Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation
THE RICHARD FOUNDATION

A Employer identification number
13-6083721

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
181 OLEANDER WAY

B Telephone number (see instructions)
(772) 231-3471

City or town, state, and ZIP code
VERO BEACH, FL 32963

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 5,049,025.

J Accounting method [X] Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	240,329.	240,329.		ATCH 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-8,220			
	b	Gross sales price for all assets on line 6a 1,735,928.				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 2	8,500.	268.		
	12	**Total.** Add lines 1 through 11	240,609.	240,597.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) ATCH 3	8,200.	6,150.		2,050.
	b	Accounting fees (attach schedule) ATCH 4	8,650.	6,487.		2,163.
	c	Other professional fees (attach schedule) *	61,820.	61,820.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) ATCH 6	33,126.	4,894.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 7	24.	24.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	111,820.	79,375.		4,213.
	25	Contributions, gifts, grants paid	198,060.			198,060.
	26	**Total expenses and disbursements** Add lines 24 and 25	309,880.	79,375.	0	202,273.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-69,271.			
	b	**Net investment income** (if negative, enter -0-)		161,222.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		25,735.	25,735.
	2 Savings and temporary cash investments	244,519.	127,321.	127,321.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 8	4,225,471.	4,245,930.	4,892,410.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 9)	4,594.	3,559.	3,559.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	4,474,584.	4,402,545.	5,049,025.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)	2,768.		
	23 Total liabilities (add lines 17 through 22)	2,768.	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	3,948,888.	3,948,888.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	522,928.	453,657.	
	30 Total net assets or fund balances (see instructions)	4,471,816.	4,402,545.	
	31 Total liabilities and net assets/fund balances (see instructions)	4,474,584.	4,402,545.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,471,816.
2	Enter amount from Part I, line 27a	2	-69,271.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,402,545.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,402,545.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -8,220.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . **3** 0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	212,085.	4,800,875.	0.044176
2010	217,890.	4,500,935.	0.048410
2009	205,624.	3,905,095.	0.052655
2008	248,630.	4,545,963.	0.054692
2007	257,457.	5,191,046.	0.049596

2	**Total** of line 1, column (d)	2	0.249529
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049906
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,900,990.
5	Multiply line 4 by line 3	5	244,589.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,612.
7	Add lines 5 and 6	7	246,201.
8	Enter qualifying distributions from Part XII, line 4	8	202,273.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	3,224.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	3,224.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,224.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 8,232.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	8,232.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	5,008.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 5,008. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MR. HAROLD V. RICHARD Telephone no ▶ 772-231-3471

Located at ▶ 181 OLEANDER WAY VERO BEACH, FL ZIP+4 ▶ 32963

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	4,966,184.
b	Average of monthly cash balances	1b	9,440.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,975,624.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) **1e**		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,975,624.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	74,634.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,900,990.
6	**Minimum investment return.** Enter 5% of line 5	6	245,050.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	245,050.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	3,224.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,224.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	241,826.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	241,826.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	241,826.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	202,273.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	202,273.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	202,273.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				241,826.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 10 ,20 09 ,20 08				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008 18,156.				
c From 2009 13,835.				
d From 2010				
e From 2011				
f Total of lines 3a through e	31,991.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 202,273.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				202,273.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	31,991.			31,991.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				7,562.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIED FORM

c Any submission deadlines

NO DEADLINES

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTIONS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 11				
Total . . . . ▶ **3a**				198,060.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	240,329.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-8,220.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 12				8,500.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				240,609.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 240,609.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Harold Van Buren Richard | 11/14/13 | ▶ V-P and Treasurer

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
AMY VEGA	Amy M. Vega	11/13/13		P00293681

Firm's name ▶ GRANT THORNTON LLP — Firm's EIN ▶ 36-6055558

Firm's address ▶ 60 BROAD STREET NEW YORK, NY 10004-2306 — Phone no 212-422-1000

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					1,268.	
		JANNEY #1760 - SEE ATTACHED - STCL PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
245,045.		262,364.					-17,319.	
		JANNEY #1760 - SEE ATTACHED - LTCL PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
166,281.		180,501.					-14,220.	
		JANNEY #1760 - SEE ATTACHED - LTCL PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
350,656.		354,125.					-3,469.	
		JANNEY #6952 - SEE ATTACHED - STCL PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
391,794.		407,192.					-15,398.	
		JANNEY #6952 - SEE ATTACHED - LTCG PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
345,899.		326,731.					19,168.	
		JANNEY #6952 - SEE ATTACHED - LTCG PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
234,985.		213,235.					21,750.	
TOTAL GAIN(LOSS)							-8,220.	

JANNEY MONTGOMERY SCOTT LLC

2012

Proceeds from Broker and Barter Exchange Transactions

Account 69621760

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
CA INC / CUSIP· 12673P105 / Symbol: CA							
09/04/12	21.000	549.76	06/15/12	550.55	-0.79	Sale	
DUKE ENERGY CORP NEW / CUSIP 26441C204 / Symbol: DUK							
07/06/12	0 333	22.44	08/05/11	18.07	4.37	Cash in lieu	
NEW YORK CMNTY BANCORP / CUSIP· 649445103 / Symbol· NYCB							
09/04/12	54.000	723 58	02/02/12	696 85	26.73	Sale	
PHILLIPS 66 / CUSIP· 718546104 / Symbol PSX							
05/09/12	590 000	18,584.99	08/05/11	18,189.39	395.60	Sale	
PWRSH S&P500 LW VLTY ETF / CUSIP· 73937B779 / Symbol. SPLV							
02/02/12	6,732.000	173,819.59	12/27/11	175,368.60	-1,549 01	Sale	
SIEMENS A G SPONS ADR / CUSIP: 826197501 / Symbol: SI							
06/08/12	600.000	49,033 72	08/05/11	65,313 96	-16,280.24	Sale	
UBS AG E TRACS ALERN ETN / CUSIP: 902641646 / Symbol: MLPI							
09/04/12	47.000	1,567.88	07/18/12	1,555.47	12.41	Sale	
WESTPAC BANKING CORP ADR / CUSIP· 961214301 / Symbol: WBK							
09/04/12	6.000	742.78	02/03/12	670.89	71.89	Sale	
	Totals:	245,044.74		262,363.78	-17,319.04		

These columns are not reported to the IRS: Gain or loss, Additional Information, Notes.

