



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year **2012** or tax year beginning , **2012**, and ending , **20**

Name of foundation 31-15C033738575 EL & JB STEINIGER FOUNDATION		A Employer identification number 13-3585674						
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) - -						
City or town, state, and ZIP code LONG ISLAND CITY, NY 11120		C If exemption application is pending, check here ☐						
G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☒ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☒ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☒ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 24,750,785.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
--	------------------------------------	---------------------------	-------------------------	---

Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ☒ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	605,292.	609,911.		STMT
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	130,769.			
	b	Gross sales price for all assets on line 6a	17,320,062.			
	7	Capital gain net income (from Part IV, line 2)		162,628.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
Operating and Administrative Expenses	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	4,805.			STMT
	12	Total Add lines 1 through 11	740,866.	772,539.		
	13	Compensation of officers, directors, trustees, etc.	126,793.	63,396.		63,39
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	157,920.	157,920.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	27,066.	19,587.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule)	4,827.	3,947.		88
	24	Total operating and administrative expenses. Add lines 13 through 23	316,606.	244,850.	NONE	64,277
25	Contributions, gifts, grants paid	1,090,621.			1,090,621	
26	Total expenses and disbursements Add lines 24 and 25	1,407,227.	244,850.	NONE	1,154,898	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-666,361.				
b	Net investment income (if negative, enter -0-)		527,689.			
c	Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

Liabilities

Net Assets or Fund Balances

1	Cash - non-interest-bearing			
2	Savings and temporary cash investments	1,871,416.	1,057,233.	1,057,233.
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			NONE
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule) .			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 6	18,902,085.	19,086,788.	23,693,552.
14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	20,773,501.	20,144,021.	24,750,785.
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons .			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)			NONE
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds	20,773,501.	20,144,021.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds . .			
30	Total net assets or fund balances (see instructions)	20,773,501.	20,144,021.	
31	Total liabilities and net assets/fund balances (see instructions)	20,773,501.	20,144,021.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,773,501.
2	Enter amount from Part I, line 27a	2	-666,361.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	40,736.
4	Add lines 1, 2, and 3	4	20,147,876.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	3,855.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,144,021.

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 17,320,061.		17,157,433.	162,628.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			162,628.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	162,628.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,155,065.	24,266,657.	0.047599
2010	1,005,274.	22,467,187.	0.044744
2009	1,244,312.	20,153,117.	0.061743
2008	1,453,493.	25,248,965.	0.057566
2007	1,354,254.	29,852,876.	0.045364
2 Total of line 1, column (d)			2 0.257016
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.051403
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 24,343,520.
5 Multiply line 4 by line 3			5 1,251,330.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,277.
7 Add lines 5 and 6			7 1,256,607.
8 Enter qualifying distributions from Part XII, line 4			8 1,154,898.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	10,554.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	10,554.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,554.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	12,096.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	1,400.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,496.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,942.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 2,942. Refunded ☐ 11	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of SEE STATEMENT 9 Telephone no SEE STATEMENT 9 Located at SEE STATEMENT 9 ZIP+4 SEE STATEMENT 9			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country SEE STATEMENT 9	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here SEE STATEMENT 9		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes	☒ No
If "Yes," list the years SEE STATEMENT 9		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here SEE STATEMENT 9		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CITIBANK, N.A. TWO COURT SQUARE, 8TH FLOOR, LONG ISLAND CITY, NY 111	TRUSTEE, AS REQU	92,694.	- 0 -	- 0 -
HOWARD G. SEITZ 230 PARK AVENUE, NEW YORK, NY 10169	CO-TTEE, AS REQ	17,050.		
ARCHDIOCESE OF NEW YORK 1011 FIRST AVENUE, NEW YORK, NY	CO-TTEE, AS REQ	17,049.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐

NONE

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,630,159.
b	Average of monthly cash balances	1b	2,084,074.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	24,714,233.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	24,714,233.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	370,713.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	24,343,520.
6	Minimum investment return. Enter 5% of line 5	6	1,217,176.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,217,176.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	10,554.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	10,554.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,206,622.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	1,206,622.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,206,622.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,154,898.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,154,898.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,154,898.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,206,622.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			1,090,621.	
b Total for prior years 20 <u>10</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	NONE			
b From 2008	NONE			
c From 2009	NONE			
d From 2010	NONE			
e From 2011	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ <u>1,154,898.</u>				
a Applied to 2011, but not more than line 2a			1,090,621.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				64,277.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				1,142,345.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2008	NONE			
b Excess from 2009	NONE			
c Excess from 2010	NONE			
d Excess from 2011	NONE			
e Excess from 2012	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ARCHDIOCESE OF NEW YORK	NONE	N/A	UNRESTRICTED GIFT	423,498.
CATHOLIC CHARITIES	NONE	N/A	UNRESTRICTED GIFT	294,468.
ST. JOSEPH'S SEMINARY	NONE	N/A	UNRESTRICTED GIFT	272,655.
CATHOLIC ALUMNI PARTNERSHIP	NONE	N/A	UNRESTRICTED GIFT	100,000.
Total			3a	1,090,621.
b Approved for future payment				
Total			3b	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS & INTEREST	605,292.	609,911.
	-----	-----
TOTAL	605,292.	609,911.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FED TAX REFUND	4,805.

