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Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

| For_o | calen | dar_year-2012-or-tax year-beginning | | , and ending | | |
|-------------------------------------|------------|---|------------------------------|----------------------|--|--|
| Nar | ne of | foundation | | | A Employer identification | number |
| S | OL | & HERMINA CANTOR FOUND. | ATION | | 13-6104263 | |
| | | nd street (or P O box number if mail is not delivered to street | | Room/suite | B Telephone number | |
| C | /0 | MOTECHIN & MARUFFI, 15 | MAIDEN LANE | 1505 | | 4280 |
| | | own, state, and ZIP code | | | C If exemption application is p | |
| - | | YORK, NY 10038 | | | т охотриот арриоалог ю р | Griding, Gridat Harb |
| | | all that apply: Initial return | Initial return of a fo | rmer public charity | D 1. Foreign organizations | check here |
| u | HIGUN | Final return | Amended return | inner public charity | D 1. Foreign organizations | s, check here |
| | | = | | | Foreign organizations me check here and attach co | eting the 85% test, |
| | | Address change | Name change | | Check here and attach co | mputation |
| H C | _ | type of organization: X Section 501(c)(3) ex | • | | E If private foundation sta | |
| <u> </u> | | | Other taxable private founda | | under section 507(b)(1) | (A), cneck nere |
| | | rket value of all assets at end of year J Accountin | - | Accrual | F If the foundation is in a | |
| - | | Part II, col (c), line 16) | her (specify) | | under section 507(b)(1) | (B), check here |
| | -\$ | 214,857. (Part I, colu | mn (d) must be on cash l | oasis) | | T |
| Pa | art I | Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not | (a) Revenue and | (b) Net investment | (c) Adjusted net | (0) Disbursements for charitable purposes |
| | | necessarily equal the amounts in cotumn (a)) | expenses per books | income | income | (cash basis only) |
| | 1 | Contributions, gifts, grants, etc., received | | <u>-</u> | N/A | |
| | 2 | Check X If the foundation is not required to attach Sch. B | | | | |
| • | 3 | Interest on savings and temporary cash investments | | | | |
| <u> </u> | 4 | Dividends and interest from securities | 8,545. | 8,545 | | STATEMENT 1 |
| 1 | 5a | Gross rents | · | | | |
| 0 | | Net rental income or (loss) | | | | **** |
| =1 | 1 | Net gain or (loss) from sale of assets not on line 10 | -3,693. | | | |
| i e | Ju | Gross sales price for all 11 Q7/ | 3,0300 | | | |
| Neuri N | ٦, | assets on line 6a | | 0 | | |
| Revenue | 7 | | | | | |
| Ĩ | 8 | Net short-term capital gain | | | | <u> </u> |
| | 9 | Income modifications Gross sales less returns | | - Para | | |
| 2 | l . | and allowances | | | | |
| | 1 | Less Cost of goods sold | | | | |
| D | l | Gross profit or (loss) | | | | |
| | 11 | Other income . | 4 5 7 5 | | | |
| | 12 | Total. Add lines 1 through 11 | 4,852. | 8,545 | | |
| | 13 | Compensation of officers, directors, trustees, etc | 0. | 0 | • | 0. |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| ses | | Legal fees | | | | |
| ĕ | Ь | Accounting fees STMT 2 | 1,355. | 678 | • | 677. |
| Ä | C | Other professional fees Interest | les C | | | |
| Ş | 17 | Interest RECEIVE | 1001 | | | |
| rati | 18 | Taxes | LIA 1711 | | | |
| ist | 19 | Depreciation and depletion NOV 0 4 2 Occupancy | 313 (0) | | | |
| Ë | 20 | Occupancy SI NOV | | | | |
| Ad | 21 | | 111 | | | |
| Ę | 22 | Travel, conferences, and meetings Printing and publications | 135. | 0 | | 135. |
| ā | 23 | Other expenses STMT 3 | 319. | 269 | | 50. |
| Operating and Administrative Expens | 24 | Total operating and administrative | | | <u> </u> | |
| era | 24 | expenses. Add lines 13 through 23 | 1,809. | 947 | | 862. |
| o | | Contributions, gifts, grants paid | 11,375. | | • | 11,375. |
| | 1 | | 11,3130 | | | 11,3/3. |
| | 26 | Total expenses and disbursements. | 12 104 | 0.47 | | 12 227 |
| | | Add lines 24 and 25 | 13,184. | 947 | • | 12,237. |
| | 27 | Subtract line 26 from line 12: | | | | |
| | 1 | Excess of revenue over expenses and disbursements | -8,332. | | | |
| | 1 | Net investment income (if negative, enter -0-) | | 7,598 | | |
| 0005 | <u> </u> | Adjusted net income (if negative, enter -0-) | <u> </u> | | N/A | |
| 2235 12-0 | 01 5-12 | LHA For Paperwork Reduction Act Notice, see | instructions. | | x(/ | Form 990-PF (2012) |

