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Form .990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements ar beginning , 2012, and ending ,

27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) 2,037.	For cale	endar year 2012, or tax year beginning	, 2012,	and ending	,	
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81 Robart Avenue Summit, NJ 07901 G Check all that apply: Initial return			7	<u> </u>		
Summit, N 07901 G Check all that apply: Initial return				1	i elephone number (see the instri	uctions)
G Check all that apply:				-		
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	ВАА		tructions.	<u> </u>		Form 990-PF (2012)

13-4222089 Page 2 Form 990-PF (2012) The Shau-Wai and Marie Lam Family Beginning of year End of year Attached schedules and amounts in the description Part II Balance Sheets column should be for end-of-year amounts only (See instructions) (a) Book Value (b) Book Value (c) Fair Market Value 100,000 54,504 54,504. Cash - non-interest-bearing 2 Savings and temporary cash investments 104,934. 37,058. 37,058. 3 Accounts receivable Less: allowance for doubtful accounts Pledges receivable Less allowance for doubtful accounts Grants receivable Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) Other notes and loans receivable (attach sch) Less allowance for doubtful accounts Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Investments - US and state government obligations (attach schedule) 106,482 106,482 120,052 **b** Investments - corporate stock (attach schedule) Investments — corporate bonds (attach schedule) Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) 12 Investments - mortgage loans. 13 Investments — other (attach schedule) 605,055 605,055 605,055 14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) 723 15 Other assets (describe ▶ Total assets (to be completed by all filers — see the instructions. Also, see page 1, item l) 816,669. 803,099 917,194 Accounts payable and accrued expenses 17 18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, trustees, & other disqualified persons 21 Mortgages and other notes payable (attach schedule) 22 Other liabilities (describe 0 0. 23 Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. Unrestricted 25 Temporarily restricted Ň 26 Permanently restricted Foundations that do not follow SFAS 117, check here В SSE and complete lines 27 through 31. A 27 Capital stock, trust principal, or current funds A 28 Paid-in or capital surplus, or land, building, and equipment fund CES 803,099 Retained earnings, accumulated income, endowment, or other funds 917,194 29 0 803,099 Total net assets or fund balances (see instructions) 917,194 30 R Total liabilities and net assets/fund balances 803,099 (see instructions) 917,194. Part III Analysis of Changes in Net Assets or Fund Balances Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 1 917, 194. Enter amount from Part I, line 27a 2 -114,095 3 3 Other increases not included in line 2 (itemize) 4 803,099 Add lines 1, 2, and 3 4 5 Decreases not included in line 2 (itemize). Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 803,099 6

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Page 3

Par	• _ •	the kind(s) of property sold (e.g., ree, or common stock, 200 shares ML	eal estate, C. Company)	How acquired — Purchase — Donation	(C) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	N/A					
b						
C						
	<u> </u>					
е		(0.5)				(1)
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (e) plus (f) m	
a						
C		-				
C	1					
e			10/21/00			
	(i) Fair Market Value	ng gain in column (h) and owned by (i) Adjusted basis	(k) Excess of column (i)		(I) Gains (Coli ain minus column (F	ımn (h)
	as of 12/31/69	as of 12/31/69	over column (j), if any		an -0-) or Losses (fr	
a	1					
t)					
						
