



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

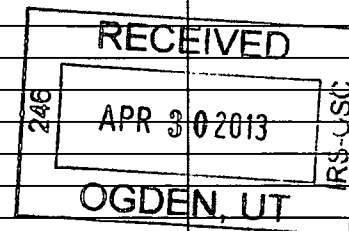
Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>EMERALD FOUNDATION, INC.<br/>C/O SOLOMON BLUM HEYMANN</b>                                                                                                                                                                                                                              |                                                                                                                                                  | A Employer identification number<br><b>13-3912580</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>40 WALL ST., 35TH FLR</b>                                                                                                                                                                                                | Room/suite                                                                                                                                       | B Telephone number<br><b>2122677600</b>                                                                                                                                      |
| City or town, state, and ZIP code<br><b>NEW YORK, NY 10005</b>                                                                                                                                                                                                                                                  |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><b>\$ 29,413,052.</b>                                                                                                                                                                                                     | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                                       |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  |                                    |                           |                         |                                                             |
| 4 Dividends and interest from securities                                                                                                            |  | 1,129,347.                         | 1,129,347.                |                         | Statement 1                                                 |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 724,833.                           |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                       |  |                                    |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV, line 2)                                                                                                    |  |                                    | 724,833.                  |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     |  |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 1,854,180.                         | 1,854,180.                |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc                                                                                               |  | 0.                                 | 0.                        |                         | 0.                                                          |
| 14 Other employee salaries and wages                                                                                                                |  | 191,617.                           | 0.                        |                         | 191,617.                                                    |
| 15 Pension plans, employee benefits                                                                                                                 |  | 56,997.                            | 0.                        |                         | 56,997.                                                     |
| 16a Legal fees Stmt 2                                                                                                                               |  | 240,000.                           | 120,000.                  |                         | 120,000.                                                    |
| b Accounting fees                                                                                                                                   |  |                                    |                           |                         |                                                             |
| c Other professional fees                                                                                                                           |  |                                    |                           |                         |                                                             |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes Stmt 3                                                                                                                                     |  | 49,927.                            | 15,775.                   |                         | 14,152.                                                     |
| 19 Depreciation and depletion                                                                                                                       |  |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                        |  | 151,226.                           | 0.                        |                         | 151,226.                                                    |
| 21 Travel, conferences, and meetings                                                                                                                |  | 1,200.                             | 0.                        |                         | 1,200.                                                      |
| 22 Printing and publications                                                                                                                        |  |                                    |                           |                         |                                                             |
| 23 Other expenses Stmt 4                                                                                                                            |  | 43,080.                            | 1,592.                    |                         | 41,488.                                                     |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             |  | 734,047.                           | 137,367.                  |                         | 576,680.                                                    |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 1,600,000.                         |                           |                         | 1,600,000.                                                  |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 2,334,047.                         | 137,367.                  |                         | 2,176,680.                                                  |
| 27 Subtract line 26 from line 12:                                                                                                                   |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | -479,867.                          |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 1,716,813.                |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |



SCANNED MAY 03 2013

**EMERALD FOUNDATION, INC.**  
**C/O SOLOMON BLUM HEYMANN**

Form 990-PF (2012)

13-3912580 Page 2

| <b>Part II Balance Sheets</b>      |                                                                                           | Attached schedules and amounts in the description column should be for end-of-year amounts only |             | Beginning of year | End of year    |                       |
|------------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|-------------|-------------------|----------------|-----------------------|
|                                    |                                                                                           |                                                                                                 |             | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1                                                                                         | Cash - non-interest-bearing                                                                     |             | 15,357.           | 28,593.        | 28,593.               |
|                                    | 2                                                                                         | Savings and temporary cash investments                                                          |             | 347,300.          | 298,764.       | 298,764.              |
|                                    | 3                                                                                         | Accounts receivable ▶                                                                           |             |                   |                |                       |
|                                    |                                                                                           | Less: allowance for doubtful accounts ▶                                                         |             |                   |                |                       |
|                                    | 4                                                                                         | Pledges receivable ▶                                                                            |             |                   |                |                       |
|                                    |                                                                                           | Less: allowance for doubtful accounts ▶                                                         |             |                   |                |                       |
|                                    | 5                                                                                         | Grants receivable                                                                               |             |                   |                |                       |
|                                    | 6                                                                                         | Receivables due from officers, directors, trustees, and other disqualified persons              |             |                   |                |                       |
|                                    | 7                                                                                         | Other notes and loans receivable ▶                                                              |             |                   |                |                       |
|                                    |                                                                                           | Less: allowance for doubtful accounts ▶                                                         |             |                   |                |                       |
|                                    | 8                                                                                         | Inventories for sale or use                                                                     |             |                   |                |                       |
|                                    | 9                                                                                         | Prepaid expenses and deferred charges                                                           |             |                   |                |                       |
|                                    | 10a                                                                                       | Investments - U.S. and state government obligations Stmt 5                                      |             | 838,939.          | 786,972.       | 860,639.              |
|                                    | b                                                                                         | Investments - corporate stock Stmt 6                                                            |             | 22,948,873.       | 24,800,922.    | 24,849,180.           |
|                                    | c                                                                                         | Investments - corporate bonds Stmt 7                                                            |             | 5,582,983.        | 3,338,334.     | 3,375,876.            |
| 11                                 | Investments - land, buildings, and equipment basis ▶                                      |                                                                                                 |             |                   |                |                       |
|                                    | Less accumulated depreciation ▶                                                           |                                                                                                 |             |                   |                |                       |
| 12                                 | Investments - mortgage loans                                                              |                                                                                                 |             |                   |                |                       |
| 13                                 | Investments - other                                                                       |                                                                                                 |             |                   |                |                       |
| 14                                 | Land, buildings, and equipment: basis ▶                                                   |                                                                                                 |             |                   |                |                       |
|                                    | Less accumulated depreciation ▶                                                           |                                                                                                 |             |                   |                |                       |
| 15                                 | Other assets (describe ▶)                                                                 |                                                                                                 |             |                   |                |                       |
| 16                                 | <b>Total assets (to be completed by all filers)</b>                                       |                                                                                                 | 29,733,452. | 29,253,585.       | 29,413,052.    |                       |
| <b>Liabilities</b>                 | 17                                                                                        | Accounts payable and accrued expenses                                                           |             |                   |                |                       |
|                                    | 18                                                                                        | Grants payable                                                                                  |             |                   |                |                       |
|                                    | 19                                                                                        | Deferred revenue                                                                                |             |                   |                |                       |
|                                    | 20                                                                                        | Loans from officers, directors, trustees, and other disqualified persons                        |             |                   |                |                       |
|                                    | 21                                                                                        | Mortgages and other notes payable                                                               |             |                   |                |                       |
|                                    | 22                                                                                        | Other liabilities (describe ▶)                                                                  |             |                   |                |                       |
| 23                                 | <b>Total liabilities (add lines 17 through 22)</b>                                        |                                                                                                 | 0.          | 0.                |                |                       |
| <b>Net Assets or Fund Balances</b> | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                                                                                                 |             |                   |                |                       |
|                                    | and complete lines 24 through 26 and lines 30 and 31.                                     |                                                                                                 |             |                   |                |                       |
|                                    | 24                                                                                        | Unrestricted                                                                                    |             |                   |                |                       |
|                                    | 25                                                                                        | Temporarily restricted                                                                          |             |                   |                |                       |
|                                    | 26                                                                                        | Permanently restricted                                                                          |             |                   |                |                       |
|                                    | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                                                                                                 |             |                   |                |                       |
|                                    | and complete lines 27 through 31.                                                         |                                                                                                 |             |                   |                |                       |
|                                    | 27                                                                                        | Capital stock, trust principal, or current funds                                                |             | 0.                | 0.             |                       |
| 28                                 | Paid-in or capital surplus, or land, bldg., and equipment fund                            |                                                                                                 | 0.          | 0.                |                |                       |
| 29                                 | Retained earnings, accumulated income, endowment, or other funds                          |                                                                                                 | 29,733,452. | 29,253,585.       |                |                       |
| 30                                 | <b>Total net assets or fund balances</b>                                                  |                                                                                                 | 29,733,452. | 29,253,585.       |                |                       |
| 31                                 | <b>Total liabilities and net assets/fund balances</b>                                     |                                                                                                 | 29,733,452. | 29,253,585.       |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                               |   |             |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 29,733,452. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | -479,867.   |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.          |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 29,253,585. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.          |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 29,253,585. |

## EMERALD FOUNDATION, INC.

Form 990-PF (2012)

C/O SOLOMON BLUM HEYMANN

13-3912580

Page 3

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                              |                                            |                                                 | (b) How acquired<br>P - Purchase<br>D - Donation                                                | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|--------------------------------------|----------------------------------|
| 1a See schedule attached                                                                                                                                                        |                                            |                                                 |                                                                                                 |                                      |                                  |
| b                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| c                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| d                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| e                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| (e) Gross sales price                                                                                                                                                           | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                      |                                  |
| a                                                                                                                                                                               |                                            |                                                 | 724,833.                                                                                        |                                      |                                  |
| b                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| c                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| d                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| e                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                     |                                            |                                                 | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                      |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                       | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                 |                                      |                                  |
| a                                                                                                                                                                               |                                            |                                                 | 724,833.                                                                                        |                                      |                                  |
| b                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| c                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| d                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| e                                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                      |                                  |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                             |                                            |                                                 | 2                                                                                               | 724,833.                             |                                  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                            |                                                 | 3                                                                                               | N/A                                  |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                           | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2011                                                                                                                                                                           | 2,167,899.                               | 32,681,652.                                  | .066334                                                     |
| 2010                                                                                                                                                                           | 2,115,081.                               | 32,610,732.                                  | .064858                                                     |
| 2009                                                                                                                                                                           | 1,937,740.                               | 29,395,426.                                  | .065920                                                     |
| 2008                                                                                                                                                                           | 1,460,934.                               | 21,509,883.                                  | .067919                                                     |
| 2007                                                                                                                                                                           | 1,313,799.                               | 19,924,406.                                  | .065939                                                     |
| 2 Total of line 1, column (d)                                                                                                                                                  |                                          |                                              | 2 .330970                                                   |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years |                                          |                                              | 3 .066194                                                   |
| 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5                                                                                                 |                                          |                                              | 4 30,076,654.                                               |
| 5 Multiply line 4 by line 3                                                                                                                                                    |                                          |                                              | 5 1,990,894.                                                |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   |                                          |                                              | 6 17,168.                                                   |
| 7 Add lines 5 and 6                                                                                                                                                            |                                          |                                              | 7 2,008,062.                                                |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         |                                          |                                              | 8 2,176,680.                                                |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

## EMERALD FOUNDATION, INC.

C/O SOLOMON BLUM HEYMANN

13-3912580

Page 4

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |         |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |         |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1       | 17,168. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |         |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2       | 0.      |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3       | 17,168. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4       | 0.      |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5       | 17,168. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |         |         |
| a 2012 estimated tax payments and 2011 overpayment credited to 2012                                                                                                                                                                    | 6a | 37,915. |         |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |         |         |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |         |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |         |         |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 37,915. |         |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |         |         |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |         |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 20,747. |         |
| 11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> 20,747. Refunded <input type="checkbox"/>                                                                                     | 11 | 0.      |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                    |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                     |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                             |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                                                                                          |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                            | 2   | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                               | 3   | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                             | 4a  | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                         | 4b  |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                      | 5   | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?       | 6   | X  |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                    | 7   | X  |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY                                                                                                                                                                                               |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                              | 8b  | X  |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                     | 9   | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                      | 10  | X  |

N/A

## EMERALD FOUNDATION, INC.

Form 990-PF (2012)

C/O SOLOMON BLUM HEYMANN

13-3912580

Page 5

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                   |    |     |    |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                           | 11 |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                          | 12 |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>N/A</u>                                                                                                                                                                               | 13 | X   |    |
| 14 | The books are in care of ► <u>SOLOMON BLUM HEYMANN, LLP</u> Telephone no. ► <u>212-267-7600</u><br>Located at ► <u>40 WALL STREET, 35TH FLR, NEW YORK, NY</u> ZIP+4 ► <u>10005</u>                                                                                                                                                |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                            | 15 | N/A |    |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► | 16 | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                   |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | 1b                                                                  | X  |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                                                                         | 1c                                                                  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                  |                                                                     |    |
| a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                 | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                       | N/A                                                                 | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) | N/A                                                                 | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a                                                                  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                               | 4b                                                                  | X  |

Form 990-PF (2012)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address           | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| MARIANNE GERSCHEL              | PRESIDENT                                                 |                                           |                                                                       |                                       |
| 780 THIRD AVENUE, 24TH FLR     |                                                           |                                           |                                                                       |                                       |
| NEW YORK, NY 10017             | 15.00                                                     | 0.                                        | 0.                                                                    | 0.                                    |
| ANDREW W. HEYMANN              | VP, DIRECTOR                                              |                                           |                                                                       |                                       |
| C/O SBH, 40 WALL ST., 35TH FLR |                                                           |                                           |                                                                       |                                       |
| NEW YORK, NY 10005             | 8.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
| ROBERT A. LADISLAW             | TREASURER, DIRECTOR                                       |                                           |                                                                       |                                       |
| C/O SBH, 40 WALL ST., 35TH FLR |                                                           |                                           |                                                                       |                                       |
| NEW YORK, NY 10005             | 6.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
|                                |                                                           |                                           |                                                                       |                                       |
|                                |                                                           |                                           |                                                                       |                                       |
|                                |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| Leonard J. Lamm                                               | Exec. V.P.                                                |                  |                                                                       |                                       |
| 425 E. 58th St., New York, NY 10022                           | 20.00                                                     | 34,092.          | 9,089.                                                                | 0.                                    |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0



EMERALD FOUNDATION, INC.  
C/O SOLOMON BLUM HEYMANN

Form 990-PF (2012)

13-3912580 Page 8

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |             |
|---|-------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |             |
| a | Average monthly fair market value of securities                                                             | 1a | 29,902,626. |
| b | Average of monthly cash balances                                                                            | 1b | 632,048.    |
| c | Fair market value of all other assets                                                                       | 1c |             |
| d | Total (add lines 1a, b, and c)                                                                              | 1d | 30,534,674. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 30,534,674. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 458,020.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 30,076,654. |
| 6 | Minimum investment return. Enter 5% of line 5                                                               | 6  | 1,503,833.  |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |            |
|----|----------------------------------------------------------------------------------------------------|----|------------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 1,503,833. |
| 2a | Tax on investment income for 2012 from Part VI, line 5                                             | 2a | 17,168.    |
| b  | Income tax for 2012. (This does not include the tax from Part VI.)                                 | 2b |            |
| c  | Add lines 2a and 2b                                                                                | 2c | 17,168.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 1,486,665. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.         |
| 5  | Add lines 3 and 4                                                                                  | 5  | 1,486,665. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.         |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 1,486,665. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 2,176,680. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |            |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 2,176,680. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 17,168.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 2,159,512. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2012 from Part XI, line 7                                                                                                                       |               |                            |             | 1,486,665.  |
| 2 Undistributed income, if any, as of the end of 2012                                                                                                                      |               |                            |             |             |
| a Enter amount for 2011 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2012:                                                                                                                         |               |                            |             |             |
| a From 2007                                                                                                                                                                | 357,077.      |                            |             |             |
| b From 2008                                                                                                                                                                | 398,566.      |                            |             |             |
| c From 2009                                                                                                                                                                | 536,497.      |                            |             |             |
| d From 2010                                                                                                                                                                | 504,649.      |                            |             |             |
| e From 2011                                                                                                                                                                | 567,720.      |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 2,364,509.    |                            |             |             |
| 4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$                                                                                                            | 2,176,680.    |                            |             |             |
| a Applied to 2011, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2012 distributable amount                                                                                                                                     |               |                            |             | 1,486,665.  |
| e Remaining amount distributed out of corpus                                                                                                                               | 690,015.      |                            |             |             |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 3,054,524.    |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7                                                                                                 | 357,077.      |                            |             |             |
| 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a                                                                                              | 2,697,447.    |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2008                                                                                                                                                         | 398,566.      |                            |             |             |
| b Excess from 2009                                                                                                                                                         | 536,497.      |                            |             |             |
| c Excess from 2010                                                                                                                                                         | 504,649.      |                            |             |             |
| d Excess from 2011                                                                                                                                                         | 567,720.      |                            |             |             |
| e Excess from 2012                                                                                                                                                         | 690,015.      |                            |             |             |

N/A

- 1

☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

Form **990-PF** (2012)

## EMERALD FOUNDATION, INC.

Form 990-PF (2012)

C/O SOLOMON BLUM HEYMANN

13-3912580 Page 11

**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount     |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|------------|
| <b>a</b> Paid during the year                    |                                                                                                                    |                                      |                                     |            |
| SEE SCHEDULE ATTACHED                            | NONE                                                                                                               | ALL PUBLIC<br>CHARITIES              |                                     | 1,600,000. |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3a</b>                           | 1,600,000. |
| <b>b</b> Approved for future payment             |                                                                                                                    |                                      |                                     |            |
| None                                             |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
|                                                  |                                                                                                                    |                                      |                                     |            |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3b</b>                           | 0.         |

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated.                      |  | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income |
|----------------------------------------------------------------------|--|---------------------------|---------------|--------------------------------------|---------------|---------------------------------------------|
|                                                                      |  | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                             |
| <b>1</b> Program service revenue:                                    |  |                           |               |                                      |               |                                             |
| a _____                                                              |  |                           |               |                                      |               |                                             |
| b _____                                                              |  |                           |               |                                      |               |                                             |
| c _____                                                              |  |                           |               |                                      |               |                                             |
| d _____                                                              |  |                           |               |                                      |               |                                             |
| e _____                                                              |  |                           |               |                                      |               |                                             |
| f _____                                                              |  |                           |               |                                      |               |                                             |
| g Fees and contracts from government agencies                        |  |                           |               |                                      |               |                                             |
| <b>2</b> Membership dues and assessments                             |  |                           |               |                                      |               |                                             |
| <b>3</b> Interest on savings and temporary cash<br>investments       |  |                           |               |                                      |               |                                             |
| <b>4</b> Dividends and interest from securities                      |  |                           |               | 14                                   | 1,129,347.    |                                             |
| <b>5</b> Net rental income or (loss) from real estate:               |  |                           |               |                                      |               |                                             |
| a Debt-financed property                                             |  |                           |               |                                      |               |                                             |
| b Not debt-financed property                                         |  |                           |               |                                      |               |                                             |
| <b>6</b> Net rental income or (loss) from personal<br>property       |  |                           |               |                                      |               |                                             |
| <b>7</b> Other investment income                                     |  |                           |               |                                      |               |                                             |
| <b>8</b> Gain or (loss) from sales of assets other<br>than inventory |  |                           |               | 18                                   | 724,833.      |                                             |
| <b>9</b> Net income or (loss) from special events                    |  |                           |               |                                      |               |                                             |
| <b>10</b> Gross profit or (loss) from sales of inventory             |  |                           |               |                                      |               |                                             |
| <b>11</b> Other revenue:                                             |  |                           |               |                                      |               |                                             |
| a _____                                                              |  |                           |               |                                      |               |                                             |
| b _____                                                              |  |                           |               |                                      |               |                                             |
| c _____                                                              |  |                           |               |                                      |               |                                             |
| d _____                                                              |  |                           |               |                                      |               |                                             |
| e _____                                                              |  |                           |               |                                      |               |                                             |
| <b>12</b> Subtotal. Add columns (b), (d), and (e)                    |  |                           | 0.            |                                      | 1,854,180.    | 0.                                          |
| <b>13</b> Total. Add line 12, columns (b), (d), and (e)              |  |                           |               |                                      | 1,854,180.    | 13                                          |

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

|          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes   | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                                      |       |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |       |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     | 1a(1) | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             | 1a(2) | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |       |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   | 1b(1) | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             | 1b(2) | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         | 1b(3) | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               | 1b(4) | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 | 1b(5) | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       | 1b(6) | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             | 1c    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

| b If "Yes," complete the following schedule. |                          |                                 |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
| N/A                                          |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign Here**   

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

|                                       |                                                                      |                                                |                        |                                                 |                          |
|---------------------------------------|----------------------------------------------------------------------|------------------------------------------------|------------------------|-------------------------------------------------|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name<br><b>William L. Blum</b>                 | Preparer's signature<br><i>William L. Blum</i> | Date<br><b>4/17/13</b> | Check <input type="checkbox"/> if self-employed | PTIN<br><b>P00445589</b> |
|                                       | Firm's name ▶ <b>Solomon Blum Heymann, LLP</b>                       |                                                |                        | Firm's EIN ▶ <b>84-1347164</b>                  |                          |
|                                       | Firm's address ▶ <b>40 Wall St., 35th Flr<br/>New York, NY 10005</b> |                                                |                        | Phone no. <b>212-267-7600</b>                   |                          |

|             |                                        |           |   |
|-------------|----------------------------------------|-----------|---|
| Form 990-PF | Dividends and Interest from Securities | Statement | 1 |
|-------------|----------------------------------------|-----------|---|

| Source                                 | Gross Amount | Capital Gains Dividends | Column (A) Amount |
|----------------------------------------|--------------|-------------------------|-------------------|
| Dividends and interest from securities | 1,129,347.   | 0.                      | 1,129,347.        |
| Total to Fm 990-PF, Part I, ln 4       | 1,129,347.   | 0.                      | 1,129,347.        |

|             |            |           |   |
|-------------|------------|-----------|---|
| Form 990-PF | Legal Fees | Statement | 2 |
|-------------|------------|-----------|---|

| Description                | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL AND ACCOUNTING FEES  | 240,000.                     | 120,000.                          |                               | 120,000.                      |
| To Fm 990-PF, Pg 1, ln 16a | 240,000.                     | 120,000.                          |                               | 120,000.                      |

|             |       |           |   |
|-------------|-------|-----------|---|
| Form 990-PF | Taxes | Statement | 3 |
|-------------|-------|-----------|---|

| Description                 | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PAYROLL TAXES               | 14,152.                      | 0.                                |                               | 14,152.                       |
| FOREIGN TAXES               | 15,775.                      | 15,775.                           |                               | 0.                            |
| FEDERAL EXCISE TAX          | 20,000.                      | 0.                                |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 18 | 49,927.                      | 15,775.                           |                               | 14,152.                       |

|             |                |           |   |
|-------------|----------------|-----------|---|
| Form 990-PF | Other Expenses | Statement | 4 |
|-------------|----------------|-----------|---|

| Description                | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| SUBSCRIPTIONS              | 595.                         | 0.                                |                               | 595.                          |
| FILING FEES                | 771.                         | 193.                              |                               | 578.                          |
| INSURANCE                  | 6,261.                       | 1,384.                            |                               | 4,877.                        |
| OFFICE SUPPLIES & EXPENSES | 8,907.                       | 0.                                |                               | 8,907.                        |
| TELEPHONE AND INTERNET     | 2,504.                       | 0.                                |                               | 2,504.                        |

|                                |         |        |         |
|--------------------------------|---------|--------|---------|
| POSTAGE AND DELIVERY           | 1,040.  | 0.     | 1,040.  |
| COMPUTER SUPPLIES AND EXPENSES | 11,599. | 0.     | 11,599. |
| PAYROLL PROCESSING             | 2,471.  | 0.     | 2,471.  |
| REPAIRS AND MAINTENANCE        | 8,917.  | 0.     | 8,917.  |
| SECURITIES ACCOUNT FEES        | 15.     | 15.    | 0.      |
| To Form 990-PF, Pg 1, ln 23    | 43,080. | 1,592. | 41,488. |

|             |                                            |           |   |
|-------------|--------------------------------------------|-----------|---|
| Form 990-PF | U.S. and State/City Government Obligations | Statement | 5 |
|-------------|--------------------------------------------|-----------|---|

| Description                                      | U.S.<br>Gov't | Other<br>Gov't | Book Value | Fair Market<br>Value |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| US GOVERNMENT OBLIGATIONS                        | X             |                | 786,972.   | 860,639.             |
| Total U.S. Government Obligations                |               |                | 786,972.   | 860,639.             |
| Total State and Municipal Government Obligations |               |                |            |                      |
| Total to Form 990-PF, Part II, line 10a          |               |                | 786,972.   | 860,639.             |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Stock | Statement | 6 |
|-------------|-----------------|-----------|---|

| Description                             | Book Value  | Fair Market<br>Value |
|-----------------------------------------|-------------|----------------------|
| CORPORATE STOCK                         | 24,800,922. | 24,849,180.          |
| Total to Form 990-PF, Part II, line 10b | 24,800,922. | 24,849,180.          |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Bonds | Statement | 7 |
|-------------|-----------------|-----------|---|

| Description                             | Book Value | Fair Market<br>Value |
|-----------------------------------------|------------|----------------------|
| CORPORATE BONDS AND BANK CD'S           | 3,338,334. | 3,375,876.           |
| Total to Form 990-PF, Part II, line 10c | 3,338,334. | 3,375,876.           |

