Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: 34-15C045048768 TTEES EPPLEY FD FOR RESEARCH
CITIBANK, NA, C/O CITICORP TR. BANK, FSB

A Employer identification number: 13-6058048

Number and street (or P O box number if mail is not delivered to street address): ONE COURT SQUARE, 19TH FLOOR
Room/suite:

B Telephone number (see instructions): - -

City or town, state, and ZIP code: LONG ISLAND CITY, NY 11120

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return [X] Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,059,359.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	92,223.	91,611.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	39,253.			
	b Gross sales price for all assets on line 6a 361,913.				
	7 Capital gain net income (from Part IV, line 2)		39,252.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	131,476.	130,863.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	5,498.	2,749.		2,749.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 2	1,712.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 3	380.			380.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	7,590.	2,749.	NONE	3,129.
	25 Contributions, gifts, grants paid	181,107.			181,107.
	26 **Total expenses and disbursements** Add lines 24 and 25	188,697.	2,749.	NONE	184,236.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-57,221.			
	b **Net investment income** (if negative, enter -0-)		128,114.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	165,362.	144,176.	144,176.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) STMT 4	2,534,714.	2,498,654.	3,915,183.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,700,076.	2,642,830.	4,059,359.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27	Capital stock, trust principal, or current funds	2,700,076.	2,596,745.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds		46,085.	
	30	**Total net assets or fund balances** (see instructions)	2,700,076.	2,642,830.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,700,076.	2,642,830.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,700,076.
2	Enter amount from Part I, line 27a	2	-57,221.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,642,855.
5	Decreases not included in line 2 (itemize) ▶ FRONTIER COMM CORPCOM ADJ	5	25.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,642,830.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	361,913.		322,661.	39,252.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				39,252.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	39,252.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	170,726.	3,715,832.	0.045946
2010	155,847.	3,473,280.	0.044870
2009	125,545.	3,141,407.	0.039965
2008	256,466.	3,982,754.	0.064394
2007	154,391.	4,673,116.	0.033038

2	**Total** of line 1, column (d)	2	0.228213
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045643
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,944,838.
5	Multiply line 4 by line 3	5	180,054.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,281.
7	Add lines 5 and 6	7	181,335.
8	Enter qualifying distributions from Part XII, line 4	8	184,236.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,281.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	1,281.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,281.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 1,359.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	1,359.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	78.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 78. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SEE STATEMENT 5 Telephone no ▶

Located at ▶ ZIP+4 ▶

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . STMT. 6 ☒ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CITIBANK, N.A. TWO COURT SQUARE, 8TH FL, LONG ISLAND CITY, NY 11120	TRUSTEE, AS REQ'	5,498.	-0-	-0-
JOAN WINANT 215 E. 72ND STREET, NEW YORK, NY 10021	CO-TRUSTEE, AS R			
RIVINGTON WINANT (DEC'D) 215 E. 72ND STREET, NEW YORK, NY 10021	CO-TRUSTEE, AS R			

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,840,207.
b	Average of monthly cash balances	1b	164,705.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	4,004,912.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	4,004,912.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	60,074.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,944,838.
6	**Minimum investment return.** Enter 5% of line 5	6	197,242.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	197,242.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,281.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,281.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	195,961.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	195,961.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	195,961.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	184,236.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	184,236.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,281.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	182,955.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				195,961.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			181,107.	
b	Total for prior years 20 10 ,20 ___ ,20 ___		NONE		
3	Excess distributions carryover, if any, to 2012				
a	From 2007 ...... NONE				
b	From 2008 ...... NONE				
c	From 2009 ...... NONE				
d	From 2010 ...... NONE				
e	From 2011 ...... NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 184,236.				
a	Applied to 2011, but not more than line 2a			181,107.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2012 distributable amount				3,129.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b	Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				192,832.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10	Analysis of line 9:				
a	Excess from 2008 ... NONE				
b	Excess from 2009 ... NONE				
c	Excess from 2010 ... NONE				
d	Excess from 2011 ... NONE				
e	Excess from 2012 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE EPPLEY FOUNDATION FOR RESEARCH MCLAUGHLIN 260 MADISON AVENUE ATTN: H.HELD NEW YORK NY	NONE	N/A	SCIENTIFIC PURPOSES	181,107.
Total . . . . ▶ **3a**				181,107.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	92,223.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	39,253.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				131,476.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 131,476.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] ALAN GLYNN | Date: 07/29/2013 | Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JEFFREY E. KUHLIN	Jeffrey E Kuhlin	07/29/2013		P00353001
Firm's name ▶ KPMG LLP			Firm's EIN ▶ 13-5565207	
Firm's address ▶ 100 WESTMINSTER ST, 6TH FL, SUITE A PROVIDENCE, RI 02903-2321			Phone no 800-770-8444	