| For | m 99 | 0-PF (2012) SOL & HERMINA CANTOR FOU | | | 6104263 Page 2 |
|-----------------------------|-------|---|-------------------|----------------|---------------------------|
| P | art | Balance Sheets Attached schedules and amounts in the description | Beginning of year | End of | |
| <u> </u> | | Column should be for end-or-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | | _Cash = non=Interest=bearing | | | |
| | 1 | Savings and temporary cash investments | 10,207. | 17,926. | 17,926. |
| | 3 | Accounts receivable > | | | |
| | ١. | Less: allowance for doubtful accounts | | | |
| | 4 | Pledges receivable - | | | |
| | _ | Less: allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| | , | disqualified persons Other notes and loans receivable | | | |
| | 7 | Less: allowance for doubtful accounts | | | |
| 'n | 8 | Inventories for sale or use | | | |
| Assets | ٩ | Prepaid expenses and deferred charges | | | |
| As | 102 | Investments - U.S. and state government obligations | | | |
| | | Investments - corporate stock STMT 4 | 206,259. | 191,397. | 176,704. |
| | | Investments - corporate bonds | | | |
| | 1 | Investments - land, buildings, and equipment basis | | | |
| | | Less accumulated depreciation | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 5 | 20,000. | 20,000. | 20,227. |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | 1 | Less accumulated depreciation | | | |
| | 15 | Other assets (describe) | | | |
| | | | | | |
| _ | | Total assets (to be completed by all filers) | 236,466. | 229,323. | <u>214,857.</u> |
| | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| ies | 19 | Deferred revenue | | | |
| Liabilities | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| Lia | 21 | Mortgages and other notes payable | - | | |
| | 22 | Other liabilities (describe >) | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 0. | _ 0. | |
| _ | 20 | Foundations that follow SFAS 117, check here | | | |
| | | and complete lines 24 through 26 and lines 30 and 31. | | | |
| Ses | 24 | Unrestricted | | | |
| aŭ | 25 | Temporarily restricted | | | |
| Ba | 26 | Permanently restricted | | | |
| Net Assets or Fund Balances | | Foundations that do not follow SFAS 117, check here | | - | |
| Ē | | and complete lines 27 through 31. | | | |
| S O | 27 | Capital stock, trust principal, or current funds | 236,466. | 229,323. | |
| sse | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| Ë | 29 | Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | |
| Ž | 30 | Total net assets or fund balances | 236,466. | 229,323. | |
| | | | 226 466 | 202 202 | |
| | 31 | Total liabilities and net assets/fund balances | 236,466. | 229,323. | |
| P | art | Analysis of Changes in Net Assets or Fund Ba | ılances | | |
| 1 | Total | net assets or fund balances at beginning of year - Part II, column (a), line 3 | <u> </u> | - 1 | |
| | | t agree with end-of-year figure reported on prior year's return) | •• | 1 | _ 236,466. |
| | • | amount from Part I, line 27a | • | 2 | <u></u> |
| | | r increases not included in line 2 (itemize) PRIOR PERIOI | ADJUSTMENT | 3 | 1,189. |
| | | lines 1, 2, and 3 | | 4 | 229,323. |
| | | eases not included in line 2 (itemize) | | 5 | 0. |
| | | net assets or fund balances at end of year (line 4 minus line 5) - Part II, col | umn (b), line 30 | 6 | 229,323. |
| | | | | | Form 990-PF (2012) |