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
ANNALY CAP MGMT INC / CUSIP: 035710409 / Symbol: NLY							
09/04/12	57.000	995.26	08/05/11	987.81	7.45	Sale	
10/12/12	4,333.000	69,683.04	08/05/11	75,090.89	-5,407.85	Sale	
	Security total·	70,678.30		76,078.70	-5,400.40		

These columns are not reported to the IRS: Gain or loss, Additional Information, Notes.

THE RICHARD FOUNDATION EIN 13-6083721

Proceeds from Broker and Barter Exchange Transactions
(continued)

1c - LONG-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***
Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS Gain or loss	Additional information	Notes
ASTRAZENECA PLC ADR / CUSIP: 046353108 / Symbol: AZN							
09/04/12	25.000	1,160.72	08/05/11	1,100.34	60.38	Sale	
BRIT AMER TBCCO SPNS ADR / CUSIP: 110448107 / Symbol. BTI							
09/04/12	13.000	1,355.73	08/05/11	1,161.54	194.19	Sale	
CHEVRON CORP / CUSIP. 166764100 / Symbol· CVX							
09/04/12	11.000	1,226.14	08/05/11	1,067.35	158.79	Sale	
CONOCOPHILLIPS / CUSIP 20825C104 / Symbol COP							
09/04/12	9.000	504 79	08/05/11	466.83	37.96	Sale	
DUKE ENERGY CORP NEW / CUSIP 26441C204 / Symbol DUK							
09/04/12	18.000	1,167.99	08/05/11	975.86	192.13	Sale	
EXELON CORP / CUSIP: 30161N101 / Symbol: EXC							
09/04/12	24.000	867.10	08/05/11	1,001.52	-134.42	Sale	
11/02/12	1,446.000	47,042 81	08/05/11	60,341.58	-13,298.77	Sale	
	Security total:	47,909.91		61,343.10	-13,433.19		
FRANCE TELECOM SPONS ADR / CUSIP 35177Q105 / Symbol. FTE							
09/04/12	40.000	535.98	08/05/11	774 84	-238.86	Sale	
GLAXOSMITHKLINE PLC ADR / CUSIP· 37733W105 / Symbol· GSK							
09/04/12	21 000	948 54	08/05/11	872.34	76.20	Sale	
HEALTH CARE REIT INC / CUSIP: 42217K106 / Symbol HCN							
09/04/12	20.000	1,180.37	08/05/11	891.99	288.38	Sale Onginal basis. $919 27	AC
INTEL CORP / CUSIP: 458140100 / Symbol: INTC							
09/04/12	49 000	1,194.10	08/05/11	1,013.38	180.72	Sale	
KIMBERLY CLARK CORP / CUSIP: 494368103 / Symbol: KMB							
09/04/12	17.000	1,425.75	08/05/11	1,084.18	341.57	Sale	
MICROSOFT CORP / CUSIP: 594918104 / Symbol· MSFT							
09/04/12	37.000	1,123.74	08/05/11	941.28	182.46	Sale	

THE RICHARD FOUNDATION EIN 13-6083721

JANNEY MONTGOMERY SCOTT LLC

2012

Proceeds from Broker and Barter Exchange Transactions (continued)

Account 69621760

*1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS Gain or loss	Additional Information	Notes
PAYCHEX INC / CUSIP: 704326107 / Symbol: PAYX							
09/04/12	44.000	1,470.00	08/05/11	1,189.32	280.68	Sale	
PEPSICO INC / CUSIP 713448108 / Symbol: PEP							
09/04/12	8 000	579.74	08/05/11	514.32	65.42	Sale	
PROCTER & GAMBLE COMPANY / CUSIP: 742718109 / Symbol· PG							
09/04/12	17.000	1,144 75	08/05/11	1,029.24	115.51	Sale	
ROYAL DUTCH SHELL ADR B / CUSIP: 780259107 / Symbol: RDS/B							
09/04/12	18 000	1,292 37	08/05/11	1,157.52	134.85	Sale	
SANOFI SPON ADR / CUSIP 80105N105 / Symbol SNY							
09/04/12	24 000	979 41	08/05/11	853.58	125.83	Sale	
TAIWAN SEMICON MFG CO / CUSIP 874039100 / Symbol TSM							
09/04/12	101.000	1,453 35	08/05/11	1,154 85	298 50	Sale	
3M COMPANY / CUSIP 88579Y101 / Symbol MMM							
09/04/12	8 000	734 30	08/05/11	658.28	76.02	Sale	
VODAFONE GRP PLC NEW ADR / CUSIP: 92857W209 / Symbol· VOD							
09/04/12	39.000	1.107 57	08/05/11	1,060.52	47.05	Sale	
ACCENTURE PLC IRELD CL A / CUSIP: G1151C101 / Symbol ACN							
09/04/12	430 000	26,281.01	08/05/11	24,459.14	1,821.87	Sale	
DE LA RUE PLC / CUSIP: G2702K139 / Symbol: DELRF							
09/04/12	51.000	826.18	08/05/11	652.80	173.38	Sale	
	Totals:	166,280.74		180,501.30	-14,220.56		

