* AMENDED *

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation: ROYCE FAMILY FOUNDATION, INC.

A Employer identification number: 13-6124271

Number and street (or P O box number if mail is not delivered to street address): C/O MR. R. RICHARD ROYCE 10 BARCLAY STREET — Room/suite: APT 38A

B Telephone number (see the instructions): (516) 282-7070

City or town: NEW YORK — State: NY — ZIP code: 10007

C If exemption application is pending, check here ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 1,005,102.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	8,630.	8,630.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	143,780.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 3,057,748.				
	7 Capital gain net income (from Part IV, line 2)		143,780.		
	8 Net short-term capital gain			143,018.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	152,410.	152,410.	143,018.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	11,601.			11,601.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt	3,750.			3,750.
	c Other prof fees (attach sch) L-16c Stmt	20,398.	20,398.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) FOREIGN TAXES	246.	246.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) NYS DEPT OF LAW	100.			100.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	36,095.	20,644.		15,451.
	25 Contributions, gifts, grants paid	36,045.			36,045.
	26 **Total expenses and disbursements.** Add lines 24 and 25	72,140.	20,644.		51,496.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	80,270.			
	b **Net investment income** (if negative, enter -0-)		131,766.		
	c **Adjusted net income** (if negative, enter -0-)			143,018.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	0.	222,544.	222,544.
	2	Savings and temporary cash investments	36,116.	0.	0.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)	924,349.	785,908.	782,558.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	960,465.	1,008,452.	1,005,102.
LIABILITIES	17	Accounts payable and accrued expenses	12.	12.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)	32,283.	0.	
	23	**Total liabilities** (add lines 17 through 22)	32,295.	12.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	94,974.	94,974.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	833,196.	913,466.	
	30	**Total net assets or fund balances** (see instructions)	928,170.	1,008,440.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	960,465.	1,008,452.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	928,170.
2	Enter amount from Part I, line 27a	2	80,270.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,008,440.
5	Decreases not included in line 2 (itemize) ► ROUNDING	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,008,440.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SECURITIES CAPITAL GAIN AND LOSS SEE SCHEDULE	P	01/01/11	12/31/12
b	CAPITAL GAIN DIVIDEND	P	01/01/11	12/31/12
c	SECURITIES CAPITAL GAIN AND LOSS SEE SCHEDULE	P	01/01/12	12/31/12
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	62,943.		62,270.	673.
b	89.		0.	89.
c	2,994,716.		2,851,698.	143,018.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				673.
b				89.
c				143,018.
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	143,780.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	143,018.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	53,532.	891,066.	0.060076
2010	34,350.	850,116.	0.040406
2009	37,350.	735,078.	0.050811
2008	32,300.	910,748.	0.035465
2007	65,003.	1,098,968.	0.059149

2	**Total** of line 1, column (d)	2	0.245907
3	Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049181
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,031,302.
5	Multiply line 4 by line 3	5	50,720.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,318.
7	Add lines 5 and 6	7	52,038.
8	Enter qualifying distributions from Part XII, line 4	8	51,496.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1			
	Date of ruling or determination letter: _______ **(attach copy of letter if necessary – see instrs)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b		1	2,635.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	2,635.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	2,635.
6	Credits/Payments			
a	2012 estimated tax pmts and 2011 overpayment credited to 2012	6a 1,122.		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,122.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	1,513.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	0.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ► 0. **Refunded** ►		11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	If the answer is 'Yes' to ***1a*** *or* ***1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY - New York			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► FOUNDATION Telephone no ► (516) 282-7070

Located at ► 10 BARCLAY STREET NY NY ZIP + 4 ► 10007

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions.)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes [X] No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes [X] No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes [X] No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	[ ] Yes [X] No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes [X] No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		**5 b**	
Organizations relying on a current notice regarding disaster assistance check here ► [ ]			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	[ ] Yes [ ] No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes [X] No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6 b**	X
If 'Yes' to 6b, file Form 8870.			
7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes [X] No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		**7 b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
R. RICHARD ROYCE 10 BARCLAY STREET, APT. 38A NY NY 10007	PRES. 2.00	0.	0.	0.
JOSEPH P. KRAMER C/O BOYCE ETAL 30 JERICHO EXEC PLZ STE 200W JERICHO NY 11753	TREAS 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	959,457.
b	Average of monthly cash balances	1b	87,550.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,047,007.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,047,007.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	15,705.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,031,302.
6	**Minimum investment return.** Enter 5% of line 5	6	51,565.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	51,565.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2,635.		
b	Income tax for 2012. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,635.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	48,930.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	48,930.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	48,930.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	51,496.
b	Program-related investments – total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	51,496.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	51,496.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				48,930.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2012				
a From 2007 — 0.				
b From 2008 — 0.				
c From 2009 — 0.				
d From 2010 — 0.				
e From 2011 — 8,861.				
f **Total** of lines 3a through e	8,861.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 51,496.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2012 distributable amount				48,930.
e Remaining amount distributed out of corpus	2,566.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	11,427.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	11,427.			
10 Analysis of line 9				
a Excess from 2008 — 0.				
b Excess from 2009 — 0.				
c Excess from 2010 — 0.				
d Excess from 2011 — 8,861.				
e Excess from 2012 — 2,566.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
SEE SCHEDULE - - NY 11753		-	-	36,045.
Total			► 3a	36,045.
***b** Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	8,630.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	143,780.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				152,410.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 152,410.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
–	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 6/14/13 — Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Robert J. Coburn, CPA	Robert J. Coburn, CPA	6/4/13		P00132796

Firm's name ► BOYCE, HUGHES & ASSOCIATES, LLP — Firm's EIN ► 13-4966910

Firm's address ► 30 JERICHO EXECUTIVE PLAZA - STE 200W, JERICHO NY 11753-1028 — Phone no (516) 282-7070

TEEA0503 03/14/13

Form 990-PF Part I, Line 6a	Net Gain or Loss From Sale of Assets	2012

Name:	Employer Identification Number
ROYCE FAMILY FOUNDATION, INC.	13-6124271

Asset Information:

Description of Property: SEE SCHEDULE
Date Acquired: Various — How Acquired: Purchased
Date Sold: Various — Name of Buyer:
Sales Price: 3,057,659. — Cost or other basis (do not reduce by depreciation): 2,913,968.
Sales Expense: — Valuation Method:
Total Gain (Loss): 143,691. — Accumulation Depreciation:

Description of Property: CAP GAIN DIVIDEND
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: 89. — Cost or other basis (do not reduce by depreciation): 0.
Sales Expense: — Valuation Method:
Total Gain (Loss): 89. — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Description of Property:
Date Acquired: — How Acquired:
Date Sold: — Name of Buyer:
Sales Price: — Cost or other basis (do not reduce by depreciation):
Sales Expense: — Valuation Method:
Total Gain (Loss): — Accumulation Depreciation:

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOYCE, HUHGES & FARR	PREPARATION OF TAX RET	3,750.			3,750.
Total		3,750.			3,750.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Schwab	Advisory Fees	20,000.	20,000.		
Schwab	margin interest paid	363.	363.		
SCHWAB	ADR FEES	35.	35.		
Total		20,398.	20,398.		

charles SCHWAB

Schwab One® Account of
ROYCE FAMILY FOUNDATION, INC.

Account Number
7502-4075

Report Period
January 1 - December 31, 2012

2012 Year-End Schwab Gain/Loss Report

Prepared on January 22, 2013

22/01-CG1K2601-000452-MED-100072716 42741 •
ROYCE FAMILY FOUNDATION, INC.
10 BARCLAY ST APT 38A
NEW YORK NY 10007

000452

Your Schwab Consultant

Larry Goldstein
VP - Financial Consultant

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email: Larry.Goldstein@Schwab.com

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To track cost basis and gain/loss information online, please go to the gain/loss tab after logging into your account.

Message Center

Your gain/loss report offers helpful information to assist you with tax preparation, including a summarized list of your realized gains/losses for 2012. You can also log in to www.schwab.com/reports to view your documents securely online and visit www.schwab.com/paperless_services to manage your delivery preferences.

