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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2012

Open to Public Inspection

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 01-01-2012, and ending 12-31-2012

Name of foundation THE SERIS FOUNDATION, % ERIKA KLAPPER, Number and street (or P O box number if mail is not delivered to street address) 3333 HENRY HUDSON PARKWAY Suite APT 12N, City or town, state, and ZIP code RIVERDALE, NY 10463, A Employer identification number 13-3969875, B Telephone number (see instructions), C If exemption application is pending, check here, D 1. Foreign organizations, check here, 2. Foreign organizations meeting the 85% test, check here and attach computation, E If private foundation status was terminated under section 507(b)(1)(A), check here, F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here, G Check all that apply, H Check type of organization, I Fair market value of all assets at end of year, J Accounting method

Part I Analysis of Revenue and Expenses table with columns (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), followed by summary rows 27-29.

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing					
	2	Savings and temporary cash investments	145,979	131,177	131,177		
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)					
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments—U S and state government obligations (attach schedule)					
	b	Investments—corporate stock (attach schedule)	82,480	81,527	100,578		
	c	Investments—corporate bonds (attach schedule)					
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
	12	Investments—mortgage loans					
	13	Investments—other (attach schedule)					
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____					
15	Other assets (describe ▶ _____)		381	381			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	228,459	213,085	232,136			
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
22	Other liabilities (describe ▶ _____)		246				
23	Total liabilities (add lines 17 through 22)		246				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>						
	and complete lines 27 through 31.						
27	Capital stock, trust principal, or current funds						
28	Paid-in or capital surplus, or land, bldg, and equipment fund						
29	Retained earnings, accumulated income, endowment, or other funds	228,459	212,839				
30	Total net assets or fund balances (see page 17 of the instructions)	228,459	212,839				
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	228,459	213,085				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	228,459
2	Enter amount from Part I, line 27a	2	-15,620
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	212,839
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	212,839

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-318
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	34,842	250,708	0.138974
2010	42,900	228,823	0.187481
2009	2,078	107,057	0.01941
2008	23,585	106,511	0.221433
2007	14,006	244,116	0.057374

2	Total of line 1, column (d).	2	0.624672
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.124934
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	236,385
5	Multiply line 4 by line 3.	5	29,533
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	0
7	Add lines 5 and 6.	7	29,533
8	Enter qualifying distributions from Part XII, line 4.	8	15,060

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	0
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	0
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	0
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	0
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ _____	13	Yes			
14	The books are in care of ▶ <u>ERIKA KLAPPER</u> Telephone no ▶ <u>(212) 874-2726</u> Located at ▶ <u>4720 DELAFIELD AVENUE RIVERDALE NY</u> ZIP+4 ▶ <u>10471</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table border="1"><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No		

See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ _____

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 2002, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input checked="" type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d).</p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b		
	6b		No
	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ERIKA KLAPPER 3333 HENRY HUDSON PARKWAY RIVERDALE, NY 10463	DIRECTOR 0	0	0	0
DANIEL KLAPPER 3333 HENRY HUDSON PARKWAY RIVERDALE, NY 10463	DIRECTOR 0	0	0	0
DAVID KLAPPER 3333 HENRY HUDSON PARKWAY RIVERDALE, NY 10463	DIRECTOR 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000. <input checked="" type="checkbox"/>				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 	
2 	
3 All other program-related investments. See page 24 of the instructions	

Total. Add lines 1 through 3.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	95,081
b	Average of monthly cash balances.	1b	144,523
c	Fair market value of all other assets (see instructions).	1c	381
d	Total (add lines 1a, b, and c).	1d	239,985
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	239,985
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,600
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	236,385
6	Minimum investment return. Enter 5% of line 5.	6	11,819

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	11,819
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	0
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	0
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	11,819
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	11,819
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	11,819

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	15,060
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	15,060
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	15,060

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				11,819
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 2010, 2009, 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.	6,709			
c From 2009.				
d From 2010.	31,459			
e From 2011.	22,373			
f Total of lines 3a through e.	60,541			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>15,060</u>				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				11,819
e Remaining amount distributed out of corpus	3,241			
5 Excess distributions carryover applied to 2012 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	63,782			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	63,782			
10 Analysis of line 9				
a Excess from 2008.	6,709			
b Excess from 2009.				
c Excess from 2010.	31,459			
d Excess from 2011.	22,373			
e Excess from 2012.	3,241			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				3a 15,010
b <i>Approved for future payment</i>				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge Signature of officer or trustee Date Title

