



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation The Edward T. Cone Foundation		A Employer identification number 13-3646357
Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 212-448-1100
c/o McLaughlin & Stern, LLP, 260 Madison Avenue	1800	
City or town, state, and ZIP code New York NY 10016		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,188,002	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	133,008			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	263,079	263,079		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	398,448			
	b Gross sales price for all assets on line 6a 3,770,807				
	7 Capital gain net income (from Part IV, line 2)		398,448		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	794,535	661,527			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	106,463	53,231		35,488
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	60,000	330,000		30,000
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	73,166	36,583		36,583
	24 Total operating and administrative expenses. Add lines 13 through 23	239,629	419,814		102,071
	25 Contributions, gifts, grants paid	1,965,000			1,965,000
26 Total expenses and disbursements. Add lines 24 and 25	2,204,629	419,814		2,067,071	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,410,094				
b Net investment income (if negative, enter -0-)		241,713			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

HTA

10

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash—non-interest-bearing	13,500	3,400	3,400
	2 Savings and temporary cash investments	1,537,578	839,282	841,679
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,070,235	4,910,027	6,157,108
	c Investments—corporate bonds (attach schedule)	2,187,867	1,783,235	1,836,066
	11 Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,623,025	1,145,878	1,349,749
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,432,205	8,681,822	10,188,002
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	10,373,703	8,963,609	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	10,373,703	8,963,609	
	31 Total liabilities and net assets/fund balances (see instructions)	10,373,703	8,963,609	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,373,703
2 Enter amount from Part I, line 27a	2	-1,410,094
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	8,963,609
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,963,609

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Schedule			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,770,807		3,372,359	398,448
b			
c			
d			
e			

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k) but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	398,448
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	2,121,471	12,692,344	0.167146
2010	2,101,485	12,405,175	0.169404
2009	1,986,530	13,819,209	0.143751
2008	3,032,367	16,372,963	0.185206
2007	2,956,018	19,728,107	0.149838

2 Total of line 1, column (d)	2	0.815345
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.163069
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	10,775,854
5 Multiply line 4 by line 3	5	1,757,208
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,417
7 Add lines 5 and 6	7	1,759,625
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	2,067,071

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		1	2,417
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	2,417
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,417
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	18,471	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	18,471	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,054	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☒ 16,054 Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► 260 Madison Avenue, New York, NY	13	X	
14	The books are in care of ► T. Randolph Harris, Trustee Telephone no ► 212-448-1100 Located at ► ZIP+4 ► 10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		☐
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes No	X X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ► 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b****b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes," to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
T. Randolph Harris 260 Madison Avenue New York, NY 10016	Trustee 4 00	70,975		
George W. Pitcher 18 College Road West Princeton, NJ 08540	Trustee 2 00	35,488		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	10,864,953
b	Average of monthly cash balances	1b	75,000
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	10,939,953
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	10,939,953
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	164,099
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,775,854
6	Minimum investment return. Enter 5% of line 5	6	538,793

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	538,793
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2,417
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,417
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	536,376
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	536,376
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	536,376

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,067,071
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,067,071
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,417
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,064,654

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				536,376
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007	1,988,338			
b From 2008	2,238,471			
c From 2009	1,299,376			
d From 2010	1,495,636			
e From 2011	1,493,794			
f Total of lines 3a through e	8,515,615			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 2,067,071				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				536,376
e Remaining amount distributed out of corpus	1,530,695			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,046,310			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	1,988,338			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	8,057,972			
10 Analysis of line 9				
a Excess from 2008	2,238,471			
b Excess from 2009	1,299,376			
c Excess from 2010	1,495,636			
d Excess from 2011	1,493,794			
e Excess from 2012	1,530,695			

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year See Attached Schedule - All Public Charities					1,965,000
Total					▶ 3a 1,965,000
b Approved for future payment					
Total					▶ 3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)					

12 Subtotal Add columns (b), (d), and (e)

13 Total. Add line 12, columns (b), (d), and (e)

(See worksheet in line 13 instructions to verify calculations)

13

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Employer identification number

The Edward T Cone Foundation

13-3646357

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The Edward T. Cone Foundation	Employer identification number 13-3646357
--	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ETC Charitable Lead Unitrust #2 260 Madison Ave, Ste 1800 New York NY 10016 Foreign State or Province _____ Foreign Country _____	\$ 133,008	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
	_____ _____ _____ Foreign State or Province _____ Foreign Country _____	\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

The Edward T. Cone Foundation

Employer identification number

13-3646357

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- ----- -----	\$ -----	-----

Name of organization

The Edward T. Cone Foundation

Employer identification number

13-3646357

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- ----- For Prov Country		----- ----- -----	



Investment Detail

096011-000 WTNA AGT/EDWARD T CONE FND

As of December 31, 2012

Page 6 of 11

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
PRINCIPAL PORTFOLIO(S)							
Equity							
23,987 0000 ISHARES DOW JONES SELECT DIVIDEND ETF	\$1,373,015 88 57 2400	32 52	\$1,189,800 01 49 60	\$183,215 87	\$0 00	\$50,876 43	3 71
CUSIP 464287168 TKR DVY							
2,629 0000 ISHARES RUSSELL 2000 INDEX ETF	221,671 50 84.3178	5 25	193,058 21 73.43	28,613 29	0 00	4,435 12	2 00
CUSIP 464287655 TKR IWM							
5,596 0000 JPMORGAN ALERIAN MLP INDEX CUSIP 46625H365 TKR AMJ	215,222 16 38 4600	5 10	185,535 27 33 15	29,686 89	0 00	11,320 71	5 26
1,205 0000 SPDR S&P MIDCAP 400 ETF	223,780 55 185 7100	5 30	188,605 20 156 52	35,175 35	0 00	2,554 60	1 14
CUSIP 78467Y107 TKR MDY							
4,943 0000 VANGUARD FTSE ALL-WORLD EX-US ETF	226,142 25 45 7500	5 36	216,898 56 43 88	9,243 69	0 00	6,648 34	2 94
CUSIP 922042775 TKR VEU							
5,039 0000 VANGUARD MSCI EMERGING MARKETS ETF	224,386 67 44 5300	5 31	212,670 73 42 20	11,715 94	0 00	4,913 03	2 19
CUSIP 922042858 TKR VWO							
TOTAL Equity	2,484,219.01	58.83	2,186,567.98	297,651.03	0.00	80,748.23	3.25
Fixed Income							
24,067 3920 VANGUARD SHORT-TERM INVESTMENT-GRADE CLASS ADMIRAL	260,649 86 10 8300	6 17	258,253 28 10 73	2,396 58	442 31	6,113 12	2 35
CUSIP 922031836 TKR VFSUX							

continued



Investment Detail

096011-000 WTNAAGT/EDWARD T CONE FND

As of December 31, 2012

Page 7 of 11

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
PRINCIPAL PORTFOLIO(S)							
Fixed Income							
2014 500,000 0000	\$537,035.00	12.72	\$511,500.00	\$25,535.00	\$9,350.00	\$24,750.00	4.61
PROCTER & GAMBLE CO	107.4070		102.30				0.37
DTD 08/10/2004 4.950% 08/15/2014							
NON CALLABLE							
CUSIP 742718DA4 RATING AA3							
TOTAL Fixed Income	797,684.86	18.89	769,753.28	27,931.58	9,792.31	30,863.12	3.87
Inflation Hedges							
2,118 0000	257,146.38	6.09	224,047.33	33,099.05	0.00	5,703.77	2.22
ISHARES BARCLAYS TIPS BOND ETF	121.4100		105.78				
CUSIP 464287176 TKR TIP							
3,796 0000	105,452.88	2.50	85,665.97	19,786.91	0.00	0.00	0.00
POWERSHARES DB COMMODITY INDEX	27.7800		22.57				
TRACKING FUND ETF							
CUSIP 739355105 TKR DBC							
--- 1,348 0000	218,403.50	5.17	120,066.65	98,336.85	0.00	0.00	0.00
SPDR GOLD SHARES	162.0204		89.07				
CUSIP 78463V107 TKR GLD							
TOTAL Inflation Hedges	581,002.76	13.76	429,779.95	151,222.81	0.00	5,703.77	0.98
Hedged Strategies							
32,441 5560	359,452.44	8.51	348,422.31	11,030.13	0.00	97.32	0.03
ABSOLUTE STRATEGIES FUND	11.0800		10.74				
INSTITUTIONAL CLASS							
CUSIP 34984T600 TKR ASFIX							
TOTAL Hedged Strategies	359,452.44	8.51	348,422.31	11,030.13	0.00	97.32	0.03
TOTAL PRINCIPAL PORTFOLIO(S)	4,222,359.07	100.00	3,734,523.52	487,835.55	9,792.31	117,412.44	2.78
ACCRUED INCOME	9,792.31						
MARKET VALUE WITH ACCRUED INCOME	4,232,151.38						

continued





Investment Detail

096011-000 WTNAAGT/EDWARD T CONE FND

As of December 31, 2012

Page 8 of 11

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
INCOME PORTFOLIO(S)							
Cash & Currency							
21,993 7700	\$21,993.77	100.00	\$21,993.77	\$0.00	\$0.05	\$2.16	0.01
WILMINGTON US GOVERNMENT MONEY MARKET FUND	1.0000		1.00				
CLASS SELECT							
CUSIP 97181C704 TKR AKGXX							
TOTAL Cash & Currency	21,993.77	100.00	21,993.77	0.00	0.05	2.16	0.01
TOTAL INCOME PORTFOLIO(S)	21,993.77	100.00	21,993.77	0.00	0.05	2.16	0.01
ACCRUED INCOME	0.05						
MARKET VALUE WITH ACCRUED INCOME	21,993.82						
GRAND TOTAL(S)	4,244,352.84		3,756,517.29	487,835.55	9,792.36	117,414.60	2.77
ACCRUED INCOME	9,792.36						
MARKET VALUE WITH ACCRUED INCOME	4,254,145.20						

Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Cash and Cash Equivalents

Quantity	Security Description Security ID	Moody's/S&P Rating	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Yield to Maturity %
Cash Management										
480,932.03	DWS MM MANAGED SHARES		86.04%	USD 1.000	1.000	480,932.03	480,932.03	52.92	625.21	0.13%
78,043.09	DWS MM MANAGED SHARES - INCOME		13.96%	USD 1.000	1.000	78,043.09	78,043.09	6.61	101.46	0.13%
Total Cash Management							558,975.12	59.53	726.67	
Total Cash and Cash Equivalents							558,975.12	59.53	726.67	0.13%
Total Accrued Income							59.53			
Total Cash and Cash Equivalents including Accrued Income							559,034.65			

For Cash Management vehicles and Money Market Funds, Annual Yield is reported in the Yield to Maturity column



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION

Account Number 286126

Base Currency USD

List of Holdings - Fixed Income

Quantity	Security Description Security ID Moody's/S&P Rating	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Yield to Maturity %
Corporate									
58,000 00	CONOCOPHILLIPS GTD NT DTD 02/03/09 4 75% 02/01/2014 CUSIP 20825CAS3 A1/A	4 79%	USD 102 239	104 528	59,298 62	60,626 24	1,147 92	2,755 00	0 58%
40,000 00	GOLDMAN SACHS GROUP INC SR NT DTD 01/12/05 5 125% 01/15/2015 CUSIP 38141GEA8 A3/A-	3 41%	USD 107 885	107 428	43,154 00	42,971 20	945 28	2,050 00	1 40%
250,000 00	NOVARTIS CAPITAL CORP DTD 03/16/10 2 90% 04/24/2015 CUSIP 66989HAC2 Aa2/AA-	20 45%	USD 103 904	105 126	259,760 00	262,815 00	1,349 31	7,250 00	0 59%
40,000 00	CITIGROUP INC DTD 08/07/12 2 25% 08/07/2015 CUSIP 172967GB1 Baa2/A-	3 20%	USD 101 632	102 413	40,662 80	40,965 20	360 00	900 00	1 35%
250,000 00	PROCTER & GAMBLE CO DTD 08/28/09 3 15% 09/01/2015 CUSIP 742718DO9 Aa3/AA-	20 92%	USD 105 303	106 856	263,257 50	267,140 00	2,625 00	7,875 00	0 51%
40,000 00	KRAFT FOODS INC DTD 02/08/10 4 125% 02/09/2016 CUSIP 50075NBB9 Baa2/BBB-	3 43%	USD 110 007	108 951	44,002 80	43,580 40	650 83	1,650 00	1 19%
40,000 00	THERMO FISHER SCIENTIFIC DTD 02/22/11 3 20% 03/01/2016 CUSIP 883556AY8 Baa1/A-	3 33%	USD 106 597	106 197	42,638 80	42,478 80	426 67	1,280 00	1 21%
40,000 00	BANK OF AMERICA DTD 03/17/11 3 625% 03/17/2016 CUSIP 06051GEG0 Baa2/A-	3 32%	USD 104 555	105 960	41,822 00	42,384 00	418 89	1,450 00	1 80%
40,000 00	VERIZON COMMUNICATIONS INC DTD 03/28/11 3 00% 04/01/2016 CUSIP 92343VAY0 A3/A-	3 33%	USD 107 921	106 481	43,168 40	42,592 40	300 00	1,200 00	0 88%
40,000 00	TOYOTA MOTOR CREDIT CORP DTD 01/12/12 2 05% 01/12/2017 CUSIP 89233PES1 Aa3/AA-	3 24%	USD 104 238	103 426	41,695 20	41,370 40	384 94	820 00	1 25%



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T. CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Fixed Income

Quantity	Security Description Security ID Moody's/S&P Rating	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Yield to Maturity %
Corporate									
40,000.00	GENERAL ELEC CAP CORP MTN TRANCHE TR 00775 DTD 02/13/07 5.40% 02/15/2017 CUSIP 36962G2G8 A1/AA+	3.63%	USD 116,059	115.010	46,423.60	46,004.00	816.00	2,160.00	1.66%
40,000.00	AMERICAN EXPRESS CREDIT DTD 03/28/12 2.375% 03/24/2017 CUSIP 0258MDD8 A2/A-	3.27%	USD 105,348	104.632	42,139.20	41,852.80	255.97	950.00	1.20%
40,000.00	AMGEN INC DTD 05/15/12 2.125% 05/15/2017 CUSIP 031162B02 Baa1/A+	3.22%	USD 102,997	103.594	41,198.80	41,437.60	108.61	850.00	1.23%
40,000.00	ANHEUSER-BUSCH DTD 07/16/12 1.375% 07/15/2017 CUSIP 03523TBN7 A3/A	3.15%	USD 101,254	101.053	40,501.60	40,421.20	252.08	550.00	1.17%
40,000.00	JPMORGAN CHASE & CO DTD 08/20/12 2.00% 08/15/2017 CUSIP 48126EAA5 A2/A	3.19%	USD 102,128	102.150	40,851.20	40,860.00	291.11	800.00	1.57%
40,000.00	INTEL CORP DTD 12/11/12 1.35% 12/15/2017 CUSIP 458140AL4 A1/A+	3.10%	USD 100,620	99.971	40,248.00	39,988.40	30.00	540.00	1.34%
40,000.00	WACHOVIA CORP DTD 01/31/08 5.75% 02/01/2018 CUSIP 92976WBH8 A2/A+	3.79%	USD 120,718	119.804	48,287.20	47,921.60	958.33	2,300.00	1.63%



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Fixed Income

Quantity	Security Description Security ID Moody's/S&P Rating	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Yield to Maturity %
Corporate									
40,000.00	PROCTER & GAMBLE CO DTD 02/06/09 4 70% 02/15/2019 CUSIP 742718DN6 Aa3/AA-	3.72%	USD 118.931	118.147	47,572.40	47,258.80	710.22	1,880.00	1.55%
40,000.00	MCDONALDS CORP DTD 08/02/10 3.50% 07/15/2020 CUSIP 58013MEJ9 A2/A	3.49%	USD 112.657	110.840	45,062.80	44,336.00	645.56	1,400.00	1.95%
Total Corporate		100.00%			1,271,734.92	1,277,004.04	12,676.72	38,660.00	1.01%
Total Fixed Income									
Total Accrued Income		100.00%			1,271,734.92	1,277,004.04	12,676.72	38,660.00	1.01%
Total Fixed Income including Accrued Income						12,676.72			
						1,289,680.76			