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			anter in Dark Lline 7		1	
2	Capital gain net income or (net	capital loss).	enter in Part I, line 7 ter -0- in Part I, line 7	2		
3	Net short-term capital gain or (loss) as defined in sections 1222(5)	and (6).			
	If gain, also enter in Part I, line	8, column (c) (see instructions) If	(loss), enter -0-			
Par	in Part I, line 8	Section 4940(e) for Reduce	d Variation at the control of the c	3		
If se	ction 4940(d)(2) applies, leave the foundation liable for the sec	e foundations subject to the section on the part blank tion 4942 tax on the distributable an off under section 4940(e). Do not co	nount of any year in the base	·	Yes	X No
1	·· '	n each column for each year, see th		any entries		
	(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use asset	s (col	(d) Distributior umn (b) divided	
	2011	557,481.	354,	425.		1.572917
	2010	85,177.		436.		3.973549
	2009	64,616.		630.		1.251520
	2008	49,473. 63,138.	122, 868,			0.404879 0.072726
	2007	03,136.	000,	102.		0.072726
2	Total of line 1, column (d)			2		7.275591
3	Average distribution ratio for the number of years the foundation	e 5-year base period — divide the to has been in existence if less than !	otal on line 2 by 5, or by the 5 years	3		1.455118
4	Enter the net value of nonchar	table-use assets for 2012 from Part	X, line 5	4		248,327.
5	Multiply line 4 by line 3			5		361,345.
6	Enter 1% of net investment inc	ome (1% of Part I, line 27b)		6		20.
7	Add lines 5 and 6			7		361,365.
8	Enter qualifying distributions from			_ 8		95,600.
	If line 8 is equal to or greater to Part VI instructions	nan line 7, check the box in Part VI,	line 1b, and complete that pa	rt using a 1%	% tax rate See t	he

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and addresses

Form 990-PF (2012)

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Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names

	1990-PF (2012) The Shau-Wai and Marie Lam Family 13-422200 tVII-A Statements Regarding Activities (continued)	39	F	age 5
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) See St 5	12	Х	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of ► Shau-Wai Lam Telephone no. ► 732-9	52-0	<u>2</u> 03	- -
15	Located at ► 81 Hobart Avenue Summit NJ ZIP + 4 ► 07901 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	N/A		
	and enter the amount of tax-exempt interest received or accrued during the year		Yes	N/A No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ►			
Par	t VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No			
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) Yes X No			
ŀ	olf any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N	/A
	Organizations relying on a current notice regarding disaster assistance check here			
(Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section $4942(j)(3)$ or $4942(j)(5)$)			
i	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? Yes X No			
	If 'Yes,' list the years ► 20 , 20 , 20			
i	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			1/3
,	all years listed, answer 'No' and attach statement – see instructions). If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	2 b	IN	A
	► 20 , 20 , 20 , 20			
3	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No			
1	b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)			1/3
4:	a Did the foundation invest during the year any amount in a manner that would jeopardize its	3 b	N	/A
-7.	charitable purposes?	4 a	-	X
1	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	-	X
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Form 990-PF (2012) The Shau-Wai and M			13-422	2089	Page 6
Part VII-B Statements Regarding Activiti		4720 May Be Requ	uired (continued)		
5 a During the year did the foundation pay or incu	=		п., п.	.	
(1) Carry on propaganda, or otherwise attempt	it to influence legislation	n (section 4945(e))?	. Yes X	No	
(2) Influence the outcome of any specific pub- on, directly or indirectly, any voter registra	ition drive?		☐ Yes X	1 1	
(3) Provide a grant to an individual for travel,	study, or other similar i	purposes?	Yes X	No	
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section	nan a charitable, etc, or 4940(d)(2)? (see instru	ganization described ictions)	Yes X	No	
(5) Provide for any purpose other than religior educational purposes, or for the prevention	us, charitable, scientific n of cruelty to children	, literary, or or animals?	Yes X	No	
b If any answer is 'Yes' to 5a(1)-(5), did any of described in Regulations section 53 4945 or in (see instructions)?	the transactions fail to o a current notice regard	qualify under the exceptions disaster assistance	tions -	5 b	N/A
Organizations relying on a current notice rega	rding disaster assistand	e check here	▶ [_]		
c If the answer is 'Yes' to question 5a(4), does to tax because it maintained expenditure response of 'Yes,' attach the statement required by Region 16 'Yes,' attach the statement required by Regi	sibility for the grant?		N/A Yes	No	
6a Did the foundation, during the year, receive ar on a personal benefit contract?	ny funds, directly or indi	rectly, to pay premium	S Yes X	No	
b Did the foundation, during the year, pay prem	iums, directly or indirec	tly, on a personal bene		6 b	X
If 'Yes' to 6b, file Form 8870					
7a At any time during the tax year, was the found			لتا ليا		
b If 'Yes,' did the foundation receive any proceed	.			/A 7b	
Part VIII Information About Officers, D	irectors, trustees,	roundation Manag	gers, mignly Paid E	mpioyees	,
1 List all officers, directors, trustees, foundation	n managers and their o	compensation (see inst	ructions).		
1 Elst an officers, and colors, tractices, roundation	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense	account.
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation		lowances
Shau-Wai Lam	Chairmn-ASRQ	0.	0.		0.
81 Hobart Street					
_Summit, NJ 07901					
Marie Wei-Wun Lam	Trsr-ASRQ	0.	0.		0.
81 Hobart Street Summit, NJ 07901					
Jeffrey Wacksman	Sec'y-ASRQ	4,000.	0.		0.
c/o Loeb, Block & Partners, LL New York, NY 10022	sec y-Askg	4,000.	0.		
C. C	 	li 1 i44i	> 16 10005		
2 Compensation of five highest-paid employees (or (a) Name and address of each employee	r		(d)Contributions to		
paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	employee benefit plans and deferred compensation	(e) Expense other all	lowances
None					
	-				
Total number of other employees paid over \$50,000	<u> </u>	<u> </u>	•		<u> </u>
BAA	TEEA0306L 1	2/25/12	<u> </u>	Form 990 -	PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid E and Contractors (continued)	
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'	
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
None	
	1
Total number of others receiving over \$50,000 for professional services ▶	0
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
<u> </u>	
4	
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
<u>N/A</u>	
²	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 .	0.
BAA	Form 990-PF (2012)