THE RICHARD FOUNDATION EIN 13-6083721

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol			These columns are not reported to the IRS				
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
AT&T INC / CUSIP: 00206R102 / Symbol: T							
09/04/12	27.000	994.65	08/20/09	697.68	296.97	Sale	
EMERSON ELECTRIC COMPANY / CUSIP: 291011104 / Symbol: EMR							
09/04/12	458.000	22,881.16	12/01/10	25.986.94	-3,105.78	Sale	
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON							
09/04/12	570.000	32,842.66	12/01/10	29,484.06	3,358.60	Sale	
JPM ALERIAN MLP ETN / CUSIP: 46625H365 / Symbol: AMJ							
07/18/12	4,300.000	171,290.10	12/10/10	155,727.36	15,562.74	Sale	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol JNJ							
09/04/12	9.000	606.49	06/10/10	535.53	70.96	Sale	
PHILIP MORRIS INTL INC / CUSIP. 718172109 / Symbol· PM							
09/04/12	20.000	1,793.15	06/09/03	464.67	1,328.48	Sale	
RPM INTERNATIONAL INC / CUSIP· 749685103 / Symbol· RPM							
09/04/12	41.000	1,130.34	12/10/10	871 98	258 36	Sale	
VNGRD MSCI EURO ETF / CUSIP 922042874 / Symbol VGK							
	2 tax lots for 06/15/12 Total proceeds (and cost when required) reported to the IRS						
	760.000	*31,288 64*	*12/01/10*	*37,566.61*	*-6,277.97*	*Sale*	
	812.000	*33,429.46*	*12/10/10*	*41,732 62*	*-8,303 16*	*Sale*	
06/15/12	1,572.000	64,718.10	VARIOUS	79.299.23	-14,581.13	Total of 2 lots	
09/04/12	10 000	448.98	12/10/10	513.95	-64.97	Sale	
10/12/12	1,178 000	53,950 37	12/10/10	60,543.13	-6,592.76	Sale	
	Security total·	119,117 45		140,356.31	-21,238.86		
	Totals:	350,656.00		354,124.53	-3,468.53		

THE RICHARD FOUNDATION EIN 13-6083721

JANNEY MONTGOMERY SCOTT LLC — Account 53986952

2012

Proceeds from Broker and Barter Exchange Transactions

1c - SHORT-TERM TRANSACTIONS *6 - COVERED tax lot for which cost basis is reported to the IRS***

Report on Form 8949, Part I with Box A checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
FT MSTAR DIV LDRS ETF / CUSIP. 336917109 / Symbol: FDL							
04/11/12	3,107.000	53,998.45	08/05/11	48,717.76	5,280.69	Sale	
ISHS S&P GLB TELECOM ETF / CUSIP. 464287275 / Symbol: IXP							
2 tax lots for 04/11/12. Total proceeds (and cost when required) reported to the IRS.							
	1,210.000	*66,364 46*	*08/05/11*	*68,437.60*	*-2,073.14*	*Sale*	
	41.000	*2,248 72*	*01/20/12*	*2,278.76*	*-30.04*	*Sale*	
04/11/12	1,251 000	68,613.18	VARIOUS	70,716.36	-2,103.18	Total of 2 lots	
ISHS IBOX S H/Y CORP ETF / CUSIP· 464288513 / Symbol: HYG							
09/27/12	390 000	36,091.71	01/20/12	34,948.72	1,142.99	Sale	
ISHS FTSE MTG PLS ETF / CUSIP· 464288539 / Symbol: REM							
10/08/12	1,393.000	20,883.95	01/20/12	18,493.46	2,390.49	Sale Original basis: $18,507.82	AC
ISHS S&P US PFD STK ETF / CUSIP: 464288687 / Symbol: PFF							
04/11/12	1,348.000	51,926 08	08/05/11	49,808.60	2,117.48	Sale	
PIMCO TTL RET ETF / CUSIP. 72201R775 / Symbol. BOND							
11/28/12	158.000	17,403.31	04/11/12	16,199 74	1,203.57	Sale	
SPDR BRCLY CAP CONV ETF / CUSIP: 78464A359 / Symbol. CWB							
09/27/12	96.000	3,797.08	01/20/12	3,652.77	144.31	Sale	
UBS AG E TRACS ALERN ETN / CUSIP. 902641646 / Symbol MLPI							
09/27/12	74 000	2,499 39	07/18/12	2,449 03	50.36	Sale	
VNGRD INTRM CORP BD ETF / CUSIP. 92206C870 / Symbol· VCIT							
2 tax lots for 04/11/12 Total proceeds (and cost when required) reported to the IRS.							
	900 000	*75.793 23*	*08/05/11*	*74,439.00*	*1,354.23*	*Sale*	
	32.000	*2.694 88*	*01/20/12*	*2.656 32*	*38 56*	*Sale*	
04/11/12	932.000	78,488.11	VARIOUS	77,095.32	1,392.79	Total of 2 lots	
WSDMTR BRAZILAN RL ETF / CUSIP. 97717W240 / Symbol: BZF							
01/05/12	2,940.000	58,093.28	08/05/11	85,110 65	-27,017.37	Sale	

These columns are not reported to the IRS: Gain or loss, Additional information, Notes.