| <u>For</u> r | m 990-PF (2012) SOL & HERMINA CANTOR FOUNDATION 13-61 | <u>)4263</u> | | Page 5 |
|--------------|---|---------------|------------|-------------|
| | art VII-A Statements Regarding Activities (continued) | | | |
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of | | | |
| | —section-512(b)(-13)? If "Yes," attach-schedule (see instructions) | 11 | | _X_ |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? | | | |
| | If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| | Website address ► N/A | | | |
| 14 | The books are in care of ► MOTECHIN & MARUFFI C.P.A.'S, P.C. Telephone no.►(212 | | <u>428</u> | 0 |
| | Located at ► 15 MAIDEN LANE, SUITE 1505, NEW YORK, NY ZIP+4 ► | <u> 10038</u> | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here | - | . ▶ | · [] |
| | and enter the amount of tax-exempt interest received or accrued during the year | | /A_ | |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, | 1 1 | Yes | No |
| | securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign | | | |
| _ | Country | | | |
| P | art VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | V | Na |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
| 1 | la During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No | | | |
| | | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No | | | |
| | | - [[| | |
| | | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available | | | |
| | for the benefit or use of a disqualified person)? | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" | | | |
| | if the foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days.) | | | |
| | b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | | | |
| | section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ,) | | |
| | c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected | | | |
| | before the first day of the tax year beginning in 2012? | 1c | | х |
| 2 | ? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation | | | |
| | defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| | a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning | 1 1 | | |
| | before 2012? Yes X No | | | |
| | If "Yes," list the years >,,,, | | | |
| | b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect | | | |
| | valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach | | | |
| | statement - see instructions.) N/A | 2b | | |
| | c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | | |
| | - | | | |
| 3 | Ba Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time | | | |
| | during the year? Yes X No | | | |
| | b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after | | | [|
| | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose | | | |
| | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, | | | |
| | Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A | 3b | | |
| | la Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| | b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that | | | |
| | had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4D | | ιX |

Form **990-PF** (2012)

| orm 990-PF (2012) SOL & HERMINA CANTOR FOU | NDATION | | <u>13-61042</u> | 63 Page 6 |
|--|---|-----------------------------------|--|-------------------------------|
| Part VII-B Statements Regarding Activities for Which I | Form 4720 May Be F | Required (continu | ued) | |
| 5a During the year did the foundation pay or incur any amount to: | | | _ | |
| `(1) Carry on propaganda, or otherwise attempt to influence legislation (section | n 4945(e))? | Ye | s X No | |
| (2)—Influence the outcome of any specific public election (see section 4955); c | r-to carry-on,-directly-or-indire | | | |
| any voter registration drive? | | | s X No | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes | ? | L Ye | s X No | |
| (4) Provide a grant to an organization other than a charitable, etc., organizatio | n described in section | | _ | |
| 509(a)(1), (2), or (3), or section 4940(d)(2)? | | └ Ye | s X No | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, | or educational purposes, or f | | | |
| the prevention of cruelty to children or animals? | | ☐ Ye | s X No | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify uni | der the exceptions described i | ın Regulations | | |
| section 53.4945 or in a current notice regarding disaster assistance (see instru | ıctıons)? | | N/A | 5b |
| Organizations relying on a current notice regarding disaster assistance check h | nere . | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption f | | ined | | |
| expenditure responsibility for the grant? | | I/A 🗌 Ye | s No | |
| If "Yes," attach the statement required by Regulations section 53 494 | 5-5(d). | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to | pay premiums on | | | |
| a personal benefit contract? | _ | Ye | s X No | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a p | personal benefit contract? | | | 6b <u>X</u> |
| If "Yes" to 6b, file Form 8870. | | | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax s | shelter transaction? | ☐ Ye | s X No | |
| b If "Yes," did the foundation receive any proceeds or have any net income attrib | | | N/A | 7b |
| Information About Officers, Directors, Trust | ees, Foundation Ma | nagers, Highly | / | |
| Paid Employees, and Contractors | | | | |
| List all officers, directors, trustees, foundation managers and their | | T | (4) 4 1 1 1 1 1 1 | |
| (-) Name and address | (b) Title, and average hours per week devoted | (c) Compensation (If not paid, | (d) Contributions to employee benefit plans and deferred | (e) Expense account, other |
| (a) Name and address | to position | enter -0-) | compensation | allowances |
| CLLEN PECK | V.P. | | | |
| 130 SUNRISE AVENUE | j | | | |
| PALM BEACH, FL 33480 | 0.00 | 0. | 0. | 0. |
| ROBERT CANTOR | PRES | | | |
| 49 WEST 37TH STREET, 7TH FLOOR | | | | |
| NEW YORK, NY 10018 | 0.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | <u>j</u> | : | | |
| | _ | : | | |
| | | | | |
| Compensation of five highest-paid employees (other than those in | | enter "NONE." | (d) Contributions to | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week | (c) Compensation | (d) Contributions to employee benefit plans and deferred | (e) Expense account, other |
| (a) Name and address of each employee paid more than \$55,555 | devoted to position | (C) compensation | compensation | allowances |
| NONE | | | | |
| | | | | |
| | 1 | | | |
| | | | | |
| | | | | |
| | | | | |
| | _ | | |] |
| | | | | |
| |] | | | |
| | | | <u> </u> | |
| Total number of other employees paid over \$50,000 | | | | 0 |
| | | | Form | 990-PF (2012) |