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: 34-15C045048768 TTEES EPPLEY FD FOR RESEARCH

Employer identification number: 13-6058048

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	NONE
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	NONE

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					34.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	39,218.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	39,252.

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			NONE
14	**Net long-term gain or (loss):**				
a	Total for year	14a			39,252.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			39,252.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet****, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of.
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17 If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: 34-15C045048768 TTEES EPPLEY FD FOR RESEARCH

Employer identification number: 13-6058048

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 50000. FEDL HOME LOAN BANK 7/05/17	06/25/2012	10/11/2012	50,000.00	50,000.00	
60000. FEDL HOME LOAN MTG 11/09/16	10/14/2011	05/09/2012	60,000.00	60,000.00	
35000. FEDL HOME LN MTG CO 9/29/15	09/09/2011	03/29/2012	35,000.00	35,000.00	
30000. FEDL NATL MTG ASSN 11/14/13	11/01/2011	05/14/2012	30,000.00	30,000.00	

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . NONE

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
34-15C045048768 TTEES EPPLEY FD FOR RESEARCH	13-6058048

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 300. AMGEN INC	12/10/1997	03/14/2012	20,541.00	3,952.00	16,589.00
200. AMGEN INC	12/10/1997	09/17/2012	16,318.00	2,634.00	13,684.00
213. CHEVRONTEXACO CORP	05/25/1993	06/18/2012	22,109.00	11,388.00	10,721.00
200. CHEVRONTEXACO CORP	05/25/1993	09/17/2012	23,439.00	10,693.00	12,746.00
585. FRONTIER COMMUNICATIO	12/10/1985	06/18/2012	2,258.00	1,769.00	489.00
1200. HEWLETT PACKARD CO	09/27/2010	11/26/2012	15,253.00	49,692.00	-34,439.00
500. HEWLETT PACKARD CO	06/20/2011	11/26/2012	6,355.00	17,525.00	-11,170.00
200. KIMBERLY-CLARK CORP C	08/13/1996	06/18/2012	16,532.00	7,758.00	8,774.00
400. PLAINS EXPLORATION &	06/23/2005	03/14/2012	18,248.00	17,346.00	902.00
500. QEP RESOURCES INC	12/09/1976	09/17/2012	16,500.00	895.00	15,605.00
200. THE TRAVELERS COMPANI	01/22/2004	06/18/2012	12,610.00	8,434.00	4,176.00
300. UNITEDHEALTH GROUP IN	08/30/2006	03/14/2012	16,704.00	15,563.00	1,141.00
.6667 WPX ENERGY INC	06/21/2007	01/10/2012	12.00	12.00	

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b 39,218.00

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS AND INTEREST		92,223.	91,611.
	TOTAL	92,223.	91,611.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
ESTIMATES		1,020.
PRIOR YR BAL DUE		692.
	TOTALS	1,712.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
NY PUB NOTICE	130.	130.
NY AG FILING FEE	250.	250.
TOTALS	380.	380.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENT	C	2,534,714.	2,498,654.	3,915,183.
TOTALS		2,534,714.	2,498,654.	3,915,183.

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
==

NAME: CITIBANK, N.A.