THE RICHARD FOUNDATION EIN 13-6083721

JANNEY MONTGOMERY SCOTT LLC — Account 53986952

Proceeds from Broker and Barter Exchange Transactions

2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I with Box A checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
	Totals:	391,794.54		407,192.41	-15,397.87		

These columns are not reported to the IRS (Gain or loss, Additional Information, Notes)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
FT MSTAR DIV LDRS ETF / CUSIP: 336917109 / Symbol: FDL							
09/27/12	282.000	5,415.54	08/05/11	4,421.76	993.78	Sale	
ISHS IBOX $ H/Y CORP ETF / CUSIP: 464288513 / Symbol· HYG							
09/27/12	360.000	33,315.41	08/05/11	31,262.40	2,053.01	Sale	
ISHS FTSE MTG PLS ETF / CUSIP· 464288539 / Symbol REM							
09/27/12	2,564 000	38,396 82	08/05/11	34,587.58	3,809 24	Sale Original basis: $34,614.00	AC
10/08/12	4,036.000	60,507.96	08/05/11	54,444.41	6,063.55	Sale Original basis: $54,486.00	AC
	Security total:	98,904.78		89,031.99	9,872.79		
ISHS EX US HLTHCR ETF / CUSIP: 464289552 / Symbol AXHE							
09/27/12	215.000	13,755.39	08/31/11	12,517 50	1,237.89	Sale	
PWRSH GL LST PRIV EQ ETF / CUSIP. 73935X195 / Symbol PSP							
11/28/12	5,197.000	49,609.96	08/05/11	47,084 82	2,525.14	Sale	
SPDR S&P INTL DIV ETF / CUSIP. 78463X772 / Symbol DWX							
09/27/12	552.000	25,276.89	08/05/11	27,942.24	-2,665.35	Sale	
SPDR BRCLY CAP CONV ETF / CUSIP· 78464A359 / Symbol CWB							
09/27/12	2,970 000	117,472 15	08/05/11	112,271 94	5,200.21	Sale	
WSDMTR E/M EQ INCM ETF / CUSIP· 97717W315 / Symbol DEM							
09/27/12	40.000	2,148.75	08/05/11	2,198 71	-49 96	Sale	
	Totals:	345,898.87		326,731.36	19,167.51		

These columns are not reported to the IRS (Gain or loss, Additional Information, Notes)

THE RICHARD FOUNDATION EIN 13-6083721

JANNEY MONTGOMERY SCOTT LLC Account 53986952

Proceeds from Broker and Barter Exchange Transactions (continued)

2012

*LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS***

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol 1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
ISHS IBOX $ H/Y CORP ETF / CUSIP 464288513 / Symbol: HYG							
2 tax lots for 04/11/12 Total proceeds (and cost when required) reported to the IRS.							
	400 000	*35,663.27*	*06/14/10*	*34,252.24*	*1,411 03*	*Sale*	
	291.000	*25,945 04*	*12/10/10*	*26,374 14*	*-429 10*	*Sale*	
04/11/12	691.000	61,608 31	VARIOUS	60,626.38	981.93	Total of 2 lots	
09/27/12	309.000	28,595 73	12/10/10	28,005.52	590.21	Sale	
	Security total.	90,204.04		88,631.90	1,572.14		
JPM ALERIAN MLP ETN / CUSIP: 46625H365 / Symbol: AMJ							
01/20/12	675.000	26,499.99	06/14/10	20,700.64	5,799.35	Sale	
3 tax lots for 04/11/12. Total proceeds (and cost when required) reported to the IRS.							
	200.000	*7,666.42*	*06/14/10*	*6,140.32*	*1,526.10*	*Sale*	
	705.000	*27,024.15*	*06/14/10*	*21,620.66*	*5,403.49*	*Sale*	
	42.000	*1,609.96*	*12/01/10*	*1,523.70*	*86.26*	*Sale*	
04/11/12	947 000	36,300.53	VARIOUS	29,284.68	7,015.85	Total of 3 lots	
2 tax lots for 07/18/12. Total proceeds (and cost when required) reported to the IRS.							
	1,358.000	*54,095 80*	*12/01/10*	*49,266.30*	*4,829.50*	*Sale*	
	700 000	*27,884.44*	*12/10/10*	*25,350.97*	*2,533 47*	*Sale*	
07/18/12	2,058 000	81,980 24	VARIOUS	74,617.27	7,362.97	Total of 2 lots	
	Security total.	144,780 76		124,602.59	20,178.17		
	Totals:	234,984.80		213,234.49	21,750.31		

These columns are not reported to the IRS (Date of acquisition, Cost or other basis, Gain or loss, Additional Information, Notes)

THE RICHARD FOUNDATION EIN 13-6083721

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JANNEY MONTGOMERY SCOTT - DIVIDENDS	240,329.	240,329.
TOTAL	240,329.	240,329.

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME FROM JANNEY # 6962-1760	68.	68.
OTHER INCOME FROM JP MORGAN CHASE	200.	200.
FEDERAL TAX (OVERPAYMENT FROM 2011)	8,232.	
TOTALS	8,500.	268.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	8,200.	6,150.		2,050.
TOTALS	8,200.	6,150.		2,050.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEE	8,650.	6,487.		2,163.
TOTALS	8,650.	6,487.		2,163.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	61,820.	61,820.		
TOTALS	61,820.	61,820.		

ATTACHMENT 6

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	20,000.	
FOREIGN TAXES	4,894.	4,894.
2011 FEDERAL TAX OPMT APPLIED	8,232.	
TOTALS	33,126.	4,894.

