/16/2012	5,380.00	5,892.00	-512.00
392. LAM RESEARCH CORPORAT	VAR	11/19/2012	13,606.00	14,492.00	-886.00
348. LAM RESEARCH CORPORAT	07/06/2012	11/19/2012	12,051.00	12,289.00	-238.00
186. LAM RESEARCH CORPORAT	07/06/2012	11/20/2012	6,365.00	6,569.00	-204.00
1836.68 FNMA	01/19/2012	11/26/2012	1,837.00	1,941.00	-104.00
3894.32 FNMA POOL 255836	10/18/2012	11/26/2012	3,894.00	4,381.00	-487.00
207.32 FNMA POOL AD0910	10/17/2012	11/26/2012	207.00	243.00	-36.00
162. CSX CORP	05/29/2012	12/04/2012	3,166.00	3,497.00	-331.00
740. CSX CORP	VAR	12/04/2012	14,526.00	15,940.00	-1,414.00
271. CSX CORP	VAR	12/05/2012	5,369.00	5,821.00	-452.00
1306. FREEPORT-MCMORAN COP CL B	VAR	12/05/2012	42,835.00	59,941.00	-17,106.00
155. FREEPORT-MCMORAN COPP B	03/20/2012	12/05/2012	5,100.00	6,048.00	-948.00
6. CARNIVAL CORPORATION	09/07/2012	12/12/2012	228.00	222.00	6.00
130. CARNIVAL CORPORATION	09/07/2012	12/12/2012	4,936.00	4,803.00	133.00
10. CARNIVAL CORPORATION	09/07/2012	12/12/2012	379.00	369.00	10.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust	Employer identification number
BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. CARNIVAL CORPORATION	09/07/2012	12/12/2012	266.00	259.00	7.00
114. CARNIVAL CORPORATION	09/07/2012	12/13/2012	4,317.00	4,212.00	105.00
2. CARNIVAL CORPORATION	09/07/2012	12/13/2012	76.00	74.00	2.00
963. ALTERA CORP	VAR	12/14/2012	31,675.00	36,487.00	-4,812.00
654. ALTERA CORP	VAR	12/14/2012	21,640.00	24,688.00	-3,048.00
68. CARNIVAL CORPORATION	09/07/2012	12/14/2012	2,570.00	2,512.00	58.00
24. INTERCONTINENTAL EXCHA	01/20/2012	12/14/2012	3,096.00	2,812.00	284.00
125. INTERCONTINENTAL EXCH	01/20/2012	12/14/2012	16,011.00	14,648.00	1,363.00
62. CARNIVAL CORPORATION	09/07/2012	12/17/2012	2,358.00	2,291.00	67.00
89. CARNIVAL CORPORATION	09/07/2012	12/17/2012	3,430.00	3,288.00	142.00
199. CARNIVAL CORPORATION	VAR	12/17/2012	7,579.00	7,348.00	231.00
226. CARNIVAL CORPORATION	09/26/2012	12/17/2012	8,696.00	8,339.00	357.00
341.61 FHLMC GOLD POOL U90	10/11/2012	12/17/2012	342.00	359.00	-17.00
51. INTERCONTINENTAL EXCHA	01/20/2012	12/17/2012	6,521.00	5,976.00	545.00
432. CARNIVAL CORPORATION	VAR	12/18/2012	16,814.00	15,927.00	887.00
113. CARNIVAL CORPORATION	09/10/2012	12/18/2012	4,429.00	4,160.00	269.00
102. CARNIVAL CORPORATION	09/10/2012	12/18/2012	3,987.00	3,755.00	232.00
522. CARNIVAL CORPORATION	VAR	12/18/2012	20,482.00	19,215.00	1,267.00
700. AT&T INC	02/07/2012	12/21/2012	23,651.00	21,020.00	2,631.00
1842.8 FNMA	01/19/2012	12/26/2012	1,843.00	1,948.00	-105.00
4931.73 FNMA POOL 255836	10/18/2012	12/26/2012	4,932.00	5,548.00	-616.00
4102.51 FNMA	11/16/2012	12/26/2012	4,103.00	4,620.00	-517.00
236.72 FNMA POOL AD0910	10/17/2012	12/26/2012	237.00	277.00	-40.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 165,450.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 875. ABBOTT LABS	VAR	01/09/2012	48,703.00	39,313.00	9,390.00
400. ABBOTT LABS	06/08/2009	01/09/2012	22,277.00	17,879.00	4,398.00
600. ABBOTT LABS	06/08/2009	01/09/2012	33,436.00	26,819.00	6,617.00
. WPX ENERGY INC		01/12/2012	5.00		5.00
6433.39 MORGAN STANLEY CAP	01/23/2007	01/13/2012	6,433.00	6,238.00	195.00
15616.55 FHLMC POOL 1J1749	VAR	01/17/2012	15,617.00	15,460.00	157.00
6846.5 FREDDIE MAC	04/26/2005	01/17/2012	6,847.00	6,936.00	-89.00
3121.2 FREDDIE MAC	09/15/2005	01/17/2012	3,121.00	3,201.00	-80.00
2316. CONOCOPHILLIPS	10/05/2009	01/19/2012	165,012.00	110,227.00	54,785.00
3748.42 CS FIRST BOSTON MT	07/30/2009	01/19/2012	3,748.00	3,656.00	92.00
1200000. SG CONT BUFF EQ S	01/14/2011	02/01/2012	1,248,000.00	1,188,000.00	60,000.00
1400. STATE STR CORP	10/14/2010	02/07/2012	58,306.00	55,534.00	2,772.00
1000. TIME WARNER INC	VAR	02/07/2012	38,193.00	21,372.00	16,821.00
1300000. SG REN SPX REN 2/	01/20/2011	02/08/2012	1,388,803.00	1,287,000.00	101,803.00
6471.14 MORGAN STANLEY CAP	01/23/2007	02/13/2012	6,471.00	6,274.00	197.00
57.81 FEDERAL HOME LOAN MO	05/08/1996	02/15/2012	58.00	58.00	
160. FEDERAL HOME LOAN MOR	09/30/1996	02/15/2012	160.00	158.00	2.00
8764.24 FREDDIE MAC	09/30/2009	02/15/2012	8,764.00	9,175.00	-411.00
17169.95 FREDDIE MAC	09/30/2009	02/15/2012	17,170.00	17,752.00	-582.00
12579.56 FHLMC POOL 1J1749	VAR	02/15/2012	12,580.00	12,453.00	127.00
4.9 FEDERAL HOME LOAN MORT	05/08/1996	02/15/2012	5.00	5.00	
22025.58 FREDDIE MAC	12/29/2009	02/15/2012	22,026.00	21,945.00	81.00
92.52 FREDDIE MAC	01/19/2005	02/15/2012	93.00	96.00	-3.00
6810.33 FREDDIE MAC	04/26/2005	02/15/2012	6,810.00	6,899.00	-89.00
1294.72 FREDDIE MAC	09/15/2005	02/15/2012	1,295.00	1,328.00	-33.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 8404.05 GNMA	05/13/2010	02/15/2012	8,404.00	8,615.00	-211.00
1962.08 GNMA POOL NO 55085	10/17/2001	02/15/2012	1,962.00	2,042.00	-80.00
9444.11 GNMA	01/26/2011	02/15/2012	9,444.00	10,257.00	-813.00
6501.85 GNMA	07/29/2009	02/15/2012	6,502.00	6,648.00	-146.00
4663.85 CS FIRST BOSTON MT	07/30/2009	02/17/2012	4,664.00	4,549.00	115.00
8592.25 GNMA II	05/13/2010	02/21/2012	8,592.00	9,030.00	-438.00
10335.66 FNMA	09/30/2008	02/27/2012	10,336.00	10,488.00	-152.00
127.71 FEDERAL NATIONAL MO	04/09/1998	02/27/2012	128.00	130.00	-2.00
32594.28 FNMA	09/17/2010	02/27/2012	32,594.00	35,097.00	-2,503.00
4509.93 FANNIE MAE	02/17/2011	02/27/2012	4,510.00	4,578.00	-68.00
1026.52 FEDERAL NATIONAL M	02/03/2005	02/27/2012	1,027.00	1,079.00	-52.00
180.36 FEDERAL NATIONAL MO	03/07/2005	02/27/2012	180.00	189.00	-9.00
26.9 FEDERAL NATIONAL MORT	03/07/2005	02/27/2012	27.00	29.00	-2.00
11.25 FANNIE MAE	03/07/2005	02/27/2012	11.00	12.00	-1.00
328.47 FANNIE MAE	01/14/2005	02/27/2012	328.00	340.00	-12.00
6.5 FANNIE MAE	06/30/2006	02/27/2012	7.00	7.00	
23296.01 FNMA	12/09/2009	02/27/2012	23,296.00	24,526.00	-1,230.00
12671.65 FANNIE MAE	07/29/2009	02/27/2012	12,672.00	12,917.00	-245.00
164. CARNIVAL CORPORATION	VAR	02/28/2012	4,917.00	5,998.00	-1,081.00
975. CARNIVAL CORPORATION	VAR	02/28/2012	29,196.00	32,511.00	-3,315.00
205. CARNIVAL CORPORATION	VAR	02/28/2012	6,145.00	6,768.00	-623.00
301. CARNIVAL CORPORATION	VAR	02/28/2012	8,971.00	9,916.00	-945.00
102. CARNIVAL CORPORATION	VAR	02/28/2012	3,056.00	3,345.00	-289.00
53. CARNIVAL CORPORATION	01/12/2010	02/28/2012	1,582.00	1,731.00	-149.00
7781. MORGAN STANLEY CAPIT	01/23/2007	03/13/2012	7,781.00	7,545.00	236.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

BOOTH FERRIS FOUNDATION P10544008

Employer identification number 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 58.22 FEDERAL HOME LOAN MO	05/08/1996	03/15/2012	58.00	58.00	
161.07 FEDERAL HOME LOAN M	09/30/1996	03/15/2012	161.00	160.00	1.00
13536.47 FREDDIE MAC	09/30/2009	03/15/2012	13,536.00	14,171.00	-635.00
2338.07 FHLMC	02/22/2011	03/15/2012	2,338.00	2,604.00	-266.00
5951.67 FREDDIE MAC	03/02/2011	03/15/2012	5,952.00	6,256.00	-304.00
16636.34 FREDDIE MAC	09/30/2009	03/15/2012	16,636.00	17,200.00	-564.00
12802.37 FHLMC POOL 1J1749	VAR	03/15/2012	12,802.00	12,674.00	128.00
4.93 FEDERAL HOME LOAN MOR	05/08/1996	03/15/2012	5.00	5.00	
25005.13 FREDDIE MAC	12/29/2009	03/15/2012	25,005.00	24,913.00	92.00
93. FREDDIE MAC	01/19/2005	03/15/2012	93.00	96.00	-3.00
6774.34 FREDDIE MAC	04/26/2005	03/15/2012	6,774.00	6,863.00	-89.00
158.59 FREDDIE MAC	09/15/2005	03/15/2012	159.00	163.00	-4.00
7794.58 GNMA	05/13/2010	03/15/2012	7,795.00	7,990.00	-195.00
3660.51 GNMA POOL NO 55085	10/17/2001	03/15/2012	3,661.00	3,810.00	-149.00
18126.46 GNMA	01/26/2011	03/15/2012	18,126.00	19,687.00	-1,561.00
8762.16 GNMA	07/29/2009	03/15/2012	8,762.00	8,959.00	-197.00
1026.23 CS FIRST BOSTON MT	07/30/2009	03/16/2012	1,026.00	1,001.00	25.00
204043.18 NUVEEN NWQ VALUE ES FUND	VAR	03/19/2012	6,653,848.00	5,435,276.00	1,218,572.00
8898.4 GNMA II	05/13/2010	03/20/2012	8,898.00	9,351.00	-453.00
286. NORFOLK SOUTHN CORP	10/27/2005	03/20/2012	19,253.00	11,308.00	7,945.00
801. NORFOLK SOUTHN CORP	10/27/2005	03/20/2012	53,689.00	31,671.00	22,018.00
14402.52 FNMA	09/30/2008	03/26/2012	14,403.00	14,615.00	-212.00
128.51 FEDERAL NATIONAL MO	04/09/1998	03/26/2012	129.00	131.00	-2.00
37209.36 FNMA	09/17/2010	03/26/2012	37,209.00	40,067.00	-2,858.00
4915.29 FANNIE MAE	02/17/2011	03/26/2012	4,915.00	4,989.00	-74.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 159.77 FEDERAL NATIONAL MO	02/03/2005	03/26/2012	160.00	168.00	-8.00
182. FEDERAL NATIONAL MORT	03/07/2005	03/26/2012	182.00	191.00	-9.00
27.07 FEDERAL NATIONAL MOR	03/07/2005	03/26/2012	27.00	29.00	-2.00
11.32 FANNIE MAE	03/07/2005	03/26/2012	11.00	12.00	-1.00
330.21 FANNIE MAE	01/14/2005	03/26/2012	330.00	342.00	-12.00
6.54 FANNIE MAE	06/30/2006	03/26/2012	7.00	7.00	
15280.15 FNMA	12/09/2009	03/26/2012	15,280.00	16,087.00	-807.00
16412.66 FANNIE MAE	07/29/2009	03/26/2012	16,413.00	16,731.00	-318.00
1672.81 FANNIE MAE	03/01/2011	03/26/2012	1,673.00	1,644.00	29.00
108. AMAZON COM INC	08/13/2010	04/09/2012	20,749.00	13,608.00	7,141.00
1600. CAMPBELL SOUP CO	VAR	04/12/2012	52,981.00	57,818.00	-4,837.00
6546.13 MORGAN STANLEY CAP	01/23/2007	04/13/2012	6,546.00	6,347.00	199.00
58.63 FEDERAL HOME LOAN MO	05/08/1996	04/16/2012	59.00	59.00	
162.18 FEDERAL HOME LOAN M	09/30/1996	04/16/2012	162.00	161.00	1.00
15977.05 FREDDIE MAC	09/30/2009	04/16/2012	15,977.00	16,726.00	-749.00
3274.46 FHLMC	02/22/2011	04/16/2012	3,274.00	3,647.00	-373.00
3382.6 FREDDIE MAC	03/02/2011	04/16/2012	3,383.00	3,555.00	-172.00
17463.33 FREDDIE MAC	09/30/2009	04/16/2012	17,463.00	18,055.00	-592.00
2646.82 FHLMC POOL 1J1749	VAR	04/16/2012	2,647.00	2,620.00	27.00
4.97 FEDERAL HOME LOAN MOR	05/08/1996	04/16/2012	5.00	5.00	
22486.02 FREDDIE MAC	12/29/2009	04/16/2012	22,486.00	22,403.00	83.00
93.48 FREDDIE MAC	01/19/2005	04/16/2012	93.00	97.00	-4.00
10669.58 FHLMC	04/13/2011	04/16/2012	10,670.00	10,956.00	-286.00
767.48 FREDDIE MAC	04/26/2005	04/16/2012	767.00	777.00	-10.00
165.76 FREDDIE MAC	09/15/2005	04/16/2012	166.00	170.00	-4.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 14473.82 GNMA	05/13/2010	04/16/2012	14,474.00	14,837.00	-363.00
200.35 GNMA POOL NO 550851	10/17/2001	04/16/2012	200.00	209.00	-9.00
15447.98 GNMA	01/26/2011	04/16/2012	15,448.00	16,778.00	-1,330.00
8557.79 GNMA	07/29/2009	04/16/2012	8,558.00	8,750.00	-192.00
23. MERCK & CO INC	09/14/2009	04/16/2012	873.00	753.00	120.00
17. MERCK & CO INC	09/14/2009	04/16/2012	644.00	557.00	87.00
57. MERCK & CO INC	09/14/2009	04/16/2012	2,164.00	1,866.00	298.00
112. MERCK & CO INC	09/14/2009	04/16/2012	4,253.00	3,667.00	586.00
. TIME WARNER INC		04/16/2012	371.00		371.00
383. XILINX INC	12/22/2010	04/16/2012	13,508.00	10,954.00	2,554.00
1597.08 CS FIRST BOSTON MT	07/30/2009	04/17/2012	1,597.00	1,558.00	39.00
135. MERCK & CO INC	09/14/2009	04/17/2012	5,137.00	4,420.00	717.00
36. MERCK & CO INC	09/14/2009	04/17/2012	1,371.00	1,179.00	192.00
342. MERCK & CO INC	09/14/2009	04/17/2012	13,076.00	11,198.00	1,878.00
57. MERCK & CO INC	09/14/2009	04/17/2012	2,177.00	1,866.00	311.00
199. XILINX INC	12/22/2010	04/17/2012	7,099.00	5,692.00	1,407.00
75000. BHP BILLION FIN USA	04/12/2011	04/18/2012	81,893.00	83,184.00	-1,291.00
75000. CME GROUP INC	04/12/2011	04/18/2012	81,624.00	82,964.00	-1,340.00
75000. PRAXAIR INC 3/31/14	04/12/2011	04/18/2012	80,413.00	80,611.00	-198.00
1546. XILINX INC	12/22/2010	04/18/2012	54,543.00	44,218.00	10,325.00
8709.95 GNMA II	05/13/2010	04/20/2012	8,710.00	9,153.00	-443.00
7387.2 GOVERNMENT NATL MTG REMIC	04/13/2011	04/20/2012	7,387.00	8,163.00	-776.00
9750.07 GNMA	04/12/2011	04/20/2012	9,750.00	10,494.00	-744.00
3682.37 GNMA	04/12/2011	04/20/2012	3,682.00	3,908.00	-226.00
103. MERCK & CO INC	09/14/2009	04/23/2012	3,939.00	3,372.00	567.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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BOOTH FERRIS FOUNDATION P10544008

Employer identification number 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21. MERCK & CO INC	09/14/2009	04/23/2012	803.00	688.00	115.00
575. DEVON ENERGY CORP NEW	05/27/2010	04/24/2012	38,409.00	36,055.00	2,354.00
105. MERCK & CO INC	09/14/2009	04/24/2012	4,016.00	3,438.00	578.00
10. MERCK & CO INC	09/14/2009	04/24/2012	383.00	327.00	56.00
21391.76 FNMA	09/30/2008	04/25/2012	21,392.00	21,708.00	-316.00
395.03 FEDERAL NATIONAL MO	04/09/1998	04/25/2012	395.00	402.00	-7.00
38060.35 FNMA	09/17/2010	04/25/2012	38,060.00	40,983.00	-2,923.00
4383.1 FANNIE MAE	02/17/2011	04/25/2012	4,383.00	4,449.00	-66.00
10573.15 FNMA	04/15/2011	04/25/2012	10,573.00	10,864.00	-291.00
979.66 FNMA	04/20/2011	04/25/2012	980.00	1,052.00	-72.00
5788.46 FNMA	04/19/2011	04/25/2012	5,788.00	6,172.00	-384.00
2416.55 FNMA	04/07/2011	04/25/2012	2,417.00	2,658.00	-241.00
10241.84 FANNIE MAE	04/13/2011	04/25/2012	10,242.00	10,813.00	-571.00
163.03 FEDERAL NATIONAL MO	02/03/2005	04/25/2012	163.00	171.00	-8.00
184.52 FEDERAL NATIONAL MO	03/07/2005	04/25/2012	185.00	194.00	-9.00
27.23 FEDERAL NATIONAL MOR	03/07/2005	04/25/2012	27.00	29.00	-2.00
11.4 FANNIE MAE	03/07/2005	04/25/2012	11.00	12.00	-1.00
20373.84 FANNIE MAE	01/14/2005	04/25/2012	20,374.00	21,093.00	-719.00
6.58 FANNIE MAE	06/30/2006	04/25/2012	7.00	7.00	
16616.47 FNMA	12/09/2009	04/25/2012	16,616.00	17,494.00	-878.00
13475.21 FANNIE MAE	07/29/2009	04/25/2012	13,475.00	13,736.00	-261.00
9251.29 FANNIE MAE	03/01/2011	04/25/2012	9,251.00	9,091.00	160.00
204. MERCK & CO INC	09/14/2009	04/25/2012	7,804.00	6,679.00	1,125.00
11. MERCK & CO INC	09/14/2009	04/26/2012	423.00	360.00	63.00
39. MERCK & CO INC	09/14/2009	04/26/2012	1,494.00	1,277.00	217.00

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BOOTH FERRIS FOUNDATION P10544008