| 2012          |                           | Name of Security                         | Inventory Beginning of Period |                  | Purchases or Other Acquisitions |                  | Sales or Other Dispositions |           |              |                           | Inventory End of Period   |              |  |
|---------------|---------------------------|------------------------------------------|-------------------------------|------------------|---------------------------------|------------------|-----------------------------|-----------|--------------|---------------------------|---------------------------|--------------|--|
| Date Acquired | No of Shares or Principal |                                          | Cost or Acquisition Value     | Number of Shares | Total Cost                      | Number of Shares | Selling Price               | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |  |
|               |                           | <i>Equities</i>                          |                               |                  |                                 |                  |                             |           |              |                           |                           |              |  |
| 3/25/2010     |                           | Abbott Laboratories                      | 1,500                         | 81,608           |                                 |                  | 2,500                       | 138,886   | 3/12/2012    | 4,110                     | -                         | -            |  |
| 4/7/2010      |                           |                                          | 1,000                         | 53,168           |                                 |                  |                             |           |              |                           |                           |              |  |
| 5/13/2010     |                           |                                          | 1,000                         | 50,005           |                                 |                  |                             |           |              |                           |                           |              |  |
| 1/6/2011      |                           |                                          | 1,000                         | 48,724           |                                 |                  | 1,000                       | 59,549    | 3/28/2012    | 10,825                    | -                         | -            |  |
| 1/10/2011     |                           |                                          | 500                           | 24,518           |                                 |                  | 1,500                       | 87,191    | 3/16/2012    | 12,668                    | -                         | -            |  |
| 2/7/2011      |                           |                                          | 1,000                         | 45,598           |                                 |                  |                             |           |              |                           | 1,000                     | 45,598       |  |
| 2/11/2011     |                           |                                          | 1,000                         | 45,967           |                                 |                  |                             |           |              |                           | 1,000                     | 45,967       |  |
| 2/17/2011     |                           |                                          | 500                           | 23,270           |                                 |                  |                             |           |              |                           | 500                       | 23,270       |  |
|               |                           |                                          |                               |                  |                                 |                  |                             |           |              |                           |                           | 163,750      |  |
| 9/13/2011     |                           | Achillion Pharmaceuticals                | 2,500                         | 16,464           |                                 |                  | 2,500                       | 23,180    | 2/17/2012    | 6,716                     | -                         | -            |  |
| 12/15/2011    |                           |                                          | 2,500                         | 19,105           |                                 |                  | 2,500                       | 26,022    | 1/27/2012    | 6,917                     | -                         | -            |  |
| 1/9/2012      |                           |                                          |                               |                  | 2,500                           | 20,208           | 2,500                       | 26,431    | 1/23/2012    | 6,223                     | -                         | -            |  |
| 5/24/2012     |                           |                                          |                               |                  | 1,500                           | 11,127           |                             |           |              |                           | 1,500                     | 11,127       |  |
| 6/22/2012     |                           |                                          |                               |                  | 1,000                           | 6,691            |                             |           |              |                           | 1,000                     | 6,691        |  |
| 8/7/2012      |                           |                                          |                               |                  | 2,500                           | 17,146           |                             |           |              |                           | 2,500                     | 17,146       |  |
| 8/13/2012     |                           |                                          |                               |                  | 2,500                           | 18,014           |                             |           |              |                           | 2,500                     | 18,014       |  |
| 9/7/2012      |                           |                                          |                               |                  | 1,000                           | 7,399            |                             |           |              |                           | -                         | -            |  |
|               |                           |                                          |                               |                  | 10                              | 405              | 10                          | 1,325     | 9/26/2012    | 919                       | -                         | -            |  |
| 11/19/2012    |                           | Achillion Pharmaceuticals Call (3/16/13) |                               |                  |                                 |                  |                             |           |              |                           |                           | 60,075       |  |
| 10/28/1999    |                           | Alcanel-Lucant (formerly Lucent)         | 19                            | 4,942            |                                 |                  |                             |           |              |                           |                           |              |  |
| 1/13/2000     |                           |                                          | 20                            | 4,732            |                                 |                  |                             |           |              |                           |                           |              |  |
| 8/1/2000      |                           |                                          | 20                            | 3,939            |                                 |                  |                             |           |              |                           |                           |              |  |
| 8/14/2000     |                           |                                          | 20                            | 3,412            |                                 |                  |                             |           |              |                           |                           |              |  |
| 9/21/2000     |                           |                                          | 20                            | 3,112            |                                 |                  |                             |           |              |                           |                           |              |  |
| 2001          |                           | Contribution                             | 975                           | 32,289           |                                 |                  | 1,072                       | 1,132     | 10/22/2012   | (51,294)                  | 0                         | -            |  |
| 11/25/2009    |                           | Alcoa, Inc                               | 1,000                         | 13,376           |                                 |                  |                             |           |              |                           |                           |              |  |
| 12/7/2009     |                           |                                          | 1,000                         | 13,467           |                                 |                  |                             |           |              |                           |                           |              |  |
| 12/24/2009    |                           |                                          | 1,000                         | 15,787           |                                 |                  |                             |           |              |                           |                           |              |  |
| 2/22/2010     |                           |                                          | 1,000                         | 13,851           |                                 |                  |                             |           |              |                           |                           |              |  |
| 3/2/2010      |                           |                                          | 1,500                         | 20,159           |                                 |                  | 5,000                       | 41,500    | 7/18/2012    | (28,420)                  | 500                       | 6,720        |  |
| 4/23/2010     |                           |                                          | 1,000                         | 14,033           |                                 |                  |                             |           |              |                           | 1,000                     | 14,033       |  |
| 4/28/2010     |                           |                                          | 1,500                         | 21,270           |                                 |                  |                             |           |              |                           | 1,500                     | 21,270       |  |
| 9/13/2010     |                           |                                          | 2,000                         | 22,738           |                                 |                  |                             |           |              |                           | 2,000                     | 22,738       |  |
|               |                           |                                          |                               |                  |                                 |                  |                             |           |              |                           |                           | 43,400       |  |
| 4/11/2003     |                           | Altra Group, Inc                         | 1,000                         | 13,514           |                                 |                  |                             |           |              |                           | 1,000                     | 13,514       |  |
| 5/15/2003     |                           |                                          | 1,500                         | 21,821           |                                 |                  |                             |           |              |                           | 1,500                     |              |  |

Emerald Foundation, Inc.  
Securities Schedule

| Date Acquired |  | Name of Security                                                         | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           |              | Inventory End of Period   |                           |              |
|---------------|--|--------------------------------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|--------------|---------------------------|---------------------------|--------------|
|               |  |                                                                          | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 6/11/2008     |  | América Móvil (Exchange for Telmex)                                      | 932                           | 9,796                     |                                 |            |                             |               |           |              |                           |                           |              |
| 7/7/2011      |  | Telmex Internacional SAB De CV Ser L (Spin-off from Telefonos de Mexico) | 932                           | 9,796                     |                                 |            |                             |               |           |              |                           |                           |              |
| 8/1/2011      |  | Stock Split                                                              | 636                           | 16,788                    |                                 |            | 2,500                       | 63.140        | 10/2/2012 | 26,759       | -                         | -                         |              |
| 11/20/2007    |  | American International Group, Inc                                        | 50                            | 57,399                    |                                 |            |                             |               |           |              | 50                        | 57,399                    |              |
| 1/28/2008     |  | (1-20 reverse split on 7/1/09)                                           | 25                            | 26,900                    |                                 |            |                             |               |           |              | 25                        | 26,900                    |              |
| 2/5/2008      |  |                                                                          | 25                            | 27,666                    |                                 |            |                             |               |           |              | 25                        | 27,666                    |              |
| 2/12/2008     |  | Bequest                                                                  | 125                           | 115,350                   |                                 |            |                             |               |           |              | 125                       | 115,350                   |              |
| 2/22/2008     |  |                                                                          | 25                            | 24,091                    |                                 |            |                             |               |           |              | 25                        | 24,091                    |              |
| 10/8/2008     |  |                                                                          | 125                           | 12,255                    |                                 |            |                             |               |           |              | 125                       | 12,255                    |              |
| 5/17/2011     |  |                                                                          | 1,000                         | 31,116                    |                                 |            |                             |               |           |              | 1,000                     | 31,116                    |              |
| 9/14/2012     |  |                                                                          |                               |                           | 2,500                           | 81,250     |                             |               |           |              | 2,500                     | 81,250                    | 136,788      |
| 6/9/2011      |  | Apple, Inc                                                               | 100                           | 34,784                    |                                 |            |                             |               |           |              | 100                       | 34,784                    |              |
| 6/24/2011     |  |                                                                          | 100                           | 32,423                    |                                 |            |                             |               |           |              | 100                       | 32,423                    |              |
| 10/24/2011    |  |                                                                          | 100                           | 40,442                    |                                 |            |                             |               |           |              | 100                       | 40,442                    |              |
| 11/29/2011    |  |                                                                          | 100                           | 37,074                    |                                 |            |                             |               |           |              | 100                       | 37,074                    | 212,869      |
| 6/1/2012      |  | Apple, Inc (Call) (closed contract)                                      |                               |                           | 1                               | 205        |                             | 1             | 4/2/2012  | 960          | -                         | -                         |              |
| 8/10/2012     |  | closed contract                                                          |                               |                           | 1                               | 655        |                             | 1             | 6/1/2012  | 455          | -                         | -                         |              |
| 9/12/2012     |  | closed contract                                                          |                               |                           | 1                               | 735        |                             | 1             | 8/21/2012 | 240          | -                         | -                         |              |
| 3/21/2011     |  | Archer-Daniels-Midland Co                                                | 2,500                         | 87,345                    |                                 |            |                             |               |           |              | 2,500                     | 87,345                    |              |
| 3/28/2011     |  |                                                                          | 1,000                         | 36,500                    |                                 |            |                             |               |           |              | 1,000                     | 36,500                    |              |
| 4/8/2011      |  |                                                                          | 1,500                         | 55,815                    |                                 |            |                             |               |           |              | 1,500                     | 55,815                    | 136,950      |
| 1/14/2004     |  | AT&T, Inc                                                                | 304                           | 6,429                     |                                 |            |                             |               |           |              |                           |                           |              |
| 11/21/2005    |  |                                                                          | 1,325                         | 26,972                    |                                 |            |                             |               |           |              |                           |                           |              |
| 11/22/2005    |  |                                                                          | 1,325                         | 27,128                    |                                 |            |                             |               |           |              |                           |                           |              |
| 11/29/2005    |  |                                                                          | 1,325                         | 27,894                    |                                 |            | 2,500                       | 74,959        | 1/26/2012 | 23,726       | 454                       | 9,295                     |              |
| 1/12/2006     |  |                                                                          | 1,721                         | 43,203                    |                                 |            |                             |               |           |              | 1,325                     | 27,894                    |              |
| 2/12/2008     |  | Bequest                                                                  | 1,500                         | 56,265                    |                                 |            |                             |               |           |              | 1,721                     | 43,203                    |              |
| 5/16/2008     |  |                                                                          | 1,000                         | 40,046                    |                                 |            |                             |               |           |              | 1,500                     | 56,265                    |              |
| 8/27/2008     |  |                                                                          | 1,500                         | 47,410                    |                                 |            | 1,500                       | 52,780        | 6/19/2012 | 5,370        | 1,000                     | 40,046                    |              |
| 9/4/2009      |  |                                                                          | 1,000                         | 26,437                    |                                 |            |                             |               |           |              | -                         | -                         |              |
| 10/9/2009     |  |                                                                          | 1,500                         | 40,896                    |                                 |            |                             |               |           |              | 1,000                     | 26,437                    |              |
| 3/30/2010     |  |                                                                          | 2,500                         | 66,746                    |                                 |            |                             |               |           |              | 1,500                     | 40,896                    |              |
| 5/28/2010     |  |                                                                          | 2,500                         | 60,616                    |                                 |            |                             |               |           |              | 2,500                     | 66,746                    |              |
| 6/15/2010     |  |                                                                          | 1,500                         | 38,739                    |                                 |            |                             |               |           |              | 2,500                     | 60,616                    |              |
| 7/30/2010     |  |                                                                          | 1,000                         | 26,696                    |                                 |            | 1,000                       | 34,652        | 7/16/2012 | 7,956        | 1,500                     | 38,739                    | 505,650      |
| 12/10/2009    |  | Astrazenca PLC                                                           | 1,500                         | 70,435                    |                                 |            |                             |               |           |              | -                         | -                         |              |
| 12/18/2009    |  |                                                                          | 100                           | 4,679                     |                                 |            |                             |               |           |              |                           |                           |              |
| 12/24/2009    |  |                                                                          | 900                           | 41,549                    |                                 |            |                             |               |           |              |                           |                           |              |
| 1/14/2010     |  |                                                                          | 1,000                         | 47,931                    |                                 |            | 3,500                       | 164,921       | 8/24/2012 | 328          | -                         | 0                         |              |
| 2/8/2010      |  |                                                                          | 500                           | 23,065                    |                                 |            |                             |               |           |              | 500                       | 23,065                    |              |
| 2/5/2010      |  |                                                                          | 1,000                         | 43,581                    |                                 |            |                             |               |           |              | 1,000                     | 43,581                    |              |
| 3/28/2012     |  |                                                                          |                               |                           | 1,000                           | 45,834     |                             |               |           |              | 1,000                     | 45,834                    | 118,175      |

Emerald Foundation, Inc  
Securities Schedule

| Date Acquired |  | Name of Security                           | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           | Inventory End of Period |                           |                           |              |
|---------------|--|--------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|-------------------------|---------------------------|---------------------------|--------------|
|               |  |                                            | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss            | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 10/12/2012    |  | Avon Products, Inc                         |                               |                           |                                 | 17,914     |                             |               |           |                         | 1,000                     | 17,914                    |              |
| 10/15/2012    |  |                                            |                               |                           |                                 | 17,571     |                             |               |           |                         | 1,000                     | 17,571                    |              |
| 10/29/2012    |  |                                            |                               |                           |                                 | 16,242     |                             |               |           |                         | 1,000                     | 16,242                    |              |
| 11/14/2012    |  |                                            |                               |                           |                                 | 15,044     |                             |               |           |                         | 1,000                     | 15,044                    |              |
| 11/29/2012    |  |                                            |                               |                           |                                 | 14,635     |                             |               |           |                         | 1,000                     | 14,635                    | 71,800       |
| 9/12/2011     |  | Bank New York Mellon Corp                  |                               |                           |                                 |            |                             |               |           |                         | 1,500                     | 30,721                    |              |
| 9/28/2011     |  |                                            | 1,500                         | 30,721                    |                                 |            |                             |               |           |                         | 1,000                     | 18,972                    |              |
| 10/21/2011    |  |                                            | 1,500                         | 29,442                    |                                 |            |                             |               |           |                         | 1,500                     | 29,442                    |              |
| 10/26/2011    |  |                                            | 1,000                         | 21,094                    |                                 |            |                             |               |           |                         | 1,000                     | 21,094                    |              |
| 10/27/2011    |  |                                            | 2,500                         | 53,052                    |                                 |            |                             |               |           |                         | 2,500                     | 53,052                    |              |
| 1/9/2012      |  |                                            |                               |                           | 2,500                           | 51,396     |                             |               |           |                         | 2,500                     | 51,396                    | 257,000      |
| 7/23/2012     |  | Baxter International, Inc                  |                               |                           | 1,000                           | 56,287     |                             |               |           |                         | 1,000                     | 56,287                    | 66,660       |
| 3/12/2009     |  | Berkshire Hathaway, Inc CL A               | 2                             | 146,401                   |                                 |            |                             |               |           |                         | 2                         | 146,401                   | 268,120      |
| 8/16/2012     |  | Berkshire Hathaway, Inc CL B               |                               |                           | 1,000                           | 85,436     |                             |               |           |                         | 1,000                     | 85,436                    | 89,700       |
| 8/29/2007     |  | BGC Partners, Inc CL A (/k/a Espeed, Inc ) |                               |                           |                                 |            |                             |               |           |                         |                           |                           |              |
| 2/12/2008     |  | Bequest                                    | 500                           | 4,187                     |                                 |            |                             |               |           |                         | 500                       | 4,187                     |              |
| 11/13/2008    |  |                                            | 2,500                         | 29,775                    |                                 |            |                             |               |           |                         | 2,500                     | 29,775                    |              |
| 1/8/2009      |  |                                            | 2,500                         | 9,475                     |                                 |            |                             |               |           |                         | 2,500                     | 9,475                     |              |
| 1/28/2009     |  |                                            | 1,000                         | 8,108                     |                                 |            |                             |               |           |                         | 2,500                     | 8,108                     |              |
| 2/12/2009     |  |                                            | 1,500                         | 2,636                     |                                 |            |                             |               |           |                         | 1,000                     | 2,636                     |              |
| 2/18/2009     |  |                                            | 2,500                         | 3,905                     |                                 |            |                             |               |           |                         | 1,500                     | 3,905                     |              |
| 3/12/2009     |  |                                            | 10,000                        | 6,349                     |                                 |            |                             |               |           |                         | 2,500                     | 6,349                     |              |
| 3/24/2009     |  |                                            | 500                           | 16,806                    |                                 |            |                             |               |           |                         | 10,000                    | 16,806                    |              |
| 3/24/2009     |  |                                            | 100                           | 1,326                     |                                 |            |                             |               |           |                         | 500                       | 1,326                     |              |
| 3/24/2009     |  |                                            | 400                           | 316                       |                                 |            |                             |               |           |                         | 100                       | 316                       |              |
| 3/31/2009     |  |                                            | 4,000                         | 1,157                     |                                 |            |                             |               |           |                         | 400                       | 1,157                     |              |
| 8/12/2009     |  |                                            | 5,000                         | 11,841                    |                                 |            |                             |               |           |                         | 4,000                     | 11,841                    |              |
| 11/6/2009     |  |                                            | 3,000                         | 23,845                    |                                 |            |                             |               |           |                         | 5,000                     | 23,845                    |              |
| 10/13/2009    |  |                                            | 2,000                         | 14,222                    |                                 |            |                             |               |           |                         | 3,000                     | 14,222                    |              |
| 12/23/2009    |  |                                            | 2,500                         | 10,592                    |                                 |            |                             |               |           |                         | 2,000                     | 10,592                    |              |
| 12/24/2009    |  |                                            | 2,500                         | 11,001                    |                                 |            |                             |               |           |                         | 2,500                     | 11,001                    |              |
| 2/5/2010      |  |                                            | 2,500                         | 11,327                    |                                 |            |                             |               |           |                         | 2,500                     | 11,327                    |              |
| 2/26/2010     |  |                                            | 1                             | 10,292                    |                                 |            |                             |               |           |                         | 2,500                     | 10,292                    |              |
| 3/1/2010      |  |                                            | 1                             | 54                        |                                 |            |                             |               |           |                         | 1                         | 54                        |              |
| 5/25/2010     |  |                                            | 2,500                         | 11,582                    |                                 |            |                             |               |           |                         | 2,500                     | 11,582                    |              |
| 6/15/2010     |  |                                            | 1,999                         | 12,286                    |                                 |            |                             |               |           |                         | 1,999                     | 12,286                    |              |
| 6/18/2010     |  |                                            | 2,500                         | 13,984                    |                                 |            |                             |               |           |                         | 2,500                     | 13,984                    |              |
| 7/27/2010     |  |                                            | 2,500                         | 14,565                    |                                 |            |                             |               |           |                         | 2,500                     | 14,565                    |              |
| 9/16/2010     |  |                                            | 2,500                         | 12,440                    |                                 |            |                             |               |           |                         | 2,500                     | 12,440                    |              |
| 10/8/2010     |  |                                            | 2,500                         | 14,416                    |                                 |            |                             |               |           |                         | 2,500                     | 14,416                    |              |
| 10/8/2010     |  |                                            | 3,200                         | 20,544                    |                                 |            |                             |               |           |                         | 3,200                     | 20,544                    |              |
| 10/14/2010    |  |                                            | 5,000                         | 33,206                    |                                 |            |                             |               |           |                         | 5,000                     | 33,206                    |              |
| 10/14/2010    |  |                                            | 1,800                         | 12,253                    |                                 |            |                             |               |           |                         | 1,800                     | 12,253                    |              |
| 10/26/2010    |  |                                            | 2,500                         | 17,964                    |                                 |            |                             |               |           |                         | 2,500                     | 17,964                    |              |
| 12/16/2010    |  |                                            | 2,500                         | 22,228                    |                                 |            |                             |               |           |                         | 2,500                     | 22,228                    |              |
| 5/10/2011     |  |                                            | 5,000                         | 46,600                    |                                 |            |                             |               |           |                         | 5,000                     | 46,600                    |              |

| Inventory Beginning | Purchases or | Sales or | Inventory End |
|---------------------|--------------|----------|---------------|
|---------------------|--------------|----------|---------------|

| Emerald Foundation, Inc<br>Securities Schedule |                  |                                 | Inventory Beginning<br>of Period |                        | Purchases or<br>Other Acquisitions |                        | Sales or<br>Other Dispositions |              |                 |                                 | Inventory End<br>of Period      |                 |         |
|------------------------------------------------|------------------|---------------------------------|----------------------------------|------------------------|------------------------------------|------------------------|--------------------------------|--------------|-----------------|---------------------------------|---------------------------------|-----------------|---------|
| Date<br>Acquired                               | Name of Security | No of<br>Shares<br>or Principal | Cost or<br>Acquisition<br>Value  | Number<br>of<br>Shares | Total<br>Cost                      | Number<br>of<br>Shares | Selling<br>Price               | Date<br>Sold | Gain or<br>Loss | No of<br>Shares<br>or Principal | Cost or<br>Acquisition<br>Value | Market<br>Value |         |
| 5/3/2011                                       |                  | 2,000                           | 18,425                           |                        |                                    |                        |                                |              |                 | 2,000                           | 18,425                          |                 |         |
| 5/25/2011                                      |                  | 3,000                           | 24,066                           |                        |                                    |                        |                                |              |                 |                                 | 3,000                           | 24,066          |         |
| 5/26/2011                                      |                  | 1,000                           | 8,183                            |                        |                                    |                        |                                |              |                 |                                 | 1,000                           | 8,183           |         |
| 5/31/2011                                      |                  | 4,000                           | 33,146                           |                        |                                    |                        |                                |              |                 | 4,000                           | 33,146                          |                 |         |
| 6/8/2011                                       |                  | 2,500                           | 20,069                           |                        |                                    |                        |                                |              |                 | 2,500                           | 20,069                          |                 |         |
| 6/10/2011                                      |                  | 2,500                           | 19,536                           |                        |                                    |                        |                                |              |                 | 2,500                           | 19,536                          |                 |         |
| 8/5/2011                                       |                  | 2,500                           | 20,221                           |                        |                                    |                        |                                |              |                 | 2,500                           | 20,221                          |                 |         |
| 8/8/2011                                       |                  | 2,500                           | 19,848                           |                        |                                    |                        |                                |              |                 | 2,500                           | 19,848                          |                 |         |
| 9/27/2011                                      |                  | 2,500                           | 15,958                           |                        |                                    |                        |                                |              |                 | 2,500                           | 15,958                          |                 |         |
| 9/28/2011                                      |                  | 2,500                           | 15,908                           |                        |                                    |                        |                                |              |                 | 2,500                           | 15,908                          |                 |         |
| 11/1/2011                                      |                  | 5,000                           | 36,800                           |                        |                                    |                        |                                |              |                 | 5,000                           | 36,800                          |                 |         |
| 12/7/2011                                      |                  | 5,000                           | 32,355                           |                        |                                    |                        |                                |              |                 | 5,000                           | 32,355                          |                 |         |
| 12/16/2011                                     |                  | 2,500                           | 14,990                           |                        |                                    |                        |                                |              |                 | 2,500                           | 14,990                          |                 |         |
| 12/19/2011                                     |                  | 2,500                           | 14,893                           |                        |                                    |                        |                                |              |                 | 2,500                           | 14,893                          |                 |         |
| 12/21/2011                                     |                  | 2,500                           | 14,281                           |                        |                                    |                        |                                |              |                 | 2,500                           | 14,281                          |                 |         |
| 12/23/2011                                     |                  | 2,500                           | 14,690                           |                        |                                    |                        |                                |              |                 | 2,500                           | 14,690                          |                 |         |
| 6/9/2012                                       |                  |                                 |                                  | 2,500                  | 15,680                             |                        |                                |              |                 |                                 |                                 |                 |         |
| 7/9/2012                                       |                  |                                 |                                  | 2,500                  | 15,981                             |                        |                                |              |                 |                                 |                                 |                 |         |
| 9/7/2012                                       |                  |                                 |                                  | 5,000                  | 23,439                             |                        |                                |              |                 |                                 |                                 |                 |         |
| 9/7/2012                                       |                  |                                 |                                  | 5,000                  | 23,070                             |                        |                                |              |                 |                                 |                                 |                 |         |
| 9/7/2012                                       |                  |                                 |                                  | 5,000                  | 23,315                             |                        |                                |              |                 |                                 |                                 |                 |         |
| 12/7/2012                                      |                  |                                 |                                  | 5,000                  | 18,193                             |                        |                                |              |                 |                                 |                                 |                 |         |
| 1/31/2011                                      | Boeing Co        | 1,000                           | 70,661                           |                        |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
| 2/18/2011                                      |                  | 1,000                           | 72,568                           |                        |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
| 2/24/2011                                      |                  | 500                             | 37,217                           |                        |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
| 3/18/2011                                      |                  | 500                             | 34,808                           |                        |                                    | 3,000                  | 223,781                        | 1/24/2012    | 8,526           | -                               | 0                               |                 |         |
| 2/9/2009                                       | BP PLC           |                                 |                                  |                        |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
| 3/3/2009                                       |                  | 500                             | 22,162                           |                        |                                    |                        |                                |              |                 | 500                             | 22,162                          |                 |         |
| 3/13/2009                                      |                  | 500                             | 20,333                           |                        |                                    |                        |                                |              |                 | 500                             | 20,333                          |                 |         |
| 2/9/2010                                       |                  | 500                             | 18,436                           |                        |                                    |                        |                                |              |                 | 500                             | 18,436                          |                 |         |
| 5/26/2010                                      |                  | 1,000                           | 55,362                           |                        |                                    |                        |                                |              |                 | 1,000                           | 55,362                          |                 |         |
| 5/28/2010                                      |                  | 1,000                           | 44,293                           |                        |                                    |                        |                                |              |                 | 1,000                           | 44,293                          |                 |         |
| 6/1/2010                                       |                  | 500                             | 21,456                           |                        |                                    |                        |                                |              |                 | 500                             | 21,456                          |                 |         |
| 6/1/2010                                       |                  | 1,000                           | 43,590                           |                        |                                    |                        |                                |              |                 | 1,000                           | 43,590                          |                 |         |
| 6/2/2010                                       |                  | 500                             | 22,904                           |                        |                                    |                        |                                |              |                 | 500                             | 22,904                          |                 |         |
| 6/4/2010                                       |                  | 1,000                           | 36,910                           |                        |                                    |                        |                                |              |                 | 1,000                           | 36,910                          |                 |         |
| 6/7/2010                                       |                  | 1,000                           | 37,626                           |                        |                                    |                        |                                |              |                 | 1,000                           | 37,626                          |                 |         |
| 8/5/2010                                       |                  | 1,000                           | 39,842                           |                        |                                    |                        |                                |              |                 | 1,000                           | 39,842                          |                 |         |
| 8/10/2010                                      |                  | 1,500                           | 61,272                           |                        |                                    |                        |                                |              |                 | 1,500                           | 61,272                          |                 |         |
| 8/13/2010                                      |                  | 1,000                           | 40,625                           |                        |                                    |                        |                                |              |                 | 1,000                           | 40,625                          |                 |         |
| 8/19/2010                                      |                  | 1,000                           | 38,877                           |                        |                                    |                        |                                |              |                 | 1,000                           | 38,877                          |                 |         |
| 8/27/2010                                      |                  | 1,000                           | 35,546                           |                        |                                    |                        |                                |              |                 | 1,000                           | 35,546                          |                 |         |
| 11/23/2010                                     |                  | 1,000                           | 42,604                           |                        |                                    |                        |                                |              |                 | 1,000                           | 42,604                          |                 |         |
| 12/3/2010                                      |                  | 1,000                           | 40,626                           |                        |                                    |                        |                                |              |                 | 1,000                           | 40,626                          |                 |         |
| 12/9/2010                                      |                  | 1,000                           | 42,962                           |                        |                                    |                        |                                |              |                 | 1,000                           | 42,962                          |                 |         |
| 12/9/2010                                      |                  | 1,500                           | 72,587                           |                        |                                    |                        |                                |              |                 | 1,500                           | 72,587                          |                 |         |
| 1/20/2011                                      |                  | 2,500                           | 118,375                          |                        |                                    |                        |                                |              |                 | 2,500                           | 118,375                         |                 |         |
| 3/18/2011                                      |                  | 1,000                           | 43,766                           |                        |                                    |                        |                                |              |                 | 1,000                           | 43,766                          |                 |         |
| 4/4/2011                                       |                  | 1,500                           | 67,526                           |                        |                                    |                        |                                |              |                 | 1,500                           | 67,526                          |                 |         |
|                                                |                  |                                 |                                  |                        |                                    |                        |                                |              |                 |                                 |                                 |                 | 519,000 |

