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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation KENNETH ROSENBERG FOUNDATION		A Employer identification number 13-3593765
Number and street (or P O box number if mail is not delivered to street address) C/O MATTHEWS & CO, 270 MADISON AVE	Room/suite 16TH F	B Telephone number (212) 293-5100
City or town, state, and ZIP code NEW YORK, NY 10016		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 913,174.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		24,148.	24,148.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		19,922.			
b Gross sales price for all assets on line 6a 364,194.					
7 Capital gain net income (from Part IV, line 2)			19,922.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		44,070.	44,070.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		2,750.	2,750.		0.
c Other professional fees					
17 Interest					
18 Taxes					
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 3		2,817.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		5,567.	2,750.		0.
25 Contributions, gifts, grants paid		113,000.			113,000.
26 Total expenses and disbursements. Add lines 24 and 25		118,567.	2,750.		113,000.
27 Subtract line 26 from line 12		<74,497.>			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			41,320.		
c Adjusted net income (if negative, enter -0-)				N/A	

5

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			24,874.	62,344.	62,344.
	2 Savings and temporary cash investments			35,485.	113,179.	113,179.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 4			479,032.	453,099.	544,339.
	c Investments - corporate bonds STMT 5			342,983.	185,770.	193,312.
11 Investments - land, buildings and equipment basis ▶						
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers)			882,374.	814,392.	913,174.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒					
	and complete lines 24 through 26 and lines 30 and 31					
	24 Unrestricted			882,374.	814,392.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	and complete lines 27 through 31					
	27 Capital stock, trust principal, or current funds					
28 Paid-in or capital surplus, or land, bldg, and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds						
30 Total net assets or fund balances			882,374.	814,392.		
31 Total liabilities and net assets/fund balances			882,374.	814,392.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	882,374.
2 Enter amount from Part I, line 27a	2	<74,497.>
3 Other increases not included in line 2 (itemize) ▶ UNSETTLED TRADES	3	6,515.
4 Add lines 1, 2, and 3	4	814,392.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	814,392.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHUBB CORP		02/22/01	02/22/12
b GENERAL MOTORS ACCEPTANCE CORP		09/17/12	VARIOUS
c SEE ATTACHED SCHEDULE		VARIOUS	VARIOUS
d SEE ATTACHED SCHEDULE		VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 29,257.			12,730.
b 150,000.			0.
c 36,763.			837.
d 141,442.			<377.>
e 6,732.			6,732.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			12,730.
b			0.
c			837.
d			<377.>
e			6,732.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2 19,922.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	118,932.	960,474.	.123826
2010	73,762.	986,931.	.074739
2009	64,283.	927,114.	.069337
2008	58,465.	1,061,251.	.055091
2007	57,300.	1,245,302.	.046013

2 Total of line 1, column (d)	2	.369006
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.073801
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	912,311.
5 Multiply line 4 by line 3	5	67,329.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	413.
7 Add lines 5 and 6	7	67,742.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	113,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	413.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	413.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	413.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	373.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	373.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	40.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

SEE STATEMENT 6

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► TAXPAYER Telephone no. ► (212) 293-5100 Located at ► MATTHEWS & CO, 270 MADISON AVE-16TH FL, NEW YORK, ZIP+4 ► 10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? ☐ Yes ☒ No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH ROSENBERG 550 SE MIZNER BLVD BOCA RATON, FL 33432	PRESIDENT	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 CONTRIBUTIONS TO QUALIFIED CHARITIES	
	0.
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	882,594.
b	Average of monthly cash balances	1b	43,610.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	926,204.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	926,204.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,893.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	912,311.
6	Minimum investment return. Enter 5% of line 5	6	45,616.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	45,616.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	413.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	413.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	45,203.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	45,203.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	45,203.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	113,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	113,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	413.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	112,587.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				45,203.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008	6,100.			
c From 2009	18,461.			
d From 2010	24,991.			
e From 2011	71,244.			
f Total of lines 3a through e	120,796.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 113,000.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				45,203.
e Remaining amount distributed out of corpus	67,797.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d) the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	188,593.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	188,593.			
10 Analysis of line 9:				
a Excess from 2008	6,100.			
b Excess from 2009	18,461.			
c Excess from 2010	24,991.			
d Excess from 2011	71,244.			
e Excess from 2012	67,797.			