Paid Preparer Use Only Print/Type preparer's name Preparer's Signature Date Check if self-employed PTIN Firm's name Brand Sonnenschine LLP Firm's EIN Firm's address New York, NY 10007 Phone no (212) 219-0220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 SH CUMMINS INC	P	2011-09-09	2012-01-13
1 SH NORTHROP GRUMMAN CORP	P	2011-07-06	2012-02-03
3 SH CVS CAREMARK CORP	P	2008-10-22	2012-01-04
8 SH HOME DEPOT INC	P	2007-02-22	2012-01-04
4 SH NORTHROP GRUMMAN CORP	P	2009-03-25	2012-02-02
6 SH NORTHROP GRUMMAN CORP	P	2009-06-24	2012-02-03
3 SH VISA INC	P	2010-05-06	2012-01-04
13 SH HEWLETT PACKARD		2012-01-20	2012-11-20
34 SH HUMAN GENOME SCIENCES INC	P	2011-07-26	2012-07-16
24 SH MORGAN STANLEY	P	2012-06-20	2012-11-23
31 SH REGIONS FINANCIAL CORP NEW	P	2012-03-30	2012-06-04
5 SH SOUTHWESTERN ENERGY CO	P	2012-05-01	2012-12-18
16 SH UNITED STS STL CP NEW	P	2011-07-28	2012-07-17
ENGILITY HOLDINGS INC	P	2012-07-17	2012-07-17
LIBERTY INTER CO VENTURE SER A	P	2012-08-15	2012-08-15
17 SH EATON CORPORATION	P	2011-06-07	2012-12-03
7 SH HUMAN GENOME SCIENCES INC	P	2011-03-01	2012-07-16
12 SH MORGAN STANLEY	P	2011-07-06	2012-11-23
9 SH OSHKOSH CORP	P	2011-01-18	2012-10-16
7 SH SOUTHWESTERN ENERGY CO	P	2011-08-11	2012-12-17
2 SH US BANCORP COM NEW		2011-02-25	2012-06-04
1 SH UNITED STS STL CP NEW	P	2011-07-28	2012-08-27
3 SH ANHEUSER BUSCH INBEV SA SPON	P	2010-12-06	2012-05-09
8 SH BAKER HUGHES INC	P	2008-09-18	2012-03-02
5 SH BED BATH & BEYOND INC	P	2007-02-22	2012-06-15
5 SH BIOGEN IDEC INC	P	2007-02-22	2012-11-09
3 SH CHUBB CORP	P	2007-04-19	2012-03-27
66 SH CISCO SYS INC	P	2007-02-22	2012-04-10
9 SH CONOCO PHILLIPS	P	2009-08-24	2012-04-11
26 SH EBAY INC	P	2007-03-13	2012-03-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 SH ENGILITY HOLDINGS INC	P	2007-02-22	2012-07-19
1 SH FRANKLIN RESOURCES INC	P	2008-10-14	2012-12-31
12 SH GAP INC	P	2007-02-27	2012-04-25
12 SH GENERAL ELECTRIC CO	P	2007-02-22	2012-12-21
7 SH HOME DEPOT INC	P	2007-02-22	2012-12-04
12 SH JPMORGAN CHASE & CO	P	2007-03-05	2012-05-11
21 SH KEYCORP NEW	P	2009-06-04	2012-06-04
1 SH LIBERTY INTER CO VENTURE SER A	P	2008-03-12	2012-08-17
1 SH LIBERTY VENTURES RT 10 9 12	P	2008-03-12	2012-09-14
33 SH MICROSOFT CORP	P	2007-02-22	2012-04-10
30 SH MORGAN STANLEY	P	2009-10-01	2012-11-20
5 SH NOBLE ENERGY INC	P	2010-06-29	2012-03-30
13 SH ORACLE CORP	P	2010-10-21	2012-05-09
0 039 SH PENTAIR LTD	P	2007-02-22	2012-09-28
6 SH PROCTOR & GAMBLE	P	2007-02-22	2012-05-09
3 SH SCHLUMBERGER LTD	P	2008-10-17	2012-12-28
18 SH TEXAS INSTRUMENTS	P	2007-02-22	2012-04-10
0 5 SH THE ADT CORPORATION	P	2007-02-22	2012-09-28
7 SH US BANCORP COM NEW	P	2010-12-14	2012-06-04
15 SH UNITED STS STL CP NEW	P	2009-12-22	2012-07-17
17 SH WEYERHAEUSER CO	P	2008-04-28	2012-12-21
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
389		338	51
58		70	-12
125		86	39
342		329	13
234		159	75
350		244	106
302		232	70
152		347	-195
483		623	-140
394		343	51
172		201	-29
169		163	6
317		345	-28
11			11
6			6
878		799	79
99		175	-76
197		272	-75
266		315	-49
233		260	-27
58		55	3
21		41	-20
215		172	43
392		367	25
362		214	148
690		236	454
207		159	48
1,221		1,796	-575
665		425	240
1,072		800	272