**Emerald Foundation, Inc**  
**Securities Schedule**

| Date       | Name of Security                           | Inventory Beginning of Period |                           |                           | Purchases or Other Acquisitions |            |                  | Sales or Other Dispositions |           |              | Inventory End of Period   |                           |              |
|------------|--------------------------------------------|-------------------------------|---------------------------|---------------------------|---------------------------------|------------|------------------|-----------------------------|-----------|--------------|---------------------------|---------------------------|--------------|
|            |                                            | No of Shares or Principal     | Cost or Acquisition Value | No of Shares or Principal | Number of Shares                | Total Cost | Number of Shares | Selling Price               | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 4/6/2011   |                                            | 1,500                         | 68,789                    |                           |                                 |            |                  |                             |           |              | 1,500                     | 68,789                    |              |
| 5/24/2011  |                                            | 1,000                         | 44,481                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 44,481                    |              |
| 7/25/2011  |                                            | 2,000                         | 92,402                    |                           |                                 |            |                  |                             |           |              | 2,000                     | 92,402                    |              |
| 9/28/2011  |                                            | 1,000                         | 35,738                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 35,738                    |              |
| 10/27/2011 |                                            | 1,000                         | 42,416                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 42,416                    |              |
| 2/13/2012  |                                            |                               |                           |                           | 1,000                           | 47,135     |                  |                             |           |              | 1,000                     | 47,135                    |              |
| 5/14/2012  |                                            |                               |                           |                           | 1,000                           | 39,700     |                  |                             |           |              | 1,000                     | 39,700                    |              |
| 6/26/2012  |                                            |                               |                           |                           | 1,000                           | 38,583     |                  |                             |           |              | 1,000                     | 38,583                    |              |
| 7/16/2012  |                                            |                               |                           |                           | 1,000                           | 40,726     |                  |                             |           |              | 1,000                     | 40,726                    |              |
| 7/18/2012  |                                            |                               |                           |                           | 1,000                           | 41,541     |                  |                             |           |              | 1,000                     | 41,541                    |              |
| 9/10/2012  |                                            |                               |                           |                           | 1,000                           | 41,117     |                  |                             |           |              | 1,000                     | 41,117                    | 1,457,400    |
| 4/23/2005  | Bristol Meyers Squibb Co                   | 1,000                         | 26,161                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 26,161                    |              |
| 11/17/2005 |                                            | 1,000                         | 22,335                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 22,335                    |              |
| 11/22/2005 |                                            | 500                           | 11,197                    |                           |                                 |            |                  |                             |           |              | 500                       | 11,197                    |              |
| 12/29/2005 |                                            | 2,500                         | 57,786                    |                           |                                 |            |                  |                             |           |              | 2,500                     | 57,786                    |              |
| 2/12/2008  | Bequest                                    | 1,000                         | 23,190                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 23,190                    |              |
| 3/25/2008  |                                            | 1,500                         | 33,221                    |                           |                                 |            |                  |                             |           |              | 1,500                     | 33,221                    |              |
| 9/24/2008  |                                            | 1,000                         | 21,870                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 21,870                    |              |
| 10/3/2008  |                                            | 500                           | 10,219                    |                           |                                 |            |                  |                             |           |              | 500                       | 10,219                    |              |
| 10/17/2008 |                                            | 1,000                         | 20,280                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 20,280                    |              |
| 10/30/2008 |                                            | 1,000                         | 19,140                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 19,140                    |              |
| 10/31/2008 |                                            | 1,000                         | 20,072                    |                           |                                 |            |                  |                             |           |              | 1,000                     | 20,072                    |              |
| 3/13/2009  |                                            | 500                           | 10,068                    |                           |                                 |            |                  |                             |           |              | 500                       | 10,068                    | 407,375      |
| 3/25/2010  |                                            | 2,500                         | 65,439                    |                           |                                 |            |                  | 2,500                       | 1/26/2012 | 15,275       | -                         | -                         |              |
| 2/17/2012  | Campbell Soup Co                           |                               |                           |                           |                                 |            |                  |                             |           |              |                           |                           |              |
| 3/12/2012  |                                            |                               |                           |                           | 1,500                           | 48,223     |                  | 2,500                       | 9/19/2012 | 4,684        | -                         | 0                         |              |
|            |                                            |                               |                           |                           | 1,000                           | 33,171     |                  |                             |           |              |                           |                           |              |
| 9/7/2007   | CEMEX S A B DE C V Spon ADR                | 1,000                         | 28,272                    |                           |                                 |            |                  |                             |           |              |                           |                           |              |
| 9/20/2007  |                                            | 500                           | 12,876                    |                           |                                 |            |                  | 1,085                       | 4/3/2012  |              |                           |                           |              |
| 1/30/2008  |                                            | 500                           | 11,553                    |                           |                                 |            |                  | 494                         | 4/3/2012  |              |                           |                           |              |
| 2/1/2008   |                                            | 500                           | 11,252                    |                           |                                 |            |                  | 443                         | 4/3/2012  |              |                           |                           |              |
| 2/5/2008   |                                            | 500                           | 11,959                    |                           |                                 |            |                  | 432                         | 4/3/2012  |              |                           |                           |              |
| 2/15/2008  |                                            | 500                           | 11,733                    |                           |                                 |            |                  | 439                         | 4/3/2012  |              |                           |                           |              |
| 4/23/2008  |                                            | 500                           | 11,638                    |                           |                                 |            |                  | 450                         | 4/3/2012  |              |                           |                           |              |
| 6/5/2008   | Stock Dividend                             | 145                           | 3,599                     |                           |                                 |            |                  | 447                         | 4/3/2012  |              |                           |                           |              |
| 6/9/2009   | Stock Dividend                             | 165                           | 4,095                     |                           |                                 |            |                  | 138                         | 4/3/2012  |              |                           |                           |              |
| 6/9/2010   | Stock Dividend                             | 172                           | 4,269                     |                           |                                 |            |                  | 157                         | 4/3/2012  |              |                           |                           |              |
| 3/31/2011  | Stock Dividend                             | 179                           | 4,443                     |                           |                                 |            |                  | 164                         | 4/3/2012  |              |                           |                           |              |
| 4/3/2012   | Stock Dividend                             |                               |                           |                           | 186                             |            |                  | 170                         | 4/3/2012  |              |                           |                           |              |
|            |                                            |                               |                           |                           |                                 |            |                  | 39,217                      | 10/2/2012 | (76,472)     | -                         | -                         |              |
| 10/18/2010 | Genovus Energy, Inc (spin-off from Encana) | 2,500                         | 75,515                    |                           |                                 |            |                  |                             |           |              |                           |                           |              |
| 10/25/2010 |                                            | 2,500                         | 72,147                    |                           |                                 |            |                  | 88,547                      | 3/12/2012 | 13,032       | -                         | -                         |              |
| 10/28/2010 |                                            | 2,500                         | 72,580                    |                           |                                 |            |                  | 45,511                      | 6/19/2012 | 2,222        | 1,000                     | 28,859                    |              |
|            |                                            |                               |                           |                           |                                 |            |                  |                             |           |              | 2,500                     | 72,580                    | 117,148      |
| 5/4/2011   | Centurylink Inc                            | 2,500                         | 102,180                   |                           |                                 |            |                  |                             |           |              |                           |                           |              |
|            |                                            |                               |                           |                           |                                 |            |                  | 101,240                     | 7/18/2012 | (940)        | -                         | -                         |              |

Emerald Foundation, Inc  
Securities Schedule  
2012

| Securities Schedule 2012 |                                | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            |              | Inventory End of Period   |                           |              |
|--------------------------|--------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|--------------|---------------------------|---------------------------|--------------|
| Date Acquired            | Name of Security               | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 12/20/2012               | Cheniere Energy, Inc           |                               |                           | 1,500                           | 27,530     |                             |               |            |              | 1,500                     | 27,530                    | 28,170       |
| 7/9/2010                 | Chesapeake Energy Corp         | 1,000                         | 21,189                    |                                 |            |                             |               |            |              | 1,000                     | 21,189                    |              |
| 7/14/2010                |                                | 500                           | 10,938                    |                                 |            |                             |               |            |              | 500                       | 10,938                    |              |
| 10/14/2010               |                                | 1,000                         | 23,840                    |                                 |            |                             |               |            |              | 1,000                     | 23,840                    |              |
| 10/13/2011               |                                | 1,500                         | 40,147                    |                                 |            |                             |               |            |              | 1,500                     | 40,147                    |              |
| 10/14/2011               |                                | 1,000                         | 27,214                    |                                 |            |                             |               |            |              | 1,000                     | 27,214                    |              |
| 11/16/2011               |                                | 2,500                         | 66,083                    |                                 |            |                             |               |            |              | 2,500                     | 66,083                    |              |
| 11/17/2011               |                                | 2,500                         | 65,661                    |                                 |            |                             |               |            |              | 2,500                     | 65,661                    |              |
| 4/26/2012                |                                |                               |                           | 1,000                           | 18,442     |                             |               |            |              | 1,000                     | 18,442                    |              |
| 4/27/2012                |                                |                               |                           | 1,500                           | 27,228     |                             |               |            |              | 1,500                     | 27,228                    |              |
| 5/4/2012                 |                                |                               |                           | 1,000                           | 20,446     |                             |               |            |              | 1,000                     | 20,446                    |              |
| 5/8/2012                 |                                |                               |                           | 1,500                           | 26,485     |                             |               |            |              | 1,500                     | 26,485                    |              |
| 5/17/2012                |                                |                               |                           | 1,000                           | 16,555     |                             |               |            |              | 1,000                     | 16,555                    |              |
| 6/1/2012                 |                                |                               |                           | 1,500                           | 24,909     |                             |               |            |              | 1,500                     | 24,909                    |              |
| 6/13/2012                |                                |                               |                           | 1,000                           | 18,787     |                             |               |            |              | 1,000                     | 18,787                    | 307,470      |
| 2/23/2011                | Chimera Investment Corporation | 2,500                         | 10,831                    |                                 |            |                             |               |            |              |                           |                           |              |
| 3/29/2012                |                                |                               |                           | 2,500                           | 7,833      | 5,000                       | 11.400        | 7/30/2012  | (7,264)      | -                         | -                         |              |
| 12/22/2004               | China Mobile Ltd ADR           | 1,000                         | 17,233                    |                                 |            |                             |               |            |              |                           |                           |              |
| 5/19/2008                |                                | 500                           | 43,066                    |                                 |            |                             |               |            |              |                           |                           |              |
| 3/13/2009                |                                | 1,000                         | 42,309                    |                                 |            | 2,500                       | 133.990       | 3/20/2012  | 31,381       | -                         | -                         |              |
| 12/14/2006               | Cingroup, Inc                  | 100                           | 53,249                    |                                 |            |                             |               |            |              | 100                       | 53,249                    |              |
| 4/12/2007                |                                | 50                            | 26,196                    |                                 |            |                             |               |            |              | 50                        | 26,196                    |              |
| 4/23/2007                |                                | 100                           | 53,673                    |                                 |            |                             |               |            |              | 100                       | 53,673                    |              |
| 10/18/2007               |                                | 100                           | 46,783                    |                                 |            |                             |               |            |              | 100                       | 46,783                    |              |
| 10/31/2007               |                                | 50                            | 21,450                    |                                 |            |                             |               |            |              | 50                        | 21,450                    |              |
| 11/6/2007                |                                | 100                           | 39,070                    |                                 |            |                             |               |            |              | 100                       | 39,070                    |              |
| 5/5/2010                 |                                | 500                           | 23,015                    |                                 |            |                             |               |            |              | 500                       | 23,015                    |              |
| 12/27/2010               |                                | 500                           | 24,346                    |                                 |            |                             |               |            |              | 500                       | 24,346                    |              |
| 1/27/2011                |                                | 500                           | 25,028                    |                                 |            |                             |               |            |              | 500                       | 25,028                    | 79,120       |
| 8/3/2012                 | Coach, Inc                     |                               |                           | 1,000                           | 52,398     | 1,000                       | 56.576        | 10/29/2012 | 4,178        | -                         | -                         |              |
| 10/25/2012               | Coach, Inc (Call)              |                               |                           | 10                              | 305        | 10                          | 1.420         | 8/22/2012  | 1,114        | -                         | -                         |              |
| 2/22/2012                | Comcast Corp                   |                               |                           | 1,500                           | 43,333     |                             |               |            |              | 1,500                     | 43,333                    |              |
| 4/4/2012                 |                                |                               |                           | 1,000                           | 30,424     |                             |               |            |              | 1,000                     | 30,424                    | 93,400       |
| 3/3/2009                 | ConocoPhillips                 | 500                           | 20,147                    |                                 |            |                             |               | 5/4/2012   | 4,616        | 500                       | 15,531                    |              |
| 3/13/2009                |                                | 1,000                         | 38,259                    |                                 |            |                             |               | 5/4/2012   | 8,765        | 1,000                     | 29,494                    |              |
| 3/27/2009                |                                | 1,000                         | 40,579                    |                                 |            |                             |               | 5/4/2012   | 9,297        | 1,000                     | 31,282                    |              |
| 5/11/2012                |                                |                               |                           | 1,000                           | 54,527     |                             |               |            |              | 1,000                     | 54,527                    |              |
| 5/25/2012                |                                |                               |                           | 1,000                           | 53,049     |                             |               |            |              | 1,000                     | 53,049                    |              |
| 6/11/2012                |                                |                               |                           | 500                             | 26,843     |                             |               |            |              | 500                       | 26,843                    |              |
| 6/19/2012                |                                |                               |                           | 1,500                           | 83,006     |                             |               |            |              | 1,500                     | 83,006                    |              |

## 7107

| Emerald Foundation, Inc<br>Securities Schedule |                               |                           | Inventory Beginning of Period |                  | Purchases or Other Acquisitions |                  | Sales or Other Dispositions |           |              |                           | Inventory End of Period   |              |        |
|------------------------------------------------|-------------------------------|---------------------------|-------------------------------|------------------|---------------------------------|------------------|-----------------------------|-----------|--------------|---------------------------|---------------------------|--------------|--------|
| Date Acquired                                  | Name of Security              | No of Shares or Principal | Cost or Acquisition Value     | Number of Shares | Total Cost                      | Number of Shares | Selling Price               | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |        |
| 6/20/2012                                      | Corning, Inc                  |                           |                               | 1,000            | 55,877                          |                  |                             |           |              | 1,000                     | 55,877                    | 579,900      |        |
| 6/25/2012                                      |                               |                           | 1,000                         | 55,153           |                                 |                  |                             |           | 1,000        | 55,153                    |                           |              |        |
| 11/28/2012                                     |                               |                           | 1,500                         | 85,349           |                                 |                  |                             |           | 1,500        | 85,349                    |                           |              |        |
| 4/9/2012                                       |                               |                           | 2,500                         | 35,251           |                                 |                  |                             |           | 2,500        | 35,251                    |                           |              |        |
| 10/5/2012                                      |                               |                           |                               | 1,500            | 20,292                          |                  |                             |           |              | 1,500                     | 20,292                    | 63,100       |        |
| 10/29/2012                                     |                               |                           |                               | 1,000            | 12,658                          |                  |                             |           |              | 1,000                     | 12,658                    |              |        |
| 11/17/2011                                     | CVS Caremark Corporation      | 1,500                     | 59,100                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 11/18/2011                                     |                               | 1,500                     | 58,999                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 12/8/2011                                      |                               | 2,000                     | 77,934                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 2/14/2012                                      | CSX Corp                      |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 2/22/2012                                      |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 3/27/2012                                      |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 3/29/2012                                      |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 4/30/2008                                      | Dell, Inc                     |                           |                               |                  |                                 |                  |                             |           |              |                           |                           | 253,500      |        |
| 6/9/2008                                       |                               | 1,000                     | 19,516                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 19,516 |
| 7/8/2008                                       |                               | 1,000                     | 23,699                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 23,699 |
| 2/3/2010                                       |                               | 500                       | 11,762                        |                  |                                 |                  |                             |           |              |                           | 500                       |              | 11,762 |
| 3/29/2010                                      |                               | 1,500                     | 20,227                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 20,227 |
| 7/19/2010                                      |                               | 1,000                     | 15,271                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 15,271 |
| 7/28/2010                                      |                               | 2,500                     | 34,836                        |                  |                                 |                  |                             |           |              |                           | 2,500                     |              | 34,836 |
| 7/29/2010                                      |                               | 1,500                     | 20,389                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 20,389 |
| 9/10/2010                                      |                               | 1,000                     | 14,026                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 14,026 |
| 9/16/2010                                      |                               | 1,000                     | 12,642                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 12,642 |
| 11/9/2010                                      |                               | 1,500                     | 18,695                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 18,695 |
| 12/15/2010                                     |                               | 1,000                     | 14,818                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 14,818 |
| 12/27/2010                                     |                               | 1,500                     | 21,257                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 21,257 |
| 12/29/2010                                     |                               | 1,500                     | 13,748                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 13,748 |
| 2/7/2011                                       |                               | 1,000                     | 21,109                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 21,109 |
| 2/9/2011                                       |                               | 1,000                     | 13,857                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 13,857 |
| 3/29/2011                                      | 1,500                         | 21,045                    |                               |                  |                                 |                  |                             |           |              | 1,500                     | 21,045                    |              |        |
| 4/4/2011                                       | 2,500                         | 38,245                    |                               |                  |                                 |                  |                             |           |              | 2,500                     | 38,245                    |              |        |
| 4/11/2011                                      | 1,500                         | 22,261                    |                               |                  |                                 |                  |                             |           |              | 1,500                     | 22,261                    |              |        |
|                                                |                               | 1,000                     | 14,931                        |                  |                                 |                  |                             |           |              | 1,000                     | 14,931                    |              |        |
| 3/27/2009                                      | Dow Chemical                  |                           |                               |                  |                                 |                  |                             |           |              |                           |                           | 323,294      |        |
| 4/16/2009                                      |                               | 2,500                     | 22,512                        |                  |                                 |                  |                             |           |              |                           | 2,500                     |              | 22,512 |
| 4/22/2009                                      |                               | 1,000                     | 11,426                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 11,426 |
| 5/5/2009                                       |                               | 1,500                     | 18,179                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 18,179 |
| 8/6/2010                                       |                               | 1,000                     | 15,859                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 15,859 |
| 9/7/2011                                       |                               | 1,500                     | 39,931                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 39,931 |
| 9/30/2011                                      |                               | 1,000                     | 28,740                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 10/20/2011                                     |                               | 1,500                     | 38,838                        |                  |                                 |                  |                             |           |              |                           | 1,500                     |              | 38,838 |
| 10/20/2011                                     |                               | 1,000                     | 27,647                        |                  |                                 |                  |                             |           |              |                           | 1,000                     |              | 27,647 |
| 10/26/2011                                     |                               | 1,500                     | 41,656                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 8/9/2005                                       | Dreamworks Animation SKG CL A | 1,000                     | 23,249                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 8/10/2005                                      |                               | 1,000                     | 23,770                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
| 8/11/2005                                      |                               | 500                       | 12,085                        |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |
|                                                |                               |                           |                               |                  |                                 |                  |                             |           |              |                           |                           |              |        |