Employer identification number

13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 167. MERCK & CO INC	09/14/2009	04/26/2012	6,412.00	5,468.00	944.00
139. MERCK & CO INC	09/14/2009	04/27/2012	5,374.00	4,551.00	823.00
198. MERCK & CO INC	09/14/2009	04/27/2012	7,612.00	6,483.00	1,129.00
500000. HSBC CALLABLE PALL 5/03/12	04/21/2011	05/04/2012	536,250.00	495,000.00	41,250.00
. QWEST COMMUNICATIONS INT		05/09/2012	1,111.00		1,111.00
109403.28 MORGAN STANLEY C	01/23/2007	05/14/2012	109,403.00	106,078.00	3,325.00
59.04 FEDERAL HOME LOAN MO	05/08/1996	05/15/2012	59.00	59.00	
163.25 FEDERAL HOME LOAN M	09/30/1996	05/15/2012	163.00	162.00	1.00
12556.63 FREDDIE MAC	09/30/2009	05/15/2012	12,557.00	13,145.00	-588.00
2768.27 FHLMC	02/22/2011	05/15/2012	2,768.00	3,083.00	-315.00
1893.99 FREDDIE MAC	03/02/2011	05/15/2012	1,894.00	1,991.00	-97.00
14729.33 FREDDIE MAC	09/30/2009	05/15/2012	14,729.00	15,229.00	-500.00
4940.88 FHLMC POOL 1J1749	VAR	05/15/2012	4,941.00	4,891.00	50.00
5. FEDERAL HOME LOAN MORTG	05/08/1996	05/15/2012	5.00	5.00	
15878.08 FREDDIE MAC	12/29/2009	05/15/2012	15,878.00	15,820.00	58.00
93.97 FREDDIE MAC	01/19/2005	05/15/2012	94.00	97.00	-3.00
5460.6 FHLMC	04/19/2011	05/15/2012	5,461.00	6,102.00	-641.00
1513.13 FHLMC	04/25/2011	05/15/2012	1,513.00	1,714.00	-201.00
3848.34 FHLMC	04/19/2011	05/15/2012	3,848.00	4,180.00	-332.00
13860.09 FHLMC	04/13/2011	05/15/2012	13,860.00	14,233.00	-373.00
5148.96 FEDERAL HOME LN MT MULTICLASS	04/21/2011	05/15/2012	5,149.00	5,439.00	-290.00
13619.4 FEDERAL HOME LN MT MULTICLASS	04/12/2011	05/15/2012	13,619.00	14,407.00	-788.00
11500.3 FHLMC REMIC	04/20/2011	05/15/2012	11,500.00	12,435.00	-935.00
155.08 FREDDIE MAC	09/15/2005	05/15/2012	155.00	159.00	-4.00
18182.79 FHLMC REMIC	04/19/2011	05/15/2012	18,183.00	19,558.00	-1,375.00

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BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2217.79 FHLMC	04/27/2011	05/15/2012	2,218.00	2,247.00	-29.00
5654.59 FHLMC	04/26/2011	05/15/2012	5,655.00	5,824.00	-169.00
9106.86 GNMA	05/13/2010	05/15/2012	9,107.00	9,335.00	-228.00
167.12 GNMA POOL NO 550851	10/17/2001	05/15/2012	167.00	174.00	-7.00
7066.12 GNMA	01/26/2011	05/15/2012	7,066.00	7,674.00	-608.00
7496.33 GNMA	07/29/2009	05/15/2012	7,496.00	7,665.00	-169.00
6509.26 CS FIRST BOSTON MT	07/30/2009	05/17/2012	6,509.00	6,350.00	159.00
9720.59 GNMA II	05/13/2010	05/21/2012	9,721.00	10,215.00	-494.00
13822.66 GNMA	04/26/2011	05/21/2012	13,823.00	14,661.00	-838.00
7896. GOVERNMENT NATL MTG REMIC	04/13/2011	05/21/2012	7,896.00	8,725.00	-829.00
8341.65 GNMA	04/12/2011	05/21/2012	8,342.00	8,978.00	-636.00
6774.78 GNMA II	04/26/2011	05/21/2012	6,775.00	7,342.00	-567.00
3004.02 GNMA	04/12/2011	05/21/2012	3,004.00	3,188.00	-184.00
359. NORFOLK SOUTHN CORP	10/27/2005	05/24/2012	24,167.00	14,195.00	9,972.00
15786.32 FNMA	09/30/2008	05/25/2012	15,786.00	16,019.00	-233.00
77.61 FEDERAL NATIONAL MOR	04/09/1998	05/25/2012	78.00	79.00	-1.00
33863.54 FNMA	09/17/2010	05/25/2012	33,864.00	36,464.00	-2,600.00
5791.01 FANNIE MAE	02/17/2011	05/25/2012	5,791.00	5,878.00	-87.00
10117.73 FNMA	04/15/2011	05/25/2012	10,118.00	10,396.00	-278.00
1811.63 FNMA	04/20/2011	05/25/2012	1,812.00	1,945.00	-133.00
4122.11 FNMA	04/19/2011	05/25/2012	4,122.00	4,395.00	-273.00
3113.96 FNMA	04/07/2011	05/25/2012	3,114.00	3,425.00	-311.00
8703.76 FANNIE MAE	04/13/2011	05/25/2012	8,704.00	9,189.00	-485.00
5992.85 FEDERAL NATIONAL M	02/03/2005	05/25/2012	5,993.00	6,301.00	-308.00
186.93 FEDERAL NATIONAL MO	03/07/2005	05/25/2012	187.00	196.00	-9.00

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BOOTH FERRIS FOUNDATION P10544008

Employer identification number

13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 27.4 FEDERAL NATIONAL MORT	03/07/2005	05/25/2012	27.00	29.00	-2.00
11.47 FANNIE MAE	03/07/2005	05/25/2012	11.00	12.00	-1.00
300.57 FANNIE MAE	01/14/2005	05/25/2012	301.00	311.00	-10.00
6.62 FANNIE MAE	06/30/2006	05/25/2012	7.00	7.00	
16269.22 FNMA	12/09/2009	05/25/2012	16,269.00	17,128.00	-859.00
13413.29 FANNIE MAE	07/29/2009	05/25/2012	13,413.00	13,673.00	-260.00
6488.54 FANNIE MAE	03/01/2011	05/25/2012	6,489.00	6,376.00	113.00
88. NORFOLK SOUTHN CORP	VAR	05/25/2012	5,916.00	3,479.00	2,437.00
117. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	7,832.00	4,622.00	3,210.00
57. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	3,802.00	2,252.00	1,550.00
15. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	1,001.00	593.00	408.00
29. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	1,931.00	1,146.00	785.00
55. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	3,652.00	2,173.00	1,479.00
2. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	133.00	79.00	54.00
499. NORFOLK SOUTHN CORP	01/14/2009	05/29/2012	33,339.00	19,714.00	13,625.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/29/2012	67.00	40.00	27.00
169. NORFOLK SOUTHN CORP	01/14/2009	05/29/2012	11,363.00	6,677.00	4,686.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/29/2012	67.00	40.00	27.00
310. NORFOLK SOUTHN CORP	01/14/2009	05/30/2012	20,301.00	12,247.00	8,054.00
90. NORFOLK SOUTHN CORP	01/14/2009	05/30/2012	5,898.00	3,556.00	2,342.00
268. NORFOLK SOUTHN CORP	01/14/2009	05/31/2012	17,511.00	10,588.00	6,923.00
178. NORFOLK SOUTHN CORP	01/14/2009	05/31/2012	11,680.00	7,032.00	4,648.00
1022. US BANCORP DEL NEW	04/02/2009	06/05/2012	29,762.00	15,519.00	14,243.00
341. US BANCORP DEL NEW	04/02/2009	06/05/2012	9,962.00	5,178.00	4,784.00
993. US BANCORP DEL NEW	04/02/2009	06/05/2012	28,970.00	15,079.00	13,891.00

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BOOTH FERRIS FOUNDATION P10544008

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 583. US BANCORP DEL NEW	04/02/2009	06/05/2012	17,029.00	8,853.00	8,176.00
129. US BANCORP DEL NEW	04/02/2009	06/05/2012	3,769.00	1,959.00	1,810.00
132. US BANCORP DEL NEW	04/02/2009	06/05/2012	3,852.00	2,004.00	1,848.00
50000. CITIGROUP INC	08/08/2007	06/06/2012	53,886.00	49,822.00	4,064.00
. GLOBAL CROSSING LTD		06/13/2012	172.00		172.00
7828.09 CS FIRST BOSTON MT	07/30/2009	06/15/2012	7,828.00	7,636.00	192.00
59.47 FEDERAL HOME LOAN MO	05/08/1996	06/15/2012	59.00	60.00	-1.00
164.37 FEDERAL HOME LOAN M	09/30/1996	06/15/2012	164.00	163.00	1.00
16859.1 FREDDIE MAC	09/30/2009	06/15/2012	16,859.00	17,649.00	-790.00
3472.71 FHLMC	02/22/2011	06/15/2012	3,473.00	3,868.00	-395.00
10561.25 FREDDIE MAC	03/02/2011	06/15/2012	10,561.00	11,101.00	-540.00
14375.68 FREDDIE MAC	09/30/2009	06/15/2012	14,376.00	14,863.00	-487.00
1394.7 FHLMC POOL 1J1749	VAR	06/15/2012	1,395.00	1,381.00	14.00
5.04 FEDERAL HOME LOAN MOR	05/08/1996	06/15/2012	5.00	5.00	
14156.29 FREDDIE MAC	12/29/2009	06/15/2012	14,156.00	14,104.00	52.00
94.47 FREDDIE MAC	01/19/2005	06/15/2012	94.00	98.00	-4.00
3095.81 FHLMC	04/19/2011	06/15/2012	3,096.00	3,460.00	-364.00
2248.13 FHLMC	04/25/2011	06/15/2012	2,248.00	2,546.00	-298.00
2848.76 FHLMC	04/19/2011	06/15/2012	2,849.00	3,094.00	-245.00
11595.39 FHLMC	04/13/2011	06/15/2012	11,595.00	11,907.00	-312.00
6374.05 FEDERAL HOME LN MT MULTICLASS	04/21/2011	06/15/2012	6,374.00	6,733.00	-359.00
8441.31 FREDDIE MAC	04/20/2011	06/15/2012	8,441.00	8,906.00	-465.00
13696.98 FEDERAL HOME LN M MULTICLASS	04/12/2011	06/15/2012	13,697.00	14,489.00	-792.00
9957.59 FHLMC REMIC	04/20/2011	06/15/2012	9,958.00	10,767.00	-809.00
144.15 FREDDIE MAC	09/15/2005	06/15/2012	144.00	148.00	-4.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 17180.69 FHLMC REMIC	04/19/2011	06/15/2012	17,181.00	18,480.00	-1,299.00
1447.73 FHLMC	04/27/2011	06/15/2012	1,448.00	1,467.00	-19.00
5885.7 FHLMC	04/26/2011	06/15/2012	5,886.00	6,062.00	-176.00
5699.2 GNMA	05/13/2010	06/15/2012	5,699.00	5,842.00	-143.00
176.53 GNMA POOL NO 550851	10/17/2001	06/15/2012	177.00	184.00	-7.00
6831.25 GNMA	01/26/2011	06/15/2012	6,831.00	7,419.00	-588.00
4860.69 GNMA	07/29/2009	06/15/2012	4,861.00	4,970.00	-109.00
1. NIKE INC	01/07/2008	06/15/2012	101.00	62.00	39.00
78. NIKE INC	VAR	06/15/2012	7,868.00	4,657.00	3,211.00
211. NIKE INC	10/08/2008	06/15/2012	21,376.00	11,856.00	9,520.00
122. NIKE INC	10/08/2008	06/15/2012	12,231.00	6,855.00	5,376.00
44. NIKE INC	10/08/2008	06/18/2012	4,435.00	2,472.00	1,963.00
7702.71 GNMA II	05/13/2010	06/20/2012	7,703.00	8,095.00	-392.00
12997.89 GNMA	04/26/2011	06/20/2012	12,998.00	13,786.00	-788.00
11858.07 GOVERNMENT NATL M REMIC	04/13/2011	06/20/2012	11,858.00	13,103.00	-1,245.00
8586.95 GNMA	04/12/2011	06/20/2012	8,587.00	9,242.00	-655.00
6317.18 GNMA II	04/26/2011	06/20/2012	6,317.00	6,846.00	-529.00
2503.25 GNMA	04/12/2011	06/20/2012	2,503.00	2,657.00	-154.00
120. PROCTER & GAMBLE CO	02/29/1996	06/21/2012	7,188.00	2,435.00	4,753.00
186. CVS CORP	10/11/2005	06/22/2012	8,506.00	4,728.00	3,778.00
25505.1 FNMA	09/30/2008	06/25/2012	25,505.00	25,882.00	-377.00
104.38 FEDERAL NATIONAL MO	04/09/1998	06/25/2012	104.00	106.00	-2.00
37064.94 FNMA	09/17/2010	06/25/2012	37,065.00	39,911.00	-2,846.00
6539.72 FANNIE MAE	02/17/2011	06/25/2012	6,540.00	6,638.00	-98.00
11237.59 FNMA	04/15/2011	06/25/2012	11,238.00	11,547.00	-309.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

BOOTH FERRIS FOUNDATION P10544008

Employer identification number 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5140.38 FNMA	04/20/2011	06/25/2012	5,140.00	5,519.00	-379.00
5833.12 FNMA	04/19/2011	06/25/2012	5,833.00	6,220.00	-387.00
3753.38 FNMA	04/07/2011	06/25/2012	3,753.00	4,129.00	-376.00
10616.5 FANNIE MAE	04/13/2011	06/25/2012	10,617.00	11,209.00	-592.00
3919.14 FEDERAL NATIONAL M	02/03/2005	06/25/2012	3,919.00	4,121.00	-202.00
6002.59 FEDERAL NATIONAL M	03/07/2005	06/25/2012	6,003.00	6,296.00	-293.00
28.07 FEDERAL NATIONAL MOR	03/07/2005	06/25/2012	28.00	30.00	-2.00
11.55 FANNIE MAE	03/07/2005	06/25/2012	12.00	12.00	
302.14 FANNIE MAE	01/14/2005	06/25/2012	302.00	313.00	-11.00
5074.35 FANNIE MAE	06/30/2006	06/25/2012	5,074.00	5,187.00	-113.00
16314.95 FNMA	12/09/2009	06/25/2012	16,315.00	17,177.00	-862.00
20256.45 FANNIE MAE	07/29/2009	06/25/2012	20,256.00	20,649.00	-393.00
1745.73 FANNIE MAE	03/01/2011	06/25/2012	1,746.00	1,715.00	31.00
9. WILLIAMS COS INC	05/05/2011	06/26/2012	250.00	228.00	22.00
2. WILLIAMS COS INC	05/05/2011	06/26/2012	56.00	51.00	5.00
122. WILLIAMS COS INC	05/05/2011	06/26/2012	3,374.00	3,094.00	280.00
187. WILLIAMS COS INC	VAR	06/26/2012	5,195.00	4,737.00	458.00
28. WILLIAMS COS INC	05/05/2011	06/27/2012	782.00	708.00	74.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
75. WILLIAMS COS INC	05/05/2011	06/27/2012	2,117.00	1,896.00	221.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
14. WILLIAMS COS INC	05/05/2011	06/27/2012	394.00	354.00	40.00
14. WILLIAMS COS INC	05/05/2011	06/27/2012	391.00	354.00	37.00
152. WILLIAMS COS INC	05/05/2011	06/27/2012	4,276.00	3,842.00	434.00
2. WILLIAMS COS INC	05/05/2011	06/27/2012	56.00	51.00	5.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	14. WILLIAMS COS INC	05/05/2011	06/27/2012	394.00	354.00	40.00
	14. WILLIAMS COS INC	05/05/2011	06/27/2012	394.00	354.00	40.00
	25. WILLIAMS COS INC	05/05/2011	06/27/2012	700.00	632.00	68.00
	68. WILLIAMS COS INC	05/05/2011	06/27/2012	1,902.00	1,719.00	183.00
	44. WILLIAMS COS INC	05/05/2011	06/27/2012	1,240.00	1,112.00	128.00
	21. WILLIAMS COS INC	05/05/2011	06/27/2012	591.00	531.00	60.00
	14. WILLIAMS COS INC	05/05/2011	06/27/2012	393.00	354.00	39.00
	28. WILLIAMS COS INC	05/05/2011	06/28/2012	787.00	708.00	79.00
	81. WILLIAMS COS INC	05/05/2011	06/28/2012	2,271.00	2,047.00	224.00
	53. WILLIAMS COS INC	05/05/2011	06/28/2012	1,477.00	1,340.00	137.00
	80. WILLIAMS COS INC	05/05/2011	06/28/2012	2,243.00	2,022.00	221.00
	96. WILLIAMS COS INC	05/05/2011	06/28/2012	2,698.00	2,426.00	272.00
	28. WILLIAMS COS INC	05/05/2011	06/28/2012	787.00	708.00	79.00
	11. WILLIAMS COS INC	05/05/2011	06/29/2012	314.00	278.00	36.00
	35. WILLIAMS COS INC	05/05/2011	06/29/2012	999.00	885.00	114.00
	2. WILLIAMS COS INC	05/05/2011	06/29/2012	57.00	51.00	6.00
	55. WILLIAMS COS INC	05/05/2011	06/29/2012	1,568.00	1,390.00	178.00
	30. WILLIAMS COS INC	05/05/2011	06/29/2012	859.00	758.00	101.00
	82. WILLIAMS COS INC	05/05/2011	06/29/2012	2,346.00	2,073.00	273.00
	27. WILLIAMS COS INC	05/05/2011	06/29/2012	771.00	682.00	89.00
	3300. EMC CORP	VAR	07/06/2012	78,186.00	66,898.00	11,288.00
	1364. CVS CORP	10/11/2005	07/09/2012	64,332.00	34,669.00	29,663.00
	173. YUM BRANDS INC	03/05/2010	07/11/2012	10,737.00	5,990.00	4,747.00
	367. YUM BRANDS INC	03/05/2010	07/12/2012	22,934.00	12,708.00	10,226.00
	75000. TYCO INTERNATIONAL	12/17/2009	07/13/2012	80,535.00	83,393.00	-2,858.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: BOOTH FERRIS FOUNDATION P10544008

Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 59.88 FEDERAL HOME LOAN MO	05/08/1996	07/16/2012	60.00	60.00	
165.5 FEDERAL HOME LOAN MO	09/30/1996	07/16/2012	166.00	164.00	2.00
13561.11 FREDDIE MAC	09/30/2009	07/16/2012	13,561.00	14,197.00	-636.00
3319.14 FHLMC	02/22/2011	07/16/2012	3,319.00	3,697.00	-378.00
8487.37 FREDDIE MAC	03/02/2011	07/16/2012	8,487.00	8,921.00	-434.00
13250.27 FREDDIE MAC	09/30/2009	07/16/2012	13,250.00	13,700.00	-450.00
5848.78 FHLMC POOL 1J1749	VAR	07/16/2012	5,849.00	5,790.00	59.00
5.07 FEDERAL HOME LOAN MOR	05/08/1996	07/16/2012	5.00	5.00	
16449.14 FREDDIE MAC	12/29/2009	07/16/2012	16,449.00	16,389.00	60.00
94.95 FREDDIE MAC	01/19/2005	07/16/2012	95.00	98.00	-3.00
2639.06 FHLMC	04/19/2011	07/16/2012	2,639.00	2,949.00	-310.00
1648.57 FHLMC	04/25/2011	07/16/2012	1,649.00	1,867.00	-218.00
5342.37 FHLMC	04/19/2011	07/16/2012	5,342.00	5,803.00	-461.00
12331.36 FHLMC	04/13/2011	07/16/2012	12,331.00	12,663.00	-332.00
3128.45 FEDERAL HOME LN MT MULTICLASS	04/21/2011	07/16/2012	3,128.00	3,304.00	-176.00
13005.95 FREDDIE MAC	04/20/2011	07/16/2012	13,006.00	13,721.00	-715.00
14001.96 FEDERAL HOME LN M MULTICLASS	04/12/2011	07/16/2012	14,002.00	14,811.00	-809.00
9760.83 FHLMC REMIC	04/20/2011	07/16/2012	9,761.00	10,554.00	-793.00
143.94 FREDDIE MAC	09/15/2005	07/16/2012	144.00	148.00	-4.00
14749.62 FHLMC REMIC	04/19/2011	07/16/2012	14,750.00	15,865.00	-1,115.00
1423.63 FHLMC	04/27/2011	07/16/2012	1,424.00	1,442.00	-18.00
6980.69 FHLMC	04/26/2011	07/16/2012	6,981.00	7,190.00	-209.00
7525. GNMA	05/13/2010	07/16/2012	7,525.00	7,714.00	-189.00
1893.64 GNMA POOL NO 55085	10/17/2001	07/16/2012	1,894.00	1,971.00	-77.00
443.43 GNMA	01/26/2011	07/16/2012	443.00	482.00	-39.00

