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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation AMERICAN EXPRESS FOUNDATION		A Employer identification number 13-6123529
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (212) 640-4648
200 VESEY STREET	48TH FL	
City or town, state, and ZIP code NEW YORK, NY 10285		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2,205,372.		
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,877.	1,877.		ATCH 1
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	327.			
	b Gross sales price for all assets on line 6a		327.		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,204.	2,204.		
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	13,500.			13,500.
	c Other professional fees (attach schedule) *	447.	447.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 4	29,170.			29,170.
	24 Total operating and administrative expenses. Add lines 13 through 23	43,117.	447.		42,670.
	25 Contributions, gifts, grants paid	8,711,000.			8,711,000.
	26 Total expenses and disbursements. Add lines 24 and 25	8,754,117.	447.	0	8,753,670.
	27 Subtract line 26 from line 12	-8,751,913.			
	a Excess of revenue over expenses and disbursements		1,757.		
	b Net investment income (if negative, enter -0-)				
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	60,119.			
	2	Savings and temporary cash investments	10,897,166.	2,205,372.	2,205,372.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	10,957,285.	2,205,372.	2,205,372.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	10,957,285.	2,205,372.		
	30	Total net assets or fund balances (see instructions)	10,957,285.	2,205,372.		
	31	Total liabilities and net assets/fund balances (see instructions)	10,957,285.	2,205,372.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,957,285.
2	Enter amount from Part I, line 27a	2	-8,751,913.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,205,372.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,205,372.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	327.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	8,703,807.	15,721,118.	0.553638
2010	8,252,628.	11,989,084.	0.688345
2009	7,821,678.	9,599,893.	0.814767
2008	10,368,784.	11,800,611.	0.878665
2007	17,544,638.	15,296,101.	1.147001
2 Total of line 1, column (d)			2 4.082416
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.816483
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 7,534,703.
5 Multiply line 4 by line 3			5 6,151,957.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 18.
7 Add lines 5 and 6			7 6,151,975.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 8,753,670.

1	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . .		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	18.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	2	
3	Add lines 1 and 2	3	18.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	18.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	10,920.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	10,920.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,902.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ▶ 10,902. Refunded ▶	11	

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities	1b	X
c Did the foundation file Form 1120-POL for this year?	1c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ <u>0</u> (2) On foundation managers ► \$ <u>0</u>		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ <u>0</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> MARY ELLEN CRAIG Telephone no <input type="checkbox"/> 212-640-4648			
Located at <input type="checkbox"/> 200 VESEY STREET, 48TH FL NEW YORK, NY ZIP+4 <input type="checkbox"/> 10285				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐ ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	7,649,445.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	7,649,445.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,649,445.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	114,742.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,534,703.
6	Minimum investment return. Enter 5% of line 5	6	376,735.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	376,735.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	18.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	18.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	376,717.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	376,717.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	376,717.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,753,670.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,753,670.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	18.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,753,652.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				376,717.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u>				
3 Excess distributions carryover, if any, to 2012				
a From 2007 16,732,672.				
b From 2008 9,785,631.				
c From 2009 7,343,021.				
d From 2010 7,653,477.				
e From 2011 7,917,872.				
f Total of lines 3a through e	49,432,673.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>8,753,670.</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				376,717.
e Remaining amount distributed out of corpus	8,376,953.			
5 Excess distributions carryover applied to 2012 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	57,809,626.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	16,732,672.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	41,076,954.			
10 Analysis of line 9				
a Excess from 2008 9,785,631.				
b Excess from 2009 7,343,021.				
c Excess from 2010 7,653,477.				
d Excess from 2011 7,917,872.				
e Excess from 2012 8,376,953.				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 7				
Total ► 3a				8,711,000.
b Approved for future payment ATCH 8				
Total ► 3b				5,872,000.

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**


Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Time

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name MARIE ARRIGO	Preparer's signature 	Date 11/07/2013	Check <input type="checkbox"/> if self-employed	PTIN P00058583
	Firm's name ▶ EISNERAMPER LLP			Firm's EIN ▶ 13-1639826	
	Firm's address ▶ 750 THIRD AVENUE NEW YORK, NY 10017-2703			Phone no. 212-949-8700	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					327.	
TOTAL GAIN (LOSS)							<u>327.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
HARRIS BANK	1,877.	1,877.
TOTAL	<u>1,877.</u>	<u>1,877.</u>

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
EISNERAMPER LLP	13,500.			13,500.
TOTALS	<u>13,500.</u>			<u>13,500.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT ADVISORY: RIVERSOURCE INVESTMENTS, LLC	447.	447.		
TOTALS	<u>447.</u>	<u>447.</u>		

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BANK FEES	16,633.			16,633.
FILING FEES	750.			750.
ADMINISTRATION FEES	11,787.			11,787.
TOTALS	29,170.			29,170.

