



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation THE J.M. KAPLAN FUND, INC.		A Employer identification number 13-6090286
C/O CONDON O'MEARA MCGINTY & DONNELLY		
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (212) 661-7777
ONE BATTERY PARK PLAZA 7TH FLOOR		
City or town, state, and ZIP code NEW YORK, NY 10004-1405		
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 134,314,281.		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)
D 1. Foreign organizations, check here . ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation . ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here . ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . ☐		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	684.	684.		ATCH 1
4 Dividends and interest from securities	2,670,814.	2,670,814.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	25,250,638.			
b Gross sales price for all assets on line 6a	53,361,804.			
7 Capital gain net income (from Part IV, line 2)		21,188,168.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 3	380,470.	258,277.		
12 Total. Add lines 1 through 11	28,302,606.	24,117,943.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	173,809.	23,702.		174,945.
14 Other employee salaries and wages	330,724.	64,001.		263,296.
15 Pension plans, employee benefits	131,705.	32,558.		92,569.
16a Legal fees (attach schedule) ATCH 4	2,116.			9,468.
b Accounting fees (attach schedule) ATCH 5	30,075.	18,045.		5,555.
c Other professional fees (attach schedule) *	869,734.	570,519.		302,207.
17 Interest ATCH 7	242,483.			
18 Taxes (attach schedule) (see instructions) ATCH 8	382,336.	64,832.		27,040.
19 Depreciation (attach schedule) and depletion				
20 Occupancy	283,996.	28,400.		255,596.
21 Travel, conferences, and meetings	66,756.	13,351.		48,012.
22 Printing and publications	5,531.	1,106.		4,425.
23 Other expenses (attach schedule) ATCH 9	1,781,178.	1,721,392.		51,903.
24 Total operating and administrative expenses. Add lines 13 through 23	4,300,443.	2,537,906.		1,235,016.
25 Contributions, gifts, grants paid	5,894,149.			6,638,149.
26 Total expenses and disbursements. Add lines 24 and 25	10,194,592.	2,537,906.		7,873,165.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	18,108,014.			
b Net investment income (if negative, enter -0-)		21,580,037.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		500.	500.	500.
	2	Savings and temporary cash investments		12,423,129.	11,932,302.	11,932,302.
	3	Accounts receivable ▶ 9,061,201.				
		Less: allowance for doubtful accounts ▶		7,652,406.	9,061,201.	9,061,201.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *			296,677.	
		Less: allowance for doubtful accounts ▶		303,571.	296,677.	296,677.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges ATCH 10		11,814.	6,448.	6,448.
	10 a	Investments - U.S. and state government obligations (attach schedule) **		360,803.	5,080,410.	5,080,410.
	b	Investments - corporate stock (attach schedule) ATCH 12		44,068,873.	10,847,062.	10,847,062.
	c	Investments - corporate bonds (attach schedule) ATCH 13		888,417.	1,247,089.	1,247,089.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 14		63,862,315.	95,781,589.	95,781,589.	
14	Land, buildings, and equipment basis ▶		3,503.			
	Less: accumulated depreciation (attach schedule) ▶		3,503.	3,503.	3,503.	
15	Other assets (describe ▶ ATCH 15)		57,500.	57,500.	57,500.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		129,632,831.	134,314,281.	134,314,281.	
Liabilities	17	Accounts payable and accrued expenses		300,444.	147,769.	
	18	Grants payable		2,982,349.	2,238,349.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 16)		163,000.	107,250.	
23	Total liabilities (add lines 17 through 22)		3,445,793.	2,493,368.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds		18,370,506.	18,370,506.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		107,816,532.	113,450,407.	
30	Total net assets or fund balances (see instructions)		126,187,038.	131,820,913.		
31	Total liabilities and net assets/fund balances (see instructions)		129,632,831.	134,314,281.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	126,187,038.
2	Enter amount from Part I, line 27a	2	18,108,014.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	144,295,052.
5	Decreases not included in line 2 (itemize) ▶ ATCH 17	5	12,474,139.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	131,820,913.

**ATCH 11

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	25,250,638.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	9,843,828.	134,793,179.	0.073029
2010	8,592,741.	130,964,884.	0.065611
2009	11,498,922.	122,925,341.	0.093544
2008	8,639,921.	155,764,247.	0.055468
2007	10,936,429.	171,283,309.	0.063850
2 Total of line 1, column (d)			2 0.351502
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.070300
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 132,036,889.
5 Multiply line 4 by line 3			5 9,282,193.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 215,800.
7 Add lines 5 and 6			7 9,497,993.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 7,873,165.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	431,601.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	431,601.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	431,601.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	450,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	100,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	550,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	118,399.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 100,000. Refunded ☐	11	18,399.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.JMKFUND.ORG				
14	The books are in care of THE J.M. KAPLAN FUND Telephone no 212-661-7777			
Located at ONE BATTERY PARK PLAZA, 7TH FLOOR ZIP+4 10004-1405				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country _____	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** ☒ X

Organizations relying on a current notice regarding disaster assistance check here ☒ X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒ X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** ☒ X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE		173,809.	36,153.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 18		325,955.	92,627.	0

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 19		701,835.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 .	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	30,204,813.
b	Average of monthly cash balances	1b	10,105,732.
c	Fair market value of all other assets (see instructions)	1c	93,737,058.
d	Total (add lines 1a, b, and c)	1d	134,047,603.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	134,047,603.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	2,010,714.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	132,036,889.
6	Minimum investment return. Enter 5% of line 5	6	6,601,844.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	6,601,844.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	431,601.
b	Income tax for 2012. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	431,601.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,170,243.
4	Recoveries of amounts treated as qualifying distributions	4	120,150.
5	Add lines 3 and 4	5	6,290,393.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,290,393.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	7,873,165.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,873,165.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,873,165.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				6,290,393.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u>				
3 Excess distributions carryover, if any, to 2012				
a From 2007	2,855,707.			
b From 2008	1,005,969.			
c From 2009	5,399,427.			
d From 2010	2,030,366.			
e From 2011	3,383,845.			
f Total of lines 3a through e	14,675,314.			
4 Qualifying distributions for 2012 from Part XII, line 4: $\blacktriangleright$ \$ <u>7,873,165.</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				6,290,393.
e Remaining amount distributed out of corpus	1,582,772.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,258,086.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	2,855,707.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	13,402,379.			
10 Analysis of line 9				
a Excess from 2008	1,005,969.			
b Excess from 2009	5,399,427.			
c Excess from 2010	2,030,366.			
d Excess from 2011	3,383,845.			
e Excess from 2012	1,582,772.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 20

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 21

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT ATTACHED

c Any submission deadlines

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT ATTACHED

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 22				
Total			▶ 3a	6,638,149.
b Approved for future payment ATCH 23				
Total			▶ 3b	2,238,350.

Form 990-PF (2012)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	684.		
4 Dividends and interest from securities			14	2,670,814.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory	900001	145,335.	18	25,101,303.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b ATCH 24		2,043.		382,427.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		147,378.		28,155,228.		
13 Total. Add line 12, columns (b), (d), and (e)					13	28,302,606.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
53361804.		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES 28111166.				P	25250638.	
TOTAL GAIN (LOSS)							<u>25250638.</u>	

The J.M. Kaplan Fund, Inc.
990PF

31-Dec-12

	<u>Cost</u>	<u>Proceeds</u>	<u>Gains/ Losses</u>	<u>Per Tax Return</u>
Asia Capital Debt Investors	\$ 94,545	\$ 94,545	\$ -	
Colchester Bond Fund-See attached	\$ 21,453	\$ 33,970	\$ 12,517	*
Elliott/Westgate	\$ 2,455,638	\$ 15,032,529	\$ 12,576,891	
Farallon Capital	\$ -	\$ 11,556	\$ 11,556	*
Special Opportunity Fund	\$ 119,029	\$ 119,029	\$ -	
Sun Capital	\$ 82,617	\$ 82,617	\$ -	
Ishares	\$ 1,999,986	\$ 2,068,535	\$ 68,549	
Pimco- See Attached	\$ 7,464,762	\$ 7,550,372	\$ 85,610	
Dover Street VI	\$ 117,723	\$ 117,723	\$ -	
Spindrift--see attached	\$ -	\$ 106,709	\$ 106,709	
PFL	\$ 35,196	\$ 35,196		
Chilton Global	\$ 3,513,350	\$ 3,513,350		
Brookside	\$ 7,199,702	\$ 9,920,621	\$ 2,720,919	
Special Situations- See Attached	\$ 7,165	\$ 51,155	\$ 43,990	
HopLite	\$ 5,000,000	\$ 5,527,766	\$ 527,766	
Limited Partnership Gains	\$ -	\$ 4,238,079	\$ 4,238,079	*
	\$ 28,111,166	\$ 48,503,752	\$ 20,392,586	\$ 20,392,586
Limited Partnerships Gains and Losses	\$ -	\$ 4,858,052	\$ 4,858,052	
	\$ 28,111,166	\$ 53,361,804	\$ 25,250,638	\$ 25,250,638
Less: Partnership gains shown on Books Accounted for on K-1's	\$ -		\$ (4,262,152)	\$ (4,262,152) *
			\$ -	
Subtotal. Gains for Tax Purposes			\$ 20,988,486	
Gain on Limited Partnerships liquidated				\$ 345,582
Less Gains from Partnerships subject to UBIT				\$ (145,900)
Total Gains for Tax Return				\$ 21,188,168