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Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 12770.5 GNMA	07/29/2009	07/16/2012	12,771.00	13,058.00	-287.00
25699.74 CS FIRST BOSTON M	07/30/2009	07/17/2012	25,700.00	25,069.00	631.00
608. CAMERON INTERNATIONAL	05/04/2011	07/18/2012	27,368.00	29,530.00	-2,162.00
217. CAMERON INTERNATIONAL	05/04/2011	07/18/2012	9,701.00	10,539.00	-838.00
1239. DU PONT E I DE NEMOU	VAR	07/18/2012	60,227.00	45,440.00	14,787.00
75000. APPALACHIAN POWER C	04/21/2011	07/20/2012	78,313.00	76,730.00	1,583.00
145000. BANK OF AMERICA CO	05/08/2009	07/20/2012	157,498.00	144,195.00	13,303.00
75000. BANK OF NOVA SCOTIA	01/19/2010	07/20/2012	79,453.00	74,904.00	4,549.00
75000. CAPITAL ONE FINANCI	07/27/2009	07/20/2012	82,694.00	79,613.00	3,081.00
1. CHILTON GLOBAL NATURAL	01/31/2011	07/20/2012	3,062,808.00	3,338,800.00	-275,992.00
120000. CITIGROUP INC	08/08/2007	07/20/2012	134,893.00	119,573.00	15,320.00
7229.82 GNMA II	05/13/2010	07/20/2012	7,230.00	7,598.00	-368.00
10661.94 GNMA	04/26/2011	07/20/2012	10,662.00	11,308.00	-646.00
4135.66 GOVERNMENT NATL MT REMIC	04/13/2011	07/20/2012	4,136.00	4,570.00	-434.00
9359.69 GNMA	04/12/2011	07/20/2012	9,360.00	10,073.00	-713.00
8638.12 GNMA II	04/26/2011	07/20/2012	8,638.00	9,362.00	-724.00
2814.59 GNMA	04/12/2011	07/20/2012	2,815.00	2,987.00	-172.00
35000. NATIONAL SEMICONDUC NT	VAR	07/20/2012	43,505.00	41,661.00	1,844.00
15000. NORFOLK SOUTHERN CO	05/27/2009	07/20/2012	18,497.00	14,963.00	3,534.00
75000. NUCOR CORPORATION	04/14/2011	07/20/2012	91,047.00	85,048.00	5,999.00
75000. PACCAR INC	04/12/2011	07/20/2012	82,114.00	85,472.00	-3,358.00
75000. STATE STREET CORP	04/12/2011	07/20/2012	80,002.00	80,442.00	-440.00
75000. TOYOTA MOTOR CREDIT	04/12/2011	07/20/2012	80,314.00	76,460.00	3,854.00
200000. U S A TREAS BONDS	VAR	07/20/2012	255,719.00	177,287.00	78,432.00
450000. USA TREAS NOTES	04/15/2011	07/20/2012	469,406.00	448,646.00	20,760.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 460000. U S A NOTES	03/29/2011	07/20/2012	461,833.00	459,461.00	2,372.00
75000. WYETH	04/12/2011	07/20/2012	87,358.00	84,207.00	3,151.00
25000. XCEL ENERGY INC	05/10/2010	07/20/2012	29,178.00	24,919.00	4,259.00
75000. DAIMLERCHRYSLER NA	04/12/2011	07/23/2012	80,360.00	83,718.00	-3,358.00
32235. FNMA	09/30/2008	07/25/2012	32,235.00	32,711.00	-476.00
54.52 FEDERAL NATIONAL MOR	04/09/1998	07/25/2012	55.00	55.00	
32698.53 FNMA	09/17/2010	07/25/2012	32,699.00	35,210.00	-2,511.00
5943.75 FANNIE MAE	02/17/2011	07/25/2012	5,944.00	6,033.00	-89.00
7989.1 FNMA	04/15/2011	07/25/2012	7,989.00	8,209.00	-220.00
4201.84 FNMA	04/20/2011	07/25/2012	4,202.00	4,512.00	-310.00
6473.75 FNMA	04/19/2011	07/25/2012	6,474.00	6,903.00	-429.00
3675.55 FNMA	04/07/2011	07/25/2012	3,676.00	4,043.00	-367.00
8313.88 FANNIE MAE	04/13/2011	07/25/2012	8,314.00	8,778.00	-464.00
3236.37 FEDERAL NATIONAL M	02/03/2005	07/25/2012	3,236.00	3,403.00	-167.00
11945.41 FEDERAL NATIONAL ASSN	03/07/2005	07/25/2012	11,945.00	12,530.00	-585.00
27.51 FEDERAL NATIONAL MOR	03/07/2005	07/25/2012	28.00	29.00	-1.00
11.63 FANNIE MAE	03/07/2005	07/25/2012	12.00	13.00	-1.00
303.8 FANNIE MAE	01/14/2005	07/25/2012	304.00	315.00	-11.00
14886.06 FNMA	12/09/2009	07/25/2012	14,886.00	15,672.00	-786.00
17474.81 FANNIE MAE	07/29/2009	07/25/2012	17,475.00	17,813.00	-338.00
12916.82 FANNIE MAE	03/01/2011	07/25/2012	12,917.00	12,693.00	224.00
872. COLGATE PALMOLIVE CO	VAR	07/27/2012	93,393.00	68,507.00	24,886.00
348927.33 CS FIRST BOSTON	07/30/2009	07/27/2012	353,671.00	340,368.00	13,303.00
350000. CREDIT SUISSE FIRS SECS	01/13/2010	07/27/2012	387,488.00	352,529.00	34,959.00
350000. LB-UBS COMMERCIAL TRUST	01/14/2010	07/27/2012	385,246.00	354,676.00	30,570.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 205000. WACHOVIA BANK COMM MORTGAGE	08/07/2009	07/27/2012	226,205.00	200,043.00	26,162.00
. JPMCB SPECIAL EQUITIES F		08/10/2012	64,613.00		64,613.00
60.31 FEDERAL HOME LOAN MO	05/08/1996	08/15/2012	60.00	60.00	
13333.75 FEDERAL HOME LOAN CORP	09/30/1996	08/15/2012	13,334.00	13,205.00	129.00
12675.86 FREDDIE MAC	09/30/2009	08/15/2012	12,676.00	13,270.00	-594.00
3640.6 FHLMC	02/22/2011	08/15/2012	3,641.00	4,055.00	-414.00
15279.91 FREDDIE MAC	03/02/2011	08/15/2012	15,280.00	16,061.00	-781.00
15413.25 FREDDIE MAC	09/30/2009	08/15/2012	15,413.00	15,936.00	-523.00
24263.36 FHLMC POOL 1J1749	VAR	08/15/2012	24,263.00	24,020.00	243.00
5.11 FEDERAL HOME LOAN MOR	05/08/1996	08/15/2012	5.00	5.00	
17442.44 FREDDIE MAC	12/29/2009	08/15/2012	17,442.00	17,378.00	64.00
95.45 FREDDIE MAC	01/19/2005	08/15/2012	95.00	99.00	-4.00
3972.5 FHLMC	04/19/2011	08/15/2012	3,973.00	4,439.00	-466.00
2175.46 FHLMC	04/25/2011	08/15/2012	2,175.00	2,464.00	-289.00
4755.65 FHLMC	04/19/2011	08/15/2012	4,756.00	5,166.00	-410.00
9302.74 FHLMC	04/13/2011	08/15/2012	9,303.00	9,553.00	-250.00
3066.17 FEDERAL HOME LN MT MULTICLASS	04/21/2011	08/15/2012	3,066.00	3,239.00	-173.00
13608.2 FREDDIE MAC	04/20/2011	08/15/2012	13,608.00	14,357.00	-749.00
16140.75 FEDERAL HOME LN M MULTICLASS	04/12/2011	08/15/2012	16,141.00	17,074.00	-933.00
8715.03 FHLMC REMIC	04/20/2011	08/15/2012	8,715.00	9,423.00	-708.00
196.74 FREDDIE MAC	09/15/2005	08/15/2012	197.00	202.00	-5.00
23645.11 FHLMC REMIC	04/25/2011	08/15/2012	23,645.00	25,744.00	-2,099.00
18798.12 FHLMC REMIC	04/19/2011	08/15/2012	18,798.00	20,220.00	-1,422.00
1399.94 FHLMC	04/27/2011	08/15/2012	1,400.00	1,418.00	-18.00
6310.27 FHLMC	04/26/2011	08/15/2012	6,310.00	6,500.00	-190.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5861.6 GNMA	05/13/2010	08/15/2012	5,862.00	6,009.00	-147.00
171.91 GNMA POOL NO 550851	10/17/2001	08/15/2012	172.00	179.00	-7.00
18649.41 GNMA	01/26/2011	08/15/2012	18,649.00	20,255.00	-1,606.00
18424.89 GNMA	07/29/2009	08/15/2012	18,425.00	18,839.00	-414.00
6562.64 GNMA II	05/13/2010	08/20/2012	6,563.00	6,897.00	-334.00
13683.54 GNMA	04/26/2011	08/20/2012	13,684.00	14,513.00	-829.00
9048.78 GOVERNMENT NATL MT REMIC	04/13/2011	08/20/2012	9,049.00	9,999.00	-950.00
8773.46 GNMA	04/12/2011	08/20/2012	8,773.00	9,442.00	-669.00
8780.43 GNMA II	04/26/2011	08/20/2012	8,780.00	9,516.00	-736.00
2746.75 GNMA	04/12/2011	08/20/2012	2,747.00	2,915.00	-168.00
33000. RIO TINTO FIN USA L	04/12/2011	08/22/2012	41,120.00	38,004.00	3,116.00
27000. RIO TINTO FIN USA L	04/12/2011	08/22/2012	33,652.00	31,094.00	2,558.00
15000. RIO TINTO FIN USA L	04/12/2011	08/22/2012	18,681.00	17,274.00	1,407.00
35983.2 FNMA	09/30/2008	08/27/2012	35,983.00	36,515.00	-532.00
109.53 FEDERAL NATIONAL MO	04/09/1998	08/27/2012	110.00	111.00	-1.00
33189.17 FNMA	09/17/2010	08/27/2012	33,189.00	35,738.00	-2,549.00
5761.53 FANNIE MAE	02/17/2011	08/27/2012	5,762.00	5,848.00	-86.00
7406.42 FNMA	04/15/2011	08/27/2012	7,406.00	7,610.00	-204.00
3061.06 FNMA	04/20/2011	08/27/2012	3,061.00	3,287.00	-226.00
6821.07 FNMA	04/19/2011	08/27/2012	6,821.00	7,273.00	-452.00
3926.73 FNMA	04/07/2011	08/27/2012	3,927.00	4,319.00	-392.00
8195.51 FANNIE MAE	04/13/2011	08/27/2012	8,196.00	8,653.00	-457.00
6996.48 FEDERAL NATIONAL M	02/03/2005	08/27/2012	6,996.00	7,356.00	-360.00
158.86 FEDERAL NATIONAL MO	03/07/2005	08/27/2012	159.00	167.00	-8.00
90.24 FEDERAL NATIONAL MOR	03/07/2005	08/27/2012	90.00	96.00	-6.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

BOOTH FERRIS FOUNDATION P10544008

Employer identification number 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 11.7 FANNIE MAE	03/07/2005	08/27/2012	12.00	13.00	-1.00
305.4 FANNIE MAE	01/14/2005	08/27/2012	305.00	316.00	-11.00
15601.29 FNMA	12/09/2009	08/27/2012	15,601.00	16,425.00	-824.00
21821.35 FANNIE MAE	07/29/2009	08/27/2012	21,821.00	22,244.00	-423.00
8341.5 FANNIE MAE	03/01/2011	08/27/2012	8,342.00	8,197.00	145.00
75000. KEYCORP	04/14/2011	08/30/2012	77,949.00	81,917.00	-3,968.00
269. EXXON MOBIL CORP	09/20/1996	09/13/2012	24,554.00	5,838.00	18,716.00
60.74 FEDERAL HOME LOAN MO	05/08/1996	09/17/2012	61.00	61.00	
124.05 FEDERAL HOME LOAN M	09/30/1996	09/17/2012	124.00	123.00	1.00
15652.65 FREDDIE MAC	09/30/2009	09/17/2012	15,653.00	16,386.00	-733.00
3149.15 FHLMC	02/22/2011	09/17/2012	3,149.00	3,507.00	-358.00
12775.33 FREDDIE MAC	03/02/2011	09/17/2012	12,775.00	13,428.00	-653.00
18869.59 FREDDIE MAC	09/30/2009	09/17/2012	18,870.00	19,509.00	-639.00
11025.93 FHLMC POOL 1J1749	08/23/2007	09/17/2012	11,026.00	10,915.00	111.00
5.15 FEDERAL HOME LOAN MOR	05/08/1996	09/17/2012	5.00	5.00	
19721.94 FREDDIE MAC	12/29/2009	09/17/2012	19,722.00	19,650.00	72.00
95.95 FREDDIE MAC	01/19/2005	09/17/2012	96.00	99.00	-3.00
5882.3 FHLMC	04/19/2011	09/17/2012	5,882.00	6,573.00	-691.00
2014.54 FHLMC	04/25/2011	09/17/2012	2,015.00	2,281.00	-266.00
6330.91 FHLMC	04/19/2011	09/17/2012	6,331.00	6,877.00	-546.00
11195.19 FHLMC	04/13/2011	09/17/2012	11,195.00	11,496.00	-301.00
4813.75 FEDERAL HOME LN MT MULTICLASS	04/21/2011	09/17/2012	4,814.00	5,085.00	-271.00
12923.69 FREDDIE MAC	04/20/2011	09/17/2012	12,924.00	13,634.00	-710.00
14960.22 FEDERAL HOME LN M MULTICLASS	04/12/2011	09/17/2012	14,960.00	15,825.00	-865.00
9611.56 FHLMC REMIC	04/20/2011	09/17/2012	9,612.00	10,393.00	-781.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 154.02 FREDDIE MAC	09/15/2005	09/17/2012	154.00	158.00	-4.00
25933.88 FHLMC REMIC	04/25/2011	09/17/2012	25,934.00	28,236.00	-2,302.00
19991.58 FHLMC REMIC	04/19/2011	09/17/2012	19,992.00	21,503.00	-1,511.00
1376.64 FHLMC	04/27/2011	09/17/2012	1,377.00	1,395.00	-18.00
6946.21 FHLMC	04/26/2011	09/17/2012	6,946.00	7,155.00	-209.00
9281.52 GNMA	05/13/2010	09/17/2012	9,282.00	9,514.00	-232.00
184.16 GNMA POOL NO 550851	10/17/2001	09/17/2012	184.00	192.00	-8.00
6479.78 GNMA	01/26/2011	09/17/2012	6,480.00	7,038.00	-558.00
20413.12 GNMA	07/29/2009	09/17/2012	20,413.00	20,872.00	-459.00
50000. CITIGROUP INC	08/08/2007	09/18/2012	55,570.00	49,822.00	5,748.00
17. NIKE INC	10/08/2008	09/18/2012	1,659.00	955.00	704.00
17. NIKE INC	10/08/2008	09/18/2012	1,652.00	955.00	697.00
234. NIKE INC	10/08/2008	09/18/2012	22,870.00	13,149.00	9,721.00
91. APPLE COMPUTER INC	VAR	09/19/2012	63,818.00	18,214.00	45,604.00
113. NIKE INC	10/08/2008	09/19/2012	11,287.00	6,349.00	4,938.00
89. NIKE INC	10/08/2008	09/19/2012	8,815.00	5,001.00	3,814.00
7514.03 GNMA II	05/13/2010	09/20/2012	7,514.00	7,896.00	-382.00
12532.53 GNMA	04/26/2011	09/20/2012	12,533.00	13,292.00	-759.00
5338.42 GOVERNMENT NATL MT REMIC	04/13/2011	09/20/2012	5,338.00	5,899.00	-561.00
11712.53 GNMA	04/12/2011	09/20/2012	11,713.00	12,606.00	-893.00
7730.87 GNMA II	04/26/2011	09/20/2012	7,731.00	8,378.00	-647.00
3033.29 GNMA	04/12/2011	09/20/2012	3,033.00	3,219.00	-186.00
123. NIKE INC	10/08/2008	09/20/2012	11,952.00	6,911.00	5,041.00
26. NIKE INC	10/08/2008	09/20/2012	2,547.00	1,461.00	1,086.00
125792.035 JPMORGAN HIGH Y FUND	09/08/2010	09/21/2012	1,028,979.00	996,273.00	32,706.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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BOOTH FERRIS FOUNDATION P10544008

Employer identification number 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 8. NIKE INC	10/08/2008	09/21/2012	773.00	450.00	323.00
25757.36 FNMA	09/30/2008	09/25/2012	25,757.00	26,138.00	-381.00
33979.55 FNMA	09/17/2010	09/25/2012	33,980.00	36,589.00	-2,609.00
6459.23 FANNIE MAE	02/17/2011	09/25/2012	6,459.00	6,556.00	-97.00
11412.1 FNMA	04/15/2011	09/25/2012	11,412.00	11,726.00	-314.00
2386.37 FNMA	04/20/2011	09/25/2012	2,386.00	2,562.00	-176.00
4674.53 FNMA	04/19/2011	09/25/2012	4,675.00	4,984.00	-309.00
2678.18 FNMA	04/07/2011	09/25/2012	2,678.00	2,946.00	-268.00
8078.76 FANNIE MAE	04/13/2011	09/25/2012	8,079.00	8,529.00	-450.00
5265.03 FEDERAL NATIONAL M	02/03/2005	09/25/2012	5,265.00	5,536.00	-271.00
159.61 FEDERAL NATIONAL MO	03/07/2005	09/25/2012	160.00	167.00	-7.00
91.66 FEDERAL NATIONAL MOR	03/07/2005	09/25/2012	92.00	98.00	-6.00
3094.28 FANNIE MAE	03/07/2005	09/25/2012	3,094.00	3,343.00	-249.00
307.01 FANNIE MAE	01/14/2005	09/25/2012	307.00	318.00	-11.00
15749.61 FNMA	12/09/2009	09/25/2012	15,750.00	16,581.00	-831.00
12333.8 FANNIE MAE	07/29/2009	09/25/2012	12,334.00	12,573.00	-239.00
6422.02 FANNIE MAE	03/01/2011	09/25/2012	6,422.00	6,311.00	111.00
42. NIKE INC	10/08/2008	09/25/2012	4,034.00	2,360.00	1,674.00
3539. CBS CORP	VAR	09/26/2012	126,149.00	100,615.00	25,534.00
14. NIKE INC	10/08/2008	09/26/2012	1,342.00	787.00	555.00
11. NIKE INC	10/08/2008	09/27/2012	1,057.00	618.00	439.00
. HONEYWELL INTL INC		09/28/2012	14.00		14.00
58000. CSX CORP	04/25/2011	10/03/2012	65,838.00	66,191.00	-353.00
. MERRILL LYNCH FIRST FRAN		10/04/2012	90,077.00		90,077.00
33. ADT CORPORATION	06/01/2010	10/05/2012	1,272.00	1,631.00	-359.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 345.585 ADT CORPORATION	VAR	10/05/2012	13,043.00	8,682.00	4,361.00
575000. CITIBANK N A FDIC 12/28/12	10/20/2009	10/09/2012	576,909.00	573,212.00	3,697.00
. VERITAS SOFTWARE CO		10/10/2012	73.00		73.00
. ADT CORPORATION		10/11/2012	19.00		19.00
75000. ALLSTATE LF GLB FN	04/11/2011	10/11/2012	77,025.00	80,954.00	-3,929.00
. PENTAIR LTD		10/11/2012	16.00		16.00
61.17 FEDERAL HOME LOAN MO	05/08/1996	10/15/2012	61.00	61.00	
124.9 FEDERAL HOME LOAN MO	09/30/1996	10/15/2012	125.00	124.00	1.00
13919.75 FREDDIE MAC	09/30/2009	10/15/2012	13,920.00	14,572.00	-652.00
3655.37 FHLMC	02/22/2011	10/15/2012	3,655.00	4,071.00	-416.00
7391.28 FREDDIE MAC	03/02/2011	10/15/2012	7,391.00	7,769.00	-378.00
14505.99 FREDDIE MAC	09/30/2009	10/15/2012	14,506.00	14,998.00	-492.00
7418.39 FHLMC POOL 1J1749	08/23/2007	10/15/2012	7,418.00	7,344.00	74.00
5.18 FEDERAL HOME LOAN MOR	05/08/1996	10/15/2012	5.00	5.00	
15349.11 FREDDIE MAC	12/29/2009	10/15/2012	15,349.00	15,293.00	56.00
96.45 FREDDIE MAC	01/19/2005	10/15/2012	96.00	100.00	-4.00
1596.01 FHLMC	04/19/2011	10/15/2012	1,596.00	1,784.00	-188.00
1619.47 FHLMC	04/25/2011	10/15/2012	1,619.00	1,834.00	-215.00
7476.44 FHLMC	04/19/2011	10/15/2012	7,476.00	8,121.00	-645.00
9233.53 FHLMC	04/13/2011	10/15/2012	9,234.00	9,482.00	-248.00
4077.09 FEDERAL HOME LN MT MULTICLASS	04/21/2011	10/15/2012	4,077.00	4,306.00	-229.00
15319.02 FREDDIE MAC	04/20/2011	10/15/2012	15,319.00	16,162.00	-843.00
15849.23 FEDERAL HOME LN M MULTICLASS	04/12/2011	10/15/2012	15,849.00	16,766.00	-917.00
9811.74 FHLMC REMIC	04/20/2011	10/15/2012	9,812.00	10,609.00	-797.00
147.47 FREDDIE MAC	09/15/2005	10/15/2012	147.00	151.00	-4.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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BOOTH FERRIS FOUNDATION P10544008