| Form 990-PF (2012) SOL & HERMINA CANTOR FOUNDATION 13 | 3-6104 | 263 Page 7 |
|--|----------|------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) | | |
| 3. Five highest-paid independent contractors for professional services. If none, enter "NONE." | | |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service | | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | |
| | - | |
| Total number of others receiving over \$50,000 for professional services | | 0 |
| Part IX-A Summary of Direct Charitable Activities | | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | | Expenses |
| 1 N/A | | |
| | _ | |
| | | <u> </u> |
| 2 | | |
| | _ | |
| 3 | | |
| <u> </u> | | |
| | | |
| 4 | | |
| | _ | |
| Part IX-B Summary of Program-Related Investments | | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | | Amount |
| 1 N/A | | |
| · | | |
| | | |
| 2 | _ | |
| | | |
| All other program-related investments. See instructions. | | |
| 3 | | |
| Total. Add lines 1 through 3 | - | 0. |

| | Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations | ndations, | see instructions.) |
|----------|--|---------------|--------------------|
| _1 | Fair-market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| • | Average monthly fair market value of securities | | 171,421. |
| | b Average of monthly cash balances | 1b | 14,067. |
| | c Fair market value of all other assets | 1c | 20,450. |
| | d Total (add lines 1a, b, and c) | 1d | 205,938. |
| | e Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) 1e 0. | 1 | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 205,938. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 3,089. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 202,849. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 10,142. |
| | Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here and do not complete this part.) | nd certain | |
| 1 | Minimum investment return from Part X, line 6 | 1 | 10,142. |
| 2 | a Tax on investment income for 2012 from Part VI, line 5 | | |
| | b Income tax for 2012. (This does not include the tax from Part VI.) |] | |
| | c Add lines 2a and 2b | _2c | 76 <u>.</u> |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 10,066. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 10,066. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| <u>7</u> | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 10,066. |
| | Part XII Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | 10 007 |
| | a Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1a | 12,237. |
| | b Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | , | 2 | |
| 3 | , , , , , | | |
| | a Suitability test (prior IRS approval required) | 3a | |
| | b Cash distribution test (attach the required schedule) | 3b | 10 035 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | _12,237. |
| 5 | | | n.c |
| _ | income. Enter 1% of Part I, line 27b | 5 | 76. |
| 6 | · · · · · · · · · · · · · · · · · · · | 6 | 12,161. |
| | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation 4940(e) reduction of tax in those years. | qualifies foi | the section |