The J.M. Kaplan Fund, Inc.
990PF December 31, 2012
EIN: 13-6090286
Partnership Gains and Losses

Adamas Opportunities 3yr	\$	573,500
Adamas Partners 3yr	\$	622,173
AEW Global	\$	991,193
Avanti Investors III Active	\$	(44)
Avanti Investors III Passive	\$	7,293
Brandywine Global	\$	496,313
Brandywine Multi-Strategy	\$	1,798,962
Brandywine P.E. 2011	\$	75
Capital Z Partners	\$	206,268
Colchester Bond Fund	\$	23,435
Dover Street III	\$	(231,020)
Dover Street IV	\$	(30,864)
Dover Street V	\$	3,828
Farallon Capital	\$	43,483
Juniper Partners	\$	170,238
Keyhaven Capital	\$	(7,351)
KPP Investors II	\$	1,640
Cyprium III	\$	(10,472)
Metropolitan RE Partners Global	\$	71,457
Metropolitan RE Partners IV	\$	10,004
Metropolitan RE Partners Int'l II	\$	(3,179)
Metropolitan RE Partners V	\$	5,851
Paul Capital VI (10%)	\$	(369,348)
Paul Capital VII(7.69%)	\$	39,114
Paul Capital VIII	\$	52,375
Prosepct Harbor	\$	2,647
Special Opportunity Fund IV	\$	(31,017)
TCV III	\$	(45,792)
TCV V	\$	48,855
Varde Fund VIII	\$	(8,147)
Varde Fund IX	\$	85,144
Venture Investment Assoc. IV	\$	166,387
Venture Investment Assoc. V	\$	119,194
Venture Inv. Assoc. VI	\$	55,857

Total	<u>\$ 4,858,052</u>
-------	---------------------

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
COMMUNITY CAPITAL BANK-CD	684.	684.
TOTAL	<u>684.</u>	<u>684.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST AND DIVIDENDS ON PARTNERSHIPS	2,497,303.	2,497,303.
INTEREST ON MONEY MARKET FUNDS	129,823.	129,823.
INTEREST ON NOTES RECEIVABLE	21,675.	21,675.
DIVIDENDS ON SECURITIES	22,013.	22,013.
TOTAL	<u>2,670,814.</u>	<u>2,670,814.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PARTNERSHIP INCOME (LOSS)	184,406.	184,406.
LESS: AMOUNT ATTRIBUTABLE TO UBI LOSS		-2,043.
OTHER INCOME	73,314.	73,314.
PRI INCOME	2,600.	2,600.
RETURNED GRANTS	120,150.	
TOTALS	<u>380,470.</u>	<u>258,277.</u>

ATTACHMENT 4FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PROSKAUER ROSE-GENERAL COUNSEL	2,116.			9,468.
TOTALS	<u>2,116.</u>			<u>9,468.</u>

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY-AUDIT & TAX SERVICE	30,075.	18,045.		5,555.
TOTALS	<u>30,075.</u>	<u>18,045.</u>		<u>5,555.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONSULTANT FEES AND STIPENDS	299,215.		302,207.
BRANDYWINE MANAGEMENT COMPANY- ADVISORY FEES	533,885.	533,885.	
BANK OF NEW YORK-CUSTODIAL FEE	672.	672.	
COLCHESTER	33,970.	33,970.	
PHILADELPHIA FINANCIAL	1,992.	1,992.	
TOTALS	<u>869,734.</u>	<u>570,519.</u>	<u>302,207.</u>

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE THRU PARTNERS	242,483.	242,483.
LESS:AMOUNT ALLOCATED TO UBI		-242,483.
TOTALS	<u>242,483.</u>	

ATTACHMENT 8FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAXES	33,173.	6,133.	27,040.
STATE TAXES ON U.B.I.	250.		
DEFERRED EXCISE TAXES	-151,000.		
LESS:AMOUNT ALLOCATED TO UBI			
FEDERAL TAXES ON UBI	58,699.	58,699.	
FOREIGN WITHHOLDING-L.P.'S	441,214.		
FEDERAL EXCISE TAX			
TOTALS	<u>382,336.</u>	<u>64,832.</u>	<u>27,040.</u>

ATTACHMENT 9FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	20,540.	6,162.	15,444.
TELEPHONE	14,093.	4,228.	9,541.
SUPPLIES	6,282.	1,885.	3,116.
REPAIRS AND MAINTENANCE	13,372.	4,012.	9,982.
FILING FEES	1,500.		1,500.
MISCELLANEOUS	16,916.	4,999.	12,320.
LIMITED PARTNERSHIP DEDUCTIONS	1,708,475.	1,708,475.	
LESS:AMOUNT ALLOCATED TO UBI		-8,369.	
TOTALS	<u>1,781,178.</u>	<u>1,721,392.</u>	<u>51,903.</u>

ATTACHMENT 10FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXPENSES	6,448.	6,448.
TOTALS	<u>6,448.</u>	<u>6,448.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 11	
DESCRIPTION	ENDING BOOK VALUE
PIMCO	ENDING FMV
	5,080,410.
US OBLIGATIONS TOTAL	5,080,410.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 12

DESCRIPTION

<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
------------------------------	-----------------------

SEE ATTACHED SCHEDULE

10,847,062.	10,847,062.
-------------	-------------

TOTALS

<u>10,847,062.</u>	<u>10,847,062.</u>
--------------------	--------------------

The J.M. Kaplan Fund, Inc.
990PF December 31, 2012
EIN: 13-6090286
Investments-Corporate Stock

	<u>Cost Value</u>	<u>Market Value</u>
Asia Capital	\$ 1,309,653	\$ 1,868,598
Special Opportunity Fund III	\$ 2,313,994	\$ 2,870,401
Dover Street VI	\$ 938,942	\$ 1,373,871
Spindrift		\$ 279,858
Sun Capital	\$ 2,523,645	\$ 943,262
Brookside Capital	\$ 464,335	\$ 2,135,574
AACP Tax Exempt Inv II	\$ 915,750	\$ 1,190,585
Chilton Global Natural Resources	\$ 986,650	\$ 184,913
	<u>\$ 9,452,969</u>	<u>\$ 10,847,062</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 13

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PHILADELPHIA FIN.- AVENTI VI	1,247,089.	1,247,089.
TOTALS	<u>1,247,089.</u>	<u>1,247,089.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 14DESCRIPTION

	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY IN LIMITED PARTNERSHIPS	95,521,589.	95,521,589.
PROGRAM RELATED INVESTMENTS	260,000.	260,000.
TOTALS	<u>95,781,589.</u>	<u>95,781,589.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 15

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ART WORK	57,500.	57,500.
TOTALS	57,500.	57,500.

ATTACHMENT 16FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DEFERRED TAXES	12,000.
TAXES PAYABLE	95,250.
TOTALS	<u>107,250.</u>

ATTACHMENT 17FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED LOSS FROM INVESTMENTS & L.P.	12,474,139.
TOTAL	<u>12,474,139.</u>

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
Attachment to the 2012 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: Train Foundation**
6 West 48th Street, 10th Floor
New York, NY 10036
- (2) Amount and Date Paid: \$10,000 paid on March 22, 2012**
- (3) Total paid: \$10,000**
- (4) Purpose of Grant: For the Paul Klebnikov Fund's journalism internship program.**
- (5) Amount of Grant Spent by Grantee: \$10,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: October 2012**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on October 15, 2012 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
Attachment to the 2012 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: The Walters Art Museum**
600 North Charles Street
Baltimore, MD 21201
- (2) Amount and Date Paid: \$5,000 paid on November 14, 2012**
- (3) Total paid: \$5,000**
- (4) Purpose of Grant: For the ongoing support of The Walters Art Museum.**
- (5) Amount of Grant Spent by Grantee: \$5,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: May 1, 2013**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on May 1, 2013 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.
EIn: 13-6090286
Attachment to the 2012 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

(1) Grantee: Columbia University Press
61 West 62nd Street
New York, NY 10023

(2) Amount and Date Paid: \$5,000 paid on July 31, 2012

(3) Total paid: \$5,000

**(4) Purpose of Grant: For the support of the publication "This Place,
These People: Life and Shadow on the Great Plains".**

(5) Amount of Grant Spent by Grantee: \$5,000

**(6) Diversion: To the knowledge of the foundation, and based on the
report furnished by the grantee, no part has been used for other than its
intended purpose.**

(7) Date of Report Received from Grantee: not received as of yet.