ADDRESS: TWO COURT SQUARE, 8TH FL
LONG ISLAND CITY, NY 11120

TELEPHONE NUMBER: (800)770-8444

FORM 990PF, PART VII-B, LN 5(c) EXPENDITURE RESPONSIBILITY STATEMENT

NAME:
EPPLEY FOUNDATION FOR RESEARCH, INC
ADDRESS:
260 MADISON AVENUE
NEW YORK, NY 10016
GRANT DATE: 08/03/2012
GRANT AMOUNT .... 181,107.
GRANT PURPOSE:
SCIENTIFIC RESEARCH
SEE ATTACHED STATEMENT
AMOUNT EXPENDED BY GRANTEE .... 150,551.

Organization EPPLEY FOUNDATION

TIN 13-6058048

Tax Year Ended 12/31/2012

Form 990-PF, Part VII-B, Line 5c

The following information is provided in accordance with IRC Sec 4945(h)(3) and Reg 53 4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants

Name and Address of Grantee	Amount of Grant	Date of Grant	Purpose of Grant	Amounts Expended by Grantee	To the Grantor's Knowledge, Grantee Has Diverted a Portion of Funds from the Purpose of the Grant (Yes/No)	Dates of Reports Received from the Grantee	Dates and Results of Any Verification of the Grantee's Reports
EPPLEY FOUNDATION FOR RESEARCH	181,107	8/3/2012	*SEE ATTACHED STATEMENT	150,551	NO	7/5/2013	N/A

EPPLEY FOUNDATION FOR RESEARCH, INC
LISTING OF EXPENDITURES
2012

University of Montana	$ 24,000 00
North Carolina Botanical Garden	25,000 00
American Museum of Natural History	25,000 00
World Wildlife Fund	26,600 00
Fauna and Flora International	25,000 00
Queens College, CUNY	24,951 00
	150,551 00