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AERCAP HOLDINGS NV F: AER	500.0000	07/07/11	06/29/12	$5,585.90	$6,536.65	($950.75)
AERCAP HOLDINGS NV F: AER	500.0000	07/07/11	06/29/12	$5,585.90	$6,537.15	($951.25)
AERCAP HOLDINGS NV F: AER	400.0000	07/28/11	07/11/12	$5,011.23	$5,050.38	($39.15)
AERCAP HOLDINGS NV F: AER	600.0000	07/28/11	07/11/12	$7,516.24	$7,575.57	($59.33)
AERCAP HOLDINGS NV F: AER	500.0000	03/21/12	07/11/12	$6,253.54	$5,931.95	$321.59
Security Subtotal				**$29,952.81**	**$31,631.70**	**($1,678.89)**
ALTERA CORPORATION: ALTR	100.0000	05/11/12	08/16/12	$3,679.94	$3,388.48	$291.46
ALTERA CORPORATION: ALTR	100.0000	05/11/12	08/16/12	$3,679.94	$3,388.57	$291.37
ALTERA CORPORATION: ALTR	100.0000	05/11/12	09/07/12	$3,801.43	$3,388.46	$412.97
ALTERA CORPORATION: ALTR	100.0000	05/11/12	09/07/12	$3,801.61	$3,388.46	$413.15
ALTERA CORPORATION: ALTR	100.0000	05/11/12	09/07/12	$3,801.22	$3,388.46	$412.76
ALTERA CORPORATION: ALTR	100.0000	05/11/12	09/07/12	$3,801.22	$3,388.46	$412.76
ALTERA CORPORATION: ALTR	200.0000	05/11/12	09/07/12	$7,602.44	$6,776.93	$825.51

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ALTERA CORPORATION: ALTR	400.0000	05/11/12	09/07/12	$15,204.89	$13,553.86	$1,651.03
Security Subtotal				**$45,372.69**	**$40,661.68**	**$4,711.01**
AMAZON COM INC: AMZN	100.0000	02/01/12	04/27/12	$22,385.05	$17,883.59	$4,501.46
AMAZON COM INC: AMZN	300.0000	02/01/12	04/27/12	$67,157.57	$53,649 56	$13,508.01
AMAZON COM INC: AMZN	50.0000	02/02/12	04/27/12	$11,192.93	$8,865.92	$2,327.01
AMAZON COM INC: AMZN	50.0000	02/02/12	04/27/12	$11,192.52	$8,865.93	$2,326.59
AMAZON COM INC: AMZN	50 0000	02/16/12	04/27/12	$11,192.53	$8,881.95	$2,310.58
Security Subtotal				**$123,120.60**	**$98,146.95**	**$24,973.65**
AMERICAN INTL GROUP NEW: AIG	200.0000	09/10/12	10/04/12	$6,972.49	$6,651.39	$321.10
AMERICAN INTL GROUP NEW: AIG	100.0000	09/10/12	10/05/12	$3,516.24	$3,325.69	$190.55
AMERICAN INTL GROUP NEW: AIG	100.0000	09/10/12	10/05/12	$3,516.25	$3,325.70	$190.55
AMERICAN INTL GROUP NEW: AIG	200.0000	11/02/12	12/18/12	$7,030.49	$6,777.55	$252 94
Security Subtotal				**$21,035.47**	**$20,080.33**	**$955.14**
APACHE CORP: APA	400.0000	10/12/11	01/04/12	$38,078.51	$35,741.55	$2,336.96

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
APACHE CORP: APA	100.0000	12/16/11	01/04/12	$9,519.63	$8,862.35	$657.28
Security Subtotal				**$47,598.14**	**$44,603.90**	**$2,994.24**
APPLE INC: AAPL	100.0000	11/10/11	01/18/12	$42,706.03	$38,502.55	$4,203.48
APPLE INC: AAPL	50.0000	11/11/11	01/25/12	$22,345.40	$19,143.45	$3,201.95
APPLE INC: AAPL	50.0000	11/29/11	01/25/12	$22,345.39	$18,789.45	$3,555.94
APPLE INC: AAPL	20.0000	05/09/12	06/19/12	$11,741.79	$11,368.23	$373.56
APPLE INC: AAPL	80.0000	05/09/12	06/19/12	$46,963.00	$45,472.92	$1,490.08
APPLE INC: AAPL	100.0000	11/09/12	11/23/12	$56,785.38	$53,869.75	$2,915.63
Security Subtotal				**$202,886.99**	**$187,146.35**	**$15,740.64**
AVAGO TECHNOLOGIES F: AVGO	200.0000	10/28/11	01/24/12	$6,768.12	$6,398.68	$369.44
AVAGO TECHNOLOGIES F: AVGO	200.0000	10/28/11	01/25/12	$6,784.12	$6,398.68	$385.44
AVAGO TECHNOLOGIES F: AVGO	100.0000	10/28/11	02/03/12	$3,504.04	$3,199.34	$304.70
AVAGO TECHNOLOGIES F: AVGO	100.0000	10/28/11	02/03/12	$3,504.14	$3,199.34	$304.80

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AVAGO TECHNOLOGIES F: AVGO	200.0000	10/28/11	02/03/12	$7,008.10	$6,398.68	$609.42
AVAGO TECHNOLOGIES F: AVGO	1,000.0000	10/28/11	03/01/12	$37,303.38	$31,993.43	$5,309.95
Security Subtotal				**$64,871.90**	**$57,588.15**	**$7,283.75**
BAIDU INC ADR FSPONSORED ADR 1 ADR REPS 1: BIDU	100.0000	07/11/12	07/26/12	$11,763.75	$10,989.35	$774.40
BAIDU INC ADR FSPONSORED ADR 1 ADR REPS 1: BIDU	100.0000	07/11/12	07/26/12	$11,763.75	$10,990.89	$772.86
BAIDU INC ADR FSPONSORED ADR 1 ADR REPS 1: BIDU	200.0000	07/11/12	07/26/12	$23,527.50	$21,981.57	$1,545.93
Security Subtotal				**$47,055.00**	**$43,961.81**	**$3,093.19**
BLACKROCK INC: BLK	100.0000	05/22/12	06/08/12	$17,318.03	$16,690.89	$627.14
BLACKROCK INC: BLK	300.0000	05/22/12	06/08/12	$51,954.07	$50,070.56	$1,883.51
Security Subtotal				**$69,272.10**	**$66,761.45**	**$2,510.65**
CITIGROUP INC NEW: C	1,400.0000	11/11/11	01/27/12	$42,693.00	$41,291.15	$1,401.85
CITIGROUP INC NEW: C	400.0000	11/16/11	01/27/12	$12,198.00	$11,071.15	$1,126.85

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
CITIGROUP INC NEW: C	1,300.0000	06/26/12	08/23/12	$39,371.34	$35,039.05	$4,332.29
CITIGROUP INC NEW: C	100.0000	07/20/12	08/23/12	$3,028.56	$2,617.85	$410.71
Security Subtotal				**$97,290.90**	**$90,019.20**	**$7,271.70**
CONOCOPHILLIPS: COP	600.0000	02/14/12	06/12/12	$32,404.52	$33,890 88	($1,486.36)
Security Subtotal				**$32,404.52**	**$33,890.88**	**($1,486.36)**
DATAWATCH CORP NEW: DWCH	1,000.0000	04/30/12	07/27/12	$14,205.71	$12,907.95	$1,297.76
DATAWATCH CORP NEW: DWCH	200.0000	05/01/12	07/27/12	$2,841.14	$2,583.18	$257.96
DATAWATCH CORP NEW: DWCH	300.0000	05/01/12	07/27/12	$4,261.71	$3,874.77	$386.94
DATAWATCH CORP NEW: DWCH	100.0000	05/15/12	07/27/12	$1,420.57	$1,199.59	$220.98
DATAWATCH CORP NEW: DWCH	200.0000	05/15/12	07/27/12	$2,841.14	$2,399.58	$441.56
DATAWATCH CORP NEW: DWCH	200.0000	05/15/12	07/27/12	$2,841.14	$2,434.98	$406.16
Security Subtotal				**$28,411.41**	**$25,400.05**	**$3,011.36**
EBIX INC: EBIX	5.0000	06/08/12	07/26/12	$104.68	$88.52	$16.16
EBIX INC: EBIX	100.0000	06/08/12	07/26/12	$2,089.95	$1,770.33	$319.62

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
EBIX INC: EBIX	100.0000	06/08/12	07/26/12	$2,089.55	$1,770.33	$319.22
EBIX INC: EBIX	100.0000	06/08/12	07/26/12	$2,090.36	$1,770.33	$320.03
EBIX INC: EBIX	300.0000	06/08/12	07/26/12	$6,268.97	$5,310.99	$957 98
EBIX INC: EBIX	895.0000	06/08/12	07/26/12	$18,710.49	$15,844.45	$2,866.04
EBIX INC: EBIX	200.0000	06/13/12	07/26/12	$4,181.11	$3,382.78	$798.33
EBIX INC: EBIX	300.0000	06/13/12	07/26/12	$6,268.96	$5,074.17	$1,194 79
Security Subtotal				**$41,804.07**	**$35,011.90**	**$6,792.17**
ENCORE CAPITAL GROUP INC: ECPG	3.0000	01/18/12	05/10/12	$76.77	$67.56	$9.21
ENCORE CAPITAL GROUP INC: ECPG	97.0000	01/18/12	05/10/12	$2,481.18	$2,181.69	$299.49
ENCORE CAPITAL GROUP INC: ECPG	100.0000	01/18/12	05/10/12	$2,557.91	$2,250.16	$307.75
ENCORE CAPITAL GROUP INC: ECPG	100.0000	01/18/12	05/10/12	$2,557.91	$2,250.16	$307 75
ENCORE CAPITAL GROUP INC: ECPG	100.0000	01/18/12	05/10/12	$2,559.92	$2,252.07	$307.85
ENCORE CAPITAL GROUP INC: ECPG	197.0000	01/18/12	05/10/12	$5,041.05	$4,435.38	$605.67

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

charles SCHWAB

Schwab One® Account of
ROYCE FAMILY FOUNDATION, INC.