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	24.	24.
TOTALS	24.	24.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
JANNEY MONTGOMERY	4,245,930.	4,892,410.
TOTALS	4,245,930.	4,892,410.

ATTACHMENT 9

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DIVIDENDS RECEIVABLE	3,559.	3,559.
TOTALS	3,559.	3,559.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION			
HAROLD V.B. RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VP / TREASURER / DIRECTOR 2.00	0	0	0
TRUMBULL RICHARD (DECEASED 10/2013) 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT / DIRECTOR	0	0	0
PHYLLIS FRITTS 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT / DIRECTOR	0	0	0
JAMES A. FRITTS 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT / DIRECTOR	0	0	0
ROY STEPHENS 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT / DIRECTOR	0	0	0
JEREMY WOOD 181 OLEANDER WAY VERO BEACH, FL 32963	PRESIDENT	0	0	0

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION			
CECILY RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT / DIRECTOR	0	0	0
VIRGINIA RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VP / ASST TREASURER / DIRECTOR	0	0	0
ZACHARY RICHARD 181 OLEANDER WAY VERO BEACH, FL 32963	VICE PRESIDENT / DIRECTOR	0	0	0
ELIZABETH H. W. FRY 181 OLEANDER WAY VERO BEACH, FL 32963	SECRETARY	0	0	0
	GRAND TOTALS			

THE RICHARD FOUNDATION 13-6083721

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE SCHEDULE ATTACHED	NONE EXEMPT ORGANIZATIONS		198,060.
		TOTAL CONTRIBUTIONS PAID	198,060.

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
01/02/2012	2327	Gifford Youth Activity Center	60
01/05/2012	2328	Drug Policy Alliance	100
01/05/2012	2329	Volunteers of America	250
01/06/2012	2330	Police Protective Fund	100
01/09/2012	2331	IRMC Foundation	4,000
01/13/2012	2332	VNA	250
02/01/2012	2333	Aquamantians Yacht Club	100
02/03/2012	2334	People for Project Sound	1,000
02/03/2012	2335	Cascade Bicycle Club	500
02/03/2012	2336	NPR KPLU	500
02/03/2012	2337	Sweet Pea Cottage Preschool of the Arts	500
02/06/2012	2339	Trinity Church York Harbor Maine	1,500
02/06/2012	2340	National Cancer Coalition Inc	100
02/06/2012	2341	The Metropolitan Museum of Art	275
02/06/2012	2343	Childrens Cancer Fund of America	100
02/06/2012	2344	HBOI-FAUF Harbor Branch	250
02/06/2012	2345	Learning Ally	300
02/08/2012	2346	WPBT-2	100
02/06/2012	2347	Make a Wish Foundation	200
02/10/2012	2348	Beta Theta Pi Foundation	300
02/10/2012	2349	Parkinson's Disease Foundation	500
02/10/2012	2350	Mercy Home for Boys and Girls	300
02/13/2012	2352	Miracle Flights for Kids	150
02/13/2012	2353	W2W	50
02/17/2012	2354	Haiti Babies Org Inc	500
02/23/2012	2355	Indian River Medical Center Foundation	1,000
02/23/2012	2356	Indian River County Volunteer Ambulance Squad	500
02/23/2012	2357	The Committee for Missing Children	100
02/23/2012	2358	Memorial Sloan Kettering	1,000
02/20/2012	2359	Virginia Athletes Foundation	300
02/17/2012	2360	Humane Society of Vero Beach	250
02/17/2012	2361	Special Olympics of Florida	150
02/27/2012	2363	National Law Enforcement Officers Memorial Fund	50
02/27/2012	2364	Gifford Youth Activities	500
02/28/2012	2365	Disabled American Veterans	250
02/28/2012	2366	Friends of the Smithsonian	250
02/28/2012	2367	Feed the Children	500
03/01/2012	2368	National Foundation for Cancer Research	200

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
03/01/2012	2369	Cancer Fund of America	200
03/01/2012	2370	Covenant House	250
03/01/2012	2371	Veteran of Foreign Wars of the US	200
03/07/2012	2372	Rise for Baby for Family	2,000
03/08/2012	2373	St. John's Church in Cold Spring Harbor	350
03/13/2012	2374	American Heart Association	1,000
03/13/2012	2375	Boys Town	250
03/13/2012	2376	MADD	200
03/13/2012	2377	Orbis	500
03/13/2012	2378	AMFAR	500
03/13/2012	2380	UNICEF	500
03/13/2012	2381	Smile Train	500
03/13/2012	2382	USO	1,000
03/13/2012	2383	VNA Hospice Foundation	1,000
03/13/2012	2384	Special Olympics Maine	150
03/13/2012	2385	Oxfam America Advocacy	250
03/13/2012	2386	Fresh Air Fund	250
03/13/2012	2387	Riverside Theatre	1,000
03/13/2012	2389	The Salvation Army	500
03/13/2012	2390	Doctors without Borders USA	500
03/13/2012	2391	Shiloh Youth Ranch	250
03/15/2012	2392	Berwich Academy Annual Fund	500
03/16/2012	2393	Easter Seals	500
03/16/2012	2394	American Diabetes Association	500
03/11/2012	2395	Coalition to Salute America's Heroes	500
03/20/2012	2396	Breast Cancer Relief Foundation	50
03/20/2012	2398	Breast Cancer Society	150
03/27/2012	2399	Feed the Children	300
03/27/2012	2400	Salisbury School	500
04/09/2012	2401	March of Dimes	300
04/09/2012	2402	VNA Hospice of Indian River County	200
04/23/2012	2404	Camp Lake Hubert Scholarship Fund	1,225
04/26/2012	2405	Childrens Home Society of Florida	250
04/26/2012	2406	Childrens Wish Foundation	100
04/26/2012	2407	Hibiscus Children's Center	500
04/26/2012	2408	The Nature Conservancy of Florida	2,500
04/26/2012	2409	United Way	5,000
04/26/2012	2410	St. Paul's School Annual Fund	5,000