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18		32	-14
125		72	53
397		220	177
249		424	-175
452		288	164
443		572	-129
144		115	29
42		36	6
14		4	10
933		968	-35
491		867	-376
475		305	170
354		375	-21
2		1	1
382		387	-5
204		154	50
538		574	-36
19		17	2
202		184	18
293		744	-451
473		767	-294
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			51
			-12
			39
			13
			75
			106
			70
			-195
			-140
			51
			-29
			6
			-28
			11
			6
			79
			-76
			-75
			-49
			-27
			3
			-20
			43
			25
			148
			454
			48
			-575
			240
			272

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-14
			53
			177
			-175
			164
			-129
			29
			6
			10
			-35
			-376
			170
			-21
			1
			-5
			50
			-36
			2
			18
			-451
			-294

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RIVERDALE JEWISH CENTER 3700 INDEPENDENCE AVENUE RIVERDALE, NY 10463	NONE	EXEMPT	CHARITABLE	3,000
BIKUR CHOLIM OF MANHATTAN 180 RIVERSIDE DRIVE NEW YORK, NY 10024	NONE	EXEMPT	CHARITABLE	180
TORAH VCHESED NANASH 629 WEST 239TH STREET BRONX, NY 10463	NONE	EXEMPT	CHARITABLE	1,200
CONG OHAB ZEDEK 118 W 95TH STREET NEW YORK, NY 10025	NONE	EXEMPT	CHARITABLE	250
WILLOWBROOK COMMUNITY KOLLEL 90 RUPERT AVENUE STATEN ISLAND, NY 10314	NONE	EXEMPT	CHARITABLE	180
PARK EAST SYNAGOGUE 163 EAST 67TH STREET NEW YORK, NY 10021	NONE	EXEMPT	CHARITABLE	200
BROOKLYN TALMUDICAL 1417 49TH STREET BROOKLYN, NY 11219	NONE	EXEMPT	CHARITABLE	10,000
Total			3a	15,010

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

TY 2012 Investments Corporate Stock Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SMITH BARNEY #42630		
25 AKAMI TECHNOLOGIES INC	486	1,023
10 ALLIED WORLD ASSUR CO HLDG	441	788
7 AMAZON INC	288	1,756
7 AMC NETWORKS INC CL A	166	347
7 AMGEN INC	323	603
15 ANADARKO PETE	646	1,115
11 ANHEUSER BUSCH	628	962
13 APACHE CORP	1,410	1,021
1 APPLE INC	363	532
75 APPLIED MATERIALS INC	1,186	858
18 AT&T INC	467	607
19 AUTODESK INC	751	672
17 AXIS CAPITAL HOLDINGS LTD	570	589
5 BAKER HUGHES INC	200	204
12 BED BATH & BEYOND INC	440	671
5 BHP BILLITON LTD	338	392
15 BIOGEN IDEC INC	709	2,196
7 BLACKROCK INC	1,097	1,447
11 BOEING CO	830	829
41 BROADCOM CORP	1,182	1,362
44 CABLEVISION SYSTEMS CORP	621	657
16 CAMERON INTNL CORP	766	903
18 CARNIVAL CP NEW PAIRED COM	521	662
19 CELEGNE CORP	1,034	1,491
43 CHARLES SCHWAB NEW	607	617
10 CHEVRON CORP	1,079	1,081
12 CHUBB CORP	622	904
72 CISCO SYS INC	1,328	1,415
13 CITRIX SYSTEMS INC	795	853

Name of Stock	End of Year Book Value	End of Year Fair Market Value
10 CME GROUP INC	602	507
28 COCA COLA CO	662	1,015
97 COMCAST CORP CL A	2,284	3,484
8 COVIDIEN PLC NEW	320	462
22 CREE RESEARCH INC	623	748
26 CVS CAREMARK CORP	774	1,257
15 DEERE & CO	984	1,296
15 DEVON ENERGY CORP NEW	942	781
8 DIRECTV COM	156	401
11 DOLBY CLA A COM	330	323
6 DOVER CORP	298	394
9 DU PONT EI DE NEMOURS & CO	404	405
17 EATON CORP PLC	878	921
41 EBAY INC	974	2,091
25 EMC CORP MASS	606	633
3 EMCOR GROUP INC	68	104
16 EMERSON ELECTRIC CO	686	847
5 EOG RESOURCES INC	377	604
80 ERICSSON LM TEL ADR CL B	776	808
11 EXPRESS SCRIPTS HLDG CO	543	594
8 EXXON MOBIL CORP	673	692
8 FIRST REPUBLIC BANK	252	262
19 FLUOR CORP NEW	730	1,116
25 FOREST LABORATORIES INC	1,125	883
4 FRANKLIN RESOURCES INC	313	503
7 FREEPORT MCMORAN CP & GLD	183	239
9 GAP INC	162	279
30 GENERAL ELECTRIC CO	1,042	630
8 GLAXOSMITHKLINE PLC ADS	336	348
3 GOLDMAN SACHS GRP INC	357	383