TOTALS	4,805.
	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
INVESTMENT MANAGEMENT FEES	157,920.	157,920.
	-----	-----
TOTALS	157,920.	157,920.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAX	19,644.	19,587.
ESTIMATED TAX PAYMENTS	7,422.	
	-----	-----
TOTALS	27,066.	19,587.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FEES	3,947.	3,947.	
NY AG FILING FEE	750.		750.
NY PUB NOTICE	130.		130.
TOTALS	4,827.	3,947.	880.
	=====	=====	=====

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	BEGINNING BOOK VALUE -----	ENDING BOOK VALUE -----	ENDING FMV ---
SEE ATTACHED STATEMENT	C	18,902,085.	19,086,788.	23,693,552.
TOTALS		18,902,085.	19,086,788.	23,693,552.
		=====	=====	=====

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
ACCRUED MARKET DISCOUNT	4,214.
OID INCOME	70.
ASML HOLDINGS-CARRYING VALUE ADJ	2,243.
EATON CORP-CARRYING VALUE ADJ	567.
ADJUSTMENTS TO BASIS OF ASSETS HELD	24,714.
US TREASURY BILL-CARRYING VALUE ADJ	8,928.

TOTAL	40,736.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
PURCHASE ACCRUED INTEREST	67.
ASML HOLDINGS-CASH IN LIEU OF FRACTIONAL SHRS	9.
RYAMAN HOSPITALITY-CARRYING VALUE ADJ	281.
SINCLAIR BROADCAST GROUP-CARRYING VALUE ADJ	176.
SOUTHER COPPER CORP-CARRYING VALUE ADJ	1,424.
INGERSOLL RAND CO-CARRYING VALUE ADJ	948.
PROLOGIS- CARRYING VALUE ADJ	30.
EXCO-CARRYING VALUE ADJ	101.
AK STEEL HLDG CROP-CARRYING VALUE ADJ	105.
ADJUSTMENTS TO BASIS OF ASSETS SOLD	521.
COMPANHIA-CARRYING VALUE ADJ	193.

TOTAL	3,855.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: CITIBANK, N.A.