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
04/26/2012	2411	Ephiphany School	2,500
04/26/2012	2412	The Source	500
04/26/2012	2413	Paralyzed Veterans of America	250
04/27/2012	2414	Patty Montenaro	200
04/30/2012	2415	The Ethel Walker School Annual Fund	2,000
05/05/2012	2416	John's Island Foundation	5,000
05/07/2012	2417	Care	1,000
05/07/2012	2418	Ogunpart Playhouse	500
05/10/2012	2419	Florida Fire & Emergency Services Foundation	100
05/10/2012	2420	Childhood Cancer Research Centers	200
05/14/2012	2421	VNA Foundation	270
05/14/2012	2422	Florida Association of State Troopers	100
05/14/2012	2423	Save the Children	300
05/14/2012	2424	Riverside Theatre	500
05/14/2012	2426	National Childrens Cancer Society	100
05/28/2012	2427	MSAA	100
05/28/2012	2428	American Veterans	100
05/28/2012	2429	International Rescue Committee	200
05/28/2012	2430	Maine Public Broadcast	100
05/28/2012	2431	Guiding Eyes for the Blind	500
05/28/2012	2432	Cystic Fibrosis Foundation	250
05/28/2012	2433	Hospice of York	250
05/28/2012	2434	Lighthouse International	250
05/28/2012	2435	Smithsonian Instititutions	300
05/28/2012	2436	Veterans Assistance Foundation	100
05/28/2012	2437	Defeat Diabetes Foundation	200
05/28/2012	2438	Covenant House	200
05/28/2012	2439	North Shore Animal League America	250
05/28/2012	2440	Oxfam America Advocacy	250
05/28/2012	2441	Viet Now	100
05/28/2012	2442	Unicef	500
05/28/2012	2443	American Parkinson Disease Association	250
05/28/2012	2444	MOPHSF	100
05/28/2012	2445	AMFAR	250
05/28/2012	2446	The Browning School	500
05/28/2012	2447	W2W	100
05/28/2012	2448	AFDG Inc.	100
05/28/2012	2449	York Land Trust	1,000

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
05/28/2012	1850	New Horizons	5,000
06/12/2012	1851	Sweet Brian College	250
06/20/2012	1852	Museums of Old York	200
06/29/2012	1853	The Music Hall	250
06/20/2012	1854	York Ambulance Association	255
06/30/2012	1855	US Olympic Committee	500
07/03/2012	1856	Maine State Federation of Firefighters	100
07/03/2012	1857	Special Olympics Florida	200
07/03/2012	1858	Help Our Wounded	100
07/03/2012	1859	Brigham Womens Hospital	250
07/06/2012	1860	Trinity Church York Harbor Maine	5,000
07/13/2012	1861	Firefighters Support Foundation	100
07/13/2012	1862	Virginia Athletes Foundation	5,000
07/12/2012	1863	Museums of Old York	1,000
07/12/2012	1864	Museums of Old York	625
07/18/2012	1865	March of Dimes	250
07/18/2012	1866	American Foundation for the Blind	250
07/18/2012	1867	American Action Fund	250
07/18/2012	1869	York Volunteers Fire Department	500
07/18/2012	1870	The Door	500
07/18/2012	1871	Princeton Blairstown Center	250
07/18/2012	1872	Mercy Corps	250
07/18/2012	1873	The Princeton Charter Foundation	500
07/18/2012	1874	Damon Runyan Cancer Research Foundation	500
07/18/2012	1875	Indian River Land Trust	1,000
07/18/2012	1876	Habitat for Humanity	1,000
07/18/2012	1877	Amnesty International	500
07/18/2012	1878	York Harbor Reading Room Foundation	5,000
07/18/2012	1879	Winterthur	250
07/18/2012	1880	Happiness is Camping	500
07/18/2012	1881	Feeding America	500
07/18/2012	1882	Project Hope	500
07/18/2012	1883	National Wildlife Federation	250
07/18/2012	1884	Food for the Poor	500
07/18/2012	1885	WGBH	250
07/18/2012	1886	Help Me See	300
07/18/2012	1887	Handicap International	250
07/18/2012	1888	Dana-Farber Cancer Institute	500