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2 GOOGLE INC CL A	1,029	1,415
12 GREENHILL & CO INC	522	624
3 GUESS INC	95	74
25 HALLIBURTON CO	912	867
18 HESS CORPORATION	950	953
35 HOME DEPOT INC	1,005	2,165
14 HONEYWELL INTERNATIONAL INC	644	889
16 IMMUNOGEN INC	124	204
11 INTUIT INC	485	654
21 ISIS PHARM INC	192	219
5 JACOBS ENGINEERING GROUP INC	213	213
30 JOHNSON & JOHNSON	1,944	2,103
7 JONES LANG LASALLE INC	238	588
7 JOY GLOBAL INC	420	446
24 JPMORGAN CHASE & CO	997	1,055
51 JUNIPER NETWORKS	1,005	1,003
26 KEYCORP NEW	203	219
13 KLA TENCOR CORP	566	621
10 L-3 COMMUNICATIONS HOLDING	835	766
23 LIBERTY INTERACTIVE CO	341	453
5 LIBERTY MEDIA CO	75	580
20 MATTEL INC	478	732
27 MERCK & CO INC	1,073	1,105
42 MICROSOFT CORP	1,133	1,122
8 MONSANTO CO NEW	498	757
16 NASDAQ OMX GROUP INC	463	400
5 NATIONAL OILWELL VARCO INC	123	342
18 NETAPP INC COM	778	604
8 NEWFIELD EXPL CO	257	214
12 NIKE INC	492	619

Name of Stock	End of Year Book Value	End of Year Fair Market Value
15 NOVARTIS AG ADR	805	950
24 NUCOR CORPORATION	1,021	1,036
5 OCCIDENTAL PETROLEUM CORP	386	383
14 ORACLE CORP	438	466
8 OSHKOSH CORP	197	237
11 PALL CORPORATION	393	663
13 PEABODY ENERGY CORP	311	346
24 PEBBLEBROOK HOTEL TR COM	495	554
5 PENTAIR LTD	174	246
14 PEPSICO INC	901	958
3 PLANTRONICS INC	97	111
6 PROCTOR & GAMBLE	387	407
10 QUALCOMM INC	513	619
59 REGIONS FINANCIAL CORP NEW	376	421
58 SAFEWAY INC COM NEW	1,159	1,049
14 SANDISK CORP	384	609
25 SCHLUMBERGER LTD	1,612	1,732
47 SEAGATE TECHNOLOGY PLC	1,039	1,430
2 SOTHEBY'S CL A	63	67
4 STATE STREET CORP	187	188
22 SYMANTEC CORP	422	414
21 TE CONNECTIVITY LTD NEW	747	780
13 TERADYNE INC	201	220
2 TEVA PHARMACEUTICALS ADR	84	75
46 TEXAS INSTRUMENTS	1,431	1,421
10 THE ADT CORPORATION COM	304	465
4 THE MOSAIC CO	193	227
14 THERMO FISHER SCIENTIFIC IN	711	893
21 TYCO INTERNATIONAL LTD NEW	490	614
25 US BANCORP COM NEW	687	798

Name of Stock	End of Year Book Value	End of Year Fair Market Value
25 UNILEVER PLC	677	968
11 UNITED PARCEL SERVICE INC	795	811
30 UNITEDHEALTH GP INC	1,467	1,627
14 VEECO INSTRUMENTS INC DEL	416	412
8 VERTEX PHARMACEUTICALS	216	335
11 VISA INC CL A	811	1,667
29 VODAFONE GP PLC	824	730
38 WALT DISNEY CO HLDG CO	1,378	1,892
72 WEATHERFORD INTERNATIONAL	1,169	805
22 WEYERHAEUSER CO	411	612
14 XILINX INC	456	502
4 YUM BRANDS INC	255	265

TY 2012 Land, Etc. Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

TY 2012 Other Assets Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS & EXCHANGES		380	380
DUE FROM BROKER		1	1

TY 2012 Other Expenses Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	2,031	2,031		
FILING FEE	50			50

TY 2012 Other Liabilities Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER		246

TY 2012 Taxes Schedule

Name: THE SERIS FOUNDATION

EIN: 13-3969875

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	25			
EXCISE TAX	32			