Employer identification number

13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 25144.91 FHLMC REMIC	04/25/2011	10/15/2012	25,145.00	27,377.00	-2,232.00
15425.85 FHLMC REMIC	04/19/2011	10/15/2012	15,426.00	16,592.00	-1,166.00
1353.73 FHLMC	04/27/2011	10/15/2012	1,354.00	1,372.00	-18.00
5756.56 FHLMC	04/26/2011	10/15/2012	5,757.00	5,929.00	-172.00
7836.91 GNMA	05/13/2010	10/15/2012	7,837.00	8,033.00	-196.00
188.62 GNMA POOL NO 550851	10/17/2001	10/15/2012	189.00	196.00	-7.00
9803.69 GNMA	01/26/2011	10/15/2012	9,804.00	10,648.00	-844.00
17947.71 GNMA	07/29/2009	10/15/2012	17,948.00	18,352.00	-404.00
351. KRAFT FOODS GROUP INC	VAR	10/15/2012	16,556.00	12,851.00	3,705.00
102. KRAFT FOODS GROUP INC	VAR	10/15/2012	4,808.00	3,719.00	1,089.00
20. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	941.00	729.00	212.00
239. KRAFT FOODS GROUP INC	VAR	10/15/2012	11,319.00	8,621.00	2,698.00
655. KRAFT FOODS GROUP INC	VAR	10/16/2012	30,687.00	23,770.00	6,917.00
369. DU PONT E I DE NEMOUR	VAR	10/17/2012	18,359.00	13,365.00	4,994.00
. MBNA CORP		10/17/2012	34.00		34.00
. TIME WARNER INC		10/17/2012	122.00		122.00
825000. DB BREN BRENT CRUD	10/07/2011	10/18/2012	1,014,320.00	816,750.00	197,570.00
281. DU PONT E I DE NEMOUR	05/21/2010	10/18/2012	14,110.00	10,062.00	4,048.00
274. DU PONT E I DE NEMOUR	05/21/2010	10/18/2012	13,662.00	9,811.00	3,851.00
139. CENTERPOINT ENERGY IN	07/15/2011	10/19/2012	2,975.00	2,689.00	286.00
1. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	21.00	19.00	2.00
599. CENTERPOINT ENERGY IN	VAR	10/19/2012	12,811.00	11,580.00	1,231.00
13. CENTERPOINT ENERGY INC	07/15/2011	10/22/2012	276.00	251.00	25.00
495. CENTERPOINT ENERGY IN	07/15/2011	10/22/2012	10,509.00	9,551.00	958.00
1597. CENTERPOINT ENERGY I	VAR	10/22/2012	33,879.00	28,167.00	5,712.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

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BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 27. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	574.00	434.00	140.00
34. CENTERPOINT ENERGY INC	03/10/2011	10/22/2012	721.00	547.00	174.00
7359.1 GNMA II	05/13/2010	10/22/2012	7,359.00	7,734.00	-375.00
16430.56 GNMA	04/26/2011	10/22/2012	16,431.00	17,427.00	-996.00
6488.02 GOVERNMENT NATL MT REMIC	04/13/2011	10/22/2012	6,488.00	7,169.00	-681.00
10135.88 GNMA	04/12/2011	10/22/2012	10,136.00	10,909.00	-773.00
8529.58 GNMA II	04/26/2011	10/22/2012	8,530.00	9,244.00	-714.00
3197.36 GNMA	04/12/2011	10/22/2012	3,197.00	3,393.00	-196.00
. APPLE COMPUTER INC		10/23/2012	584.00		584.00
398. CAPITAL ONE FINL CORP	VAR	10/23/2012	23,666.00	17,387.00	6,279.00
94. CAPITAL ONE FINL CORP	VAR	10/23/2012	5,640.00	4,049.00	1,591.00
18. CAPITAL ONE FINL CORP	VAR	10/23/2012	1,082.00	756.00	326.00
37. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	2,221.00	1,554.00	667.00
10. CAPITAL ONE FINL CORP	VAR	10/23/2012	600.00	419.00	181.00
161. CAPITAL ONE FINL CORP	VAR	10/24/2012	9,687.00	6,627.00	3,060.00
1307. LOWES COS INC	VAR	10/24/2012	42,436.00	30,136.00	12,300.00
195. LOWES COS INC	02/19/2010	10/24/2012	6,290.00	4,495.00	1,795.00
14390.69 FNMA	09/30/2008	10/25/2012	14,391.00	14,603.00	-212.00
33819.15 FNMA	09/17/2010	10/25/2012	33,819.00	36,416.00	-2,597.00
11276.87 FANNIE MAE	02/17/2011	10/25/2012	11,277.00	11,446.00	-169.00
10864.07 FNMA	04/15/2011	10/25/2012	10,864.00	11,163.00	-299.00
1740.52 FNMA	04/20/2011	10/25/2012	1,741.00	1,869.00	-128.00
7314.88 FNMA	04/19/2011	10/25/2012	7,315.00	7,799.00	-484.00
1703.54 FNMA	04/07/2011	10/25/2012	1,704.00	1,874.00	-170.00
7963.66 FANNIE MAE	04/13/2011	10/25/2012	7,964.00	8,408.00	-444.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 115.37 FEDERAL NATIONAL MO	02/03/2005	10/25/2012	115.00	121.00	-6.00
160.55 FEDERAL NATIONAL MO	03/07/2005	10/25/2012	161.00	168.00	-7.00
91.14 FEDERAL NATIONAL MOR	03/07/2005	10/25/2012	91.00	97.00	-6.00
7.83 FANNIE MAE	03/07/2005	10/25/2012	8.00	8.00	
322.21 FANNIE MAE	01/14/2005	10/25/2012	322.00	334.00	-12.00
18484.06 FNMA	12/09/2009	10/25/2012	18,484.00	19,460.00	-976.00
7738.03 FANNIE MAE	07/29/2009	10/25/2012	7,738.00	7,888.00	-150.00
1694.4 FANNIE MAE	03/01/2011	10/25/2012	1,694.00	1,665.00	29.00
122. LOWES COS INC	02/19/2010	10/25/2012	3,883.00	2,812.00	1,071.00
140. LOWES COS INC	02/19/2010	10/25/2012	4,529.00	3,227.00	1,302.00
75000. DELL INC	04/12/2011	11/02/2012	87,167.00	82,094.00	5,073.00
515. AT&T INC	12/05/2007	11/05/2012	17,806.00	19,704.00	-1,898.00
1100. LAUDER ESTEE COS INC	VAR	11/05/2012	65,345.00	55,764.00	9,581.00
25. COVIDIEN PLC NEW	07/25/2011	11/05/2012	1,389.00	1,255.00	134.00
12. COVIDIEN PLC NEW	07/25/2011	11/06/2012	670.00	602.00	68.00
214. CENTERPOINT ENERGY IN	03/10/2011	11/07/2012	4,446.00	3,440.00	1,006.00
431. CENTERPOINT ENERGY IN	03/10/2011	11/07/2012	9,004.00	6,929.00	2,075.00
56. CENTERPOINT ENERGY INC	03/10/2011	11/08/2012	1,158.00	900.00	258.00
1698. CENTERPOINT ENERGY I	VAR	11/08/2012	35,174.00	27,195.00	7,979.00
292. CENTERPOINT ENERGY IN	03/14/2011	11/08/2012	5,977.00	4,634.00	1,343.00
509. CENTERPOINT ENERGY IN	03/14/2011	11/08/2012	10,572.00	8,078.00	2,494.00
47. GOLDMAN SACHS GROUP IN	10/17/2011	11/09/2012	5,455.00	4,538.00	917.00
61.6 FEDERAL HOME LOAN MOR	05/08/1996	11/15/2012	62.00	62.00	
125.75 FEDERAL HOME LOAN M	09/30/1996	11/15/2012	126.00	125.00	1.00
15126.31 FREDDIE MAC	09/30/2009	11/15/2012	15,126.00	15,835.00	-709.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

BOOTH FERRIS FOUNDATION P10544008

Employer identification number

13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3781.46 FHLMC	02/22/2011	11/15/2012	3,781.00	4,212.00	-431.00
2640.24 FREDDIE MAC	03/02/2011	11/15/2012	2,640.00	2,775.00	-135.00
15640.03 FREDDIE MAC	09/30/2009	11/15/2012	15,640.00	16,170.00	-530.00
7969.14 FHLMC POOL 1J1749	08/23/2007	11/15/2012	7,969.00	7,889.00	80.00
5.22 FEDERAL HOME LOAN MOR	05/08/1996	11/15/2012	5.00	5.00	
18665.39 FREDDIE MAC	12/29/2009	11/15/2012	18,665.00	18,597.00	68.00
96.95 FREDDIE MAC	01/19/2005	11/15/2012	97.00	100.00	-3.00
2836.55 FHLMC	04/19/2011	11/15/2012	2,837.00	3,170.00	-333.00
1885.43 FHLMC	04/25/2011	11/15/2012	1,885.00	2,135.00	-250.00
3260.55 FHLMC	04/19/2011	11/15/2012	3,261.00	3,542.00	-281.00
10307.4 FHLMC	04/13/2011	11/15/2012	10,307.00	10,584.00	-277.00
4721.43 FEDERAL HOME LN MT MULTICLASS	04/21/2011	11/15/2012	4,721.00	4,987.00	-266.00
15015.87 FREDDIE MAC	04/20/2011	11/15/2012	15,016.00	15,842.00	-826.00
10474.28 FEDERAL HOME LN M MULTICLASS	04/12/2011	11/15/2012	10,474.00	11,080.00	-606.00
11156.42 FHLMC REMIC	04/20/2011	11/15/2012	11,156.00	12,063.00	-907.00
149.1 FREDDIE MAC	09/15/2005	11/15/2012	149.00	153.00	-4.00
24750. FHLMC REMIC	04/25/2011	11/15/2012	24,750.00	26,947.00	-2,197.00
16025.19 FHLMC REMIC	04/19/2011	11/15/2012	16,025.00	17,237.00	-1,212.00
1331.21 FHLMC	04/27/2011	11/15/2012	1,331.00	1,349.00	-18.00
5736.15 FHLMC	04/26/2011	11/15/2012	5,736.00	5,908.00	-172.00
16017.16 GNMA	05/13/2010	11/15/2012	16,017.00	16,419.00	-402.00
169.29 GNMA POOL NO 550851	10/17/2001	11/15/2012	169.00	176.00	-7.00
15519.5 GNMA	01/26/2011	11/15/2012	15,520.00	16,856.00	-1,336.00
9879.82 GNMA	07/29/2009	11/15/2012	9,880.00	10,102.00	-222.00
180. JOHNSON CTLS INC	10/28/2011	11/15/2012	4,516.00	6,009.00	-1,493.00

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Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 458. JOHNSON CTLS INC	VAR	11/15/2012	11,497.00	13,466.00	-1,969.00
181. JOHNSON CTLS INC	04/21/1995	11/15/2012	4,544.00	800.00	3,744.00
149. LAM RESEARCH CORPORAT	10/28/2011	11/15/2012	5,175.00	6,536.00	-1,361.00
871. JOHNSON CTLS INC	04/21/1995	11/16/2012	21,827.00	3,851.00	17,976.00
296. LAM RESEARCH CORPORAT	10/28/2011	11/16/2012	10,199.00	12,985.00	-2,786.00
205. LAM RESEARCH CORPORAT	10/28/2011	11/16/2012	7,025.00	8,993.00	-1,968.00
9618.16 GNMA II	05/13/2010	11/20/2012	9,618.00	10,108.00	-490.00
14249.69 GNMA	04/26/2011	11/20/2012	14,250.00	15,114.00	-864.00
8726.84 GOVERNMENT NATL MT REMIC	04/13/2011	11/20/2012	8,727.00	9,643.00	-916.00
8409.06 GNMA	04/12/2011	11/20/2012	8,409.00	9,050.00	-641.00
8617.05 GNMA II	04/26/2011	11/20/2012	8,617.00	9,339.00	-722.00
3804.36 GNMA	04/12/2011	11/20/2012	3,804.00	4,037.00	-233.00
13825.06 FNMA	09/30/2008	11/26/2012	13,825.00	14,029.00	-204.00
34244.44 FNMA	09/17/2010	11/26/2012	34,244.00	36,874.00	-2,630.00
3028.94 FANNIE MAE	02/17/2011	11/26/2012	3,029.00	3,074.00	-45.00
11560.29 FNMA	04/15/2011	11/26/2012	11,560.00	11,878.00	-318.00
7994.58 FNMA	04/20/2011	11/26/2012	7,995.00	8,584.00	-589.00
6099.89 FNMA	04/19/2011	11/26/2012	6,100.00	6,504.00	-404.00
3299.07 FNMA	04/07/2011	11/26/2012	3,299.00	3,629.00	-330.00
7850.14 FANNIE MAE	04/13/2011	11/26/2012	7,850.00	8,288.00	-438.00
7126.95 FEDERAL NATIONAL M	02/03/2005	11/26/2012	7,127.00	7,493.00	-366.00
161.53 FEDERAL NATIONAL MO	03/07/2005	11/26/2012	162.00	169.00	-7.00
91.92 FEDERAL NATIONAL MOR	03/07/2005	11/26/2012	92.00	98.00	-6.00
7.88 FANNIE MAE	03/07/2005	11/26/2012	8.00	9.00	-1.00
310.25 FANNIE MAE	01/14/2005	11/26/2012	310.00	321.00	-11.00

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BOOTH FERRIS FOUNDATION P10544008

Employer identification number

13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 16609.49 FNMA	12/09/2009	11/26/2012	16,609.00	17,487.00	-878.00
11878.2 FANNIE MAE	07/29/2009	11/26/2012	11,878.00	12,108.00	-230.00
6933.95 FANNIE MAE	03/01/2011	11/26/2012	6,934.00	6,814.00	120.00
4079.3 FNMA	10/26/2011	11/26/2012	4,079.00	4,146.00	-67.00
532. DU PONT E I DE NEMOUR	VAR	12/03/2012	22,785.00	19,046.00	3,739.00
452. DU PONT E I DE NEMOUR	06/01/2010	12/03/2012	19,306.00	16,174.00	3,132.00
737. DU PONT E I DE NEMOUR	06/01/2010	12/03/2012	31,361.00	26,373.00	4,988.00
46. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	1,946.00	1,646.00	300.00
415. DU PONT E I DE NEMOUR	06/01/2010	12/04/2012	17,649.00	14,850.00	2,799.00
. BANK OF AMERICA CORPORAT		12/05/2012	294.00		294.00
500. FREEPORT-MCMORAN COPP B	11/08/2011	12/05/2012	16,399.00	20,823.00	-4,424.00
. QWEST COMMUNICATIONS INT		12/10/2012	19.00		19.00
. ASML HOLDING N.V.		12/10/2012	40.00		40.00
318. AT&T INC	12/05/2007	12/14/2012	10,834.00	12,167.00	-1,333.00
960. AT&T INC	12/05/2007	12/14/2012	32,736.00	36,731.00	-3,995.00
62.03 FEDERAL HOME LOAN MO	05/08/1996	12/17/2012	62.00	62.00	
126.58 FEDERAL HOME LOAN M	09/30/1996	12/17/2012	127.00	125.00	2.00
15799.78 FREDDIE MAC	09/30/2009	12/17/2012	15,800.00	16,540.00	-740.00
3014.54 FHLMC	02/22/2011	12/17/2012	3,015.00	3,357.00	-342.00
3729.97 FREDDIE MAC	03/02/2011	12/17/2012	3,730.00	3,921.00	-191.00
12914.59 FREDDIE MAC	09/30/2009	12/17/2012	12,915.00	13,352.00	-437.00
5.25 FEDERAL HOME LOAN MOR	05/08/1996	12/17/2012	5.00	5.00	
18143.74 FREDDIE MAC	12/29/2009	12/17/2012	18,144.00	18,077.00	67.00
97.46 FREDDIE MAC	01/19/2005	12/17/2012	97.00	101.00	-4.00
4220.75 FHLMC	04/19/2011	12/17/2012	4,221.00	4,717.00	-496.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2211.8 FHLMC	04/25/2011	12/17/2012	2,212.00	2,505.00	-293.00
3446.58 FHLMC	04/19/2011	12/17/2012	3,447.00	3,744.00	-297.00
10363.45 FHLMC	04/13/2011	12/17/2012	10,363.00	10,642.00	-279.00
3329.26 FEDERAL HOME LN MT MULTICLASS	04/21/2011	12/17/2012	3,329.00	3,517.00	-188.00
11166.64 FREDDIE MAC	04/20/2011	12/17/2012	11,167.00	11,781.00	-614.00
13954.6 FEDERAL HOME LN MT MULTICLASS	04/12/2011	12/17/2012	13,955.00	14,761.00	-806.00
9315.32 FHLMC REMIC	04/20/2011	12/17/2012	9,315.00	10,072.00	-757.00
155.98 FREDDIE MAC	09/15/2005	12/17/2012	156.00	160.00	-4.00
20028.4 FHLMC REMIC	04/25/2011	12/17/2012	20,028.00	21,806.00	-1,778.00
16161.32 FHLMC REMIC	04/19/2011	12/17/2012	16,161.00	17,384.00	-1,223.00
14400.47 FHLMC REMIC 3476	04/20/2011	12/17/2012	14,400.00	15,697.00	-1,297.00
1950.12 FHLMC	04/27/2011	12/17/2012	1,950.00	1,976.00	-26.00
6150.34 FHLMC	04/26/2011	12/17/2012	6,150.00	6,335.00	-185.00
8106.25 GNMA	05/13/2010	12/17/2012	8,106.00	8,310.00	-204.00
182.66 GNMA POOL NO 550851	10/17/2001	12/17/2012	183.00	190.00	-7.00
3485.6 GNMA	01/26/2011	12/17/2012	3,486.00	3,786.00	-300.00
13684.63 GNMA	07/29/2009	12/17/2012	13,685.00	13,993.00	-308.00
61. INTERCONTINENTAL EXCHA	VAR	12/17/2012	7,800.00	6,826.00	974.00
98. INTERCONTINENTAL EXCHA	08/10/2011	12/17/2012	12,512.00	10,929.00	1,583.00
107. INTERCONTINENTAL EXCH	08/10/2011	12/18/2012	13,699.00	11,932.00	1,767.00
1109. AT&T INC	VAR	12/20/2012	37,647.00	39,437.00	-1,790.00
8808.27 GNMA II	05/13/2010	12/20/2012	8,808.00	9,257.00	-449.00
8624.78 GNMA	04/26/2011	12/20/2012	8,625.00	9,148.00	-523.00
5624.69 GOVERNMENT NATL MT REMIC	04/13/2011	12/20/2012	5,625.00	6,215.00	-590.00
8793.05 GNMA	04/12/2011	12/20/2012	8,793.00	9,464.00	-671.00

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BOOTH FERRIS FOUNDATION P10544008	13-6170340

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 7938.49 GNMA II	04/26/2011	12/20/2012	7,938.00	8,603.00	-665.00
2767.98 GNMA	04/12/2011	12/20/2012	2,768.00	2,938.00	-170.00
443. AT&T INC	07/11/2011	12/21/2012	14,968.00	13,650.00	1,318.00
18865.32 FHLMC POOL 1J1749	08/23/2007	12/21/2012	18,865.00	18,676.00	189.00
1000000. GS BREN GOLD NOTE	12/09/2011	12/21/2012	1,000,000.00	990,000.00	10,000.00
12177.79 FNMA	09/30/2008	12/26/2012	12,178.00	12,358.00	-180.00
31051.41 FNMA	09/17/2010	12/26/2012	31,051.00	33,436.00	-2,385.00
4118.77 FANNIE MAE	02/17/2011	12/26/2012	4,119.00	4,181.00	-62.00
10233.16 FNMA	04/15/2011	12/26/2012	10,233.00	10,515.00	-282.00
2911.76 FNMA	04/20/2011	12/26/2012	2,912.00	3,127.00	-215.00
7049.75 FNMA	04/19/2011	12/26/2012	7,050.00	7,517.00	-467.00
2633.81 FNMA	04/07/2011	12/26/2012	2,634.00	2,897.00	-263.00
7738.22 FANNIE MAE	04/13/2011	12/26/2012	7,738.00	8,170.00	-432.00
103.39 FEDERAL NATIONAL MO	02/03/2005	12/26/2012	103.00	109.00	-6.00
162.53 FEDERAL NATIONAL MO	03/07/2005	12/26/2012	163.00	170.00	-7.00
92.5 FEDERAL NATIONAL MORT	03/07/2005	12/26/2012	93.00	99.00	-6.00
7.93 FANNIE MAE	03/07/2005	12/26/2012	8.00	9.00	-1.00
311.89 FANNIE MAE	01/14/2005	12/26/2012	312.00	323.00	-11.00
14521.31 FNMA	12/09/2009	12/26/2012	14,521.00	15,288.00	-767.00
10639.51 FANNIE MAE	07/29/2009	12/26/2012	10,640.00	10,846.00	-206.00
2194.02 FANNIE MAE	03/01/2011	12/26/2012	2,194.00	2,156.00	38.00
3945.42 FNMA	10/26/2011	12/26/2012	3,945.00	4,010.00	-65.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					2,245,435.00