**(8) The J.M. Kaplan Fund, Inc. will review the grant report when received,
but will not undertake any verification of the grantee's reports as there
has not been any reason to doubt their accuracy or reliability (Reg.
53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.
For the year ended
December 31, 2012

<u>TRUSTEE/Address</u>	<u>Hours Worked/Wk</u>	<u>Contributions to "EE" Benefit Plans</u>	<u>Expense Account</u>	<u>Compensation</u>
Note: The mailing address for All Trustees is: C/o The J.M Kaplan Fund, Inc. 261 Madison Avenue 19 th Fl. New York, NY 10016				
Joan Davidson, Trustee	3-5	None	None	4,000
Elizabeth K. Fonseca, Trustee	3-5	None	None	5,000
Mary E. Kaplan, Trustee	3-5	None	None	5,000
Richard D. Kaplan, Trustee	3-5	None	None	5,000
 J. Matthew Davidson, Trustee	 3-5	 None	 None	 9,000
Brad Davidson, Trustee	3-5	None	None	9,000
Elizabeth Davidson Pickering, Trustee	3-5	None	None	9,000
Peter Davidson, Trustee	3-5	None	None	9,000
 Caio Fonseca, Trustee	 3-5	 None	 None	 7,000
Isabel Fonseca, Trustee	3-5	None	None	9,000
Quina Fonseca, Trustee	3-5	None	None	8,000
 Conn Nugent, Exec.Director/Secretary	 40	 36,153	 None	 \$94,809
		<u>\$ 36,153</u>	<u>\$ -0-</u>	<u>\$173,809</u>

G:\BILL\RETURN.DOC

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
WILLIAM P. FALAHEE 261 MADISON AVENUE NEW YORK, NY 10016	CONTROLLER 40.00 NEW YORK	118,002. 10016	45,352. 0
ANGELA CARABINE 261 MADISON AVENUE NEW YORK, NY 10016	GRANTS MANAGER 40.00	90,506.	37,498. 0
LAURA HANSEN 261 MADISON AVENUE NEW YORK, NY 10016	PROGRAM OFFICER 30.00	60,421.	5,491. 0
ANN BIRCKMAYER 261 MADISON AVENUE NEW YORK, NY 10016	OFFICE ASSISTANT 30.00	57,026.	4,286. 0
	TOTAL COMPENSATION	<u>325,955.</u>	<u>92,627.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 19

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BRANDYWINE MANAGEMENT CO. 880 THIRD AVENUE NEW YORK, NY	INVESTMENT SERVICES	533,885.
SUZETTE BROOKS MASTERS 40 EAST 88TH STREET NEW YORK, NY 10075	GRANT CONSULTANT	91,950.
KEN LUSTBADER 37 WEST 12TH STREET NEW YORK, NY 10011	GRANT CONSULTANT	76,000.
TOTAL COMPENSATION		<u>701,835.</u>

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JACOB M. KAPLAN-DECEASED
HENRY C. KAPLAN-DECEASED

ATTACHMENT 21

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE STATEMENT ATTACHED

Guidelines & Inquiries

Funding Guidelines

The Fund normally makes grants to organizations in the United States which have tax-exempt status under Section 501(c)(3) of the Internal Revenue Code (and are not private foundations within the meaning of section 107(c)(1) of the code), and to state colleges and universities. The Fund will also consider grant requests from organizations based outside the United States if they have documented equivalent 501(c)(3) status. The Fund does not make grants to individuals.

Due to its narrow funding focus, the Fund makes grants by invitation only. After familiarizing yourself with the Fund's program areas and guidelines, if you feel that your project falls within this focus, you may submit a brief letter of inquiry (1-2 pages) which succinctly summarizes the purpose and activities of the grant, the qualifications of the applicant and institution, and an estimated total budget cost and time frame for the project. The letter will initially be reviewed initially by members of the Fund's staff and possibly by outside consultants. Those submitting letters will be notified of the results of this review in approximately six weeks and may be asked to supply additional information for a formal proposal.

Letter of Inquiry

Organization Name*

Organization Legal Name

Organization Address

Building Number and Street Name

City

State

Zip

Website

Include http://

Contact Person*

Contact Person Title

Address (if different from above)

Building Number and Street Name

City

State

Zip

Email*

Phone

Country Code

Area Code

Program Area*

Select a Program Area ▼

Type of Support

General Operating Support ▼

Project Title

Limit to one sentence

Project Description

500 to 700 words or Upload a 2-page max Word Doc. No brochures or videos.*

City Life

For the past decade, the City Life Program has worked with organizations across the five boroughs of New York City to expand and improve the public realm. Focused on parks & streets, the Fund supported public access to well-maintained green spaces and the waterfront, the creation of new parkland, advocacy for better transit, more biking and pedestrian zones, and civic engagement on issues affecting all aspects of public space.

City Life is on hiatus until further notice.



Environment

The Fund's Environment Program has focused on High Seas Protection, Ocean Livability, and Marine Protected Areas. The Fund, in collaboration with other partners, helped form the High Seas Alliance, the largest marine conservation group in the world.

Other initiatives have supported efforts to: fight environmental havoc caused by overfishing and greenhouse gas emissions in the Pacific Islands; strengthen and safeguard the compliance of marine protected areas in the Sargasso Sea, Costa Rican Dome, and the Ross Sea in Antarctica; and promote the inclusion of marine sites on the World Heritage List. In the Arctic, the Fund has supported efforts for comprehensive regulations governing maritime transport as well as investing in human capital by helping educate and train young natives about marine biodiversity in the arctic.

The Environment Program is on hiatus for 2013.

Historic Preservation

The Historic Preservation Program concentrates on the conservation of archaeological resources in the Circum-Mediterranean, with a focus in Turkey, and the preservation of U.S. industrial heritage. The Program's Turkish Site Conservation and Training Initiatives support projects that conserve *in situ* archaeological resources and conservation training for Turkish nationals. Through the Industrial Heritage Initiative, the Fund supports efforts to shape and influence the protection and reuse of former industrial sites including mines, power plants, grain elevators, and steel plants.

Migrations

The Fund's Migration program supports a variety of efforts to integrate the 40 million foreign born individuals who call the United States home so they can thrive and contribute fully to the country's civic, cultural and economic life. We currently fund high-impact, innovative solutions to the integration challenges facing immigrants and refugees today in a few areas: how to engage receiving community members so they embrace the immigrants and refugees living in their communities; how to address the labor market challenges facing foreigners educated and trained abroad who are underemployed in the US; and how to ensure that Dream-Act eligible youth succeed in achieving their educational and professional goals. We are also proud sponsors of the Migration Policy Institute's *E Pluribus Unum* prizes for exceptional immigrant integration initiatives.

Recent Grants

[2013 Migration Grants to Date \(PDF\)](#)

[2012 Migration Grants \(PDF\)](#)

[2011 Migration Grants \(PDF\)](#)

Recent News

Our Grant Process

[Read Guidelines](#)

[Fill out an Inquiry](#)

Our Program Areas

- [City Life](#)
- [Environment](#)



***FURTHERMORE** grants in publishing*

The Furthermore program is concerned with nonfiction book publishing about the city; natural and historic resources; art, architecture, and design; cultural history; and civil liberties and other public issues of the day. Our grants apply to writing, research, editing, design, indexing, photography, illustration, and printing and binding.

We look for work that appeals to an informed general audience; gives evidence of high standards in editing, design, and production; promises a reasonable shelf life; might not otherwise achieve top quality or even come into being; and represents a contribution without which we would be the poorer. Book proposals to which a university press or trade publisher is already committed and for which there is a feasible distribution plan are usually preferred. In geographical reach we are drawn—but in no way limited—to New York City and to New York State and its Hudson Valley.