For Investment Funds, Annual Yield is reported in the Yield to Maturity column



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Consumer Discretionary										
440 00	BED BATH & BEYOND INC COM Ticker BBBY	0.63%	USD 59 690	55.910	26,263.56	24,600.40				
505 00	BORG WARNER INC COM Ticker BWA	1.01%	USD 45 450	71.620	22,951.14	36,168.10				
810 00	COMCAST CORP NEW CL A Ticker CMCSA	0.85%	USD 34 470	37.360	27,918.92	30,261.60	131.63		526.50	1.74%
945 00	DICKS SPORTING GOODS INC OC-COM Ticker DKS	1.20%	USD 36 020	45.490	34,042.87	42,988.05			472.50	1.10%
1,132 00	LIMITED BRANDS INC COM Ticker LTD	1.49%	USD 17 340	47.060	19,624.37 M	53,271.92			1,132.00	2.12%
700 00	MACYS INC COM Ticker M	0.77%	USD 35 210	39.020	24,644.90	27,314.00	140.00		560.00	2.05%
297 00	MCDONALDS CORP COM Ticker MCD	0.73%	USD 63 110	88.210	18,743.67	26,198.37			914.76	3.49%
1,320 00	NEWS CORP INC CL A Ticker NWSA	0.94%	USD 19 630	25.510	25,908.30	33,673.20			224.40	0.67%
1,610 00	NIKE INC CL B Ticker NIKE	2.32%	USD 32 110	51.600	51,699.23 M	83,076.00			676.20	0.81%
660 00	STARWOOD HOTELS & RESORTS COM Ticker HOT	1.06%	USD 59 160	57.360	39,042.69	37,857.60			825.00	2.18%
185 00	WYNN RESORTS LTD COM Ticker WYNN	0.58%	USD 122 690	112.490	22,698.36 M	20,810.65			370.00	1.78%
Total Consumer Discretionary			11.64%		313,538.01	416,219.89	271.63		5,701.36	1.37%
Consumer Staples										
520 00	BEAM INC COM Ticker BEAM	0.89%	USD 62 110	61.090	32,297.65 M	31,766.80			426.40	1.34%
660 00	COSTCO WHSL CORP NEW COM Ticker COST	1.82%	USD 83 050	98.730	54,813.28 M	65,161.80			726.00	1.11%



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description	Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Consumer Staples	540 00	INGREDION INC COM	Ticker INGR	0 98%	USD 47 320	64 430	25,553 77	34,792 20	140 40	561 60	1 61%
	740 00	KRAFT FOODS GROUP INC COM	Ticker KRFT	0 95%	USD 41 300	45 470	30,559 40 M	33,647 80	370 00	1,480 00	4 40%
	395 00	MEAD JOHNSON NUTRITION COMPANY COM	Ticker MJN	0 73%	USD 68 140	65 890	26,916 14 M	26,026 55	118 50	474 00	1 82%
	965 00	PEPSICO INC COM	Ticker PEP	1 88%	USD 42 840	68 430	41,343 30 M	66,034 95	518 69	2,074 75	3 14%
	650 00	PROCTER & GAMBLE CO COM	Ticker PG	1 23%	USD 63 800	67 890	41,469 41	44,128 50		1,461 20	3 31%
Energy	245 00	WAL MART STORES INC COM	Ticker WMT	0 47%	USD 53 000	68 230	12,984 32	16,716 35		389 55	2 33%
	610 00	WHOLE FOODS MKT INC COM	Ticker WFM	1 55%	USD 62 920	91 160	38,379 34 M	55,607 60		488 00	0 88%
	Total Consumer Staples			10 48%			304,316 61	373,882 55	1,147 59	8,081 50	2 16%
	725 00	ANADARKO PETE CORP COM	Ticker APC	1 51%	USD 45 060	74 310	32,665 88 M	53,874 75		261 00	0 48%
	335 00	CAMERON INTL CORP COM	Ticker CAM	0 53%	USD 54 320	56 460	18,198 00 M	18,914 10			
Other	542 00	CHEVRON CORP COM	Ticker CVX	1 64%	USD 87 010	108 140	47,156 83 M	58,611 88		1,951 20	3 33%
	415 00	CONCHO RESOURCES INC COM	Ticker CXO	0 93%	USD 94 290	80 560	39,130 77 M	33,432 40			
	315 00	ENSCO PLC-CL A COM	Ticker ESV	0 52%	USD 56 150	59 280	17,686 57 M	18,673 20		472 50	2 53%
	335 00	EOG RES INC COM	Ticker EOG	1 13%	USD 72 510	120 790	24,290 48	40,464 65		227 80	0 56%



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Energy	945 00	EXXON MOBIL CORP COM Ticker XOM	2.28%	USD 45 100	86 550	42,620 01 M	81,789 75		2,154 60	2.63%
	370 00	NATIONAL-OILWELL VARCO INC COM Ticker NOV	0.71%	USD 47 970	68 350	17,749 02 M	25,289 50		192 40	0.76%
	295 00	OCCIDENTAL PETE CORP COM Ticker OXY	0.63%	USD 97 460	76 610	28,750 77 M	22,599 95		637 20	2.82%
	775 00	SCHLUMBERGER LTD COM COM Ticker SLB	1.51%	USD 39 970	69 299	30,975 34 M	53,706 42	213 13	852 50	1.59%
Total Energy			11.39%			299,223 68	407,356 60	213 13	6,749 20	1.66%
Financials	1,122 00	AMERICAN TOWER CORP CL A REIT Ticker AMT	2.42%	USD 36 040	77 270	40,436 88	86,696 94		1,077 12	1.24%
	1,177 00	AMERIPRISE FINANCIAL INC COM Ticker AMP	2.06%	USD 29 480	62 630	34,696 67	73,715 51		2,118 60	2.87%
	675 00	APARTMENT INVT & MGMT CO CL A Ticker AIV	0.51%	USD 26 430	27 060	17,842 95	18,265 50		540 00	2.96%
	1,881 00	CITIGROUP INC COM Ticker C	2.08%	USD 38 660	39 560	72,713 83 M	74,412 36		75 24	0.10%
	1,580 00	DISCOVER FINANCIAL SERVICES COM Ticker DFS	1.71%	USD 17 200	38 550	27,174 26	60,909 00	221 20	884 80	1.45%
	1,770 00	J P MORGAN CHASE & CO COM Ticker JPM	2.17%	USD 38 330	43 969	67,847 84 M	77,825 31		2,124 00	2.73%
	1,135 00	PRICE T ROWE GROUP INC COM Ticker TROW	2.06%	USD 57 310	65 117	65,051 72 M	73,907 91		1,543 60	2.09%



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description	Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Financials	825 00	PRUDENTIAL FINL INC COM Ticker PRU		1 23%	USD 63 890	53 330	52,705 61 M	43,997 25		1,320 00	3 00%
	495 00	TRAVELERS COS INC COM Ticker TRV		0 99%	USD 71 170	71 820	35,229 17 M	35,550 90		910 80	2 56%
Total Financials				15 24%			413,698 93	545,280 68	221 20	10,594 16	1 94%
Health Care	1,790 00	CAREFUSION CORP COM Ticker CFN		1 43%	USD 27 620	28 580	49,446 64 M	51,158 20			
	882 00	CELGENE CORP COM Ticker CELG		1 93%	USD 52 760	78 470	46,532 91 M	69,210 54			
	410 00	CEPHEID COM Ticker CPHD		0 39%	USD 41 970	33 860	17,206 15 M	13,882 60			
	325 00	COOPER COS INC COM NEW Ticker COO		0 84%	USD 93 930	92 480	30,526 66	30,056 00		19 50	0 06%
	1,409 00	EXPRESS SCRIPTS HLDG CO COM Ticker ESRX		2 13%	USD 30 050	54 000	42,342 22	76,086 00			
	875 00	GILEAD SCIENCES INC COM Ticker GILD		1 80%	USD 40 600	73 450	35,527 62	64,268 75			
	695 00	MCKESSON HBOC INC COM Ticker MCK		1 89%	USD 63 580	96 960	44,185 08 M	67,387 20	139 00	556 00	0 83%
	300 00	MEDIVATION INC COM Ticker MDVN		0 43%	USD 44 820	51 160	13,445 10	15,348 00			
	815 00	MERCK & CO INC COM Ticker MRK		0 94%	USD 44 580	40 940	36,330 17	33,366 10	350 45	1,401 80	4 20%



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description	Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Health Care	740 00	ST JUDE MED INC COM		0 75%	USD 43 970	38 140	32,537 22 M	26,743 60	170 20	680 80	2 55%
		Ticker STJ									
Total Health Care	780 00	THERMO FISHER SCIENTIFIC INC COM		1 39%	USD 53 980	63 780	42,104 25 M	49,748 40	117 00	468 00	0 94%
		Ticker TMO		13 91%			390,184 02	497,255 39	776 65	3,126 10	0 63%
Industrials	1,542 00	AMETEK INC NEW COM		1 62%	USD 18 800	37 570	28,989 60	57,932 94		370 08	0 64%
		Ticker AME									
	6,302 00	GENERAL ELEC CO COM		3 73%	USD 16 030	20 990	101,026 19 M	132,278 98	1,197 38	4,789 52	3 62%
		Ticker GE									
	760 00	NORFOLK SOUTHERN CORP COM		1 31%	USD 50 220	61 840	38,165 44 M	46,998 40		1,520 00	3 23%
		Ticker NSC									
	445 00	PARKER HANNIFIN CORP COM		1 06%	USD 71 880	85 060	31,984 53 M	37,851 70		729 80	1 93%
		Ticker PH									
	405 00	REGAL BELOIT CORP COM		0 80%	USD 65 960	70 470	28,714 24	28,540 35	76 95	307 80	1 08%
		Ticker RBC									
	510 00	ROPER INDS INC COM		1 59%	USD 50 640	111 480	25,825 79	56,854 80		336 60	0 59%
		Ticker ROP									
	565 00	SPX CORP COM		1 11%	USD 70 610	70 150	39,896 61 M	39,634 75		565 00	1 43%
		Ticker SPW									
Total Industrials	312 00	TRANSDIGM GROUP INC COM		1 19%	USD 49 300	136 360	15,381 00	42,544 32			
		Ticker TDG		12 40%			307,983 40	442,636 24	1,274 33	8,618 80	1 95%
Information Technology	575 00	ACCENTURE PLC CL A		1 07%	USD 37 420	66 500	21,516 50	38,237 50		931 50	2 44%
		Ticker ACN									
	356 00	APPLE INC COM		5 29%	USD 110 510	532 173	39,342 52 M	189,453 55		3,773 60	1 99%
		Ticker AAPL									