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
US Fixed Income							
INTERNATIONAL BUSINESS MACNINES CORP 6 22% AUG 1 2027 DTD 8/1/97 HOLDERS OPT TO REDEEM 8/1/04 @ 100 459200-AR-2 AA- /AA3	136.81	75,000 00	102,607 50	86,022 00	16,585.50	4,665 00 1,943 70	3 07%
BNP PARIBAS MEDIUM TERM FLOATING RATE NOTE JAN 10 2014 DTD 01/10/2011 05567L-S5-7 A+ /A2	99.96	75,000 00	74,969 25	75,429.15	(459.90)	937 68 213 52	1.28%
HRPT PROPERTIES TRUST 5 3/4% FEB 15 2014 DTD 10/30/2003 40426W-AQ-4 BBB /BAA	103 07	75,000 00	77,304 00	79,539.00	(2,235.00)	4,312 50 1,629.15	2.94%
PRINCIPAL LIFE INC FDG MEDIUM TERM NOTES 5.1% APR 15 2014 DTD 04/16/2004 74254P-AK-8 A+ /AA3	105.45	75,000 00	79,085 25	81,493 65	(2,408.40)	3,825 00 807.45	0 84%
ABBEY NATL TREASURY SERV FLOATING RATE APR 25 2014 DTD 04/27/2011 002799-AH-7 A /A2	100.00	85,000 00	84,996.60	85,037 66	(41 06)	1,609 26 295 63	1 89%
BANK OF AMERICA CORP MEDIUM TERM NOTE 7 3/8% MAY 15 2014 DTD 05/13/2009 06051G-DY-2 A- /BAA	108.42	75,000.00	81,317 25	74,583.75	6,733 50	5,531 25 706 72	1.17%
WACHOVIA CORPORATION SUB NOTES 5 1/4% AUG 1 2014 DTD 7/22/2004 929903-AJ-1 A /A3	106.44	185,000 00	196,914.00	199,028 55	(2,114.55)	9,712 50 4,046 87	1 13%

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
DEUTSCHE BANK AG LONDON 3 7/8% AUG 18 2014 DTD 08/18/2009 2515A0-Q3-0 A+ /A2	104.93	75,000 00	78,696.75	78,461.25	235 50	2,906 25 1,073 62	0.83%
GREATER ORLANDO AVIATION AUTHORITY FLORIDA REV SPL PURP FACS 4 16% OCT 01 2014 DTD 10/07/2009 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY 392273-AJ-7 NR /A2	104.52	320,000 00	334,470.40	320,000.00	14,470 40	13,312 00 3,328 00	1 53%
NATIONAL CITY CORP 4 9% JAN 15 2015 DTD 01/12/2005 635405-AQ-6 A- /A3	108.39	75,000 00	81,294 00	81,302 25	(8.25)	3,675 00 1,694 55	0 74%
WESTPAC BANKING CORP 4.2% FEB 27 2015 DTD 08/27/2009 961214-BH-5 AA- /AA2	107.15	85,000 00	91,075 80	85,028 90	6,046 90	3,570 00 1,229 61	0 85%
BANK OF AMERICA CORP NOTES 4 1/2% APR 01 2015 DTD 03/11/2010 06051G-EB-1 A- /BAA	106 64	120,000 00	127,970 40	119,878 80	8,091 60	5,400 00 1,350 00	1 49%
CSX CORP NOTES 6 1/4% APR 01 2015 DTD 03/27/2008 126408-GN-7 BBB /BAA	111 90	17,000 00	19,023.51	19,400 91	(377 40)	1,062 50 265 62	0 89%
TELEFONICA EMISIONES SAU 3.729% APR 27 2015 DTD 04/26/2010 87938W-AL-7 BBB /BAA	102 76	75,000.00	77,067 75	74,925 00	2,142.75	2,796 75 497.17	2 50%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
HSBC FINANCE CORP 5% JUN 30 2015 DTD 6/27/2005 40429C-CS-9 A /BAA	108 81	75,000.00	81,610 50	81,735.75	(125 25)	3,750 00 10 35	1.40%
US TREAS 1.75% 07/31/15 912828-NP-1 NR /AAA	103 65	2,550,000.00	2,643,024 00	2,542,330.08	100,693.92	44,625 00 18,673.65	0 33%
RABOBANK NEDERLAND 2 1/8% OCT 13 2015 DTD 10/13/2010 21685W-BL-0 AA- /AA2	102 80	75,000 00	77,103 00	72,743 25	4,359 75	1,593 75 345 30	1 10%
AMERPRISE FINANCIAL INC 5 65% NOV 15 2015 DTD 11/23/2005 03076C-AB-2 A /A3	113.18	75,000.00	84,881 25	84,217.50	663 75	4,237.50 541 42	0.99%
JOHNSON CONTROLS INC SR NOTES 5 1/2% JAN 15 2016 DTD 01/17/2006 478366-AR-8 BBB /BAA	113 22	75,000 00	84,916.50	83,386 50	1,530 00	4,125 00 1,902 07	1.07%
ALLY AUTO RECEIVABLES TRUST SR 2010-5 CL A4 1.75% MAR 15 2016 DTD 12/10/2010 02005R-AC-5 AAA /NA	101 81	125,000 00	127,266 25	127,592 77	(326 52)	2,187 50 97 12	0 53%
BB&T CORPORATION MTN 3.2% MAR 15 2016 DTD 03/07/2011 05531F-AG-8 A- /A2	106.50	75,000 00	79,876 50	74,572 50	5,304 00	2,400.00 706 65	1.13%
TOTAL CAPITAL SA 2.3% MAR 15 2016 DTD 09/15/2010 89152U-AE-2 AA- /AA1	104 90	75,000.00	78,678 00	73,210 50	5,467.50	1,725 00 507 90	0 75%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
ALLY AUTO RECEIVABLES TRUST SER 2012-3 CL A3 0 85% AUG 15 2016 DTD 05/30/2012 02005E-AC-4 NR /AAA	100.31	347,000.00	348,058 35	346,987.82	1,070 53	2,949 50 130 81	0 68%
CRH AMERICA INC 6% SEP 30 2016 DTD 09/14/2006 12626P-AG-8 BBB /BAA	112 72	45,000.00	50,722 65	48,414 15	2,308 50	2,700 00 682 47	2 43%
GOLDMAN SACHS GROUP INC SR NOTES 5 3/4% OCT 01 2016 DTD 9/19/2006 38141G-ER-1 A- /A3	113 68	55,000 00	62,526 20	54,811 90	7,714 30	3,162 50 790 62	1 95%
HONEYWELL INTL INC SR NT DTD 03/15/2007 5 30% DUE 03/15/2017 438516-AS-5 A /A2	117 72	75,000 00	88,290.75	84,154 50	4,136 25	3,975.00 1,170 37	0.99%
INTUIT INC 5 3/4% MAR 15 2017 DTD 3/12/2007 461202-AB-9 BBB /BAA	115 19	75,000 00	86,393.25	81,924 75	4,468 50	4,312 50 1,269 75	1 97%
PACCAR FINANCIAL CORP MTN 1 6% MAR 15 2017 DTD 03/06/2012 69371R-K5-4 A+ /A1	101.97	61,000.00	62,199 87	62,209 63	(9 76)	976 00 287 37	1.12%
NOBLE HOLDING INTL LTD 2 1/2% MAR 15 2017 DTD 02/10/2012 65504L-AH-0 BBB /BAA	103.36	7,000 00	7,235 06	6,995 87	239.19	175.00 51 52	1 67%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
CSX CORP 5 6% MAY 01 2017 DTD 04/25/2007 126408-GJ-6 BBB /BAA	116 60	58,000.00	67,626 84	68,532 22	(905 38)	3,248 00 541 31	1 62%
US TREAS 2.75% 05/31/17 912828-NG-1 NR /AAA	109.29	480,000 00	524,587 20	485,043.75	39,543 45	13,200.00 1,166 40	0 61%
ERP OPERATING LP 5 3/4% JUN 15 2017 DTD 06/04/2007 26884A-AX-1 BBB /BAA	117 99	75,000 00	88,494 00	82,333 50	6,160 50	4,312 50 1,988 47	1 55%
SUNTRUST BANKS SR NOTES 6% SEP 11 2017 DTD 09/10/2007 867914-AZ-6 BBB /BAA	119 03	75,000 00	89,274.00	82,704 75	6,569.25	4,500 00 1,374 97	1 76%
NISSAN AUTO RECEIVABLES OWNER TRUST SER 2011-A CL A4 1 94% SEP 15 2017 DTD 04/25/2011 65476H-AD-2 NA /AAA	102.47	300,000 00	307,395 00	308,578.13	(1,183 13)	5,820 00 258 60	0 58%
EOG RESOURCES INC 5 7/8% SEP 15 2017 DTD 09/10/2007 26875P-AA-9 A- /A3	120 98	75,000 00	90,735 00	84,393 75	6,341 25	4,406 25 1,297 35	1 27%
NYSE EURONEXT 2% OCT 05 2017 DTD 10/05/2012 629491-AB-7 A+ /A3	101.64	65,000 00	66,067 30	65,329 54	737 76	1,300 00 310 50	1.64%
DIAGEO CAPITAL PLC NOTES 5 3/4% OCT 23 2017 DTD 10/26/2007 25243Y-AM-1 A- /A3	120.72	75,000 00	90,536.25	83,922 75	6,613.50	4,312 50 814 57	1.30%

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
AMERICREDIT AUTOMOBILE RECEIVABLES TRUST 2012-4 CL B 1 310% 11/08/2017 DTD 09/20/2012 03063X-AE-5 NR /	99 94	60,000.00	59,963 40	59,988 61	(25 21)	786.00 50 16	1 33%
COMCAST CORP 5 7/8% FEB 15 2018 DTD 11/17/2006 20030N-AR-2 BBB /BAA	120.68	100,000 00	120,679 00	105,679.00	15,000 00	5,875 00 2,219 40	1.65%
CREDIT SUISSE NEW YORK 6% FEB 15 2018 DTD 02/19/2008 22541H-CC-4 BBB /BAA	116 00	120,000 00	139,204 80	125,098 80	14,106 00	7,200 00 2,719 92	2 64%
US TREAS 2.75% 02/28/18 912828-PY-0 NR /AAA	110.09	1,290,000 00	1,420,212.60	1,281,467.19	138,745 41	35,475 00 12,052 47	0 75%
MORGAN STANLEY 6 5/8% APR 01 2018 DTD 04/01/2008 617446-6Q-7 A- /BAA	117 38	100,000.00	117,384 00	100,000 00	17,384 00	6,625 00 1,656 20	3 02%
GENERAL ELEC CAP CORP MEDIUM TERM NOTES 5 5/8% MAY 01 2018 DTD 04/21/2008 36962G-3U-6 AA+ /A1	118 42	150,000 00	177,636 00	149,599 50	28,036 50	8,437 50 1,406 25	1 97%
BERKSHIRE HATHAWAY FIN 5.4% MAY 15 2018 DTD 11/15/2008 084664-BE-0 AA+ /AA2	120 24	75,000 00	90,178.50	82,351 50	7,827.00	4,050 00 517.50	1.47%
DOW CHEMICAL COMPANY 5 7% MAY 15 2018 DTD 05/06/2008 260543-BV-4 BBB /BAA	119 21	75,000 00	89,410.50	81,066.75	8,343 75	4,275 00 546.22	1 92%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2651 CL VZ 4.50% DUE 07/15/2018 31393W-6E-4 NR /NR	106.21	98,506 03	104,625.22	104,046.99	578 23	4,432 77 369 39	1 19%
E.I. DU PONT DE NEMOURS SR NOTES 6% JUL 15 2018 DTD 07/28/2008 263534-BT-5 A /A2	123 96	75,000 00	92,972 25	84,836 25	8,136.00	4,500 00 2,074 95	1 48%
KRAFT FOODS INC NOTES 6 1/8% AUG 23 2018 DTD 05/22/2008 50075N-AV-6 BBB /BAA	123 73	75,000 00	92,796 00	83,601 00	9,195 00	4,593 75 1,633 27	1 70%
US TREAS 1.75% 10/31/18 912828-RP-7 NR /AAA	104 79	650,000.00	681,128 50	666,199.22	14,929.28	11,375 00 1,948 05	0 90%
KIMBERLY CLARK SR NOTES 7 1/2% NOV 01 2018 DTD 11/04/2008 494368-BD-4 A /A2	134 07	40,000 00	53,627 20	53,790 80	(163 60)	3,000 00 500 00	1 40%
NATIONAL RURAL UTIL CORP 10 3/8% NOV 01 2018 DTD 10/30/2008 637432-LR-4 A+ /A1	148 42	75,000 00	111,315 75	102,615 00	8,700 75	7,781 25 1,296 82	1 64%
AMGEN INC SR NOTES 5 7% FEB 01 2019 DTD 01/16/2009 031162-AZ-3 A+ /BAA	120.72	75,000 00	90,539.25	83,529 75	7,009.50	4,275 00 1,781 25	2.06%
UNITED TECHNOLOGIES CORP 6 1/8% FEB 01 2019 DTD 12/18/2008 913017-BQ-1 A /A2	124 76	54,000.00	67,369 86	68,427 88	(1,058 02)	3,307 50 1,378 08	1 81%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
NOVARTIS SECS INVEST LTD 5 1/8% FEB 10 2019 DTD 02/10/2009 66989G-AA-8 AA- /AA2	119.10	75,000.00	89,321.25	81,042 00	8,279 25	3,843 75 1,505 40	1 81%
CATERPILLAR FINACIAL SE MEDIUM TERM NOTE 7.15% FEB 15 2019 DTD 02/12/2009 14912L-4E-8 A /A2	130.96	75,000 00	98,220 00	91,090 50	7,129 50	5,362.50 2,025 82	1.79%
ANADARKO PETROLEUM CORP NOTES 8 7% MAR 15 2019 DTD 03/05/2009 032511-BC-0 BBB /BAA	135 37	100,000 00	135,374 00	133,038 00	2,336 00	8,700 00 2,561 60	2 51%
ATOMS ENERGY CORP 8 1/2% MAR 15 2019 DTD 03/26/2009 049560-AJ-4 BBB /BAA	134 23	90,000.00	120,807.90	119,865.60	942.30	7,650 00 2,252 43	2 51%
EATON CORP 6.95% MAR 20 2019 DTD 3/16/2009 278058-DH-2 A- /BAA	126 28	75,000 00	94,710 00	90,063 75	4,646 25	5,212 50 1,462.35	2 38%
SIMON PROPERTY GROUP LP SR NOTES 10 35% APR 01 2019 DTD 03/25/2009 828807-CA-3 A- /A3	144.02	75,000 00	108,015 75	102,635 25	5,380 50	7,762 50 1,940 62	2 66%
RIO TINTO FIN USA LTD 9% MAY 01 2019 DTD 04/17/2009 767201-AH-9 A- /A3	135 97	65,000 00	88,380.50	89,251.91	(871.41)	5,850 00 975 00	2.77%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
MORGAN STANLEY 7 3% MAY 13 2019 DTD 05/13/2009 61747Y-CG-8 A- /BAA	121.65	100,000.00	121,649.00	99,987.00	21,662 00	7,300 00 973 30	3.48%
AFLAC INC SR NOTES 8 1/2% MAY 15 2019 DTD 05/21/2009 001055-AC-6 A- /A3	135.93	75,000.00	101,944.50	90,459.00	11,485 50	6,375 00 814 57	2.39%
BANK OF AMERICA CORP SR NOTES 7 5/8% JUN 01 2019 DTD 06/02/2009 06051G-DZ-9 A- /BAA	128 10	75,000 00	96,074 25	95,143 50	930 75	5,718 75 476 55	2 81%
TRAVELLERS COS INC SR NOTES 5 9% JUN 02 2019 DTD 06/02/2009 89417E-AF-6 A /A2	123.67	75,000.00	92,748 75	82,551 00	10,197 75	4,425 00 356 40	1 96%
ACE INA HOLDINGS 5 9% JUN 15 2019 DTD 06/08/2009 00440E-AM-9 A /A3	124 13	75,000 00	93,100 50	82,748 25	10,352 25	4,425 00 196 65	1 91%
BUNGE LIMITED FINANCE CO 8 1/2% JUN 15 2019 DTD 06/09/2009 120568-AT-7 BBB /BAA	128.61	75,000 00	96,457 50	89,474 25	6,983 25	6,375.00 283 27	3 51%
NORFOLK SOUTHERN CORP NOTES 5 9% JUN 15 2019 DTD 06/01/2009 655844-BC-1 BBB /BAA	122 03	100,000 00	122,027.00	99,750 00	22,277 00	5,900 00 262.20	2 22%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
JEFFRIES GROUP INC 8 1/2% JUL 15 2019 DTD 06/30/2009 472319-AF-9 BBB /BAA	119 88	75,000 00	89,907 00	89,103.00	804 00	6,375.00 2,939 55	4 91%
AGL CAPITAL CORP 5 1/4% AUG 15 2019 DTD 08/10/2009 001192-AG-8 BBB /BAA	119 66	75,000 00	89,743.50	74,837 25	14,906 25	3,937 50 1,487 47	2 06%
TD AMERITRADE HOLDING CO 5.6% DEC 01 2019 DTD 11/25/2009 87236Y-AA-6 A /BAA	118 88	35,000 00	41,609 40	41,723 85	(114 45)	1,960 00 163 31	2 60%
BLACKROCK INC 5% DEC 10 2019 DTD 12/10/2009 09247X-AE-1 A+ /A1	119 58	75,000.00	89,681.25	78,327.00	11,354 25	3,750 00 218 70	1 97%
ANHEUSER BUSCH INBEV WOR 5 3/8% JAN 15 2020 DTD 10/16/2009 03523T-AN-8 A /A3	121 70	75,000 00	91,273 50	80,881 50	10,392 00	4,031 25 1,858 80	2 05%
FNMA AD0910 4.602% 04/01/20 31418N-AL-4	115 47	193,961.08	223,957.16	227,176.92	(3,219.76)	8,926.08 743 84	
XCEL ENERGY INC 4 7% MAY 15 2020 DTD 05/13/2010 98389B-AM-2 BBB /BAA	116.35	100,000 00	116,353 00	99,676 00	16,677 00	4,700 00 600.50	2 28%
GOLDMAN SACHS GROUP INC 6% JUN 15 2020 DTD 06/03/2010 38141E-A6-6 A- /A3	118.45	70,000 00	82,912 20	74,071 20	8,841 00	4,200 00 186.62	3 20%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
US Fixed Income							
UNITEDHEALTH GROUP INC NOTES 3 7/8% OCT 15 2020 DTD 10/25/2010 91324P-BM-3 A /A3	110.41	70,000 00	77,287 00	76,448 40	838 60	2,712 50 572 60	2 40%
TRANSCANADA PIPELINES LTD DEB 9.875% 1/01/21 893526-BY-8 A- /A3	147 60	75,000 00	110,701 50	113,770 50	(3,069.00)	7,406 25 3,723 67	3 11%
US TREAS 3.625% 02/15/21 912828-PX-2 NR /AAA	117 25	1,620,000.00	1,899,450 00	1,626,139 25	273,310 75	58,725 00 22,181 04	1 37%
DIRECTV HLDG FIN INC 5% MAR 01 2021 DTD 03/10/2011 25459H-BA-2 BBB /BAA	112 46	75,000 00	84,347 25	75,404 25	8,943 00	3,750 00 1,249 95	3 25%
BP CAPITAL MARKETS PLC 4.742% MAR 11 2021 DTD 03/11/2011 05565Q-BR-8 A /NR	116 36	75,000 00	87,270 00	75,611 25	11,658 75	3,556 50 1,086 67	2 52%
VODAFONE GROUP PLC 4 3/8% MAR 16 2021 DTD 03/16/2011 92857W-AV-2 A- /A3	114 16	80,000 00	91,324.80	79,430 40	11,894 40	3,500 00 1,020 80	2 46%
KEYCORP MTN 5 1% MAR 24 2021 DTD 03/24/2011 49326E-ED-1 BBB /BAA	116 72	69,000 00	80,539 56	79,334 13	1,205 43	3,519 00 948.12	2 81%
TIME WARNER INC 4 3/4% MAR 29 2021 DTD 04/01/2011 887317-AK-1 BBB /BAA	114.74	75,000 00	86,058 00	74,987 25	11,070 75	3,562 50 910 35	2 74%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
HSBC HOLDINGS PLC 5 1% APR 05 2021 DTD 04/05/2011 404280-AK-5 A+ /AA3	117 59	75,000 00	88,188 75	74,837 25	13,351.50	3,825 00 913 72	2 71%
HEWLETT PACKARD CO SR NOTES 4 3/8% SEP 15 2021 DTD 09/19/2011 428236-BQ-5 BBB /BAA	98.32	75,000 00	73,743 00	74,828 00	(1,085.00)	3,281.25 966 07	4.61%
INTEL CORP 3 3% OCT 01 2021 DTD 09/19/2011 458140-AJ-9 A+ /A1	106 01	90,000 00	95,408 10	92,835 00	2,573 10	2,970 00 742 50	2 53%
FNMA 889197 4.5% 11/01/21 31410G-3E-1	107 91	422,523 87	455,945.51	444,838 41	11,107 10	19,013.57 316 89	1 62%
LOWE'S COMPANIES INC 3 8% NOV 15 2021 DTD 11/23/2011 548661-CV-7 A- /A3	110.35	45,000.00	49,659 30	45,447 75	4,211.55	1,710 00 218.47	2.49%
UNITEDHEALTH GROUP INC 3 3/8% NOV 15 2021 DTD 11/10/2011 91324P-BT-8 A /A3	106.19	25,000 00	26,547 50	26,161 00	386 50	843 75 107.80	2 59%
ERP OPERATING LP 4 5/8% DEC 15 2021 DTD 12/12/2011 26884A-AZ-6 BBB /BAA	112 29	2,000.00	2,245 74	1,992 38	253 36	92 50 4 11	3.05%
HSBC HOLDINGS PLC 4.875% JAN 14 2022 DTD 11/17/2011 404280-AL-3 A+ /AA3	116 30	10,000.00	11,629 50	10,785 80	843.70	487 50 226 14	2 82%