Furthermore applicants must be 501(c)3 organizations. They have included civic and academic institutions, museums, independent and university presses, and professional societies. Trade publishers and public agencies may apply for Furthermore grants in partnership with an eligible nonprofit project sponsor.

Applications* from individuals cannot be accepted.
Grants from \$500 to roughly \$15,000 are awarded in
spring and fall with March 1 and September 1 deadlines.
We welcome enquiries.

*The Application Form that you can download is a .pdf
file. If you do not have the reader installed on your
computer, you can download the free Adobe Reader.
Hint: If you have trouble printing the application form
from this file, click the check box next to "Print as
image" when the Print window opens.

A PROGRAM OF THE J. M. KAPLAN FUND

Joan K. Davidson, President ♦ Ann Birckmayer, Administrator
Letters: Post Office Box 667 ♦ Parcels: 518 Warren Street ♦ Hudson, New
York 12534
Telephone 518/828-8900 ♦ Fax 518/828-8901 ♦ E-mail:
info@furthermore.org

Discretionary Grants

The Fund also awards discretionary grants to organizations identified by individual trustees based on their own interests and research. These discretionary grants support a wide variety of activities, including:

- art, architecture, publishing and design;
- academic institutions;
- conservation of land and buildings;
- policy analysis and discussion of civic issues; and
- human rights and social justice.

Discretionary grant proposals are by invitation only. Kindly do not submit an on-line Letter of Intent.

Our Grant Process

Read Guidelines

Fill out an Inquiry

Our Program Areas

- City Life
- Environment
- Migrations
- Historic Preservation

Announcement: JM Kaplan Fund Seeks Executive Director

The JM Kaplan Fund
261 Madison Avenue
19th Floor
NY, NY 10016
(212) 767-0630

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT ATTACHED

NONE

SEE SCHEDULE ATTACHED

6,638,149.

ED

PUBLIC

TOTAL CONTRIBUTIONS PAID

6,638,149.

The J.M. Kaplan Fund, Inc.
 Ein: 13-6090286
 List of Grants paid 2012

Payee Legal Name	Request ID	Request Project Title	Amount
1000 Friends of Maryland Inc.	2012204	General Support	\$1,000.00
1The Pew Charitable Trusts	2011145	Oceans North International Arctic Fisheries Campaign	\$50,000.00
350.org	2012268	General Support	\$5,000.00
Adoption-Link	2012192	General Support	\$5,000.00
Alex Fund Inc.	2012206	OvidiuRo helps Romanian schools and communities successfully integrate impoverished children into the education system at the appropriately early age.	\$30,000.00
Alliance for Justice Inc.	2012111	General Support	\$5,000.00
Alzheimer's Disease and Related Disorders Association Inc.	2012127	General Support	\$75.00
American Cancer Society Inc.	2012126	General Support	\$75.00
American Civil Liberties Union Foundation, Inc.	2012317	Stop Solitary Campaign	\$10,000.00
American Friends of the Trasimeno Music Festival, Inc.	2012269	General Support	\$5,000.00
American Heart Association, Inc.	2012066	General Support	\$75.00
American Littoral Society	2012013	Regional Marine Conservation Project's Arctic Funders Group (AFG) Secretariat	\$15,000.00
Amherst College Trustees	2012337	For the book, Nobility and Newcomers in Renaissance Island	\$5,000.00
ANAI Inc.	2012065	Stream Bio-monitoring Program	\$5,000.00
Annapolis Film Festival Inc.	2012253	General Support	\$1,000.00
Annapolis Maritime Museum Inc.	2012201	General Support	\$2,000.00
Annapolis Symphony Orchestra Association Inc.	2012077	Musician Sponsorship Program	\$2,500.00
Annapolis Wellness Corp	2012255	General Support	\$1,000.00
Antarctic and Southern Ocean Coalition	2011005	For campaign to achieve MPA status for the Ross Sea and the proposed network of Southern Ocean MPAs.	\$37,500.00
Art Opportunities Inc.	2012270	General Support	\$5,000.00
Association of Community Employment Programs for the Homeless Inc.	2012052	General Support	\$10,000.00

The J.M. Kaplan Fund, Inc.

EIn: 13-6090286

List of Grants paid 2012

Association of Community Employment Programs for the Homesless Inc.	2012302	General Support	\$15,000.00
Association of Small Foundations	2012026	General Support/2012 Membership	\$2,000.00
Association of the Bar of the City of New York Fund, Inc.	2012132	General Support for The Cyrus R. Vance Center for International Justice	\$10,000.00
Aston Magna Foundation for Music and the Humanities Inc.	2012044	General Support	\$2,000.00
Atomic Heritage Foundation	2012154	For the book, "A Guide to the Manhattan Project in Manhattan"	\$3,500.00
Bannerman Castle Trust	2012016	Bannerman Island Arsenal Stabilization Project	\$25,000.00
Bard College	2012155	For the book, "Camille Saint-Saens and His World"	\$5,000.00
Battery Conservancy, Inc.	2012096	General Support	\$5,000.00
Beacon School Parent Association	2012189	General Support	\$5,000.00
Bennington College Corporation	2012083	2011/2012 Annual Fund	\$5,000.00
Beth Israel Medical Center	2012047	\$10,000 The Martin M. Feuer M.D. Education Fund \$10,000 General Support to be allocated by Dr. Marvin Miliken	\$20,000.00
Broadway Walk of Stars Foundation Inc.	2012086	General Support	\$10,000.00
Brooklyn Academy of Music, Inc.	2012316	General Support	\$5,000.00
Brooklyn Friends School	2012187	Annual Fund	\$1,500.00
Brooklyn Institute of Arts & Sciences	2012336	For the book, Fine Lines: American Drawings from the Brooklyn Museum	\$7,500.00
Canopy Canopy Canopy Inc.	2012156	For the book, "Invalid Format: An Anthology of Triple Canopy, Colume 2"	\$3,500.00
Casa of Maryland Inc. Ctr. Amer. Solidarity & Assistance of Maryland	2012021	2012 National Immigrant Integration Conference	\$30,000.00
Casa of Maryland Inc. Ctr. Amer. Solidarity & Assistance of Maryland	2012218	General Support	\$3,000.00
Cats R Us Inc.	2012254	General Support	\$1,000.00
Center for Alternative Sentencing and Employment Services Inc.	2012286	General Support	\$6,000.00
Center of Help Inc.	2012200	General Support	\$3,000.00

The J.M. Kaplan Fund, Inc.
 Ein: 13-6090286
 List of Grants paid 2012

Centro de Comunicacion Virginia Woolf	2012358	"Nunca en Domingo" (Never on Sunday) Radio Program	\$25,000.00
Charity Global Inc.	2012272	General Support	\$5,000.00
Chicago Public Media Inc.	2012079	General Support	\$5,000.00
Chore Service Inc.	2012321	General Support	\$150.00
Christian Herald Association Inc.	2012239	General Support	\$5,000.00
Christian Herald Association Inc.	2012245	General Support	\$5,000.00
Christodora Inc.	2012233	General Support	\$5,000.00
Churchill Centre	2012119	For The Churchill War Rooms of the Imperial War Museum in London	\$10,000.00
Churchill Centre	2012308	For the exhibition, The Power of Words	\$10,000.00
Churchill Centre	2012309	The National Churchill Library & Center at George Washington University	\$10,000.00
Citizens Budget Commission Inc.	2012265	General Support	\$3,000.00
City Parks Alliance Inc.	2012185	2012 Membership	\$2,500.00
City Parks Foundation Inc.	2012157	For the book, "Extraordinary Trees of New York City"	\$3,500.00
Civitas Citizens Inc.	2012112	General Support	\$2,500.00
Clean Air Cool Planet Inc. A Northeast Alliance	2012009	Advocacy and Policy to Conserve the Arctic in a Time of Climate Change	\$40,000.00
Columbia County Historical Society, Inc.	2012113	General Support	\$5,000.00
Columbia Land Conservancy, Inc.	2012097	General Support	\$10,000.00
Columbia Land Conservancy, Inc.	2012328	General Support	\$5,000.00
Columbia University Press	2012158	For "This Place, These People: Life and Shadow on the Great Plains"	\$5,000.00
Columbia-Greene Hospital Foundation	2012114	General Support	\$5,000.00
Committee Against Anti Asian Violence	2012271	General Support	\$5,000.00
Community Foundation of Anne Arundel County Inc.	2012219	General Support	\$2,000.00
Community Foundation of Anne Arundel County Inc.	2012076	\$1500 Anne Arundel Women Giving Together Endowment Fund (AAWGT) and \$500 AAWGT General Fund	\$2,000.00
Compass Rose Studio Theater	2012220	General Support	\$2,000.00
Concord Academy	2012288	Annual Fund	\$2,500.00

Constitutional Education Foundation, Inc.	2012064	The Constitution Works Project	\$2,500.00
---	---------	--------------------------------	------------

The J.M. Kaplan Fund, Inc.