ATTACHMENT 5FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: SEE ATTACHED
GRANTEE'S ADDRESS:
CITY, STATE & ZIP:
GRANT DATE:
GRANT AMOUNT:
GRANT PURPOSE:
AMOUNT EXPENDED:
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Arts & Business Ltd.
Mr. Philip Spedding
Director
137 Shepherdess Walk
United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$140,000.00 paid on 12/02/2011

(3) PURPOSE OF THE GRANT:

Support for administration of the Culture Card Program and grants to institutions in the London/Brighton areas for 2011-2012.

(4) AMOUNT SPENT BY GRANTEE:

\$140,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

October 10, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Asociacion Civil RESPONDE
Dr. Marcela Benitez
Executive Director
Av. Cervino 4634 1 B
Ciudad Autonoma de Buenos Aires
Argentina

(2) AMOUNT OF GRANT AND DATE:

\$50,000.00 paid on 10/27/2011

(3) PURPOSE OF THE GRANT:

Support for the historic preservation and adaptive reuse of a 200 year old traditional building in rural Argentina.

(4) AMOUNT SPENT BY GRANTEE:

\$ 41,808

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

March 12, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Association for Persons with Special Needs
Dr. Dr. Chey Chor Khoo
Executive Director
29 Jalan Tembusu
Singapore

(2) AMOUNT OF GRANT AND DATE:

\$50,000.00 paid on 8/19/2011

(3) PURPOSE OF THE GRANT:

Support for development of an ecology facility designed to empower persons with special needs through environmental activities.

(4) AMOUNT SPENT BY GRANTEE:

\$ 11,610.16

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 1, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

AWWA - Asian Women's Welfare Association (AWWA Elderly Services)
AWWA - Asian Women's Welfare Association (AWWA Elderly Services)
Daniel Chua
Donor Relationship Manager
Blk 123, Ang Mo Kio Avenue 6
Singapore

(2) AMOUNT OF GRANT AND DATE:

\$50,000.00 paid on 10/29/2010

(3) PURPOSE OF THE GRANT:

Refurbishment Singapore Elder Services Center

(4) AMOUNT SPENT BY GRANTEE:

\$50,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 17, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Hungarian Chamber Symphony Orchestra
Mr. Imre Magyari
President
Visegrádi u. 9., IV-5
1132 Budapest
Hungary

(2) AMOUNT OF GRANT AND DATE:

\$25,000.00 paid on 12/12/2006

(3) PURPOSE OF THE GRANT:

A series of concerts performed for underprivileged children, in hospitals, institutes for the blind, and homes for orphans.

(4) AMOUNT SPENT BY GRANTEE:

\$ 15,046

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

Last report received 9/15/2008.

Note: the organization is no longer reachable. It is our understanding that they have disbanded. See attached documentation of our attempt to communicate in 2013.

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Instituto de Liderazgo en Museos, A.C.
Jose Antonio Alonso
President
Corregidora num. 90
Col. Campestre, Del. Alvaro Obregon
Mexico

(2) AMOUNT OF GRANT AND DATE:

\$20,000.00 paid on 3/14/2012

(3) PURPOSE OF THE GRANT:

Support for leadership training for museum professionals in Mexico City, Mexico.

(4) AMOUNT SPENT BY GRANTEE:

\$20,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

March 14, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Sussex Community Foundation
Mr. Kevin Richmond
Chief Executive Officer
Suite B, Falcon Wharf
Railway Lane
United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$70,000.00 paid on 8/6/2012

(3) PURPOSE OF THE GRANT:

Administration of the American Express Fund to support strategic philanthropy in Brighton.

(4) AMOUNT SPENT BY GRANTEE:

\$35,387

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

March 15, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2012 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Sussex Community Foundation
Mr. Kevin Richmond
Chief Executive Officer
Suite B, Falcon Wharf
Railway Lane
United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$70,000.00 paid on 12/2/2011

(3) PURPOSE OF THE GRANT:

Administration of the American Express Fund to support strategic philanthropy in Brighton.

(4) AMOUNT SPENT BY GRANTEE:

\$70,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

March 15, 2013

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION
American Express Tower
World Financial Center
New York, NY 10285-4804

Form 990-PF-2012

PART Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

2a) American Express Philanthropy
American Express Tower
World Financial Center
New York, NY 10285-4804

- 2b)
- Name and address of organization
 - Contact person, title, phone number
 - Geographic area served by organization
 - History of previous support from the American Express Philanthropic Program, Foundation or an American Express subsidiary
 - Amount of funds requested
 - Description of project, including information on target groups to be served, needs addressed, program objectives, activities to be undertaken, timetable
 - Detailed project budget
 - Proof of nonprofit status, 501(c)(3) tax-exempt notification
 - Board of Directors
 - Latest annual report (if not available, description of organization's overall mission and latest accomplishments)
 - Funding sources

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHMENT

NONE

PUBLIC CHARITIES

GENERAL SUPPORT

8,711,000

TOTAL CONTRIBUTIONS PAID

8,711,000

AMERICAN EXPRESS FOUNDATION
American Express Tower
World Financial Center
New York, NY 10285-4804