ADDRESS: TWO COURT SQUARE, 8TH FLOOR
LONG ISLAND CITY, NY 11120

TELEPHONE NUMBER: (800) 770-8444

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-39,550.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	-39,550.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					5,827.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	196,234.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	117.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	202,178.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		-39,550.
14 Net long-term gain or (loss):			
a Total for year	14a		202,178.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14	15		162,628.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	16	()
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21	
22 Subtract line 21 from line 20. If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the smaller of the amount on line 17 or \$2,400	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25	
26 Subtract line 25 from line 24	26	
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (15)	30	
31 Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32 Add lines 30 and 31	32	
33 Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 90. AFLAC INC	07/18/2011	04/10/2012	3,803.00	5,014.00	-1,211.00
107. AFLAC INC	06/30/2011	05/17/2012	4,334.00	5,027.00	-693.00
102. AGCO CORP	08/05/2011	01/05/2012	4,668.00	4,173.00	495.00
67. ACTUANT CORP CL A NEW	01/27/2012	11/08/2012	1,805.00	1,665.00	140.00
76. ADIDAS AG ADR	09/04/2012	12/07/2012	3,310.00	3,014.00	296.00
1000. AIR PRODS & CHEMS IN	07/21/2011	03/15/2012	91,321.00	96,715.00	-5,394.00
123. ALLEGHENY TECHNOLOGIE	01/25/2012	10/24/2012	3,513.00	5,713.00	-2,200.00
500. ALLERGAN INC	12/06/2011	05/14/2012	45,932.00	42,326.00	3,606.00
2000. ALLIED NEVADA GOLD C	09/20/2012	11/14/2012	64,870.00	75,899.00	-11,029.00
602. ALLSCRIPTS HEALTHCARE INC.	02/06/2012	04/30/2012	6,489.00	12,254.00	-5,765.00
615. ALLSCRIPTS HEALTHCARE INC.	05/31/2011	05/02/2012	6,627.00	12,395.00	-5,768.00
500. AMERICAN WTR WKS CO I	12/06/2011	04/11/2012	16,550.00	15,860.00	690.00
144. AMERIGROUP CORP	04/25/2012	08/09/2012	12,953.00	9,768.00	3,185.00
142. AMERIGROUP CORP	05/07/2012	08/10/2012	12,750.00	9,301.00	3,449.00
7500. ANADARKO PETE CORP	07/03/2012	07/03/2012	516,894.00	513,824.00	3,070.00
500. ANADARKO PETE CORP	07/03/2012	11/07/2012	35,398.00	34,198.00	1,200.00
1000. APACHE CORP	03/23/2012	04/10/2012	93,872.00	106,036.00	-12,164.00
75. ASSA ABLOY AB ADR	01/26/2011	01/25/2012	996.00	1,059.00	-63.00
454. ATMEL CORP	11/04/2011	07/17/2012	2,706.00	4,745.00	-2,039.00
450. ATMEL CORP	11/02/2011	07/19/2012	2,869.00	4,644.00	-1,775.00
458. ATMEL CORP	11/09/2011	07/20/2012	2,779.00	4,610.00	-1,831.00
650. ATMEL CORP	12/02/2011	07/30/2012	3,895.00	5,849.00	-1,954.00
208. AVIS BUDGET GROUP	07/19/2011	05/01/2012	3,250.00	3,647.00	-397.00
354. AVIS BUDGET GROUP	01/12/2012	05/09/2012	5,641.00	5,059.00	582.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 197. AVIS BUDGET GROUP	01/12/2012	06/13/2012	2,549.00	2,366.00	183.00
130. BANKUNITED INC	02/04/2011	01/11/2012	3,027.00	3,702.00	-675.00
300. BOEING CO	10/12/2011	04/11/2012	21,687.00	19,562.00	2,125.00
4. CNOOC LTD SPND ADR 00	09/17/2012	10/24/2012	826.00	833.00	-7.00
69. CABOT OIL & GAS CORP C	02/22/2012	10/01/2012	3,150.00	2,435.00	715.00
43. CAMDEN PPTY TR SHS BEN	05/15/2012	08/17/2012	2,935.00	2,900.00	35.00
1. CAMDEN PPTY TR SHS BEN	05/15/2012	09/07/2012	70.00	67.00	3.00
96. CAMDEN PPTY TR SHS BEN	01/12/2012	09/07/2012	6,681.00	5,517.00	1,164.00
130. CAMDEN PPTY TR SHS BE	10/04/2011	09/26/2012	8,383.00	7,072.00	1,311.00
108. CANADIAN NAT RES LTD	04/11/2012	07/27/2012	2,985.00	3,416.00	-431.00
.1734 CARREFOUR SA	07/28/2012	08/06/2012	1.00	1.00	
86. CAVIUM INC	11/18/2011	09/19/2012	3,077.00	2,851.00	226.00
96. CAVIUM INC	02/07/2012	10/26/2012	2,870.00	3,145.00	-275.00
304. CHEMTURA CORP	09/10/2012	11/27/2012	6,077.00	5,495.00	582.00
188. CHESAPEAKE ENERGY COR	12/07/2011	05/25/2012	3,017.00	4,764.00	-1,747.00
26. CHINA MOBILE HONG KONG ADR	03/09/2012	10/24/2012	1,464.00	1,406.00	58.00
620. CHIPOTLE MEXICAN GRIL	03/01/2012	03/01/2012	244,365.00	242,905.00	1,460.00
500. CHIPOTLE MEXICAN GRIL	04/11/2012	04/11/2012	211,843.00	210,780.00	1,063.00
324. CIENA CORP	11/04/2011	04/20/2012	5,278.00	4,299.00	979.00
177. CIENA CORP	11/01/2011	06/19/2012	2,795.00	2,276.00	519.00
226. CIENA CORP	11/01/2011	06/27/2012	3,522.00	2,907.00	615.00
189. CIENA CORP	12/08/2011	07/10/2012	2,912.00	2,424.00	488.00
166. CIENA CORP	12/08/2011	08/08/2012	2,983.00	2,107.00	876.00
167. CIENA CORP	12/21/2011	08/09/2012	2,977.00	2,036.00	941.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 192. CIENA CORP	12/21/2011	08/15/2012	3,276.00	2,120.00	1,156.00
120. CINEMARK HLDGS INC	06/25/2012	07/18/2012	2,882.00	2,623.00	259.00
141. CINEMARK HLDGS INC	06/25/2012	07/23/2012	3,202.00	2,910.00	292.00
1375.00073 CISCO SYS INC	01/17/2012	05/11/2012	23,029.00	26,033.00	-3,004.00 *
2124.99927 CISCO SYS INC	01/17/2012	05/11/2012	35,590.00	40,194.00	-4,604.00
4500. CISCO SYS INC	10/12/2011	06/01/2012	72,068.00	72,822.00	-754.00
500. CITRIX SYS INC	12/06/2011	06/01/2012	35,921.00	36,836.00	-915.00
200. CITRIX SYS INC	07/03/2012	11/07/2012	12,512.00	17,122.00	-4,610.00
200. COCA COLA COMPANY	04/17/2012	06/01/2012	14,690.00	14,805.00	-115.00
. COMPANHIA DE BEBIDAS DAS		06/21/2012	5.00		5.00
19. COMPANHIA DE BEBIDAS D 00	10/04/2012	10/24/2012	777.00	752.00	25.00
500. COSTCO WHOLESALE CORP	12/06/2011	04/11/2012	43,629.00	44,042.00	-413.00
300. COSTCO WHOLESALE CORP	11/11/2011	06/01/2012	25,628.00	24,933.00	695.00
. CREDIT SUISSE GROUP SPNS		08/20/2012	34.00		34.00
1000. CUMMINS INC	10/12/2011	01/17/2012	99,159.00	96,844.00	2,315.00
5000. CUMMINS INC	12/04/2012	12/04/2012	490,629.00	487,976.00	2,653.00
37. DSW INC	11/07/2012	11/20/2012	2,502.00	2,301.00	201.00
81. DAVITA INC	10/06/2011	09/13/2012	8,060.00	5,171.00	2,889.00
1100. DEVON ENERGY CORP NE	12/06/2011	02/03/2012	69,963.00	74,196.00	-4,233.00
300. DIAGEO PLC-SPONSORED	12/06/2011	06/01/2012	28,038.00	25,605.00	2,433.00
200. DIAGEO PLC-SPONSORED	12/02/2011	11/07/2012	22,899.00	16,900.00	5,999.00
300. DIAMONDROCK HOSPITALI	07/26/2011	03/23/2012	2,950.00	3,075.00	-125.00
133. DIAMONDROCK HOSPITALI	07/26/2011	06/07/2012	1,327.00	1,361.00	-34.00
70. DIGITAL RIV INC	08/02/2011	07/27/2012	1,213.00	1,821.00	-608.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 62. DIGITAL RIV INC	08/02/2011	08/01/2012	853.00	1,613.00	-760.00
82. DOLBY LABORATORIES INC	07/15/2011	02/16/2012	3,176.00	3,445.00	-269.00
81. DOLBY LABORATORIES INC	07/12/2011	02/24/2012	3,154.00	3,336.00	-182.00
173. DOLBY LABORATORIES IN	07/12/2011	02/29/2012	6,633.00	7,104.00	-471.00
53. ENI S P A SPONSORED AD	07/20/2011	03/01/2012	2,473.00	2,337.00	136.00
1539. EXCO RES INC	12/30/2011	02/01/2012	11,188.00	23,460.00	-12,272.00
558. EASTMAN CHEMICAL CO	10/04/2011	03/09/2012	29,040.00	19,452.00	9,588.00
5000. EBAY INC	04/19/2012	04/19/2012	207,148.00	200,501.00	6,647.00
10000. EBAY INC	07/27/2012	07/27/2012	452,710.00	449,800.00	2,910.00
2000. EBAY INC	07/19/2012	10/09/2012	92,349.00	88,482.00	3,867.00
100. EDWARDS LIFESCIENCES	07/21/2011	03/15/2012	6,989.00	10,513.00	-3,524.00
135. EMBRAER-EMPRESA BRASI	07/26/2012	08/21/2012	3,626.00	3,377.00	249.00
4000. ENERGY FUTURE INTER 12/01/20	06/07/2011	05/09/2012	4,400.00	4,340.00	60.00
107. ENERSYS	06/08/2011	01/12/2012	3,009.00	3,435.00	-426.00
3500. EQUINIX INC	08/03/2012	08/03/2012	629,900.00	627,362.00	2,538.00
2500. EQUINIX INC	11/19/2012	11/19/2012	455,758.00	455,502.00	256.00
1000. EXXON MOBIL CORP	03/25/2011	03/15/2012	85,848.00	83,460.00	2,388.00
5000. FAMILY DLR STORES IN	06/19/2012	06/19/2012	362,024.00	361,403.00	621.00
116.79 FHLMSG POOL #G0468 9/01/38	11/10/2011	01/31/2012	117.00	126.00	-9.00
134.5 FHLMSG POOL #G0468 5 9/01/38	11/10/2011	03/15/2012	135.00	145.00	-10.00
145.94 FHLMSG POOL #G0468 9/01/38	11/10/2011	04/15/2012	146.00	158.00	-12.00
3135.72 FHLMSG POOL #G0468 9/01/38	11/10/2011	04/24/2012	3,414.00	3,384.00	30.00
57.9 FHLMSG POOL #G0491 4. 3/01/38	11/10/2011	01/31/2012	58.00	62.00	-4.00
64.43 FHLMSG POOL #G0491 4 3/01/38	11/10/2011	03/15/2012	64.00	69.00	-5.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 70.87 FHLMCG POOL #G0491 4 3/01/38	11/10/2011	04/15/2012	71.00	76.00	-5.00
1837.0097 FHLMCG POOL #G04 3/01/38	11/10/2011	04/24/2012	1,986.00	1,966.00	20.00
102000. FEDL NATL MTG ASSN 10/30/14	12/09/2011	04/30/2012	102,453.00	102,055.00	398.00
100000. FEDL NATL MTG ASSN 3/16/15	04/30/2012	09/13/2012	100,061.00	99,678.00	383.00
30000. FEDL HOME LOAN MTG 5/27/16	05/17/2011	03/06/2012	32,030.00	30,729.00	1,301.00
14000. FED HOME LN MTG COR 12/29/14	03/14/2012	08/03/2012	14,094.00	13,981.00	113.00
111.33 FNMA POOL #AI0620 5/01/41	01/18/2012	02/25/2012	111.00	119.00	-8.00
99.48 FNMA POOL #AI0620 4 5/01/41	01/18/2012	03/25/2012	99.00	106.00	-7.00
4760.63 FNMA POOL #AI0620 5/01/41	01/18/2012	04/16/2012	5,099.00	5,090.00	9.00
279.63 FNMA POOL #AI0620 5/01/41	01/18/2012	04/25/2012	280.00	299.00	-19.00
8606.3299 FNMA POOL #AI481 6/01/41	03/07/2012	04/16/2012	9,217.00	9,163.00	54.00
330.65 FNMA POOL #AI4815 6/01/41	03/07/2012	04/25/2012	331.00	352.00	-21.00
936.56 FNMA POOL #AJ4052 10/01/41	04/23/2012	05/25/2012	937.00	992.00	-55.00
724.9 FNMA POOL #AJ4052 10/01/41	04/23/2012	06/25/2012	725.00	768.00	-43.00
1794.34 FNMA POOL #AJ4052 10/01/41	04/23/2012	07/25/2012	1,794.00	1,900.00	-106.00
1455.67 FNMA POOL #AJ4052 10/01/41	04/23/2012	08/25/2012	1,456.00	1,541.00	-85.00
1615.59 FNMA POOL #AJ4052 10/01/41	04/23/2012	09/25/2012	1,616.00	1,711.00	-95.00
962.11 FNMA POOL #AJ4052 10/01/41	04/23/2012	10/25/2012	962.00	1,019.00	-57.00
1583.01 FNMA POOL #AJ4052 10/01/41	04/23/2012	11/25/2012	1,583.00	1,676.00	-93.00
2068.43 FNMA POOL #AJ4052 10/01/41	04/23/2012	12/25/2012	2,068.00	2,190.00	-122.00
2391.89 FNMA POOL #AJ4052 10/01/41	04/23/2012	12/31/2012	2,392.00	2,533.00	-141.00
294.53 FNMA POOL #AL1948 1/01/42	08/07/2012	09/25/2012	295.00	322.00	-27.00
474.66 FNMA POOL #AL1948 1/01/42	08/07/2012	10/25/2012	475.00	519.00	-44.00
239.61 FNMA POOL #AL1948 1/01/42	08/07/2012	11/25/2012	240.00	262.00	-22.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 346.68 FNMA POOL #AL1948 1/01/42	08/07/2012	12/25/2012	347.00	379.00	-32.00
478.1 FNMA POOL #AL1948 1/01/42	08/07/2012	12/31/2012	478.00	523.00	-45.00
1307.92 FNMA POOL #735893 10/01/35	07/10/2012	08/25/2012	1,308.00	1,427.00	-119.00
1352.24 FNMA POOL #735893 10/01/35	07/10/2012	09/25/2012	1,352.00	1,476.00	-124.00
1271.78 FNMA POOL #735893 10/01/35	07/10/2012	10/25/2012	1,272.00	1,388.00	-116.00
1380.53 FNMA POOL #735893 10/01/35	07/10/2012	11/25/2012	1,381.00	1,507.00	-126.00
26898.81 FNMA POOL #735893 10/01/35	07/10/2012	11/26/2012	29,114.00	29,353.00	-239.00
130.09 FNMA PL # 745418 4/01/36	09/09/2011	02/25/2012	130.00	143.00	-13.00
155.31 FNMA PL # 745418 4/01/36	09/09/2011	03/25/2012	155.00	170.00	-15.00
4727.72 FNMA PL # 745418 4/01/36	09/09/2011	04/05/2012	5,189.00	5,187.00	2.00
162.79 FNMA PL # 745418 4/01/36	09/09/2011	04/25/2012	163.00	179.00	-16.00
5840.0605 FNMA POOL #88828 8/01/34	03/07/2012	04/16/2012	6,351.00	6,312.00	39.00
210.4195 FNMA POOL #888283 8/01/34	03/07/2012	04/25/2012	210.00	227.00	-17.00
264.62 FNMA POOL #934231 1/01/39	10/11/2011	02/25/2012	265.00	284.00	-19.00
287.19 FNMA POOL #934231 1/01/39	10/11/2011	03/25/2012	287.00	308.00	-21.00
4397.12 FNMA POOL #934231 1/01/39	10/11/2011	04/24/2012	4,763.00	4,722.00	41.00
430.9 FNMA POOL #934231 5 1/01/39	10/11/2011	04/25/2012	431.00	463.00	-32.00
60.75 FNMA POOL #972295 5 2/01/38	06/09/2011	02/25/2012	61.00	66.00	-5.00
98.29 FNMA POOL #972295 5 2/01/38	06/09/2011	03/25/2012	98.00	107.00	-9.00
1266.04 FNMA POOL #972295 2/01/38	06/09/2011	04/16/2012	1,389.00	1,377.00	12.00
67.75 FNMA POOL #972295 5 2/01/38	06/09/2011	04/25/2012	68.00	74.00	-6.00
368.4 FNMA POOL #AB1149 6/01/40	04/28/2011	02/25/2012	368.00	390.00	-22.00
392.33 FNMA POOL #AB1149 6/01/40	04/28/2011	03/25/2012	392.00	415.00	-23.00
331.58 FNMA POOL #AB1149 6/01/40	04/28/2011	04/25/2012	332.00	351.00	-19.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 87.08 FNMA POOL #AB4297 3 1/01/42	01/10/2012	02/25/2012	87.00	90.00	-3.00
63.73 FNMA POOL #AB4297 3 1/01/42	01/10/2012	03/25/2012	64.00	66.00	-2.00
144.28 FNMA POOL #AB4297 1/01/42	01/10/2012	04/25/2012	144.00	149.00	-5.00
248.25 FNMA POOL #AB4297 1/01/42	01/10/2012	05/25/2012	248.00	256.00	-8.00
293.9 FNMA POOL #AB4297 3 1/01/42	01/10/2012	06/25/2012	294.00	303.00	-9.00
315.14 FNMA POOL #AB4297 1/01/42	01/10/2012	07/25/2012	315.00	325.00	-10.00
365.44 FNMA POOL #AB4297 1/01/42	01/10/2012	08/25/2012	365.00	377.00	-12.00
996.29 FNMA POOL #AB4297 1/01/42	01/10/2012	09/25/2012	996.00	1,027.00	-31.00
1108.49 FNMA POOL #AB4297 1/01/42	01/10/2012	10/25/2012	1,108.00	1,143.00	-35.00
737.39 FNMA POOL #AB4297 1/01/42	01/10/2012	11/25/2012	737.00	760.00	-23.00
1368.76 FNMA POOL #AB4297 1/01/42	01/10/2012	12/25/2012	1,369.00	1,411.00	-42.00
84.85 FNMA POOL #AB5468 6/01/42	06/07/2012	07/25/2012	85.00	89.00	-4.00
189.64 FNMA POOL #AB5468 6/01/42	06/07/2012	08/25/2012	190.00	199.00	-9.00
392.1 FNMA POOL #AB5468 6/01/42	06/07/2012	09/25/2012	392.00	412.00	-20.00
521.7 FNMA POOL #AB5468 6/01/42	06/07/2012	10/25/2012	522.00	548.00	-26.00
445.14 FNMA POOL #AB5468 6/01/42	06/07/2012	11/25/2012	445.00	467.00	-22.00
1510.07 FNMA POOL #AB5468 6/01/42	06/07/2012	12/25/2012	1,510.00	1,585.00	-75.00
881.17 FNMA POOL #AB5468 6/01/42	06/07/2012	12/31/2012	881.00	925.00	-44.00
573.76 FNMA POOL #AB5670 7/01/42	10/05/2012	11/25/2012	574.00	615.00	-41.00
585.31 FNMA POOL #AB5670 7/01/42	10/05/2012	12/25/2012	585.00	627.00	-42.00
1138.22 FNMA POOL #AB5670 7/01/42	10/05/2012	12/31/2012	1,138.00	1,220.00	-82.00
79.6 FNMA POOL #AB7079 11/01/42	11/07/2012	12/25/2012	80.00	84.00	-4.00
66.31 FNMA POOL #AB7079 11/01/42	11/07/2012	12/31/2012	66.00	70.00	-4.00
1131.31 FNMA POOL #AE0115 12/01/35	04/19/2011	02/25/2012	1,131.00	1,220.00	-89.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1211.61 FNMA POOL #AE0115 12/01/35	04/19/2011	03/25/2012	1,212.00	1,307.00	-95.00
7818.34 FNMA POOL #AE0609 4/01/37	03/07/2012	04/05/2012	8,581.00	8,553.00	28.00
217.37 FNMA POOL #AE0609 4/01/37	03/07/2012	04/25/2012	217.00	238.00	-21.00
295.56 FNMA POOL #AE0984 2/01/41	03/02/2011	02/25/2012	296.00	301.00	-5.00
400. FEDEX CORPORATION	06/26/2012	11/30/2012	35,784.00	35,148.00	636.00
23. FLOWERVE CORP COM	02/23/2012	10/24/2012	3,011.00	2,771.00	240.00
3300. FREEPORT MCMORAN COP	09/07/2012	12/07/2012	107,436.00	123,739.00	-16,303.00 *
5700. FREEPORT MCMORAN COP	12/07/2012	12/07/2012	185,571.00	183,771.00	1,800.00
1500. GENERAC HLDGS INC	12/09/2011	03/15/2012	39,141.00	40,217.00	-1,076.00
1000. GENERAC HLDGS INC	12/07/2011	04/13/2012	23,402.00	26,619.00	-3,217.00
2000. GENON ESCROW CORP 10/15/20	06/16/2011	03/01/2012	1,880.00	2,075.00	-195.00
100. GOOGLE INC CL A	01/17/2012	05/10/2012	61,292.00	62,793.00	-1,501.00
50. GOOGLE INC CL A	10/12/2011	05/11/2012	30,559.00	27,496.00	3,063.00
104. GUESS INC	03/08/2012	05/30/2012	2,780.00	3,650.00	-870.00
101. GUESS INC	02/24/2012	08/03/2012	2,888.00	3,441.00	-553.00
112. GUESS INC	02/24/2012	08/30/2012	2,927.00	3,790.00	-863.00
223. GUESS INC	02/08/2012	10/19/2012	5,581.00	7,472.00	-1,891.00
236. GUESS INC	05/15/2012	10/22/2012	5,878.00	6,746.00	-868.00
103. HSBC HOLDINGS PLC-SPO	04/27/2012	07/20/2012	4,293.00	4,696.00	-403.00
64. HSBC HOLDINGS PLC-SPON	04/27/2012	07/24/2012	2,529.00	2,918.00	-389.00
6250. HESS CORP	07/03/2012	07/03/2012	281,797.00	280,092.00	1,705.00
500. HESS CORP	07/03/2012	11/07/2012	26,574.00	22,287.00	4,287.00
250. HOME DEPOT INC	01/17/2012	03/15/2012	12,282.00	10,978.00	1,304.00
1750. HOME DEPOT INC	01/17/2012	06/01/2012	84,860.00	68,396.00	16,464.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

JSA
2F1221 2 000

RQ03855880 05/30/2013 11:16:10

31-15C033738575

36 -

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 807. HUDSON CITY BANCORP I	08/02/2012	08/31/2012	5,851.00	4,954.00	897.00
62. INFORMATICA CORP	02/01/2012	05/11/2012	2,832.00	2,696.00	136.00
66. INFORMATICA CORP	02/01/2012	05/15/2012	2,945.00	2,598.00	347.00
65. INFORMATICA CORP	01/26/2012	06/19/2012	2,829.00	2,439.00	390.00
207. INFORMATICA CORP	01/18/2012	10/04/2012	5,386.00	7,654.00	-2,268.00
346. INFORMATICA CORP	09/26/2012	12/03/2012	9,274.00	11,488.00	-2,214.00
663. ING GROEP N.V.	08/16/2011	04/26/2012	4,693.00	5,833.00	-1,140.00
2553. ING GROEP N.V.	09/16/2011	04/27/2012	17,859.00	20,185.00	-2,326.00
1700. INTERNATIONAL BUSINE CORP	05/11/2012	05/11/2012	342,595.00	341,708.00	887.00
500. INTERNATIONAL BUSINES	10/24/2012	11/19/2012	94,953.00	95,888.00	-935.00 *
1500. INTERNATIONAL BUSINE CORP	11/19/2012	11/19/2012	284,859.00	284,179.00	680.00
198. INTERNATIONAL PAPER C	06/22/2011	04/04/2012	6,952.00	5,710.00	1,242.00
243. INTERPUBLIC GROUP COS	01/03/2012	06/13/2012	2,527.00	2,475.00	52.00
20. INTERPUBLIC GROUP COS	01/03/2012	10/31/2012	204.00	204.00	
500. ITC HLDGS CORP	12/06/2011	04/11/2012	37,914.00	36,249.00	1,665.00
276. JDS UNIPHASE CORP	10/28/2011	08/13/2012	3,044.00	3,326.00	-282.00
237. JDS UNIPHASE CORP	10/27/2011	09/21/2012	3,073.00	2,673.00	400.00
70.00264 J. P. MORGAN CHA F/K/A	05/06/2011	04/05/2012	3,109.00	3,183.00	-74.00 *
111.99736 J. P. MORGAN CH F/K/A	05/06/2011	04/05/2012	4,974.00	5,068.00	-94.00
500. JOY GLOBAL INC-WI	12/13/2011	05/08/2012	31,660.00	43,700.00	-12,040.00
1200. JOY GLOBAL INC-WI	04/10/2012	05/14/2012	76,162.00	96,565.00	-20,403.00
57.99917 KEY ENERGY SVCS I	08/21/2012	10/11/2012	400.00	524.00	-124.00
356.00083 KEY ENERGY SVCS	08/21/2012	10/11/2012	2,454.00	3,219.00	-765.00 *
214. KINDER MORGAN INC DEL	11/25/2011	03/15/2012	7,634.00	5,793.00	1,841.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