EIn: 13-6090286

List of Grants paid 2012

Consultative Group on Biological Diversity	2012024	General Support/2012-2013 Membership	\$6,500.00
Cookies for Kids Cancer	2012067	General Support	\$150.00
Coudert Institute, Villa Dei Fiori Inc.	2012048	General Support	\$15,000.00
Dalton Schools, Inc.	2012232	Annual Fund	\$20,000.00
Deep Dish T.V., Inc.	2012159	For the iPad app, "The Brill Building: Sound and Style"	\$5,500.00
Doctors Without Borders USA Inc.	2012273	General Support	\$5,000.00
Donors Trust, Inc.	2011384	For The Philanthropic Enterprise, a project merging the activities of the Project for New Philanthropy Studies and the Fund for the Study of Spontaneous Orders, housed at Atlas Economic Research Foundation.	\$100,000.00
Edith Wharton Restoration Inc.	2012042	To fund the cost of the design, layout, carving, marble stock and installation of memorial stone in the gardens of The Mount honoring Alice M. Kaplan.	\$18,000.00
Ensemble Studio Theatre, Inc.	2012093	General Support/Theatre Development	\$50,000.00
Ensemble Studio Theatre, Inc.	2012295	General Support	\$90,000.00
Environmental Advocates of New York Inc.	2012133	General Support	\$2,500.00
Environmental Defense Fund Inc.	2011141	Protecting Biodiversity in Cuba by Developing a Strong Foundation for Scientific Exchange and Environmental Cooperation between Cuba and the US	\$45,000.00
Environmental Grantmakers Association	2012236	2012 Membership	\$3,407.00
Essential Information, Inc.	2012036	General Support	\$160,000.00
Essential Information, Inc.	2012131	General Support	\$60,000.00
Essential Information, Inc.	2012296	General Support	\$30,000.00
Family Service Prevention Education & Counseling NFP	2012256	Nuestro Center, a program of Family Service	\$2,500.00
Film Forum, Inc.	2012094	General Support	\$5,000.00
Food Link Inc.	2012202	General Support	\$2,000.00
Fort Worth Art Association	2012342	For the book, Mexico: Inside Out	\$5,000.00

Foundation Financial Officers Group	2012027	General Support/2012 Membership	\$2,000.00
-------------------------------------	---------	---------------------------------	------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

Foundation for Sustainability and Peacemaking in Mesoamerica	2012040	General Support	\$20,000.00
Foundation for Sustainability and Peacemaking in Mesoamerica	2012297	General Support	\$15,000.00
Four Nations, Inc.	2012098	General Support	\$3,500.00
Free Africa Foundation, Inc.	2012037	General Support	\$10,000.00
Free Africa Foundation, Inc.	2012300	General Support	\$16,000.00
French Institute for Anatolian Studies	2011157	Conservation and Restoration of Bronze Age Dining Hall at Labraunda	\$40,000.00
Friends of Clermont	2012333	General Support	\$5,000.00
Friends of Clermont	2012199	General Support	\$1,000.00
Friends of the Earth	2012012	Renewed Support - Protecting the Arctic Environment from Shipping Pollution	\$25,000.00
Friends of the Forest Preserve	2012095	General Support	\$5,000.00
Friends of the Upper East Side Historic Districts	2012134	General Support	\$2,500.00
Fugees Family Inc.	2012274	General Support	\$5,000.00
Fundacion Amistad Inc.	2012018	To support the events surrounding the visit to the US of Eusebio Leal, Historian of the City of Havana, and the delegation of Cuban architects and urban planners	\$50,000.00
Fundacion Marviva	2012004	Initiative to Protect the Costa Rica Dome	\$80,000.00
Garden Club of America	2012161	For the book, "Gardeners Collect: Celebrating 100 Years of the Garden Club of America"	\$5,000.00
General Synod of the Reformed Church in America	2012166	For the book, "Three Centuries of Ministry, Service and Blessings in the Hudson Valley"	\$2,000.00
Gentlemen of the Gardens Inc.	2012054	General Support	\$10,000.00
German Archaeological Institute - Istanbul	2011277	Conservation of the Three Terraced Hellenistic Gymnasium at Pergamon	\$50,000.00
Getting the Word Out, Inc.	2012338	For the Adironadck Explorer - an investigative series on Adirondack Park Agency	\$5,000.00
Gilman School Inc.	2012223	The Gilman Fund - 2012/2013	\$1,000.00

Global Foundation for Humanity	2012306	The Veerni Project	\$10,000.00
--------------------------------	---------	--------------------	-------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

Global Heritage Fund	2011276	Gobekli Tepe - Conservation of the World's First Temple at Gobekli Tepe, Turkey	\$45,000.00
Global Heritage Fund	2012230	Site Protection and Security of Gobekli Tepe, Turkey	\$116,000.00
Glynwood Center Inc.	2012194	For documentary film, "Apple Exchange"	\$5,000.00
Grantmakers Concerned with Immigrants and Refugees	2012025	General Support/2012 Membership	\$3,000.00
Grants Managers Network Inc.	2012028	General Support/2012 Membership	\$2,000.00
Great Neck Center for the Visual and Performing Arts, Inc.	2012055	General Support	\$10,000.00
Greene County Council on the Arts Inc.	2012162	For the catalogue, "Masters on Main Street"	\$2,000.00
Grosse Pointe United Methodist Church	2012251	General Support in honor of Evelyn Montgomery	\$3,000.00
Hawthorne Valley Association Inc.	2012163	For the book, "Human Nature: People and the Land in Columbia County, NY"	\$5,000.00
Historic Districts Council, Inc.	2012135	General Support	\$2,500.00
Holy Rosary Church	2012069	General Support	\$1,680.00
Hope for Depression Research Foundation	2012088	General Support	\$10,000.00
Hotchkiss Library of Sharon Inc.	2012322	General Support	\$150.00
Hudson Opera House, Inc.	2012099	General Support	\$10,000.00
Hudson Opera House, Inc.	2012331	General Support	\$5,000.00
Hudson River Foundation for Science & Environmental Research, Inc.	2012335	For the documentary, Hudson Risings!	\$5,000.00
Hudson River Park Trust	2012340	For the book, Hudson River Park Walking Tour Series: Meatpacking District/Chelsea and Tribeca	\$2,500.00
Hudson River Sloop Clearwater, Inc.	2012100	General Support	\$5,000.00
Hudson River Sloop Clearwater, Inc.	2012334	General Support	\$5,000.00
Humane Society of the United States	2012275	Disaster Relief Fund	\$5,000.00
Hyde Collection Trust	2012341	For the book, Modern Nature: Georgia O'Keefe and Lake George	\$5,000.00
iMentor	2012276	General Support	\$5,000.00
In Our Backyards Inc.	2012186	General Support	\$1,000.00

Interfaith Center of New York Inc.	2012212	General Support	\$10,000.00
------------------------------------	---------	-----------------	-------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

International Rescue Committee, Inc.	2012196	General Support	\$2,500.00
Int'l Union for Conservation of Nature & Natural Resources	2011137	High Seas Conservation Initiative	\$100,000.00
Island Institute	2012261	General Support	\$15,000.00
Island Press - Center for Resource Economics	2012164	For the book, "The Nature of Urban Design"	\$3,500.00
Islands First Inc.	2012007	Opening the Next Battlefront: Small Island States Bring Climate Change to the World Court	\$20,000.00
Istanbul Kultur University	2012124	First-Ever Four Day Seminar on Archaeological Site Conservation for Turkish Site Managers and Assistant Site Managers (Fall of 2012)	\$35,000.00
Italian Archaeological Mission Hierapolis	2011279	Conservation of Sanctuary of St. Philip the Apostle and Sanctuary of Pluto at Hierapolis	\$50,000.00
Jewish National Fund - Karen Kayemeth LeIsrael Inc.	2012215	The Sderot Indoor Recreation Center	\$5,000.00
Kenosha Area Business Alliance Foundation Inc.	2012209	Kenosha Leadership Project: Be the Solution	\$5,000.00
Kenosha Christmas Charities Inc.	2012257	General Support of Community Holiday Charities	\$2,000.00
Kent State University Foundation Inc.	2012165	For the book, "Cleveland Goes Modern: Design for the Home, 1930-1970"	\$4,000.00
Key School Inc.	2012075	2011/2012 Annual Fund	\$2,500.00
Land Institute	2012061	General Operating Support	\$25,000.00
Landmark West Inc.	2012137	General Support	\$2,500.00
Lenox Hill Hospital	2012138	General Support	\$5,000.00
Light House Inc.	2012203	Light House Shelter	\$1,000.00
London Town Foundation, Inc.	2012221	General Support	\$2,000.00
Make the Road New York	2012228	Equitable Development and Parkland Protection Initiative for Flushing Meadows Corona Park	\$90,000.00
Manhattan Institute for Policy Research Inc.	2012193	Social Entrepreneurship Initiative	\$150,000.00
Manhattan Soccer Club Inc.	2012062	General Operating Support	\$2,500.00