**Emerald Foundation, Inc  
Securities Schedule**

| Date Acquired |            | Name of Security                          | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           | Inventory End of Period |                           |                           |              |
|---------------|------------|-------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|-------------------------|---------------------------|---------------------------|--------------|
|               |            |                                           | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss            | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 7/28/2010     | 9/30/2011  | Eaton Corp (split on 3/4/2011)            | 2,000                         | 76,527                    |                                 |            |                             |               |           |                         | 2,000                     | 76,527                    | 189,630      |
|               |            |                                           | 1,500                         | 56,494                    |                                 |            |                             |               |           |                         | 1,500                     | 56,494                    |              |
|               |            | Eaton Corp (Call 1/19/13) (Open contract) |                               |                           |                                 |            | 10                          | 1,445         | 9/17/2012 |                         | (10)                      | (1,445)                   | (4,400)      |
| 7/28/2009     | 10/19/2009 | El Du Pont De Nemours & Co                | 1,500                         | 45,153                    |                                 |            |                             |               |           |                         | 1,500                     | 45,153                    |              |
| 10/23/2009    | 10/29/2009 |                                           | 1,000                         | 34,670                    |                                 |            |                             |               |           |                         | 1,000                     | 34,670                    |              |
| 10/29/2009    |            |                                           | 2,000                         | 69,001                    |                                 |            |                             |               |           |                         | 2,000                     | 69,001                    |              |
| 12/9/2009     |            |                                           | 500                           | 17,247                    |                                 |            |                             |               |           |                         | 500                       | 17,247                    |              |
| 12/9/2009     |            |                                           | 1,000                         | 32,918                    |                                 |            |                             |               |           |                         | 1,000                     | 32,918                    |              |
| 12/23/2009    |            |                                           | 1,000                         | 32,409                    |                                 |            |                             |               |           |                         | 1,000                     | 32,409                    |              |
| 12/28/2009    |            |                                           | 500                           | 16,533                    |                                 |            |                             |               |           |                         | 500                       | 16,533                    |              |
| 3/23/2010     |            |                                           | 1,000                         | 37,562                    |                                 |            |                             |               |           |                         | 1,000                     | 37,562                    |              |
| 6/1/2010      |            |                                           | 1,500                         | 54,343                    |                                 |            |                             |               |           |                         | 1,000                     | 54,343                    | 449,785      |
| 10/20/2011    |            |                                           | 1,000                         | 45,028                    |                                 |            |                             |               |           | 5,390                   | -                         | -                         |              |
| 10/21/2011    |            |                                           | 1,500                         | 67,501                    |                                 |            |                             |               |           | 8,206                   | -                         | -                         |              |
| 7/14/2009     |            | Eli Lilly & Co                            | 1,500                         | 50,137                    |                                 |            |                             |               |           |                         | 1,500                     | 50,137                    |              |
| 1/14/2010     |            |                                           | 1,000                         | 35,738                    |                                 |            |                             |               |           |                         | 1,000                     | 35,738                    |              |
| 4/21/2010     |            |                                           | 1,500                         | 55,742                    |                                 |            |                             |               |           |                         | 1,500                     | 55,742                    |              |
| 4/23/2010     |            |                                           | 1,000                         | 37,038                    |                                 |            |                             |               |           |                         | 1,000                     | 37,038                    |              |
| 5/3/2010      |            |                                           | 1,500                         | 52,952                    |                                 |            |                             |               |           |                         | 1,500                     | 52,952                    |              |
| 5/4/2010      |            |                                           | 1,000                         | 35,333                    |                                 |            |                             |               |           |                         | 1,000                     | 35,333                    |              |
| 5/13/2010     |            |                                           | 1,500                         | 53,836                    |                                 |            |                             |               |           |                         | 1,500                     | 53,836                    |              |
| 5/14/2010     |            |                                           | 1,000                         | 35,662                    |                                 |            |                             |               |           |                         | 1,000                     | 35,662                    |              |
| 5/25/2010     |            |                                           | 1,000                         | 33,741                    |                                 |            |                             |               |           |                         | 1,000                     | 33,741                    |              |
| 5/26/2010     |            |                                           | 1,000                         | 33,261                    |                                 |            |                             |               |           |                         | 1,000                     | 33,261                    |              |
| 7/14/2010     |            |                                           | 500                           | 17,755                    |                                 |            |                             |               |           |                         | 500                       | 17,755                    |              |
| 3/29/2011     |            |                                           | 2,500                         | 87,066                    |                                 |            |                             |               |           |                         | 2,500                     | 87,066                    | 739,800      |
| 12/27/2010    |            | Encana Corp                               | 1,000                         | 28,618                    |                                 |            |                             |               |           |                         | 1,000                     | 28,618                    |              |
| 1/5/2011      |            |                                           | 2,000                         | 59,106                    |                                 |            |                             |               |           |                         | 2,000                     | 59,106                    |              |
| 1/6/2011      |            |                                           | 1,000                         | 30,033                    |                                 |            |                             |               |           |                         | 1,000                     | 30,033                    |              |
| 1/14/2011     |            |                                           | 1,000                         | 29,627                    |                                 |            |                             |               |           |                         | 1,000                     | 29,627                    |              |
| 8/18/2011     |            |                                           | 1,500                         | 39,530                    |                                 |            |                             |               |           |                         | 1,500                     | 39,530                    |              |
| 9/2/2011      |            |                                           | 1,000                         | 25,417                    |                                 |            |                             |               |           |                         | 1,000                     | 25,417                    |              |
| 9/7/2011      |            |                                           | 1,000                         | 26,064                    |                                 |            |                             |               |           |                         | 1,000                     | 26,064                    |              |
| 9/12/2011     |            |                                           | 1,500                         | 36,503                    |                                 |            |                             |               |           |                         | 1,500                     | 36,503                    |              |
| 9/28/2011     |            |                                           | 1,500                         | 30,688                    |                                 |            |                             |               |           |                         | 1,500                     | 30,688                    |              |
| 10/21/2011    |            |                                           | 1,000                         | 20,251                    |                                 |            |                             |               |           |                         | 1,000                     | 20,251                    |              |
| 10/27/2011    |            |                                           | 2,500                         | 53,469                    |                                 |            |                             |               |           |                         | 2,500                     | 53,469                    |              |
| 3/1/2012      |            |                                           |                               |                           |                                 | 29,939     |                             |               |           |                         | 1,500                     | 29,939                    |              |
| 3/12/2012     |            |                                           |                               |                           |                                 | 19,995     |                             |               |           |                         | 1,000                     | 19,995                    |              |
| 4/23/2012     |            |                                           |                               |                           |                                 | 18,411     |                             |               |           |                         | 1,000                     | 18,411                    |              |
| 5/1/2012      |            |                                           |                               |                           |                                 | 20,229     |                             |               |           |                         | 1,000                     | 20,229                    |              |
| 5/14/2012     |            |                                           |                               |                           |                                 | 11,273     |                             |               |           |                         | 500                       | 11,273                    | 395,335      |
| 7/5/2012      |            | ENI SPA                                   |                               |                           |                                 | 63,813     |                             |               |           | 2,392                   | -                         | -                         |              |
|               |            |                                           |                               |                           | 1,500                           |            |                             | 66,205        | 8/13/2012 |                         |                           |                           |              |
| 1/6/2011      |            | Energy Corp New                           | 1,000                         | 72,508                    |                                 |            |                             |               |           |                         | -                         | -                         | 0            |
| 6/24/2011     |            |                                           | 1,000                         | 70,333                    |                                 |            |                             | 138,236       | 7/18/2012 | (4,605)                 | -                         | -                         |              |

Emerald Foundation, Inc.  
Securities Schedule

| Date Acquired |  | Name of Security                | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            | Inventory End of Period |                           |                           |              |
|---------------|--|---------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|-------------------------|---------------------------|---------------------------|--------------|
|               |  |                                 | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss            | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 5/25/2012     |  | Exelon                          |                               |                           | 1,500                           | 56,097     | 1,500                       | 56,956        | 7/18/2012  | 858                     | -                         | -                         |              |
| 7/5/2012      |  | Express Scripts Holding Company |                               |                           | 1,000                           | 56,279     |                             |               |            |                         | 1,000                     | 56,279                    |              |
| 7/16/2012     |  |                                 |                               |                           | 1,000                           | 56,410     |                             |               |            |                         | 1,000                     | 56,410                    |              |
| 7/23/2012     |  |                                 |                               |                           | 500                             | 29,338     |                             |               |            |                         | 500                       | 29,338                    |              |
| 8/14/2012     |  |                                 |                               |                           | 500                             | 30,712     |                             |               |            |                         | 500                       | 30,712                    |              |
| 8/14/2012     |  |                                 |                               |                           | 500                             | 31,767     |                             |               |            |                         | 500                       | 31,767                    |              |
| 8/24/2012     |  |                                 |                               |                           | 500                             | 30,999     |                             |               |            |                         | 500                       | 30,999                    |              |
| 11/8/2012     |  |                                 |                               |                           | 500                             | 31,753     |                             |               |            |                         | 500                       | 31,753                    |              |
| 11/9/2012     |  |                                 |                               |                           | 500                             | 27,496     |                             |               |            |                         | 500                       | 27,496                    | 270,000      |
| 9/8/2008      |  | Exxon Mobil Corp                | 1,000                         | 78,800                    |                                 |            |                             |               |            |                         | 1,000                     | 78,800                    |              |
| 9/22/2008     |  |                                 | 1,000                         | 79,353                    |                                 |            | 1,000                       | 85,729        | 1/6/2012   | 6,376                   | -                         | -                         |              |
| 9/23/2008     |  |                                 | 500                           | 39,201                    |                                 |            |                             |               |            |                         | 500                       | 39,201                    |              |
| 10/16/2008    |  |                                 | 500                           | 32,797                    |                                 |            |                             |               |            |                         | 500                       | 32,797                    |              |
| 3/31/2009     |  |                                 | 1,000                         | 71,323                    |                                 |            |                             |               |            |                         | 1,000                     | 71,323                    |              |
| 4/15/2009     |  |                                 | 1,000                         | 70,519                    |                                 |            |                             |               |            |                         | 1,000                     | 70,519                    |              |
| 1/11/2010     |  |                                 | 1,000                         | 70,362                    |                                 |            |                             |               |            |                         | 1,000                     | 70,362                    |              |
| 4/19/2010     |  |                                 | 1,000                         | 69,245                    |                                 |            |                             |               |            |                         | 1,000                     | 69,245                    |              |
| 7/14/2010     |  |                                 | 500                           | 29,747                    |                                 |            |                             |               |            |                         | 500                       | 29,747                    |              |
| 9/28/2011     |  |                                 | 1,000                         | 69,240                    |                                 |            |                             |               |            |                         | 1,000                     | 69,240                    | 649,125      |
| 8/22/2012     |  | Facebook, Inc                   |                               |                           | 1,500                           | 30,518     |                             |               |            |                         | 1,500                     | 30,518                    |              |
| 8/23/2012     |  |                                 |                               |                           | 1,500                           | 29,667     |                             |               |            |                         | 1,500                     | 29,667                    |              |
| 9/11/2012     |  |                                 |                               |                           | 2,000                           | 38,989     |                             |               |            |                         | 2,000                     | 38,989                    |              |
| 9/19/2012     |  |                                 |                               |                           | 1,000                           | 22,141     | 1,000                       | 27,351        | 12/11/2012 | 5,210                   | -                         | -                         |              |
| 9/24/2012     |  |                                 |                               |                           | 1,500                           | 34,924     | 1,500                       | 41,034        | 12/11/2012 | 6,110                   | -                         | -                         |              |
| 9/27/2012     |  |                                 |                               |                           | 2,000                           | 42,259     |                             |               |            |                         | 2,000                     | 42,259                    |              |
| 10/22/2012    |  |                                 |                               |                           | 500                             | 10,217     |                             |               |            |                         | 500                       | 10,217                    | 199,648      |
| 1/28/2010     |  | Fluor Corp                      | 1,500                         | 70,897                    |                                 |            |                             |               |            |                         | 1,500                     | 70,897                    |              |
| 2/2/2010      |  |                                 | 1,000                         | 46,769                    |                                 |            |                             |               |            |                         | 1,000                     | 46,769                    |              |
| 2/10/2010     |  |                                 | 1,000                         | 44,468                    |                                 |            |                             |               |            |                         | 1,000                     | 44,468                    |              |
| 3/16/2011     |  |                                 | 1,000                         | 67,587                    |                                 |            |                             |               |            |                         | 1,000                     | 67,587                    |              |
| 3/23/2011     |  |                                 | 500                           | 35,531                    |                                 |            |                             |               |            |                         | 500                       | 35,531                    | 293,700      |
| 7/17/2006     |  | Ford Motor Co                   | 1,000                         | 7,095                     |                                 |            |                             |               |            |                         | 1,000                     | 7,095                     |              |
| 8/11/2006     |  |                                 | 2,000                         | 15,156                    |                                 |            |                             |               |            |                         | 2,000                     | 15,156                    |              |
| 6/27/2007     |  |                                 | 1,000                         | 9,384                     |                                 |            |                             |               |            |                         | 1,000                     | 9,384                     |              |
| 7/3/2007      |  |                                 | 1,000                         | 9,799                     |                                 |            |                             |               |            |                         | 1,000                     | 9,799                     |              |
| 9/29/2010     |  |                                 | 2,500                         | 31,827                    |                                 |            |                             |               |            |                         | 2,500                     | 31,827                    |              |
| 10/7/2010     |  |                                 | 2,500                         | 32,681                    |                                 |            |                             |               |            |                         | 2,500                     | 32,681                    |              |
| 10/12/2010    |  |                                 | 2,500                         | 33,916                    |                                 |            |                             |               |            |                         | 2,500                     | 33,916                    |              |
| 2/18/2011     |  |                                 | 2,500                         | 40,574                    |                                 |            |                             |               |            |                         | 2,500                     | 40,574                    |              |
| 2/22/2011     |  |                                 | 2,500                         | 41,035                    |                                 |            |                             |               |            |                         | 2,500                     | 41,035                    |              |
| 3/8/2011      |  |                                 | 2,500                         | 37,841                    |                                 |            |                             |               |            |                         | 2,500                     | 37,841                    |              |
| 3/18/2011     |  |                                 | 2,000                         | 29,443                    |                                 |            |                             |               |            |                         | 2,000                     | 29,443                    |              |
| 3/23/2011     |  |                                 | 2,000                         | 29,751                    |                                 |            |                             |               |            |                         | 2,000                     | 29,751                    |              |
| 4/8/2011      |  |                                 | 1,000                         | 16,019                    |                                 |            |                             |               |            |                         | 1,000                     | 16,019                    | 323,750      |
| 3/20/2012     |  | France Telecom                  |                               |                           | 2,500                           | 37,666     | 2,500                       | 30,531        | 10/25/2012 | (7,135)                 | -                         | -                         |              |

Emerald Foundation, Inc  
Securities Schedule

| Securities Schedule |  | Name of Security                                 | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           |              | Inventory End of Period   |                           |              |
|---------------------|--|--------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|--------------|---------------------------|---------------------------|--------------|
| Date Acquired       |  |                                                  | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 12/31/2007          |  | Fresenius Medical Care AG & Co                   | 1,000                         | 26,846                    |                                 |            |                             |               |           |              | 1,000                     | 26,846                    |              |
| 1/10/2008           |  |                                                  | 1,000                         | 27,672                    |                                 |            |                             |               |           |              | 1,000                     | 27,672                    |              |
| 2/5/2008            |  |                                                  | 1,000                         | 25,995                    |                                 |            |                             |               |           |              | 1,000                     | 25,995                    |              |
| 11/25/2008          |  |                                                  | 2,000                         | 41,415                    |                                 |            |                             |               |           |              | 2,000                     | 41,415                    | 171,500      |
| 12/12/2012          |  | Freeport McMoran Copper & Gold                   |                               |                           | 2,500                           | 78,790     |                             |               |           |              | 2,500                     | 78,790                    |              |
| 12/12/2012          |  |                                                  |                               |                           | 1,500                           | 48,535     |                             |               |           |              | 1,500                     | 48,535                    |              |
| 12/19/2012          |  |                                                  |                               |                           | 1,000                           | 34,035     |                             |               |           |              | 1,000                     | 34,035                    |              |
| 12/31/2012          |  |                                                  |                               |                           | 1,500                           | 51,555     |                             |               |           |              | 1,500                     | 51,555                    | 222,300      |
| 4/17/2007           |  | General Electric Co                              | 2,000                         | 70,431                    |                                 |            |                             |               |           |              | 2,000                     | 70,431                    |              |
| 5/1/2007            |  |                                                  | 500                           | 18,319                    |                                 |            |                             |               |           |              | 500                       | 18,319                    |              |
| 2/12/2008           |  | Bequest                                          | 2,000                         | 68,740                    |                                 |            |                             |               |           |              | 2,000                     | 68,740                    |              |
| 4/21/2008           |  |                                                  | 500                           | 16,344                    |                                 |            |                             |               |           |              | 500                       | 16,344                    |              |
| 7/29/2008           |  |                                                  | 1,000                         | 30,283                    |                                 |            |                             |               |           |              | 1,000                     | 30,283                    |              |
| 9/24/2008           |  |                                                  | 1,500                         | 39,540                    |                                 |            |                             |               |           |              | 1,500                     | 39,540                    |              |
| 2/13/2009           |  |                                                  | 1,500                         | 19,074                    |                                 |            |                             |               |           |              | 1,500                     | 19,074                    |              |
| 3/9/2009            |  |                                                  | 1,000                         | 6,538                     |                                 |            |                             |               |           |              | 1,000                     | 6,538                     |              |
| 11/30/2009          |  |                                                  | 2,500                         | 40,738                    |                                 |            |                             |               |           |              | 2,500                     | 40,738                    |              |
| 3/16/2010           |  |                                                  | 2,500                         | 41,625                    |                                 |            |                             |               |           |              | 2,500                     | 41,625                    |              |
| 9/7/2010            |  |                                                  | 1,000                         | 15,316                    |                                 |            |                             |               |           |              | 1,000                     | 15,316                    |              |
| 9/16/2010           |  |                                                  | 1,500                         | 24,707                    |                                 |            |                             |               |           |              | 1,500                     | 24,707                    |              |
| 12/6/2010           |  |                                                  | 2,500                         | 40,984                    |                                 |            |                             |               |           |              | 2,500                     | 40,984                    |              |
| 12/9/2010           |  |                                                  | 2,000                         | 34,043                    |                                 |            |                             |               |           |              | 2,000                     | 34,043                    |              |
| 12/15/2010          |  |                                                  | 2,000                         | 35,133                    |                                 |            |                             |               |           |              | 2,000                     | 35,133                    |              |
| 12/17/2010          |  |                                                  | 1,000                         | 18,105                    |                                 |            |                             |               |           |              | 1,000                     | 18,105                    |              |
| 3/6/2012            |  |                                                  |                               |                           | 2,000                           | 38,893     |                             |               |           |              | 2,000                     | 38,893                    | 566,730      |
| 10/28/2011          |  | General Motors Company                           | 3                             | -                         |                                 |            |                             |               |           |              | 3                         | -                         | 86           |
| 11/23/2010          |  | General Motors Company 4 75% Ser B Jr Pref Stock | 500                           | 25,000                    |                                 |            |                             |               |           |              | 500                       | 25,000                    |              |
| 11/29/2010          |  |                                                  | 500                           | 25,318                    |                                 |            |                             |               |           |              | 500                       | 25,318                    |              |
| 2/28/2011           |  |                                                  | 1,500                         | 78,381                    |                                 |            |                             |               |           |              | 1,500                     | 78,381                    |              |
| 3/1/2011            |  |                                                  | 1,000                         | 51,016                    |                                 |            |                             |               |           |              | 1,000                     | 51,016                    |              |
| 3/2/2011            |  |                                                  | 500                           | 25,725                    |                                 |            |                             |               |           |              | 500                       | 25,725                    |              |
| 3/7/2011            |  |                                                  | 1,000                         | 50,648                    |                                 |            |                             |               |           |              | 1,000                     | 50,648                    |              |
| 3/9/2011            |  |                                                  | 1,000                         | 50,146                    |                                 |            |                             |               |           |              | 1,000                     | 50,146                    |              |
| 3/11/2011           |  |                                                  | 1,000                         | 50,055                    |                                 |            |                             |               |           |              | 1,000                     | 50,055                    |              |
| 3/16/2011           |  |                                                  | 500                           | 24,731                    |                                 |            |                             |               |           |              | 500                       | 24,731                    |              |
| 9/1/2011            |  |                                                  | 1,000                         | 40,453                    |                                 |            |                             |               |           |              | 1,000                     | 40,453                    | 375,105      |
| 7/24/2009           |  | Glaxosmithkline PLC                              | 1,500                         | 57,599                    |                                 |            |                             |               |           |              | 1,500                     | 57,599                    |              |
| 12/28/2009          |  |                                                  | 1,000                         | 42,705                    |                                 |            |                             |               |           |              | 1,000                     | 42,705                    | 108,675      |
| 12/7/2012           |  | Google, Inc                                      |                               |                           | 100                             | 68,920     |                             |               |           |              | 100                       | 68,920                    | 70,738       |

Emerald Foundation, Inc.  
Securities Schedule

| Emeraud Foundation, Inc<br>Securities Schedule<br>2012 |                                 | Name of Security                                   | Inventory Beginning<br>of Period |                        | Purchases or<br>Other Acquisitions |                        | Sales or<br>Other Dispositions |              |                 |                                 | Inventory End<br>of Period      |                 |         |
|--------------------------------------------------------|---------------------------------|----------------------------------------------------|----------------------------------|------------------------|------------------------------------|------------------------|--------------------------------|--------------|-----------------|---------------------------------|---------------------------------|-----------------|---------|
| Date<br>Acquired                                       | No of<br>Shares<br>or Principal |                                                    | Cost or<br>Acquisition<br>Value  | Number<br>of<br>Shares | Total<br>Cost                      | Number<br>of<br>Shares | Selling<br>Price               | Date<br>Sold | Gain or<br>Loss | No of<br>Shares<br>or Principal | Cost or<br>Acquisition<br>Value | Market<br>Value |         |
|                                                        |                                 | Grupo TMM SA (Contribution)                        | 400                              | 12,710                 |                                    |                        |                                |              |                 |                                 | 400                             | 12,710          | 1,960   |
|                                                        |                                 |                                                    | 200                              | 6,955                  |                                    |                        |                                |              |                 |                                 | 200                             | 6,955           |         |
|                                                        |                                 |                                                    | 400                              | 7,067                  |                                    |                        |                                |              |                 |                                 | 400                             | 7,067           |         |
|                                                        |                                 |                                                    | 500                              | 8,488                  |                                    |                        |                                |              |                 |                                 | 500                             | 8,488           |         |
|                                                        |                                 |                                                    | 500                              | 9,833                  |                                    |                        |                                |              |                 |                                 | 500                             | 9,833           |         |
|                                                        |                                 | Heinz                                              | 1,500                            | 54,047                 |                                    |                        |                                |              |                 |                                 | 1,500                           | 54,047          | 288,400 |
|                                                        |                                 |                                                    | 1,000                            | 35,993                 |                                    |                        |                                |              |                 |                                 | 1,000                           | 35,993          |         |
|                                                        |                                 |                                                    | 1,000                            | 38,820                 |                                    |                        |                                |              |                 |                                 | 1,000                           | 38,820          |         |
|                                                        |                                 |                                                    | 1,000                            | 39,276                 |                                    |                        |                                |              |                 |                                 | 1,000                           | 39,276          |         |
|                                                        |                                 |                                                    | 500                              | 20,158                 |                                    |                        |                                |              |                 |                                 | 500                             | 20,158          |         |
|                                                        |                                 |                                                    | 1,000                            | 43,515                 |                                    |                        | 1,000                          | 53,765       | 7/5/2012        | 10,251                          | -                               | -               |         |
|                                                        |                                 |                                                    | 1,000                            | 43,316                 |                                    |                        | 1,000                          | 53,741       | 7/5/2012        | 10,425                          | -                               | -               |         |
|                                                        |                                 |                                                    | 500                              | 22,439                 |                                    |                        | 500                            | 26,984       | 7/17/2012       | 4,545                           | -                               | -               |         |
|                                                        |                                 |                                                    | 1,000                            | 46,578                 |                                    |                        | 1,000                          | 54,580       | 6/22/2012       | 8,002                           | -                               | -               |         |
|                                                        |                                 |                                                    | 1,500                            | 67,382                 |                                    |                        | 1,500                          | 81,521       | 6/22/2012       | 14,139                          | -                               | -               |         |
|                                                        |                                 | Home Depot                                         | 1,000                            | 30,082                 |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
|                                                        |                                 |                                                    | 1,500                            | 45,057                 |                                    |                        | 2,500                          | 126,860      | 6/18/2012       | 51,721                          | -                               | -               |         |
|                                                        |                                 |                                                    | 1,000                            | 30,400                 |                                    |                        | 1,000                          | 49,221       | 3/29/2012       | 18,821                          | -                               | -               |         |
|                                                        |                                 |                                                    | 1,000                            | 31,084                 |                                    |                        | 1,000                          | 46,859       | 3/5/2012        | 15,775                          | -                               | -               |         |
|                                                        |                                 |                                                    | 500                              | 16,235                 |                                    |                        | 500                            | 23,430       | 3/5/2012        | 7,195                           | -                               | -               |         |
|                                                        |                                 | HSBC Holdings PLC                                  | 500                              | 26,464                 |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
|                                                        |                                 |                                                    | 1,000                            | 53,251                 |                                    |                        | 2,500                          | 100,713      | 6/11/2012       | (35,870)                        | -                               | -               |         |
|                                                        |                                 |                                                    | 1,000                            | 56,869                 |                                    |                        |                                |              |                 |                                 |                                 |                 |         |
|                                                        |                                 | Idearc, Inc<br>(Spin-off from Verizon)             | 50                               |                        |                                    |                        |                                |              |                 |                                 | 50                              | -               |         |
|                                                        |                                 |                                                    | 950                              | 27,440                 |                                    |                        |                                |              |                 |                                 | 950                             | 27,440          |         |
|                                                        |                                 |                                                    | 1,000                            | 22,972                 |                                    |                        |                                |              |                 |                                 | 1,000                           | 22,972          |         |
|                                                        |                                 |                                                    | 1,500                            | 23,239                 |                                    |                        |                                |              |                 |                                 | 1,500                           | 23,239          |         |
|                                                        |                                 | Johnson & Johnson                                  | 1,500                            | 25,468                 |                                    |                        |                                |              |                 |                                 | 1,500                           | 25,468          | 245,350 |
|                                                        |                                 |                                                    |                                  |                        | 1,000                              | 69,429                 |                                |              |                 |                                 | 1,000                           | 69,429          |         |
|                                                        |                                 |                                                    |                                  |                        | 1,000                              | 71,766                 |                                |              |                 |                                 | 1,000                           | 71,766          |         |
|                                                        |                                 |                                                    |                                  |                        | 500                                | 36,264                 |                                |              |                 |                                 | 500                             | 36,264          |         |
|                                                        |                                 | JP Morgan Chase & Co<br>Exchange for Bank One Corp |                                  |                        | 1,000                              | 70,012                 |                                |              |                 |                                 | 1,000                           | 70,012          |         |
|                                                        |                                 |                                                    | 1,000                            | 38,188                 |                                    |                        |                                |              |                 |                                 | 1,000                           | 38,188          |         |
|                                                        |                                 |                                                    | 3,300                            | 97,202                 |                                    |                        |                                |              |                 |                                 | 3,300                           | 97,202          |         |
|                                                        |                                 |                                                    | 700                              | 25,597                 |                                    |                        |                                |              |                 |                                 | 700                             | 25,597          |         |
|                                                        |                                 |                                                    | 500                              | 21,819                 |                                    |                        |                                |              |                 |                                 | 500                             | 21,819          |         |
|                                                        |                                 |                                                    | 1,000                            | 48,226                 |                                    |                        |                                |              |                 |                                 | 1,000                           | 48,226          |         |
|                                                        |                                 |                                                    | 1,500                            | 64,965                 |                                    |                        |                                |              |                 |                                 | 1,500                           | 64,965          |         |
|                                                        |                                 |                                                    | 652                              | 28,316                 |                                    |                        |                                |              |                 |                                 | 652                             | 28,316          |         |
|                                                        |                                 |                                                    | 348                              | 9,575                  |                                    |                        |                                |              |                 |                                 | 348                             | 9,575           |         |
|                                                        |                                 | 3/13/2009                                          | 1,000                            | 17,627                 |                                    |                        |                                |              |                 | 1,000                           | 17,627                          |                 |         |