Citi Trust

FIXED INCOME INVESTMENTS ANALYSIS

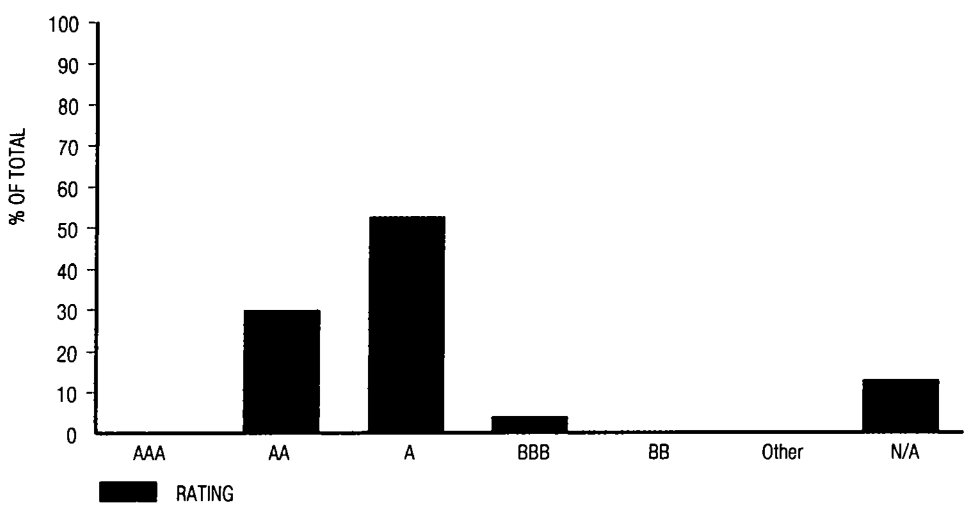
Reference Currency USD

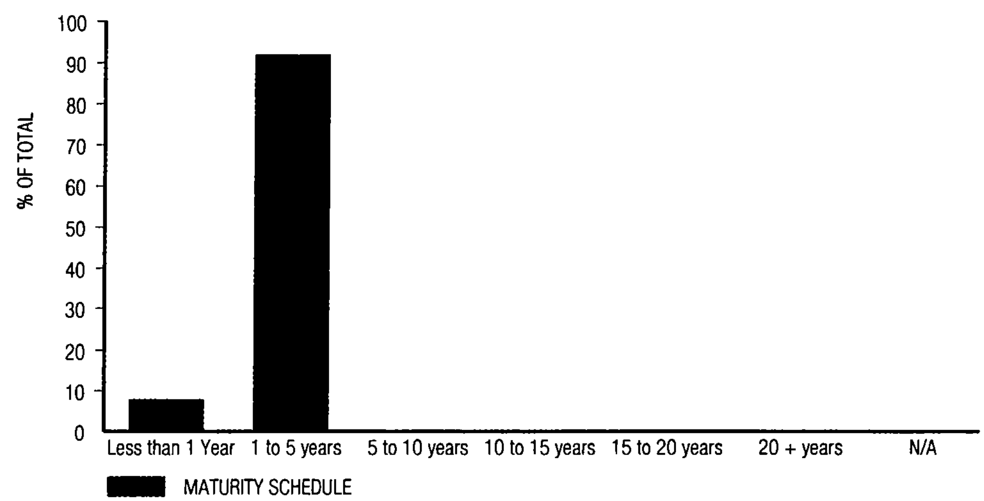
BOND QUALITY RATINGS

S&P Rating	Market Value	% Total
AAA	0.00	0
AA	232,842.55	30
A	412,767.10	53
BBB	29,999.70	4
BB	0 00	0
Other	0.00	0
N/A	101,906 00	13
Total	**777,515.35**	**100%**

BOND MATURITY SCHEDULE

Maturity Schedule	Market Value	% Total
Less than 1 year	60,628 80	8
1 to 5 years	716,886.55	92
5 to 10 years	0.00	0
10 to 15 years	0.00	0
15 to 20 years	0 00	0
20+ years	0.00	0
N/A	0.00	0
Total	**777,515.35**	**100%**





Balanced-Aggressive Port - 15C045048768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 2.44% *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0 00	0 000	0.00	0 00 —	0.00	0 00	0 00	0.00	0 00
Short Term Investments									
CITIBANK M.D A (L) CUSIP 9900CST72 Yield 05%	98,090.97	1 000	98,090 97	1 00 —	98,090 97	4 17	98,095 14	0 00	49 05
Total Cash and Short Term Investments			**98,090.97**		**98,090.97**	**4.17**	**98,095.14**	**0.00**	**49.05**

EQUITIES 78.11% *SORTED ALPHABETICALLY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ALLIANT ENERGY CORP-USD Ticker/CUSIP LNT/018802108 Yield 4 1% Sector . Utilities	750	40.300	30,225 00	43 91 31 Dec 12	32,932 50	0.00	32,932 50	2,707.50	1,350 00
ALLSTATE CORP Ticker/CUSIP ALL/020002101 Yield 2.19% Sector Financials	3,000	45 213	135,637.80	40 17 31 Dec 12	120,510 00	0 00	120,510 00	(15,127 80)	2,640.00
AMERISOURCEBERGEN CORP Ticker/CUSIP : ABC/03073E105 Yield 1 95% Sector Consumer Discretionary	3,000	3 639	10,916.56	43 18 31 Dec 12	129,540 00	0 00	129,540 00	118,623 44	2,520 00
AMGEN INC Ticker/CUSIP · AMGN/031162100 Yield 2.18% Sector Health Care	1,500	13 172	19,757 81	86 20 31 Dec 12	129,300.00	0 00	129,300.00	109,542.19	2,820 00
BANK NEW YORK MELLON CORP Ticker/CUSIP . BK/064058100 Yield 2 02% Sector Financials	3,773	5 104	19,255 66	25.70 31 Dec 12	96,966 10	0 00	96,966 10	77,710 44	1,961 96

Balanced-Aggressive Port - **15C045048768**
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