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
07/18/2012	1889	National Childrens Cancer Society	200
07/18/2012	1890	Destroyer Escort Historical Museum	500
07/18/2012	1891	ASPCA	250
07/18/2012	1892	Landholm Trust	250
07/18/2012	1893	Museums of Old York	250
07/18/2012	1894	United Cerebral Palsy	200
07/25/2012	1895	Cancer Fund of America	100
08/08/2012	1897	Community Medical Clinic of Kershaw County	500
08/08/2012	1898	Victors of the Heart	500
08/08/2012	1899	Seacrest Young Team	500
08/15/2012	1900	The Children's Museum of Portland Maine	135
08/20/2012	1901	Trinity Church York Harbor	5,000
08/23/2012	1902	Firefighters Charitable Foundation	100
08/27/2012	1904	Leadership Institute	500
08/27/2012	1905	FINCA	100
08/27/2012	1906	Great Works Regional Land Trust	500
08/27/2012	1907	AARP Foundation	100
08/27/2012	1908	Covenant House	250
08/27/2012	1909	Alzheimers Disease Research	1,000
08/27/2012	1910	Warner House Association	200
08/27/2012	1911	Techserve	250
08/27/2012	1912	Smile Train	500
08/27/2012	1913	AMFAR	500
08/27/2012	1914	Feed the Children	500
08/27/2012	1915	Childrens Cancer Survivors Foundation	100
08/27/2012	1916	Youth Service League Inc	1,000
08/27/2012	1917	Noah's Lost Ark	200
08/27/2012	1918	Tiger Crest Wildlife Refuge	200
08/27/2012	1919	National Childrens Cancer Society	200
08/27/2012	1920	AFDC Inc	100
08/27/2012	1921	USO	1,000
08/27/2012	1922	Project Hope	500
08/27/2012	1923	The Heritage Foundation	250
08/29/2012	1924	Vero Beach Museum of Art	1,500
08/29/2012	1925	SCH Academy	500
09/03/2012	1926	Halycon Foundation	1,000
09/03/2012	1927	Aquamantians Yacht Club	500
09/04/2012	1928	CSAH	500

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
09/04/2012	1929	Memorial Sloan Kettering	500
09/04/2012	1930	ASPCA	300
09/04/2012	1931	Friends of the Smithsonian	300
09/04/2012	1932	Media Research Center	500
09/04/2012	1933	America Breast Cancer Support Association	100
09/04/2012	1934	Shriners Hospital for Children	500
10/02/2012	1935	Trinity Church of York Harbor	5,000
10/16/2012	1936	Princeton Club of Vero Beach	500
10/22/2012	1937	Indian River Land Trust	350
10/22/2012	1938	Beta Theta Pi Foundation	250
11/04/2012	1939	IR Medical Center Foundation	1,100
11/06/2012	1940	Vero Beach Museum of Art	625
11/06/2012	1941	York Volunteers Fire Department	500
11/16/2012	1942	Virginia Athletes Foundation	5,000
11/16/2012	1943	Committee for Missing Children Inc	100
11/16/2012	1944	Military Order for the Purple Heard Foundation	100
11/16/2012	1945	Childrens Leukemia Research Association	200
11/16/2012	1946	Camp Lake Hubert Scholarship Fund	2,200
11/16/2012	1947	The Leukemia & Lymphoma Society	100
11/16/2012	1948	Multiple Schlerosis Society	500
09/17/2012	1949	York Hospital Fundraising Office	150
11/16/2012	1950	Boys & Girls Club of IRC	300
11/16/2012	1951	International Rescue Committee	500
11/16/2012	1952	Covenant House	250
11/16/2012	1953	FPAL	100
11/16/2012	1954	The Fresh Air Fund	250
11/16/2012	1955	Dollars for Scholars of IRC	500
11/16/2012	1956	The Learning Alliance Fund	500
11/16/2012	1957	Visiting Nurse Association Hospice Fund	1,000
11/17/2012	1958	Elevate Orlando	1,200
11/17/2012	1959	Rollins College	250
11/17/2012	1960	McKee Botanical Garden	250
11/17/2012	1961	ASPCA	250
11/17/2012	1962	CSAH	500
11/17/2012	1963	DE Historical Museum	500
11/17/2012	1964	Cystic Fibrosis Foundation	250
11/18/2012	1965	Atlanta Classical Orchestra	500
11/18/2012	1966	ORGA	500

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
11/20/2012	1967	Disabled American Veterans	500
11/20/2012	1968	Lighthouse International	500
11/20/2012	1969	Save the Children	100
11/20/2012	1970	St. John's Church in Cold Spring Harbor	250
11/20/2012	1971	Smith College	500
11/20/2012	1972	United Way of York County	5,000
11/20/2012	1973	St. George's Episcopal Church	1,000
11/20/2012	1974	Gifford Youth Activity Center	1,000
11/20/2012	1975	York Harbor Reading Room Foundation	5,000
11/20/2012	1976	Torrey Pines Christian Church	5,000
11/20/2012	1977	Scripps Health	5,000
11/27/2012	1978	National Breast Cancer Research Center	100
11/27/2012	1979	Childrens Wish Foundation	100
11/27/2012	1980	National Law Enforcement Officers Memorial Fund	100
12/03/2012	1981	IRMC Foundation	40
12/10/2012	1982	Berwich Academy	1,000
12/10/2012	1983	York Ambulance Association	1,000
12/12/2012	1984	IRMC Foundation	1,000
12/16/2012	1986	WA Environmental Council	1,000
12/27/2012	1987	Cascade Bicycle Club	500
12/27/2012	1988	NPLU	500
12/27/2012	1989	Sweet Pea Cottage Preschool of the Arts	500
12/27/2012	1990	4 Paws for Ability	250
12/27/2012	1991	Millionair Club Seattle	1,000
12/27/2012	1992	Senior Services	500
12/27/2012	1993	The Wild Animal Sanctuary	500
12/27/2012	1994	American Diabetes Association	1,000
12/27/2012	1995	AMFAR	1,000
12/27/2012	1996	American Heart Association	1,000
12/27/2012	1997	Princeton University	5,000
12/27/2012	1998	Nature Conservancy in Maine	2,500
12/27/2012	1999	Mercy Home for Boys and Girls	500
12/27/2012	2150	Care	500
12/27/2012	2151	Easter Seals	1,000
12/27/2012	2152	Special Olympics Maine	300
12/27/2012	2153	New Horizons	500
12/27/2012	2154	Berkshire Farm Center	500
12/27/2012	2155	Wheelchair Foundation	500