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION

Account Number 286126

Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Information Technology	275 00	BROADCOM CORP CL A Ticker: BRCM	0.26%	USD 33 530	33 210	9,221 44	9,132 75		110 00	1.20%
	770 00	CHECK POINT SOFTWARE TECHNOLOGIES LTD COM Ticker: CHKP	1.02%	USD 51 330	47 640	39,527 08 M	36,682 80			
	515 00	CITRIX SYS INC COM Ticker: CTXS	0.94%	USD 72 800	65 620	37,490 56 M	33,794 30			
	2,100 00	E M C CORP MASS COM Ticker: EMC	1.48%	USD 36 640	25 300	76,942 95 M	53,130 00			
	1,060 00	EBAY INC COM Ticker: EBAY	1.51%	USD 24 830	50 998	26,319 80	54,057 56			
	76 00	GOOGLE INC CL A Ticker: GOOG	1.50%	USD 678 330	707 380	51,552 97 M	53,760 88			
	870 00	MICROSOFT CORP COM Ticker: MSFT	0.65%	USD 26 070	26 710	22,678 64	23,237 44		800 40	3.44%
	1,602 00	ORACLE CORP COM Ticker: ORCL	1.49%	USD 21 480	33 320	34,406 48	53,378 64		384 48	0.72%
	1,497 00	QUALCOMM INC COM Ticker: QCOM	2.59%	USD 49 360	61 860	73,887 57 M	92,603 82		1,497 00	1.62%
	660 00	SKYWORKS SOLUTIONS INC COM Ticker: SKWS	0.37%	USD 26 390	20 300	17,418 58 M	13,398 00			
	765 00	SOLERA HOLDINGS INC COM Ticker: SLH	1.14%	USD 37 310	53 470	28,544 40 M	40,904 55		382 50	0.94%
	880 00	TEXAS INSTRS INC COM Ticker: TXN	0.76%	USD 33 160	30 890	29,182 93 M	27,183 20		739 20	2.72%
Total Information Technology			20.08%			508,032 42	718,954 99		8,618 68	1.20%



Deutsche Bank

Detailed Portfolio Information

As of December 31, 2012

List of Holdings - Equities

Account Name	THE EDWARD T. CONE FOUNDATION
Account Number	286126
Base Currency	USD

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Materials	705 00	ECOLAB INC COM Ticker ECL	1 42%	USD 51 830	71 900	36,542 88 M	50,689 50		648 60	1 28%
	615 00	FREEMPORT-MCMORAN COPPER & GOLD INC CL B Ticker FCX	0 59%	USD 36 570	34 200	22,490 82 M	21,033 00		768 75	3 65%
	185 00	LYONDELLBASELL INDUSTRIES NV ORD CL A Ticker LYB	0 30%	USD 46 740	57 090	8,647 55	10,561 65		296 00	2 80%
Total Materials	370 00	MONSANTO CO NEW COM Ticker MON	0 98%	USD 83 850	94 650	31,023 61 M	35,020 50		555 00	1 58%
			3 28%			98,704 86	117,304 65		2,268 35	1 93%
Utilities	515 00	AMERICAN WATER WORKS COM Ticker AWK	0 53%	USD 27 250	37 130	14,034 98	19,121 95		515 00	2 69%
	545 00	NEXTERA ENERGY INC COM Ticker NEE	1 05%	USD 52 730	69 190	28,737 52	37,708 55		1,308 00	3 47%
			1 59%			42,772 50	56,830 50		1,823 00	3 21%
Total Utilities			100 00%			2,678,454 43	3,575,721 49	3,904 53	55,581 15	1 55%
Equity Investment Funds	500 00	SPDR S&P MIDCAP 400 ETF TRUS Ticker MDY	100 00%	USD 90 010	185 710	45,005 00	92,855 00	407 81	1,060 00	1 14%
Total Equity Investment Funds			100 00%			45,005 00	92,855 00	407 81	1,060 00	1 14%
Total Equities						2,723,459 43	3,668,576 49	4,312 34	56,641 15	1 54%
Total Accrued Income							4,312 34			
Total Equities including Accrued Income							3,672,888 83			

A "M" following the amount displayed in the Adjusted Cost Basis column indicates multiple lots, a "U" indicates undetermined cost



Detailed Portfolio Information

As of December 31, 2012

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Alternative Investments

Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Commodities									
2,030.00	IPATH DJ AIG AGR TOTALRTN SUB-INDEX ETN Ticker: IJA	27.35%	USD 66,390	55.140	134,769.67	111,334.20			
2,470.00	MARKET VECTORS AGRIBUSINESS ETF Ticker: MOO	31.84%	USD 56,870	52.760	140,472.04	130,317.20		2,400.84	1.84%
1,031.00	SPDR GOLD TRUST Ticker: GLD	40.81%	USD 89,650	162.020	92,434.20	167,043.03			
Total Commodities		100.00%			367,675.91	409,294.43		2,400.84	0.59%
Total Alternative Investments		100.00%			367,675.91	409,294.43		2,400.84	0.59%
Total Accrued Income						409,294.43			
Total Alternative Investments including Accrued Income						409,294.43			



2012 Tax Information Statement

Payer's Name and Address:

DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

Account Number:

286126
13-3646357
13-4941247

State:

NJ

(212)454-3067

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

2012 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip (Box 1e)	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stock or Other Symbol (Box 1d)			Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
				Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Loss Disallowed (Box 5)			
030420103	AMERICAN WATER WORKS COM	03/30/2012	08/11/2011	AWK	4,083.30	3,270.29	0.00	813.01	0.00
032511107	ANADARKO PETE CORP COM	01/09/2012	05/24/2011	APC	11,699.81	11,028.70	0.00	671.11	0.00
032511107	ANADARKO PETE CORP COM	03/30/2012	05/24/2011	APC	8,617.46	8,366.60	0.00	250.86	0.00
075896100	BED BATH & BEYOND INC COM	03/30/2012	07/19/2011	BBBY	4,984.38	4,476.74	0.00	507.64	0.00
14170T101	CAREFUSION CORP COM	03/30/2012	05/11/2011	CFN	6,352.70	7,278.53	0.00	-925.83	0.00
15670R107	CEPHEID	03/30/2012	03/14/2012	CPHD	1,481.86	1,477.52	0.00	4.34	0.00
M22465104	CHECK POINT SOFTWARE TECH LTD COM SHS	03/30/2012	12/07/2011	CHKP	6,381.85	5,358.71	0.00	1,023.14	0.00
20605P101	CONCHO RESOURCES INC COM	03/30/2012	02/21/2012	CXO	2,036.35	2,305.40	0.00	-269.05	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice
☐ Corrected