***EQUITIES** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
CHEVRON CORP Ticker/CUSIP CVX/166764100 Yield 3 33% Sector · Energy	1,850	33 305	61,614.69	108.14 31 Dec 12	200,059 00	0.00	200,059.00	138,444 31	6,660 00
CISCO SYS INC Ticker/CUSIP : CSCO/17275R102 Yield 2 85% Sector Telecommunication Services	4,000	20.090	80,360.00	19 6494 31 Dec 12	78,597 60	0 00	78,597 60	(1,762 40)	2,240 00
COMCAST CORP CL A Ticker/CUSIP : CMCSA/20030N101 Yield 1 74% Sector Consumer Discretionary	2,000	18 317	36,634 60	37.36 31 Dec 12	74,720 00	325 00	75,045 00	38,085 40	1,300.00
CVS CAREMARK CORP Ticker/CUSIP CVS/126650100 Yield 1 86% Sector . Consumer Staples	2,000	37 040	74,079.40	48 35 31 Dec 12	96,700 00	0 00	96,700 00	22,620 60	1,800 00
E I DU PONT DE NEMOURS & CO Ticker/CUSIP . DD/263534109 Yield 3 82% Sector Materials	750	45.370	34,027 20	44 9785 31 Dec 12	33,733 87	0.00	33,733 87	(293.33)	1,290 00
ELI LILLY & CO Ticker/CUSIP LLY/532457108 Yield 3 97% Sector · Health Care	1,200	36 507	43,808.00	49.32 31 Dec 12	59,184 00	0.00	59,184 00	15,376 00	2,352 00
EMC CORP-MASS Ticker/CUSIP EMC/268648102 Sector · Information Technology	4,000	20 514	82,054 00	25 30 31 Dec 12	101,200.00	0 00	101,200.00	19,146 00	0.00
FAIRPOINT COMMUNICATIONS INC CUSIP . 305560104 Sector : Telecommunication Services	46	2 467	113.49	0 00 31 Mar 11	0 00	0 00	0 00	(113 49)	0 00
FREEPORT MCMORAN COPPER & GOLD Ticker/CUSIP FCX/35671D857 Yield 3 66% Sector Materials	350	47 520	16,632 00	34 20 31 Dec 12	11,970 00	0 00	11,970 00	(4,662.00)	437 50

Balanced-Aggressive Port - 15C045048768
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
GAP INC DELAWARE Ticker/CUSIP : GPS/364760108 Yield 1.61% Sector : Consumer Discretionary	1,500	18 661	27,991 35	31.04 31 Dec 12	46,560 00	187 50	46,747 50	18,568 65	750.00
GENERAL ELECTRIC CO Ticker/CUSIP GE/369604103 Yield 3.62% Sector . Industrials	6,000	37.506	225,038 50	20.99 31 Dec 12	125,940 00	1,140 00	127,080 00	(99,098 50)	4,560 00
INTEL CORP Ticker/CUSIP . INTC/458140100 Yield 4 36% Sector Information Technology	2,800	27 220	76,216 00	20.62 31 Dec 12	57,736 00	0 00	57,736.00	(18,480 00)	2,520.00
JPMORGAN CHASE & CO Ticker/CUSIP JPM/46625H100 Yield 2.73% Sector : Financials	600	40 433	24,259 92	43 9691 31 Dec 12	26,381 46	0 00	26,381 46	2,121 54	720 00
KIMBERLY CLARK CORP Ticker/CUSIP . KMB/494368103 Yield 3.51% Sector . Materials	1,800	38 378	69,081 02	84.43 31 Dec 12	151,974 00	1,332 00	153,306.00	82,892 98	5,328 00
MERCK & CO INC NEW Ticker/CUSIP . MRK/58933Y105 Yield 4 2% Sector . Health Care	1,200	15.062	18,073.93	40 94 31 Dec 12	49,128.00	516 00	49,644.00	31,054 07	2,064 00
MICROSOFT CORP Ticker/CUSIP MSFT/594918104 Yield 3 44% Sector : Information Technology	3,200	25 304	80,972 00	26 7097 31 Dec 12	85,471 04	0.00	85,471.04	4,499 04	2,944 00
NORFOLK SOUTHERN CORP Ticker/CUSIP NSC/655844108 Yield 3 23% Sector · Industrials	2,400	3 571	8,569 33	61 84 31 Dec 12	148,416.00	0 00	148,416 00	139,846 67	4,800 00
PFIZER INC Ticker/CUSIP . PFE/717081103 Yield 3 83% Sector Health Care	3,300	0 655	2,162 33	25 0793 31 Dec 12	82,761 69	0 00	82,761 69	80,599 36	3,168 00