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
THE CHRON'S & COLITIS FOUNDATION			SCIENTIFIC	1,000.
JACK MARTIN FUND			OTHER	5,000.
SOUTHAMPTON HOSPITAL FOUNDATION			SCIENTIFIC	1,000.
BERGEN COUNTY HIGH SCHOOL OF JEWISH STUDIES			EDUCATION ;LISTTOTAL 3600	1,000.
NYU MEDICAL CENTER-UROLOGY RESEARCH FUND			SCIENTIFIC ;LISTTOTAL 0	22,500.
Total	SEE CONTINUATION SHEET(S)			113,000.
b Approved for future payment				
NONE				
Total				0.

Part XVII	Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

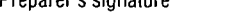
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  

Signature of officer or trustee _____ Date 11/13/2013 Title PRES.

May the IRS discuss this return with the preparer shown below (see instr. 7)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	ROBERT S. MATTHEWS		11/13/2013		P00637604
	Firm's name ▶ MATTHEWS & CO., LLP				Firm's EIN ▶ 13-3489260
	Firm's address ▶ 270 MADISON AVENUE 16TH FLOOR NEW YORK, NY 10016				Phone no 212-293-5100

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDRENS AID SOCIETY			OTHER	2,000.
STROBIS GLAUCOMA FUND			SCIENTIFIC	10,000.
QUOGE SOCIETY			OTHER	1,000.
GAMBINO MEDICAL & SCIENCE FOUNDATION			SCIENTIFIC	5,000.
LIGHT ONE LITTLE CANDLE			OTHER	7,000.
JEWISH FEDERATION OF PALM BEACH COUNTY			RELIGIOUS	1,000.
SOLOMON SCHECHTER DAY SCHOOL			EDUCATION	10,000.
DREAM ENTERTAINMENT			OTHER	1,000.
FRIENDS OF WEST HAMPTON AMBULANCE			SCIENTIFIC	500.
BRIGHT SIDE OF THE ROAD			EDUCATION	5,000.
Total from continuation sheets				82,500.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOCA RATON REGIONAL HOSPITAL FUND			N/A - 11/11/13 02:15PM WORKSHEET PRIVATE FOUNDATION	15,000.
HOME SAFE			N/A - 01/31/12 11:54AM WORKSHEET PRIVATE FOUNDATION	6,000.
WEST HAMPTON PERFORMANCE CENTER			N/A - 11/11/13 02:13PM WORKSHEET PRIVATE FOUNDATION	1,000.
THE CARMEL FAMILY FUND			OTHER	10,000.
WESTHAMPTON PRES CHURCH			RELIGIOUS	7,500.
R.S.V.P.			N/A - 11/11/13 02:07PM WORKSHEET PRIVATE FOUNDATION	500.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
COMMONWEALTH	19,457.	0.	19,457.
NORTHERN TRUST	11,423.	6,732.	4,691.
TOTAL TO FM 990-PF, PART I, LN 4	30,880.	6,732.	24,148.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,750.	2,750.		0.
TO FORM 990-PF, PG 1, LN 16B	2,750.	2,750.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	2,817.	0.		0.
TO FORM 990-PF, PG 1, LN 23	2,817.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	453,099.	544,339.
TOTAL TO FORM 990-PF, PART II, LINE 10B	453,099.	544,339.

FORM 990-PF	CORPORATE BONDS	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	185,770.	193,312.
TOTAL TO FORM 990-PF, PART II, LINE 10C	185,770.	193,312.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	6
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EXPLANATION

ATTORNEY GENERAL DOES NOT REQUIRE COPY OF 990-PF

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

		Enter filer's identifying number, see instructions
Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	KENNETH ROSENBERG FOUNDATION	13-3593765
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	C/O MATTHEWS & CO, 270 MADISON AVE, NO. 16TH F	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10016	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

TAXPAYER - MATTHEWS & CO, 270 MADISON AVE-16TH FL - NEW

- The books are in the care of **YORK, NY 10016**

Telephone No **(212)293-5100**

FAX No

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013**

5 For calendar year **2012**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED DUE TO UNAVOIDABLE ABSENCE OF AN INDIVIDUAL HAVING SOLE AUTHORITY TO EXECUTE THE RETURN