Form 990-PF-2012
PART XV, LINE 3

2012 FOUNDATION GRANTS SUMMARY

Leadership	\$2,600,000	
Historic Preservation	583,500	
Community Service	2,028,000	
Special Projects	1,425,000	
International	2,074,500	
Total Foundation Contribution	\$8,711,000	SEE SCHEDULES ATTACHED

AMERICAN EXPRESS FOUNDATION

<u>Payee Name</u>	<u>Payee Address</u>	<u>Amount</u>	<u>Check Number</u>	<u>Payment / Check Date</u>
New York Cares, Inc	65 Broadway, 19th Floor New York, NY 10006	\$50,000 00	12053	3/14/2012
Project Sunshine	108 West 39th Street Suite 725 New York, NY 10018	\$45,000 00	12056	3/14/2012
TapFound, Inc	466 Geary Street, Suite 200 San Francisco, CA 94102	\$60,000 00	12057	3/14/2012
3-Legged Dog Inc	80 Greenwich Street New York, NY 10006	\$13,000 00	12058	4/11/2012
City Harvest, Inc	575 Eighth Avenue, 4th Floor New York, NY 10018	\$75,000 00	12060	4/11/2012
Harlem Children's Zone	35 East 125th Street New York, NY 10035	\$150,000 00	12062	4/11/2012
Sphinx Organization, Inc	400 Renaissance Center Suite 2550 Detroit, MI 48243	\$5,000 00	12067	4/11/2012
Student Partner Alliance - A New Jersey Non-Profit Corporation	60 East Willow Street Millburn, NJ 07041	\$10,000 00	12068	4/11/2012
TapFound, Inc	466 Geary Street, Suite 200 San Francisco, CA 94102	\$5,000 00	12069	4/11/2012
Arthur Ashe Institute For Urban Health, Inc	483 Hudson Avenue Brooklyn, NY 11201	\$50,000 00	12083	5/24/2012
Advertising Council, Inc , The	815 Second Avenue - 9th floor New York, NY 10017	\$35,000 00	12094	7/11/2012
Central Park Conservancy Inc	14 East 60th Street New York, NY 10022-	\$25,000 00	12099	7/11/2012
City Parks Foundation	830 Fifth Avenue New York, NY 10065-7001	\$25,000 00	12100	7/11/2012
Cooke Center for Learning and Development, The	475 Riverside Dnve Suite 730 New York, NY 10115-1118	\$10,000 00	12102	7/11/2012
Manhattan Institute for Policy Research	52 Vanderbilt Avenue New York, NY 10017	\$25,000 00	12105	7/11/2012
New York Center for Children, The	333 East 70th Street New York, NY 10021	\$15,000 00	12107	7/11/2012
Children's Health Fund, The	215 West 125th Street Suite 301 New York, NY 10027	\$10,000 00	12113	8/6/2012
My Good Deed	503 32nd Street Suite 120 Newport Beach, CA 92663	\$125,000 00	12117	8/6/2012
New York City Partnership Foundation, Inc	One Battery Park Plaza New York, NY 10004-1479	\$75,000 00	12119	8/6/2012
City Year Inc	287 Columbus Avenue Boston, MA 02116	\$100,000 00	12130	8/22/2012
A Better Chance, Inc	253 W 35th Street 6th floor New York, NY 10001-2506	\$10,000 00	12150	10/8/2012
Animal Haven, Inc	251 Centre Street New York, NY 10013	\$25,000 00	12151	10/8/2012
Do Something Inc	19 W 21 Street, 8th Floor New York, NY 10010	\$50,000 00	12155	10/8/2012
Foundation Center, The	79 Fifth Avenue New York, NY 10003	\$10,000 00	12157	10/8/2012
Henry Street Settlement	265 Henry Street New York, NY 10002	\$35,000 00	12160	10/8/2012
Liberty Science Center, Inc	Liberty State Park 222 Jersey City Boulevard Jersey City, NJ 07305	\$25,000 00	12164	10/8/2012
Yorkville Common Pantry	8 East 109th Street New York, NY 10029-3402	\$25,000 00	12167	10/8/2012
God's Love We Deliver	166 Avenue of the Americas New York, NY 10013	\$100,000 00	12169	11/8/2012

