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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE KROLL FAMILY FOUNDATION		A Employer identification number 13-4154059
Number and street (or P.O. box number if mail is not delivered to street address) 24 CREIGHTON LANE		B Telephone number 914-236-3134
City or town, state, and ZIP code BRIARCLIFF MANOR, NY 10510		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 930,942. (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		56.	56.		STATEMENT 1
4 Dividends and interest from securities		35,697.	35,697.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,623.			
b Gross sales price for all assets on line 6a		1,623.			
7 Capital gain net income (from Part IV, line 2)			1,623.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		37,376.	37,376.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees		2,768.	0.		2,768.
b Accounting fees		3,362.	0.		3,362.
c Other professional fees					
17 Interest					
18 Taxes		1,068.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		462.	157.		305.
24 Total operating and administrative expenses. Add lines 13 through 23		7,660.	157.		6,435.
25 Contributions, gifts, grants paid		31,505.			31,505.
26 Total expenses and disbursements. Add lines 24 and 25		39,165.	157.		37,940.
27 Subtract line 26 from line 12		<1,789.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			37,219.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	76,741.	51,087.	51,087.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 7	63,840.	64,884.	64,884.
	c Investments - corporate bonds STMT 8	220,358.	236,692.	236,692.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9	517,905.	578,079.	578,079.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ OTHER ASSETS)	250.	200.	200.	
16 Total assets (to be completed by all filers)	879,094.	930,942.	930,942.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	879,094.	930,942.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	879,094.	930,942.	
31 Total liabilities and net assets/fund balances	879,094.	930,942.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	879,094.
2 Enter amount from Part I, line 27a	2	<1,789.>
3 Other increases not included in line 2 (itemize) ▶ UNREALIZE GAIN ON INVESTMENTS	3	53,637.
4 Add lines 1, 2, and 3	4	930,942.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	930,942.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a CAPITAL GAINS DIVIDENDS				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,623.			1,623.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			1,623.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,623.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	70,717.	898,734.	.078685
2010	25,450.	839,583.	.030313
2009	57,280.	610,116.	.093884
2008	20,845.	492,866.	.042293
2007	27,621.	352,910.	.078266
2 Total of line 1, column (d)			2 .323441
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .064688
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 894,537.
5 Multiply line 4 by line 3			5 57,866.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 372.
7 Add lines 5 and 6			7 58,238.
8 Enter qualifying distributions from Part XII, line 4			8 37,940.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	744.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	744.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	744.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,000.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,000.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	256.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV.</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► LOIS KROLL Telephone no ► 914-236-3134			
Located at ► 24 CREIGHTON LANE, BRIARCLIFF MANOR, NY		ZIP+4 ► 10510-2430		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☒ Yes ☐ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LOIS KROLL	PRESIDENT			
24 CREIGHTON LANE				
BRIARCLIFF MANOR, NY 10510	1.00	0.	0.	0.
PAMELA KROLL	VICE PRESIDENT			
24 CREIGHTON LANE				
BRIARCLIFF MANOR, NY 10510	1.00	0.	0.	0.
LUCY DEL PRIORE TREADWELL	TREASURER			
24 CREIGHTON LANE				
BRIARCLIFF MANOR, NY 10510	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☐ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	846,659.
b	Average of monthly cash balances	1b	61,500.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	908,159.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	908,159.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,622.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	894,537.
6	Minimum investment return. Enter 5% of line 5	6	44,727.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	44,727.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	744.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	744.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43,983.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	43,983.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43,983.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	37,940.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	37,940.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	37,940.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				43,983.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	27,621.			
b From 2008	20,845.			
c From 2009	27,158.			
d From 2010				
e From 2011	26,462.			
f Total of lines 3a through e	102,086.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 37,940.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				37,940.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	6,043.			6,043.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	96,043.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	21,578.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	74,465.			
10 Analysis of line 9				
a Excess from 2008	20,845.			
b Excess from 2009	27,158.			
c Excess from 2010				
d Excess from 2011	26,462.			
e Excess from 2012				