Emerald Foundation, Inc.  
Securities Schedule  
2012

| Date Acquired |  | Name of Security                                         | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           | Inventory End of Period |                           |                           |              |
|---------------|--|----------------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|-------------------------|---------------------------|---------------------------|--------------|
|               |  |                                                          | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss            | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 3/19/2009     |  |                                                          | 1,000                         | 24,558                    |                                 |            |                             |               |           |                         | 1,000                     | 24,558                    |              |
| 3/26/2009     |  |                                                          | 1,000                         | 25,899                    |                                 |            |                             |               |           |                         | 1,000                     | 25,899                    |              |
| 4/7/2009      |  |                                                          | 500                           | 15,003                    |                                 |            |                             |               |           |                         | 500                       | 15,003                    |              |
| 1/20/2011     |  |                                                          | 1,000                         | 46,400                    |                                 |            |                             |               |           |                         | 1,000                     | 46,400                    |              |
| 2/7/2011      |  |                                                          | 1,000                         | 46,282                    |                                 |            |                             |               |           |                         | 1,000                     | 46,282                    |              |
| 2/9/2011      |  |                                                          | 500                           | 22,602                    |                                 |            |                             |               |           |                         | 500                       | 22,602                    |              |
| 9/27/2011     |  |                                                          | 1,500                         | 43,769                    |                                 |            |                             |               |           |                         | 1,500                     | 43,769                    |              |
| 9/30/2011     |  |                                                          | 1,000                         | 32,277                    |                                 |            |                             |               |           |                         | 1,000                     | 32,277                    |              |
| 10/27/2011    |  |                                                          | 1,500                         | 52,083                    |                                 |            |                             |               |           |                         | 1,500                     | 52,083                    |              |
| 5/17/2012     |  |                                                          |                               |                           | 200                             | 7,303      |                             |               |           |                         | 200                       | 7,303                     |              |
| 5/17/2012     |  |                                                          |                               |                           | 800                             | 29,078     |                             |               |           |                         | 800                       | 29,078                    |              |
| 5/17/2012     |  |                                                          |                               |                           | 1,000                           | 36,010     |                             |               |           |                         | 1,000                     | 36,010                    |              |
| 8/10/2012     |  |                                                          |                               |                           | 1,000                           | 37,737     |                             |               |           |                         | 1,000                     | 37,737                    | 967,320      |
| 12/7/2010     |  | JP Morgan Chase Cap XXIX 6 70% Cap Securities            | 1,500                         | 39,080                    |                                 |            |                             |               |           |                         | 1,500                     | 39,080                    |              |
| 1/18/2011     |  |                                                          | 1,000                         | 25,666                    |                                 |            |                             |               |           |                         | 1,000                     | 25,666                    | 63,875       |
| 3/23/2011     |  | Keycorp                                                  | 1,200                         | 10,620                    |                                 |            |                             |               |           |                         |                           |                           |              |
| 4/29/2011     |  |                                                          | 1,300                         | 11,329                    |                                 |            |                             |               |           |                         |                           |                           |              |
| 9/30/2011     |  |                                                          | 2,500                         | 16,314                    |                                 |            | 5,000                       | 42,374        | 9/14/2012 | 4,112                   | -                         | -                         |              |
| 4/6/2011      |  | Kimberly Clark Corp                                      | 1,000                         | 66,170                    |                                 |            |                             |               |           |                         | 1,000                     | 66,170                    |              |
| 5/5/2011      |  |                                                          | 1,000                         | 66,935                    |                                 |            |                             |               |           |                         | 1,000                     | 66,935                    |              |
| 8/18/2011     |  |                                                          | 500                           | 33,204                    |                                 |            |                             |               |           |                         | 500                       | 33,204                    | 211,075      |
| 10/25/2012    |  | Kimberly Clark Corp (Call)                               |                               |                           | 25                              | 1,306      | 25                          | 2,844         | 8/22/2012 | 1,538                   | -                         | -                         |              |
| 4/2/2007      |  | Kraft Foods Group, Inc (spin off from old Kraft)         | 334                           | 2,906                     |                                 |            |                             |               |           |                         | 334                       | 2,906                     |              |
| 4/24/2009     |  |                                                          | 333                           | 7,691                     |                                 |            |                             |               |           |                         | 333                       | 7,691                     |              |
| 5/7/2009      |  |                                                          | 333                           | 8,077                     |                                 |            |                             |               |           |                         | 333                       | 8,077                     |              |
| 5/11/2009     |  |                                                          | 167                           | 4,276                     |                                 |            |                             |               |           |                         | 167                       | 4,276                     |              |
| 7/7/2009      |  |                                                          | 333                           | 9,088                     |                                 |            |                             |               |           |                         | 333                       | 9,088                     |              |
| 9/11/2009     |  |                                                          | 333                           | 9,045                     |                                 |            |                             |               |           |                         | 333                       | 9,045                     |              |
| 1/27/2011     |  |                                                          | 501                           | 16,004                    |                                 |            |                             |               |           |                         | 501                       | 16,004                    |              |
| 10/9/2012     |  |                                                          |                               |                           | 667                             | 31,207     |                             |               |           |                         | 667                       | 31,207                    |              |
| 11/13/2012    |  |                                                          |                               |                           | 1,000                           | 46,070     |                             |               |           |                         | 1,000                     | 46,070                    |              |
| 11/23/2012    |  |                                                          |                               |                           | 1,000                           | 45,314     |                             |               |           |                         | 1,000                     | 45,314                    | 227,350      |
| 4/2/2007      |  | Mondelez International, Inc (formerly Kraft Foods, Inc.) | 1,000                         | 8,700                     |                                 |            |                             |               |           |                         | 1,000                     | 8,700                     |              |
| 4/24/2009     |  | (Kraft Originally Spun-off from Altria)                  | 1,000                         | 23,027                    |                                 |            |                             |               |           |                         | 1,000                     | 23,027                    |              |
| 5/7/2009      |  |                                                          | 1,000                         | 24,182                    |                                 |            |                             |               |           |                         | 1,000                     | 24,182                    |              |
| 5/11/2009     |  |                                                          | 500                           | 12,802                    |                                 |            |                             |               |           |                         | 500                       | 12,802                    |              |
| 7/7/2009      |  |                                                          | 1,000                         | 27,211                    |                                 |            |                             |               |           |                         | 1,000                     | 27,211                    |              |
| 7/17/2009     |  |                                                          | 1,500                         | 40,793                    |                                 |            | 1,500                       | 60,293        | 8/21/2012 | 19,500                  | -                         | -                         |              |
| 9/11/2009     |  |                                                          | 1,000                         | 27,080                    |                                 |            |                             |               |           |                         | 1,000                     | 27,080                    |              |
| 6/1/2010      |  |                                                          | 1,500                         | 43,416                    |                                 |            | 1,500                       | 9,045         | 10/5/2012 | 13,317                  | -                         | -                         |              |
| 1/27/2011     |  |                                                          | 1,500                         | 47,916                    |                                 |            | 1,500                       | 56,733        | 1/26/2012 | 13,317                  | -                         | -                         |              |
| 12/24/2012    |  | Mondelez International, Inc (Call)                       |                               |                           | 1,150                           | -          | 1,150                       | 2,179         | 8/20/2012 | 2,179                   | -                         | -                         | 178,172      |

Emerald Foundation, Inc  
Securities Schedule

| Securities Schedule |                                          | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           |              | Inventory End of Period   |                           |              |  |
|---------------------|------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|--------------|---------------------------|---------------------------|--------------|--|
| Date Acquired       | Name of Security                         | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |  |
| 11/1/2011           | Level 3 Communications                   | 2,000                         | 54,912                    |                                 |            |                             |               |           |              | 2,000                     | 54,912                    |              |  |
| 11/3/2011           |                                          | 1,000                         | 27,181                    |                                 |            |                             |               |           |              | 1,000                     | 27,181                    |              |  |
| 12/27/2011          |                                          | 2,000                         | 35,196                    |                                 |            |                             |               |           |              | 2,000                     | 35,196                    |              |  |
| 3/1/2012            |                                          |                               |                           | 1,500                           | 37,793     |                             |               |           |              |                           | 1,500                     | 37,793       |  |
| 3/5/2012            |                                          |                               |                           | 1,000                           | 24,860     |                             |               |           |              |                           | 1,000                     | 24,860       |  |
| 3/16/2012           |                                          |                               |                           | 2,500                           | 63,228     |                             |               |           |              | 2,500                     | 63,228                    | 231,100      |  |
| 2/13/2012           | Loews Corporation                        |                               |                           | 1,500                           | 58,452     |                             |               |           |              |                           |                           |              |  |
| 2/17/2012           |                                          |                               |                           | 1,000                           | 38,663     |                             |               |           |              |                           |                           |              |  |
| 6/18/2012           |                                          |                               |                           | 2,500                           | 68,346     | 2,500                       | 104,139       | 11/8/2012 | 7,024        |                           | 68,346                    | 88,800       |  |
| 4/5/2011            | Manpower Group                           | 1,500                         | 93,046                    |                                 |            |                             |               |           |              | 1,500                     | 93,046                    |              |  |
| 4/28/2011           |                                          | 1,000                         | 66,901                    |                                 |            |                             |               |           |              | 1,000                     | 66,901                    | 106,100      |  |
| 1/6/2004            | Merck & Co<br>(formerly Schering Plough) | 500                           | 23,291                    |                                 |            |                             |               |           |              | 500                       | 23,291                    |              |  |
| 6/29/2004           |                                          | 865                           | 16,132                    |                                 |            |                             |               |           |              | 865                       | 16,132                    |              |  |
| 2/24/2005           |                                          | 1,000                         | 30,296                    |                                 |            |                             |               |           |              | 1,000                     | 30,296                    |              |  |
| 3/29/2005           |                                          | 500                           | 16,400                    |                                 |            |                             |               |           |              | 500                       | 16,400                    |              |  |
| 4/18/2005           |                                          | 1,000                         | 35,625                    |                                 |            |                             |               |           |              | 1,000                     | 35,625                    |              |  |
| 12/1/2005           |                                          | 1,000                         | 30,101                    |                                 |            |                             |               |           |              | 1,000                     | 30,101                    |              |  |
| 7/19/2006           |                                          | 1,000                         | 37,424                    |                                 |            |                             |               |           |              | 1,000                     | 37,424                    |              |  |
| 9/20/2007           | (formerly Schering Plough)               | 1,442                         | 34,586                    |                                 |            |                             |               |           |              | 1,442                     | 34,586                    |              |  |
| 1/30/2008           | (formerly Schering Plough)               | 288                           | 5,403                     |                                 |            |                             |               |           |              | 288                       | 5,403                     |              |  |
| 2/1/2008            | (formerly Schering Plough)               | 288                           | 5,853                     |                                 |            |                             |               |           |              | 288                       | 5,853                     |              |  |
| 2/5/2008            | (formerly Schering Plough)               | 288                           | 5,681                     |                                 |            |                             |               |           |              | 288                       | 5,681                     |              |  |
| 2/6/2008            | (formerly Schering Plough)               | 288                           | 6,018                     |                                 |            |                             |               |           |              | 288                       | 6,018                     |              |  |
| 2/7/2008            | (formerly Schering Plough)               | 577                           | 12,246                    |                                 |            |                             |               |           |              | 577                       | 12,246                    |              |  |
| 2/12/2008           | Bequest (formerly Schering Plough)       | 1,153                         | 25,179                    |                                 |            |                             |               |           |              | 1,153                     | 25,179                    |              |  |
| 2/15/2008           | (formerly Schering Plough)               | 288                           | 6,392                     |                                 |            |                             |               |           |              | 288                       | 6,392                     |              |  |
| 4/22/2008           | (formerly Schering Plough)               | 288                           | 4,898                     |                                 |            |                             |               |           |              | 288                       | 4,898                     |              |  |
| 10/31/2008          |                                          | 1,000                         | 29,152                    |                                 |            |                             |               |           |              | 1,000                     | 29,152                    |              |  |
| 11/14/2008          |                                          | 1,000                         | 28,356                    |                                 |            |                             |               |           |              | 1,000                     | 28,356                    |              |  |
| 11/17/2008          |                                          | 1,000                         | 27,118                    |                                 |            |                             |               |           |              | 1,000                     | 27,118                    |              |  |
| 12/11/2008          |                                          | 500                           | 13,789                    |                                 |            |                             |               |           |              | 500                       | 13,789                    |              |  |
| 1/8/2009            | (formerly Schering Plough)               | 577                           | 10,205                    |                                 |            |                             |               |           |              | 577                       | 10,205                    |              |  |
| 1/26/2009           |                                          | 500                           | 13,768                    |                                 |            |                             |               |           |              | 500                       | 13,768                    |              |  |
| 1/30/2009           |                                          | 1,000                         | 29,021                    |                                 |            |                             |               |           |              | 1,000                     | 29,021                    |              |  |
| 3/16/2009           |                                          | 1,000                         | 22,628                    |                                 |            |                             |               |           |              | 1,000                     | 22,628                    |              |  |
| 4/7/2009            |                                          | 1,000                         | 27,421                    |                                 |            |                             |               |           |              | 1,000                     | 27,421                    |              |  |
| 4/24/2009           |                                          | 500                           | 11,973                    |                                 |            |                             |               |           |              | 500                       | 11,973                    |              |  |
| 11/23/2009          | Pfd Tender                               | 7,095                         | 255,775                   |                                 |            |                             |               |           |              | 7,095                     | 255,775                   |              |  |
| 3/2/2011            |                                          | 2,062                         | 67,012                    |                                 |            |                             |               |           |              | 2,062                     | 67,012                    |              |  |
| 3/3/2011            |                                          | 1,000                         | 33,119                    |                                 |            |                             |               |           |              | 1,000                     | 33,119                    |              |  |
| 3/18/2011           |                                          | 1,000                         | 32,506                    |                                 |            |                             |               |           |              | 1,000                     | 32,506                    |              |  |
| 4/4/2011            |                                          | 500                           | 17,042                    |                                 |            |                             |               |           |              | 500                       | 17,042                    |              |  |
| 4/21/2011           |                                          | 2,000                         | 68,719                    |                                 |            |                             |               |           |              | 2,000                     | 68,719                    |              |  |
| 8/9/2011            |                                          | 2,500                         | 80,851                    |                                 |            |                             |               |           |              | 2,500                     | 80,851                    | 1,432,900    |  |
| 1/17/2012           | MetLife, Inc                             |                               |                           | 1,500                           | 53,125     |                             |               |           |              | 1,500                     | 53,125                    |              |  |
| 1/19/2012           |                                          |                               |                           | 1,000                           | 35,777     |                             |               |           |              | 1,000                     | 35,777                    |              |  |
| 2/2/2012            |                                          |                               |                           |                                 | 1,500      | 53,109                      |               |           |              |                           | 1,500                     | 53,109       |  |
| 2/9/2012            |                                          |                               |                           | 1,000                           | 37,891     |                             |               |           |              | 1,000                     | 37,891                    |              |  |

Emerald Foundation, Inc  
Securities Schedule

| Date Acquired |                               | Name of Security | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            |              | Inventory End of Period   |                           |              |
|---------------|-------------------------------|------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|--------------|---------------------------|---------------------------|--------------|
|               |                               |                  | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 3/27/2012     |                               |                  |                               |                           | 1,000                           | 37,567     | 1,000                       | 31,339        | 11/21/2012 | (6,228)      | -                         | -                         |              |
| 4/2/2012      |                               |                  |                               |                           | 1,500                           | 57,218     | 1,500                       | 46,999        | 11/21/2012 | (10,219)     | -                         | 29,965                    |              |
| 6/20/2012     |                               |                  |                               |                           | 1,000                           | 29,965     |                             |               |            |              | 1,000                     | 29,965                    |              |
| 8/6/2012      |                               |                  |                               |                           | 1,500                           | 46,636     |                             |               |            |              | 1,500                     | 46,636                    | 247,050      |
| 4/16/2009     | Microsoft Corp                |                  |                               |                           |                                 |            |                             |               |            |              |                           |                           |              |
| 10/22/2009    |                               |                  | 1,000                         | 19,900                    |                                 |            |                             |               |            |              | 1,000                     | 19,900                    |              |
| 10/27/2009    |                               |                  | 1,500                         | 40,112                    |                                 |            |                             |               |            |              | 1,500                     | 40,112                    |              |
| 10/27/2009    |                               |                  | 1,000                         | 26,758                    |                                 |            |                             |               |            |              | 1,000                     | 26,758                    |              |
| 10/29/2009    |                               |                  | 1,000                         | 29,388                    |                                 |            |                             |               |            |              | 1,000                     | 29,388                    |              |
| 1/14/2010     |                               |                  | 500                           | 15,628                    |                                 |            |                             |               |            |              | 500                       | 15,628                    |              |
| 7/28/2010     |                               |                  | 1,500                         | 39,328                    |                                 |            |                             |               |            |              | 1,500                     | 39,328                    |              |
| 7/29/2010     |                               |                  | 1,000                         | 26,414                    |                                 |            |                             |               |            |              | 1,000                     | 26,414                    |              |
| 9/7/2010      |                               |                  | 1,500                         | 36,214                    |                                 |            |                             |               |            |              | 1,500                     | 36,214                    |              |
| 9/17/2010     |                               |                  | 1,000                         | 25,414                    |                                 |            |                             |               |            |              | 1,000                     | 25,414                    |              |
| 9/27/2010     |                               |                  | 1,500                         | 37,176                    |                                 |            |                             |               |            |              | 1,500                     | 37,176                    |              |
| 10/18/2010    |                               |                  | 1,000                         | 25,391                    |                                 |            |                             |               |            |              | 1,000                     | 25,391                    |              |
| 3/31/2011     |                               |                  | 5,000                         | 129,870                   |                                 |            |                             |               |            |              | 5,000                     | 129,870                   |              |
| 4/4/2011      |                               |                  | 1,500                         | 39,092                    |                                 |            |                             |               |            |              | 1,500                     | 39,092                    |              |
| 4/14/2011     |                               |                  |                               |                           |                                 |            |                             |               |            |              |                           |                           |              |
| 3/14/2012     |                               |                  | 1,000                         | 26,600                    | 2,500                           | 81,103     | 2,500                       | 67,600        | 12/14/2012 | (13,503)     | -                         | 26,600                    | 534,194      |
| 10/10/2001    | Motorola Solutions, Inc       |                  | 71                            | 4,970                     |                                 |            |                             |               |            |              | 71                        | 4,970                     |              |
| 4/22/2003     | (1-7 Reverse Split on 1/4/11) |                  | 286                           | 10,324                    |                                 |            |                             |               |            |              | 286                       | 10,324                    |              |
| 10/26/2004    |                               |                  | 286                           | 21,683                    |                                 |            |                             |               |            |              | 286                       | 21,683                    |              |
| 11/1/2004     |                               |                  | 71                            | 5,596                     |                                 |            |                             |               |            |              | 71                        | 5,596                     |              |
| 10/27/2009    |                               |                  | 357                           | 14,685                    |                                 |            |                             |               |            |              | 357                       | 14,685                    |              |
| 10/29/2009    |                               |                  | 357                           | 14,825                    |                                 |            |                             |               |            |              | 357                       | 14,825                    |              |
| 8/18/2011     |                               |                  | 972                           | 39,871                    |                                 |            |                             |               |            |              | 972                       | 39,871                    | 133,632      |
| 6/12/2012     | Motors Liq CO Guc Tr BE+      |                  |                               |                           | 51                              |            |                             |               |            |              | 51                        | -                         | 1,081        |
| 7/10/2006     | Nestle SA                     |                  | 500                           | 15,594                    |                                 |            |                             |               |            |              | 500                       | 15,594                    |              |
| 9/20/2007     |                               |                  | 500                           | 22,222                    |                                 |            |                             |               |            |              | 500                       | 22,222                    |              |
| 7/3/2008      | Stock Dividend                |                  | 1,500                         | 56,724                    |                                 |            |                             |               |            |              | 1,500                     | 56,724                    | 162,780      |
| 5/4/2009      | New York Community Bancorp    |                  | 1,000                         | 12,185                    |                                 |            |                             | 13,284        | 9/14/2012  | 1,099        | -                         | -                         |              |
| 12/21/2009    |                               |                  | 1,500                         | 20,811                    |                                 |            |                             | 13,632        | 9/14/2012  | (242)        | 500                       | 6,937                     |              |
| 12/23/2009    |                               |                  | 1,000                         | 14,299                    |                                 |            |                             |               |            |              | 1,000                     | 14,299                    |              |
| 12/28/2009    |                               |                  | 1,500                         | 21,771                    |                                 |            |                             |               |            |              | 1,500                     | 21,771                    | 39,300       |
| 10/13/2011    | News Corporation              |                  | 2,500                         | 42,672                    |                                 |            |                             | 46,619        | 6/11/2012  | 3,946        | -                         | -                         |              |
| 3/19/2012     | Nupathe, Inc                  |                  |                               |                           | 2,500                           | 8,987      |                             | 7,820         | 6/22/2012  | (1,167)      | -                         | -                         |              |
| 8/2/2011      | Pandora Media, Inc            |                  | 1,000                         | 16,272                    |                                 |            |                             |               |            |              | 1,000                     | 16,272                    |              |
| 8/3/2011      |                               |                  | 1,000                         | 15,475                    |                                 |            |                             |               |            |              | 1,000                     | 15,475                    |              |
| 8/4/2011      |                               |                  | 1,000                         | 14,505                    |                                 |            |                             |               |            |              | 1,000                     | 14,505                    |              |