AMERICAN EXPRESS FOUNDATION

Habitat for Humanity International, Inc	121 Habitat St Americus, GA 31709	\$25,000 00	12170	11/8/2012
Open Space Institute Inc	1350 Broadway Ste 201 New York, NY 10018	\$25,000 00	12175	11/8/2012
Ashoka	1700 North Moore Street, Suite 2000 Arlington, VA 22209	\$50,000 00	12182	11/28/2012
Boys & Girls Clubs of America	National Headquarters 1275 Peachtree St NE Atlanta, GA 30309	\$25,000 00	12186	11/28/2012
Center for Food Action in New Jersey	192 W Demarest Avenue Englewood, NJ 07631	\$10,000 00	12188	11/28/2012
Disability Funders Network	14241 Midlothian Trnpg #151 Midlothian, VA 23113	\$10,000 00	12192	11/28/2012
DonorsChoose Org	213 W 35 Street Floor 2E New York, NY 10001	\$25,000 00	12193	11/28/2012
Feeding America	35 E Wacker Drive Suite 2000 Chicago, IL 60601	\$150,000 00	12197	11/28/2012
Feeding America	35 E Wacker Drive Suite 2000 Chicago, IL 60601	\$45,000 00	12198	11/28/2012
God's Love We Deliver	166 Avenue of the Americas New York, NY 10013	\$100,000 00	12200	11/28/2012
Harlem Children's Zone	35 East 125th Street New York, NY 10035	\$150,000 00	12201	11/28/2012
Housing Works, Inc	57 Willoughby St Brooklyn, NY 11201	\$25,000 00	12202	11/28/2012
Lower Manhattan Cultural Council, Inc	125 Maiden Lane 2nd Floor New York, NY 10038	\$10,000 00	12203	11/28/2012
New York Cares, Inc	65 Broadway, 19th Floor New York, NY 10006	\$10,000 00	12206	11/28/2012
NPowerNY Inc	3 Metrotech Center Brooklyn, NY 11201	\$25,000 00	12207	11/28/2012
Operation Homefront, Inc	8930 Fourwinds Drve, Suite 340 San Antonio, TX 78239	\$30,000 00	12208	11/28/2012
TapFound, Inc	466 Geary Street, Suite 200 San Francisco, CA 94102	\$100,000 00	12212	11/28/2012
Young Mens Christian Association of Greater New York	5 West 63rd Street, 6th Floor New York, NY 10023	\$25,000 00	12213	11/28/2012
	Total Community Service	\$2,028,000.00		
Battery Conservancy, Inc	One New York Plaza Concourse Level New York, NY 10004	\$10,000 00	12185	11/28/2012
Seaport Museum New York	12 Fulton Street New York, NY 10038	\$10,000 00	12210	11/28/2012
Carroll Museums, Inc	800 East Lombard Street Baltimore, MD 21202	\$20,000 00	12187	11/28/2012
General Douglas MacArthur Foundation	MacArthur Square Norfolk, VA 23510	\$5,000 00	12199	11/28/2012
Palisades Parks Conservancy, Inc . The	PO Box 427 3006 Seven Lakes Dr Bear Mountain, NY 10911	\$50,000 00	12209	11/28/2012
Exceptional Childrens Foundation A Corp	8740 Washington Blvd Culver City, CA 90230	\$25,000 00	12196	11/28/2012
CITYarts Inc	525 Broadway, Suite 602 New York, NY 10012	\$10,000 00	12189	11/28/2012
Art Education for the Blind	589 Broadway 3rd Floor New York, NY 10012	\$15,000 00	12180	11/28/2012
Atlantic Theater Company	76 Ninth Avenue Suite 537 New York, NY 10011	\$50,000 00	12097	7/11/2012

AMERICAN EXPRESS FOUNDATION

Hancock Shaker Village, Inc	PO Box 927 Routes 41 and 20 Pittsfield, MA 01202	\$8,500 00	12171	11/8/2012
Historic Saranac Lake	89 Church Street, Suite 2 Saranac Lake, NY 12983	\$5,000 00	12142	9/5/2012
Tug Pegasus Preservation Project	705 Jersey Avenue Jersey City, NJ 07302	\$50,000 00	12149	9/5/2012
Jacob's Pillow Dance Festival, Inc	358 George Carter Road Becket, MA 01223	\$25,000 00	12133	8/22/2012
Smithsonian Institution	1000 Jefferson Drive, SW Room 302, LRC012 Washington, DC 20560-0012	\$100,000 00	12123	8/6/2012
Colonial Williamsburg Foundation	Box 1776 Williamsburg, VA 23187	\$30,000 00	12131	8/22/2012
Philadelphia Museum of Art	P O Box 7646 Philadelphia, PA 19101	\$50,000 00	12092	5/24/2012
Appalachian Trail Conservancy	PO Box 807, 799 Washington Street Harpers Ferry, WV 25425	\$25,000 00	12072	4/30/2012
California State Parks Foundation	50 Francisco Street, Suite 110 San Francisco, CA 94133	\$50,000 00	12073	4/30/2012
Cornerstones Community Partnership	PO Box 2341 Santa Fe, NM 87504	\$20,000 00	12080	5/14/2012
NTC Foundation	2801 Rosecrans Street San Diego, CA 92106	\$25,000 00	12078	4/30/2012
	Total Historic Preservation	\$583,500 00		
Corporation of Massey Hall and Roy Thomson Hall, The	60 Simcoe Street Toronto, Ontario M5J 2H5 Canada	\$100,000 00	12190	11/28/2012
Art Gallery of Ontario	317 Dundas Street West Toronto, Ontario M5T 1G4 Canada	\$150,000 00	12138	9/5/2012
Habitat for Humanity Toronto	155 Berrymore Road Toronto, ON M4A 1X9 Canada	\$25,000 00	12132	8/22/2012
Arts & Business Ltd	137 Shepherdess Walk United Kingdom	\$112,000 00	12181	11/28/2012
Terrence Higgins Trust Limited	314-320 Gray's Inn Road United Kingdom	\$20,000 00	12147	9/5/2012
Money Advice Trust	21 Garlick Hill United Kingdom	\$70,000 00	12143	9/5/2012
Sussex Community Foundation	Suite B, Falcon Wharf Railway Lane United Kingdom	\$70,000 00	12124	8/6/2012
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	\$40,000 00	12086	5/24/2012
Sadler's Wells Association, Inc	222 Park Avenue South, 10A New York, NY 10003	\$150,000 00	12093	5/24/2012
American Friends of the British Museum, Inc , The	6 West 48th Street 10th Floor New York, NY 10036	\$90,000 00	12071	4/30/2012
Brighton Dome and Festival, Ltd	12A Pavilion Building, Castle Square United Kingdom	\$75,000 00	12059	4/11/2012
United Way Worldwide	701 North Fairfax Street Alexandria, VA 22314-2045	\$25,000 00	12178	11/8/2012
Ljubav Na Djelu (Love in Action)	Sokolska 15 Croatia	\$75,000 00	12165	10/8/2012
King Baudouin Foundation United States, Inc	10 Rockefeller Plaza, 16th Floor New York, NY 10020	\$30,000 00	12134	8/22/2012
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	\$124,000 00	12085	5/24/2012