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
22160K105	COSTCO WHOLESALE CORP NEW COM	(Box 1a)	(Box 1b)	COST	(Box 3)	(Box 5)			
90 0000	03/30/2012 Various			8,142.11	8,084.67	0.00	57.44	0.00	0.00
278865100	ECOLAB INC COM			ECL					
75 0000	03/30/2012 12/01/2011			4,625.14	4,195.28	0.00	429.86	0.00	0.00
30231G102	EXXON MOBIL CORP COM			XOM					
125 0000	03/30/2012 10/12/2011			10,811.25	9,663.37	0.00	1,147.88	0.00	0.00
35671D857	FREEMPORT MCMORAN COPPER & GOLD INC CL B			FCX					
95 0000	03/30/2012 07/19/2011			3,577.61	5,342.89	0.00	-1,765.28	0.00	0.00
35671D857	FREEMPORT MCMORAN COPPER & GOLD INC CL B			FCX					
225 0000	07/11/2012 Various			7,313.90	10,564.01	0.00	-3,250.11	0.00	0.00
369604103	GENERAL ELEC CO COM			GE					
975 0000	03/30/2012 01/09/2012			19,511.65	18,407.80	0.00	1,103.85	0.00	0.00
375558103	GILEAD SCIENCES INC COM			GILD					
140 0000	03/30/2012 01/09/2012			6,843.04	6,000.40	0.00	842.64	0.00	0.00
375558103	GILEAD SCIENCES INC COM			GILD					
25 0000	12/03/2012 01/09/2012			1,869.47	1,071.50	0.00	797.97	0.00	0.00
38259P508	GOOGLE INC CL A			GOOG					
5 0000	03/30/2012 01/19/2012			3,237.22	3,187.30	0.00	49.92	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Quantity Sold	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)								
406216101	HALLIBURTON CO COM	02/06/2012	Various	755.0000	HAL	27,967.52	36,827.51	0.00	-8,859.99	0.00
487836108	KELLOGG CO COM	02/21/2012	Various	365.0000	K	18,967.96	19,594.89	0.00	-626.93	0.00
50075N104	KRAFT FOODS INC CL A	03/30/2012	02/21/2012	190.0000	KFT	7,243.12	7,352.88	0.00	-109.76	0.00
609207105	MONDELEZ INTERNATIONAL INC COM	12/04/2012	Various	810.0000	MDLZ	20,744.28	19,609.79	0.00	1,134.49	0.00
61945C103	MOSAIC CO/THE WI COM	02/21/2012	Various	340.0000	MOS	19,485.61	22,612.92	0.00	-3,127.31	0.00
637071101	NATIONAL-OILWELL VARCO INC COM	03/30/2012	02/06/2012	70.0000	NOV	5,559.27	5,770.33	0.00	-211.06	0.00
637071101	NATIONAL-OILWELL VARCO INC COM	12/03/2012	02/06/2012	201.0000	NOV	13,661.61	16,569.09	0.00	-2,907.48	0.00
637071101	NATIONAL-OILWELL VARCO INC COM	12/04/2012	Various	74.0000	NOV	5,049.94	5,838.69	0.00	-788.75	0.00
65248E104	NEWS CORP INC CL A	03/30/2012	01/19/2012	170.0000	NWSA	3,332.77	3,336.67	0.00	-3.90	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
674599105	OCCIDENTAL PETE CORP COM	01/09/2012	02/16/2011	OXY	5,795.88	6,300.33	0.00	-504.45	0.00	0.00
68389X105	ORACLE CORP COM	03/16/2012	04/18/2011	ORCL	23,767.64	26,972.48	0.00	-3,204.84	0.00	0.00
701094104	PARKER HANNIFIN CORP COM	03/30/2012	01/19/2012	PH	4,230.40	4,309.04	0.00	-78.64	0.00	0.00
713448108	PEPSICO INC COM	03/30/2012	09/02/2011	PEP	7,632.37	7,317.21	0.00	315.16	0.00	0.00
726505100	PLAINS EXPL & PRODTN CO COM	03/30/2012	11/07/2011	PXP	425.29	358.22	0.00	67.07	0.00	0.00
747525103	QUALCOMM INC COM	03/30/2012	05/24/2011	QCOM	15,278.14	12,712.50	0.00	2,565.64	0.00	0.00
758750103	REGAL BELOIT CORP COM	03/30/2012	03/08/2012	RBC	3,927.51	3,957.67	0.00	-30.16	0.00	0.00
83088M102	SKYWORKS SOLUTIONS INC COM	03/30/2012	05/24/2011	SWKS	3,458.80	3,332.50	0.00	126.30	0.00	0.00
125.0000	ST JUDE MED INC COM	03/30/2012	07/19/2011	STJ	4,428.90	4,685.24	256.34	0.00	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:

DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

Account Number:

286126
13-3646357
13-4941247
NJ
(212)454-3067

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

State:

Questions?

☐ Corrected

☐ 2nd TIN notice

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
85590A401	STARWOOD HOTELS & RESORTS COM	HOT	135.0000	03/30/2012	05/11/2011	7,621.92	7,986.01	0.00	-364.09	0.00	0.00
880770102	TERADYNE INC COM	TER	45.0000	03/30/2012	02/06/2012	748.78	753.62	0.00	-4.84	0.00	0.00
880770102	TERADYNE INC COM	TER	200.0000	12/18/2012	02/06/2012	3,322.53	3,349.40	0.00	-26.87	0.00	0.00
880770102	TERADYNE-INC COM	TER	174.0000	12/19/2012	02/06/2012	2,906.66	2,913.98	0.00	-7.32	0.00	0.00
880770102	TERADYNE INC COM	TER	46.0000	12/20/2012	02/06/2012	762.85	770.36	0.00	-7.51	0.00	0.00
882508104	TEXAS INSTRS INC COM	TXN	120.0000	03/30/2012	02/06/2012	4,027.10	4,023.24	0.00	3.86	0.00	0.00
883556102	THERMO ELECTRON CORP COM	TMO	155.0000	03/30/2012	05/11/2011	8,748.00	9,475.69	0.00	-727.69	0.00	0.00
931142103	WAL MART STORES INC COM	WMT	75.0000	03/14/2012	05/11/2011	4,576.42	4,136.38	0.00	440.04	0.00	0.00
93317Q105	WALTER ENERGY INC COM	WLT	25.0000	03/30/2012	07/26/2011	1,463.21	3,216.85	0.00	-1,753.64	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Stocks or Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
93317Q105	WALTER ENERGY INC COM			WLT						
235.0000	07/11/2012	Various			8,714.78	24,284.99	0.00	-15,570.21	0.00	0.00
966837106	WHOLE FOODS MKT INC COM			WFM						
80.0000	03/30/2012	03/14/2012			6,630.26	6,808.08	0.00	-177.82	0.00	0.00
983134107	WYNN RESORTS LTD COM			WYNN						
25.0000	03/30/2012	10/11/2011			3,125.67	3,500.73	0.00	-375.06	0.00	0.00
Total Short Term Sales Reported on 1099-B										
Report on Form 8949, Part I, with Box A checked										
Long-Term Sales Reported on 1099-B										
253393102	DICKS SPORTING GOODS INC OC-COM			DKS						
200.0000	03/30/2012	01/10/2011			9,607.78	7,204.84	0.00	2,402.94	0.00	0.00
375558103	GILEAD SCIENCES INC COM			GILD						
72.0000	12/03/2012	05/24/2011			5,384.09	2,923.42	0.00	2,460.67	0.00	0.00
375558103	GILEAD SCIENCES INC COM			GILD						
73.0000	12/03/2012	05/24/2011			5,480.53	2,964.02	0.00	2,516.51	0.00	0.00
609207105	MONDELEZ INTERNATIONAL INC COM			MDLZ						
495.0000	12/04/2012	09/27/2011			12,677.06	11,328.10	0.00	1,348.96	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:

DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

Account Number:

286126
13-3646357
13-4941247

Recipient's Tax ID Number:

13-4941247

Payer's Federal ID Number:

Payer's State ID Number:

State: NJ

Questions?

(212)454-3067

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss (Box 4)	Federal Income Tax Withheld (Box 15)	State Tax Withheld (Box 15)
63934E108	NAVISTAR INTL CORP NEW	03/30/2012	02/16/2011	NAV 4,452.70	7,144.50	0.00	-2,691.80	0.00	0.00
63934E108	NAVISTAR INTL CORP NEW	10/16/2012	Various	NAV 1,521.46	4,736.78	0.00	-3,215.32	0.00	0.00
63934E108	NAVISTAR INTL CORP NEW	10/17/2012	Various	NAV 3,557.43	11,106.45	0.00	-7,549.02	0.00	0.00
63934E108	NAVISTAR INTL CORP NEW	10/18/2012	Various	NAV 2,686.54	8,204.91	0.00	-5,518.37	0.00	0.00
63934E108	NAVISTAR INTL CORP NEW	10/19/2012	Various	NAV 2,917.08	9,457.55	0.00	-6,540.47	0.00	0.00
63934E108	NAVISTAR INTL CORP NEW	10/19/2012	Various	NAV 531.99	1,753.71	0.00	-1,221.72	0.00	0.00
65339F101	NEXTERA ENERGY INC COM	03/30/2012	01/10/2011	NEE 6,992.84	6,063.88	0.00	928.96	0.00	0.00
674599105	OCCIDENTAL PETE CORP COM	03/30/2012	02/16/2011	OXY 7,124.09	7,875.41	0.00	-751.32	0.00	0.00
674599105	OCCIDENTAL PETE CORP COM	11/16/2012	02/16/2011	OXY 19,033.41	27,301.44	0.00	-8,268.03	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Payer's Name and Address:

DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

Account Number:

286126

Recipient's Tax ID Number:

13-3646357

Payer's Federal ID Number:

13-4941247

Payer's State ID Number:

NJ

Questions?