Marine Conservation Biology Institute	2011014	Safeguarding the Least Protected Jewels on Earth: The High Seas Jewels Project	\$75,000.00
---------------------------------------	---------	--	-------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

Mary Obolensky Underwood Leukaemia Foundation Inc.	2012043	General Support	\$5,000.00
Maryland Hall for the Creative Arts, Inc.	2012217	General Support	\$5,000.00
Massachusetts Historical Society	2012167	For the book, "In Death Lamented: The Tradition of Anglo-American Mourning Jewelry"	\$3,000.00
Mayor's Fund to Advance New York City	2012303	Hurricane Sandy Relief and Rebuilding	\$50,000.00
Metropolitan Waterfront Alliance Inc.	2011010	Implementing the Waterfront Action Agenda	\$50,000.00
Metropolitan Waterfront Alliance Inc.	2012267	General Support	\$3,000.00
Migration Policy Institute	2012120	E Pluribus Unum National Awards Program	\$100,000.00
Montana Preservation Alliance Inc.	2012014	Stabilization and Adaptive Reuse of Landmark Beehive Brick Kilns	\$50,000.00
Museum of Arts & Design	2012056	General Support	\$10,000.00
Museum of Arts & Design	2012310	General Support	\$10,000.00
Musica Sacra of New York Inc.	2012102	General Support	\$2,500.00
Mystic Seaport Museum Inc.	2012211	General Support	\$15,000.00
N.Y.C. CLEAN AIR CAMPAIGN	2012092	General Support/Archives	\$20,000.00
Nation Institute	2012169	For the book, "New Orleans: Recovery, Resilience, Rebirth"	\$5,000.00
National Advocates for Pregnant Women Inc.	2012277	General Support	\$5,000.00
National Audubon Society Inc.	2012029	Florida Invasive Species Task Force	\$15,000.00
National Immigration Forum	2012020	National Evangelical Immigration Strategy	\$50,000.00
National Immigration Law Center	2012022	United We DREAM's Dream Educational Empowerment Program (DEEP)	\$25,000.00
National Immigration Law Center	2012226	Rapid Response Grant for Deferred Action Policy Implementation	\$60,000.00
National Maritime Historical Society	2012168	For the book, "A Dream of Tall Ships"	\$5,000.00
National Parks Conservation Association	2011008	New York/New Jersey Harbor Coalition	\$200,000.00

National Trust for Historic Preservation in the U.S.	2012015	Industrial Heritage Protection in North America - Advancing the Movement Phase 2	\$40,000.00
Natural Resources Defense Council, Inc.	2012081	General Support	\$5,000.00

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

Natural Resources Defense Council, Inc.	2012082	General Support - Midwest Regional Office	\$5,000.00
Natural Resources Defense Council, Inc.	2012170	For the magazine, "OnEarth"	\$15,000.00
Nellie Goodhue Group Homes	2012116	General Support	\$35,000.00
New Foundation of Hope	2012210	General Support	\$5,000.00
New York Civil Liberties Union Foundation	2012332	General Support	\$5,000.00
New York Foundation for the Arts Inc.	2012115	General Operating Support	\$20,000.00
New York Foundation for the Arts Inc.	2012301	"Artspire" (NYFA Sponsorship Program) - For the documentary film, Silent Witness by Edward Moran	\$13,000.00
New York Landmarks Conservancy, Inc.	2012353	For the book, "Bricks and Brownstone"	\$2,000.00
New York League of Conservation Voters Education Fund Inc.	2012197	General Support	\$2,500.00
New York Preservation Archive Project	2012216	General Support	\$1,000.00
New York Shakespeare Festival	2012142	General Support	\$5,000.00
New York Society Library Trustees	2012140	General Support	\$2,500.00
New York Stem Cell Foundation Inc.	2012050	General Support	\$10,000.00
New York Stem Cell Foundation Inc.	2012311	General Support	\$15,000.00
New York University	2012247		
New Yorkers for Parks	2012229	Technical assistance to Make the Road New York and its coalition responding to major developments in Flushing Meadows Corona Park	\$60,000.00
North Country School	2012225	2012/2013 Annual Fund	\$5,000.00
North Country School	2012208	2012/2013 Annual Fund	\$5,000.00
North Country School	2012032	2011/2012 Annual Fund	\$10,000.00
North River Historic Ship Society	2012172	For the book, "Boat Life 9/11: The Untold Story of the Evacuation of Lower Manhattan"	\$2,500.00
Occidental College	2012294	To establish an endowed fund for The Richard C. Cornuelle Fellowship Program	\$280,000.00
Ocean Foundation	2012003	Marine Science at the Rio20+ Earth Summit	\$20,000.00

Ocean Foundation	2012002	Tri-national Cuba Marine Research and Conservation Program	\$40,000.00
Ocean Foundation	2012001	For 2012 activities of the High Seas Alliance (HSA)	\$100,000.00

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

Old Dartmouth Historical Society/New Bedford Whaling Museum	2012344	For the book, The Arctic Region	\$5,000.00
Old Merchants House of New York Inc.	2012101	General Support	\$2,500.00
Open Space Institute, Inc.	2012030	Project F's Corbin Hill Farm Share Project	\$10,000.00
Open Space Institute, Inc.	2012085	General Support	\$5,000.00
Open Space Institute, Inc.	2012258	Support for Hurrican Sandy clean-up and repairs	\$2,000.00
Outpost Journal	2012173	For the journal, "Outpost Journal, Issue 02: Baltimore, MD"	\$2,500.00
Pacific Environment and Resource Center	2012010	Preventing Industrialization of the Arctic Seas through Strong Indigenous Voices, Legal Protection, and Global Attention	\$40,000.00
Parks & Trails New York Inc.	2012106	General Support	\$2,500.00
Partners In Care Inc.	2012237	General Support	\$2,000.00
Partners in Island Education	2012266	General Support	\$3,000.00
PBS Foundation	2012278	General Support	\$5,000.00
PEN American Center, Inc.	2012107	General Support	\$2,500.00
Philadelphia Museum of Art	2012174	For the book, "The Richard and Roberta Huber Collection"	\$7,500.00
Philanthropy New York	2012023	General Support/2012 Membership	\$15,100.00
Phoenix Art Museum	2012234	One-in-a-Million Campaign/ Western Art	\$40,000.00
Planned Parenthood Federation of America Inc.	2012080	General Support	\$5,000.00
Planned Parenthood Federation of America Inc.	2012259	General Support	\$2,000.00
Planned Parenthood of Maryland Inc.	2012222	General Support	\$2,000.00
Playwrights' Preview Productions Ltd.	2012049	General Support	\$15,000.00
Playwrights' Preview Productions Ltd.	2012312	General Support	\$15,000.00
Poets House Inc.	2012141	General Support	\$2,500.00
Prisoner & Visitation Support Committee	2012280	General Support	\$5,000.00

Proteus Gowanus Interdisciplinary Gallery Inc.	2012072	For "Gifts from an Alien", a public art project intended as a gift offering from NYC-based immigrant artists to the Brooklyn communities.	\$5,000.00
--	---------	---	------------

The J.M. Kaplan Fund, Inc.