Emerald Foundation, Inc.  
Securities Schedule

| Securities Schedule |                                                | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            |              | Inventory End of Period   |                           |              |
|---------------------|------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|--------------|---------------------------|---------------------------|--------------|
| Date Acquired       | Name of Security                               | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 8/19/2011           |                                                | 1,000                         | 14,338                    |                                 |            |                             |               |            |              | 1,000                     | 14,338                    |              |
| 9/16/2011           |                                                | 1,000                         | 10,504                    |                                 |            |                             |               |            |              | 1,000                     | 10,504                    | 45,900       |
| 3/31/2008           | Philip Morris Int'l Inc (Spin-off from Altria) | 5,000                         | 66,831                    |                                 |            |                             |               |            |              |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 1,000                       | 85,223        | 5/14/2012  | 71,856       |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 1,000                       | 85,610        | 5/15/2012  | 72,244       |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 500                         | 43,130        | 6/19/2012  | 36,447       |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 500                         | 43,210        | 6/26/2012  | 36,527       |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 500                         | 45,704        | 10/12/2012 | 39,021       |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 500                         | 44,619        | 11/28/2012 | 37,936       |                           |                           |              |
|                     |                                                |                               |                           |                                 |            | 1,000                       | 85,561        | 12/21/2012 | 72,195       |                           |                           |              |
| 11/25/2009          |                                                | 1,500                         | 75,866                    |                                 |            | 1,500                       | 110,094       | 1/23/2012  | 34,229       |                           |                           |              |
| 11/27/2009          |                                                | 1,000                         | 50,434                    |                                 |            | 1,000                       | 73,451        | 1/23/2012  | 23,017       |                           |                           |              |
| 3/3/2009            | Phillips 66                                    |                               |                           | 250                             | 4,616      |                             |               |            |              | 250                       | 4,616                     |              |
| 3/13/2009           | (Spinoff ConocoPhillips 3/2012)                |                               |                           | 500                             | 8,765      |                             |               |            |              | 500                       | 8,765                     |              |
| 3/27/2009           |                                                |                               |                           | 500                             | 9,297      |                             |               |            |              | 500                       | 9,297                     |              |
| 5/8/2010            |                                                |                               |                           | 1,250                           | 39,587     |                             |               |            |              | 1,250                     | 39,587                    |              |
| 5/15/2012           |                                                |                               |                           | 1,000                           | 33,483     | 1,000                       | 33,572        | 6/21/2012  | 89           |                           |                           | 132,750      |
| 3/23/2011           | PIMCO Real Return Fund CL C                    | 6,505                         | 75,005                    |                                 |            |                             |               |            |              | 6,505                     | 75,005                    |              |
| 4/1/2011            | Dividend Reinvestment                          | 6                             | 71                        |                                 |            |                             |               |            |              | 6                         | 71                        |              |
| 5/2/2011            | Dividend Reinvestment                          | 23                            | 268                       |                                 |            |                             |               |            |              | 23                        | 268                       |              |
| 6/1/2011            | Dividend Reinvestment                          | 43                            | 497                       |                                 |            |                             |               |            |              | 43                        | 497                       |              |
| 7/1/2011            | Dividend Reinvestment                          | 30                            | 355                       |                                 |            |                             |               |            |              | 30                        | 355                       |              |
| 8/1/2011            | Dividend Reinvestment                          | 22                            | 260                       |                                 |            |                             |               |            |              | 22                        | 260                       |              |
| 9/1/2011            | Dividend Reinvestment                          | 2                             | 26                        |                                 |            |                             |               |            |              | 2                         | 26                        |              |
| 10/3/2011           | Dividend Reinvestment                          | 1                             | 18                        |                                 |            |                             |               |            |              | 1                         | 18                        |              |
| 11/1/2011           | Dividend Reinvestment                          | 12                            | 151                       |                                 |            |                             |               |            |              | 12                        | 151                       |              |
| 12/1/2011           | Dividend Reinvestment                          | 7                             | 88                        |                                 |            |                             |               |            |              | 7                         | 88                        |              |
| 12/8/2011           | Dividend Reinvestment                          | 219                           | 2,589                     |                                 |            |                             |               |            |              | 219                       | 2,589                     |              |
| 12/29/2011          | Dividend Reinvestment                          | 29                            | 337                       |                                 |            |                             |               |            |              | 29                        | 337                       |              |
| 1/3/2012            | Dividend Reinvestment                          |                               |                           | 2                               |            |                             |               |            |              | 2                         | 21                        |              |
| 2/1/2012            | Dividend Reinvestment                          |                               |                           | 1                               |            |                             |               |            |              | 1                         | 18                        |              |
| 3/1/2012            | Dividend Reinvestment                          |                               |                           | 1                               |            |                             |               |            |              | 1                         | 17                        |              |
| 4/2/2012            | Dividend Reinvestment                          |                               |                           | 2                               |            |                             |               |            |              | 2                         | 18                        |              |
| 5/1/2012            | Dividend Reinvestment                          |                               |                           | 6                               |            |                             |               |            |              | 6                         | 67                        |              |
| 6/1/2012            | Dividend Reinvestment                          |                               |                           | 32                              |            |                             |               |            |              | 32                        | 401                       |              |
| 7/2/2012            | Dividend Reinvestment                          |                               |                           | 13                              |            |                             |               |            |              | 13                        | 159                       |              |
| 8/1/2012            | Dividend Reinvestment                          |                               |                           | 2                               |            |                             |               |            |              | 2                         | 19                        |              |
| 9/4/2012            | Dividend Reinvestment                          |                               |                           | 2                               |            |                             |               |            |              | 2                         | 20                        |              |
| 10/1/2012           | Dividend Reinvestment                          |                               |                           | 1                               |            |                             |               |            |              | 1                         | 16                        |              |
| 11/1/2012           | Dividend Reinvestment                          |                               |                           | 1                               |            |                             |               |            |              | 1                         | 19                        |              |
| 12/3/2012           | Dividend Reinvestment                          |                               |                           | 6                               |            |                             |               |            |              | 6                         | 77                        |              |
| 12/13/2012          | Dividend Reinvestment                          |                               |                           | 68                              |            |                             |               |            |              | 68                        | 853                       |              |
| 12/13/2012          | Dividend Reinvestment                          |                               |                           | 85                              |            |                             |               |            |              | 85                        | 1,055                     |              |
| 12/28/2012          | Dividend Reinvestment                          |                               |                           | 57                              |            |                             |               |            |              | 57                        | 703                       | 88,080       |

Emerald Foundation, Inc  
Securities Schedule  
2012

| Date Acquired |  | Name of Security                    | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           |              | Inventory End of Period   |                           |              |
|---------------|--|-------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|--------------|---------------------------|---------------------------|--------------|
|               |  |                                     | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 2/7/2005      |  | Pfizer, Inc                         | 2,000                         | 48,877                    |                                 |            |                             |               |           |              | 2,000                     | 48,877                    |              |
| 2/9/2005      |  |                                     | 1,000                         | 24,629                    |                                 |            |                             |               |           |              | 1,000                     | 24,629                    |              |
| 2/10/2005     |  |                                     | 1,000                         | 24,982                    |                                 |            |                             |               |           |              | 1,000                     | 24,982                    |              |
| 2/17/2005     |  |                                     | 1,000                         | 25,697                    |                                 |            |                             |               |           |              | 1,000                     | 25,697                    |              |
| 2/15/2006     |  |                                     | 1,000                         | 26,312                    |                                 |            |                             |               |           |              | 1,000                     | 26,312                    |              |
| 6/29/2006     |  |                                     | 1,500                         | 35,530                    |                                 |            |                             |               |           |              | 1,500                     | 35,530                    |              |
| 8/22/2007     |  |                                     | 500                           | 12,171                    |                                 |            |                             |               |           |              | 500                       | 12,171                    |              |
| 2/5/2008      |  |                                     | 500                           | 11,812                    |                                 |            |                             |               |           |              | 500                       | 11,812                    |              |
| 2/12/2008     |  | Bequest                             | 1,000                         | 22,680                    |                                 |            |                             |               |           |              | 1,000                     | 22,680                    |              |
| 4/22/2008     |  |                                     | 500                           | 10,396                    |                                 |            |                             |               |           |              | 500                       | 10,396                    |              |
| 5/21/2008     |  |                                     | 1,000                         | 20,415                    |                                 |            |                             |               |           |              | 1,000                     | 20,415                    |              |
| 5/22/2008     |  |                                     | 1,000                         | 20,585                    |                                 |            |                             |               |           |              | 1,000                     | 20,585                    |              |
| 6/4/2008      |  |                                     | 500                           | 9,870                     |                                 |            |                             |               |           |              | 500                       | 9,870                     |              |
| 10/16/2009    |  | Wyeth Merger                        | 2,955                         | 51,757                    |                                 |            |                             |               |           |              | 2,955                     | 51,757                    |              |
| 11/3/2009     |  |                                     | 2,045                         | 35,754                    |                                 |            |                             |               |           |              | 2,045                     | 35,754                    |              |
| 11/27/2009    |  |                                     | 1,000                         | 19,012                    |                                 |            |                             |               |           |              | 1,000                     | 19,012                    |              |
| 1/22/2010     |  |                                     | 1,500                         | 30,086                    |                                 |            |                             |               |           |              | 1,500                     | 30,086                    |              |
| 3/26/2010     |  |                                     | 1,000                         | 17,799                    |                                 |            |                             |               |           |              | 1,000                     | 17,799                    |              |
| 4/12/2010     |  |                                     | 1,000                         | 17,374                    |                                 |            |                             |               |           |              | 1,000                     | 17,374                    |              |
| 4/15/2010     |  |                                     | 2,000                         | 35,160                    |                                 |            |                             |               |           |              | 2,000                     | 35,160                    |              |
| 4/16/2010     |  |                                     | 1,000                         | 17,465                    |                                 |            |                             |               |           |              | 1,000                     | 17,465                    | 626,983      |
| 1/5/2012      |  | Potlatch Corporation                |                               |                           | 1,500                           | 47,606     |                             |               |           |              |                           |                           |              |
| 1/23/2012     |  |                                     |                               |                           | 1,000                           | 33,879     | 2,500                       | 75,768        | 5/15/2012 | (5,717)      | -                         | (0)                       |              |
| 8/12/2011     |  | Procter & Gamble                    | 2,000                         | 121,134                   |                                 |            |                             |               |           |              | 2,000                     | 121,134                   |              |
| 8/18/2011     |  |                                     | 500                           | 31,223                    |                                 |            |                             |               |           |              | 500                       | 31,223                    | 169,725      |
| 4/3/2012      |  | Qualcomm, Inc                       |                               |                           | 1,000                           | 68,433     | 1,000                       | 57,561        | 6/11/2012 | (10,872)     | -                         | -                         |              |
| 2/23/2012     |  | Roche Holding Ltd                   |                               |                           | 1,000                           | 44,663     |                             |               |           |              | 1,000                     | 44,663                    |              |
| 2/28/2012     |  |                                     |                               |                           | 1,500                           | 67,299     |                             |               |           |              | 1,500                     | 67,299                    |              |
| 9/12/2012     |  |                                     |                               |                           | 1,000                           | 47,701     |                             |               |           |              | 1,000                     | 47,701                    | 175,889      |
| 1/14/2004     |  | Royal Dutch Shell PLC CL A          | 1,000                         | 49,342                    |                                 |            |                             |               |           |              | 1,000                     | 49,342                    |              |
| 10/16/2008    |  |                                     | 500                           | 24,372                    |                                 |            |                             |               |           |              | 500                       | 24,372                    |              |
| 5/4/2009      |  |                                     | 1,000                         | 46,760                    |                                 |            |                             |               |           |              | 1,000                     | 46,760                    | 172,375      |
| 5/26/2004     |  | Senior Housing Properties Trust SBI | 1,000                         | 15,950                    |                                 |            |                             |               |           |              | 1,000                     | 15,950                    |              |
| 2/22/2007     |  |                                     | 1,000                         | 26,079                    |                                 |            |                             |               |           |              | 1,000                     | 26,079                    |              |
| 11/18/2008    |  |                                     | 500                           | 7,762                     |                                 |            |                             |               |           |              | 500                       | 7,762                     | 59,100       |
| 2/12/2010     |  | Solar Capital Ltd                   | 2,500                         | 45,393                    |                                 |            |                             |               |           |              | 2,500                     | 45,393                    |              |
| 2/17/2010     |  |                                     | 1,100                         | 20,106                    |                                 |            |                             |               |           |              | 1,100                     | 20,106                    |              |
| 2/17/2010     |  |                                     | 1,400                         | 25,799                    |                                 |            |                             |               |           |              | 1,400                     | 25,799                    |              |
| 2/26/2010     |  |                                     | 1,000                         | 18,610                    |                                 |            |                             |               |           |              | 1,000                     | 18,610                    |              |
| 3/10/2010     |  |                                     | 1,500                         | 29,238                    |                                 |            |                             |               |           |              | 1,500                     | 29,238                    |              |
| 4/9/2010      |  |                                     | 114                           | 2,547                     |                                 |            |                             |               |           |              | 114                       | 2,547                     |              |
| 5/11/2010     |  |                                     | 2,386                         | 51,124                    |                                 |            |                             |               |           |              | 2,386                     | 51,124                    |              |

Emerald Foundation, Inc  
Securities Schedule

| Securities Schedule |  | Date Acquired | Name of Security      | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           | Inventory End of Period |                           |                           |
|---------------------|--|---------------|-----------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|-------------------------|---------------------------|---------------------------|
|                     |  |               |                       | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss            | No of Shares or Principal | Cost or Acquisition Value |
|                     |  | 5/20/2010     |                       | 2,500                         | 53,582                    |                                 |            |                             |               |           | 2,500                   | 53,582                    |                           |
|                     |  | 5/24/2010     |                       | 2,000                         | 41,018                    |                                 |            |                             |               |           | 2,000                   | 41,018                    |                           |
|                     |  | 5/25/2010     |                       | 1,000                         | 20,230                    |                                 |            |                             |               |           | 1,000                   | 20,230                    |                           |
|                     |  | 5/26/2010     |                       | 1,000                         | 19,932                    |                                 |            |                             |               |           | 1,000                   | 19,932                    |                           |
|                     |  | 5/27/2010     |                       | 1,000                         | 20,944                    |                                 |            |                             |               |           | 1,000                   | 20,944                    |                           |
|                     |  | 6/2/2010      |                       | 1,000                         | 21,095                    |                                 |            |                             |               |           | 1,000                   | 21,095                    |                           |
|                     |  | 6/17/2010     |                       | 1,500                         | 31,930                    |                                 |            |                             |               |           | 1,500                   | 31,930                    |                           |
|                     |  | 7/12/2010     |                       | 630                           | 11,989                    |                                 |            |                             |               |           | 630                     | 11,989                    |                           |
|                     |  | 7/15/2010     |                       | 2,000                         | 40,274                    |                                 |            |                             |               |           | 2,000                   | 40,274                    |                           |
|                     |  | 7/16/2010     |                       | 1,000                         | 20,341                    |                                 |            |                             |               |           | 1,000                   | 20,341                    |                           |
|                     |  | 7/22/2010     |                       | 1,370                         | 27,613                    |                                 |            |                             |               |           | 1,370                   | 27,613                    |                           |
|                     |  | 10/13/2010    | Dividend reinvestment | 697                           | 14,999                    |                                 |            |                             |               |           | 697                     | 14,999                    |                           |
|                     |  | 1/5/2011      | Dividend reinvestment | 616                           | 15,406                    |                                 |            |                             |               |           | 616                     | 15,406                    |                           |
|                     |  | 4/8/2011      | Dividend reinvestment | 651                           | 15,774                    |                                 |            |                             |               |           | 651                     | 15,774                    |                           |
|                     |  | 7/8/2011      | Dividend reinvestment | 652                           | 16,170                    |                                 |            |                             |               |           | 652                     | 16,170                    |                           |
|                     |  | 9/2/2011      |                       | 2,384                         | 55,602                    |                                 |            |                             |               |           | 2,384                   | 55,602                    |                           |
|                     |  | 9/8/2011      |                       | 1,000                         | 22,398                    |                                 |            |                             |               |           | 1,000                   | 22,398                    |                           |
|                     |  | 9/15/2011     |                       | 1,500                         | 34,123                    |                                 |            |                             |               |           | 1,500                   | 34,123                    |                           |
|                     |  | 9/29/2011     |                       | 1,100                         | 22,314                    |                                 |            |                             |               |           | 1,100                   | 22,314                    |                           |
|                     |  | 10/4/2011     |                       | 1,400                         | 29,036                    |                                 |            |                             |               |           | 1,400                   | 29,036                    |                           |
|                     |  | 10/11/2011    |                       | 955                           | 19,482                    |                                 |            |                             |               |           | 955                     | 19,482                    |                           |
|                     |  | 11/4/2011     |                       | 2,500                         | 54,819                    |                                 |            |                             |               |           | 2,500                   | 54,819                    |                           |
|                     |  | 11/9/2011     |                       | 1,545                         | 34,292                    |                                 |            |                             |               |           | 1,545                   | 34,292                    |                           |
|                     |  | 12/23/2011    |                       | 1,000                         | 22,031                    |                                 |            |                             |               |           | 1,000                   | 22,031                    |                           |
|                     |  | 1/6/2012      | Dividend reinvestment |                               |                           | 1,084                           | 23,978     |                             |               |           |                         |                           |                           |
|                     |  | 1/24/2012     |                       |                               |                           | 2,916                           | 67,936     |                             |               |           |                         |                           |                           |
|                     |  | 4/19/2012     |                       |                               |                           | 799                             | 16,469     |                             |               |           |                         |                           |                           |
|                     |  | 5/4/2012      |                       |                               |                           | 1,000                           | 21,488     |                             |               |           |                         |                           |                           |
|                     |  | 7/3/2012      |                       |                               |                           | 1,267                           | 28,785     |                             |               |           |                         |                           |                           |
|                     |  | 10/2/2012     |                       |                               |                           | 1,290                           | 29,549     |                             |               |           |                         |                           | 1,208,818                 |
|                     |  | 3/4/2011      | Solar Sr Cap Ltd      | 1,000                         | 19,926                    |                                 |            |                             |               |           | 1,000                   | 19,926                    |                           |
|                     |  | 3/16/2011     |                       | 1,000                         | 19,506                    |                                 |            |                             |               |           | 1,000                   | 19,506                    |                           |
|                     |  | 3/17/2011     |                       | 1,000                         | 19,439                    |                                 |            |                             |               |           | 1,000                   | 19,439                    |                           |
|                     |  | 3/18/2011     |                       | 1,000                         | 19,368                    |                                 |            |                             |               |           | 1,000                   | 19,368                    |                           |
|                     |  | 4/14/2011     |                       | 3,500                         | 65,793                    |                                 |            |                             |               |           | 3,500                   | 65,793                    |                           |
|                     |  | 4/15/2011     |                       | 2,500                         | 46,747                    |                                 |            |                             |               |           | 2,500                   | 46,747                    |                           |
|                     |  | 4/29/2011     |                       | 2,500                         | 45,791                    |                                 |            |                             |               |           | 2,500                   | 45,791                    |                           |
|                     |  | 5/10/2011     |                       | 2,500                         | 46,263                    |                                 |            |                             |               |           | 2,500                   | 46,263                    |                           |
|                     |  | 5/16/2011     |                       | 1,500                         | 27,757                    |                                 |            |                             |               |           | 1,500                   | 27,757                    |                           |
|                     |  | 5/16/2011     |                       | 1,500                         | 27,963                    |                                 |            |                             |               |           | 1,500                   | 27,963                    |                           |
|                     |  | 5/17/2011     |                       | 2,000                         | 36,846                    |                                 |            |                             |               |           | 2,000                   | 36,846                    |                           |
|                     |  | 6/2/2011      |                       | 2,000                         | 36,987                    |                                 |            |                             |               |           | 2,000                   | 36,987                    |                           |
|                     |  | 6/9/2011      |                       | 1,500                         | 27,311                    |                                 |            |                             |               |           | 1,500                   | 27,311                    |                           |
|                     |  | 6/9/2011      |                       | 1,500                         | 27,676                    |                                 |            |                             |               |           | 1,500                   | 27,676                    |                           |
|                     |  | 8/15/2011     |                       | 2,500                         | 39,516                    |                                 |            |                             |               |           | 2,500                   | 39,516                    |                           |
|                     |  | 8/29/2011     |                       | 2,500                         | 39,742                    |                                 |            |                             |               |           | 2,500                   | 39,742                    |                           |
|                     |  | 9/15/2011     |                       | 2,500                         | 37,541                    |                                 |            |                             |               |           | 2,500                   | 37,541                    |                           |
|                     |  | 9/27/2011     |                       | 2,500                         | 37,692                    |                                 |            |                             |               |           | 2,500                   | 37,692                    |                           |
|                     |  | 10/12/2011    |                       | 495                           | 7,855                     |                                 |            |                             |               |           | 495                     | 7,855                     |                           |
|                     |  | 10/14/2011    |                       | 699                           | 11,043                    |                                 |            |                             |               |           | 699                     | 11,043                    |                           |
|                     |  | 10/17/2011    |                       | 1,806                         | 29,169                    |                                 |            |                             |               |           | 1,806                   | 29,169                    |                           |

Emerald Foundation, Inc  
Securities Schedule

| Date Acquired |  | Name of Security                        | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            | Inventory End of Period |                           |                           |              |
|---------------|--|-----------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|-------------------------|---------------------------|---------------------------|--------------|
|               |  |                                         | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss            | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 10/19/2011    |  |                                         | 1,000                         | 16,134                    |                                 |            |                             |               |            |                         | 1,000                     | 16,134                    |              |
| 10/21/2011    |  |                                         | 1,000                         | 15,855                    |                                 |            |                             |               |            |                         | 1,000                     | 15,855                    |              |
| 12/5/2011     |  |                                         | 2,500                         | 39,050                    |                                 |            |                             |               |            |                         | 2,500                     | 39,050                    |              |
| 12/7/2011     |  |                                         | 2,500                         | 39,654                    |                                 |            |                             |               |            |                         | 2,500                     | 39,654                    |              |
| 12/7/2011     |  |                                         | 1,500                         | 24,414                    |                                 |            |                             |               |            |                         | 1,500                     | 24,414                    |              |
| 12/9/2011     |  |                                         | 3,500                         | 57,032                    |                                 |            |                             |               |            |                         | 3,500                     | 57,032                    |              |
| 1/31/2012     |  |                                         |                               |                           | 2,000                           | 34,119     |                             |               |            |                         | 2,000                     | 34,119                    |              |
| 2/1/2012      |  |                                         |                               |                           | 1,380                           | 23,778     |                             |               |            |                         | 1,380                     | 23,778                    |              |
| 2/3/2012      |  |                                         |                               |                           | 1,620                           | 27,269     |                             |               |            |                         | 1,620                     | 27,269                    |              |
| 4/3/2012      |  |                                         |                               |                           | 1,201                           | 26,990     |                             |               |            |                         | 1,201                     | 26,990                    | 1,026,300    |
| 8/31/2012     |  | Southwestern Energy Co                  |                               |                           | 1,500                           | 47,507     |                             |               |            |                         | 1,500                     | 47,507                    |              |
| 9/10/2012     |  |                                         |                               |                           | 1,000                           | 32,337     |                             |               |            |                         | 1,000                     | 32,337                    |              |
| 9/14/2012     |  |                                         |                               |                           | 1,500                           | 50,714     |                             |               |            |                         | 1,500                     | 50,714                    | 133,640      |
| 3/12/2012     |  | Spectra Energy Corp                     |                               |                           | 1,500                           | 47,376     |                             |               |            |                         |                           |                           |              |
| 3/16/2012     |  |                                         |                               |                           | 1,000                           | 32,386     |                             | 2,500         | 70.988     | 9/17/2012               | (8,774)                   | -                         |              |
| 8/15/2006     |  | Sprmt Nextel Corp Series 1 Common Stock | 500                           | 8,581                     |                                 |            |                             |               |            |                         |                           |                           |              |
| 8/21/2006     |  |                                         | 500                           | 8,358                     |                                 |            |                             |               |            |                         | 500                       | 8,581                     |              |
| 1/23/2007     |  |                                         | 1,000                         | 17,516                    |                                 |            |                             |               |            |                         | 1,000                     | 17,516                    |              |
| 1/25/2007     |  |                                         | 500                           | 8,838                     |                                 |            |                             |               |            |                         | 500                       | 8,838                     |              |
| 1/29/2007     |  |                                         | 1,000                         | 18,060                    |                                 |            |                             |               |            |                         | 1,000                     | 18,060                    |              |
| 2/20/2007     |  |                                         | 500                           | 9,430                     |                                 |            |                             |               |            |                         | 500                       | 9,430                     |              |
| 4/17/2007     |  |                                         | 1,000                         | 19,799                    |                                 |            |                             |               |            |                         | 1,000                     | 19,799                    |              |
| 8/22/2007     |  |                                         | 1,000                         | 18,940                    |                                 |            |                             |               |            |                         | 1,000                     | 18,940                    |              |
| 2/1/2008      |  |                                         | 1,000                         | 10,910                    |                                 |            |                             |               |            |                         | 1,000                     | 10,910                    |              |
| 2/5/2008      |  |                                         | 500                           | 5,456                     |                                 |            |                             |               |            |                         | 500                       | 5,456                     |              |
| 1/14/2009     |  |                                         | 2,500                         | 7,383                     |                                 |            |                             |               |            |                         | 2,500                     | 7,383                     |              |
| 12/17/2010    |  |                                         | 5,000                         | 22,638                    |                                 |            |                             |               |            |                         | 5,000                     | 22,638                    |              |
| 1/13/2011     |  |                                         | 5,000                         | 23,691                    |                                 |            |                             |               |            |                         | 5,000                     | 23,691                    |              |
| 1/19/2011     |  |                                         | 5,000                         | 22,791                    |                                 |            |                             |               |            |                         | 5,000                     | 22,791                    |              |
| 3/17/2011     |  |                                         | 2,500                         | 13,243                    |                                 |            |                             |               |            |                         | 2,500                     | 13,243                    | 155,925      |
| 1/12/2012     |  | Staples, Inc                            |                               |                           | 1,500                           | 22,743     |                             |               |            |                         | 1,500                     | 22,743                    |              |
| 1/24/2012     |  |                                         | 1,000                         | 15,874                    |                                 |            |                             |               |            |                         | 1,000                     | 15,874                    |              |
| 3/5/2012      |  |                                         | 1,500                         | 22,293                    |                                 |            |                             |               |            |                         | 1,500                     | 22,293                    |              |
| 3/23/2012     |  |                                         | 1,000                         | 17,184                    |                                 |            |                             |               |            |                         | 1,000                     | 17,184                    |              |
| 9/14/2012     |  |                                         | 2,500                         | 28,666                    |                                 |            |                             |               |            |                         | 2,500                     | 28,666                    |              |
| 9/20/2012     |  |                                         | 2,500                         | 31,243                    |                                 |            |                             |               |            |                         | 2,500                     | 31,243                    | 114,000      |
| 2/2/2012      |  | The Travelers Companies, Inc            |                               |                           | 1,500                           | 87,740     |                             |               |            |                         |                           |                           |              |
| 2/10/2012     |  |                                         | 1,000                         | 60,595                    |                                 |            | 2,500                       | 169,151       | 11/21/2012 | 20,816                  | -                         | -                         |              |
| 11/15/2012    |  | Tum Holdings, Inc                       | 100                           | 2,110                     |                                 |            | 100                         | 2,192         | 12/14/2012 | 82                      | -                         | -                         |              |
| 1/12/2012     |  | Unitedhealth Group, Inc                 | 1,500                         | 79,747                    |                                 |            |                             |               |            |                         | 1,500                     | 79,747                    |              |
| 1/19/2012     |  |                                         | 1,000                         | 53,022                    |                                 |            |                             |               |            |                         | 1,000                     | 53,022                    |              |
| 2/2/2012      |  |                                         | 1,500                         | 76,289                    |                                 |            |                             |               |            |                         | 1,500                     | 76,289                    |              |
| 2/13/2012     |  |                                         | 1,000                         | 52,889                    |                                 |            |                             |               |            |                         | 1,000                     | 52,889                    | 271,200      |