N/A

- ☐ 4942(I)(3) or ☐ 4942(I)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AARP PO BOX 93207 LONG BEACH, CA 90809-3207		PUBLIC CHARITY	GENERAL OPERATING SUPPORT	16.
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
ASPCA 424 EAST 92ND STREET NEW YORK, NY 10128	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	70.
AUDUBON 225 VARICK STREET, 7TH FLOOR NEW YORK, NY 10014	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
AUTISM SPEAKS 5455 WILSHIRE BOULEVARD, STE. 2250 LOS ANGELES, CA 90036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	150.
Total SEE CONTINUATION SHEET(S) 3a				31,505.
b Approved for future payment				
NONE				
Total 3b				0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	56.	
4 Dividends and interest from securities			14	35,697.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	1,623.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		37,376.	0.
13 Total. Add line 12, columns (b), (d), and (e)				37,376.	37,376.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BEST FRIENDS 5001 ANGEL CANYON ROAD KANAB, UT 84741	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	175.
BMSHS - BRIARCLIFF MANOR-SCARBOROUGH HISTORICAL SOCIETY PO BOX 11 BRIARCLIFF MANOR, NY 10510	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	265.
BRIARCLIFF MANOR FIRE DEPARTMENT 1111 PLEASANTVILLE ROAD BRIARCLIFF MANOR, NY 10510	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
CITY HARVEST 6 EAST 32ND STREET, 5TH FLOOR NEW YORK, NY 10016	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
DEFENDERS OF WILDLIFE 1130 17TH STREET, NW WASHINGTON, DC 20036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
DIAN FOSSEY GORILLA FUND 800 CHEROKEE AVENUE, S.E. ATLANTA, GA 30315	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10001	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
DORIS DAY ANIMAL LEAGUE (DDAL) 2100 L STREET, NW WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	30.
EARTH JUSTICE 426 17TH STREET, 6TH FLOOR OAKLAND, CA 94612	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
EDF - ENVIRONMENTAL DEFENSE FUND 275 PARK AVENUE SOUTH NEW YORK, NY 10010	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
Total from continuation sheets				31,144.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FARM SANCTUARY PO BOX 150 WATKINS GLEN, NY 14891	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	90.
FEEDING AMERICA P.O. BOX 96749 WASHINGTON, DC 20090	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
FOOD BANK OF WESTCHESTER 358 SAW MILL RIVER ROAD MILWOOD, NY 10546	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
FRESH AIR FUND 633 THIRD AVENUE NEW YORK, NY 10017	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
FRONT RANGE EQUINE RESCUE P.O. BOX 307 LARKSPUR, CO 80118	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
GENTLE GIANTS 17250 OLD FREDERICK ROAD MOUNT AIRY, MD 21771	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	81.
NATIONAL ANTIVISECTION SOCIETY (NAVS) 953 MISSION ST., SUITE 200 SAN FRANCISCO, CA 94103	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	20.
HUMANE SOCIETY OF THE UNITED STATES (HSUS) 2100 L STREET, NW WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	30.
HUMANE SOCIETY INTERNATIONAL (HIS) 2100 L STREET, NW WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
JEWISH BOARD FAMILY & CHILDREN SERVICES (JBPCS) 135 WEST 50TH STREET, 6TH FLOOR NEW YORK, NY 10020	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	22,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEAGUE OF WOMEN VOTERS 1730 M STREET NW, SUITE 1000 WASHINGTON, DC 20036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
LEUKEMIA & LYMPHOMA SOCIETY 1311 MAMARONECK AVENUE, STE 130 WHITE PLAINS, NY 10605	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	250.
LLS - LEUKEMAI & LYMPHOMA SOCIETY 1311 MAMARONECK AVENUE, STE 130 WHITE PLAINS, NY 10605	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	1,950.
MAYOR'S FUND TO ADVANCE NEW YORK- HURRICAN SANDY RELIEF 253 BROADWAY, 8TH FLOOR NEW YORK, NY 10007	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	600.
NAACP 4805 MT. HOPE DRIVE BALTIMORE, MD 21215	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
NATIONAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
NEW YORK CITY RESCUE MISSION (NYCRM) 90 LAFAYETTE STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	78.
NEW YORK PUBLIC LIBRARY 476 FIFTH AVENUE NEW YORK, NY 10018	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	40.
PAWS PO BOX 849 GALT, CA 95632	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	40.
PROJECT RENEWAL 200 VARICK STREET, 9TH FLOOR NEW YORK, NY 10014	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RAINFOREST ALLIANCE 655 BROADWAY, SUITE 500 NEW YORK, NY 10012	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
SAVE THE CHIMPS PO BOX 9566 WILTON, NH 03086	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	105.
SIERRA CLUB PO BOX 886 SYOSSET, NY 11791	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
STUDENT ADVOCACY 3 WEST MAIN STREET ELMSFORD, NY 10523	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	2,600.
THE CORNELL LAB OF ORNITHOLOGY 159 SAPSUCKER WOODS ROAD ITHACA, NY 14850	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
THE CORNELL LAB OF ORITHOLOGY 159 SAPSUCKER WOODS ROAD ITHACA, NY 14850	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
THE FUND FOR ANIMALS 200 WEST 57TH STREET NEW YORK, NY 10019	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	40.
THE GLOBAL GIVING FOUNDATION 1023 15TH STREET, NW, 12TH FLOOR WASHINGTON, DC 20005	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	150.
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE ARLINGTON, VA 22203	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	150.
THE OASIS SANCTUARY PO BOX 2166 SCOTTSDALE, AZ 85252	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THIRTEEN 825 8TH AVENUE NEW YORK, NY 10019	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
THORACIC RESEARCH FUND - MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	300.
WESTCHESTER COMMUNITY COLLEGE FOUNDATION 75 GRASSLANDS ROAD VALHALLA, NY 10595	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
WESTCHESTER JEWISH COMMUNITY SERVICE (WJCS) 845 NORTH BROADWAY WHITE PLAINS, NY 10603	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
WORLD WILDLIFE FUND (WWF) 1250 24TH STREET, NW WASHINGTON, DC 20077	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	150.
WOUNDED WARRIOR PROJECT PO BOX 758517 TOPEKA, KS 66675	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