EIn: 13-6090286

List of Grants paid 2012

Providence Center Inc.	2012243	General Support	\$2,000.00
PTA of MS 54 Inc.	2012063	General Operating Support	\$5,000.00
Public Citizen Foundation Inc.	2012039	General Support	\$50,000.00
Public Interest Projects Inc.	2012019	To support the activities of the Four Freedoms Fund, a project of Public Interest Projects	\$100,000.00
Puente de la Costa Sur	2012281	General Support	\$5,000.00
Putney School Inc.	2012091	Annual Fund	\$5,000.00
Rachels Network Inc.	2012190	General Support	\$5,000.00
Red Hook Initiative Inc.	2012284	General Support	\$5,000.00
Red Hook Initiative Inc.	2012283	General Support	\$5,000.00
Red Hook Initiative Inc.	2012290	General Support	\$4,212.00
Red Octopus Theatre Company	2012214	General Support	\$10,000.00
Red Octopus Theatre Company	2012314	General Support	\$5,000.00
Regents of the University of California at San Diego	2012006	Deep Ocean Stewardship Initiative - Expert Planning Workshop scheduled for late 2012/early 2013	\$30,000.00
Regional Plan Association, Inc.	2011009	Governors Island Alliance: Creating An Invested Constituency	\$50,000.00
Regional Plan Association, Inc.	2012118	General Support	\$50,000.00
Regional Plan Association, Inc.	2012313	General Support	\$10,000.00
Research Foundation of the City University of New York	2012188	"21st Century for All" Project by John Mollenkopf	\$7,500.00
Restore NYC, Inc.	2012238	General Support	\$5,000.00
Rios to Rivers	2012240	General Support	\$5,000.00
Riverkeeper Inc.	2012143	General Support	\$5,000.00
Robin Hood Foundation	2012293	Hurricane Sandy Relief Fund	\$10,000.00
Rocky Mountain Institute	2012051	General Support	\$12,000.00
Royal Oak Foundation, Inc.	2012089	General Support	\$10,000.00
Sadie Nash Leadership Project Inc.	2012241	General Support	\$5,000.00
Sadie Nash Leadership Project Inc.	2012242	General Support	\$10,000.00
Saint Ann's School	2012260	Annual Fund	\$20,000.00
Saint Ann's School	2012289	Annual Fund	\$2,500.00
Saint Ann's School	2012291	Campaign for Saint Ann's School	\$50,000.00

Saint Louis University	2012350	For the book, "A Nation of Neighborhoods: Cities, Communities and Democracy in the Modern American Imagination, 1940-1980"	\$3,000.00
------------------------	---------	--	------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

Saint Michael's Church	2012125	General Support	\$750.00
Saint-Gaudens Memorial	2012041	To support Exhibition Programs and Concert Series	\$20,000.00
Salisbury Visiting Nurse Association Inc.	2012325	General Support	\$75.00
Sanctuary for Families Inc.	2012285	General Support	\$7,000.00
Scenic Hudson, Inc.	2012144	General Support	\$5,000.00
Seaport Museum New York	2012195	General Support	\$5,000.00
Seventh Regiment Armory Conservancy Inc.	2012105	General Support	\$2,500.00
Sharon Day Care Center Inc.	2012326	General Support	\$150.00
Sharon Fire Department Inc.	2012320	General Support	\$300.00
Sharon Green Preservation Association Inc.	2012323	General Support	\$150.00
Sharon Historical Society	2012150	To sponsor "Great Attic Classic", a three-day Tag Sale and Silent Auction	\$375.00
Sharon Historical Society	2012319	General Support	\$375.00
Sharon Land Trust Inc.	2012324	General Support	\$150.00
Sharon Woman's Club	2012152	Sharon Woman's Club Scholarship Fund	\$150.00
Sharon Woman's Club	2012151	HYSB Summer Internship Program	\$150.00
Shelter Island Historical Society	2012175	For the book, "Slaves in the Attic: The Extraordinary Story of a Northern Plantation"	\$5,000.00
Smithsonian Institution	2012346	For the journal, The Armory Show at 100	\$5,000.00
Society for Industrial Archaeology	2012017	Industrial Heritage Preservation Grants Program	\$10,000.00
Society for the Preservation of Long Island Antiquities, Inc.	2012176	For the book, "Long Island Modernism: 1930-1980"	\$7,370.00
Source Music Inc.	2012198	For the preservation in uniform digital form of the historic archives of Source Music, including the historic recorded performances of the widely lauded "Tibetan Singing Bowl Ensemble: New Music for Instruments."	\$1,000.00

Southern California Institute of Architecture	2012347	For the book, "A Confederacy of Heretics: The Architecture Gallery, Venice 1979"	\$5,000.00
---	---------	---	------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

St. John's College	2012073	SJC Annual Fund 2012/2013	\$25,000.00
St. Luke's Chamber Ensemble, Inc.	2012146	General Support	\$5,000.00
St. Stephen's School	2012130	To upgrade the Edward C. Carter II Library	\$1,500.00
Storm King Art Center, Inc.	2012231	General Support	\$15,000.00
Susan Smith Blackburn Prize, Inc.	2012070	General Support	\$1,000.00
Syracuse University	2012177	For the book, "Falling Up: The Days and Nights of Carlisle Floyd"	\$2,500.00
Team Rubicon	2012282	General Support	\$5,000.00
The American Ireland Fund	2012213	Cill Rialaig Project	\$5,000.00
The Architectural League of New York	2012327	General Support	\$2,500.00
The British Memorial Garden Trust Inc.	2012058	General Support	\$5,000.00
The Bronx Defenders	2012227	Project support for immigrant defense and advocacy work	\$25,000.00
The Bryn Mawr School for Girls of Baltimore City	2012074	Annual Fund 2012/2013	\$20,000.00
The Center for Reproductive Rights Inc.	2012235	General Support	\$10,000.00
The Colorado College	2012084	2012/2013 Annual Fund	\$5,000.00
The Correctional Association of New York	2012244	General Support	\$5,000.00
The Culture Project Inc.	2012053	General Support	\$10,000.00
The Culture Project Inc.	2012315	General Support	\$5,000.00
The English Speaking Union of the United States	2012087	General Support	\$10,000.00
The Film Society of Lincoln Center, Inc.	2012160	For the book, "Celebrating the Cinema: 50 Years of the New York Film Festival"	\$5,000.00
The Franklin and Eleanor Roosevelt Institute	2012035	Churchill-Roosevelt Symposium scheduled for June 2012	\$2,500.00
The Franklin and Eleanor Roosevelt Institute	2012305	Franklin D. Roosevelt Presidential Library	\$10,000.00
The Grant Foundation	2012046	General Support	\$5,000.00
The Green-Wood Historic Fund Inc.	2012263	The Historic Fund	\$5,000.00
The Henry Francis DuPont Winterthur Museum, Inc.	2012356	For the book, "Charles Magnus, Lithographer: Illustrating America's Past, 1850-1900"	\$4,500.00

The Hudson River Museum of Westchester	2012339	For the book, Industrial Sublime: Modernism and the Transformation of New York's Rivers, 1900-1940	\$5,000.00
--	---------	--	------------

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Grants paid 2012

The Leukemia & Lymphoma Society Inc.	2012224	General Support	\$1,500.00
The Municipal Art Society of New York	2012139	General Support	\$5,000.00
The Municipal Art Society of New York	2012059	General Support	\$5,000.00
The Museum of the City of New York	2012343	For the book, Stephen Burrows: When Fashion Danced, New York City, 1968-983	\$5,000.00
The New Press Inc.	2012249	General Support	\$5,000.00
The New York Botanical Garden	2012354	For the book, "Highlights of the LuEsther T. Mertz Library"	\$4,500.00
The New York Community Trust	2012121	UnityNYC Awards, a program of the One NYC One Nation Initiative led by NYCT and NYC Mayor's Office of Immigrant Affairs	\$20,000.00
The New-York Historical Society	2012171	For the book, "World War II & New York City"	\$5,000.00
The Olana Partnership	2012104	General Support	\$2,500.00
The Oliverian School Inc.	2012078	Annual Fund	\$5,000.00
The Point Community Development Corporation	2012207	General Support	\$60,000.00
The Point Community Development Corporation	2012279	General Support	\$5,000.00
The Point Community Development Corporation	2012292	General Support	\$5,000.00
The Preservation League of New York State	2012108	General Support	\$10,000.00
The Regents of the University of California	2012348	For the book, Vol 4 "The War Years 1917-1919"	\$5,000.00
The San Francisco Art and Film Program	2012038	General Support	\$10,000.00
The Skyscraper Museum	2012060	General Support	\$5,000.00
The Society of the Four Arts Inc.	2012057	General Support	\$10,000.00
The SS Columbia Project	2012145	General Support	\$5,000.00
The SS Columbia Project	2012330	General Support	\$5,000.00
The Tanzania Wildlife Fund Inc.	2012298	General Support	\$3,000.00