Emerald Foundation, Inc.  
Securities Schedule

| Date Acquired |           | Name of Security                                     | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           |              | Inventory End of Period   |                           |              |
|---------------|-----------|------------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|--------------|---------------------------|---------------------------|--------------|
|               |           |                                                      | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 3/15/2011     | 3/21/2011 | United Parcel Service, Inc                           | 1,500                         | 110,772                   |                                 |            |                             | 2,500         | 186,497   | 1/25/2012    | 3,424                     | -                         |              |
|               |           |                                                      | 1,000                         | 72,301                    |                                 |            |                             |               |           |              |                           |                           |              |
| 7/31/2006     |           | Verizon Communications, Inc                          | 1,000                         | 31,177                    |                                 |            |                             |               |           |              |                           | 1,000                     | 31,177       |
| 2/12/2008     |           | Bequest                                              | 1,000                         | 35,086                    |                                 |            |                             |               |           |              |                           | 1,000                     | 35,086       |
| 5/16/2008     |           |                                                      | 1,500                         | 54,551                    |                                 |            |                             |               |           |              |                           | 1,500                     | 54,551       |
| 5/21/2008     |           |                                                      | 500                           | 18,515                    |                                 |            |                             |               |           |              |                           | 500                       | 18,515       |
| 5/22/2008     |           |                                                      | 1,000                         | 37,138                    |                                 |            |                             | 1,000         | 44,147    | 10/25/2012   | 7,009                     | -                         |              |
| 12/8/2009     |           |                                                      | 1,000                         | 31,109                    |                                 |            |                             |               |           |              |                           | 1,000                     | 31,109       |
| 12/21/2009    |           |                                                      | 1,500                         | 47,123                    |                                 |            |                             |               |           |              |                           | 1,500                     | 47,123       |
| 2/2/2010      |           |                                                      | 1,000                         | 28,192                    |                                 |            |                             |               |           |              |                           | 1,000                     | 28,192       |
| 2/4/2010      |           |                                                      | 1,500                         | 42,118                    |                                 |            |                             |               |           |              |                           | 1,500                     | 42,118       |
| 3/16/2010     |           |                                                      | 2,000                         | 56,474                    |                                 |            |                             |               |           |              |                           | 2,000                     | 56,474       |
| 3/26/2010     |           |                                                      | 500                           | 14,697                    |                                 |            |                             |               |           |              |                           | 500                       | 14,697       |
| 3/30/2010     |           |                                                      | 2,500                         | 72,621                    |                                 |            |                             |               |           |              |                           | 2,500                     | 72,621       |
| 7/16/2010     |           |                                                      | 1,000                         | 27,109                    |                                 |            |                             |               |           |              |                           | 1,000                     | 27,109       |
| 8/5/2010      |           |                                                      | 1,500                         | 44,904                    |                                 |            |                             |               |           |              |                           | 1,500                     | 44,904       |
| 12/6/2010     |           |                                                      | 1,000                         | 32,980                    |                                 |            |                             |               |           |              |                           | 1,000                     | 32,980       |
|               |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           |                           | 757,225      |
| 8/23/2012     |           | Verizon Communications, Inc (Call) (closed contract) |                               |                           | 50                              | 1,106      |                             | 50            | 3,888     | 8/10/2012    | 2,782                     | -                         |              |
| 5/2/2012      |           | Vivus, Inc                                           |                               |                           | 1,500                           | 38,427     |                             |               |           |              |                           | 1,500                     | 38,427       |
| 11/27/2012    |           |                                                      |                               |                           | 1,000                           | 11,947     |                             |               |           |              |                           | 1,000                     | 11,947       |
|               |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           |                           | 33,550       |
| 8/23/2001     |           | Vodafone Group PLC New                               | 750                           | 16,036                    |                                 |            |                             |               |           |              |                           | 750                       | 16,036       |
| 9/10/2001     |           |                                                      | 874                           | 21,197                    |                                 |            |                             |               |           |              |                           | 874                       | 21,197       |
| 4/2/2002      |           |                                                      | 876                           | 18,789                    |                                 |            |                             |               |           |              |                           | 876                       | 18,789       |
| 6/2/2010      |           |                                                      | 1,500                         | 29,918                    |                                 |            |                             |               |           |              |                           | 1,500                     | 29,918       |
| 7/16/2010     |           |                                                      | 1,000                         | 22,657                    |                                 |            |                             |               |           |              |                           | 1,000                     | 22,657       |
| 3/25/2011     |           |                                                      | 1,500                         | 43,784                    |                                 |            |                             |               |           |              |                           | 1,500                     | 43,784       |
| 3/29/2011     |           |                                                      | 1,000                         | 28,932                    |                                 |            |                             |               |           |              |                           | 1,000                     | 28,932       |
| 4/1/2011      |           |                                                      | 1,500                         | 44,432                    |                                 |            |                             |               |           |              |                           | 1,500                     | 44,432       |
| 4/8/2011      |           |                                                      | 1,000                         | 29,468                    |                                 |            |                             |               |           |              |                           | 1,000                     | 29,468       |
| 6/28/2011     |           |                                                      | 1,500                         | 39,819                    |                                 |            |                             |               |           |              |                           | 1,500                     | 39,819       |
| 6/29/2011     |           |                                                      | 2,000                         | 53,039                    |                                 |            |                             |               |           |              |                           | 2,000                     | 53,039       |
| 7/6/2011      |           |                                                      | 1,500                         | 40,678                    |                                 |            |                             |               |           |              |                           | 1,500                     | 40,678       |
| 7/8/2011      |           |                                                      | 1,500                         | 40,844                    |                                 |            |                             |               |           |              |                           | 1,500                     | 40,844       |
| 7/15/2011     |           |                                                      | 1,000                         | 26,529                    |                                 |            |                             |               |           |              |                           | 1,000                     | 26,529       |
| 8/8/2011      |           |                                                      | 1,000                         | 28,556                    |                                 |            |                             |               |           |              |                           | 1,000                     | 28,556       |
| 9/28/2011     |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           |                           |              |
| 1/11/2012     |           |                                                      |                               |                           | 2,500                           | 70,918     |                             |               |           |              |                           |                           |              |
| 1/23/2012     |           |                                                      |                               |                           | 1,000                           | 27,537     |                             |               |           |              |                           |                           |              |
| 1/25/2012     |           |                                                      |                               |                           | 1,500                           | 42,139     |                             |               |           |              |                           | 1,000                     | 27,537       |
|               |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           | 1,500                     | 42,139       |
|               |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           |                           | 629,750      |
| 2/17/2012     |           | Wachovia Cap Tr III                                  |                               |                           | 50,000                          | 45,755     |                             |               |           |              |                           | 50,000                    | 45,755       |
|               |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           |                           | 49,780       |
| 6/22/2012     |           | Walgreen Co                                          |                               |                           | 1,000                           | 31,065     |                             |               |           |              |                           | 1,000                     | 31,065       |
|               |           |                                                      |                               |                           | 1,000                           | 31,232     |                             |               |           |              |                           | 1,000                     | 31,232       |
|               |           |                                                      |                               |                           |                                 |            |                             |               |           |              |                           |                           | 74,020       |

| 2012 | Date Acquired | Name of Security                   | of Period                 |                           |            | Other Acquisitions |                  |               | Other Dispositions |              |                           | of Period                 |              |
|------|---------------|------------------------------------|---------------------------|---------------------------|------------|--------------------|------------------|---------------|--------------------|--------------|---------------------------|---------------------------|--------------|
|      |               |                                    | No of Shares or Principal | Cost or Acquisition Value | Total Cost | Number of Shares   | Number of Shares | Selling Price | Date Sold          | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
|      | 11/15/2010    | Warner Chilcott PLC                | 2,500                     | 51,426                    |            |                    |                  |               |                    |              | 2,500                     | 51,426                    |              |
|      | 11/18/2010    |                                    | 1,000                     | 20,514                    |            |                    |                  |               |                    |              | 1,000                     | 20,514                    |              |
|      | 3/1/6/2011    |                                    | 1,500                     | 34,936                    |            |                    |                  |               |                    |              | 1,500                     | 34,936                    |              |
|      | 3/2/2012      |                                    |                           |                           | 42,466     | 2,500              |                  |               |                    |              | 2,500                     | 42,466                    |              |
|      | 5/10/2012     |                                    |                           |                           | 33,344     | 1,500              |                  |               |                    |              | 1,500                     | 33,344                    |              |
|      | 11/29/2012    |                                    |                           |                           | 30,282     | 2,500              |                  |               |                    |              | 2,500                     | 30,282                    | 138,460      |
|      | 2001          | Waste Management Inc (Contribunon) | 2,000                     | 61,980                    |            |                    |                  |               |                    |              | 2,000                     | 61,980                    |              |
|      | 2/6/2002      |                                    | 200                       | 5,028                     |            |                    |                  |               |                    |              | 200                       | 5,028                     |              |
|      | 2/6/2002      |                                    | 200                       | 5,103                     |            |                    |                  |               |                    |              | 200                       | 5,103                     |              |
|      | 2/6/2002      |                                    | 100                       | 2,530                     |            |                    |                  |               |                    |              | 100                       | 2,530                     |              |
|      | 2/6/2002      |                                    | 200                       | 5,068                     |            |                    |                  |               |                    |              | 200                       | 5,068                     |              |
|      | 2/6/2002      |                                    | 100                       | 2,539                     |            |                    |                  |               |                    |              | 100                       | 2,539                     |              |
|      | 2/6/2002      |                                    | 200                       | 5,088                     |            |                    |                  |               |                    |              | 200                       | 5,088                     |              |
|      | 2/6/2002      |                                    | 200                       | 5,068                     |            |                    |                  |               |                    |              | 200                       | 5,068                     |              |
|      | 2/6/2002      |                                    | 200                       | 5,068                     |            |                    |                  |               |                    |              | 200                       | 5,068                     |              |
|      | 2/6/2002      |                                    | 100                       | 2,514                     |            |                    |                  |               |                    |              | 100                       | 2,514                     |              |
|      | 3/18/2003     |                                    | 1,500                     | 30,875                    |            |                    |                  |               |                    |              | 1,500                     | 30,875                    | 168,700      |
|      | 2/12/2008     | WebMD Health Corp (bequest)        | 3,407                     | 98,530                    |            |                    |                  |               |                    |              | 3,407                     | 98,530                    |              |
|      | 2/15/2008     |                                    | 500                       | 14,834                    |            |                    |                  |               |                    |              | 500                       | 14,834                    |              |
|      | 2/27/2008     |                                    | 2,000                     | 60,373                    |            |                    |                  |               |                    |              | 2,000                     | 60,373                    |              |
|      | 3/3/2008      |                                    | 500                       | 14,548                    |            |                    |                  |               |                    |              | 500                       | 14,548                    |              |
|      | 3/18/2008     |                                    | 1,000                     | 26,473                    |            |                    |                  |               |                    |              | 1,000                     | 26,473                    |              |
|      | 3/26/2008     |                                    | 1,000                     | 27,516                    |            |                    |                  |               |                    |              | 1,000                     | 27,516                    |              |
|      | 3/27/2008     |                                    | 1,500                     | 41,018                    |            |                    |                  |               |                    |              | 1,500                     | 41,018                    |              |
|      | 4/22/2008     |                                    | 2,500                     | 66,687                    |            |                    |                  |               |                    |              | 2,500                     | 66,687                    |              |
|      | 4/23/2008     |                                    | 1,000                     | 41,274                    |            |                    |                  |               |                    |              | 1,000                     | 41,274                    |              |
|      | 4/29/2008     |                                    | 500                       | 13,550                    |            |                    |                  |               |                    |              | 500                       | 13,550                    |              |
|      | 4/30/2008     |                                    | 500                       | 14,450                    |            |                    |                  |               |                    |              | 500                       | 14,450                    |              |
|      | 7/17/2008     |                                    | 1,000                     | 29,940                    |            |                    |                  |               |                    |              | 1,000                     | 29,940                    |              |
|      | 1/21/2009     |                                    | 1,000                     | 21,355                    |            |                    |                  |               |                    |              | 1,000                     | 21,355                    |              |
|      | 4/20/2009     |                                    | 1,000                     | 24,851                    |            |                    |                  |               |                    |              | 1,000                     | 24,851                    |              |
|      | 9/23/2009     |                                    | 1,226                     | 40,959                    |            |                    |                  |               |                    |              | 1,226                     | 40,959                    |              |
|      | 10/27/2009    | Merger with Health                 | 38,274                    | 850,411                   |            |                    |                  |               |                    |              | 38,274                    | 850,411                   |              |
|      | 11/3/2009     |                                    | 1,000                     | 34,820                    |            |                    |                  |               |                    |              | 1,000                     | 34,820                    |              |
|      | 11/6/2009     |                                    | 1,500                     | 51,449                    |            |                    |                  |               |                    |              | 1,500                     | 51,449                    |              |
|      | 12/23/2009    |                                    | 1,000                     | 38,114                    |            |                    |                  |               |                    |              | 1,000                     | 38,114                    |              |
|      | 12/28/2009    |                                    | 1,000                     | 38,647                    |            |                    |                  |               |                    |              | 1,000                     | 38,114                    |              |
|      | 3/3/2010      |                                    | 500                       | 21,697                    |            |                    |                  |               |                    |              | 500                       | 21,697                    |              |
|      | 4/27/2010     |                                    | 1,000                     | 47,945                    |            |                    |                  |               |                    |              | 1,000                     | 47,945</                  |              |

**Emerald Foundation, Inc  
Securities Schedule  
2012**

| Date Acquired |  | Name of Security                       | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |           |              | Inventory End of Period   |                           |              |
|---------------|--|----------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|-----------|--------------|---------------------------|---------------------------|--------------|
|               |  |                                        | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 1/11/2011     |  |                                        | 1,000                         | 52,317                    |                                 |            |                             |               |           |              | 1,000                     | 52,317                    |              |
| 1/14/2011     |  |                                        | 1,000                         | 53,918                    |                                 |            |                             |               |           |              | 1,000                     | 53,918                    |              |
| 5/17/2011     |  |                                        | 1,000                         | 47,496                    |                                 |            |                             |               |           |              | 1,000                     | 47,496                    |              |
| 5/23/2011     |  |                                        | 1,000                         | 48,294                    |                                 |            |                             |               |           |              | 1,000                     | 48,294                    |              |
| 7/6/2011      |  |                                        | 1,672                         | 75,956                    |                                 |            |                             |               |           |              | 1,672                     | 75,956                    |              |
| 7/8/2011      |  |                                        | 1,000                         | 47,005                    |                                 |            |                             |               |           |              | 1,000                     | 47,005                    |              |
| 7/21/2011     |  |                                        | 2,500                         | 81,296                    |                                 |            |                             |               |           |              | 2,500                     | 81,296                    |              |
| 7/22/2011     |  |                                        | 1,000                         | 34,158                    |                                 |            |                             |               |           |              | 1,000                     | 34,158                    |              |
| 7/25/2011     |  |                                        | 1,500                         | 53,276                    |                                 |            |                             |               |           |              | 1,500                     | 53,276                    |              |
| 10/4/2011     |  |                                        | 2,000                         | 61,434                    |                                 |            |                             |               |           |              | 2,000                     | 61,434                    |              |
| 10/6/2011     |  |                                        | 3,000                         | 90,505                    |                                 |            |                             |               |           |              | 3,000                     | 90,505                    |              |
| 10/12/2011    |  |                                        | 100                           | 2,986                     |                                 |            |                             |               |           |              | 100                       | 2,986                     |              |
| 10/13/2011    |  |                                        | 1,900                         | 57,905                    |                                 |            |                             |               |           |              | 1,900                     | 57,905                    |              |
| 10/18/2011    |  |                                        | 1,500                         | 46,434                    |                                 |            |                             |               |           |              | 1,500                     | 46,434                    |              |
| 10/18/2011    |  |                                        | 1,500                         | 47,817                    |                                 |            |                             |               |           |              | 1,500                     | 47,817                    |              |
| 11/15/2011    |  |                                        | 2,000                         | 65,667                    |                                 |            |                             |               |           |              | 2,000                     | 65,667                    |              |
| 12/8/2011     |  |                                        | 1,000                         | 36,070                    |                                 |            |                             |               |           |              | 1,000                     | 36,070                    |              |
| 12/29/2011    |  |                                        | 1,000                         | 38,851                    |                                 |            |                             |               |           | (34)         | -                         | -                         |              |
| 1/13/2012     |  |                                        |                               |                           | 2,000                           | 53,313     |                             |               |           |              | 2,000                     | 53,513                    |              |
| 1/18/2012     |  |                                        |                               |                           | 2,000                           | 54,175     |                             |               |           |              | 2,000                     | 54,175                    |              |
| 1/19/2012     |  |                                        |                               |                           | 1,500                           | 41,294     |                             |               |           |              | 1,500                     | 41,294                    |              |
| 6/6/2012      |  |                                        |                               |                           | 2,500                           | 58,014     |                             |               |           |              | 2,500                     | 58,014                    | 1,434,000    |
| 8/24/2012     |  | Weight Watchers International, Inc     |                               |                           | 1,000                           | 50,051     |                             |               |           |              | 1,000                     | 50,051                    |              |
| 8/27/2012     |  |                                        |                               |                           | 1,500                           | 74,598     |                             |               |           |              | 1,500                     | 74,598                    | 130,900      |
| 11/23/2012    |  | Weight Watchers (Call) (open contract) |                               |                           | 25                              | 3,465      |                             | 25            | 4,150     | 8/23/2012    |                           | -                         |              |
| 3/25/2008     |  | Wells Fargo & Co                       | 1,000                         | 32,208                    |                                 |            |                             |               |           |              | 1,000                     | 32,208                    |              |
| 3/27/2008     |  |                                        | 1,000                         | 33,558                    |                                 |            |                             |               |           |              | 1,000                     | 33,558                    |              |
| 3/27/2008     |  |                                        | 1,000                         | 32,492                    |                                 |            |                             |               |           |              | 1,000                     | 32,492                    |              |
| 2/6/2009      |  |                                        | 1,000                         | 19,736                    |                                 |            |                             |               |           |              | 1,000                     | 19,736                    |              |
| 4/16/2009     |  |                                        | 1,000                         | 19,092                    |                                 |            |                             |               |           |              | 1,000                     | 19,092                    | 170,900      |
| 11/9/2011     |  | Western Assoc/Claymore                 | 2,500                         | 32,851                    |                                 |            |                             | 2,500         | 32,252    | 7/5/2012     |                           | -                         |              |
| 11/18/2011    |  |                                        | 1,500                         | 19,592                    |                                 |            |                             |               |           | (599)        | 1,500                     | 19,592                    |              |
| 12/8/2011     |  |                                        | 1,000                         | 13,131                    |                                 |            |                             |               |           |              | 1,000                     | 13,131                    | 33,000       |
| 9/18/2012     |  | Yahoo, Inc                             |                               |                           | 1,500                           | 23,680     |                             |               |           |              | 1,500                     | 23,680                    |              |
| 9/20/2012     |  |                                        |                               |                           | 1,000                           | 16,176     |                             |               |           |              | 1,000                     | 16,176                    |              |
| 9/21/2012     |  |                                        |                               |                           | 1,000                           | 16,360     |                             |               |           |              | 1,000                     | 16,360                    |              |
| 10/2/2012     |  |                                        |                               |                           | 1,500                           | 24,289     |                             |               |           |              | 1,500                     | 24,289                    |              |
| 11/20/2012    |  |                                        |                               |                           | 1,000                           | 18,523     |                             |               |           |              | 1,000                     | 18,523                    |              |
| 11/27/2012    |  |                                        |                               |                           | 1,500                           | 28,046     |                             |               |           |              | 1,500                     | 28,046                    | 149,250      |
|               |  | Total Equities                         |                               | 23,005,938                |                                 | 6,478,906  |                             | 5,351,032     |           | 667,090      |                           | 24,800,922                | 24,849,180   |

**Emerald Foundation, Inc**  
**Securities Schedule**

| 2012          |                                                         | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            |              | Inventory End of Period   |                           |              |
|---------------|---------------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|--------------|---------------------------|---------------------------|--------------|
| Date Acquired | Name of Security                                        | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
|               | Corporate Bonds & Bank CDs                              |                               |                           |                                 |            |                             |               |            |              |                           |                           |              |
| 5/11/2009     | Aerna, Inc Call@MW +20BP 6 0% 6/15/16                   | 50,000                        | 49,908                    |                                 |            | 50,000                      | 58,080        | 11/21/2012 | 8,171        | -                         | -                         | -            |
| 7/16/2010     | Ally Bk Midvale Utah CD 0 8% 1/17/12                    | 50,000                        | 50,000                    |                                 |            | 50,000                      | 50,000        | 1/17/2012  | -            | -                         | -                         | -            |
| 8/13/2010     | Ally Bk Midvale Utah CD 2 25% 8/13/14                   | 100,000                       | 100,000                   |                                 |            |                             |               |            |              | 100,000                   | 100,000                   | 102,239      |
| 3/4/2011      | Ally Bk Midvale Utah CD 0 85% 9/4/12                    | 100,000                       | 100,000                   |                                 |            | 100,000                     | 100,000       | 9/5/2012   | -            | -                         | -                         | -            |
| 4/11/2012     | Amiboy Natl Bk 3/12/13 0 3%                             |                               |                           | 200,000                         | 200,000    |                             |               |            |              | 200,000                   | 200,000                   | 199,964      |
| 11/21/2002    | AT & T Broadband Corp 9 45% 11/15/22                    | 43,000                        | 44,391                    |                                 |            |                             |               |            |              | 43,000                    | 44,391                    | 65,010       |
| 4/4/2012      | Beal Bk Las Vegas 10/3/2012 0 25%                       |                               |                           | 145,000                         | 145,000    | 145,000                     | 145,000       | 10/3/2012  | -            | -                         | -                         | 99,995       |
| 4/6/2009      | Bank One Corp Nis 5 25% 1/30/13                         | 25,000                        | 23,751                    |                                 |            |                             |               |            |              | 25,000                    | 23,751                    | 25,085       |
| 4/7/2009      | Bank of America Corp NTS 6 25% 4/15/12                  | 50,000                        | 49,962                    |                                 |            | 50,000                      | 50,000        | 4/16/2012  | 38           | -                         | -                         | -            |
| 10/31/2011    | Bank of America Corp Step 10/31/14                      | 75,000                        | 75,000                    |                                 |            | 75,000                      | 75,000        | 10/31/2012 | -            | -                         | -                         | -            |
| 9/29/2010     | Bankers Bk Okla City 9/28/12 0 5%                       | 100,000                       | 100,000                   |                                 |            | 100,000                     | 100,000       | 9/28/2012  | -            | -                         | -                         | -            |
| 5/11/2012     | Bank First Natl CD 11/13/12 0 15%                       |                               |                           | 100,000                         | 100,000    | 100,000                     | 100,000       | 11/13/2012 | -            | -                         | -                         | -            |
| 12/23/2010    | Barclays Bank PLC Step Due 12/23/16                     | 50,000                        | 50,000                    |                                 |            | 50,000                      | 50,000        | 6/25/2012  | -            | -                         | -                         | -            |
| 2/3/2011      | Barclays Bank PLC Step Due 2/3/15                       | 150,000                       | 150,005                   |                                 |            | 150,000                     | 150,000       | 8/3/2012   | (5)          | -                         | -                         | -            |
| 4/18/2011     | Barclays Bank PLC Medium Term Note Due 4/18/17          | 50,000                        | 50,000                    |                                 |            | 50,000                      | 50,000        | 4/18/2012  | -            | -                         | -                         | -            |
| 3/2/2011      | Beal Savings Bank NV 0 5% 2/29/2012                     | 150,000                       | 150,000                   |                                 |            | 150,000                     | 150,000       | 2/29/2012  | -            | -                         | -                         | -            |
| 2/23/2012     | Beal Bk USA CD 0 3% 1/23/2013                           |                               |                           | 100,000                         | 100,000    |                             |               |            |              | 100,000                   | 100,000                   |              |
| 10/24/2012    | Beal Bk USA CD 0 3% 10/23/2013                          |                               |                           | 150,000                         | 150,000    |                             |               |            |              | 150,000                   | 150,000                   | 149,735      |
| 3/25/2009     | Capmark BK CD 3 0% 3/26/12                              | 95,000                        | 95,000                    |                                 |            | 95,000                      | 95,000        | 3/26/2012  | -            | -                         | -                         | -            |
| 8/30/2012     | Cardinal Bk Natl Assn 0 3% 2/28/13                      |                               |                           | 200,000                         | 200,000    |                             |               |            |              | 200,000                   | 200,000                   | 199,968      |
| 2/12/2008     | Carolina First Bank CD Inflation Index 11/26/13 Request | 95,000                        | 95,888                    |                                 |            |                             |               |            |              | 95,000                    | 95,888                    | 96,881       |
| 5/18/2011     | Citizens St Bank Claydon WIS 0 3% 8/17/12               | 100,000                       | 100,000                   |                                 |            | 100,000                     | 100,000       | 8/20/2012  | -            | -                         | -                         | -            |
| 6/30/2010     | CIT Bk Salt Lake City, UT 1 7% 6/28/13                  | 100,000                       | 100,000                   |                                 |            |                             |               |            |              | 100,000                   | 100,000                   | 100,720      |

Emerald Foundation, Inc  
Securities Schedule

| Date Acquired | Name of Security                                                     | Inventory Beginning of Period |                           |                           | Purchases or Other Acquisitions |            |                  | Sales or Other Dispositions |                       |              | Inventory End of Period   |                           |              |
|---------------|----------------------------------------------------------------------|-------------------------------|---------------------------|---------------------------|---------------------------------|------------|------------------|-----------------------------|-----------------------|--------------|---------------------------|---------------------------|--------------|
|               |                                                                      | No of Shares or Principal     | Cost or Acquisition Value | No of Shares or Principal | Number of Shares                | Total Cost | Number of Shares | Selling Price               | Date Sold             | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 12/10/2009    | CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/15                         | 5,251                         | 5,979                     |                           |                                 |            |                  | 5,251                       | 1/23/2012             | (728)        |                           |                           |              |
| 12/10/2009    | CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/16                         | 8,753                         | 9,799                     |                           |                                 |            |                  | 8,753                       | 1/23/2012<br>3/9/2012 | (3,327)      |                           |                           |              |
| 12/10/2009    | CIT Group, Inc Secd NT Ser A 7 0% Due 5/1/17                         | 12,254                        | 13,524                    |                           |                                 |            |                  | 12,254                      | 2/21/2012<br>3/9/2012 | 1,011        |                           |                           |              |
| 1/8/2010      | Cingroup, Inc 9/15/14 5 0%                                           | 100,000                       | 98,500                    |                           |                                 |            |                  |                             |                       |              | 100,000                   | 98,500                    | 103,209      |
| 11/16/2010    | Cingroup, Inc 11/16/23 4 25%                                         | 50,000                        | 50,000                    |                           |                                 |            |                  |                             |                       |              | 50,000                    | 50,000                    | 51,075       |
| 11/15/2011    | Community Bank Staunton VA CD 0 2% 7/16/12                           | 200,000                       | 200,000                   |                           |                                 |            |                  | 200,000                     | 7/16/2012             | -            |                           |                           |              |
| 10/25/2012    | Community Bank Staunton VA CD 0 2% 4/25/13                           |                               |                           |                           | 150,000                         |            |                  |                             |                       |              |                           |                           |              |
| 11/19/2002    | Comcast Cable Comm Hldgs 8 375% 3/15/13<br>Formerly AT & T Broadband | 53,000                        | 54,715                    |                           |                                 |            |                  |                             |                       |              | 53,000                    | 54,715                    | 53,836       |
| 3/1/2010      | Countrywide Finl Corp Med Term Nts 0 688% 5/7/12                     | 100,000                       | 98,500                    |                           |                                 |            |                  | 100,000                     | 5/7/2012              | 1,500        |                           |                           |              |
| 2001          | CSX Corp 8 625% 5/15/22<br>(Contribution)                            | 50,000                        | 56,464                    |                           |                                 |            |                  |                             |                       |              |                           |                           |              |
| 5/9/2012      | Discover Bank CD 11/9/12 0 2%                                        |                               |                           |                           | 225,000                         |            |                  | 225,000                     | 11/9/2012             | -            |                           |                           |              |
| 4/17/2009     | Dominion Resources, Inc 5 0% 3/15/13                                 | 50,000                        | 50,922                    |                           |                                 |            |                  |                             |                       |              |                           |                           |              |
| 1/8/2009      | Encana Corp Notes 5 9% 12/01/17                                      | 25,000                        | 22,618                    |                           |                                 |            |                  |                             |                       |              |                           |                           |              |
| 7/28/2010     | Enterprise Bk & Tr CD 0 7% 1/27/12                                   | 100,000                       | 100,000                   |                           |                                 |            |                  | 100,000                     | 1/27/2012             | -            |                           |                           |              |
| 5/5/2011      | First American Bank Elk Grove CD 5/4/2012 0 25%                      | 200,000                       | 200,000                   |                           |                                 |            |                  | 200,000                     | 5/4/2012              | -            |                           |                           |              |
| 2/11/2011     | First St Bk of Blakely GA CD 0 3% 2/10/12                            | 100,000                       | 100,000                   |                           |                                 |            |                  | 100,000                     | 2/10/2012             | -            |                           |                           |              |
| 3/10/2010     | Ford Motor Credit Co Gbl Note 7 0% 10/1/13                           | 50,000                        | 51,000                    |                           |                                 |            |                  |                             |                       |              | 50,000                    | 51,000                    | 52,255       |
| 6/20/2012     | Fox Chase Bank CD 12/20/12 0 3%                                      |                               |                           |                           | 100,000                         |            |                  | 100,000                     | 12/20/2012            | -            |                           |                           |              |
| 7/20/2012     | GE Cap Retail Bk 1/22/13 0 4%                                        |                               |                           |                           | 200,000                         |            |                  |                             |                       |              |                           |                           |              |
| 9/16/2011     | GE Money Bank CD 0 3% 6/15/12                                        | 150,000                       | 150,000                   |                           |                                 |            |                  | 150,000                     | 6/15/2012             | -            |                           |                           |              |
| 4/8/2011      | GE Money Bank CD 0 3% 2/8/12                                         | 100,000                       | 100,000                   |                           |                                 |            |                  | 100,000                     | 2/8/2012              | -            |                           |                           |              |

Emerald Foundation, Inc  
Securities Schedule

| Date Acquired |          | Name of Security                                                                              | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            |              | Inventory End of Period   |                           |              |
|---------------|----------|-----------------------------------------------------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|--------------|---------------------------|---------------------------|--------------|
|               |          |                                                                                               | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 4/27/2010     | 2001     | General Elec Cap Corp MTN BE V O 378%<br>Georgia Pacific Corp 7 7% 06/15/15<br>(Contribution) | 100 000                       | 98.755                    |                                 |            | 100,000                     | 100,000       | 11/1/2012  | 1.245        | -                         | -                         | -            |
| 5/13/2005     |          | General Motors 6 25% CV Sr Debentures                                                         | 2,000                         | 39.736                    |                                 |            |                             | 114,745       | 8/24/2012  | 18.129       | -                         | -                         | -            |
| 6/25/2010     |          | Gold Coast Bk Chicago, IL CD 1 0% 8/27/12                                                     | 150,000                       | 150,000                   |                                 |            | 150,000                     |               | 8/27/2012  | -            | -                         | -                         | -            |
| 11/15/2010    |          | Goldman Sachs Group, Inc Step-Up 11/15/15                                                     | 100,000                       | 100,000                   |                                 |            | 100,000                     |               | 5/15/2012  | -            | -                         | -                         | -            |
| 12/22/2010    |          | Goldman Sachs Group, Inc Step CPN 12/22/17                                                    | 50,000                        | 50,000                    |                                 |            | 50,000                      |               | 3/22/2012  | -            | -                         | -                         | -            |
| 1/31/2012     |          | Goldman Sachs Group, Inc Due 7/31/14 3 15%                                                    |                               |                           | 75,000                          | 75,000     | 75,000                      |               | 10/31/2012 | -            | -                         | -                         | -            |
| 6/20/2012     |          | Goldman Sachs Group, Inc Due 6/20/17 2 5%                                                     |                               |                           | 50,000                          | 50,000     | 50,000                      |               | 9/20/2012  | -            | -                         | -                         | -            |
| 5/16/2012     |          | Goldman Sachs Bank CD 5/16/13 0 35%                                                           |                               |                           | 200,000                         | 200,000    |                             |               |            |              | 200,000                   | 200,000                   | 199,956      |
| 2001          |          | Int'l Business Mach Corp 7 5% 6/15/13<br>(Contribution)                                       | 100,000                       | 109.978                   |                                 |            |                             |               |            |              | 100,000                   | 109,978                   | 103.337      |
| 1/22/2010     |          | Investors Cmnty Bk WI CD 1 55% 7/23/12                                                        | 100,000                       | 100,000                   |                                 |            | 100,000                     |               | 7/23/2012  | -            | -                         | -                         | -            |
| 11/18/2009    | 6/7/2010 | JP Morgan Chase & Co GLBL SB H 9/1/15                                                         | 50,000<br>100,000             | 50.250<br>100.250         |                                 |            |                             |               |            |              | 50,000<br>100,000         | 50,250<br>100,250         | 149,987      |
| 5/2/2011      |          | JP Morgan Chase & Co Due 5/2/2014                                                             | 85,000                        | 85.005                    |                                 |            |                             |               |            |              | 85,000                    | 85,005                    | 85.583       |
| 5/4/2011      |          | Key Bk Natl Assn Ohia Due 5/4/12                                                              | 200,000                       | 200,000                   |                                 |            | 200,000                     |               | 5/4/2012   | -            | -                         | -                         | -            |
| 10/17/2011    |          | Memck Bank S J Utah CD 0 35% 4/17/12                                                          | 200,000                       | 200,000                   |                                 |            | 200,000                     |               | 4/17/2012  | -            | -                         | -                         | -            |
| 8/17/2012     |          | Memck Bank S J Utah CD 0 35% 2/15/213                                                         |                               |                           | 200,000                         | 200,000    |                             |               |            |              | 200,000                   | 200,000                   | 199,988      |
| 1/11/2010     |          | Merrill Lynch & Co, Inc 5/2/17 0 83%                                                          | 100,000                       | 87.460                    |                                 |            |                             |               |            |              | 100,000                   | 87,460                    | 93,658       |
| 11/28/2008    |          | Morgan Stanley Bk UT 5 0% 11/29/13                                                            | 45,000                        | 45,000                    |                                 |            |                             |               |            |              | 45,000                    | 45,000                    | 46,798       |
| 4/23/2010     |          | Morgan Stanley Sr Global Med Tm NT Ser F Due 1/9/12 0 545%                                    | 100,000                       | 99.204                    |                                 |            | 100,000                     |               | 1/9/2012   | 796          | -                         | -                         | -            |
| 6/16/2010     |          | Morgan Stanley Group, Inc Call Notes 5 0% 6/11/25                                             | 100,000                       | 99,000                    |                                 |            | 100,000                     |               | 12/11/2012 | 1,000        | -                         | -                         | -            |
| 7/27/2010     |          | Morgan Stanley 4 0% 7/24/15                                                                   | 100,000                       | 99.750                    |                                 |            |                             |               |            |              | 100,000                   | 99,750                    | 104,628      |
| 2/11/2011     |          | Pinnacle Bk Jasper ALA 0 25% 5/11/12                                                          | 200,000                       | 200,000                   |                                 |            | 200,000                     |               | 5/11/2012  | -            | -                         | -                         | -            |
| 5/11/2012     |          | Privatebank & TC CD 5/10/13 0 35%                                                             |                               |                           | 100,000                         | 100,000    |                             |               |            |              | 100,000                   | 100,000                   | 99,981       |

**Emerald Foundation, Inc**  
**Securities Schedule**

| Date Acquired |  | Name of Security                                | Inventory Beginning of Period |                           | Purchases or Other Acquisitions |            | Sales or Other Dispositions |               |            |              | Inventory End of Period   |                           |              |
|---------------|--|-------------------------------------------------|-------------------------------|---------------------------|---------------------------------|------------|-----------------------------|---------------|------------|--------------|---------------------------|---------------------------|--------------|
|               |  |                                                 | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares                | Total Cost | Number of Shares            | Selling Price | Date Sold  | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 4/14/2009     |  | Sierra PAC Pwr Co B/E 5.45% 9/1/13              | 100,000                       | 99,270                    |                                 |            |                             |               |            |              | 100,000                   | 99,270                    | 103,216      |
| 4/4/2012      |  | Sovereign Bank FSB 10/4/12 0.2%                 |                               |                           | 100,000                         | 100,000    |                             | 100,000       | 10/4/2012  | -            | -                         | -                         |              |
| 12/16/2009    |  | Sprint Nextel Corp 6.0% JD 12/1/16              | 50,000                        | 44,250                    |                                 |            |                             |               |            |              | 50,000                    | 44,250                    | 54,375       |
| 5/6/2009      |  | Sunoco, Inc MW + 15BP NTS 4.875% 10/15/14       | 25,000                        | 23,396                    |                                 |            |                             |               |            |              | 25,000                    | 23,396                    | 26,338       |
| 9/12/2012     |  | Synovus Bk Columbus CD 0.3% 5/10/2013           |                               |                           | 249,000                         | 249,000    |                             |               |            |              | 249,000                   | 249,000                   | 248,910      |
| 9/30/2011     |  | 21st Century Bk MINN CD 0.2% 3/30/12            | 200,000                       | 200,000                   |                                 |            | 200,000                     | 200,000       | 3/30/2012  | -            | -                         | -                         |              |
| 12/18/1998    |  | Tyson Foods 7.0% 5/1/18                         | 5,000                         | 5,250                     |                                 |            |                             |               |            |              | 5,000                     | 5,250                     | 5,963        |
| 12/14/2009    |  | United States Steel Corp Sr NT 6/1/13 5.65%     | 50,000                        | 49,875                    |                                 |            | 50,000                      | 52,968        | 4/16/2012  | 3,093        | -                         | -                         |              |
| 11/17/2009    |  | Valero Energy Due 4/1/14 4.75%                  | 50,000                        | 51,488                    |                                 |            |                             |               |            |              |                           |                           |              |
| 10/15/2010    |  | Waggoner Natl Bk Vernon Tex                     | 150,000                       | 150,000                   |                                 |            | 150,000                     | 150,000       | 2/1/2012   | -            | -                         | -                         | 52,057       |
| 4/7/2009      |  | Wells Fargo & Co 6.125% 4/18/12                 | 50,000                        | 52,522                    |                                 |            | 50,000                      | 50,000        | 4/18/2012  | (2,522)      | -                         | -                         |              |
| 11/16/2011    |  | Wright Express Finl Svcs Corp CD 0.35% 10/12/12 | 150,000                       | 150,000                   |                                 |            | 150,000                     | 150,000       | 10/12/2012 | -            | -                         | -                         |              |
|               |  | Total Corporate Bonds & CDs                     |                               | 5,582,983                 |                                 | 2,544,000  |                             | 4,817,050     |            | 28,401       |                           | 3,338,334<br>3,338,334    | 3,375,876    |
|               |  | Government Securities                           |                               |                           |                                 |            |                             |               |            |              |                           |                           |              |
| 9/13/2011     |  | US Treasury Note 10 yr Inflation 1/15/2017      | 100,000                       | 130,715                   |                                 |            |                             |               |            |              | 100,000                   | 130,715                   | 133,374      |
| 9/13/2011     |  | US Treasury Note 5 yr Inflation 4/15/2016       | 100,000                       | 107,253                   |                                 |            |                             |               |            |              | 100,000                   | 107,253                   | 110,390      |
| 8/22/2012     |  | US Treasury Note 5 yr Inflation 4/15/2015       |                               |                           | 100,000                         | 110,664    |                             |               |            |              | 100,000                   | 110,664                   | 111,453      |
| 9/19/2002     |  | Treasury Infl Prot Note 3.0% Due 7/15/12        | 100,000                       | 108,215                   |                                 |            |                             |               |            |              |                           | -                         |              |
| 12/27/2002    |  |                                                 | 50,000                        | 54,416                    |                                 |            | 150,000                     | 191,849       | 7/16/2012  | 29,218       | -                         | -                         |              |
| 2/12/2008     |  | Treasury Infl Prot Note 1.875% Due 7/15/13      | 100,000                       | 121,092                   |                                 |            |                             |               |            |              | 100,000                   | 121,092                   | 128,068      |
| 4/17/2008     |  | Treasury Infl Prot Bond 2.0% Due 1/15/26        | 100,000                       | 108,751                   |                                 |            |                             |               |            |              | 100,000                   | 108,751                   | 153,132      |

Emerald Foundation, Inc  
Securities Schedule  
2012

| Securities Schedule |                                           | Inventory Beginning of Period |                           |                  | Purchases or Other Acquisitions |                  | Sales or Other Dispositions       |           |              | Inventory End of Period   |                           |              |
|---------------------|-------------------------------------------|-------------------------------|---------------------------|------------------|---------------------------------|------------------|-----------------------------------|-----------|--------------|---------------------------|---------------------------|--------------|
| Date Acquired       | Name of Security                          | No of Shares or Principal     | Cost or Acquisition Value | Number of Shares | Total Cost                      | Number of Shares | Selling Price                     | Date Sold | Gain or Loss | No of Shares or Principal | Cost or Acquisition Value | Market Value |
| 6/11/2010           | Treasury Infl Prot Note 1 25% Due 4/15/14 | 100,000                       | 107,010                   |                  |                                 |                  |                                   |           |              | 100,000                   | 107,010                   | 112,769      |
| 6/11/2010           | Treasury Infl Prot Note 0 5% Due 4/15/15  | 100,000                       | 101,487                   |                  |                                 |                  |                                   |           |              | 100,000                   | 101,487                   | 111,453      |
|                     | Total Government Securities               |                               | 838,939                   | 110,664          |                                 |                  | 191,849                           |           | 29,218       |                           | 786,972                   | 860,639      |
|                     | Total Securities                          |                               | 29,427,880                | 9,133,570        |                                 |                  | 10,359,931                        |           | 724,709      |                           | 28,926,228                | 29,085,695   |
|                     |                                           |                               |                           | 1                |                                 |                  | Prior Period adjustment           |           | -            |                           |                           |              |
|                     |                                           |                               |                           |                  |                                 |                  | Cash in Lieu                      |           | 19           |                           |                           |              |
|                     |                                           |                               |                           |                  |                                 |                  | Securities Litigation Settlements |           | 106          |                           |                           |              |
|                     |                                           |                               |                           |                  |                                 |                  | Total gain (loss)                 |           | 724,833      |                           |                           |              |

# **Emerald Foundation, Inc. Grants and Gifts Awarded**

12 February 2013

| Payee Legal Name                                  | Grantee Legal Name                                | Grantee Address                                   | Payee Tax ID | Request Paid Amount | Request Project Description                                                                                                                                                                                                                                                         | Request Disposition Date |
|---------------------------------------------------|---------------------------------------------------|---------------------------------------------------|--------------|---------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Beth Israel Deaconess Medical Center, Inc.        | Beth Israel Deaconess Medical Center, Inc         | 330 Brookline Avenue<br>Boston, MA 02215          | 04-2103881   | \$150,000 00        | examine the effectiveness and specificity of novel Pml inhibitors, including the FDA-approved drug ATRA, in treating lupus                                                                                                                                                          | 4/15/2012                |
| McLean Hospital Corporation                       | McLean Hospital Corporation                       | 115 Mill Street<br>Belmont, MA 02478              | 04-2697981   | \$125,000 00        | identify and understand the genetic regulation and molecular mechanisms associated with the biological responses to stress, in order to design therapies for a variety of stress-related illnesses (e.g. immune dysfunction, cardiovascular illness, renal disease, mental illness) | 4/15/2012                |
| The University of Texas MD Anderson Cancer Center | The University of Texas MD Anderson Cancer Center | 1515 Holcombe Boulevard<br>Houston, TX 77030-4009 | 74-6001118   | \$150,000 00        | determine the role of telomeres in the pathological development of Alzheimer's Disease (AD) by generating and examining a telomere dysfunctional AD mouse model                                                                                                                     | 4/15/2012                |

| Payee Legal Name                         | Grantee Legal Name                       | Grantee Address                                          | Payee Tax ID | Request Paid Amount | Request Project Description                                                                                                                                                                                                                                                                                            | Request Disposition Date |
|------------------------------------------|------------------------------------------|----------------------------------------------------------|--------------|---------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| Rockefeller University                   | Rockefeller University                   | 1230 York Avenue<br>New York, NY 10065                   | 13-1624158   | \$150,000.00        | explore the role of a novel population of dendritic cells called IKDCs and their precursors as important modulators of the immune response to viral infection of the brain                                                                                                                                             | 4/15/2012                |
| Rockefeller University                   | Rockefeller University                   | 1230 York Avenue<br>New York, NY 10065                   | 13-1624158   | \$150,000.00        | use autologous apoptotic tumor and dendritic cells obtained from brain tumor patients as an autologous tumor vaccine that uses naturally occurring tumor immunity to fight the disease                                                                                                                                 | 4/15/2012                |
| Samuel Waxman Cancer Research Foundation | Samuel Waxman Cancer Research Foundation | 420 Lexington Avenue,<br>Suite 825<br>New York, NY 10170 | 13-3020943   | \$125,000.00        | expand the collaboration between scientists of the Samuel Waxman Cancer Research Foundation and of the Shanghai Institute of Hematology with the goal of identifying new and more powerful drugs that can restore normal differentiation and cell growth to leukemia stem cells, the root cause of all acute leukemias | 4/15/2012                |
| Dana-Farber Cancer Institute, Inc        | Dana-Farber Cancer Institute, Inc.       | 44 Binney Street<br>Boston, MA 02115                     | 04-2263040   | \$150,000.00        | investigate how the Hedgehog (Hh) signaling network changes with aging and how the Hh network can become reactivated in brain tumors                                                                                                                                                                                   | 11/15/2012               |

| Payee Legal Name                             | Grantee Legal Name                          | Grantee Address                                          | Payee Tax ID | Request Paid Amount | Request Project Description                                                                                                                                                                  | Request Disposition Date |
|----------------------------------------------|---------------------------------------------|----------------------------------------------------------|--------------|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| The President and Fellows of Harvard College | President and Fellows of Harvard College    | 1033 Massachusetts Avenue<br>Cambridge, MA 02138         | 04-2103580   | \$150,000.00        | elucidate the cellular mechanisms and signaling pathways that induce diffuse axonal injury, a major pathological feature of traumatic brain injury                                           | 11/15/2012               |
| Rockefeller University                       | Rockefeller University                      | 1230 York Avenue<br>New York, NY 10065                   | 13-1624158   | \$75,000.00         | investigate how TGF-beta signaling controls skin stem cell behavior and how this becomes deregulated in the formation of squamous cell carcinoma                                             | 11/15/2012               |
| Samuel Waxman Cancer Research Foundation     | Samuel Waxman Cancer Research Foundation    | 420 Lexington Avenue,<br>Suite 825<br>New York, NY 10170 | 13-3020943   | \$150,000.00        | support a collaboration between 4 scientists at 3 institutions with the goal of targeting the HER family of proteins and their signaling pathways in brain cancer                            | 11/15/2012               |
| The Regents of the University of California  | The Regents of the University of California | 9500 Gilman Drive<br>La Jolla, CA 92093                  | 95-6006144   | \$75,000.00         | elucidate the molecular signaling stimulated by secreted phosphoprotein 1 (SPP1) that leads to cardiac injury in order to identify potential therapeutic targets for cardiovascular diseases | 11/15/2012               |
| Whitehead Institute for Biomedical Research  | Whitehead Institute for Biomedical Research | Nine Cambridge Center<br>Cambridge, MA 02142             | 06-1043412   | \$150,000.00        | determine the roles for individual microRNA-target interactions in both mammalian development and cancer                                                                                     | 11/15/2012               |

| Payee Legal Name        | Grantee Legal Name | Grantee Address | Payee Tax ID | Request Paid Amount | Request Project Description | Request Disposition Date |
|-------------------------|--------------------|-----------------|--------------|---------------------|-----------------------------|--------------------------|
| Grand Totals (12 Items) |                    |                 |              |                     |                             |                          |
|                         |                    |                 |              | \$1,600,000.00      |                             |                          |