Account Number
7502-4075

Report Period
January 1 - December 31, 2012

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ENCORE CAPITAL GROUP INC: ECPG	200.0000	01/18/12	05/10/12	$5,117.83	$4,504.12	$613.71
ENCORE CAPITAL GROUP INC: ECPG	403.0000	01/18/12	05/10/12	$10,308.39	$9,073.40	$1,234.99
ENCORE CAPITAL GROUP INC: ECPG	100.0000	02/29/12	05/10/12	$2,559.91	$2,303.35	$256.56
ENCORE CAPITAL GROUP INC: ECPG	100.0000	02/29/12	05/10/12	$2,559.91	$2,303.35	$256.56
ENCORE CAPITAL GROUP INC: ECPG	100.0000	02/29/12	05/10/12	$2,559.91	$2,306.15	$253.76
Security Subtotal				**$38,380.69**	**$33,927.39**	**$4,453.30**
FACEBOOK INC CLASS A: FB	100.0000 S	09/27/12	09/27/12	$2,314.08	$2,118.97	$195.11
FACEBOOK INC CLASS A: FB	100.0000 S	09/27/12	09/27/12	$2,313.97	$2,118.98	$194.99
Security Subtotal				**$4,628.05**	**$4,237.95**	**$390.10**
F5 NETWORKS INC: FFIV	100.0000	12/21/11	01/19/12	$11,939.28	$10,191.49	$1,747.79
F5 NETWORKS INC: FFIV	100.0000	12/21/11	01/19/12	$11,939.89	$10,191.49	$1,748.40
F5 NETWORKS INC: FFIV	300.0000	12/21/11	01/19/12	$35,817.84	$30,573.57	$5,244.27
Security Subtotal				**$59,697.01**	**$50,956.55**	**$8,740.46**
GOLDMAN SACHS GROUP INC: GS	100.0000	04/18/12	08/16/12	$10,364.92	$11,599.09	($1,234.17)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GOLDMAN SACHS GROUP INC: GS	100.0000	04/18/12	08/28/12	$10,529.81	$11,599.09	($1,069.28)
GOLDMAN SACHS GROUP INC: GS	50.0000	04/18/12	11/29/12	$5,957.92	$5,799.54	$158.38
GOLDMAN SACHS GROUP INC: GS	50.0000	04/18/12	11/29/12	$5,950.92	$5,799.54	$151 38
GOLDMAN SACHS GROUP INC: GS	100.0000	04/18/12	12/11/12	$11,907.32	$11,599.09	$308.23
GOLDMAN SACHS GROUP INC: GS	100.0000	05/09/12	12/11/12	$11,907.69	$10,743.85	$1,163.84
GOLDMAN SACHS GROUP INC: GS	100.0000	05/11/12	12/11/12	$11,907.68	$10,232.85	$1,674.83
Security Subtotal				**$68,526.26**	**$67,373.05**	**$1,153.21**
GOOGLE INC CLASS A: GOOG	50.0000	01/19/12	02/16/12	$30,214.51	$31,975.28	$0.00
GOOGLE INC CLASS A: GOOG	20.0000	01/19/12	02/17/12	$12,137.83	$12,790.11	$0.00
GOOGLE INC CLASS A: GOOG	20.0000	01/19/12	08/06/12	$12,869.76	$12,790.11	$79.65
GOOGLE INC CLASS A: GOOG	10.0000	01/19/12	08/07/12	$6,380.28	$6,208.44	$171.84
GOOGLE INC CLASS A: GOOG	10.0000	01/19/12	08/07/12	$6,380.28	$6,395.05	($14.77)
GOOGLE INC CLASS A: GOOG	10.0000	01/19/12	08/14/12	$6,689.90	$6,208.44	$481.46

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2012 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
GOOGLE INC CLASS A: GOOG	20.0000	01/19/12	08/14/12	$13,348.71	$12,364.85	$983.86
GOOGLE INC CLASS A: GOOG	30.0000	01/19/12	08/14/12	$20,023.07	$18,625.32	$1,397.75
GOOGLE INC CLASS A: GOOG	30.0000	01/20/12	08/14/12	$20,023.07	$17,568.85	$2,454.22
Security Subtotal				**$128,067.41**	**$124,926.45**	**$5,554.01**
GREEN MTN COFFEE ROASTER: GMCR	100.0000	10/20/11	01/18/12	$5,095.05	$6,899.99	($1,804.94)
GREEN MTN COFFEE ROASTER: GMCR	100.0000	10/20/11	02/01/12	$5,899.89	$6,899.99	($1,000.10)
GREEN MTN COFFEE ROASTER: GMCR	600.0000	10/20/11	02/01/12	$35,393.36	$41,399.97	($6,006.61)
GREEN MTN COFFEE ROASTER: GMCR	100.0000	12/16/11	02/01/12	$5,899.89	$4,561.35	$1,338.54
Security Subtotal				**$52,288.19**	**$59,761.30**	**($7,473.11)**
ISHARES FTSE CHINA 25 FTSE CHINA 25 INDEX FD: FXI	75.0000	03/21/12	08/29/12	$2,508.08	$2,797.34	($289.26)
ISHARES FTSE CHINA 25 FTSE CHINA 25 INDEX FD: FXI	125.0000	03/21/12	08/29/12	$4,180.15	$4,662.24	($482.09)
ISHARES FTSE CHINA 25 FTSE CHINA 25 INDEX FD: FXI	200.0000	03/21/12	08/29/12	$6,688.28	$7,459.59	($771.31)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000452 42750

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ISHARES FTSE CHINA 25 FTSE CHINA 25 INDEX FD: FXI	400.0000	03/21/12	08/29/12	$13,376.57	$14,919.18	($1,542.61)
ISHARES FTSE CHINA 25 FTSE CHINA 25 INDEX FD: FXI	100.0000	05/10/12	08/29/12	$3,344.11	$3,606.75	($262.64)
Security Subtotal				**$30,097.19**	**$33,445.10**	**($3,347.91)**
JANUS CAPITAL GROUP INC: JNS	100.0000	04/27/12	08/10/12	$845.96	$767.29	$78.67
JANUS CAPITAL GROUP INC: JNS	100.0000	04/27/12	08/10/12	$845.96	$767.32	$78.64
JANUS CAPITAL GROUP INC: JNS	200.0000	04/27/12	08/10/12	$1,691.93	$1,534.56	$157.37
JANUS CAPITAL GROUP INC: JNS	200.0000	04/27/12	08/10/12	$1,691.93	$1,534.58	$157.35
JANUS CAPITAL GROUP INC: JNS	1,900.0000	04/27/12	08/10/12	$16,073.30	$14,577.13	$1,496.17
Security Subtotal				**$21,149.08**	**$19,180.88**	**$1,968.20**
JPMORGAN CHASE & CO: JPM	100.0000	06/28/12	08/03/12	$3,615.15	$3,541.67	$73.48
JPMORGAN CHASE & CO: JPM	100.0000	06/28/12	08/03/12	$3,615.04	$3,541.68	$73.36
JPMORGAN CHASE & CO: JPM	200.0000	06/08/12	12/17/12	$8,654.26	$6,718.85	$1,935.41