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
ABB FINANCE USA INC 2 875% MAY 08 2022 DTD 05/08/2012 00037B-AB-8 A /A2	102 69	115,000.00	118,091 20	119,033.05	(941.85)	3,306.25 486 68	2 55%
REPUBLIC SERVICES INC 3.55% JUN 01 2022 DTD 05/21/2012 760759-AP-5 BBB /BAA	104 87	37,000 00	38,801.53	36,897.51	1,904 02	1,313.50 109.44	2 95%
CME GROUP INC 3% SEP 15 2022 DTD 09/10/2012 12572Q-AE-5 AA- /AA3	101 60	30,000 00	30,480 60	29,907 30	573 30	900 00 277 50	2 81%
US TREAS 7.125% 02/15/23 912810-EP-9 NR /AAA	150.59	365,000 00	549,668.10	469,539.75	80,128.35	26,006 25 9,822 88	1.67%
PRINCIPAL FINANCIAL GROU 3 125% 05/15/2023 DTD 11/16/2012 74251V-AH-5 BBB /A3	99 25	2,000.00	1,985 06	1,997 16	(12 10)	62 50 7.81	3 21%
FHLMC REMIC SER 2877 CL AL 5% OCT 15 2024 DTD 10/01/2004 31395H-J4-3	108 76	251,169 93	273,164 88	271,577 48	1,587 40	12,558 49 1,046 37	1 20%
FHLMC SER 3204 CL NW 5% JAN 15 2025 DTD 08/01/2006 31397A-KP-7	112 74	350,000 00	394,597 00	383,125 00	11,472 00	17,500 00 1,458 10	1 53%
BOEING CO 7 1/4% JUN 15 2025 DTD 7/7/93 097023-AM-7 A /A2	138.09	100,000 00	138,093 00	133,444 00	4,649.00	7,250 00 322 20	3 46%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
FNMA 255836 6% 07/01/25 31371M-EH-6	110.38	131,504 51	145,152.05	147,942.57	(2,790.52)	7,890 27 657 52	2 81%
FHLMC REMIC SER 3027 CL DE 5% SEP 15 2025 DTD 09/01/2005 31396A-U6-9	109 56	400,625 57	438,925 37	430,922 88	8,002.49	20,031.27 1,669 00	1 54%
FHLMC GOLD C00447 8% 02/01/26 31292G-P8-3	102 53	1,500.48	1,538 38	1,502 32	36 06	120 03 10 00	3 20%
FHLMC GOLD D69002 8% 04/01/26 3128F9-AB-6	102.56	16,606.48	17,030 78	16,627 22	403 56	1,328.51 110 69	3.14%
FHLB SER 3854 CL EW 4% MAY 15 2026 DTD 05/01/2011 3137AA-5D-1	112 68	200,000.00	225,350 00	215,000 00	10,350 00	8,000 00 666 60	1 90%
FHLMC GOLD D75499 7.5% 10/01/26 3128FH-DC-3	116 23	38,257.32	44,468 01	37,886 68	6,581 33	2,869.29 239.10	2.31%
ARROW ELECTRONICS INC 7 1/2% JAN 15 2027 DTD 01/15/1997 042735-AK-6 BBB /BAA	122 60	75,000 00	91,952 25	80,355 00	11,597 25	5,625 00 2,593 72	5 21%
FHLMC REMIC 3476 CL B 5.5% 02/15/2027 DTD 07/01/2008 31397W-JT-3	102.80	485,599.53	499,181 75	529,303.49	(30,121.74)	26,707.97 2,225 50	1 35%
WAL-MART STORES INC 5 7/8% APR 05 2027 DTD 04/05/2007 931142-CH-4 AA /AA2	133 71	75,000 00	100,283 25	82,151 25	18,132 00	4,406 25 1,052.55	2 96%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
US Fixed Income							
FHLMC SER 1974 CL ZA 7% JUL 15 2027 DTD 07/01/1997 3133TA-N7-3 NR /NR	115.61	83,925.75	97,024.04	95,045 90	1,978.14	5,874 80 489 53	1.45%
FNMA 4% 10/25/29 3136A1-3U-7	108 82	524,750.58	571,038 83	554,595.76	16,443 07	20,990 02 1,748 99	1 64%
FREDDIE MAC SER 3653 CL B 4.5% APR 15 2030 DTD 04/01/2010 31398V-WE-2	113 57	400,000 00	454,260 00	435,500 00	18,760 00	18,000 00 1,500 00	1 64%
DEUTSCHE TELEKOM INTL FINANCE 8 1/4% JUN 15 2030 DTD 7/6/2000 25156P-AC-7 BBB /BAA	148.03	75,000.00	111,021.00	98,574 75	12,446 25	6,562 50 291 60	4 69%
DAIMLER CHRYSLER N A HOLDING CORP 8 1/2% JAN 18 2031 DTD 1/18/2001 233835-AQ-0 A- /A3	155 90	20,000.00	31,180 00	31,266.20	(86.20)	1,700 00 769 72	4 09%
US TREAS 5.375% 02/15/31 912810-FP-8 NR /AAA	142.36	250,000 00	355,897 50	281,351 79	74,545 71	13,437 50 5,075 50	2.46%
FHLMC SER 2338 CL ZC 6 5% JUL 15 2031 DTD 07/01/2001 313398-4L-0 NR /NR	114 58	148,130 20	169,721 65	165,535 49	4,186.16	9,628 46 802 27	1 99%
GNMA 550851 7% 09/15/31 36213C-5L-0	116 98	64,946 42	75,974 32	67,595 03	8,379.29	4,546 24 378.83	2.92%

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
FREDDIE MAC SER 2694 CL QH 4 5% MAR 15 2032 DTD 10/01/2003 31394L-LR-1	102 90	130,519 32	134,297 85	137,697.88	(3,400 03)	5,873.36 489 44	2 18%
GENERAL ELECTRIC CAPITAL CORP MEDIUM TERM NOTES 6 3/4% A MAR 15 2032 DTD 3/20/2002 36962G-XZ-2 AA+ /A1	129 79	125,000 00	162,237 50	137,823.75	24,413 75	8,437 50 2,484.37	4 43%
MARATHON OIL CORP NOTES 6 80% MAR 15 2032 DTD 3/04/2002 565849-AB-2 BBB /BAA	131 05	75,000 00	98,286 75	83,448 75	14,838 00	5,100 00 1,501 65	4 39%
FEDERAL HOME LN MTG CORP MULTICLASS MTG PARTN CTFS GTD SER 2750 CL 2750-NE 5.00% DUE 04/15/2032 31394R-ER-6	101 95	112,302.58	114,487 99	118,795 07	(4,307 08)	5,615.12 467 85	2 21%
GNMA SER 2002-79 CL KJ 5.5% MAY 20 2032 DTD 11/01/2002 38373Y-AA-2	104 19	174,314 33	181,625 07	187,605 79	(5,980 72)	9,587 28 798.88	1 81%
GOVERNMENT NATL MTG ASSN GTD REMIC PASS THRU SECS REMIC TR 2002-60 CL KZ 6 00% DUE 08/20/2032 38373V-HD-5	112.68	355,639 89	400,717.25	392,982 08	7,735 17	21,338.39 1,778.19	1 96%
GNMA SER 2004-53 CL GE 5% AUG 20 2032 DTD 07/01/2004 38374H-GS-3 NR /NR	102.97	82,049 00	84,485 86	87,074.49	(2,588.63)	4,102.45 341.81	2 58%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
FHLMC SER 2532 CL PH 5 5% DEC 15 2032 DTD 12/01/2002 31393F-PA-8 NR /NR	109 69	101,001.10	110,786 09	109,712 45	1,073 64	5,555 06 462 88	2 17%
FHLMC SER 2544 CL KE 5.5% DEC 15 2032 DTD 12/01/2002 31393F-PW-0 NR /NR	110.41	50,000 00	55,204.00	55,125.00	79 00	2,750 00 229 15	4 70%
FNMA SER 2003-11 CL BY 5.5% FEB 25 2033 DTD 01/01/2003 31392H-MM-2	112 73	121,000 00	136,398 46	135,235 63	1,162 83	6,655 00 554.54	2 27%
FHLMC SER 2590 CL OA 4% MAR 15 2033 DTD 03/01/2003 31393N-K5-7	105 34	295,098 38	310,868 44	303,029 14	7,839.30	11,803 93 983 56	1 85%
GNMA SR 2003-19 CL GT 5% MAR 16 2033 DTD 03/01/2003 38373S-YG-6 NR /NR	110 10	100,000 00	110,097 00	110,312 50	(215 50)	5,000 00 416 60	2 16%
GNMA 5% 03/20/33 38373S-ZB-6 NR /NR	108 68	401,780.17	436,650 67	426,138.10	10,512 57	20,089 00 1,673 81	3 06%
FNMA 555424 5.5% 05/01/33 31385X-AZ-0	109 62	789,882.86	865,885 38	850,543.39	15,341 99	43,443 55 723.53	3 01%
FNMA 4.25% 05/25/33 31393B-FF-7	107.88	356,639.15	384,745 88	366,446.72	18,299.16	15,157 16 1,262 85	2 24%
FNMA 5.5% 05/25/33 31393B-VP-7	106.25	79,772 20	84,757 16	85,655 41	(898 25)	4,387 47 365 59	3 83%

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
GNMA II SER 2003-75 CL ZA 5 5% SEP 20 2033 DTD 09/01/2003 38374C-BC-4	113 67	333,435.14	379,009 05	361,360.36	17,648.69	18,338 93 1,528 13	1.60%
P **FNMA 5.5% 10/01/33** 01F052-6A-5	109 44 11/8/12			0.00			
P **FNMA 6% 10/01/33** 01F060-6A-8	110 50 11/8/12			0 00			
FHLMC SER 2696 CL DG 5 5% OCT 15 2033 DTD 10/01/2003 31394K-R8-9	115 35	169,000.00	194,933 05	187,322.19	7,610.86	9,295 00 774 52	2 26%
GNMA II SER 2003-84 CL PC 5 5% OCT 20 2033 DTD 10/01/2003 38374C-YU-9	118 37	268,000 00	317,218 20	297,325 63	19,892 57	14,740 00 1,228 24	2 45%
FNMA 5% 04/25/34 31394A-WY-8 NR /NR	110 21	209,956 81	231,399 70	223,866 43	7,533 27	10,497 84 874.68	2 44%
GNMA 2004-37 CL PE 5.500% 05/20/2034 DTD 05/28/2004 38374G-SQ-6	120 83	140,000 00	169,159 20	165,637 50	3,521 70	7,700 00 641.62	2 83%
FHLMC REMIC SER 3026 CL GE 5.5% JUN 15 2034 DTD 08/01/2005 31395W-U8-8	104 11	380,497.70	396,128 55	414,266.88	(18,138 33)	20,927 37 1,743 82	1 91%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
LOS ANGELES CALIFORNIA UNIFIED SCH DIST BUILD AMERICA BONDS UNLIMITED TAX 6.758% JUL 01 2034 DTD 03/04/2010 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY 544646-ZR-6 AA- /AA2	132.31	90,000.00	119,079 90	90,000 00	29,079 90	6,082 20 3,057 93	4 42%
FNMA 806065 6% 12/01/34 31406C-QS-0	110 31	177,081 54	195,342 19	183,334 75	12,007 44	10,624 89 885 40	3 38%
FHLMC GOLD A31004 6% 01/01/35 31297L-DH-0	110 17	54,525 68	60,072 03	56,442 58	3,629 45	3,271 54 272.62	2 81%
FNMA 787555 6.5% 02/01/35 31405E-5Q-4	112.69	59,979 64	67,592 26	63,062 98	4,529 28	3,898 67 324 84	3 31%
FNMA 787563 6.5% 03/01/35 31405E-5Y-7	112 69	85,108 90	95,905 82	89,271 26	6,634 56	5,532 07 460 94	3 31%
FNMA 787564 7% 03/01/35 31405E-5Z-4	115 53	15,627.45	18,054 08	16,643 24	1,410 84	1,093 92 91 15	3 15%
FNMA 787565 7.5% 03/01/35 31405E-6A-8	114 82	5,713 51	6,560 25	6,172 37	387 88	428 51 35.70	3 12%
FHLMC REMIC 2949 CL PB 5 500% 03/15/2035 DTD 10/01/2005 31395R-2Z-0	120.44	200,000 00	240,878.00	240,000 00	878 00	11,000.00 916.60	2 84%
FREDDIE MAC SER 2980 CL QB 6.5% MAY 15 2035 DTD 5/1/2005 31395U-EH-0	115 44	75,519 28	87,179 45	77,454 46	9,724 99	4,908 75 409 01	1 98%
FNMA SER 2006-117 CL PD 5 5% JUL 25 2035 DTD 11/01/2006 31396L-C4-0	106 83	500,000.00	534,145 00	553,671.88	(19,526 88)	27,500.00 2,291 50	2 07%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
VERIZON GLOBAL FUNDING CORPORATION 5 85% SEP 15 2035 DTD 9/13/2005 92344G-AX-4 A- /A3	125 86	90,000.00	113,274.00	89,357.40	23,916.60	5,265 00 1,550 25	4.09%
FNMA 5.75% 10/25/35 31394F-N9-2	112.70	161,808 40	182,359 68	182,236 72	122 96	9,303 98 775 22	2 63%
FNMA SER 2006-9 CL DG 5.5% MAR 25 2036 DTD 02/01/2006 31395B-BS-1 AAA /AAA	116 90	70,000 00	81,828 60	79,400 00	2,428 60	3,850 00 320 81	2 49%
AT&T INC 6 80% MAY 15 2036 DTD 5/18/2006 00206R-AB-8 A- /A2	132.87	75,000.00	99,650.25	74,706.00	24,944.25	5,100.00 651 60	4 51%
FNMA 891572 6% 06/01/36 31410M-QZ-6	110 31	176,279 50	194,457.44	196,331.29	(1,873.85)	10,576 77 881.39	3 42%
FNMA 6.5% 10/25/36 31396L-LF-5 NR /NR	113 60	61,497 27	69,860 89	67,646 99	2,213 90	3,997 32 333 06	3 16%
GNMA 658181 5.5% 11/15/36 36294S-FW-2	109 96	193,528 39	212,799 95	210,189 97	2,609 98	10,644 06 886.94	3 39%
FHLMC GOLD G06203 5% 03/01/37 3128M8-GL-2	107.97	100,598 25	108,614 92	105,738.19	2,876.73	5,029.91 419 09	2 81%
FNMA 5.5% 03/25/37 31396P-W6-4	109.52	38,330.51	41,980.72	42,930.17	(949.45)	2,108.17 175 66	3 14%
FHLMC GOLD G02927 5.5% 04/01/37 3128M4-SQ-7	109.17	242,833 69	265,111 25	254,216 52	10,894 73	13,355 85 1,112 90	2.68%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
BURLINGTN NORTH SANTA FE 6.15% MAY 01 2037 DTD 04/13/2007 12189T-AZ-7 BBB /A3	128 81	55,000 00	70,847 70	58,141 05	12,706 65	3,382 50 563 75	4 24%
FHLMC POOL 1J1749 ARM 06/01/2037 DTD 06/01/2007 3128NH-5J-8	106.35	417,880 91	444,399 63	413,685 77	30,713 86	22,966.73 237.77	0 46%
FNMA 256749 6% 06/01/37 31371N-EW-1 NR /NR	109 36	349,097 58	381,769 63	354,252 23	27,517.40	20,945 85 1,745 48	3 66%
FLORIDA POWER CORP 6 35% SEP 15 2037 DTD 09/18/2007 341099-CH-0 A /A2	134 93	75,000 00	101,196 75	74,901 00	26,295 75	4,762.50 1,402 27	4 09%
CITIGROUP INC SR NOTES 6 7/8% MAR 05 2038 DTD 03/05/2008 172967-EP-2 A- /BAA	131 90	150,000 00	197,853 00	165,724 50	32,128 50	10,312 50 3,322 80	4 70%
ORACLE CORP 6 1/2% APR 15 2038 DTD 04/09/2008 68389X-AE-5 A+ /A1	137.75	75,000 00	103,314 75	74,871 00	28,443 75	4,875 00 1,029 15	4 09%
TIME WARNER CABLE INC 7.3% JUL 01 2038 DTD 06/19/2008 88732J-AN-8 BBB /BAA	133 65	100,000 00	133,654 00	101,525 00	32,129 00	7,300 00 3,670.20	4 96%
GNMA 2008-83 CL ND REMIC 5.50% 09/20/2038 DTD 09/29/2008 38375Y-ET-5	121 18	85,000 00	103,005 55	100,114 06	2,891 49	4,675 00 389.55	2 71%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
FHLMC GOLD G04792 6.5% 10/01/38 3128M6-UR-7	112.13	79,093 51	88,685.18	88,090 40	594.78	5,141 07 428 37	2 67%
SHELL INTERNATIONAL FINANCE 6 3/8% DEC 15 2038 DTD 12/11/2008 822582-AD-4 AA /AA1	142.47	75,000 00	106,850.25	74,601 75	32,248 50	4,781 25 212.47	3 79%
FNMA AA0835 5% 01/01/39 31416H-4V-4	108.65	137,760 88	149,682 71	140,430 00	9,252 71	6,888 04 573.91	2 90%
CONOCOPHILLIPS NOTES 6 1/2% FEB 01 2039 DTD 02/03/2009 20825C-AQ-7 A /A1	142.74	75,000.00	107,055.75	73,920.00	33,135 75	4,875 00 2,031 22	3 88%
SAN ANTONIO TEXAS ELECTRIC & GAS BUILD AMERICA BONDS 5.985% FEB 01 2039 DTD 06/12/2009 HELD BY DTC BOOK ENTRY ONLY 796253-T9-3 AA /AA1	129.07	95,000.00	122,613 65	95,000.00	27,613 65	5,685 75 2,369 01	4.15%
FHLMC SER 3508 CL PK 4% FEB 15 2039 DTD 02/01/2009 31397Y-WZ-0	106 53	49,726 16	52,970 79	50,378 82	2,591 97	1,989.04 165 73	1 97%
GNMA 701738 5% 03/15/39 36296U-S3-5	109 74	261,248 98	286,686 79	267,127 21	19,559 58	13,062 44 1,088 36	3 01%
FHLMC GOLD G08354 5% 07/01/39 3128MJ-MC-1	108 05	425,121 24	459,330 75	439,535.50	19,795 25	21,256 06 1,771 05	2 84%
FHLMC GOLD A90316 4.5% 12/01/39 312938-K5-1	107 82	405,694.52	437,411.72	404,204 87	33,206 85	18,256.25 1,521 35	2.77%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
PEPSICO INC NOTES 5 1/2% JAN 15 2040 DTD 01/14/2010 713448-BP-2 A- /AA3	128.17	90,000 00	115,353 00	89,034 30	26,318 70	4,950.00 2,282 49	3 82%
FHLMC SER 3631 CL PA 4% FEB 15 2040 DTD 02/01/2010 31398W-KY-9	107.76	259,295 87	279,404 26	267,074.75	12,329 51	10,371 83 864 23	1.55%
GNMA 737022 4.5% 02/15/40 3620AQ-YT-4	112 42	566,829 53	637,229 76	581,044 81	56,184 95	25,507 32 2,125 61	2.08%
GNMA II 5% MAY 01 2010 DTD 05/01/2010 POOL 4697 36202F-GE-0	109 57	330,996.72	362,666 49	347,843 93	14,822 56	16,549 83 1,378 93	3.06%
FNMA 253885 5% 06/25/40 31398R-M8-5	112 05	397,286.63	445,159 67	419,447.80	25,711.87	19,864 33 330 93	2 19%
KROGER CO/THE 5 4% JUL 15 2040 DTD 07/13/2010 501044-CN-9 BBB /BAA	110.75	65,000 00	71,986.20	64,427 35	7,558 85	3,510 00 1,618 50	4 70%
US TREAS 3.875% 08/15/40 912810-QK-7 NR /AAA	119 73	410,000 00	490,909 40	357,850 86	133,058 54	15,887 50 6,000.76	2 84%
CBS CORP 5.9% OCT 15 2040 DTD 10/8/2010 124857-AF-0 BBB /BAA	116 97	65,000 00	76,028 55	62,569 00	13,459 55	3,835 00 809.57	4 79%
FNMA AB1693 4% 11/01/40 31416W-3B-6	107.32	979,289 06	1,050,982.81	962,304 51	88,678 30	39,171.56 3,263 97	2 27%

US Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
FNMA AH5823 4.5% 02/01/41 3138A7-PH-5	112 33	541,083 31	607,804 29	549,199 56	58,604 73	24,348 74 2,029 06	1 69%
GOLDMAN SACHS GROUP INC SR NOTES 6 1/4% FEB 01 2041 DTD 01/28/2011 38141G-GM-0 A- /A3	121 52	60,000 00	72,913 80	59,773 80	13,140 00	3,750 00 1,562 46	4.84%
US TREAS 4.75% 02/15/41 912810-QN-1 NR /AAA	137 34	485,000 00	666,118 40	502,655 14	163,463 26	23,037 50 8,701.38	2 82%
FNMA AB3678 3.5% 10/01/41 31417A-CQ-0	109 02	269,319.15	293,598 27	273,737.67	19,860 60	9,426.17 785.33	1.44%
FNMA SER 2011-99 CL DB 5% OCT 25 2041 DTD 09/01/2011 3136A1-MA-0	114 54	230,000 00	263,430 50	262,200.00	1,230 50	11,500.00 958 18	2.71%
MORGAN STANLEY CAPITAL I SER 2006-HQ10 CL A4 5 328% NOV 12 2041 DTD 11/01/2006 61750H-AE-6 NA /AAA	114 95	300,000 00	344,838 00	321,035.16	23,802 84	15,984 00 1,332 00	1.02%
FHLMC GOLD U90234 3% 10/01/42 3132H3-HK-2	104 20	224,116 90	233,523 09	235,637 91	(2,114 82)	6,723 50 560.29	2 33%
WACHOVIA BANK COMMERCIAL MORTGAGE TRUST SER 2006-C25 CL A5 5 737982% MAY 15 2043 DTD 05/01/2006 92976V-AF-5 NA /AAA	115 60	65,000 00	75,142 60	70,398 05	4,744 55	3,849 40 320 77	0 93%
MORGAN STANLEY CAPITAL I SER 2006-IQ12 CL A4 5 332% DEC 15 2043 DTD 12/01/2006 61750W-AX-1 AAA /NA	115.03	215,000 00	247,305 90	227,639.65	19,666 25	11,463 80 955 24	1.14%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
US Fixed Income							
MORGAN STANLEY CAPITAL I SER 2006-HQ9 CL A4 5.731% JUL 12 2044 DTD 08/01/2006 61750C-AF-4 AAA /NA	115 17	255,000 00	293,688 60	276,844 34	16,844 26	14,614.05 / 1,217 62	0 86%
BANC OF AMERICA COMMERCIAL MORTGAGE INC 5 9303% SER 2006-2 CL A4 MAY 10 2045 DTD 6/1/2006 05950E-AE-8 AAA /NA	114 73	200,000.00	229,452 00	218,867.19	10,584.81	11,461 92 / 955 00	1 00%
WACHOVIA BANK COMMERCIAL MORTGAGE TR 5.97% SER 2006-C26 CL A3 JUN 15 2045 DTD 6/1/2006 92977R-AD-8 AAA /AAA	115 93	70,000 00	81,148 90	77,131 25	4,017 65	4,207.70 / 350 63	1 08%
WACHOVIA BANK COMMERCIAL MORTGAGE TRUST SER 2006-C29 CL A4 5.308% NOV 15 2048 DTD 12/01/2006 92978P-AE-9 AAA /AAA	115 04	270,000.00	310,613 40	287,381.25	23,232 15	14,331.60 / 1,194 21	1 21%
CITIGROUP COMMERCIAL MORTGAGE TRUST FLOATING RATE NOTE SER 2006-C4 CL A3 MAR 15 2049 DTD 6/1/2006 17309D-AD-5 NA /AAA	114 96	255,000 00	293,158 20	252,884 01	40,274 19	15,098 46 / 1,258 17	0 90%
Total US Fixed Income			**$39,926,737.45**	**$37,240,677.66**	**$2,686,059.79**	**$1,642,213.42 / $279,993.12**	**1.97%**

Portfolio Activity Detail

TRADE ACTIVITY

Note: S indicates Short Term Realized Gain/Loss

Trade Date / Est Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Pending Sales, Maturities, Redemptions							
9/18 10/14	Sale	FNMA 30 YR TBA 6% OCT 1 2033 (ID 01F060-6A-8)	(6,245,000.000)	101 968	6,367,948.44	(6,295,740 63)	72,207 81 S
9/18 10/14	Sale	FNMA 30 YR TBA 5 1/2% OCT 1 2033 (ID. 01F052-6A-5)	(2,675,000 000)	100 656	2,692,554 69	(2,693,599 61)	(1,044 92) S
Total Pending Sales, Maturities, Redemptions					**$9,060,503.13**	**($8,989,340.24)**	**$71,162.89 S**

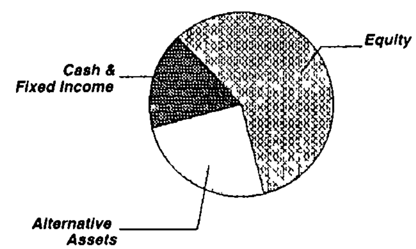
Trade Date / Est Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Pending Securities Purchased					
9/3 10/14	Purchase	FNMA 30 YR TBA 6% OCT 1 2033 (ID 01F060-6A-8)	6,245,000 000	1 01	(6,295,740 63)
9/8 10/14	Purchase	FNMA 30 YR TBA 5 1/2% OCT 1 2033 (ID: 01F052-6A-5)	2,675,000.000	1 01	(2,693,599 61)
Total Pending Securities Purchased					**($8,989,340.24)**

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	97,906,699.02	96,799,566.84	(1,107,132.18)	1,353,952.25	58%
Alternative Assets	39,691,226 08	39,980,451 25	289,225.17		25%
Cash & Fixed Income	26,698,717 76	28,957,877.61	2,259,159 85	795,976 84	17%
Market Value	**$164,296,642.86**	**$165,737,895.70**	**$1,441,252.84**	**$2,149,929.09**	**100%**

Asset Allocation

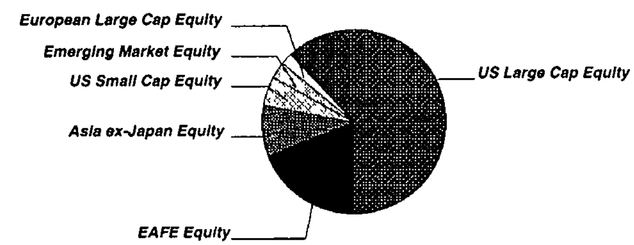


Cost Summary	Cost
Equity	84,803,753 83
Cash & Fixed Income	27,866,957 34
Total	**$112,670,711.17**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	61,579,108 57	60,109,420 79	(1,469,687 78)	36%
US Small Cap Equity	5,678,167.78	5,248,542.56	(429,625 22)	3%
EAFE Equity	17,826,211.56	18,283,903 12	457,691 56	11%
European Large Cap Equity	2,016,400.00	2,034,800.00	18,400.00	1%
Asia ex-Japan Equity	7,810,721 46	7,947,466 07	136,744 61	5%
Emerging Market Equity	2,996,089 65	3,175,434 30	179,344 65	2%
Concentrated & Other Equity	0 00	0 00	0 00	
Total Value	**$97,906,699.02**	**$96,799,566.84**	**($1,107,132.18)**	**58%**

Asset Categories



Equity as a percentage of your portfolio - 58 %

Market Value/Cost	Current Period Value
Market Value	96,799,566 84
Tax Cost	84,803,753 83
Unrealized Gain/Loss	11,995,813 01
Estimated Annual Income	1,353,952 25
Yield	1 39%

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div.	Yield
ACE LTD NEW H0023R-10-5 ACE	79.80	2,264.000	180,667.20	128,744.12	51,923.08	4,437.44	2.46%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	37 68	1,521 000	57,311.28	49,833.13	7,478 15		
ALLERGAN INC 018490-10-2 AGN	91.73	1,025 000	94,023 25	86,649 95	7,373 30	205 00	0 22%
AMAZON COM INC 023135-10-6 AMZN	250 87	842 000	211,232 54	84,781 22	126,451 32		
AMERICAN EXPRESS CO 025816-10-9 AXP	57.48	1,000 000	57,480.00	55,967 89	1,512 11	800 00	1 39%
ANADARKO PETROLEUM CORP 032511-10-7 APC	74 31	1,603.000	119,118 93	118,386.98	731.95	577 08	0 48%
APPLE INC. 037833-10-0 AAPL	532.17	1,244 000	662,023 21	86,107 94	575,915 27	13,186.40	1 99%
ASML HOLDING N.V. N07059-21-0 ASML	64 39	1,410 000	90,789 90	97,076 64	(6,286 74)	948 93	1 05%
AUTOZONE INC 053332-10-2 AZO	354 43	255 000	90,379 65	80,876 23	9,503 42		
BAIDU INC SPONS ADR 056752-10-8 BIDU	100 29	630 000	63,182 70	62,806 83	375 87		
BANK OF AMERICA CORP 060505-10-4 BAC	11.61	16,579.000	192,482.19	154,605.84	37,876 35	663 16	0 34%
BIOGEN IDEC INC 09062X-10-3 BIIB	146.37	1,139 000	166,715.43	66,970 05	99,745 38		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
BROADCOM CORP CL A 111320-10-7 BRCM	33.21	2,897.000	96,209 37	96,596 41	(387 04)	1,158 80	1 20%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	56 46	1,553.000	87,682 38	84,303.52	3,378 86		
CARDINAL HEALTH INC 14149Y-10-8 CAH	41 18	2,925.000	120,451 50	77,307.93	43,143 57	3,217.50	2 67%
CAREFUSION CORPORATION 14170T-10-1 CFN	28.58	3,268.000	93,399.44	86,097.91	7,301 53		
CBS CORP CLASS B W/I 124857-20-2 CBS	38 05	2,672 000	101,669.60	95,688.69	5,980.91	1,282 56	1 26%
CELGENE CORPORATION 151020-10-4 CELG	78.47	1,700 000	133,399 00	72,533.00	60,866 00		
CERNER CORP 156782-10-4 CERN	77 51	619 000	47,978.69	49,040.82	(1,062.13)		
CHENIERE ENERGY INC 16411R-20-8 LNG	18 78	3,476 000	65,279 28	56,146 80	9,132 48		
CISCO SYSTEMS INC 17275R-10-2 CSCO	19 65	10,350 000	203,367.15	120,277 72	83,089 43	5,796 00	2.85%
CITIGROUP INC NEW 172967-42-4 C	39 56	5,237 000	207,175.72	184,291 24	22,884.48	209 48	0 10%
CITRIX SYSTEMS INC 177376-10-0 CTXS	65.62	1,069 000	70,147.78	64,459.95	5,687 83		
CME GROUP INC CLASS A COMMON STOCK 12572Q-10-5 CME	50 67	2,577.000	130,576 59	137,733.55	(7,156.96)	4,638 60	3.55%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A 192446-10-2 CTSH	73 88	1,805.000	133,357.01	91,259 81	42,097.20		
COMCAST CORP CL A 20030N-10-1 CMCS A	37 36	5,625 000	210,150.00	124,042.44	86,107 56	3,656 25	1.74%
COSTCO WHOLESALE CORP 22160K-10-5 COST	98 73	579 000	57,164 67	52,740 53	4,424 14	636 90	1 11%
COVIDIEN PLC NEW G2554F-11-3 COV	57.74	2,137.000	123,390.38	85,946 10	37,444 28	2,222 48	1 80%
CSX CORP 126408-10-3 CSX	19 73	4,115.000	81,188 95	87,875.24	(6,686 29)	2,304 40	2 84%
CULLEN HIGH DIVIDEND EQUITY FUND 230001-40-6 CHDV X	13 89	455,998.142	6,333,814 19	6,294,315 20	39,498 99	152,759 37	2 41%
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	110.53	753 000	83,229 09	83,236 08	(6 99)		
DISH NETWORK CORP CL A 25470M-10-9 DISH	36 40	1,062 000	38,656 80	38,931.96	(275 16)		
DOW CHEMICAL CO 260543-10-3 DOW	32 33	2,301.000	74,389 03	70,140 23	4,248 80	2,945 28	3 96%
EMERSON ELECTRIC CO 291011-10-4 EMR	52 96	3,134 000	165,976 64	156,494 66	9,481 98	5,139 76	3 10%
ENSCO PLC G3157S-10-6 ESV	59 28	1,852.000	109,786 56	89,841 94	19,944.62	2,778 00	2.53%
EOG RESOURCES INC 26875P-10-1 EOG	120 79	1,016 000	122,722.64	83,131 94	39,590 70	690.88	0 56%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US Large Cap Equity							
EXXON MOBIL CORP 30231G-10-2 XOM	86 55	3,100 000	268,305 00	67,277.75	201,027 25	7,068 00	2 63%
FLUOR CORP 343412-10-2 FLR	58.74	2,356 000	138,391 44	120,410.49	17,980 95	1,507 84	1 09%
FMI FDS INC LARGE CAP FD 302933-20-5 FMIH X	17.10	500,426.776	8,557,297.87	7,200,000.01	1,357,297 86	98,083 64	1 15%
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	34.20	1,944 000	66,484.80	45,526.36	20,958 44	2,430 00	3.65%
GENERAL MILLS INC 370334-10-4 GIS	40 42	3,617 000	146,199.14	112,663 71	33,535 43	4,774 44	3 27%
GENERAL MOTORS CO 37045V-10-0 GM	28.83	5,977 000	172,316 91	176,515.74	(4,198.83)		
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	127 56	1,173 000	149,627.88	92,337.96	57,289 92	2,346 00	1 57%
GOOGLE INC CL A 38259P-50-8 GOOG	707 38	311 000	219,995 18	193,944 22	26,050 96		
GS CONT BUFF EQ SPX 02/13/13 80% CONTIN BARRIER- 7%CPN 20% CAP INITIAL LEVEL-01/27/12 SPX·1316.33 38143U-P2-1	109 77	1,000,000 000	1,097,670 00	990,000 00	107,670 00		
HOME DEPOT INC 437076-10-2 HD	61.85	2,579.000	159,511.15	104,001.12	55,510 03	2,991 64	1 88%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	63 47	2,818 000	178,858 46	122,710 74	56,147 72	4,621.52	2 58%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
HUMANA INC 444859-10-2 HUM	68 63	1,199 000	82,287 37	89,545 10	(7,257 73)	1,246 96	1 52%
INVESCO LTD G491BT-10-8 IVZ	26 09	4,733 000	123,483 97	96,885 01	26,598 96	3,265 77	2 64%
JOHNSON & JOHNSON 478160-10-4 JNJ	70 10	1,811.000	126,951.10	130,737.29	(3,786.19)	4,418.84	3 48%
JOHNSON CONTROLS INC 478366-10-7 JCI	30 67	4,655 000	142,768 85	20,579 60	122,189 25	3,537 80	2 48%
JPM EQUITY INCOME FD - SEL FUND 3128 4812C0-49-8 HLIE X	10.29	392,927.308	4,043,222.00	4,000,000 00	43,222 00	148,919.44	3 68%
JPM TR I GROWTH ADVANTAGE FD - SEL FUND 1567 4812A3-71-8 JGAS X	10 03	707,019.995	7,091,410 55	6,850,000 00	241,410 55	68,580 93	0 97%
JPM US LRGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	22 12	628,036.118	13,892,158 93	12,783,594.27	1,108,564 66	225,464.96	1 62%
JUNIPER NETWORKS INC 48203R-10-4 JNPR	19.67	3,429 000	67,448 43	55,045 86	12,402 57		
KINDER MORGAN INC 49456B-10-1 KMI	35 33	1,770.000	62,534 10	55,199 38	7,334 72	2,548 80	4 08%
LENNAR CORP 526057-10-4 LEN	38 67	2,725 000	105,375 75	40,893 33	64,482 42	436 00	0 41%
LINKEDIN CORP - A 53578A-10-8 LNKD	114 82	549 000	63,036 18	54,360 06	8,676 12		
LOWES COMPANIES INC 548661-10-7 LOW	35.52	5,084.000	180,583 68	106,288 35	74,295 33	3,253 76	1 80%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
MANNING & NAPIER FUND INC EQUITY SERIES 563821-60-2 EXEY X	17.14	384,583.218	6,591,756.36	6,099,999.99	491,756 37	13,460 41	0 20%
MASCO CORP 574599-10-6 MAS	16.66	6,240 000	103,958.40	85,573 75	18,384 65	1,872 00	1.80%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	491.28	283.000	139,032 24	82,124 51	56,907 73	339 60	0 24%
MERCK AND CO INC 58933Y-10-5 MRK	40 94	5,905 000	241,750 70	175,976 80	65,773 90	10,156 60	4 20%
METLIFE INC 59156R-10-8 MET	32 94	5,025.000	165,523.50	153,079 03	12,444 47	3,718 50	2 25%
MICROSOFT CORP 594918-10-4 MSFT	26.71	14,761 000	394,266 31	322,224 36	72,041 95	13,580 12	3 44%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	25 45	5,200.000	132,355.60	121,861.11	10,494 49	2,704 00	2 04%
NETAPP INC 64110D-10-4 NTAP	33.55	2,433 000	81,627 15	90,535 65	(8,908.50)		
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	76.61	2,833.000	217,036.13	154,166.55	62,869 58	6,119 28	2 82%
ORACLE CORP 68389X-10-5 ORCL	33 32	7,646 000	254,764 72	174,155 91	80,608.81	1,835.04	0 72%
PACCAR INC 693718-10-8 PCAR	45 21	3,601.000	162,801 21	106,424.72	56,376 49	2,880 80	1 77%
PENTAIR LTD SHS H6169Q-10-8 PNR	49 15	665 000	32,684 75	19,672 11	13,012 64	585 20	1 79%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
PEPSICO INC 713448-10-8 PEP	68.43	1,536 000	105,108 48	111,006 70	(5,898.22)	3,302 40	3 14%
PFIZER INC 717081-10-3 PFE	25 08	11,679.000	292,897 64	164,931 87	127,965.77	11,211 84	3 83%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	83 64	1,774 000	148,377 36	147,139 46	1,237.90	6,031.60	4 07%
PHILLIPS 66 718546-10-4 PSX	53 10	2,146 000	113,952 60	104,446 22	9,506 38	2,146 00	1 88%
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	106.59	740 000	78,876 60	64,324 49	14,552 11	59 20	0 08%
PROCTER & GAMBLE CO 742718-10-9 PG	67 89	3,120 000	211,816 80	63,312 30	148,504 50	7,013 76	3 31%
PRUDENTIAL FINANCIAL INC 744320-10-2 PRU	53 33	2,392.000	127,565 36	72,566 44	54,998.92	3,827 20	3 00%
QUALCOMM INC 747525-10-3 QCOM	61 86	4,106 000	253,997 16	134,462 97	119,534 19	4,106.00	1.62%
SCHLUMBERGER LTD 806857-10-8 SLB	69.30	3,205 000	222,103 30	263,288 42	(41,185.12)	3,525 50	1 59%
TARGET CORP 87612E-10-6 TGT	59 17	1,926.000	113,961 42	123,476 95	(9,515.53)	2,773 44	2.43%
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	16 81	2,800 000	47,068.00	45,102 48	1,965 52	1,008 00	2.14%
TIME WARNER INC NEW 887317-30-3 TWX	47 83	7,540 000	360,638 20	167,736.10	192,902 10	7,841 60	2 17%
TIME WARNER CABLE INC 88732J-20-7 TWC	97 19	681.000	66,186 39	57,588 77	8,597.62	1,525 44	2 30%