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	173.
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title

Date

Form 8868 (Rev. 1-2013)

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-39320
Recipient's Tax ID Number: XX-XXX1765

Recipient's Name and Address:
KENNETH ROSENBERG FOUNDATION
P.O. BOX 1741
QUOGUE, NY 11959-1741

☐ Corrected

☐ 2nd TIN notice

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
665162566	MFB NORTHN MULTIMGR SMALL CAP FD	03/05/2012	290.0000	NMMSX	2,760.80	3,172.60	0.00	-411.80	0.00	0.00
665162699	MFB NORTHN HI YIELD FXD INC FD	03/05/2012	385.2900	NHFIX	2,824.18	2,709.00	0.00	115.18	0.00	0.00
665162699	MFB NORTHN HI YIELD FXD INC FD	03/05/2012	7.3100	NHFIX	53.58	53.55	0.00	0.03	0.00	0.00
665130100	MFB NORTHN MID CAP INDEX FD	05/04/2012	19.0000	NOMIX	243.20	244.91	0.00	-1.71	0.00	0.00
665162566	MFB NORTHN MULTIMGR SMALL CAP FD	05/04/2012	33.0000	NMMSX	309.54	361.02	0.00	-51.48	0.00	0.00
665162582	MFB NORTHN FUNDS EMERGING MKTS EQTY	05/04/2012	49.0000	NOEMX	553.21	583.10	0.00	-29.89	0.00	0.00
665162723	MFB NORTHN FDS SMALL CAP INDEX FD	05/04/2012	32.0000	NSIDX	280.00	283.52	0.00	-3.52	0.00	0.00
693390841	PIMCO FDS HIGH YIELD FD	05/04/2012	99.0000	PHIYX	926.64	923.67	0.00	2.97	0.00	0.00
922031869	MFO VANGUARD FXD INC SECS FD INC	05/04/2012	54.0000	VIPSX	780.84	778.05	0.00	2.79	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 23-39320
 Recipient's Tax ID Number: XX-XXX1765
 Recipient's Name and Address:
 KENNETH ROSENBERG FOUNDATION
 P.O. BOX 1741
 QUOGUE, NY 11959-1741

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
922031869	60.0000	MFO VANGUARD FXD INC SECS FD #119	05/08/2012	Various	VIPSX	870.00	0.00	16.07	0.00	0.00
665162582	1.0000	MFB NORTHN FUNDS EMERGING MKTS EQTY	05/16/2012	03/05/2012	NOEMX	11.90	0.00	-1.52	0.00	0.00
665130100	16.0000	MFB NORTHN MID CAP INDEX FD	07/18/2012	03/05/2012	NOMIX	202.08	0.00	-4.16	0.00	0.00
665162582	37.0000	MFB NORTHN FUNDS EMERGING MKTS EQTY	07/18/2012	03/05/2012	NOEMX	392.57	0.00	-47.73	0.00	0.00
665162723	37.0000	MFB NORTHN FDS SMALL CAP INDEX FD	07/18/2012	03/05/2012	NSIDX	330.04	0.00	2.22	0.00	0.00
6933390841	105.0000	PIMCO FDS HIGH YIELD FD	07/18/2012	05/08/2012	PHIYX	981.75	0.00	0.01	0.00	0.00
922031869	53.0000	MFO VANGUARD FXD INC SECS FD #119	07/18/2012	10/06/2011	VIPSX	745.18	0.00	38.69	0.00	0.00
922031869	1458.4300	MFO VANGUARD FXD INC SECS FD #119	07/24/2012	10/06/2011	VIPSX	21,657.74	0.00	1,152.25	0.00	0.00
33939L506	5.0000	MFC FLEXSHARES TR TRIBOXX 3 YR TARGET DURATION TIPS INDEX FD	11/08/2012	07/24/2012		126.60	0.00	0.55	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162723		MFB NORTHN FDS SMALL CAP INDEX FD			NSIDX					
2.0000		11/08/2012	03/05/2012		17.66	17.72	0.00	-0.06	0.00	0.00
693390841		PIMCO FDS HIGH YIELD FD			PHIYX					
278.0000		11/08/2012	05/08/2012		2,657.68	2,599.28	0.00	58.40	0.00	0.00
Total Short Term Sales						36,762.91	0.00	837.29	0.00	0.00
Long Term 15% Sales										
665162475		MFB NORTHN FDS MULTI-MANAGER GLOBAL REAL ESTATE FD			NMMGX					
15.0000		03/05/2012	02/28/2011		258.00	276.75	0.00	-18.75	0.00	0.00
665162483		MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD			NMMEX					
710.0000		03/05/2012	Various		13,376.40	15,485.33	0.00	-2,108.93	0.00	0.00
665162517		MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD			NMMLX					
1380.0000		03/05/2012	02/28/2011		13,289.40	13,096.19	0.00	193.21	0.00	0.00
665162558		MFB NORTHN MULTI-MANAGER INTL EQTY FD FD			NMIEX					
330.0000		03/05/2012	08/09/2006		3,055.80	3,428.70	0.00	-372.90	0.00	0.00
665162574		MFB NORTHN MULTIMGR MID CAP FD			NMMCX					
740.0000		03/05/2012	Various		8,968.80	6,956.09	0.00	2,012.71	0.00	0.00
665162699		MFB NORTHN HI YIELD FXD INC FD			NHFIX					
2995.9600		03/05/2012	01/04/2011		21,960.38	21,960.38	0.00	0.00	0.00	0.00