G000004520617

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
JPMORGAN CHASE & CO: JPM	900.0000	06/08/12	12/26/12	$39,485.87	$30,234.80	$9,251.07
Security Subtotal				**$55,370.32**	**$44,037.00**	**$11,333.32**
JUNIPER NETWORKS INC: JNPR	500.0000	06/07/12	08/10/12	$9,577.34	$8,385.15	$1,192.19
JUNIPER NETWORKS INC: JNPR	100.0000	06/07/12	09/13/12	$1,932.66	$1,677.03	$255.63
JUNIPER NETWORKS INC: JNPR	900.0000	06/07/12	09/13/12	$17,393.04	$15,093.27	$2,299.77
JUNIPER NETWORKS INC: JNPR	100.0000	06/15/12	09/13/12	$1,932.56	$1,630.59	$301.97
JUNIPER NETWORKS INC: JNPR	400.0000	06/15/12	09/13/12	$7,730.24	$6,521.56	$1,208.68
JUNIPER NETWORKS INC: JNPR	500.0000	07/05/12	09/13/12	$9,662.79	$8,086.45	$1,576.34
JUNIPER NETWORKS INC: JNPR	200.0000 S	09/26/12	09/26/12	$3,962.56	$3,691.75	$270.81
Security Subtotal				**$52,191.19**	**$45,085.80**	**$7,105.39**
LAM RESEARCH CORPORATION: LRCX	100.0000	12/23/11	10/26/12	$3,574.34	$3,672.90	($98.56)
LAM RESEARCH CORPORATION: LRCX	100.0000	05/07/12	10/26/12	$3,574.35	$4,054.85	($480.50)
LAM RESEARCH CORPORATION: LRCX	200.0000	12/23/11	12/20/12	$7,314.29	$7,345.79	($31.50)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LAM RESEARCH CORPORATION: LRCX		200.0000	01/04/12	12/24/12	$7,398.25	$7,279.75	$118.50
LAM RESEARCH CORPORATION: LRCX		45.0000	09/13/12	12/24/12	$1,664.61	$1,514.19	$150.42
LAM RESEARCH CORPORATION: LRCX		55.0000	09/13/12	12/24/12	$2,034.52	$1,850.45	$184.07
LAM RESEARCH CORPORATION: LRCX		100.0000	09/19/12	12/24/12	$3,699.12	$3,282.45	$416.67
Security Subtotal					**$29,259.48**	**$29,000.38**	**$259.10**
LAZARD LTD	F: LAZ	100.0000	07/27/11	02/03/12	$2,878.39	$3,468.19	($589.80)
LAZARD LTD	F: LAZ	100.0000	07/27/11	03/15/12	$3,019.20	$3,468.19	($448.99)
LAZARD LTD	F: LAZ	100.0000	07/15/11	04/18/12	$2,598.61	$3,379.25	($780.64)
LAZARD LTD	F: LAZ	100.0000	07/15/11	04/18/12	$2,598.61	$3,379.68	($781.07)
LAZARD LTD	F: LAZ	100.0000	07/15/11	04/18/12	$2,598.61	$3,379.70	($781.09)
LAZARD LTD	F: LAZ	700.0000	07/15/11	04/18/12	$18,190.28	$23,657.17	($5,466.89)
LAZARD LTD	F: LAZ	300.0000	07/27/11	04/18/12	$7,795.84	$10,404 57	($2,608.73)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
LAZARD LTD F: LAZ	200.0000	09/13/11	04/18/12	$5,197.23	$4,855.15	$342.08
Security Subtotal				**$44,876.77**	**$55,991.90**	**($11,115.13)**
LSI CORPORATION: LSI	300.0000	02/29/12	10/25/12	$1,979.57	$2,617.59	($638.02)
LSI CORPORATION: LSI	1,200.0000	02/29/12	10/25/12	$7,918.30	$10,467.96	($2,549.66)
LSI CORPORATION: LSI	300.0000	03/01/12	10/25/12	$1,979.57	$2,566.79	($587.22)
LSI CORPORATION: LSI	700.0000	03/01/12	10/25/12	$4,619.01	$5,989 86	($1,370.85)
LSI CORPORATION: LSI	100.0000	04/24/12	10/25/12	$659.86	$808.59	($148.73)
LSI CORPORATION: LSI	400.0000	04/24/12	10/25/12	$2,639.43	$3,233.16	($593.73)
LSI CORPORATION: LSI	500.0000	04/26/12	10/25/12	$3,299.29	$4,096.95	($797.66)
Security Subtotal				**$23,095.03**	**$29,780.90**	**($6,685.87)**
MAPP PHARMACEUTICALS INC: MAPP	100.0000	03/01/12	11/23/12	$1,522.80	$1,650.40	($127.60)
MAPP PHARMACEUTICALS INC: MAPP	100.0000	03/01/12	11/23/12	$1,529.10	$1,650.40	($121.30)
MAPP PHARMACEUTICALS INC: MAPP	200.0000	03/01/12	11/23/12	$3,060.38	$3,300.79	($240.41)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000452 42754

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MAPP PHARMACEUTICALS INC: MAPP	217.0000	03/01/12	11/23/12	$3,306.41	$3,581 36	($274.95)
MAPP PHARMACEUTICALS INC: MAPP	300.0000	03/01/12	11/23/12	$4,598.38	$4,951.20	($352.82)
MAPP PHARMACEUTICALS INC: MAPP	1,083.0000	03/01/12	11/23/12	$16,501.57	$17,873.80	($1,372.23)
MAPP PHARMACEUTICALS INC: MAPP	500.0000	03/02/12	11/23/12	$7,618.46	$8,237.95	($619.49)
MAPP PHARMACEUTICALS INC: MAPP	100.0000	08/06/12	11/23/12	$1,532.10	$1,380.68	$151.42
MAPP PHARMACEUTICALS INC: MAPP	100.0000	08/06/12	11/23/12	$1,533.10	$1,380.69	$152 41
MAPP PHARMACEUTICALS INC: MAPP	200.0000	08/06/12	11/23/12	$3,047.38	$2,761.38	$286.00
Security Subtotal				**$44,249.68**	**$46,768.65**	**($2,518.97)**
MICROSOFT CORP: MSFT	900.0000	08/06/12	09/13/12	$27,900.42	$26,957.97	$942.45
MICROSOFT CORP: MSFT	600.0000	08/06/12	09/14/12	$18,574.23	$17,971.98	$602.25
MICROSOFT CORP: MSFT	1,600.0000	10/15/12	12/10/12	$42,879.97	$47,094.35	($4,214.38)
MICROSOFT CORP: MSFT	100.0000	10/17/12	12/10/12	$2,680.00	$2,934.75	($254 75)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000452 42755

2012 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
MICROSOFT CORP: MSFT	100.0000	10/22/12	12/10/12	$2,680.00	$2,816.75	($136.75)
Security Subtotal				**$94,714.62**	**$97,775.80**	**($3,061.18)**
N I I HOLDINGS INC NEW: NIHD	1,000.0000	09/14/11	04/26/12	$15,046.99	$35,531.95	($20,484.96)
N I I HOLDINGS INC NEW: NIHD	72.0000	10/27/11	04/26/12	$1,083.38	$1,862.60	($779.22)
N I I HOLDINGS INC NEW: NIHD	200.0000	10/27/11	04/26/12	$3,009.40	$5,173.67	($2,164.27)
N I I HOLDINGS INC NEW: NIHD	428.0000	10/27/11	04/26/12	$6,440.11	$11,067.80	($4,627.69)
Security Subtotal				**$25,579.88**	**$53,636.02**	**($28,056.14)**
NETFLIX INC: NFLX	200.0000	01/25/12	01/26/12	$23,290.00	$18,753.15	$4,536.85
NETFLIX INC: NFLX	100.0000	02/22/12	03/21/12	$11,920.65	$11,151.05	$769.60
NETFLIX INC: NFLX	200.0000	02/22/12	03/21/12	$23,840.47	$22,302.10	$1,538.37
NETFLIX INC: NFLX	150.0000	02/24/12	03/21/12	$17,730.73	$16,765.05	$965.68
NETFLIX INC: NFLX	400.0000	04/03/12	04/24/12	$35,204.46	$45,094.75	($9,890.29)
NETFLIX INC: NFLX	100.0000	09/07/12	10/04/12	$6,620.90	$5,662.84	$958.06

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NETFLIX INC: NFLX	100.0000	09/07/12	10/05/12	$6,645.90	$5,662.84	$983.06
NETFLIX INC: NFLX	500.0000	09/07/12	10/09/12	$33,662.62	$28,314.17	$5,348.45
NETFLIX INC: NFLX	50.0000	09/18/12	10/09/12	$3,366.26	$2,808.45	$557.81
NETFLIX INC: NFLX	50.0000	09/28/12	10/09/12	$3,366.26	$2,726.95	$639.31
Security Subtotal				**$165,648.25**	**$159,241.35**	**$6,406.90**
NEW ORIENTAL ED ADR FSPONSORED ADR 1 ADR REPS 1: EDU	100.0000	01/17/12	02/22/12	$2,612.44	$2,277.62	$334.82
NEW ORIENTAL ED ADR FSPONSORED ADR 1 ADR REPS 1: EDU	200.0000	01/17/12	02/22/12	$5,224.88	$4,555.25	$669.63
NEW ORIENTAL ED ADR FSPONSORED ADR 1 ADR REPS 1: EDU	800.0000	01/17/12	02/22/12	$20,903.53	$18,220.98	$2,682.55
NEW ORIENTAL ED ADR FSPONSORED ADR 1 ADR REPS 1: EDU	200.0000	01/19/12	02/22/12	$5,224.88	$4,601.95	$622.93
Security Subtotal				**$33,965.73**	**$29,655.80**	**$4,309.93**
NEW YORK CMNTY BANCORP: NYB	1,900.0000	02/03/12	05/22/12	$23,914.41	$24,438.15	($523.74)
Security Subtotal				**$23,914.41**	**$24,438.15**	**($523.74)**