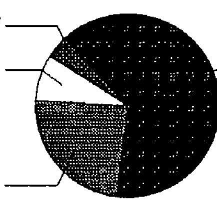
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
TYCO INTERNATIONAL LTD H89128-10-4 TYC	29 25	2,773 000	81,110.25	55,000.04	26,110 21	1,663 80	2 05%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	54 24	2,550.000	138,312 00	111,058 11	27,253 89	2,167 50	1 57%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	82 01	3,643.000	298,762 43	148,929 81	149,832 62	7,796 02	2 61%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	43 27	3,807 000	164,728 89	95,852 34	68,876 55	7,842 42	4 76%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	41 90	1,310.000	54,889 00	36,310 40	18,578 60		
WALTER ENERGY INC 93317Q-10-5 WLT	35 88	1,760 000	63,148.80	97,172.86	(34,024 06)	880 00	1 39%
WELLS FARGO & CO 949746-10-1 WFC	34.18	11,369 000	388,592 42	223,412.80	165,179.62	10,004 72	2 57%
WILLIAMS COMPANIES INC 969457-10-0 WMB	32 74	2,361 000	77,299 14	59,389 07	17,910 07	3,069 30	3 97%
YUM BRANDS INC 988498-10-1 YUM	66 40	2,560.000	169,984 00	87,295 24	82,688 76	3,430 40	2 02%
Total US Large Cap Equity			**$60,109,420.79**	**$52,983,355.17**	**$7,126,065.62**	**$944,228.30**	**1.57%**
US Small Cap Equity							
JPM SMALL CAP EQUITY FD - SEL FUND 367 4812A1-37-3 VSEI X	38 36	136,823 320	5,248,542 56	4,400,000 00	848,542 56	72,790 00	1 39%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
ARTISAN INTL VALUE FUND - INV 04314H-88-1 ARTK X	30 38	294,057 443	8,933,465.12	7,186,388.62	1,747,076 50		
DODGE & COX INTERNATIONAL STOCK 256206-10-3 DODF X	34.64	269,931.813	9,350,438.00	9,362,919 90	(12,481 90)	201,639 06	2 16%
Total EAFE Equity			**$18,283,903.12**	**$16,549,308.52**	**$1,734,594.60**	**$201,639.06**	**1.10%**
European Large Cap Equity							
BARC MARKET PLUS SX5E 03/26/14 80% CONTIN BARRIER- 7 45%CPN ,UNCAPPED INITIAL LEVEL-09/21/12 SX5E.2577.08 06741T-GU-5	101 74	2,000,000 000	2,034,800 00	1,975,000 00	59,800 00		
Asia ex-Japan Equity							
MATTHEWS PACIFIC TIGER INSTL FUND 577130-83-4 MIPT X	24 41	325,582 387	7,947,466 07	5,857,676 84	2,089,789 23	65,767 64	0 83%
Emerging Market Equity							
VANGUARD MSCI EMERGING MARKETS ETF 922042-85-8 VWO	44 53	71,310 000	3,175,434 30	3,038,413 30	137,021.00	69,527.25	2.19%

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	25,806,858.57	25,991,106 29	184,247.72	16%
Private Investments	8,832,259.67	9,571,251 13	738,991 46	6%
Real Estate & Infrastructure	3,204,882 84	3,090,833.83	(114,049 01)	2%
Hard Assets	1,847,225.00	1,327,260 00	(519,965.00)	1%
Total Value	**$39,691,226.08**	**$39,980,451.25**	**$289,225.17**	**25%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 25 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
ATLAS GLOBAL INVESTMENTS, LTD. CLASS D - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 149913-92-3	1,656.23 11/30/12	2,580.352	4,273,661.06	3,500,000 00

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
BLACKSTONE PARTNERS OFFSHORE FUND LTD CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,206 87 11/30/12	5,028 630	6,068,902 69	5,000,000 00
BLUEBAY EMERGING MARKET ENHANCED OPPORTUNITY FUND LTD VOTING CLASS A $US - NON SELF-DEALING FIDUCIARY INVESTORS - EQUALIZATION N/O Client D96000-93-6	1.00 11/30/12	1,626.380	1,626 38	
BLUEBAY EMERGING MARKET ENHANCED OPPORTUNITY FUND LTD. VOTING CLASS A $US - NON SELF-DEALING FIDUCIARY INVESTORS N/O Client HFBBMA-AF-3	106 18 11/30/12	19,880.960	2,110,960.33	2,000,000 00
CENTAURUS GLOBAL CATALYST FUND, LTD. CLASS A - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS (INITIAL SERIES) N/O Client 151336-92-2	1,002.17 11/30/12	1,968.122	1,972,389 68	2,000,000 00
CHILTON GLOBAL NATURAL RESOURCES PARTNERS LTD - 5% HOLDBACK N/O Client 164492-92-8	1 00 11/30/12	161,200 430	161,200 43	161,200 43

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
GOLDENTREE OFFSHORE LTD. (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 4-1 SIDE POCKET N/O Client G82982-94-6	516.86 11/30/12	109.976	56,842.72	85,753 06
GOLDENTREE OFFSHORE LTD. (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 6-1 SIDE POCKET N/O Client G82982-95-3	556 05 11/30/12	107.497	59,774.10	73,166 82
GOLDENTREE OFFSHORE LTD. (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 7-1 SIDE POCKET N/O Client G82982-99-5	1,529.37 11/30/12	33 760	51,631 43	23,029 76
GOLDENTREE OFFSHORE LTD (NON-SELF DEALING FIDUCIARY INVESTORS) CLASS G-R SERIES 64 N/O Client 387995-91-3	1,584 62 11/30/12	3,273 646	5,187,468.63	3,291,776 08
GOLDENTREE OFFSHORE LTD. (NON-SELF DEALING FIDUCIARY INVESTORS - NEW ISSUE INELIGIBLE) SP 3-1 SIDE POCKET N/O Client 387997-96-8	1,087 40 11/30/12	32 084	34,888 17	26,275 28

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
HALCYON PARTNERS OFFSHORE FUND LTD. NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 383900-96-6	1,701.99 11/30/12	1,286.994	2,190,453 61	2,000,000 00
MAVERICK FUND LTD CLASS C MONTHLY NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS SERIES 1 N/O Client 577708-92-8	1,085 69 11/30/12	1,831.849	1,988,820 14	2,000,000 00
PERELLA WEINBERG PARTNERS XERION OFFSHORE LTD. CLASS B1X - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS 03-10 N/O Client 713666-93-1	938 45 11/30/12	1,952.674	1,832,486 92	2,000,000 00
Total Hedge Funds			**$25,991,106.29**	**$22,161,201.43**

Fund Strategy/Vintage	Capital Commitment Unfunded Commitment	(Net Capital Called Since Inception) Net Distribution Since Inception	(Net Capital Called)/ Distributed Since Inception	Estimated Capital Account Balance as of date	Net Contribution/ (Distribution) Since Last Capital Account Balance Date	Estimated Value Estimated Profit/ (Loss)
Private Investments						
APOLLO EPF II PRIVATE INVESTORS,L.P. (OFFSHORE) CLASS A - NON-SELF DEALING FIDUCIARY N/O Client 139954-92-9 Mezzanine Debt/2012	2,000,000.00 1,835,073 22	(164,926 78)	(164,926 78)	186,186 00 09/30/12	4,150 00	190,336 00 25,409 22
BCRED LTD CAPITAL CALLED PENDING FINAL NAV - CLASS A 50% ROLLOVER NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 05537F-91-7 Direct Real Estate/2010	284,924 00	(284,924 00)	(284,924 00)	284,924 00 09/30/12		284,924 00
BLACKSTONE GSO PRIVATE INVESTORS, L.P. (OFFSHORE) - NSD FIDUCIARY INVESTORS N/O Client 092599-96-8 Mezzanine Debt/2010	2,000,000.00 426,882 15	(1,573,117 85) 177,114 70	(1,396,003 15)	1,541,660 00 09/30/12	86,936 00	1,628,596 00 232,592 85
BLACKSTONE COMMERCIAL REAL ESTATE DEBT OFFSHORE LTD BCRED - NON-SELF DEALING FIDUCIARY INVESTORS - CLASS A - 50% ROLLOVER N/O Client 092916-98-0 Direct Real Estate/2010	1,836,128 86 (4,586 14)	(1,840,715 00) 125,830 00	(1,714,885 00)	1,944,789 13 09/30/12		1,944,789.13 229,904 13

Fund Strategy/Vintage	Capital Commitment Unfunded Commitment	(Net Capital Called Since Inception) Net Distribution Since Inception	(Net Capital Called)/ Distributed Since Inception	Estimated Capital Account Balance as of date	Net Contribution/ (Distribution) Since Last Capital Account Balance Date	Estimated Value Estimated Profit/ (Loss)
Private Investments						
KKR NORTH AMERICA XI PRIVATE INVESTORS OFFSHORE CLASS A NON SELF DEALING FIDUCIARY N/O Client 482924-92-5 LBO/2012	2,000,000.00 1,815,852 20	(184,147 80)	(184,147.80)	 06/30/12	184,148 00	184,148 00 0 20
PROVIDENCE TMT DEBT OPPORTUNITY FEEDER II (NON-US) L.P OFFSHORE CLASS A NON-SELF DEALING FIDUCIARY N/O Client 743837-92-4 Mezzanine Debt/2011	2,000,000 00 219,712.42	(1,780,287 58) 96,100 00	(1,684,187.58)	1,829,952 00 09/30/12	107,006 00	1,936,958 00 252,770 42
PROVIDENCE VII PRIVATE INVESTORS, LP (OFFSHORE) CLASS A NSD FIDUCIARY N/O Client 743839-96-1 Diversified Strategies (LBO/VC)/2012	2,000,000.00 1,880,000 21	(119,999.79)	(119,999 79)	133,284.00 09/30/12		133,284 00 13,284 21
QUANTUM OFFSHORE V, LP NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 74764S-92-7 Diversified Strategies (LBO/VC)/2009	2,000,000.00 1,336,092 62	(663,907.38)	(663,907 38)	192,978.00 06/30/12	345,246 00	538,224 00 (125,683 38)
RIVERSTONE GLOBAL ENERGY AND POWER PRIVATE INVESTORS V, LP (OFFSHORE) CLASS A - NON-SELF DEALING FIDUCIARY N/O Client 425955-91-1 Diversified Strategies (LBO/VC)/2012	2,000,000 00 1,464,267.34	(535,732 66)	(535,732 66)	462,948 00 09/30/12	72,914 00	535,862 00 129 34

Fund / Strategy/Vintage	Capital Commitment / Unfunded Commitment	(Net Capital Called Since Inception) / Net Distribution Since Inception	(Net Capital Called)/ Distributed Since Inception	Estimated Capital Account Balance / as of date	Net Contribution/ (Distribution) Since Last Capital Account Balance Date	Estimated Value / Estimated Profit/ (Loss)

Private Investments

STARWOOD SOF IX PRIVATE INVESTORS	1,000,000.00					
OFFSHORE L P CLASS A - NON-SELF DEALING FIDUCIARY N/O Client 811711-96-9 Direct Real Estate/2012	1,000,000 00			06/30/12		

Fund Strategy/Vintage	Capital Commitment Unfunded Commitment	(Net Capital Called Since Inception) Net Distribution Since Inception	(Net Capital Called)/ Distributed Since Inception	Estimated Capital Account Balance as of date	Net Contribution/ (Distribution) Since Last Capital Account Balance Date	Estimated Value Estimated Profit/ (Loss)
Private Investments						
STARWOOD SOF VIII PRIVATE INVESTORS TE LLC (NON SELF DEALING FIDUCIARY INVESTORS) N/O Client 855711-97-4 Direct Real Estate/2010	2,000,000.00 296,690 55	(1,703,309 45)	(1,703,309 45)	2,135,930 00 09/30/12	58,200 00	2,194,130 00 490,820 55
Total Private Investments						**$9,571,251.13**

"Unfunded Commitment" is only available for Private Equity Funds denominated in USD "Estimated Profit/(Loss)" is the comparison of your cash adjusted "Estimated Value" to your "(Net Capital Called)/Distributed Since Inception". This is an estimated value and is not intended to be used for the purposes of estimated taxable income nor as a substitute for Schedule K-1.
"Vintage Year" refers to the first year in which the private equity fund called capital
Amounts shown above under "Estimated Capital Account Balance" for private equity funds are estimates of your value in the fund and are based on the latest fund-level values provided by the relevant funds as of the date noted below the value, which values may be as of a date (underlying fund value date) prior to the period covered by this statement. Therefore, such "Estimated Capital Account Balance" may not reflect the value of your interest for a specific private equity investment for the similar period. For additional information, please contact your J P Morgan representative

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which values may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J.P. Morgan representative.
For private equity funds, amounts shown under "Estimated Value" are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market "Estimated Value" shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est. Annual Inc / Accrued Div	Yield
Real Estate & Infrastructure						
BLACKSTONE REAL ESTATE CMBS OFFSHORE FUND LTD NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 01290C-94-4	1,691 24	1,750,000 00		2,635,858 79 11/30/12		
BLACKSTONE REAL ESTATE CMBS LTD COMMITMENT TO BCRED - CLASS A 50% ROLLOVER NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 09290F-94-0	411,547.33	316,092 98		454,975.04 11/30/12		
Total Real Estate & Infrastructure		**$2,066,092.98**	**$0.00**	**$3,090,833.83**	**$0.00**	

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement. The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J P Morgan representative.

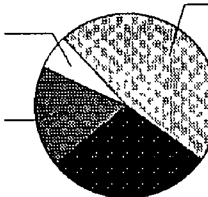
For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

	Price	Quantity	Estimated Value	Cost
Hard Assets				
BARC BREN GOLD NOTE 6/27/14 LNKD TO GOLDLNPM 90%BARRIER,1.22XLEV,10%CAP 12.2%MAXRTN 12/21/12, INITIAL STRIKE: 1651.50 06741T-LW-5	99 00	500,000.000	495,000 00	493,750 00
SG BRENT CBEN 11/01/13 LNKD TO CO1 75% BARRIER, 11.40% CPN, 11.40% CAP 10/19/12, INITIAL STRIKE. 110 14 78423E-EL-4	100 88	825,000 000	832,260 00	816,750 00
Total Hard Assets			**$1,327,260.00**	**$1,310,500.00**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	5,641,271 66	7,863,230 26	2,221,958 60	5%
US Fixed Income	13,294,930 11	13,292,677 63	(2,252.48)	8%
Foreign Exchange & Non-USD Fixed Income	5,322,975 52	5,361,030.25	38,054 73	3%
Other Fixed Income	2,439,540 47	2,440,939 47	1,399.00	1%
Total Value	**$26,698,717.76**	**$28,957,877.61**	**$2,259,159.85**	**17%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 17 %

Market Value/Cost	Current Period Value
Market Value	28,957,877.61
Tax Cost	27,866,957 34
Unrealized Gain/Loss	649,980 80
Estimated Annual Income	795,976.84
Yield	2 74%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	28,957,877 61	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	7,863,230 26	27%
International Bonds	7,384,382.39	25%
Mutual Funds	11,269,325 49	40%
Other	2,440,939 47	8%
Total Value	**$28,957,877.61**	**100%**

Note. [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR	1 00	7,863,230.26	7,863,230.26	7,863,230 26		2,358 96	0.03% [1]
US Fixed Income							
HARBOR HIGH YIELD BOND FUND - INS 411511-55-3	11.11	279,329.61	3,103,351 96	3,000,000 00	103,351 96	181,843 57	5.86%
JPM STR INC OPP FD FUND 3844 4812A4-35-1	11 83	341,695 02	4,042,252 03	4,000,000 00	42,252 03	162,988 52	4 03%
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8 14	506,481.27	4,122,757.53	4,003,727 08	119,030 45	291,226.72	7 06%
JPMORGAN TR I FLOAT RATE INC 4.24% 48121L-51-0	9.99	202,634 25	2,024,316.11	2,000,000.00	24,316 11	99,290.78	4 90%
Total US Fixed Income			**$13,292,677.63**	**$13,003,727.08**	**$288,950.55**	**$735,349.59**	**5.53%**
Foreign Exchange & Non-USD Fixed Income							
JPM INTL CURRENCY INCOME FD 4812A3-29-6	11 27	179,139 30	2,018,899.89	2,000,000 00	18,899 89	11,644.05	0 58%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Foreign Exchange & Non-USD Fixed Income							
DREYFUS LAUREL EMG MKT DEBT LOC C-1 261980-49-4	15.34	217,870 30	3,342,130.36	3,000,000 00	342,130.36	46,624 24	1 40%
Total Foreign Exchange & Non-USD Fixed Income			**$5,361,030.25**	**$5,000,000.00**	**$361,030.25**	**$58,268.29**	**1.09%**
Other Fixed Income							
GOLDENTREE CLO DEBT INVESTMENT OFFSHORE FUND, LTD. - NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client HFGTRA-ET-0	1,202 61 11/30/12	2,029.70	2,440,939 47	2,000,000.00			

Other Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Other	0.00	0 00	0 00	

Note: ** Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position. Please contact your J P Morgan team for additional information.

Other Detail

	Price	Quantity	Value	Adjusted Cost / Original Cost	Unrealized Gain/Loss	Accruals
Other						
JPMCB SPECIAL EQUITIES FUND INTEREST IN FUTURE CLASS ACTIONS Bearer 15199T-H5-7		10,794 000		N/A **	N/A	

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Cash & Fixed Income	41,205,180.63	41,008,058 20	(197,122 43)	1,664,399 49	100%
Market Value	**$41,205,180.63**	**$41,008,058.20**	**($197,122.43)**	**$1,664,399.49**	**100%**

INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Accruals	255,865 58	285,577 36	29,711 78
Market Value	**$255,865.58**	**$285,577.36**	**$29,711.78**

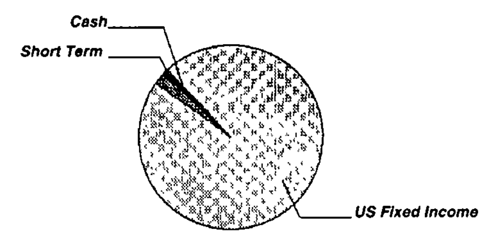
Cost Summary	Cost
Cash & Fixed Income	38,317,550 86
Total	**$38,317,550.86**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	245,218 44	284,822 15	39,603 71	1%
Short Term	797,444 75	796,498.60	(946 15)	2%
US Fixed Income	40,162,517 44	39,926,737 45	(235,779 99)	97%
Total Value	**$41,205,180.63**	**$41,008,058.20**	**($197,122.43)**	**100%**

Market Value/Cost	Current Period Value
Market Value	41,008,058.20
Tax Cost	38,317,550 86
Unrealized Gain/Loss	2,690,507 34
Estimated Annual Income	1,664,399 49
Accrued Interest	285,577 36
Yield	1 92%

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 100%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	422,752.70	1%
6-12 months[1]	560,466.30	1%
1-5 years[1]	6,751,646 08	13%
5-10 years[1]	9,241,066 18	18%
10+ years[1]	24,032,126 94	67%
Total Value	**$41,008,058.20**	**100%**

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	284,822 15	1%
Corporate Bonds	8,390,325 92	16%
Govt and Agency Bonds	9,713,208 50	19%
International Bonds	1,369,667 56	2%
Mortgage and Asset Backed Bonds	21,250,034.07	62%
Total Value	**$41,008,058.20**	**100%**

Note. **P** indicates position adjusted for Pending Trade Activity.
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR	1.00	212,140.32	212,140 32	212,140.32		63 64	0 03 %[1]
COST OF PENDING PURCHASES	1.00	(8,989,340 24)	(8,989,340 24)	(8,989,340.24)			
PROCEEDS FROM PENDING SALES	1.00	9,060,503 13	9,060,503 13	9,060,503.13			

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
Cash							
JPM PRIME MM FD - INSTL FUND 829 7-Day Annualized Yield 09%	1.00	1,518 94	1,518 94	1,518 94		1 51	0.10%
Total Cash			**$284,822.15**	**$284,822.15**	**$0.00**	**$65.15**	**0.02%**
Short Term							
TRANSOCEAN INC 5 1/4% MAR 15 2013 DTD 12/11/2007 893830-AR-0 BBB /BAA	100 92	35,000 00	35,323 05	37,243 85	(1,920 80)	1,837 50 541 03	0 80%
US TREAS 1% 07/15/13 912828-NN-6 NR /AAA	100 46	480,000.00	482,212 80	481,781.25	431.55	4,800 00 2,217 12	0 15%
AMER EXPRESS CREDIT CO NOTES 7 3% AUG 20 2013 DTD 08/20/2008 0258M0-CY-3 A- /A2	104 34	75,000.00	78,253 50	82,617 75	(4,364 25)	5,475.00 1,992 22	0.46%
BEAR STEARNS COMMERCIAL MORTGAGE SER 2006-PW12 CL A4 5.717943% SEP 11 2038 DTD 6/1/2006 07387J-AE-6 AAA /AAA	114 69	175,000 00	200,709 25	190,408 20	10,301 05	10,008 42 833 87	0 95%
Total Short Term			**$796,498.60**	**$792,051.05**	**$4,447.55**	**$22,120.92** **$5,584.24**	**0.41%**