The Richard Foundation
EIN 13-6083721
Charitable Contributions
For the 2012 Tax Year

DATE	CHECK #	PAYEE	AMOUNT
12/27/2012	2156	Childrens Cancer Fund of America	200
12/27/2012	2157	American Legion Debt of Florida	200
12/27/2012	2158	Florida Fire & Emergency Services Foundation	100
12/27/2012	2159	Technoserve	500
12/27/2012	2160	SADD	200
12/27/2012	2161	Another Chance Animal Rescue Inc	300
12/27/2012	2162	Brantwood Camp	1,000
12/27/2012	2163	Indian River Habitat for Humanity	1,000
12/27/2012	2164	The Salvation Army	1,000
12/27/2012	2165	AMVETs of Florida	100
12/27/2012	2166	OVASC	1,000
		Total Charitable Contributions	198,060

THE RICHARD FOUNDATION 13-6083721

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
OTHER INCOME FROM JANNEY # 6962-1760				68.	
OTHER INCOME FROM JP MORGAN CHASE				200.	
FEDERAL TAX (OVERPAYMENT)				8,232.	
TOTALS				8,500.	

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: THE RICHARD FOUNDATION

Employer identification number: 13-6083721

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-32,717.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	-32,717.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	23,229.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	1,268.
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	24,497.

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-32,717.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			24,497.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-8,220.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17 If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (15)					30	
31	Figure the tax on the amount on line 23 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust	Employer identification number
THE RICHARD FOUNDATION	13-6083721

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a JANNEY #1760 - SEE ATTACHED - STCL	VARIOUS	VARIOUS	245,045.	262,364.	-17,319.
JANNEY #6952 - SEE ATTACHED - STCL	VARIOUS	VARIOUS	391,794.	407,192.	-15,398.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . -32,717.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a JANNEY #1760 - SEE ATTACHED - LTCL	VARIOUS	VARIOUS	166,281.	180,501.	-14,220.
JANNEY #1760 - SEE ATTACHED - LTCL	VARIOUS	VARIOUS	350,656.	354,125.	-3,469.
JANNEY #6952 - SEE ATTACHED - LTCG	VARIOUS	VARIOUS	345,899.	326,731.	19,168.
JANNEY #6952 - SEE ATTACHED - LTCG	VARIOUS	VARIOUS	234,985.	213,235.	21,750.

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	23,229.

JSA

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FEDERAL FOOTNOTES

ATTACHMENT 10
FOOTNOTE

THE RICHARD FOUNDATION OFFICERS / DIRECTORS SPENT MINIMAL TIME PER WEEK ON THE ACTIVITIES OF THE FOUNDATION; WITH THE EXCEPTION OF HAROLD V. B. RICHARD, WHO SPENT APPROXIMATELY 2 HOURS PER WEEK.

THE RICHARD FOUNDATION OFFICERS / DIRECTORS DETAILED ON ATTACHMENT 10 WERE ELECTED AT A THE FOUNDATION'S ANNUAL MEETING ON MAY 22, 2012.

ESTIMATED TAX WORKSHEET FOR FORM 990-W

A	2013 Estimated Tax	A	
B	Enter 100 % of Line A	B	
C	Enter 100 % of tax on 2012 FORM 990-PF	C	
D	Required Annual Payment (Smaller of lines B or C)	D	
E	Income tax withheld (if applicable)	E	
F	Balance (As rounded to the nearest multiple of)	F	

ALPHABETIC VOUCHERS (NO AMOUNTS WILL PRINT) WILL BE GENERATED

Record of Estimated Tax Payments

Payment number	(a) Date	(b) Amount	(c) 2012 overpayment credit applied	(d) Total amount paid and credited (add (b) and (c))
1	05/15/2013		5,008.	5,008.
2	06/17/2013			
3	09/16/2013			
4	12/16/2013			
Total			5,008.	5,008.

ESTIMATED PAYMENTS MUST BE MADE USING THE ELECTRONIC FEDERAL TAX PAYMENTS SYSTEM (EFTPS). THIS WORKSHEET MERELY PROVIDES THE AMOUNTS WHICH NEED TO BE PAID VIA THE ABOVE METHOD.

Form **8868**
(Rev January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. THE RICHARD FOUNDATION	Employer identification number (EIN) or 13-6083721
	Number, street, and room or suite no If a P.O box, see instructions 181 OLEANDER WAY	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. VERO BEACH, FL 32963	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0 4]

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MR. HAROLD V. RICHARD

Telephone No ▶ 772 231-3471 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 13 , to file the exempt organization return for the organization named above The extension is for the organization's return for:

▶ [X] calendar year 20 12 or
▶ [ ] tax year beginning ________, 20 ____, and ending ________, 20 ____

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	**3a**	$ 8,232.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 8,232.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	**3c**	$ 0

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

Electronically Filed

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions THE RICHARD FOUNDATION	Employer identification number (EIN) or 13-6083721
	Number, street, and room or suite no. If a P O box, see instructions. 181 OLEANDER WAY	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions VERO BEACH, FL 32963	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ MR. HAROLD V. RICHARD
  Telephone No. ▶ 772 231-3471 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15, 20 13 .

5 For calendar year 2012 , or other tax year beginning ________, 20____, and ending ________, 20____

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO SECURE THE NECESSARY INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	**8a**	$ 8,232.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	**8b**	$ 8,232.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**8c**	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶

Electronically Filed

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