(212)454-3067

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
726505100	PLAINS EXPL & PRODTN CO COM	12/05/2012	11/07/2011	PXP	22,175.39	17,731.64	0.00	4,443.75	0.00	0.00
742718109	PROCTER & GAMBLE CO COM	03/30/2012	02/16/2011	PG	14,458.42	13,716.81	0.00	741.61	0.00	0.00
742718109	PROCTER & GAMBLE CO COM	06/21/2012	02/16/2011	PG	17,993.59	19,139.73	0.00	-1,146.14	0.00	0.00
744320102	PRUDENTIAL FINANCIAL INC COM	03/30/2012	02/16/2011	PRU	14,151.44	14,978.48	0.00	-827.04	0.00	0.00
744320102	PRUDENTIAL FINANCIAL INC COM	09/27/2012	02/16/2011	PRU	20,415.32	24,964.12	0.00	-4,548.80	0.00	0.00
784635104	SPX CORP COM	03/30/2012	01/24/2011	SPW	6,189.46	6,157.74	0.00	31.72	0.00	0.00
883556102	THERMO ELECTRON CORP COM	12/03/2012	Various	TMO	16,210.11	15,091.17	0.00	1,118.94	0.00	0.00
92342Y109	VERIFONE SYSTEMS INC COM	03/30/2012	01/10/2011	PAY	2,829.69	2,361.15	0.00	468.54	0.00	0.00
55.0000					196,390.42	222,205.85	0.00	-25,815.43	0.00	0.00
Total Long Term 15% Sales Reported on 1099-B										
Report on Form 8949, Part II, with Box A checked										

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Page 16 of 61

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

2012 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)						State Tax Withheld	
Quantity Sold	Date of Sale or Exchange	Date of Acquisition		Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld			
(Box 1e)	(Box 1a)			(Box 2a)				(Box 4)	(Box 15)		
Short Term Sales Reported on 1099-B											
002824AU4	ABBOTT LABS DTD 3/3/2009 5.125%		4/1/2019	ABT							
19000.0000	11/09/2012	09/05/2012		22,905.45	23,002.54	0.00	-97.09	0.00	0.00		
002824AU4	ABBOTT LABS DTD 3/3/2009 5.125%		4/1/2019	ABT							
21000.0000	11/29/2012	09/05/2012		25,316.55	25,423.86	0.00	-107.31	0.00	0.00		
46625HHN3	J P MORGAN CHASE & CO DTD 5/18/2009 4.65%		6/1/2014	46625HHN3							
50000.0000	12/03/2012	09/20/2012		52,744.50	53,116.00	0.00	-371.50	0.00	0.00		
Total Short Term Sales Reported on 1099-B				100,966.50	101,542.40	0.00	-575.90	0.00	0.00		
Report on Form 8949, Part I, with Box B checked											
Long Term 15% Sales Reported on 1099-B											
G1151C101	ACCENTURE PLC CL A			ACN							
120 0000	03/30/2012	09/26/2008		7,734.02	4,490.40	0.00	3,243.62	0.00	0.00		
03027X100	AMERICAN TOWER CORP CL A REIT			AMT							
240 0000	03/30/2012	09/18/2008		14,999.66	8,649.60	0.00	6,350.06	0.00	0.00		
03076C106	AMERIPRISE FINANCIAL INC COM			AMP							
250 0000	03/30/2012	Various		14,262.18	10,784.67	0.00	3,477.51	0.00	0.00		

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Page 17 of 61

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

☐ Corrected

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)	(Box 1b)	(Box 1c)	(Box 2a)				
031100100	AMETEK INC NEW COM	01/19/2012	09/18/2008	16,315.24	0.00	6,445.24	0.00	0.00
350.0000				AME				
031100100	AMETEK INC NEW COM	03/30/2012	09/18/2008	7,063.79	0.00	2,974.79	0.00	0.00
145.0000				AME				
032511107	ANADARKO PETE CORP COM	03/30/2012	05/01/2009	1,566.81	0.00	663.41	0.00	0.00
20.0000				APC				
032511107	ANADARKO PETE CORP COM	08/09/2012	05/01/2009	10,733.20	0.00	3,731.87	0.00	0.00
155.0000				APC				
032511107	ANADARKO PETE CORP COM	11/16/2012	05/01/2009	9,497.15	0.00	3,399.21	0.00	0.00
135.0000				APC				
037833100	APPLE COMPUTER INC COM	03/30/2012	Various	63,143.13	0.00	44,861.95	0.00	0.00
105.0000				AAPL				
037833100	APPLE COMPUTER INC COM	06/21/2012	09/26/2008	29,059.84	0.00	22,807.84	0.00	0.00
50.0000				AAPL				
037833100	APPLE COMPUTER INC COM	09/11/2012	09/26/2008	28,682.37	0.00	23,305.65	0.00	0.00
43.0000				AAPL				
037833100	APPLE COMPUTER INC COM	10/24/2012	09/26/2008	22,122.47	0.00	17,621.03	0.00	0.00
36.0000				AAPL				
099724106	BORG WARNER INC COM	03/30/2012	08/03/2010	7,558.03	0.00	3,467.73	0.00	0.00
90.0000				BWA				

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold	(Box 1a)	(Box 1b)	(Box 2a)					
151020104	CELGENE CORP	03/30/2012	25,109.94	17,734.50	0.00	7,375.44	0.00	0.00
325.0000	CELGENE CORP	07/24/2009	CELG					
151020104	CELGENE CORP	09/20/2012	35,851.75	25,373.98	0.00	10,477.77	0.00	0.00
465.0000	CELGENE CORP	07/24/2009	CELG					
151020104	CELGENE CORP	12/03/2012	15,756.70	10,631.75	0.00	5,124.95	0.00	0.00
200.0000	CELGENE CORP	Various	CELG					
166764100	CHEVRON CORP COM	03/30/2012	9,083.74	6,918.15	0.00	2,165.59	0.00	0.00
85.0000	CITIGROUP INC COM	09/18/2008	C					
172967424	CITIGROUP INC COM	03/30/2012	8,387.91	11,224.00	0.00	-2,836.09	0.00	0.00
230.0000	CONOCOPHILLIPS DTD 2/3/2009 4.75% 2/1/2014	12/13/2010	20825CAS3					
20825CAS3	CONOCOPHILLIPS DTD 2/3/2009 4.75% 2/1/2014	08/17/2012	383,084.65	370,105.18	0.00	12,979.47	0.00	0.00
362000.0000	CONOCOPHILLIPS DTD 2/3/2009 4.75% 2/1/2014	02/24/2009	20825CAS3					
20825CAS3	CONOCOPHILLIPS DTD 2/3/2009 4.75% 2/1/2014	09/17/2012	84,519.20	81,791.20	0.00	2,728.00	0.00	0.00
80000.0000	DISCOVER FINANCIAL SERVICES COM	02/24/2009	DFS					
254709108	DISCOVER FINANCIAL SERVICES COM	03/30/2012	6,416.34	3,353.79	0.00	3,062.55	0.00	0.00
195.0000	EMC CORP MASS	10/15/2010	EMC					
268648102	EMC CORP MASS	03/30/2012	11,896.25	22,057.85	0.00	-10,161.60	0.00	0.00
400.0000	EBAY INC COM	01/14/2000	EBAY					
278642103	EBAY INC COM	03/30/2012	8,088.22	5,462.60	0.00	2,625.62	0.00	0.00
220.0000		10/09/2009						

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Quantity Sold	Stock or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)				(Box 2a)					
28176E108	EDWARDS LIFESCIENCES CORP	03/14/2012	03/17/2008	310.0000	EW	21,585.64	0.00	14,736.36	0.00	0.00
28176E108	EDWARDS LIFESCIENCES CORP	03/30/2012	03/17/2008	20.0000	EW	1,462.36	0.00	1,020.47	0.00	0.00
28176E108	EDWARDS LIFESCIENCES CORP	06/21/2012	03/17/2008	134.0000	EW	13,410.05	0.00	10,449.39	0.00	0.00
26875P101	EOG RES INC COM	01/09/2012	11/26/2008	105.0000	EOG	10,721.66	0.00	1,764.48	0.00	0.00
26875P101	EOG RES INC COM	03/30/2012	11/26/2008	65.0000	EOG	7,166.08	0.00	1,621.15	0.00	0.00
26875P101	EOG RES INC COM	08/03/2012	Various	70.0000	EOG	7,436.72	0.00	2,297.11	0.00	0.00
26875P101	EOG RES INC COM	11/06/2012	05/20/2009	80.0000	EOG	9,756.95	0.00	3,956.24	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	09/20/2012	10/13/2008	35.0000	ESRX	2,180.23	0.00	1,128.44	0.00	0.00
302182100	EXPRESS SCRIPTS INC COM	03/30/2012	10/13/2008	305.0000	302182100	16,426.93	0.00	7,261.30	0.00	0.00
35671D857	FREEMONT MCMORAN COPPER & GOLD INC CL B	07/11/2012	03/04/2010	95.0000	FCX	3,088.09	0.00	-678.19	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ **Corrected** ☐ **2nd TIN notice**