Form **990-PF** (2012)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2011 | (c) 201-1 | (d) 2012 |
|--|---------------|--|--------------|---------------------------|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | 10,066. |
| 2 Undistributed income, if any, as of the end of 2012 | | | | |
| a Enter amount for 2011 only | | | 0. | |
| b Total for prior years: | | 0 | | |
| Excess distributions carryover, if any, to 2012 | | 0. | | |
| a From 2007 | | | | |
| b From 2008 1,132 | | | | |
| c From 2009 | <u> </u> | | | |
| d From 2010 187 | | | | |
| e From 2011 1,966 | | | | i |
| f Total of lines 3a through e | 3,285. | | | |
| 4 Qualifying distributions for 2012 from | | | | |
| Part XII, line 4: ► \$ 12,237 | <u>.</u> | | | |
| a Applied to 2011, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior | | | | |
| years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus | | | | |
| (Election required - see instructions) | 0. | | | · |
| d Applied to 2012 distributable amount | | | - | 10,066. |
| e Remaining amount distributed out of corpus | 2,171. | | | |
| Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 5,456. | | | |
| b Prior years' undistributed income. Subtract | | | | |
| line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously | | 0. | | |
| assessed | | | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2011. Subtract line | | | | |
| 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2012. Subtract | | ······································ | <u> </u> | |
| lines 4d and 5 from line 1. This amount must | • | | | |
| be distributed in 2013 | | | | 0. |
| 7 Amounts treated as distributions out of | | - | | |
| corpus to satisfy requirements imposed by | | | | |
| section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2007 | | | | |
| not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2013. | | | | |
| Subtract lines 7 and 8 from line 6a | 5,456. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2008 1, 132 | | | | |
| b Excess from 2009 | | | | |
| c Excess from 2010 187 | | | | |
| d Excess from 2011 1,966 | | | | |
| e Excess from 2012 2,171 | . • | | | Form 990-PF (2012) |

| Part XIV Private Operating For | | structions and Part VIII | | N/A | 104263 Tage 10 |
|---|----------------------------|---------------------------------------|---|---------------------------|---------------------------|
| 1 a If the foundation has received a ruling of | | | , | IV/ A | |
| ——foundation, and the ruling is effective for | | | | | |
| b Check box to indicate whether the found | | | 1 section | 4942(J)(3)-or | 942(j)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | g roundation dood is out. | Prior 3 years | 10 IE(J)(0) 01 1 | |
| income from Part I or the minimum | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | (e) Total |
| investment return from Part X for | | · · · · · · · · · · · · · · · · · · · | · · · · · · · · · · · · · · · · · · · | | ! |
| each year listed | | | | | |
| b 85% of line 2a | | | | - | - |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | | | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | | | | | |
| e Qualifying distributions made directly | | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the | | | | | |
| alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| • * | | | | | - |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return | | | | | |
| shown in Part X, line 6 for each year listed | | i | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross | | | | | |
| investment income (interest, | | | | | |
| dividends, rents, payments on securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt | | | | | |
| organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | |
| Part XV Supplementary Info | | | f the foundation | had \$5,000 or m | ore in assets |
| at any time during t | he year-see instr | ructions.) | | | |
| 1 Information Regarding Foundation | on Managers: | | | | |
| a List any managers of the foundation wh | o have contributed more | than 2% of the total conti | ibutions received by the | foundation before the cl | ose of any tax |
| year (but only if they have contributed n | nore than \$5,000). (See s | ection 507(d)(2).) | | | |
| NONE | | | | | |
| b List any managers of the foundation wh | | | or an equally large portio | n of the ownership of a | partnership or |
| other entity) of which the foundation ha | s a 10% or greater interes | SI. | | | |
| NONE | | | | | |
| 2 Information Regarding Contribute | | | | | |
| Check here ► 🗶 if the foundation of | | | | | |
| the foundation makes gifts, grants, etc. | <u> </u> | | | mpiete items 2a, b, c, ar | 0 0. |
| a The name, address, and telephone num | ber or e-mail of the perso | n to whom applications s | hould be addressed: | | |
| | | | | | |
| | | | | | |
| b The form in which applications should b | e submitted and informat | tion and materials they sh | iould include: | | |
| a Any outherson deadliness | | _ | | <u> </u> | |
| c Any submission deadlines: | | | | | |
| d Any restrictions or limitations on award | e cuch ac hu goographic | al areae charitable fields | kinds of institutions as a | ther factores | |
| u miy restrictions of illititations off award: | a, audii da uy yevyidpilik | n arcas, chaillable nelus, | กแนง VI แเงแนแบแ ง, UI U | TITIET TAULUIS, | |
| | | | | | |
| 223601 12-05-12 | | | | | Form 990-PF (2012) |