AMERICAN EXPRESS FOUNDATION

Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	\$158,000 00	12075	4/30/2012
European Foundation Centre	78, avenue de la Toison d'Or 1060 Brussels Belgium	\$6,500 00	12087	5/24/2012
Alliance Publishing Trust	1st Floor, 25 Corsham Street United Kingdom	\$5,000 00	12070	4/30/2012
TAMANA	C P W D Complex Near Chinmaya Vidyalaya Vasant Vihar India	\$20,000 00	12177	11/8/2012
Junior Achievement Singapore Ltd	3 Lorong 6 Toa Payoh The HSR Building Singapore	\$25,000 00	12163	10/8/2012
Habitat for Humanity New Zealand Ltd	PO Box 112387 New Zealand	\$17,000 00	12159	10/8/2012
Japan Philanthropic Association	Shin-otemachi Building 244, 2-2-1 Otemachi Japan	\$75,000 00	12161	10/8/2012
ETIC	4F Apple Ohmi Building 1-5-7 Jinnan Japan	\$71,000 00	12156	10/8/2012
Chunghwa Financial Education Development Association	8F1-1, No 369, Fuxing North Road Songshan District Taiwan	\$13,000 00	12128	8/22/2012
Junior Achievement (Hong Kong) Limited	Unit 102, 1/F Beautiful Group Tower 74-77 Connaught Road Central, Central China	\$12,000 00	12162	10/8/2012
Australian Business and Community Network	Level 3, 141 York Street Australia	\$66,000 00	12112	8/6/2012
Junior Achievement International China, Inc	13300 Durant Road Raleigh, NC 27614	\$50,000 00	12104	7/11/2012
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	\$50,000 00	12074	4/30/2012
Asociacion Amigos Del Museo Fernandez Blanco	Supacha 1422 Argentina	\$25,000 00	12183	11/28/2012
Asociacion Amigos del Museo Nacional de Bellas Artes	Av Figueroa Alcorta 2280 Buenos Aires Argentina	\$40,000 00	12084	5/24/2012
Fundacion Cimientos	Pte J E Unburu 1175 Argentina	\$35,000 00	12158	10/8/2012
Asociacion Civil RESPONDE	Av Cervino 4634 1 B Ciudad Autonoma de Buenos Aires Argentina	\$50,000 00	12152	10/8/2012
Amigos del Museo de Arte Moderno A C	Paseo de la Reforma y Gandhi s/n Colonia Bosque de Chapultepec Mexico	\$100,000 00	12126	8/22/2012
Project Bandaloop	221 Pine Street 4th Floor San Francisco, CA 94104	\$100,000 00	12122	8/6/2012

\$2,074,500.00

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AMERICAN EXPRESS FOUNDATION

Echoing Green	494 Eighth Avenue 2nd Floor New York, NY 10001	\$25,000 00	12194	11/28/2012
National Guild for Community Arts Education Inc	520 8th Avenue Suite 302 New York, NY 10018	\$55,000 00	12118	8/6/2012
Cold Spring Harbor Laboratory	1 Bungtown Road Cold Spring Harbor, NY 11724	\$65,000 00	12140	9/5/2012
Young Mens Christian Association of Greater New York	5 West 63rd Street, 6th Floor New York, NY 10023	\$25,000 00	12214	11/28/2012
Aspen Institute Inc , The	2010 Carmichael Road P O Box 222 Queenstown, MD 21658	\$106,000 00	12096	7/11/2012
NRF Foundation	325 Seventh Street, NW Suite 1100 Washington, DC 20004	\$75,000 00	12121	8/6/2012
Dance/USA	1111 16th Street, NW Suite 300 Washington, DC 20036	\$30,000 00	12191	11/28/2012
National Guild for Community Arts Education Inc	520 8th Avenue Suite 302 New York, NY 10018	\$25,000 00	12205	11/28/2012
Association of Performing Arts Presenters Inc	1211 Connecticut Ave NW Ste 200 Washington, DC 20036	\$50,000 00	12184	11/28/2012
OPERA America, Inc	330 Seventh Avenue 8th Floor New York, NY 10001	\$50,000 00	12176	11/8/2012
N Y C Mission Society	105 East 22nd Street 6th floor New York, NY 10010	\$25,000 00	12204	11/28/2012
Institute for Public Service, Inc , The	1 Centre Street Room 2425 New York, NY 10007	\$10,000 00	12172	11/8/2012
Americans for Indian Opportunity	1001 Marquette Avenue, NW Albuquerque, NM 87102	\$25,000 00	12168	11/8/2012
United Way Worldwide	701 North Fairfax Street Alexandria, VA 22314-2045	\$15,000 00	12179	11/8/2012
Marlboro College	2582 South Road Marlboro, VT 05344	\$15,000 00	12173	11/8/2012
Vera Institute of Justice	233 Broadway, 12th Floor New York, NY 10279	\$25,000 00	12166	10/8/2012
Astraea Foundation	116 East 16th Street 7th Floor New York, NY 10003	\$35,000 00	12153	10/8/2012
Public Allies, Inc	735 N Water Street, Suite 550 Milwaukee, WI 53202	\$100,000 00	12108	7/11/2012
American Council on Education	One Dupont Circle, NW Suite 800 Washington, DC 20036-1193	\$75,000 00	12095	7/11/2012
National Performance Network	P O Box 56698 New Orleans, LA 70156	\$25,000 00	12174	11/8/2012
Discipleship Outreach Ministries, Inc	5220 4th Avenue Brooklyn, NY 11220	\$10,000 00	12154	10/8/2012
Congressional Hunger Center	400 North Capital Street, NW Suite G100 Washington, DC 20001	\$25,000 00	12141	9/5/2012
NatureServe	4600 N Fairfax Drive, 7th Floor Arlington, VA 22203	\$4,000 00	12146	9/5/2012
Tides Center, The	The Presidio P O Box 29907 San Francisco, CA 94129-0709	\$25,000 00	12148	9/5/2012
National Academy Foundation	218 W 40th Street 5th Floor New York, NY 10018	\$300,000 00	12063	4/11/2012

AMERICAN EXPRESS FOUNDATION

Year Up, Inc	93 Summer Street 5th Floor Boston, MA 02110	\$100,000 00	12109	7/11/2012
CARE, Inc	151 Ellis Street, Suite 100 Atlanta, GA 30303	\$50,000 00	12127	8/22/2012
Southern California Center for Nonprofit Management	1000 N Alameda Street Suite 250 Los Angeles, CA 90012	\$25,000 00	12137	8/22/2012
NatureServe	4600 N Fairfax Drive, 7th Floor Arlington, VA 22203	\$75,000 00	12145	9/5/2012
Autism Speaks, Inc	1060 State Street, 2nd Floor Princeton, NJ 08540	\$25,000 00	12139	9/5/2012
SER - Jobs for Progress National, Inc	100 East Royal Lane, Suite 130 Irving, TX 75039	\$50,000 00	12136	8/22/2012
YouthBuild USA	58 Day Street Somerville, MA 02144	\$50,000 00	12125	8/6/2012
City College 21st Century Foundation, Inc , The	160 Convent Avenue Shepard Hall New York, NY 10031	\$40,000 00	12129	8/22/2012
Rutgers University Foundation	7 College Avenue New Brunswick, NJ 08901	\$20,000 00	12135	8/22/2012
Hadley School for the Blind, The	700 Elm Street Winnetka, IL 60093	\$25,000 00	12116	8/6/2012
National Hispana Leadership Institute (NHLI)	1601 N Kent Street Suite 803 Arlington, VA 22209	\$75,000 00	12049	3/2/2012
Young Audiences Inc	171 Madison Avenue Suite 200 New York, NY 10016	\$25,000 00	12110	7/11/2012
National Trust for Historic Preservation in the U S	1785 Massachusetts Avenue, N W Washington, DC 20036	\$35,000 00	12144	9/5/2012
Mobilizing America's Youth, Inc	1875 K Street NW 5th Floor Washington, DC 20006	\$50,000 00	12106	7/11/2012
Community Resource Exchange	42 Broadway 20th floor New York, NY 10004	\$25,000 00	12101	7/11/2012
Atlas Service Corps, Inc	8124 Tavern Keepers Way Mechanicsville, VA 23111	\$50,000 00	12098	7/11/2012
Leadership Education for Asian Pacifics, Inc	327 East Second Street Suite 226 Los Angeles, CA 90012	\$25,000 00	12089	5/24/2012
Good Shepherd Services	305 Seventh Avenue 9th Floor New York, NY 10001	\$25,000 00	12088	5/24/2012
Georgia Center for Nonprofits, Inc	100 Peachtree Street NW Suite 1500 Atlanta, GA 30303	\$25,000 00	12081	5/14/2012
New York Foundation for the Arts, Inc	20 Jay Street Suite 740 Brooklyn, NY 11201	\$40,000 00	12091	5/24/2012
Young Nonprofit Professionals Network	1351 Carlsbad Drive Gaithersburg, MD 20879	\$50,000 00	12111	7/11/2012
Coro New York Leadership Center	42 Broadway Suite 1827-35 New York, NY 10004	\$40,000 00	12076	4/30/2012
Partnership for After School Education, Inc	120 Broadway Suite 230 New York, NY 10271	\$40,000 00	12082	5/14/2012
Latino Policy Forum	180 North Michigan Avenue Suite 1250 Chicago, IL 60601	\$25,000 00	12077	4/30/2012
Support Center for Nonprofit Management, Inc	305 Seventh Avenue 11th Floor New York, NY 10001-6008	\$25,000 00	12079	4/30/2012

AMERICAN EXPRESS FOUNDATION

National Urban League, Inc	120 Wall Street New York, NY 10005	\$50,000 00	12065	4/11/2012
National Council for Research on Women, The	11 Hanover Square 24th Floor New York, NY 10005	\$50,000 00	12064	4/11/2012
Echoing Green	494 Eighth Avenue 2nd Floor New York, NY 10001	\$25,000 00	12103	7/11/2012
Peabody Essex Museum Inc	East India Square Salem, MA 01970	\$25,000 00	12055	3/14/2012
New York University	70 Washington Square South Office of the President 12th floor New York, NY 10012	\$50,000 00	12054	3/14/2012
Asian American Coalition for Children and Families, Inc	50 Broad Street 18th Floor New York, NY 10004	\$25,000 00	12047	3/2/2012
East Harlem Employment Services, Inc	240 East 123rd Street, 3rd Floor New York, NY 10035	\$25,000 00	12048	3/2/2012
Columbia University in the City of New York, Trustees of	2960 Broadway New York, NY 10027-6902	\$65,000 00	12061	4/11/2012
Connecticut Council of Family Service Agencies	1310 Silas Deane Highway, Suite 219 Wethersfield, CT 06109	\$25,000 00	12114	8/6/2012
Nonprofit Roundtable of Greater Washington, Inc	1201 15th Street, NW Washington, DC 20005	\$25,000 00	12066	4/11/2012
	Total Leadership	\$2,600,000.00		
New York University	70 Washington Square South Office of the President 12th floor New York, NY 10012	\$375,000 00	12120	8/6/2012
Smithsonian Institution	1000 Jefferson Drive, SW Room 302, LRC012 Washington, DC 20560-0012	\$1,000,000 00	12211	11/28/2012
Four Freedoms Park Conservancy, Inc	147 West 35th Street Suite 1505 New York, NY 10001	\$50,000 00	12051	3/14/2012
	Total Special Projects	\$1,425,000.00		

FORM 990-E, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 8

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHMENT

NONE

PUBLIC CHARITIES

GENERAL SUPPORT

5,872,000

TOTAL CONTRIBUTIONS APPROVED

5,872,000

AMERICAN EXPRESS FOUNDATION

Grantee Name		2013	2014	2015
American Council on Education	One Dupont Circle, NW Suite 800 Washington, DC 20036-1193	\$75,000 00		
Atlas Service Corps, Inc	8124 Tavern Keepers Way Mechanicsville, VA 23111	\$50,000 00	\$50,000 00	
Autism Speaks, Inc	8124 Tavern Keepers Way Mechanicsville, VA 23111	\$25,000 00		
Cold Spring Harbor Laboratory	1 Bungtown Road Cold Spring Harbor, NY 11724	\$50,000 00		
City College 21st Century Foundation, Inc , The	160 Convent Avenue Shepard Hall New York, NY 10031	\$35,000 00		
Cooper-Hewitt, National Design Museum	2 East 91st Street New York, NY 10128-0669	\$100,000 00		
Harlem Children's Zone	35 East 125th Street New York, NY 10035		\$150,000 00	\$150,000 00
Independent Sector	1602 L Street NW, Suite 900 Washington, DC 20036	\$150,000 00		
Japan Philanthropic Association	Shin-otemachi Building 244, 2-2-1 Otemachi Japan	\$75,000 00		
Junior Achievement (Hong Kong) Limited	Unit 102, 1/F Beautiful Group Tower 74-77 Connaught Road Central, Central China	\$12,000 00		
Leadership Education for Asian Pacifics, Inc	327 East Second Street Suite 226 Los Angeles, CA 90012	\$25,000 00		
LeaderSpring, a Project of the Tides Center	The Presidio P O Box 29907 San Francisco, CA 94129-0709	\$25,000 00		
Mobilizing America's Youth, Inc	1875 K Street NW 5th Floor Washington, DC 20006	\$50,000 00		
N Y C Mission Society	105 East 22nd Street 6th floor New York, NY 10010	\$25,000 00		
National Academy Foundation	218 W 40th Street 5th Floor New York, NY 10018	\$200,000 00	\$100,000 00	
National Council for Research on Women, The	11 Hanover Square 24th Floor New York, NY 10005	\$50,000 00		
National Guild for Community Arts Education Inc	520 8th Avenue Suite 302 New York, NY 10018	\$25,000 00		
National Urban Fellows, Inc	989 Ave of the Americas New York NY 10018	\$100,000 00		
NatureServe	4600 N Fairfax Drive, 7th Floor Arlington, VA 22203	\$75,000 00		
New York City Partnership Foundation, Inc	One Battery Park Plaza New York, NY 10004-1479	\$75,000 00		
New York University - The Women of Color Policy Network	70 Washington Square South Office of the President 12th floor New York, NY 10012	\$50,000 00		
New York University Langone Medical Center	70 Washington Square South Office of the President 12th floor New York, NY 10012	\$350,000 00	\$275,000 00	
Nonprofit Roundtable of Greater Washington, Inc	1201 15th Street, NW Washington, DC 20005	\$25,000 00		
Peabody Essex Museum Inc	East India Square Salem, MA 01970	\$25,000 00		
Philadelphia Museum of Art	P O Box 7646 Philadelphia, PA 19101	\$50,000 00	\$50,000 00	
Public Allies, Inc	735 N Water Street, Suite 550 Milwaukee, WI 53202	\$100,000 00		
Sadler's Wells Association, Inc	222 Park Avenue South, 10A New York, NY 10003	\$150,000 00		
SER - Jobs for Progress National, Inc	100 East Royal Lane, Suite 130 Irving, TX 75039	\$50,000 00		
Smithsonian Institution National Museum of African American History and Culture	1000 Jefferson Drive, SW Room 302, LRC012 Washington, DC 20560-0012	\$1,000,000 00	\$1,000,000 00	\$1,000,000 00
Young Nonprofit Professionals Network	1351 Carlsbad Drive Gaithersburg, MD 20879	\$50,000 00		
YouthBuild USA	58 Day Street Somerville, MA 02144	\$25,000 00		
		\$3,097,000 00	\$1,625,000 00	\$1,150,000 00

TOTAL GRANTS APPROVED FOR FUTURE PAYMENT \$5,872,000

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10/8/2013

AMERICAN EXPRESS FOUNDATION
American Express Tower
World Financial Center
New York, NY 10285-4804

Form 990-PF-2012
PART VII, LINE 1

<u>NAME</u>	<u>TITLE</u>	<u>TIME DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
Kenneth I. Chenault	Trustee	Six Hours Annually	None
Edward P. Gilligan	Trustee	Six Hours Annually	None
Daniel T. Henry	Trustee	Six Hours Annually	None
Stephen J. Squeri	Trustee	Six Hours Annually	None
Thomas Schick	Chairman	Six Hours Annually	None
Timothy J. McClimon	President	Twenty Hours Annually	None
Judy Tenzer (1)	Secretary	Six Hours Annually	None
Tammy Fried	Counsel	Twelve Hours Annually	None
Michael J. Zammit (2)	Counsel	Twelve Hours Annually	None
Richard A. Brown	Assistant Secretary	Six Hours Annually	None
David L. Yowan	Assistant Treasurer	Six Hours Annually	None
Mary Ellen Craig	Comptroller and Secretary (3)	Seventy Hours	None
Address to use for all officers: C/O Foundation	200 Vesey Street - 48th Floor	New York, NY 10285	

- (1) Resigned September 2012
(2) Resigned June 2012
(3) Elected November 2012

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions		Enter filer's identifying number, see instructions Employer identification number (EIN) or	
	AMERICAN EXPRESS FOUNDATION		13-6123529	
	Number, street, and room or suite no. If a P O box, see instructions		Social security number (SSN)	
	200 VESEY STREET			
	City, town or post office, state, and ZIP code For a foreign address, see instructions			
	NEW YORK, NY 10285			

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-BL	08
Form 990-BL	02	Form 1041-A	09
Form 4720 (individual)	03	Form 4720	10
Form 990-PF	04	Form 5227	11
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	12
Form 990-T (trust other than above)	06	Form 8870	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ MARY ELLEN CRAIG
Telephone No ☒ 212 640-4648 FAX No ☐ _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for
- 4 I request an additional 3-month extension of time until 11/15, 2013
- 5 For calendar year 2012, or other tax year beginning _____, 20____, and ending _____, 20____
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension AWAITING INFORMATION FROM THIRD PARTY SOURCES
NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a \$	10,920.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	10,920.
c Balance Due. Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c \$	

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date

Form **8868**

(Rev. January 2013)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	AMERICAN EXPRESS FOUNDATION	13-6123529
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	200 VESEY STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10285	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MARY ELLEN CRAIG

Telephone No ► 212 640-4648FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2013, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 2012 or
- ☐ tax year beginning , 20, and ending , 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	10,920.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	10,920.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)

JSA

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