Form	990-PF(2012) The Shau-Wai and Marie Lam Family	13-4222	
Par	Minimum Investment Return (All domestic foundations must complete this part, see instructions.)	Foreign	foundations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		116 207
	Average monthly fair market value of securities.	1 a	116,397.
	O Average of monthly cash balances	1 b	135,712.
	Fair market value of all other assets (see instructions)	1 c	050 100
	d Total (add lines 1a, b, and c) .	1 d	252,109.
•	Reduction claimed for blockage or other factors reported on lines 1a and 1c		
_	(attach detailed explanation) .	0.	^
	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d .	3	252,109.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	3,782.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	248,327.
6	Minimum investment return. Enter 5% of line 5	6	12,416.
Par			oundations
	and certain foreign organizations check here ► and do not complete this par	t.)	
1	Minimum investment return from Part X, line 6	1	12,416.
2 :	a Tax on investment income for 2012 from Part VI, line 5	41.	
	Income tax for 2012 (This does not include the tax from Part VI)		
•	Add lines 2a and 2b	2 c	41.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	12,375.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	12,375.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	12,375.
Pa	rt XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		· · · · ·
	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	95,600.
	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the a Suitability test (prior IRS approval required)	3 a	
- 1	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	95,600.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	95,600.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the qualifies for the section 4940(e) reduction of tax in those years	e foundation	·

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				12,375.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years 20, 20, 20		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007 19, 793.				
b From 2008 43, 411.				
c From 2009 62,036.				
d From 2010 84,105.				
e From 2011 539, 790.				
f Total of lines 3a through e	749,135.			
4 Qualifying distributions for 2012 from Part				
XII, line 4 ► \$95,600.				
a Applied to 2011, but not more than line 2a			0.	
 Applied to undistributed income of prior years (Election required — see instructions) 		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount	<u> </u>			12,375.
e Remaining amount distributed out of corpus	83,225.		•	
5 Excess distributions carryover applied to 2012	0.			0.
(If an amount appears in column (d), the				
same amount must be shown in column (a))				
6 Enter the net total of each column as				
indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	832,360.			
b Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency				
has been issued, or on which the section				
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from			0.	
line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines				
4d and 5 from line 1. This amount must be distributed in 2013.				0.
7 Amounts treated as distributions out of	_			Ŭ.
corpus to satisfy requirements imposed				
by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
, ,				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	19,793.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	812,567.			
10 Analysis of line 9	, ,			
a Excess from 2008 43, 411.				
b Excess from 2009 62,036.				
c Excess from 2010 84, 105.				
d Excess from 2011 539, 790.				
e Excess from 2012 83, 225.				<u> </u>