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Account Number: 23-39320
Recipient's Tax ID Number: XX-XXX1765

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162772	MFB NORTHERN FDS STK INDEX FD			NOSIX					
345.0000	03/05/2012 02/28/2011			5,851.20	5,685.59	0.00	165.61	0.00	0.00
665130209	MFB NORTHN INTL EQTY INDEX FD			NOINX					
118.0000	05/04/2012 04/01/2011			1,118.64	1,299.19	0.00	-180.55	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALSTATE FD			NMMGX					
28.0000	05/04/2012 02/28/2011			487.76	516.60	0.00	-28.84	0.00	0.00
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD			NMMEX					
44.0000	05/04/2012 04/01/2011			796.84	1,033.11	0.00	-236.27	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD			NMMLX					
145.0000	05/04/2012 Various			1,389.10	1,377.49	0.00	11.61	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD			NOBOX					
446.0000	05/04/2012 Various			4,897.08	4,679.36	0.00	217.72	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD FD			NMIEX					
101.0000	05/04/2012 08/09/2006			895.87	1,049.39	0.00	-153.52	0.00	0.00
665162574	MFB NORTHN MULTIMGR MID CAP FD			NMMCX					
10.0000	05/04/2012 04/01/2011			120.20	126.60	0.00	-6.40	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD			NOSIX					
149.0000	05/04/2012 Various			2,531.50	2,455.70	0.00	75.81	0.00	0.00

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2012 Tax Information Statement

Account Number: 23-39320
 Recipient's Tax ID Number: XX-XXX1765
 Recipient's Name and Address:
 KENNETH ROSENBERG FOUNDATION
 P.O. BOX 1741
 QUOGUE, NY 11959-1741

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND			PCRIX					
46.0000	05/04/2012 04/04/2011			300.84	449.89	0.00	-149.05	0.00	0.00
72201F516	MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD			PELBX					
33.0000	05/04/2012 Various			355.08	352.16	0.00	2.92	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD			NMMLX					
41.0000	05/08/2012 02/28/2011			390.32	389.09	0.00	1.23	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD			NOBOX					
1035.0000	05/08/2012 02/28/2011			11,374.65	10,857.14	0.00	517.51	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD			NOSIX					
60.0000	05/08/2012 02/28/2011			1,015.80	988.80	0.00	27.00	0.00	0.00
72201F516	MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD			PELBX					
25.0000	05/08/2012 02/28/2011			266.25	262.00	0.00	4.25	0.00	0.00
7134488F4	PEPSICO INC NT 5.15% DUE 05-15-2012			MTN1					
25000.0000	05/15/2012 05/17/2007			25,000.00	24,997.00	0.00	3.00	0.00	0.00
665130209	MFB NORTN INTL EQTY INDEX FD			NOINX					
2.0000	05/16/2012 04/01/2011			17.82	22.02	0.00	-4.20	0.00	0.00
665162483	MFB NORTN FDS MULTI-MANAGER EMERGING MKTS			NMMEX					
1.0000	05/16/2012 04/01/2011			16.67	23.48	0.00	-6.81	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-39320
Recipient's Tax ID Number: XX-XXX1765