The Train Foundation	2012031	For the Journalism Internship Program of the Paul Klebnikov Fund, a project of The Train Foundation	\$10,000.00
----------------------	---------	---	-------------

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
List of Grants paid 2012

The University of Tennessee	2012355	For the book, "Backcountry Makers: An Artisan History of Southwest Virginia and Northeast Tennessee"	\$1,400.00
The Walter and Duncan Gordon Foundation	2012008	The Jane Glassco Arctic Fellowship Program; Support for the Permanent in the Arctic Council; and Adding a North America-Russia Arctic Cooperation Initiative to the Arctic Securit Program	\$80,000.00
The Woodstock School of Art Inc.	2012110	General Support	\$1,000.00
Thomas Cole Historic House	2012147	General Support	\$2,500.00
Thomas Cole Historic House	2012045	General Support	\$5,000.00
Tides Canada Foundation	2012011	Capacity for Conservation: Enabling Inuit Leadership in Canadian Arctic Ocean Governance	\$40,000.00
Tides Center	2012071	Empire State Future: to enhance content development and 2012 website development.	\$10,000.00
Tides Center	2012264	Across the Aisle Foundation/NoLabels, a project of the Tides Center	\$5,000.00
Time & Space Limited Theatre Company Inc.	2012148	General Support	\$2,500.00
Tour De Force Bike Ride	2012128	General Support	\$1,500.00
Transportation Alternatives Inc.	2011007	Winning Public Opinion through a Bicycle Ambassador Team	\$75,000.00
Trust for Public Land	2011011	New York Harbor Program	\$50,000.00
United Against Illegal Guns SuppoT Fund	2012248	General Support	\$10,000.00
United Nations Educational, Scientific and Cultural Organization	2012005	Identification of Areas with Potential "Outstanding Universal Value" in the High Seas	\$50,000.00
University of Chicago	2012178	For the book, "Awash in Color: French and Japanes Prints"	\$5,000.00
University of New Mexico	2012351	For the book, "Easter Island: Silent Sentinels"	\$3,000.00

University of Southern California	2012179	For the book, "Words of Magic: The Literary Heritage of Leon Bakst"	\$5,000.00
University of Wisconsin	2011278	Conservation and Column Reconstruction of the Greek and Roman Agora at Troy	\$40,000.00

The J.M. Kaplan Fund, Inc.

EIN: 13-6090286

List of Grants paid 2012

University Press of Mississippi, Inc.	2012180	For the book, "Crockett Johnson and Ruth Krauss"	\$4,000.00
Urban Assembly Inc.	2012250	General Support	\$5,000.00
Vinalhaven Land Trust, Inc.	2012262	General Support	\$10,000.00
W.A.M.C.	2012109	General Support	\$5,000.00
W.A.M.C.	2012329	General Support	\$2,500.00
Walters Art Gallery Endowment Foundation Inc.	2012205	General Support	\$5,000.00
Welcoming America, Inc.	2011109	General Operating Support	\$100,000.00
Wesleyan University	2012352	For the book, "New Haven's Sentinels: The Art and Science of East and West Rock"	\$2,500.00
West Virginia University Foundation Inc.	2012181	For the book, "Erasmus Darwin's 'The Botanic Garden': A Critical Edition"	\$6,000.00
West Virginia University Foundation Inc.	2012182	For the book, "Over the Alleghenies: Early Canals and Railroads of Pennsylvania"	\$5,000.00
Westlake Athletic Booster Club Inc.	2012068	To support the softball teams tournament trip to Florida	\$1,500.00
Westminster College	2012090	Archival support for the National Churchill Museum	\$15,000.00
Westminster College	2012307	General Support, National Churchill Museum	\$10,000.00
Wheaton Franciscan Health Care - All Saints Foundation	2012033	Toward sponsorship for Pink Paddling Power to compete in Dragon Boat Race in Hong Kong	\$1,500.00
Whitney Museum of American Art	2012349	For the book, "Hopper Drawing"	\$7,500.00
Wilderstein Preservation	2012034	\$5,000 for Restoration of Ammi Phillips Portraits; \$2,500 General Support	\$7,500.00
William J. Brennan Jr. Center for Justice Inc.	2012318	General Support	\$10,000.00
Winnakee Land Trust Inc.	2012149	General Support	\$2,500.00
WNYC Radio	2012103	General Support	\$2,500.00

Women's Housing and Economic Development Corporation	2012287	General Support	\$5,000.00
Womens Support Services Inc.	2012153	General Support	\$105.00

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
List of Grants paid 2012

World Education Services Inc.	2011003	Poised to Launch: Catalyzing Career Pathways for Foreign-Trained Professionals, a new alliance to address the workforce needs of foreign trained professionals.	\$125,000.00
World Monuments Fund Inc.	2012123	Conservation and Training at Biet Gabriel Rafael and Biet Lehem Churches, Lalibela, Ethiopia	\$75,000.00
World Wildlife Fund Inc.	2012122	Strengthening Management Cooperation in the Circumpolar Arctic	\$30,000.00
Yale University	2012183	For the book, "Building Seagram"	\$5,000.00
Yale University	2012184	For the book, "Heartland & Gulf: How What America Does Best May Be Harming Us All"	\$6,000.00
Young Adult Institute, Inc.	2012129	General Support	\$75.00
Young Womens Christian Association of Annapolis & Anne Arundel County	2012252	General Support	\$2,000.00
Zadock Pratt Museum Inc.	2012299	General Support	\$35,000.00
Bard College	2012136	General Support	\$2,500.00
Syracuse University		For the book, "From the Ground Up: Innovative Green Homes"	\$3,500.00
Textile Study Group of NY	2011325	For the book, "9x9x3: New Visions"	\$2,500.00
Westminster College	2011383	For preservation, archival and general operating support for the National Churchill Museum	\$10,000.00
University of Miami	2007072	Havana: Its Architecture and Urbanism	\$45,000.00
World Monuments Fund	2009320	TAY Documentation Project, "The Archaeological Settlements of Turkey - TAY Project, Byzantine Period/ Central Anatolia Region"	\$27,500.00
World Monuments Fund	2009317	1) Restoration of the Ani Cathedral 2) Restoration of Ani Church of the Redeemer	\$200,000.00
New York University	2009315	Excavations at Aphrodisias:	

1) Anastylis of Propylon;	
2) Conservation of the Hadrianic Baths;	
3) Stabilization of Colonnades of Theater & Agora;	
4) Operating Support	\$200,000.00

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
List of Grants paid 2012

Penn Praxis	2009318	Gordion Pebble Mosaic Conservation Program	\$50,000.00
Harvard University	2009319	Conservation of the Lydian Altar and Synagogue Mosaics at Sardis, Turkey	\$30,000.00
KOC University	2011161	1) One-Day Conference on New Turkish Archaeological Site Management Planning Requirements; 2) Kaplan Fellowship for Archaeological Site Management Planning, 3) Kaplan Model Conservation and Develop for Rum Kale, Turkey	\$ 120,000.00
Austrian Archeological Institute	2011275	Ephesus - Conservation of the Temple of Hadrian at Ephesus	\$ 75,000.00
Vermont Studio Center	2011280	To sponsor 6-week residency for two Cuban architects to create new works to be installed at the Omi International Arts Center in Ghent, NY	\$ 20,000.00
Int'l Union for Conservation of Nature & Natural Resources	2011281	Expanding Shipping and other Marine Activities and the Ecology of the Bering Strait Region	\$ 22,500.00
Earth Vision Trust	2012304	General Support and for the NYC screening of the documentary film, Chasing Ice	\$ 30,000.00

\$6,638,149.00

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE STATEMENT ATTACHED

NONE

SEE SCHEDULE ATTACHED

2,238,350.

PUBLIC

TOTAL CONTRIBUTIONS APPROVED

2,238,350.

Hudson River Foundation
Columbia University (Tram Foundation)
New Netherland Institute
Critical Review Foundation
Donors trust
Donor's Trust
Cosdiano Muler
Vancouver A1 Gallery
Irish Georgian Society
Irish Georgian Society

\$228,000

\$ 2,012,350.00

\$ 2,238,350.00

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 24						
DESCRIPTION	BUSINESS CODE	EXCLUSION		RELATED OR EXEMPT FUNCTION INCOME		
		AMOUNT	CODE			
PARTNERSHIP INCOME	900001	-1,764.	18	262,084.		
PRI INCOME AND OTHER INCOME						
RETURN OF GRANTS						
TOTALS		-1,764.		262,084.		

ATTACHMENT 24