Form 990-PF (2012) The Shau-Wai and				13-4222089	9 Page 10
Part VII-A, question 9) 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling					
1 a If the foundation has received a ruling or determined is effective for 2012, enter the date of the	ermination letter that ruling	it is a private operat	ing foundation, and the	e ruling •	
b Check box to indicate whether the founda	tion is a private ope	erating foundation of	described in section	4942(j)(3) or	4942(j)(5)
2 a Enter the lesser of the adjusted net income from Part I or the minimum	Tax year		Prior 3 years		
investment return from Part X for each year listed	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					<u>.</u> .
 Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c 					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter.					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test - enter				-	
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)					
(3) Largest amount of support from an exempt organization				-	
(4) Gross investment income					
Supplementary Information assets at any time during the	Complete this) ne vear — see in	part only if the structions.)	foundation had	\$5,000 or more	in
1 Information Regarding Foundation Mana a List any managers of the foundation who hav close of any tax year (but only if they hav Shau-Wai Lam	gers: e contributed more t	han 2% of the total c	ontributions received t section 507(d)(2))	by the foundation bef	ore the
b List any managers of the foundation who own a partnership or other entity) of which the None	1 10% or more of the foundation has a 1	stock of a corporation 0% or greater inter	on (or an equally large est.	portion of the owner	ship of
2 Information Regarding Contribution, Gra Check here X if the foundation only ma requests for funds. If the foundation make complete items 2a, b, c, and d.	akes contributions to es gifts, grants, etc	preselected charitab (see instructions) to	le organizations and d o individuals or orgai	nizations under othe	icited er conditions,
a The name, address, and telephone number of	r e-mail of the perso	n to whom applicatio	ns should be addresse	ed.	
b The form in which applications should be	submitted and info	rmation and materia	als they should includ	de.	
c Any submission deadlines					
d Any restrictions or limitations on awards,	such as by geograp	phical areas, charita	ble fields, kinds of in	nstitutions, or other	factors

Page **11**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)	substantial contributor	recipient	CONTROL	
a Paid during the year				
See Statement 6				
		:		
Total	1	1	► 3a	95,600.
b Approved for future payment				
				:
				}
Total			► 3h	

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Part XVI-A Analysis of Income-Producing Activities

iter gross amounts unless otherwise indicated		d business income	+	section 512, 513, or 514	(e)
	(a) Business code	(b) Amount	(c) Exclu- sion	(d) Amount	Related or exemp function income (See instructions)
Program service revenue:			code		
a		 			
<u> </u>					
d	1		+		
u	-		+		
•			+		
g Fees and contracts from government agencies	-		+ +		
Membership dues and assessments			1 1-		
Interest on savings and temporary cash investments	-		14	282.	
Dividends and interest from securities			14	1,755.	
Net rental income or (loss) from real estate	-		 		
a Debt-financed property					
b Not debt-financed property				-	
Net rental income or (loss) from personal property					
Other investment income					
Gain or (loss) from sales of assets other than inventory					
Net income or (loss) from special events.					· · · · · · · · · · · · · · · · · · ·
Gross profit or (loss) from sales of inventory					
Other revenue:					
a					
b					
c					
•					
d					
e					
e Subtotal. Add columns (b), (d), and (e)				2,037.	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e)				2,037.	2,03
e Subtotal. Add columns (b), (d), and (e)	ions)				2,03
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate		ishment of Exem	pt Purpos	13	2,03
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Tart XVI-B Relationship of Activities to the	e Accompl			13 ses	2,03
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) we worksheet in line 13 instructions to verify calculate art XVI-B Relationship of Activities to the Explain below how each activity for which is accomplishment of the foundation's exemption.	e Accompl			13 ses	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Tart XVI-B Relationship of Activities to the	e Accompl			13 ses	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) we worksheet in line 13 instructions to verify calculate art XVI-B Relationship of Activities to the Explain below how each activity for which is accomplishment of the foundation's exemption.	e Accompl			13 ses	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the line No. Explain below how each activity for which is accomplishment of the foundation's exemption.	e Accompl			13 ses	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the line No. Explain below how each activity for which is accomplishment of the foundation's exemption.	e Accompl			13 ses	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the line No. Explain below how each activity for which is accomplishment of the foundation's exemption.	e Accompl			13 ses	
Subtotal. Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculate Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the Total. Add line 12, columns (b), (d), and (e) Relationship of Activities to the Total. Add line 12, columns (b), (d), and (e)	e Accompl			13 ses	
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Form 990-PF (2012) The Shau-Wai and Marie Lam Family 13-4222089 Part'XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