Recipient's Name and Address:
KENNETH ROSENBERG FOUNDATION
P.O. BOX 1741
QUOGUE, NY 11959-1741

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD	2.0000	05/16/2012	08/09/2006	NMIEX	20.78	0.00	-4.12	0.00	0.00
665130209	MFB NORTHN INTL EQTY INDEX FD	116.0000	07/18/2012	04/01/2011	NOINX	1,277.16	0.00	-205.32	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD	32.0000	07/18/2012	02/28/2011	NMMGX	590.40	0.00	-19.84	0.00	0.00
665162483	MFB NORTHN FDS MULTI-MANAGER EMERGING MKTS EQTY FD	43.0000	07/18/2012	04/01/2011	NMMEX	1,009.63	0.00	-278.63	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD	139.0000	07/18/2012	02/28/2011	NMMLX	1,319.11	0.00	-23.63	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD	422.0000	07/18/2012	02/28/2011	NOBOX	4,426.78	0.00	270.08	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD	101.0000	07/18/2012	08/09/2006	NMIEX	1,049.39	0.00	-185.84	0.00	0.00
665162566	MFB NORTHN MULTIMGR SMALL CAP FD	34.0000	07/18/2012	06/30/2011	NMMSX	371.96	0.00	-49.98	0.00	0.00
665162574	MFB NORTHN MULTIMGR MID CAP FD	8.0000	07/18/2012	04/01/2011	NMMCX	101.28	0.00	-8.16	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-39320
Recipient's Tax ID Number: XX-XXX1765

Recipient's Name and Address:
KENNETH ROSENBERG FOUNDATION
P.O. BOX 1741
QUOGUE, NY 11959-1741

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis		
665162772	MFB NORTHERN FDS STK INDEX FD		NOSIX			
146.0000	07/18/2012	02/28/2011	2,486.38	2,406.08	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND		PCRIX			
54.0000	07/18/2012	04/04/2011	369.36	528.13	0.00	0.00
72201F516	MFO PIMCO FDS EMERGING LOCAL BD FD INSTLCL USD		PELBX			
35.0000	07/18/2012	02/28/2011	372.40	366.80	0.00	0.00
665130209	MFB NORTHN INTL EQTY INDEX FD		NOINX			
62.0000	11/08/2012	Various	606.98	646.13	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALSTATE FD		NMMGX			
13.0000	11/08/2012	02/28/2011	236.99	239.85	0.00	0.00
665162517	MFB NORTHERN FUNDS MULTI MANAGER LARGE CAP FD		NMMLX			
223.0000	11/08/2012	02/28/2011	2,089.51	2,116.27	0.00	0.00
665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD FD		NMIEIX			
7.0000	11/08/2012	08/09/2006	63.56	72.73	0.00	0.00
665162772	MFB NORTHERN FDS STK INDEX FD		NOSIX			
346.0000	11/08/2012	02/28/2011	5,926.98	5,702.07	0.00	0.00
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND		PCRIX			
23.0000	11/08/2012	Various	157.09	201.50	0.00	0.00

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Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Total Long Term 15% Sales										
					139,704.70	140,214.10	0.00	-509.39	0.00	0.00
Long Term 28% Sales										
78463V107	MFC SPDR GOLD TR GOLD SHS				GLD					
4.0000	05/04/2012	04/04/2011			637.18	559.32	0.00	77.86	0.00	0.00
78463V107	MFC SPDR GOLD TR GOLD SHS				GLD					
5.0000	07/18/2012	04/04/2011			765.58	699.15	0.00	66.43	0.00	0.00
78463V107	MFC SPDR GOLD TR GOLD SHS				GLD					
2.0000	11/08/2012	11/07/2011			334.01	346.82	0.00	-12.81	0.00	0.00
Total Long Term 28% Sales						1,736.77	1,605.29	131.48	0.00	0.00