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip (Box 1e)	Quantity Sold	Description (Box 8) Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol (Box 1d) Stocks Bonds, etc.	Cost or Other Basis (Box 2a)	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
425067642	0040	HENDERSON EUROPEAN FOCUS FUND CLW	06/21/2012	12/08/2008	0.09	0.04	0.00	0.05	0.00
219023108	150.0000	INGREDION INC COM	03/30/2012	12/13/2010	8,585.30	7,098.27	0.00	1,487.03	0.00
457187102	170.0000	INGREDION INC COM	12/04/2012	12/13/2010	11,027.30	8,044.71	0.00	2,982.59	0.00
458140100	825.0000	INTEL CORP COM	01/19/2012	06/14/1995	20,997.99	5,675.10	0.00	15,322.89	0.00
458140100	70.0000	INTEL CORP COM	03/30/2012	06/14/1995	1,966.95	481.52	0.00	1,485.43	0.00
458140100	630.0000	INTEL CORP COM	12/03/2012	06/14/1995	12,276.08	4,333.71	0.00	7,942.37	0.00
46625H100	235.0000	J P MORGAN CHASE & CO COM	03/30/2012	07/23/2010	10,761.41	9,364.49	0.00	1,396.92	0.00
478160AP9	500000.0000	JOHNSON & JOHNSON DTD 8/16/2007 5 15% 8/15/2012	08/15/2012	08/31/2007	500,000.00	507,385.00	0.00	-7,385.00	0.00
532716107	565.0000	LIMITED BRANDS INC COM	01/09/2012	09/24/2009	21,991.96	9,851.06	0.00	12,140.90	0.00
532716107	140.0000	LIMITED BRANDS INC COM	03/30/2012	09/24/2009	6,731.04	2,440.97	0.00	4,290.07	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Stocks or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)		(Box 2a)					
580135101	MCDONALDS CORP COM	03/30/2012 09/18/2008	MCD	10,295.33	0.00	3,668.78	0.00	0.00
105.0000				6,626.55				
580135101	MCDONALDS CORP COM	09/10/2012 09/18/2008	MCD	29,649.75	0.00	9,139.00	0.00	0.00
325.0000				20,510.75				
58155Q103	MCKESSON HBOC INC COM	03/30/2012 04/26/2010	MCK	12,779.01	0.00	3,016.30	0.00	0.00
145.0000				9,762.71				
594918104	MICROSOFT CORP COM	02/06/2012 04/07/2003	MSFT	23,423.64	0.00	3,091.07	0.00	0.00
780.0000				20,332.57				
594918104	MICROSOFT CORP COM	03/30/2012 04/07/2003	MSFT	3,390.37	0.00	653.29	0.00	0.00
105.0000				2,737.08				
61945C103	MOSAIC CO/THE WI COM	02/21/2012 04/24/2009	MOS	1,719.32	0.00	495.71	0.00	0.00
30.0000				1,223.61				
61945C103	MOSAIC CO/THE WI COM	03/30/2012 04/24/2009	MOS	1,669.16	0.00	445.55	0.00	0.00
30.0000				1,223.61				
61945C103	MOSAIC CO/THE WI COM	06/21/2012 04/24/2009	MOS	14,254.67	0.00	2,630.38	0.00	0.00
285.0000				11,624.29				
654106103	NIKE INC CL B	03/30/2012 09/18/2008	NKE	15,760.69	0.00	7,040.39	0.00	0.00
145.0000				8,720.30				
655664100	NORDSTROM INC COM	01/03/2012 02/03/2010	JWN	46,188.07	0.00	13,070.00	0.00	0.00
920.0000				33,118.07				

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e)	(Box 1a)			(Box 2a)					
655844108	NORFOLK SOUTHERN CORP COM	01/19/2012	11/04/2009	NSC	18,839.21	0.00	10,249.13	0.00	0.00
375.0000									
655844108	NORFOLK SOUTHERN CORP COM	03/30/2012	11/04/2009	NSC	4,772.60	0.00	1,513.40	0.00	0.00
95.0000									
68389X105	ORACLE CORP COM	03/16/2012	05/02/2008	ORCL	429.54	0.00	164.65	0.00	0.00
20.0000									
68389X105	ORACLE CORP COM	03/30/2012	05/02/2008	ORCL	4,295.44	0.00	1,528.42	0.00	0.00
200.0000									
693475105	PNC FINL SVCS GROUP INC COM	03/30/2012	09/18/2008	PNC	16,013.80	0.00	-1,925.32	0.00	0.00
220.0000									
693475105	PNC FINL SVCS GROUP INC COM	10/23/2012	09/18/2008	PNC	75,337.65	0.00	-15,046.43	0.00	0.00
1035.0000									
74144T108	PRICE T ROWE GROUP INC COM	03/30/2012	05/02/2008	TROW	14,855.18	0.00	801.87	0.00	0.00
240.0000									
776696106	ROPER INDS INC COM	01/19/2012	09/11/2009	ROP	9,114.98	0.00	7,974.64	0.00	0.00
180.0000									
776696106	ROPER INDS INC COM	03/30/2012	09/11/2009	ROP	3,291.52	0.00	3,168.03	0.00	0.00
65.0000									
806857108	SCHLUMBERGER LTD COM	03/30/2012	04/26/2010	SLB	10,217.17	0.00	-438.49	0.00	0.00
140.0000									

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Account Number:

286126
13-3646357
13-4941247

Recipient's Tax ID Number:

NJ
(212)454-3067

Payer's Federal ID Number:

Payer's State ID Number:

Payer's Name and Address:
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

State:

Questions?

☐ Corrected

☐ 2nd TIN notice

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip (Box 1e)	Quantity Sold	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 1d)	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
83421A104	165.0000	SOLERA HOLDINGS INC COM	03/30/2012	08/17/2010	SLH	7,606.32	0.00	1,026.12	0.00	0.00
893641100	65.0000	TRANSDIGM GROUP INC COM	03/30/2012	02/03/2010	TDG	3,204.38	0.00	4,361.45	0.00	0.00
913017109	965.0000	UNITED TECHNOLOGIES CORP COM	01/09/2012	08/18/1999	UTX	71,278.45	0.00	38,704.39	0.00	0.00
92342Y109	65.0000	VERIFONE SYSTEMS INC COM	03/30/2012	12/13/2010	PAY	3,344.17	0.00	706.48	0.00	0.00
92342Y109	749.0000	VERIFONE SYSTEMS INC COM	12/18/2012	12/13/2010	PAY	21,504.19	0.00	-8,890.15	0.00	0.00
92342Y109	46.0000	VERIFONE SYSTEMS INC COM	12/19/2012	12/13/2010	PAY	1,337.15	0.00	-529.53	0.00	0.00
931142103	165.0000	WAL MART STORES INC COM	03/14/2012	10/13/2008	WMT	10,068.11	0.00	1,323.57	0.00	0.00
931142103	45.0000	WAL MART STORES INC COM	03/30/2012	10/13/2008	WMT	2,753.26	0.00	368.39	0.00	0.00
931142103	100.0000	WAL MART STORES INC COM	06/21/2012	10/13/2008	WMT	6,780.84	0.00	1,481.12	0.00	0.00
Total Long Term 15% Sales Reported on 1099-B						1,616,126.92	0.00	348,903.82	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Page 24 of 61

Recipient's Name and Address:
THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Account Number: 286126
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 13-4941247
Payer's State ID Number: NJ
State: (212)454-3067
Questions? ☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip (Box 1e)	Description (Box 8) Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
78463V107	SPDR GOLD TRUST	12/31/2012	05/08/2009	364.11	0.00	295.42	0.00	0.00
0000				364.11	0.00	295.42	0.00	0.00

Report on Form 8949, Part II, with Box B checked

Long Term 28% Sales Reported on 1099-B
78463V107 SPDR GOLD TRUST

Report on Form 8949, Part II, with Box B checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2012 Tax Information Statement

Page 10 of 20
Ref: 124

Account Number: 096011-000
Recipient's Tax ID Number: 13-3646357
Payer's Federal ID Number: 51-0055023
Payer's State ID Number: NY
State: (302)651-1040
Questions? ☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T CONE FOUNDATION
 T.RANDOLPH HARRIS TRUSTEE
 MCLAUGHLIN & STERN LLP
 260 MADISON AVENUE 18TH FL
 NEW YORK, NY 10016