										Yes	No
1 Di	d the o	rganization dire	ctly or indirectly	engage in any of other than section	the following with 501(c)(3) organi	n any oth zations)	ner organization or in section 5	n 527.			
re	lating to	o political organ	nizations?					,			
		•	ting foundation	to a noncharitable	exempt organiza	tion of:			1.00		
(1) Cash (2) Other assets								1 a (1) 1 a (2)		<u>X</u>	
•	•	nsactions.							1 4 (2)		
			noncharitable e	xempt organization	า				1 b (1)		X
•	•			itable exempt orga					1 b (2)		X
(3) Renta	al of facilities, e	quipment, or ot	her assets					1 b (3)		X
(4) Reim	bursement arra	ngements						1 b (4)		X
(5) Loan	s or loan guara	ntees						1 b (5)		X
(6) Perfo	ormance of serv	ices or member	ship or fundraising	solicitations				1 b (6)		X
c Sl	haring o	of facilities, equ	ipment, mailing	lists, other assets,	or paid employe	es.			1 c		<u>X</u>
d If	the ans	swer to any of the	he above is 'Yes	s,' complete the fol	lowing schedule.	Column	(b) should alw	ays show the fair r	narket val	ue of	
th ar	e goods ov trans	i, other assets, o saction or sharir	r services given t ng arrangement.	by the réporting foun Show in column (c	dation. If the found the value of the	dation rei e goods.	ceived less than , other assets.	i fair market value in or services receive	ı d		
(a) Line		(b) Amount involve		ne of noncharitable exem	` -			sfers, transactions, and		ngement	3
N/A											
							<u></u>				
											
	+										
											
											
2 a ls	the fou	ndation directly o	or indirectly affilia	ited with, or related t	to, one or more tax	k-exempt	organizations				
			• •	(other than section	1 501(c)(3)) or in	section	52/7		∐Yes	X	No
b IT			llowing schedule		of organization) Description of rel	otionshin		
N/A	(a)	Name of organ	ization	(b) Type	of organization		(C) Description of rei	allonship		
N/A					<u> </u>						
								· · ·		-	
									-		
	Under pe	enalties of perjury, I d	declare that I have ex	amined this return, includer than taxpayer) is base	ding accompanying sch	edules and	statements, and to	the best of my knowledg	e and belief, i	t is true,	
Sign	Coneci, a	and complete Decial	ation of preparer (or	iei titali taxpayei) is base	ed on all illionnation of	willer pre	parer has any know.	ieuge	May the	_	
Here		A .	<u> </u>		8/4/13	• _	hairman		this retur preparer	n with th	e
IICIC	Signat	ure of officer or trust	ee		Date	<u>C</u>		·	_ (see i <u>nst</u>	ves Yes	ጓ
		Print/Type preparer		Preparer's			Date	Check If	PTIN	103	No
Paid		Steven M.	Larido	1 SK-1	1 X2		7/30/13	self-employed	P01381	1521	
r aiu Prepa	rer	Firm's name	LOEB BLO	CK & PARTNER	S, LLP	,	•	, 	218161		
Use O		Firm's address	505 PARK								
		<u></u>	NEW YORK		.106			Phone no (212	755-	<u>55</u> 10	
BAA									Form 990		012)