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

CG1K2601-000452 42757

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NEW YORK TIMES CLASS A: NYT	1,000.0000	02/29/12	06/29/12	$7,774.88	$6,683.18	$1,091.70
NEW YORK TIMES CLASS A: NYT	100.0000	02/29/12	08/03/12	$782.39	$668.30	$114.09
NEW YORK TIMES CLASS A: NYT	900.0000	02/29/12	08/03/12	$7,041.48	$6,014.86	$1,026.62
NEW YORK TIMES CLASS A: NYT	100.0000	02/29/12	08/08/12	$874.58	$668.18	$206.40
NEW YORK TIMES CLASS A: NYT	100.0000	02/29/12	08/08/12	$874.58	$668.19	$206.39
NEW YORK TIMES CLASS A: NYT	100.0000	02/29/12	08/08/12	$874.58	$668.26	$206.32
NEW YORK TIMES CLASS A: NYT	200.0000	02/29/12	08/08/12	$1,749.16	$1,336.44	$412.72
NEW YORK TIMES CLASS A: NYT	100.0000	03/02/12	08/08/12	$874.88	$662.63	$212.25
NEW YORK TIMES CLASS A: NYT	200.0000	03/02/12	08/08/12	$1,749.77	$1,325.03	$424.74
NEW YORK TIMES CLASS A: NYT	700.0000	03/02/12	08/08/12	$6,124.18	$4,638.86	$1,485.32
NEW YORK TIMES CLASS A. NYT	100.0000	03/15/12	08/08/12	$874.88	$663.49	$211.39
NEW YORK TIMES CLASS A. NYT	100.0000	03/15/12	08/08/12	$874.58	$663.58	$211.00

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
NEW YORK TIMES CLASS A: NYT	300.0000	03/15/12	08/08/12	$2,623.76	$1,990 47	$633.29
Security Subtotal				**$33,093.70**	**$26,651.47**	**$6,442.23**
OCCIDENTAL PETE CORP: OXY	400.0000	10/05/11	01/19/12	$40,173.27	$30,140.75	$10,032.52
OCCIDENTAL PETE CORP: OXY	100.0000	12/16/11	01/19/12	$10,043.32	$8,891.55	$1,151.77
Security Subtotal				**$50,216.59**	**$39,032.30**	**$11,184.29**
OMNIVISION TECHNOLOGIES: OVTI	1,500.0000	01/13/12	02/03/12	$23,378.10	$19,116.45	$4,261.65
Security Subtotal				**$23,378.10**	**$19,116.45**	**$4,261.65**
OPEN TABLE INC: OPEN	800.0000	09/08/11	05/30/12	$31,503.43	$45,919.95	($14,416.52)
OPEN TABLE INC: OPEN	100.0000	09/15/11	05/30/12	$3,937.93	$5,548.55	($1,610.62)
Security Subtotal				**$35,441.36**	**$51,468.50**	**($16,027.14)**
ORACLE CORPORATION: ORCL	100.0000	12/21/11	03/15/12	$3,002.40	$2,573.31	$429.09
ORACLE CORPORATION: ORCL	100.0000	12/21/11	03/15/12	$3,002.35	$2,573.31	$429.04
ORACLE CORPORATION: ORCL	100.0000	12/21/11	03/15/12	$3,002.40	$2,573.31	$429.09
ORACLE CORPORATION: ORCL	100.0000	12/21/11	06/07/12	$2,749.15	$2,573.30	$175.85

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ORACLE CORPORATION: ORCL	100.0000	12/21/11	06/07/12	$2,749.15	$2,573.31	$175.84
ORACLE CORPORATION: ORCL	200.0000	12/21/11	06/07/12	$5,498.30	$5,146.61	$351.69
ORACLE CORPORATION: ORCL	600.0000	12/21/11	06/15/12	$16,449.16	$15,439.79	$1,009.37
ORACLE CORPORATION: ORCL	700.0000	12/21/11	06/15/12	$19,190.69	$18,013.07	$1,177.62
Security Subtotal				**$55,643.60**	**$51,466.01**	**$4,177.59**
OWENS ILLINOIS INC NEW: OI	500.0000	07/26/12	09/11/12	$9,788.44	$8,951.15	$837.29
OWENS ILLINOIS INC NEW: OI	1,000.0000	07/26/12	09/11/12	$19,576.88	$17,904.30	$1,672.58
OWENS ILLINOIS INC NEW: OI	200 0000	08/29/12	09/11/12	$3,915.38	$3,555.55	$359.83
Security Subtotal				**$33,280.70**	**$30,411.00**	**$2,869.70**
PATRIOT TRANSN HLDGS INC: PATR	300.0000	05/27/11	01/18/12	$6,681.92	$6,631.19	$50.73
PATRIOT TRANSN HLDGS INC: PATR	78.0000	05/27/11	03/30/12	$1,816.33	$1,724.11	$92.22
PATRIOT TRANSN HLDGS INC: PATR	522.0000	05/27/11	03/30/12	$12,155.41	$11,538.27	$617.14
PATRIOT TRANSN HLDGS INC: PATR	2.0000	09/07/11	07/05/12	$47.04	$39.36	$7.68

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PATRIOT TRANSN HLDGS INC: PATR	46.0000	09/07/11	07/05/12	$1,081.71	$906.11	$175.60
PATRIOT TRANSN HLDGS INC: PATR	79.0000	09/07/11	07/05/12	$1,857.73	$1,555.35	$302.38
PATRIOT TRANSN HLDGS INC: PATR	100.0000	09/07/11	07/05/12	$2,351.55	$1,970.80	$380.75
PATRIOT TRANSN HLDGS INC: PATR	100.0000	09/07/11	07/05/12	$2,351.55	$1,975.70	$375.85
PATRIOT TRANSN HLDGS INC: PATR	100.0000	09/07/11	07/05/12	$2,351.15	$1,988.79	$362 36
PATRIOT TRANSN HLDGS INC: PATR	100.0000	09/07/11	07/05/12	$2,353.15	$1,988.79	$364.36
PATRIOT TRANSN HLDGS INC: PATR	102.0000	09/07/11	07/05/12	$2,398.58	$2,008.17	$390.41
PATRIOT TRANSN HLDGS INC: PATR	140.0000	09/07/11	07/05/12	$3,292.18	$2,759.11	$533.07
PATRIOT TRANSN HLDGS INC: PATR	231.0000	09/07/11	07/05/12	$5,432.09	$4,594.11	$837.98
Security Subtotal				**$44,170.39**	**$39,679.86**	**$4,490.53**
PHILLIPS 66: PSX	300.0000	02/14/12	05/22/12	$9,556.44	$10,071.87	($515.43)
Security Subtotal				**$9,556.44**	**$10,071.87**	**($515.43)**
POTASH CORP SASK INC F: POT	300.0000	10/11/11	02/01/12	$14,260.08	$14,468.05	($207.97)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2012 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
POTASH CORP SASK INC F: POT	200.0000	10/11/11	02/29/12	$9,422.44	$9,645.37	($222.93)
POTASH CORP SASK INC F: POT	400.0000	10/11/11	02/29/12	$18,845.28	$19,290.73	($445.45)
POTASH CORP SASK INC F: POT	400.0000	12/05/11	02/29/12	$18,845.28	$17,079.15	$1,766.13
Security Subtotal				**$61,373.08**	**$60,483.30**	**$889.78**
PRICELINE.COM INC NEW: PCLN	100.0000	08/13/12	11/02/12	$64,999.32	$56,200.75	$8,798 57
PRICELINE.COM INC NEW: PCLN	20.0000	10/18/12	11/02/12	$12,999.86	$11,563.55	$1,436.31
Security Subtotal				**$77,999.18**	**$67,764.30**	**$10,234.88**
RESEARCH IN MOTION LTD F: RIMM	1,500.0000	03/01/12	03/30/12	$21,114.58	$20,374.95	$739.63
Security Subtotal				**$21,114.58**	**$20,374.95**	**$739.63**
ROVI CORPORATION: ROVI	400.0000	12/23/11	01/31/12	$12,647.01	$9,857.72	$2,789.29
ROVI CORPORATION: ROVI	100.0000	12/23/11	02/14/12	$3,385.51	$2,464.33	$921.18
ROVI CORPORATION: ROVI	100.0000	12/23/11	02/14/12	$3,385.51	$2,464.33	$921.18
ROVI CORPORATION: ROVI	100.0000	12/23/11	02/14/12	$3,384.52	$2,464.43	$920.09
ROVI CORPORATION: ROVI	100.0000	12/23/11	02/14/12	$3,384.52	$2,464.43	$920.09