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
	(Box 1a)				(Box 2a)					
464287176	ISHARES TIPS BOND ETF				TIP					
1470.0000	03/30/2012	05/03/2010	173,188.71	155,500.28		0.00	17,688.43	0.00	0.00	0.00
464287176	ISHARES TIPS BOND ETF				TIP					
662.0000	07/13/2012	05/03/2010	79,997.19	70,028.02		0.00	9,969.17	0.00	0.00	0.00
46625H365	JPMORGAN ALERIAN MLP INDEX				AMJ					
1904.0000	03/30/2012	07/22/2010	74,332.13	63,127.08		0.00	11,205.05	0.00	0.00	0.00
73935S105	POWERSHARES DB COMM IDX TRACKING ETF				DBC					
1554.0000	03/30/2012	05/03/2010	44,814.48	38,604.66		0.00	6,209.82	0.00	0.00	0.00
94975P843	WELLS FARGO ADV SHT-TRM HY CL ADM				WDHYX					
10340.6330	03/30/2012	07/22/2010	85,000.00	84,379.57		0.00	620.43	0.00	0.00	0.00
94975P843	WELLS FARGO ADV SHT-TRM HY CL ADM				WDHYX					
26424.0730	06/19/2012	07/22/2010	216,148.92	215,620.43		0.00	528.49	0.00	0.00	0.00
Total Long Term 15% Sales Reported on 1099-B										
Report on Form 8949, Part II, with Box B checked										
Long Term 28% Sales Reported on 1099-B										
78463V107	SPDR GOLD SHARES				GLD					
715.0000	03/30/2012	05/08/2009	115,947.30	63,625.10		0.00	52,322.20	0.00	0.00	0.00
78463V107	SPDR GOLD SHARES				GLD					
0000	12/31/2012	05/08/2009	972.63	534.89		0.00	437.74	0.00	0.00	0.00
Report on Form 8949, Part II, with Box B checked										
Total Long Term 28% Sales Reported on 1099-B										
Report on Form 8949, Part II, with Box B checked										
Total Long Term 28% Sales Reported on 1099-B										
Report on Form 8949, Part II, with Box B checked										

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

Grants Made - Rider to Cone Fndn 990-PF - 2012'2

01/01/12 through 12/31/12

11/15/13

Page 1

Date	Num	Description	Memo	Amount
03/29/12	2047	ACLU Foundation	2012 Workplan	-5,000
04/04/12	2118	American Bird Conservancy	General Operating Support	-5,000
03/29/12	2048	American Composers Orchestra	Fundraising Campaign	-10,000
03/29/12	2049	American Farm School	General Operations	-5,000
04/04/12	2108	Answer/Rutgers University Foundation	Answer's Operations	-10,000
01/27/12	2043	Archdiocese Of Chicago	Concert for John Paul II - Balance of	-400,000
03/29/12	2050	Arts Council of Princeton	Robeson Community Music Series	-5,000
04/04/12	2121	Baltimore Museum of Art	Capital Campaign - 1st of 2 installments	-25,000
04/04/12	2105	Center For Contemporary Opera	Support for The Secret Agent	-5,000
03/29/12	2051	Composers Guild of New Jersey	Concert Activities	-3,000
03/29/12	2052	Concert Artists Guild	New Music/New Places	-3,000
04/04/12	2106	Delaware and Raritan Greenway	General Support	-40,000
03/29/12	2053	Doctors Without Borders	General Support	-10,000
04/04/12	2122	Ebb & Flow Arts	General Operating Support	-10,000
03/29/12	2054	Elevator Repair Service Theater, Inc	Ongoing Projects	-50,000
03/29/12	2055	Environmental Defense Fund, Inc	Fundraising Campaign	-5,000
03/29/12	2056	From The Top	General Operating Support	-3,000
03/29/12	2057	Human Rights Watch	General Support	-5,000
03/29/12	2058	Humane Society Veterinary Medical Associa	General Support	-5,000
04/04/12	2110	Institute for Advanced Study	General Operating Support	-50,000
04/04/12	2119	JCC Thurnauer School of Music	General Operating Support	-1,000
03/29/12	2060	John Simon Guggenheim Memorial Found	Guggenheim Fellows Program	-5,000
03/29/12	2061	Joy In Singing	2012 Support	-20,000
03/29/12	2062	Kaufman Center	Current Season	-15,000
03/29/12	2063	League of Composers-ISCM	Fundraising Campaign	-10,000
03/29/12	2064	Look & Listen Festival	Look & Listen Festival	-5,000
04/04/12	2111	McCarter Theatre	Music Program	-20,000
03/29/12	2065	Millay Colony for the Arts	Edward T Cone Fellowship	-5,000
03/29/12	2066	MIMA Music, Inc	After-School Music Initiative	-8,000
03/29/12	2082	National Audubon Society	Important Bird Area Program	-10,000
03/29/12	2067	Nature Conservancy	Fundraising Campaign	-5,000
03/29/12	2068	New Jersey Arts News	General Operating Support	-5,000
03/29/12	2069	New Jersey Conservation Foundation	General Purposes	-5,000
01/27/12	2042	New Jersey Symphony Orchestra	Third Installment - New Jersey Roots	-200,000
03/29/12	2070	New York Philharmonic	General Support	-5,000
03/29/12	2071	Opera New Jersey	2012 Season	-110,000
04/04/12	2113	Orchestra 2001	25th Anniversary Season	-5,000
03/29/12	2072	Orpheus Chamber Orchestra	2nd Installment for New Music Initiative	-15,000
03/29/12	2075	Part of the Solution	General Operations	-5,000
03/29/12	2073	People & Stories/Gente y Cuentos	General Support	-15,000
03/29/12	2076	Ploughshares Fund	Fundraising Campaign	-5,000
03/29/12	2077	Pocket Opera Players	General Operating Support	-5,000
03/29/12	2078	Princeton Future, Inc	General Support	-5,000
04/04/12	2112	Princeton HealthCare System Foundation, I	2nd Installment for Capital Campaign	-25,000
04/04/12	2114	Princeton Pro Musica	2012 Season	-15,000
03/29/12	2079	Princeton Public Library Foundation	General Operating Support	-5,000
04/04/12	2107	Princeton Symphony Orchestra	2012 Season	-50,000
03/29/12	2080	Princeton University	Class of 1939 - Annual Giving	-10,000
03/29/12	2081	Prospect Theater Company	2012 Season	-5,000
03/29/12	2083	Rescue Mission of Trenton	General Operations	-5,000
03/29/12	2084	Riverdale Country School	Annual Fund	-10,000

Grants Made - Rider to Cone Fndn 990-PF - 2012:2

01/01/12 through 12/31/12

11/15/13

Page 2

Date	Num	Description	Memo	Amount
03/29/12	2085	Riverside Symphony	2011 - 2012 Season	-5,000
03/29/12	2086	Rockefeller University	General Support	-5,000
03/29/12	2087	Rodfei Shalom Fellowship, Inc	General Operating Support	-5,000
04/04/12	2104	Salzburg Global Seminar	Salzburg Global Forum for Young Cult	-300,000
04/04/12	2115	Simon Wiesenthal Center	Museum of Tolerance New York	-10,000
04/04/12	2116	Small Dog Rescue, Inc	General Operating Expenses	-5,000
03/29/12	2089	Southern Poverty Law Center	Annual Campaign	-5,000
04/04/12	2120	Stony Brook-Millstone Watershed Association	Capital Campaign - 1st of 5 installments	-20,000
03/29/12	2090	TAKE Dance Company	General Support	-10,000
04/04/12	2109	The Bogliasco Foundation	The Edward T Cone Fellowship	-25,000
03/29/12	2059	The Hummingbirds Institute	Music Program Support	-15,000
03/29/12	2091	The New Group	General Support	-20,000
03/29/12	2092	The New York Music Ensemble	General Operating Support	-5,000
03/29/12	2093	The Princeton Festival	2012 Season	-110,000
03/29/12	2094	The Princeton Singers	Operating Support	-12,000
03/29/12	2088	The Shakespeare Theatre Of New Jersey	Annual Fundraising Campaign	-50,000
03/29/12	2095	The Walden School	Summer Music Education Program	-5,000
03/29/12	2096	The Wooster Group	Summer Institute	-15,000
03/29/12	2097	Trenton Children's Chorus	Trenton Children's Chorus Two Projects	-10,000
03/29/12	2098	Unicef	Relief Operations	-5,000
03/29/12	2099	Volunteer Lawyers for the Arts	Annual Campaign	-10,000
03/29/12	2100	VZV Research Foundation, Inc	Annual Campaign	-5,000
03/29/12	2101	Washington Square Contemporary Music S	Current Concert Series	-5,000
04/04/12	2117	WWFM	Operating Support and Digital Radio	-20,000
03/29/12	2102	Yellow Barn Music School & Festival	General Support	-5,000
03/29/12	2103	Young Audiences Arts For Learning New Jer	Classical Initiative	-20,000
01/01/12 - 12/31/12				-1,965,000
OVERALL TOTAL				-1,965,000
TOTAL INFLOWS				0
TOTAL OUTFLOWS				-1,965,000
NET TOTAL				-1,965,000