2012

Federal Supplemental Information The Shau-Wai and Marie Lam Family Foundation

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Form 990-PF Page 2 Schedule of Investments-Corporate Stock and other

	Beginning of Year	End of Year		
	Book Value	Book Value	Fair Market Value	
Line 10 b-Corporate St	cock:			
843 Units- SPDR S & P 500 ETF TR TR Unit	106,482 ======	106,482 ======	120,052 ======	
Line 13- Artwork	605,055 ======	605,055 =======	605,055 =======	

2012 Client LAM	Federal Statements The Shau-Wai and Marie Lam Family Foundation	Page 1		
7/30/13		03:22PM		
Statement 1 Form 990-PF, Part I, Line 16a Legal Fees				
Legal Fees	(a) (b) Net (c) Expenses Investment Adjusted Per Books Income Net Income \$ 5,327.	(d) Charitable <u>Purposes</u>		
negai rees	Total $\frac{3,327}{\$}$ $\frac{\$}{5,327}$ $\frac{\$}{}$ 0.	\$ 0.		
Statement 2 Form 990-PF, Part I, Line 16b Accounting Fees				
	(a) (b) Net (c) Expenses Investment Adjusted per Books Income Net Income	(d) Charitable Purposes		
Accounting	Total \$ 9,648. \$ 0. \$ 0.	_		
Statement 3 Form 990-PF, Part I, Line 18 Taxes				
	(a) (b) Net (c) Expenses Investment Adjusted	(d) Charitable		
Registration fee		Purposes 5 0.		
Statement 4 Form 990-PF, Part I, Line 23 Other Expenses				
	(a) (b) Net (c) Expenses Investment Adjustedper_Books	(d) Charitable Purposes		
Dues & Memberships Insurance Miscellaneous Registered Agent Fee	\$ 75. 431. 840. 			
	Total $\frac{$}{1,497}$ $\frac{$}{0}$ $\frac{$}{0}$	\$ 0.		

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Federal Statements

Page 2

The Shau-Wai and Marie Lam Family Foundation

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Statement 5
Form 990-PF, Part VII-A, Line 12
Explanation of Distribution to Donor Advised Fund

Two of the Officers of the Shau Wai & Marie Lam Family Foundation are on the Board of Directors of public charities. Mrs. Lam is on the Board of Directors of the Chinatown Health Clinic and Mr. Lam is on the Board of Directors of the American Friends of Shanghai Museum as well as the Coalition of Asia American Business Organization. In all instances the officer is one of many individuals on the Board of the Charity, and in no way benefited from the distribution to the Charity. The Chinatown Health Clinic provides access to quality healthcare for underserved Asian Americans. The American Friends of Shanghai Museum mission is to raise awareness of and financial support for the Shanghai Museum. The Coalition of Asian American Business Organizations promotes member organizations and creates synergy among them in order to grow Asian American businesses nationally.

Statement 6 Form 990-PF, Part XV, Line 3a Recipient Paid During the Year

Name and Address	Donee <u>Relationship</u>	Found- ation Status	Purpose of <u>Grant</u>	Amount
Chinatown Health Clinic Fdn C.B Wang Com Ctr 125 Walker St		Public	Health Services	\$ 14,600.
New York, NY 10013 Amer Friends of Shanghai Mus 530 East 86th Street New York,, NY 10028	Ofcr is on Bd of Dir.	Public	Educational	15,000.
Chinese American Planning Council 150 Elizabeth Street New York, NY 10012	None	Public	Social Welfare	2,500.
American Cancer Society 250 Williams St. NW Atlanta, GA 30303	None	Public	Health Services	1,000.
Asian Art Museum 200 Larkin Street San Francisco, CA 94102	None	Public	Educational	50,000.
Asia Society 725 Park Ave New York, NY 10021	None	Public	Educational	1,000.
Coalition of Asian American Bus. Org Ronald Reagan Bldg -1300 Penn. Ave Washington, DC 20004	Ofcr is on Bd of Dir.	Public	Educational	5,000.