Balanced-Aggressive Port - **15C045048768**
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
PLAINS EXPLORATION& PRODUCTION Ticker/CUSIP PXP/726505100 Sector Energy	3,200	39.326	125,841 91	46 94 31 Dec 12	150,208 00	0 00	150,208.00	24,366 09	0 00
QEP RESOURCES INC Ticker/CUSIP : QEP/74733V100 Yield 26% Sector · Energy	3,500	1 537	5,379 73	30 27 31 Dec 12	105,945 00	0 00	105,945 00	100,565 27	280 00
QUESTAR CORP Ticker/CUSIP STR/748356102 Yield 3 44% Sector Utilities	4,000	0 759	3,034 15	19.76 31 Dec 12	79,040.00	0 00	79,040 00	76,005 85	2,720 00
TIME WARNER CABLE INC Ticker/CUSIP TWC/88732J207 Yield 2.3% Sector Consumer Discretionary	418	48.547	20,292 72	97 19 31 Dec 12	40,625 42	0 00	40,625 42	20,332 70	936 32
TIME WARNER INC Ticker/CUSIP TWX/887317303 Yield 2 17% Sector Consumer Discretionary	1,666	34 466	57,421 08	47 83 31 Dec 12	79,684 78	0 00	79,684 78	22,263 70	1,732.64
TORCHMARK CORP Ticker/CUSIP TMK/891027104 Yield 1 16% Sector : Financials	3,000	5 176	15,528 57	51 67 31 Dec 12	155,010 00	0.00	155,010 00	139,481 43	1,800.00
TRAVELERS COMPANIES INC Ticker/CUSIP : TRV/89417E109 Yield 2.56% Sector . Financials	2,800	42 169	118,072 92	71 82 31 Dec 12	201,096 00	0 00	201,096 00	83,023 08	5,152 00
UNITEDHEALTH GROUP INC Ticker/CUSIP UNH/91324P102 Yield 1 57% Sector . Health Care	1,700	51 878	88,192 94	54 24 31 Dec 12	92,208 00	0 00	92,208.00	4,015 06	1,445 00
VERIZON COMMUNICATIONS Ticker/CUSIP VZ/92343V104 Yield 4 76% Sector Telecommunication Services	2,440	10 923	26,650 92	43 27 31 Dec 12	105,578 80	0 00	105,578 80	78,927 88	5,026 40

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency· USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock *CONTINUED*									
WILLIAMS COMMUNICATIONS GROUP CUSIP . 969455104 Sector · Telecommunication Services	2,302	0 963	2,216.09	0.00 16 Aug 05	0 00	0.00	0 00	(2,216 09)	0 00
WILLIAMS COS INC Ticker/CUSIP WMB/969457100 Yield 3 97% Sector Utilities	5,000	15 612	78,061 07	32 74 31 Dec 12	163,700.00	0 00	163,700 00	85,638.93	6,500.00
WPX ENERGY INC Ticker/CUSIP WPX/98212B103 Sector Energy	1,666	10.438	17,389.08	14 88 31 Dec 12	24,790 08	0.00	24,790 08	7,401 00	0 00
Total Equities			**1,735,561.77**		**3,137,667.34**	**3,500.50**	**3,141,167.84**	**1,402,105.57**	**79,817.82**

FIXED INCOME **19.45%** *SORTED BY CALL DATE/MATURITY DATE*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds									
FEDERAL HOME LOAN MTG CORP MAT 18JAN17 Rate 1 5% Pay Frqncy Semi Annual Call 18JAN13 @ 100 S&P AA+ Moodys Aaa CUSIP 3134G3GN4 Yield 1 48%	35,000	100.000	35,000 00	100 061 31 Dec 12	35,021.35	237 71	35,259 06	21 35	525 00
FEDERAL NATL MTG ASSN MAT 28JUN17 Rate 1.125% Pay Frqncy Semi Annual Call 28JUN13 @ 100 S&P AA+ Moodys Aaa CUSIP 3135G0MN0 Yield 1 03%	50,000	100 000	50,000 00	100 408 31 Dec 12	50,204 00	4 69	50,208 69	204.00	562.50