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Realized Gain or (Loss) (continued)

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
ROVI CORPORATION: ROVI	300.0000	12/23/11	02/14/12	$10,153.54	$7,392.99	$2,760.55
ROVI CORPORATION: ROVI	400.0000	12/23/11	02/14/12	$13,537.24	$9,857.32	$3,679.92
Security Subtotal				**$49,877.85**	**$36,965.55**	**$12,912.30**
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2: RDSA	100.0000	04/12/12	09/27/12	$7,040.29	$6,758.19	$282.10
Security Subtotal				**$7,040.29**	**$6,758.19**	**$282.10**
SANDISK CORP: SNDK	500.0000	07/19/12	07/20/12	$19,936.44	$17,617.11	$2,319.33
SANDISK CORP: SNDK	600.0000	07/19/12	07/20/12	$23,924.32	$21,140.54	$2,783.78
Security Subtotal				**$43,860.76**	**$38,757.65**	**$5,103.11**
STARBUCKS CORP: SBUX	100.0000	10/03/12	11/21/12	$5,044.00	$4,977.95	$66 05
STARBUCKS CORP: SBUX	200.0000	10/03/12	11/21/12	$10,088.01	$9,961.55	$126.46
STARBUCKS CORP: SBUX	100.0000	10/04/12	11/21/12	$5,044.00	$4,906.75	$137.25
STARBUCKS CORP: SBUX	100.0000	10/04/12	11/21/12	$5,044.00	$4,912.85	$131 15
STARBUCKS CORP: SBUX	200.0000	10/04/12	11/21/12	$10,088.01	$9,819.95	$268.06

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
STARBUCKS CORP: SBUX	100.0000	10/05/12	11/21/12	$5,044.00	$4,945.75	$98.25
STARBUCKS CORP: SBUX	100.0000	10/15/12	11/21/12	$5,044.01	$4,777.75	$266.26
Security Subtotal				**$45,396.03**	**$44,302.55**	**$1,093.48**
TAKE TWO INTERACTV SFTWR: TTWO	1,500.0000	07/13/12	08/28/12	$15,311.19	$13,461.45	$1,849.74
TAKE TWO INTERACTV SFTWR: TTWO	100.0000	07/26/12	08/28/12	$1,020.74	$873.06	$147.68
TAKE TWO INTERACTV SFTWR: TTWO	100.0000	07/26/12	08/28/12	$1,020.75	$873.23	$147.52
TAKE TWO INTERACTV SFTWR: TTWO	400.0000	07/26/12	08/28/12	$4,082.98	$3,492.50	$590.48
TAKE TWO INTERACTV SFTWR: TTWO	300.0000	08/14/12	08/28/12	$3,062.24	$2,662.35	$399.89
Security Subtotal				**$24,497.90**	**$21,362.59**	**$3,135.31**
TELECOM ITALIA NEW ADR FSPONSORED ADR 1 ADR REP 10: TI	200.0000	07/19/12	09/11/12	$2,141.16	$1,678.59	$462.57
TELECOM ITALIA NEW ADR FSPONSORED ADR 1 ADR REP 10: TI	1,400.0000	07/19/12	09/11/12	$14,975.50	$11,750.16	$3,225.34
Security Subtotal				**$17,116.66**	**$13,428.75**	**$3,687.91**
TEMPUR PEDIC INTL: TPX	100.0000	04/26/12	05/09/12	$5,081.57	$5,998.12	($916.55)

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TEMPUR PEDIC INTL: TPX	100.0000	04/26/12	05/09/12	$5,081.57	$5,998.12	($916.55)
TEMPUR PEDIC INTL: TPX	100.0000	04/26/12	05/09/12	$5,081.67	$5,998.13	($916.46)
TEMPUR PEDIC INTL: TPX	100.0000	04/26/12	05/09/12	$5,081.67	$5,998.13	($916.46)
TEMPUR PEDIC INTL: TPX	200.0000	04/26/12	05/09/12	$10,165.90	$11,996.25	($1,830.35)
Security Subtotal				**$30,492.38**	**$35,988.75**	**($5,496.37)**
THE CHARLES SCHWAB CORP: SCHW	100.0000	01/27/12	03/16/12	$1,510.93	$1,174.40	$336.53
THE CHARLES SCHWAB CORP: SCHW	200.0000	01/27/12	03/16/12	$3,021.30	$2,348.80	$672.50
THE CHARLES SCHWAB CORP: SCHW	300.0000	01/27/12	03/16/12	$4,532.24	$3,523.19	$1,009.05
THE CHARLES SCHWAB CORP: SCHW	100.0000	01/27/12	09/07/12	$1,399.66	$1,174.40	$225.26
THE CHARLES SCHWAB CORP: SCHW	100.0000	01/27/12	09/07/12	$1,400.07	$1,174.40	$225.67
THE CHARLES SCHWAB CORP: SCHW	1,200.0000	01/27/12	09/07/12	$16,796.06	$14,092.76	$2,703.30
THE CHARLES SCHWAB CORP: SCHW	100.0000	01/30/12	09/07/12	$1,399.66	$1,153.13	$246.53

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
THE CHARLES SCHWAB CORP: SCHW	500.0000	01/30/12	09/07/12	$6,998.35	$5,765.62	$1,232.73
Security Subtotal				**$37,058.27**	**$30,406.70**	**$6,651.57**
TRANSOCEAN INC NEW F: RIG	100.0000	11/04/11	01/27/12	$4,873.18	$5,011.88	($138.70)
TRANSOCEAN INC NEW F: RIG	100.0000	11/04/11	01/27/12	$4,872.29	$5,011.89	($139.60)
TRANSOCEAN INC NEW F: RIG	200.0000	11/04/11	01/27/12	$9,746.37	$10,023.65	($277.28)
TRANSOCEAN INC NEW F: RIG	200.0000	11/04/11	01/27/12	$9,746.37	$10,023.71	($277.34)
TRANSOCEAN INC NEW F: RIG	200.0000	11/04/11	01/27/12	$9,746.37	$10,023.79	($277.42)
TRANSOCEAN INC NEW F: RIG	100.0000	11/29/11	01/27/12	$4,874.69	$4,170.55	$704.14
TRANSOCEAN INC NEW F: RIG	200.0000	11/29/11	01/27/12	$9,746.36	$8,341.10	$1,405.26
Security Subtotal				**$53,605.63**	**$52,606.57**	**$999.06**
TRAVELZOO INC: TZOO	100.0000	02/09/12	04/11/12	$2,656.67	$2,642.68	$13.99
TRAVELZOO INC: TZOO	200.0000	02/09/12	04/11/12	$5,313.35	$5,290.57	$22.78
TRAVELZOO INC: TZOO	200.0000	02/09/12	04/11/12	$5,315.74	$5,291.17	$24.57

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TRAVELZOO INC: TZOO	400.0000	02/09/12	04/11/12	$10,631.49	$10,581.13	$50.36
TRAVELZOO INC: TZOO	200.0000	02/10/12	04/11/12	$5,313.35	$5,206.75	$106.60
TRAVELZOO INC: TZOO	300.0000	03/21/12	04/11/12	$7,970.01	$7,177.95	$792.06
Security Subtotal				**$37,200.61**	**$36,190.25**	**$1,010.36**
TRUE RELIGION APPAREL: TRLG	200.0000	02/10/12	06/20/12	$5,835.92	$5,617.25	$218.67
TRUE RELIGION APPAREL: TRLG	200.0000	02/10/12	06/29/12	$5,792.52	$5,617.24	$175 28
TRUE RELIGION APPAREL: TRLG	200.0000	02/10/12	09/14/12	$4,589.95	$5,617.25	($1,027.30)
TRUE RELIGION APPAREL: TRLG	100.0000	02/10/12	10/10/12	$2,575.38	$2,808.57	($233.19)
TRUE RELIGION APPAREL: TRLG	100.0000	02/10/12	10/10/12	$2,575.68	$2,808.62	($232.94)
TRUE RELIGION APPAREL: TRLG	300.0000	02/10/12	10/10/12	$7,726.14	$8,424.97	($698.83)
TRUE RELIGION APPAREL: TRLG	300.0000	02/14/12	10/10/12	$7,726.14	$8,107.35	($381.21)
TRUE RELIGION APPAREL: TRLG	200.0000	02/22/12	10/10/12	$5,150.76	$5,297.15	($146.39)

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Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
TRUE RELIGION APPAREL: TRLG	200.0000	08/06/12	10/10/12	$5,150.76	$4,311.55	$839.21
Security Subtotal				**$47,123.25**	**$48,609.95**	**($1,486.70)**
UNITED CONTL HLDGS INC: UAL	500.0000	12/30/11	01/25/12	$10,227.35	$9,416.99	$810.36
UNITED CONTL HLDGS INC: UAL	200.0000	12/30/11	01/27/12	$4,603.53	$3,766.79	$836.74
UNITED CONTL HLDGS INC: UAL	400.0000	12/30/11	01/27/12	$9,206.63	$7,533.59	$1,673.04
UNITED CONTL HLDGS INC: UAL	900.0000	12/30/11	01/27/12	$20,715.83	$16,950.58	$3,765.25
UNITED CONTL HLDGS INC: UAL	500.0000	01/05/12	01/27/12	$11,508.79	$9,207.45	$2,301.34
UNITED CONTL HLDGS INC: UAL	300 0000	07/26/12	09/19/12	$6,086.41	$5,764.53	$321.88
UNITED CONTL HLDGS INC: UAL	100.0000	07/26/12	10/03/12	$2,081.08	$1,921.51	$159.57
UNITED CONTL HLDGS INC: UAL	100.0000	07/26/12	10/03/12	$2,081.17	$1,921.51	$159.66
UNITED CONTL HLDGS INC: UAL	200.0000	07/26/12	10/03/12	$4,162.16	$3,843.03	$319.13
UNITED CONTL HLDGS INC: UAL	400.0000	07/26/12	10/03/12	$8,392.66	$7,686.05	$706.61
UNITED CONTL HLDGS INC: UAL	200.0000	07/26/12	11/08/12	$4,209.31	$3,843.02	$366.29

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
UNITED CONTL HLDGS INC: UAL	300.0000	07/31/12	11/08/12	$6,313.97	$5,692.35	$621.62
UNITED CONTL HLDGS INC: UAL	100.0000	08/07/12	11/08/12	$2,104.66	$1,819.74	$284.92
UNITED CONTL HLDGS INC: UAL	300.0000	08/07/12	11/08/12	$6,313.97	$5,459.66	$854.31
UNITED CONTL HLDGS INC: UAL	500.0000	08/08/12	11/08/12	$10,523.28	$9,011.95	$1,511.33
UNITED CONTL HLDGS INC: UAL	200.0000	08/10/12	11/08/12	$4,209.31	$3,541.75	$667.56
Security Subtotal				**$112,740.11**	**$97,380.50**	**$15,359.61**
WABTEC: WAB	700.0000	08/09/11	01/05/12	$49,234.70	$38,660.55	$10,574.15
Security Subtotal				**$49,234.70**	**$38,660.55**	**$10,574.15**
WEATHERFORD INTL LTD F: WFT	2,000.0000	02/22/12	06/07/12	$24,975.32	$32,385.95	($7,410.63)
WEATHERFORD INTL LTD F: WFT	600.0000	03/28/12	06/07/12	$7,492.60	$9,384.75	($1,892.15)
Security Subtotal				**$32,467.92**	**$41,770.70**	**($9,302.78)**
WYNN RESORTS: WYNN	300.0000	01/13/12	02/21/12	$36,077.45	$32,325.75	$3,751.70
WYNN RESORTS: WYNN	150.0000	02/13/12	02/21/12	$18,038.73	$16,579.35	$1,459 38
Security Subtotal				**$54,116.18**	**$48,905.10**	**$5,211.08**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Short-Term (continued)		Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
YAHOO INC: YHOO		100.0000	01/04/12	04/18/12	$1,542.75	$1,585.37	($42.62)
YAHOO INC: YHOO		200.0000	01/04/12	04/18/12	$3,085.43	$3,170.74	($85.31)
YAHOO INC: YHOO		500.0000	01/04/12	04/18/12	$7,714.04	$7,926.84	($212.80)
YAHOO INC: YHOO		600.0000	01/04/12	04/18/12	$9,256.49	$9,512.20	($255.71)
YAHOO INC: YHOO		400.0000	01/05/12	04/18/12	$6,170.99	$6,275.55	($104.56)
YAHOO INC: YHOO		400.0000	01/10/12	04/18/12	$6,170.99	$6,219.55	($48.56)
YAHOO INC: YHOO		300.0000	02/14/12	04/18/12	$4,628.24	$4,609.35	$18.89
Security Subtotal					**$38,568.93**	**$39,299.60**	**($730.67)**
ZILLOW INC CL A	CLASS A: Z	600.0000	11/06/12	12/07/12	$16,706.06	$16,825.95	($119.89)
ZILLOW INC CL A	CLASS A: Z	200.0000	11/08/12	12/07/12	$5,568.69	$5,244.95	$323.74
Security Subtotal					**$22,274.75**	**$22,070.90**	**$203.85**
Total Short-Term					**$2,994,715.78**	**$2,854,109.63**	**$143,019.20**

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Long-Term	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
AERCAP HOLDINGS NV F: AER	100.0000	07/07/11	07/11/12	$1,251.71	$1,306.76	($55.05)
AERCAP HOLDINGS NV F: AER	200.0000	07/07/11	07/11/12	$2,505.61	$2,613.39	($107.78)
AERCAP HOLDINGS NV F: AER	215.0000	07/07/11	07/11/12	$2,689.02	$2,809.39	($120.37)
AERCAP HOLDINGS NV F: AER	485.0000	07/07/11	07/11/12	$6,076.12	$6,337.47	($261.35)
AERCAP HOLDINGS NV F: AER	500.0000	07/07/11	07/11/12	$6,263.53	$6,536.65	($273.12)
Security Subtotal				**$18,785.99**	**$19,603.66**	**($817.67)**
LAM RESEARCH CORPORATION: LRCX	100.0000	12/23/11	12/24/12	$3,699.02	$3,672.59	$26.43
LAM RESEARCH CORPORATION: LRCX	100.0000	12/23/11	12/24/12	$3,699.02	$3,672.64	$26.38
LAM RESEARCH CORPORATION: LRCX	100.0000	12/23/11	12/24/12	$3,684.17	$3,672.89	$11.28
LAM RESEARCH CORPORATION: LRCX	200.0000	12/23/11	12/24/12	$7,398.05	$7,345.79	$52.26
Security Subtotal				**$18,480.26**	**$18,363.91**	**$116.35**
PATRIOT TRANSN HLDGS INC: PATR	300.0000	05/27/11	06/29/12	$6,924.89	$6,631.19	$293.70
PATRIOT TRANSN HLDGS INC: PATR	2.0000	05/27/11	07/03/12	$46.88	$44 21	$2.67

Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this report.

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2012 Year-End Schwab Gain/Loss Report

Realized Gain or (Loss) (continued)

Accounting Method
Mutual Funds: Average
All Other Investments: Tax Lot Optimizer ™

Long-Term (continued)	Quantity/Par	Acquired/ Opened	Sold/ Closed	Total Proceeds	Cost Basis	Realized Gain or (Loss)
PATRIOT TRANSN HLDGS INC: PATR	32.0000	05/27/11	07/03/12	$750.06	$707.33	$42.73
PATRIOT TRANSN HLDGS INC: PATR	90.0000	05/27/11	07/03/12	$2,109.56	$1,983.96	$125.60
PATRIOT TRANSN HLDGS INC: PATR	100.0000	05/27/11	07/03/12	$2,343.95	$2,204.40	$139.55
PATRIOT TRANSN HLDGS INC: PATR	576.0000	05/27/11	07/03/12	$13,501.18	$12,731.89	$769.29
Security Subtotal				**$25,676.52**	**$24,302.98**	**$1,373.54**
Total Long-Term				**$62,942.77**	**$62,270.55**	**$672.22**
Total Realized Gain or (Loss)				**$3,057,658.55**	2913967.13 ~~**$2,916,380.48**~~	**$143,691.42**

Schwab has provided realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. See Terms and Conditions.
Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

Endnotes For Your Account

Symbol	Endnote Legend
S	Short sale. Possible tax modifications of the holding period may be required for covered short positions.

Understanding Your Year-End Schwab Gain/Loss Report

This page provides an explanation of the terms used in the Year-End Schwab Gain/Loss Report in the order in which they appear.

Accounting Method: The accounting method noted on the report is the one in effect on the last day of the rep calendar year. If you change your accounting method in the middle of a report period, you actually may have a mixed accounting method; however, the accounting method in effect at year-end will be the only method displayed. FIFO accounting (see definition below) is the default method for U.S. tax reporting purposes. Please consult your tax advisor to discuss this and alternative methods.
Single Category Average Cost: The average cost of all shares held in a mutual fund regardless of how long they are owned. This includes shares acquired with reinvested dividends. This method is available for mutual funds and is the method used for open-end funds in this report.
First In First Out (FIFO): The first investments acquired are the first investments sold. This is the "default" method for U.S. tax purposes. Taxpayers wishing to use another method should consult their tax advisors before executing any trade.
Last In First Out (LIFO): The last investments acquired are the first investments sold.
High Cost: Sell lots in order of highest unit cost to lowest unit cost. This will minimize gains and maximize losses.
Low Cost: Sell lots in order of lowest unit cost to highest unit cost. This will maximize gains and minimize losses.
Tax Lot Optimizer: A tax lot consists of one or more shares of a security purchased at the same price on the same day. Lots sold are selected in the following order.
1. _Short Term Losses:_ Lots that reflect a short term loss are sold first, beginning with lots that generate the greatest short term loss down to the least short term loss
2. _Long Term Losses:_ Lots that reflect a long term loss are sold, beginning with lots that generate the greatest long term loss down to the least long term loss
3. _Short Term No Gains or Losses:_ Short term lots that reflect no gain or loss
4. _Long Term No Gains or Losses:_ Long term lots that reflect no gain or loss
5. _Long Term Gains:_ Long term lots that reflect no gain or loss
6. _Short Term Gains:_ Lots that reflect a short term gain, beginning with lots that generate the least short term gain up to the greatest short term gain
Specific ID: The IRS allows taxpayers to specifically identify lots sold. Such identification should be made with the broker at the time of the trade, and the specific ID should be printed on the trade confirmation. An "m" on this report indicates that the account holder has used Specific ID and matched a sale against a particular lot held at the time of trade.

Closing Transaction: The fulfillment of a contract causing an existing investment to end. A sale could be closing transaction for a long position, and a purchase could be a closing position for a short position.

Short-Term/Long-Term: Gain or (loss) on the sale of a capital asset is labeled long-term if the property has been held for more than one year; it is labeled **short-term** if the property has been held for one year or less. Taxpayers must calculate their net capital gains and losses for each tax year. Favorable tax treatment is allowed to the extent there is a **net long-term** capital gain. Investors need to provide the appropriate purchase date on some investments for the system generating this report to properly determine the holding period. For instance, absent notification to the contrary, the report assumes the purchase date is the date of transfer for investments transferred from another brokerage account. All transactions are displayed at the lot level. A **lot** is a single unit of shares of an investment that was acquired or opened on a specific trade date and at a specific trade time.

Quantity/Par: The number of shares for each lot within each investment position in the account. This is the number of shares for stocks and mutual funds; it is the number of contracts for options; and it is the face value bonds or notes. Fractional shares are rounded for display purposes on this report.

Acquired/Opened: The trade date, effective date or the date provided by the account holder for a particular lot. This date generally establishes the holding period of the lot. For short positions, the opening date is the date the short position is established (please consult your tax advisors regarding the holding period for short sales). Possible tax modifications of the holding period may be required for covered short positions. If no date is available, the field will be left blank.

Sold/Closed: The trade date, effective date or the date provided by the account holder. For long positions, the closing date is the date on which the long position is disposed. For short positions, the closing date is the date on which the short position is covered.

Total Proceeds: The amount received upon disposition of the holding less commissions and applicable fees.

Cost Basis: The amount paid for the lot including applicable commissions, fees and adjustments for corporate actions and return of capital payments. For Short Sales, while the position remains open, the proceeds appear in the Cost Basis column with a negative value. In the Realized Gain or (Loss) section, the Cost Basis is the amount paid to close the transaction and appears in the Cost Basis column. The proceeds will appear in the Total Proceeds column. For cash in your account, Cost Basis includes total cash less margin loan(s) outstanding at the time this report is printed. Because it may include transactions which have not yet settled, please refer to your account statement for details

Adjusted Cost Basis: The amortized cost basis (for bonds bought at a premium) or the accreted cost basis (for bonds bought at a discount).

Realized Gain or (Loss): A realized gain or (loss) is shown when a closing transaction occurs in your account that requires recognition of a gain or loss. To determine the Realized Gain or (Loss) for a lot, the Cost Basis is subtracted from the Total Proceeds

Adjusted Realized Gain or (Loss): The realized gain or (loss) that is calculated based on the Adjusted Cost Basis.

Endnotes: Lettered footnotes are placed next to items that required additional explanation. Footnotes are explained on the last page of the report.
e - Data for this holding has been edited or provided by the account holder
i - Value includes incomplete cost basis If cost basis is not available for an investment, you may be able to provide updates.
m - A sale was matched against a particular lot held at the time of trade.
S - Short sale. Possible tax modifications of the holding period may be required for covered short positions.

Disclaimer at bottom of each page of report
Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here.

Option Customers: Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options. Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options.

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Terms and Conditions

GENERAL INFORMATION
This report contains a gain or a loss summary of your account. This information is not a solicitation or a recommendation to buy or sell. It may, however, be helpful for investment and tax planning strategies. It should not be relied on for filing your tax return, as it may not include all taxable transactions. The Gain/Loss section(s) will not be provided to the IRS or to any other tax authorities. For U.S. taxpayers, the information reported to you on IRS Form 1099 is given to the IRS. Non-U.S. investors should note that the information provided on this report is from a U.S. tax perspective applied to U.S. taxpayers. A non-U.S. investor might nonetheless find this information useful for investment planning. Securities, products, and services are not available in all countries and are subject to country-specific restrictions. The information provided may or may not have relevance in other jurisdictions. We recommend that all customers (non-U.S. and U.S.) consult their investment and tax advisors prior to using this information.

Schwab has provided cost basis data wherever possible for most investments, based on information known at the time this report is prepared.
This data may have been provided to Schwab by vendors of market prices and other data, or by other third parties Although efforts have been made to ensure the quality of the information provided on this report, data may be inaccurate or incomplete and is subject to change. Schwab accepts no responsibility for its accuracy, completeness or timely updating. Additionally, unique tax issues (some of which are discussed below) may alter the reported information. Taxpayers should verify such information against their own records when calculating reportable gain or loss resulting from a sale, redemption, or exchange.

General Rules for U.S. Taxpayers

Currency: All figures are in U.S. dollars.

Accounting Methods: The default accounting methods used in this report are compliant with IRS accounting methods for individual investors; however, they may require special action on your part and may not be appropriate for your particular filing situation.

Holding Period Computation: In computing the holding period, the day of acquisition is disregarded but the day of sale is included. For example, in order to obtain long-term capital gains treatment, property purchased on January 1, 2003, could not be sold until January 2, 2004. The trade date (not the settlement date) determines the date of purchase or sale. Possible tax modifications of the holding period may be required for covered short positions. If no date is available, a blank will be displayed.

Special Accounting Rules: Certain situations including gifts, inheritance, tax-free exchanges, option exercises, short sales, wash sales, straddles, constructive sales, etc., can affect the computation of cost basis and/or holding period. These situations may not be properly factored into the figures shown in this report. Please consult your tax advisor for more information.

IN CASE OF QUESTIONS: If you have questions about this report or about specific Schwab Accounts or Schwab One transactions (other than wire transfers or check transactions), contact Schwab at 800-435-4000. If you have a complaint regarding your Schwab statement, products or services, please write to the Client Advocacy Team at Charles Schwab & Co., Inc., Attention: Client Advocacy Team, 211 Main St., San Francisco, CA 94105.
Outside of the U.S., call +1-415-667-5009.

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ROYCE FAMILY FOUNDATION, INC.
CONTRIBUTIONS PAID
FOR CALENDAR YEAR 2012

Recipient	Amount	check #
ATR Foundation	1,000	144
Cato Institute	1,000	143
Columbia University	2,500	127
Deerfield Academy	2,000	139
Eaglebrook School	500	141
4 Paws for Ability	3,000	131
Friends of Duane Park	120	145
Georgetown University	500	138
Ira Sohn Foundation	10,000	133
JDRF	1,100	148
LA Team Mentoring	500	132
Manhattan Institute	3,000	129
Metropolitan Museum of Art	2,725	130,140,142
Metropolitan Opera	4,000	136
SmileTrain Foundation	600	146
Wikimedia Foundation	3,000	126
WNET / Thirteen	500	128
Total	36,045	