3 · Grants and Contributions Paid During the Year or Approved for Future Payment _lf recipient is-an-individual,-_Recipient-Purpose of grant or contribution Foundation show any relationship to Amount status of any foundation manager Name and address (home or business) recipient or substantial contributor a Paid during the year NONE 501(C)3 CHARITABLE BEHIND THE BOOK 250, NONE 501(C)3 CHARITABLE BROOKLYN ACADEMY OF MUSIC 1,500. COOPER HEWITT NATIONAL DESIGN NONE 501(C)3 CHARITABLE 500. HISTORIC DISTRICTS COUNCIL NONE 501(C)3 CHARITABLE 200. ROSENBERG FUND FOR CHILDREN NONE 501(C)3 CHARITABLE 100. SEE CONTINUATION SHEET(S) **▶** 3a 11,375. Total b Approved for future payment NONE Total

Form 990-PF (2012)

Part XVI-A Analysis of Income-Producing Activities

| | Unrelated b | usiness income | - Fundadad | oy section 512, 513, or 514 | |
|---|------------------------|---|--|-----------------------------|--------------------------|
| Enter_gross amounts_unless_otherwise_indicated | (a) Business | (b) | (c) Exclu- sion | (d) | (e) Related or exempt |
| 1 Program service revenue: | code | Amount | code | Amount | function income |
| a | _ | | | | |
| b | _ | | 1 - | | |
| C | _ | | | | <u> </u> |
| d | _ | | | | |
| e | _ | _ | | | <u> </u> |
| f | | | | | |
| g Fees and contracts from government agencies | - | | + | | · · · · · · - |
| 2 Membership dues and assessments | | | - | | |
| 3 Interest on savings and temporary cash | | | | | |
| investments | - | | 14 | 0 5/5 | |
| 4 Dividends and interest from securities | - | | 14 | 8,545. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | + + | | |
| b Not debt-financed property | - | | + + | | *** |
| 6 Net rental income or (loss) from personal | | | | | |
| property 7 Other investment income | - + | _ | + + - | | · |
| | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -3,693. | |
| 9 Net income or (loss) from special events | | | + - 4 | 3,053. | <u> </u> |
| 10 Gross profit or (loss) from sales of inventory | | | + + | | _ |
| 11 Other revenue: | | | | | |
| | | | | | |
| a b | | | | | - and - |
| | l l | | | | |
| d | | *************************************** | | | |
| е | - | **** | | | <u> </u> |
| 12 Subtotal. Add columns (b), (d), and (e) | - | 0 | | 4,852. | 0 |
| 13 Total. Add line 12, columns (b), (d), and (e) | <u> </u> | | | | 4,852 |
| (See worksheet in line 13 instructions to verify calculations | 3.) | | | | |
| Part XVI-B Relationship of Activitie | s to the Accom | nnlishment of E | xempt P | urposes | |
| | | <u> </u> | | | |
| Line No. Explain below how each activity for which | | | A contribute | d importantly to the accom | plishment of |
| the foundation's exempt purposes (other t | han by providing funds | s for such purposes). | | <u>-</u> | |
| N/A | ·· | | | | |
| | | | | | |
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| 222821 | | | | · | 5 000 DE (|
| 223621 12-05-12 | | | | | Form 990-PF (2012 |

Form **990-PF** (2012)

(212) 921-4280

Use Only

SUITE 1505

Firm's address ► 15 MAIDEN LANE,

NEW YORK, NY 10038

Phone no.

Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, show any relationship to any foundation manager or substantial contributor Recipient Foundation Purpose of grant or Amount status of contribution Name and address (home or business) recipient 501(C)3 DANA FARBER CANCER INSTITUTE NONE CHARITABLE 100. 501(C)3 FRIENDS OF THE UFFIZI GAL NONE CHARITABLE 250. HDRF NONE 501(C)3 CHARITABLE 250, HOLE IN THE WALL NONE 501(C)3 CHARITABLE 100. NONE 501(C)3 CHARITABLE NEW YORK HARBOR FOUNDATION 475. HORSE HEALING HEARTS NONE 501(C)3 CHARITABLE 50. THE SOCIETY OF THE FOUR ARTS NONE 501(C)3 CHARITABLE 500. 501(C)3 CHARITABLE AMERICAN TECHNION SOCIETY NONE 250. MIAMI CITY BALLET NONE 501(C)3 CHARITABLE 100. 501(C)3 CHARITABLE PALM BEACH OPERA NONE 500. Total from continuation sheets 8,825.

Part XV Supplementary Information 3 Grant's and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Foundation status of Purpose of grant or ____contribution___ show any relationship to Amount_ any foundation manager Name and address (home or business) recipient or substantial contributor AMERICAN FRIENDS OF THE ISREAL MUSEUM NONE 501(C)3 CHARITABLE 750. UNIVERSITY OF MICHIGAN NONE 501(C)3 CHARITABLE 4,500. NEW YORK PRESBYTERIAN FOUNDATION NONE 501(C)3 CHARITABLE 1,000. Total from continuation sheets

| FORM • 990-PF DIVIDEN | DS AND | INTER | EST | FROM | SECU | RITIES | STATEME | NT | 1 |
|---|--------|---------------------|------|------|--------------|------------------------------|----------------|--------------------|------------|
| SOURCE | | GROSS | AMO | UNT | | TAL GAINS | COLUMN AMOU | |) |
| JP MORGAN AS NOMINEE JP MORGAN AS NOMINEE | | | 6 | 099. | | 0. | | 6,09 | 99. 30. |
| NATIONAL FINANCIAL SERVICES NOMINEE NATIONAL FINANCIAL SERVICES | | | 1, | 783. | | 0. | | 1,78 | 33. |
| NOMINEE | | | | 333. | | 0. | | 33 | 33. |
| TOTAL TO FM 990-PF, PART I, | LN 4 | | 8 | 545. | | 0. | | 8,54 | 15. |
| FORM 990-PF | AC | COUNTI | NG I | EES | | | STATEME | NT | 2 |
| DESCRIPTION | EXPE | A) NSES BOOKS | | | EST- | (C) ADJUSTED NET INCOM | CHAR | | |
| MOTECHIN & MARUFFI CPA'S | | 1,355. | · | | 678. | | | 67 | 77. |
| TO FORM 990-PF, PG 1, LN 16B | | 1,355. | · — | | 678. | | | 67 | 77. |
| FORM 990-PF | 0' | THER E | XPEN | ISES | | | STATEME | NT | 3 |
| DESCRIPTION | EXPE | A) NSES BOOKS | | | est- Come | (C) ADJUSTED NET INCOM | CHAR | D) ITAI POSI | |
| FILING FEES INVESTMENT FEES | | 50. 269. | | | 0. 269. | | | 5 | 50. |
| TO FORM 990-PF, PG 1, LN 23 | | 319. | | | 269. | | | | 50. |

| FORM • 990-PF | CORPORATE STOCK | | STATEMENT | 4 |
|---|---------------------|--------------------|----------------------|---------------|
| DESCRIPTION | | BOOK VALUE | FAIR MARKET VALUE | |
| 2,522.015 SHS DREYFUS HIGH YI | ELD FD | 24,618. | 16,822 | <u> </u> |
| 500 SHS AIRCASTLE | | 16,615. | 6,270 | |
| 800 SHS ROYAL BK OF SCOTLAND | GROUP PLC | 17,200. | 17,968 | }. |
| 636 SHS VECTOR GROUP LTD | | 11,368. | 9,457 | |
| 1,000 SHS BANK OF AMERICA 6.2 | 04% PFD SER D | 24,680. | 24,920 | |
| 275 SHS ISHARES BARCLAYS | | 28,693. | 29,007 | |
| 260 SHS SPDR SERIES TRUST | | 10,054. | 10,585 | |
| 260 SHS VANGUARD SPECIALIZED | FUNDS | 14,851. | 15,488 | |
| 330 VANGUARD INDEX FUNDS | | 15,070. | 16,295 | |
| 600 SHS METLIFE INC PFD 600 SHS US BANCORP PFD | | 14,433. 13,815. | 15,084 14,808 | |
| TOTAL TO FORM 990-PF, PART II | , LINE 10B | 191,397. | 176,704 | _ . = |
| FORM 990-PF | OTHER INVESTMENTS | | STATEMENT | <u></u> 5 |
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE | |
| AMERICAN EXPRESS C/D 5/28/13 | COST | 20,000. | 20,227 | — 7. |
| TOTAL TO FORM 990-PF, PART II | | 20,000. | 20,227 | |
| TOTAL TO FORM JOU EF, FART II | , 11111 13 | 20,000. | 20,22, | • |