Balanced-Aggressive Port - **15C045048768**
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

***FIXED INCOME** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds *CONTINUED*									
U S TREASURY NOTES SER AC-2016 MAT 30SEP16 Rate 1% Pay Frqncy Semi Annual S&P N/A Moodys Aaa CUSIP 912828RJ1 Yield .49%	100,000	99 801	99,801 12	101 906 31 Dec 12	101,906 00	255.49	102,161 49	2,104.88	1,000 00
Corporate Bonds									
GOLDMAN SACHS GROUP INC MAT 15JUL13 Rate 4 75% Pay Frqncy Semi Annual S&P A- Moodys A3 CUSIP 38141GDK7 Yield 84%	30,000	103.058	30,917 40	102.097 31 Dec 12	30,629 10	657 08	31,286 18	(288 30)	1,425 00
HEWLETT-PACKARD CO GLOBAL MAT 13SEP13 Rate 1.25% Pay Frqncy Semi Annual Call 13SEP13 @ 100 S&P BBB+ Moodys Baa1 CUSIP 428236BB8 Yield 1 25%	30,000	100.039	30,011 70	99 999 31 Dec 12	29,999.70	112 50	30,112.20	(12 00)	375 00
BB&T CORP MAT 28APR14 Rate 2.05% Pay Frqncy Semi Annual Call 28MAR14 @ 100 S&P A- Moodys A2 CUSIP 05531FAH6 Yield 62%	30,000	101 448	30,434 40	101.89 31 Dec 12	30,567.00	107 63	30,674 63	132 60	615.00
DELL INC MAT 01APR14 Rate 2 1% Pay Frqncy Semi Annual Call 01APR14 @ 100 S&P A- Moodys A2 CUSIP 24702RAN1 Yield 63%	30,000	102 509	30,752.70	101 83 31 Dec 12	30,549 00	157 50	30,706 50	(203 70)	630 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME *CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds *CONTINUED*									
MORGAN STANLEY MAT 28JUL14 Rate 2 875% Pay Frqncy Semi Annual S&P A- Moodys Baa1 CUSIP 61747WAK5 Yield 1.46%	30,000	100 556	30,166 80	102 201 31 Dec 12	30,660.30	366 56	31,026.86	493.50	862.50
JPMORGAN CHASE MAT 24JUN15 Rate 3 4% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 46625HHR4 Yield 1 14%	30,000	103 060	30,918 00	105.506 31 Dec 12	31,651 80	19 83	31,671 63	733 80	1,020.00
WELLS FARGO & CO MAT 01JUL15 Rate 1 5% Pay Frqncy Semi Annual S&P A+ Moodys A2 CUSIP 94974BFE5 Yield 8%	50,000	99.990	49,995.00	101.723 31 Dec 12	50,861 50	383 33	51,244 83	866 50	750 00
GENERAL ELECTRIC CAPITAL CORP MAT 09NOV15 Rate 2.25% Pay Frqncy Semi Annual S&P AA+ Moodys A1 CUSIP 36962G4T8 Yield 1.08%	30,000	99 271	29,781 30	103 271 31 Dec 12	30,981.30	97 50	31,078 80	1,200.00	675 00
WESTPAC BANKING CORP MAT 09DEC15 Rate 3% Pay Frqncy Semi Annual S&P AA- Moodys Aa2 CUSIP 961214BP7 Yield 9%	30,000	101 732	30,519 60	106 063 31 Dec 12	31,818.90	55.00	31,873.90	1,299 30	900 00
BP CAPITAL MARKETS PLC MAT 11MAR16 Rate 3 2% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 05565QBQ0 Yield 1 07%	30,000	102.755	30,826 50	106 672 31 Dec 12	32,001 60	53 33	32,054 93	1,175 10	960 00