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Client LAM

The Shau-Wai and Marie Lam Family Foundation

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Statement 6 (continued) Form 990-PF, Part XV, Line 3a Recipient Paid During the Year

Name and Address	Donee <u>Relationship</u>	Found- ation <u>Status</u>	Purpose Grant	of	Amount
Dana Farber Cancer Institute 450 Brookline Ave. Boston, MA 02215	None	Public	Health Care Research	\$	500.
W. Paterson Univ. Chin. Art Ctr 300 Pompton Road Wayne, NJ 07470	None	Public	Educational		1,000.
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	None	Public	Educational		5,000.
				Total \$	95,600.

(Rev January 2013)

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury Internal Revenue Service

File a separate application for each return.

• If you a	re filing for an Automatic 3-Month Extension, co	mplete only	Part Land check this how		▶ [⊽]
•	re filing for an Additional (Not Automatic) 3-Mon			c form)	► X
				•	
	nplete Part II unless you have already been grant		· · · · · · · · · · · · · · · · · · ·		
request an e Associated	filing (e-file). You can electronically file Form 886 required to file Form 990-T), or an additional (no extension of time to file any of the forms listed in Par With Certain Personal Benefit Contracts, which no ling of this form, visit www.irs gov/efile and click	ot automatic t I or Part II v nust be sent) 3-month extension of time You can ele with the exception of Form 8870, Information to the IRS in paper format (see instruct)	ctronically file Form	1 8868 to
Part I	Automatic 3-Month Extension of Time	e. Only su	omit original (no copies needed).		
A corporate	on required to file Form 990-T and requesting an			complete Part Lonly	
	prporations (including 1120-C filers), partnerships		nd trusts must use Form 7004 to request	an extension of tin	ne to file
	Name of exempt organization or other filer, see instructions		Enter filer's identif	 .	
Type or	Haine of exempt organization of other mer, see instructions			Employer identification r	umber (EIN) or
print	The Shau-Wai and Marie Lam Family Foundation			13-4222089	
File by the	Number, street, and room or suite number if a P O box, see	instructions		Social security num	iber (SSN)
due date for filing your	81 Hobart Avenue			-	
return See	City, town or post office, state, and ZIP code. For a foreign ad	dress, see ınstri	ictions		
instructions	Summit, NJ 07901				
Enter the R	leturn code for the return that this application is f	or (file a se	parate application for each return)		04
Application Is For		Return Code	Application Is For		Return Code
Form 990 or	Form 990-EZ	01	Form 990-T (corporation)		07
Form 990-E	BL	02	Form 1041-A		08
Form 4720 (ındıvıdual)	03	Form 4720		09
Form 990-F	PF	04	Form 5227	·	10
Form 990-T	(section 401(a) or 408(a) trust)	05	Form 6069		11
Form 990-T	(trust other than above)	06	Form 8870	_	12
Telepho If the or If this is check the external life ext	ne No > 732-952-0203 rganization does not have an office or place of but for a Group Return, enter the organization's four his box. If it is for part of the group, ension is for est an automatic 3-month (6 months for a corporation 8/15	r digit Group check this b n required to ganization re _, and endir	e United States, check this box Exemption Number (GEN) If ox and attach a list with the narefile Form 990-T) extension of time turn for the organization named above	this is for the whole mes and EINs of all	
3a If this nonre	application is for Form 990-BL, 990-PF, 990-T, 4 fundable credits. See instructions	720, or 6069	e, enter the tentative tax, less any	3a\$	150.
paym	application is for Form 990-PF, 990-T, 4720, or 6 ents made. Include any prior year overpayment a	llowed as a	credit	3 b \$	150.
	ce due. Subtract line 3b from line 3a Include you S (Electronic Federal Tax Payment System). See			3 c \$	0.
Caution. If y payment in:	ou are going to make an electronic fund withdrawal v structions	vith this Form	1 8868, see Form 8453-EO and Form 8879-E	O for	