| Form 8868 (Rev. 1-2013) | | | | | Page 2 |
|---|-------------------------|---|---------------|----------------|---------------------------|
| If you are filing for an Additional (Not Automatic) 3- | Month Extension, | complete only Part II and check the | s box | | ▶ X |
| Note. Only complete Part II if you have already been gr | | | | 8868. | , |
| If you are filing for an Automatic 3-Month Extension | n, complete only Pa | art I (on page 1). | | | |
| Part II Additional (Not Automatic) 3-N | /ionth⁻Extensio | n of Time. Only file the origin | nal_(no_c | opies nec | eded) |
| | | _Enter filer's | identifyi | ng number, | see instructions |
| Type or Name of exempt organization or other filer, | see instructions | | Employe | r identificati | on number (EIN) or |
| print | | | | | |
| ling vour | | | | <u> 13-61</u> | 04263 |
| | | | | cunty numb | oer (SSN) |
| return See C/O MOTECHIN & MARUFFI | , 15 MAIDE | N LANE, NO. 1505 | | | |
| onstructions City, town or post office, state, and ZIP coo | ie For a foreign add | lress, see instructions | | | |
| NEW YORK, NY 10038 | | | | | |
| | | | | | |
| Enter the Return code for the return that this applicatio | n is for (file a separa | te application for each return) | | | 0 4 |
| | | | | | |
| Application | Return | Application | | | Return |
| ls For | Code | Is For | | | Code |
| Form 990 or Form 990·EZ | 01 | | | | |
| Form 990-BL | 02 | Form 1041-A | | | 08 |
| Form 4720 (individual) | 03 | Form 4720 | · | | 09 |
| Form 990-PF | 04 | Form 5227 | | | 10 |
| Form 990-T (sec_401(a) or 408(a) trust) | 05 | Form 6069 | | | 11 |
| Form 990-T (trust other than above) | | Form 8870 | | | 12 |
| STOP! Do not complete Part II if you were not alread | | | VIOUSIY TIIC | ea Form 88 | <u> </u> |
| | | C.P.A.'S, P.C. | NTV | 10020 | |
| • The books are in the care of • 15 MAIDEN | LANE, SUI | FAX No. \triangleright (212)921-4 | | 10036 | |
| Telephone No. ► (212)921-4280 | ef business in the Lie | | 575 | | ▶ □ |
| If the organization does not have an office or place of | | | lf thus us fo | r tha whala | group shock this |
| If this is for a Group Return, enter the organization's | . — | ach a list with the names and ElNs o | | | = |
| box . If it is for part of the group, check this but I request an additional 3-month extension of time | | BER 15, 2013. | i ali memi | ers the exte | ension is for. |
| 5 For calendar year 2012, or other tax year beg | | , and endir | 00 | | |
| 6 If the tax year entered in line 5 is for less than 12 | • | | Final | return | |
| Change in accounting period | months, check reas | | | Clairi | |
| 7 State in detail why you need the extension | | | | | |
| INFORMATION NECESSARY FOR | R THE COMP | LETION ON THE RETU | RN HA | S NOT | YET BEEN |
| RECEIVED. | | | | | |
| | | | | | |
| 8a If this application is for Form 990-BL, 990-PF, 990 | D-T, 4720, or 6069, e | enter the tentative tax, less any | | | |
| nonrefundable credits. See instructions. | | | 8a | \$ | 176. |
| b If this application is for Form 990-PF, 990-T, 4720 |), or 6069, enter any | refundable credits and estimated | | | |
| tax payments made. Include any prior year overp | ayment allowed as | a credit and any amount paid | | | |
| previously with Form 8868 | | | 8b | \$ | 176. |
| c Balance due. Subtract line 8b from line 8a. Inclu | de your payment wi | th this form, if required, by using | | | |
| EFTPS (Electronic Federal Tax Payment System) | | | 8c | \$ | 0. |
| | | st be completed for Part II | _ | | |
| Under penalties of perjury, I declare that I have examined this t | form, including accomp | panying schedules and statements, and t | o the best o | f my knowle | dge and belief, |
| it is true, correct, and complete, and that I am authorized to pr | • | | | | |
| Signature > | Title ► PRESI | DENT | Date | | |
| | | | | Form | 8868 (Rev. 1-2013) |
| | | | | | |
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