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

***FIXED INCOME** CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds *CONTINUED*									
CATERPILLAR FINANCIAL SERVICES MAT 01APR16 Rate 2.65% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 14912L4S7 Yield 1 08%	30,000	103.904	31,171 20	105 005 31 Dec 12	31,501 50	198 75	31,700 25	330 30	795 00
AT&T INC MAT 15MAY16 Rate 2 95% Pay Frqncy Semi Annual S&P A- Moodys A2 CUSIP 00206RAW2 Yield 1 17%	30,000	102.443	30,732 90	105 872 31 Dec 12	31,761 60	113 08	31,874 68	1,028 70	885 00
BOEING CAPITAL CORP MAT 15AUG16 Rate 2 125% Pay Frqncy Semi Annual Call 15JUL16 @ 100 S&P A Moodys A2 CUSIP 097014AN4 Yield .98%	30,000	101 053	30,315 90	104 073 31 Dec 12	31,221 90	240 83	31,462 73	906 00	637 50
GENERAL DYNAMICS CORP MAT 15JUL16 Rate 2 25% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 369550AQ1 Yield 87%	30,000	100 014	30,004 20	104 806 31 Dec 12	31,441 80	311 25	31,753 05	1,437 60	675 00
TOYOTA MOTOR CREDIT CORP MAT 15SEP16 Rate 2% Pay Frqncy Semi Annual S&P AA- Moodys Aa3 CUSIP 89233P5E2 Yield 99%	30,000	100.374	30,112 20	103 675 31 Dec 12	31,102.50	176.67	31,279 17	990 30	600 00
RABOBANK NEDERLAND UTREC MAT 19JAN17 Rate 3 375% Pay Frqncy Semi Annual S&P AA- Moodys Aa2 CUSIP 21686CAD2 Yield 1 48%	50,000	103 262	51,631 00	107.429 31 Dec 12	53,714 50	759 38	54,473 88	2,083.50	1,687 50

Balanced-Aggressive Port - 15C045048768
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME *CONTINUED*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds *CONTINUED*									
VODAFONE GROUP PLC MAT 26SEP17 Rate 1 25% Pay Frqncy Semi Annual S&P A- Moodys A3 CUSIP 92857WAY6 Yield 1 28%	50,000	100 000	50,000 00	99 84 31 Dec 12	49,920 00	164 93	50,084.93	(80 00)	625 00
Total Fixed Income			**763,091.92**		**777,515.35**	**4,473.04**	**781,988.39**	**14,423.43**	**16,205.00**
TOTAL INVESTMENT POSITIONS - PRINCIPAL			**2,596,744.66**		**4,013,273.66**	**7,977.71**	**4,021,251.37**	**1,416,529.00**	**96,071.87**

INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% *SORTED BY LIQUIDITY*

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0 00	0 000	0 00	0 00	0.00	0.00	0 00	0 00	0 00
Short Term Investments									
CITIBANK M D.A. (L) CUSIP 9900CST72 Yield 05%	46,084.53	1 000	46,084.53	1 00	46,084 53	1.69	46,086 22	0 00	23 04
Total Cash and Short Term Investments			**46,084.53**		**46,084.53**	**1.69**	**46,086.22**	**0.00**	**23.04**
TOTAL INVESTMENT POSITIONS - INCOME			**46,084.53**		**46,084.53**	**1.69**	**46,086.22**	**0.00**	**23.04**
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME			**2,642,829.19**		**4,059,358.19**	**7,979.40**	**4,067,337.59**	**1,416,529.00**	**96,094.91**

Form **8868**
(Rev January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing ***(e-file).*** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions 34-15C045048768 TTEES EPPLEY FD FOR RESEARCH CITIBANK, NA, C/O CITICORP	Employer identification number (EIN) or 13-6058048
	Number, street, and room or suite no If a P.O box, see instructions TWO COURT SQUARE, 8TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions LONG ISLAND CITY, NY 11120	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ CITIBANK, N.A.

Telephone No ▶ (800)770-8444 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 13 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2012 or
▶ [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	**3a**	$ 1,281.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	**3b**	$ 1,359.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	**3c**	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions