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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation MAURICE SENDAK FOUNDATION INC		A Employer identification number 13-3807627	
Number and street (or P O box number if mail is not delivered to street address) 200 CHESTNUT HILL RD		B Telephone number (see instructions) (203) 438-9224	
City or town, state, and ZIP code RIDGEFIELD, CT 06877		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 4,781,319		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <u> </u> (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,030,013			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	101,145	101,145	101,145	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	116,493			
	b Gross sales price for all assets on line 6a <u>2,342,487</u>				
	7 Capital gain net income (from Part IV, line 2)		116,493		
	8 Net short-term capital gain			93,712	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,247,651	217,638	194,857	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,896	1,448		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,750	3,750		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,451	2,451		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	9,097	7,649		0
	25 Contributions, gifts, grants paid	0			0
	26 Total expenses and disbursements. Add lines 24 and 25	9,097	7,649		0
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,238,554			
	b Net investment income (if negative, enter -0-)		209,989		
	c Adjusted net income (if negative, enter -0-)			194,857	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	10,092	3,459	3,459
	2	Savings and temporary cash investments	15,505	679,324	679,324
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,194,292	2,362,415	2,399,519
	c	Investments—corporate bonds (attach schedule).	1,271,309	1,684,554	1,699,017
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,491,198	4,729,752	4,781,319	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	2,491,198	4,729,752	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	2,491,198	4,729,752	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,491,198	4,729,752	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	12,491,198
2	Enter amount from Part I, line 27a	2,238,554
3	Other increases not included in line 2 (itemize) ▶ _____	
4	Add lines 1, 2, and 3	4,729,752
5	Decreases not included in line 2 (itemize) ▶ _____	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	4,729,752

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	116,493
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	93,712

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries


(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011			
2010			
2009			
2008			
2007			

2	Total of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	4,200
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	4,200
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	4,200
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,784	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	1,784
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,416
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/>	Refunded <input type="checkbox"/>	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 	10	Yes	

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶TAXPAYER Telephone no ▶(203) 438-9224 Located at ▶200 CHESTNUT HILL RD RIDGEFIELD CT ZIP +4 ▶06877			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII

[illegible]

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Form **990-PF** (2012)

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,798,587
b	Average of monthly cash balances.	1b	145,810
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,944,397
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,944,397
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	44,166
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,900,231
6	Minimum investment return. Enter 5% of line 5.	6	145,012

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	145,012
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	4,200
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,200
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	140,812
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	140,812
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	140,812

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	0
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1		Distributable amount for 2012 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2012			
a		Enter amount for 2011 only.			
b		Total for prior years 20____, 20____, 20____			
3		Excess distributions carryover, if any, to 2012			
a		From 2007. 45,396			
b		From 2008.			
c		From 2009. 139,206			
d		From 2010. 78,536			
e		From 2011. 4,471			
f		Total of lines 3a through e. 267,609			
4		Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ _____			
a		Applied to 2011, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2012 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 126,797			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions			
e		Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			
f		Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .			
9		Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. 126,797			
10		Analysis of line 9			
a		Excess from 2008.			
b		Excess from 2009. 43,790			
c		Excess from 2010. 78,536			
d		Excess from 2011. 4,471			
e		Excess from 2012.			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2012)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Paid
Preparer
Use Only**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2013-05-08

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
	SUSAN ANDRIULLI	SUSAN ANDRIULLI	2013-05-08		
	Firm's name <input type="checkbox"/>	WAKOFF ANDRIULLI & CO LLC		Firm's EIN <input type="checkbox"/>	
		100 CRAIG RD STE 109			
	Firm's address <input type="checkbox"/>	MANALAPAN, NJ 07726		Phone no (732) 866-8882	

TY 2012 IRS Payment**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627**Routing Transit Number:** 011900571**Bank Account Number:** 385004783187**Type of Account:** Checking**Payment Amount in Dollars and** 2,416**Cents:****Requested Payment Date:** 2013-05-15**Taxpayer's Daytime Phone** (203) 438-9224
Number:

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ABERCROMBIE & FITCH CO	P	2012-02-03	2012-12-03
CENTURYLINK INC SHS	P	2011-06-03	2012-01-06
FORD MOTOR CO	P	2011-06-03	2012-01-06
INTL BUSINESS MACHINES CORP IBM	D	1999-07-19	2012-12-03
NOBLE CORP NAMEN-AKT	P	2011-10-05	2012-02-03
AIR PRODUCTS&CHEM	D	2012-03-01	2012-12-03
CENTURYLINK INC SHS	P	2011-06-03	2012-05-07
FORD MOTOR CO	P	2011-06-03	2012-12-03
ISHARES MSCI EAFE INDEX FUND	P	2011-03-02	2012-12-05
NOVELLUS SYS INC	P	2011-12-07	2012-01-06
AIR PRODUCTS&CHEM	D	2011-05-02	2012-12-03
CENTURYLINK INC SHS	P	2011-06-03	2012-12-03
FORD MOTOR CO	P	2011-06-03	2012-12-03
ISHARES MSCI EMERGING MARKETS	P	2010-10-22	2012-12-05
OCCIDENTAL PETE CORP CAL	D	2012-04-03	2012-12-03
ALTRIA GROUP INC	D	2010-05-27	2012-12-03
CENTURYLINK INC SHS	P	2011-06-03	2012-04-04
FORD MOTOR CO	P	2011-06-03	2012-12-03
ISHARES TR MID CORE INDEX FD	P	2010-10-22	2012-01-06
PROCTER & GAMBLE CO	D	2010-05-27	2012-12-03
AMAZON COM INC COM	P	2012-05-07	2012-12-05
CONAGRA FOODS INC	D	2012-04-03	2012-12-03
FORD MOTOR CO	P	2012-03-01	2012-12-03
ISHARES TR SMALL CORE INDEX FD	P	2010-10-22	2012-01-06
QUEST DIAGNOSTICS INC	P	2011-12-05	2012-01-06
AMERICAN INTERNATIONAL GROUP INC	P	2011-12-06	2012-01-06
DEERE CO	P	2010-10-22	2012-01-06
GENERAL ELECTRIC	P	2010-05-28	2012-12-03
JACOBS ENGN GRP INC DELA	P	2010-10-22	2012-01-06
RAYTHEON CO DELAWARE NEW	P	2012-03-05	2012-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AMERICAN TOWER REIT INC	P	2011-11-03	2012-01-06
DEERE CO	P	2010-10-22	2012-12-03
GENERAL MILLS	D	2012-04-03	2012-12-03
JOHNSON AND JOHNSON COM	D	2001-12-27	2012-12-03
TERADATA CORP DEL	P	2011-09-06	2012-04-04
ANADARKO PETE CORP	P	2011-12-05	2012-12-03
DEERE CO	P	2012-03-05	2012-12-03
GOLDMAN SACHS 5 625%	D	2010-10-28	2012-12-19
JOHNSON AND JOHNSON COM	D	2001-12-27	2012-12-05
UNION PACIFIC CORP	D	2012-03-01	2012-12-03
AUTOZONE INC NEVADA COM	P	2012-03-05	2012-04-04
DEERE CO	P	2010-11-03	2012-12-03
GOOGLE INC CL A	P	2011-09-06	2012-01-06
JOHNSON AND JOHNSON COM	D	2012-03-01	2012-12-05
UNITED TECHS CORP COM	D	2010-12-02	2012-12-03
BED BATH & BEYOND INC	P	2012-03-05	2012-12-03
DELL INC	P	2012-01-06	2012-03-05
HESS CORP	P	2012-02-01	2012-12-03
JPMORGAN CHASE & CO	D	2010-05-27	2012-12-05
UNITED TECHS CORP COM	D	2011-02-02	2012-12-03
BOEING COMPANY	P	2012-03-05	2012-05-07
DISCOVER FINL SVCS	P	2011-11-03	2012-01-06
HESS CORP	P	2011-09-06	2012-12-03
MARATHON PETROLEUM CORP	P	2012-03-05	2012-12-05
UNITED TECHS CORP COM	D	2012-02-01	2012-12-03
CAPITAL ONE FINL	P	2011-12-06	2012-05-07
E M C CORPORATION MASS	P	2011-07-06	2012-02-03
HESS CORP	P	2012-01-06	2012-12-03
MEDCO HEALTH SOLUTIONS I	P	2008-08-12	2012-04-03
VALERO ENERGY CORP NEW	P	2011-12-05	2012-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CARMAX INC	P	2011-11-03	2012-03-05
EMERSON ELEC CO	D	2011-07-05	2012-12-03
HESS CORP	P	2012-02-01	2012-12-03
MERCK AND CO INC SHS	P	1999-07-19	2012-12-03
VERIZON COMMUNICATNS COM	D	2010-05-27	2012-12-03
CARMAX INC	P	2011-11-03	2012-04-04
EXPRESS SCRIPTS HLDG CO	P	1999-07-19	2012-12-03
HOME DEPOT INC	D	2012-01-04	2012-12-03
METLIFE INC COM	P	2012-05-07	2012-12-03
EXPRESS SCRIPTS CASH IN LIEU	D	2012-04-25	2012-04-25
CARMAX INC	P	2011-11-03	2012-12-05
FLUOR CORP NEW DEL COM	P	2011-12-05	2012-02-03
ILLINOIS TOOL WORKS INC	D	2023-02-01	2012-12-03
MICROSOFT CORP	P	2011-08-03	2012-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,816		19,779	2,037
1,055		1,255	-200
1,833		2,363	-530
245,074		233,458	11,616
22,246		16,955	5,291
576		581	-5
2,040		2,301	-261
24,451		30,312	-5,861
70,553		69,851	702
47,772		42,084	5,688
8,964		9,051	-87
4,938		5,314	-376
3,003		3,723	-720
22,119		21,811	308
7,855		7,998	-143
33,714		33,480	234
164		209	-45
13,803		16,148	-2,345
46,338		45,495	843
26,816		26,792	24
1,270		1,123	147
11,316		10,360	956
7,128		7,193	-65
45,164		44,283	881
19,663		20,193	-530
57,415		42,208	15,207
2,365		2,329	36
42,043		42,080	-37
1,603		1,589	14
61,732		57,337	4,395

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,087		17,824	263
1,546		1,553	-7
10,375		10,396	-21
73,685		73,873	-188
6,781		5,313	1,468
20,737		22,840	-2,103
5,850		5,906	-56
437,244		440,623	-3,379
36,370		36,032	338
10,982		10,978	4
2,232		2,286	-54
40,948		40,605	343
20,544		18,195	2,349
1,755		1,739	16
3,769		3,695	74
19,704		20,194	-490
47,021		42,316	4,705
3,457		3,156	301
41,013		41,090	-77
5,613		5,503	110
19,944		20,326	-382
57,650		45,342	12,308
31,583		36,374	-4,791
77,933		49,444	28,489
561		550	11
50,871		44,756	6,115
21,194		22,330	-1,136
3,482		4,217	-735
39,571		58,049	-18,478
99,781		93,630	6,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
589		624	-35
10,110		9,971	139
9,196		10,319	-1,123
31,183		46,641	-15,458
44,050		43,760	290
1,350		1,248	102
59,649		1,328	58,321
12,443		12,316	127
43,532		45,089	-1,557
54			54
2,652		2,340	312
854		838	16
10,782		10,608	174
50,931		44,122	6,809

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,037
			-200
			-530
			11,616
			5,291
			-5
			-261
			-5,861
			702
			5,688
			-87
			-376
			-720
			308
			-143
			234
			-45
			-2,345
			843
			24
			147
			956
			-65
			881
			-530
			15,207
			36
			-37
			14
			4,395







Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			263
			-7
			-21
			-188
			1,468
			-2,103
			-56
			-3,379
			338
			4
			-54
			343
			2,349
			16
			74
			-490
			4,705
			301
			-77
			110
			-382
			12,308
			-4,791
			28,489
			11
			6,115
			-1,136
			-735
			-18,478
			6,151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-35
			139
			-1,123
			-15,458
			290
			102
			58,321
			127
			-1,557
			54
			312
			16
			174
			6,809

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LYNN CAPONERA 	PRESIDENT 2 00	0	0	0
300 CHESTNUT HILL RD RIDGEFIELD,CT 06877				
DONA MC ADAMS 	DIRECTOR 2 00	0	0	0
5677 SANDGATE RD SANDGATE,VT 05250				
MICHAEL DI CAPUA 	DIRECTOR 2 00	0	0	0
221 EAST 17TH ST NEW YORK,NY 10003				
DONALD HAMBURG 	SECRETARY 2 00	0	0	0
437 MADISON AVE NEW YORK,NY 10022				
ANTONIA MARKIET 	DIRECTOR 2 00	0	0	0
81 BEECHWOOD RD FLORHAM PARK,NJ 07932				
JOHN VITALE 	VICE PRES 2 00	0	0	0
888 BELLIS PARKWAY ORADELL,NJ 07649				

TY 2012 Accounting Fees Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WAKOFF ANDRIULLI & CO LLC	2,896	1,448		

TY 2012 Compensation Explanation**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Person Name	Explanation
LYNN CAPONERA	
DONA MC ADAMS	
MICHAEL DI CAPUA	
DONALD HAMBURG	
ANTONIA MARKIET	
JOHN VITALE	

**TY 2012 Investments Corporate
Bonds Schedule****Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY 4.75%	205,034	207,096
ROYAL BK OF SCOTLAND 4.875%	204,992	214,816
HSBC FINANCE 3.9%	200,000	208,236
BANK OF AMERICA 7.75%	235,746	226,694
GOLDMAN SACHS GROUP 5.625%		
MERRILL LYNCH 5.7%	208,262	219,500
BANK OF AMERICA SER MTN	255,689	252,500
GENERAL ELECTRIC CORP 4.75%	273,943	270,035
HSBC FINANCE CORP 5.05%	100,888	100,140

TY 2012 Investments Corporate
Stock Schedule

Name: MAURICE SENDAK FOUNDATION INC
EIN: 13-3807627

Name of Stock	End of Year Book Value	End of Year Fair Market Value
700 MERCK		
168 MEDCO		
603 MEDCO		
603 MEDCO		
300 IBM		
DEERE CO		
HESS CORP		
ISHARES MSCI EAFE	162,350	172,115
ISHARES MSCI EMERGING	60,159	58,498
ISHARES TR MID	98,364	112,798
ISHARES TR SMALL	105,760	113,467
JACOBS ENGN		
QUEST DIAGNOSTICS		
VALERO ENERGY		
AMERICAN TOWER		
ANADARKO PETE		
CAPITAL ONE FINL		
CARMAX INC		
CENTURYLINK		
DISCOVER FINL		
EMC CORP		
FLUOR CORP		
FORD MOTOR		
GOOGLE		
MARATHON PETROLEUM		
MICROSOFT CORP		
NOBLE CORP		
NOVELLUS SYS		
TERADATA CORP		
3M COMPANY	39,126	40,018

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABS	4,642	4,716
ABBOTT LABS	193	197
ABBOTT LABS	1,289	1,310
ABBOTT LABS	1,934	1,965
ABBOTT LABS	2,579	2,620
ABBOTT LABS	28,513	28,951
ALGER CAPITAL APPRECIATION	17,079	17,230
ALGER SMID CAP GROWTH	12,168	11,847
AMAZON COM INC	17,972	20,070
APACHE CORP	46,889	47,336
AUTOMATIC DATA PROCESSING	5,051	5,124
AUTOMATIC DATA PROCESSING	5,051	5,124
AUTOMATIC DATA PROCESSING	28,969	29,148
AUTOZONE INC	18,671	17,367
AUTOZONE INC	2,170	2,127
BAXTER INTERNATIONAL	206	200
BAXTER INTERNATIONAL	6,743	6,533
BAXTER INTERNATIONAL	482	467
BAXTER INTERNATIONAL	3,785	3,666
BAXTER INTERNATIONAL	619	600
BAXTER INTERNATIONAL	482	467
BAXTER INTERNATIONAL	27,386	27,664
BLACKROCK ALL CAP ENERGY	6,202	6,394
CAPITAL ONE FINL	46,897	47,271
CARMAX INC	13,103	15,767
CARMAX INC	816	939
CARMAX INC	1,998	2,440
CARMAX INC	1,842	2,252
CENTURYLINK INC	37,992	35,521
CENTURYLINK INC	1,687	1,565

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CENTURYLINK INC	3,607	3,716
CENTURYLINK INC	5,867	5,829
CH ROBINSON WORLDWIDE	10,062	10,431
CH ROBINSON WORLDWIDE	29,032	30,093
CHESAPEAKE ENERGY OKLA	47,565	32,492
CHESAPEAKE ENERGY OKLA	2,973	2,161
CHESAPEAKE ENERGY OKLA	9,301	8,975
CHESAPEAKE ENERGY OKLA	2,133	2,078
CHEVRON CORP	2,848	2,920
CHEVRON CORP	527	541
CHEVRON CORP	211	216
CHEVRON CORP	633	649
CHEVRON CORP	1,582	1,622
CHEVRON CORP	2,109	2,163
CHEVRON CORP	2,109	2,163
CHEVRON CORP	29,205	30,063
COCA COLA COM	7,207	6,888
COCA COLA COM	2,276	2,175
COCA COLA COM	759	725
COCA COLA COM	29,039	27,985
CONSTELLATION BRANDS	46,903	46,644
EATON CORP	10,574	11,107
EATON CORP	28,346	29,636
EATON CORP	46,893	49,466
EXXON MOBIL	5,167	5,020
EXXON MOBIL	4,633	4,501
EXXON MOBIL	535	519
EXXON MOBIL	34,077	33,495
EXXON MOBIL	41,719	40,938
FLUOR CORP	17,617	18,503

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FLUOR CORP	830	881
FLUOR CORP	2,314	2,526
FREEPRT-MCMRAN CPR & GLD	47,070	47,846
GENL DYNAMICS	1,962	2,078
GENL DYNAMICS	654	693
GENL DYNAMICS	981	1,039
GENL DYNAMICS	327	346
GENL DYNAMICS	262	277
GENL DYNAMICS	654	693
GENL DYNAMICS	327	346
GENL DYNAMICS	589	623
GENL DYNAMICS	1,635	1,732
GENL DYNAMICS	1,308	1,385
GENL DYNAMICS	327	346
GENL DYNAMICS	589	623
GENL DYNAMICS	34,244	35,951
GENL DYNAMICS	42,044	43,779
HONEYWELL INTL INC	1,838	1,904
HONEYWELL INTL INC	2,144	2,221
HONEYWELL INTL INC	1,225	1,269
HONEYWELL INTL INC	613	635
HONEYWELL INTL INC	613	635
HONEYWELL INTL INC	613	635
HONEYWELL INTL INC	2,144	2,221
HONEYWELL INTL INC	919	952
HONEYWELL INTL INC	29,105	30,339
ISHARES TR SMALL VALUE	55,432	56,816
JACOBS ENGN	2,018	2,129
JACOBS ENGN	1,688	1,703
JACOBS ENGN	725	639

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JACOBS ENGN	816	639
JACOBS ENGN	2,348	1,916
JACOBS ENGN	1,347	1,064
JACOBS ENGN	3,627	3,193
JACOBS ENGN	1,130	1,064
JACOBS ENGN	906	851
JACOBS ENGN	607	639
JACOBS ENGN GRP	3,973	4,257
JACOBS ENGN GRP	1,376	1,277
JACOBS ENGN GRP	1,221	1,277
JACOBS ENGN GRP	83	85
LINEAR TECHNOLOGY	39,129	40,268
LORD ABBOTT SHORT DURATION	22,126	22,174
MCDONALDS CORP	3,743	3,793
MCDONALDS CORP	783	794
MCDONALDS CORP	1,306	1,323
MCDONALDS CORP	1,306	1,323
MCDONALDS CORP	1,306	1,323
MCDONALDS CORP	609	617
MCDONALDS CORP	30,185	30,521
MEDTRONIC INC	2,726	2,584
MEDTRONIC INC	433	410
MEDTRONIC INC	433	410
MEDTRONIC INC	1,298	1,231
MEDTRONIC INC	2,164	2,051
MEDTRONIC INC	1,082	1,026
MEDTRONIC INC	1,514	1,436
MEDTRONIC INC	1,514	1,436
MEDTRONIC INC	28,369	27,647
MFS INTERNATIONAL DIVERSIFICATION	155,696	158,356

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MFS INTL NEW DISCOVERY FUND	19,492	20,240
MFS NEW DISCOVERY	55,606	57,379
MFS VALUE FUND	16,677	16,737
MICRON TECHNOLOGY	20,120	20,916
MICROSOFT CORP	27,700	26,710
MICROSOFT CORP	4,848	4,674
MICROSOFT CORP	1,801	1,736
MICROSOFT CORP	2,078	2,003
MICROSOFT CORP	4,402	4,434
NEUBERGER BERMAN EQUITY	55,606	55,416
NEWS CORP	20,094	20,969
OPPENHEIMER DEVELOP MKTS	55,606	57,129
O'REILLY AUTOMOTIVE	20,053	19,494
PAYCHEX	10,381	9,952
PAYCHEX	28,783	27,555
PRUDENTIAL JENNISON MID CAP	55,606	54,978
PUTNAM DIVERSIFIED INC TR FUND	29,687	30,280
SUNAMERICA FOCUSED DIVIDEND	26,449	26,106
TERADATA CORP	902	928
TERADATA CORP	10,627	12,997
TERADATA CORP	1,780	2,166
TERADATA CORP	5,161	5,570
WAL-MART STORES	2,457	2,388
WAL-MART STORES	1,053	1,023
WAL-MART STORES	702	682
WAL-MART STORES	702	682
WAL-MART STORES	702	682
WAL-MART STORES	211	205
WAL-MART STORES	491	478
WAL-MART STORES	2,106	2,047

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WAL-MART STORES	1,404	1,365
WAL-MART STORES	1,755	1,706
WAL-MART STORES	27,315	25,996
WATSON PHARMACEUTICALS	20,115	19,694
WELLS FARGO	46,915	48,467

TY 2012 Other Expenses Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT FEES	2,451	2,451		

TY 2012 Substantial Contributors Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Name	Address
MAURICE SENDAK DECEASED	200 CHESTNUT HILL RD RIDGEFIELD,CT 06877

TY 2012 Taxes Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	3,500	3,500		
STATE FILING FEES	250	250		