<u>SOURCE</u>	<u>AMOUNT</u>
MERRILL LYNCH	56.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	56.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

<u>SOURCE</u>	<u>GROSS AMOUNT</u>	<u>CAPITAL GAINS DIVIDENDS</u>	<u>COLUMN (A) AMOUNT</u>
MERRILL LYNCH	37,320.	1,623.	35,697.
TOTAL TO FM 990-PF, PART I, LN 4	37,320.	1,623.	35,697.

FORM 990-PF LEGAL FEES STATEMENT 3

<u>DESCRIPTION</u>	<u>(A) EXPENSES PER BOOKS</u>	<u>(B) NET INVEST- MENT INCOME</u>	<u>(C) ADJUSTED NET INCOME</u>	<u>(D) CHARITABLE PURPOSES</u>
SCHLESINGER GANNON & LAZETERA LLP	2,768.	0.		2,768.
TO FM 990-PF, PG 1, LN 16A	2,768.	0.		2,768.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

<u>DESCRIPTION</u>	<u>(A) EXPENSES PER BOOKS</u>	<u>(B) NET INVEST- MENT INCOME</u>	<u>(C) ADJUSTED NET INCOME</u>	<u>(D) CHARITABLE PURPOSES</u>
O'CONNOR DAVIES, LLP	3,362.	0.		3,362.
TO FORM 990-PF, PG 1, LN 16B	3,362.	0.		3,362.

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	1,042.	0.		0.
OTHER TAXES	26.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,068.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	205.	0.		205.
FILING FEES	100.	0.		100.
BANK FEES	157.	157.		0.
TO FORM 990-PF, PG 1, LN 23	462.	157.		305.

FORM 990-PF

CORPORATE STOCK

STATEMENT

7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
600 SHARES-CHEVRON CORP	64,884.	64,884.
TOTAL TO FORM 990-PF, PART II, LINE 10B	64,884.	64,884.

FORM 990-PF

CORPORATE BONDS

STATEMENT

8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	236,692.	236,692.
TOTAL TO FORM 990-PF, PART II, LINE 10C	236,692.	236,692.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B	FMV	578,079.	578,079.
TOTAL TO FORM 990-PF, PART II, LINE 13		578,079.	578,079.

THE KROLL FAMILY FOUNDATION
EIN #: 13-4154059
SUMMARY OF PORTFOLIO HOLDINGS
CORPORATE BONDS
DECEMBER 31, 2012

CORPORATE BONDS (continued)	<i>Acquired</i>	<i>Quantity</i>	<i>Adjusted/Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>
<i>Description</i>					
VODAFONE GROUP PLC 04 625% JUL 15 2018 MOODY'S A3 S&P A < CUSIP 92857WAE0	06/04/09	40,000	38,735.20	115.5210	46,208.40
Δ JPMORGAN CHASE & CO GLB 06 300% APR 23 2019 MOODY'S A2 S&P A CUSIP 46625HHL7	06/04/09	40,000	40,439.92	123.3760	49,350.40
ORIGINAL UNIT/TOTAL COST	101 5600/40,624 00				
TOTAL		200,000	198,515.02		236,691.60

THE KROLL FAMILY FOUNDATION
 EIN # 13-4154059
 SUMMARY OF PORTFOLIO HOLDINGS
 MUTUAL FUNDS
 DECEMBER 31, 2012

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value
PRINCIPAL PREFERRED	27,228	188,887.67	10.5200	286,438.56
SECURITIES FUND C				
SYMBOL: PRFCX Initial Purchase 02/09/09				
Fixed Income 100%				
6940 Fractional Share		7.31	10.5200	7.30
PRUDENTIAL SHORT TERM	18,431	212,267.10	11.5600	213,062.36
CORPORATE BOND FUND C				
SYMBOL: PRFCX Initial Purchase 11/18/09				
Fixed Income 100%				
4540 Fractional Share		5.25	11.5600	5.25
THORNBURG INCOME BUILDER	4,174	81,621.63	18.8200	78,554.68
FUND CLASS C				
SYMBOL: TIBCX Initial Purchase 06/03/11				
Fixed Income 18% Equity 82%				
5530 Fractional Share		10.49	18.8200	10.41
TOTAL		482,799.45		578,078.56