JSA
2F1221 2 000

RQ03855880 05/30/2013 11:16:10

31-15C033738575

37 -

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1651. LI & FUNG LTD	09/09/2011	03/27/2012	8,178.00	6,210.00	1,968.00
400. LAUDER ESTEE COS INC	12/02/2011	04/11/2012	24,392.00	23,528.00	864.00
700. LAUDER ESTEE COS INC	08/12/2011	05/14/2012	40,202.00	36,874.00	3,328.00
300. LAUDER ESTEE COS INC	08/12/2011	06/01/2012	15,726.00	15,329.00	397.00
67. LEAR CORP	07/18/2011	03/06/2012	2,925.00	3,410.00	-485.00
8. LEAR CORP	05/05/2011	03/06/2012	349.00	403.00	-54.00
127. LEGGETT & PLATT INC	12/22/2011	02/09/2012	2,764.00	2,978.00	-214.00
128. LEGGETT & PLATT INC	12/22/2011	05/09/2012	2,666.00	3,002.00	-336.00
587. LILLY ELI & CO. COM	05/06/2011	01/31/2012	23,325.00	22,578.00	747.00
500. LUXOTTICA GROUP S P A	03/28/2012	05/04/2012	18,296.00	18,135.00	161.00
300. LUXOTTICA GROUP S P A	03/28/2012	05/08/2012	10,605.00	10,881.00	-276.00
200. LUXOTTICA GROUP S P A	03/28/2012	05/16/2012	6,828.00	7,254.00	-426.00
266. MANITOWOC INC COM W/R 09/18/2006	04/29/2011	01/12/2012	2,937.00	5,729.00	-2,792.00
88. MANITOWOC INC COM W/RT 09/18/2006	02/17/2011	01/30/2012	1,153.00	1,846.00	-693.00
138. MANITOWOC INC COM W/R 09/18/2006	05/17/2011	01/30/2012	1,807.00	2,440.00	-633.00
221. MANITOWOC INC COM W/R 09/18/2006	06/06/2011	04/19/2012	3,302.00	3,724.00	-422.00
263. MANITOWOC INC COM W/R 09/18/2006	06/13/2011	04/25/2012	3,761.00	4,139.00	-378.00
253. MANITOWOC INC COM W/R 09/18/2006	12/01/2011	04/26/2012	3,564.00	2,787.00	777.00
70. MATTEL INC	09/01/2011	07/26/2012	2,440.00	1,898.00	542.00
300. MCDONALDS CORP	12/06/2011	06/01/2012	26,131.00	28,778.00	-2,647.00
62. MERCK & CO INC NEW	04/09/2012	07/26/2012	2,692.00	2,398.00	294.00
23. MERCK & CO INC NEW	04/09/2012	10/18/2012	1,088.00	890.00	198.00
109. MICHELIN COMPAGNIE GE	09/07/2012	12/07/2012	1,985.00	1,663.00	322.00
124. MICHELIN COMPAGNIE GE	09/07/2012	12/07/2012	2,268.00	1,867.00	401.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 150. MICROSOFT CORP	07/18/2011	03/15/2012	4,936.00	4,139.00	797.00
500. MICROSOFT CORP	05/03/2012	11/30/2012	13,286.00	15,881.00	-2,595.00
2000. MICROSOFT CORP	07/03/2012	12/05/2012	52,704.00	62,381.00	-9,677.00
1000. MOLYCORP INC	11/08/2011	03/15/2012	28,952.00	39,786.00	-10,834.00
400. MOLYCORP INC	10/06/2011	05/16/2012	8,679.00	14,644.00	-5,965.00
600. MOLYCORP INC	10/06/2011	05/25/2012	12,031.00	21,967.00	-9,936.00
500. MONSANTO CO NEW	03/23/2012	06/01/2012	38,039.00	39,296.00	-1,257.00
5000. MONSANTO CO NEW	06/27/2012	06/27/2012	404,088.00	399,235.00	4,853.00
800. MONSANTO CO NEW	07/03/2012	11/07/2012	69,729.00	65,353.00	4,376.00
89. MONSTER WORLDWIDE INC	04/04/2011	02/08/2012	648.00	1,479.00	-831.00
121. MONSTER WORLDWIDE INC	04/04/2011	03/01/2012	942.00	2,011.00	-1,069.00
149. MOTOROLA SOLUTIONS IN	03/26/2012	08/14/2012	7,095.00	7,290.00	-195.00
119. MYRIAD GENETICS INC	06/13/2012	08/13/2012	2,993.00	2,734.00	259.00
4. MYRIAD GENETICS INC	06/13/2012	08/17/2012	97.00	92.00	5.00
240. NII HLDGS INC	02/02/2012	06/20/2012	2,688.00	6,247.00	-3,559.00
19. NII HLDGS INC	02/02/2012	07/03/2012	200.00	419.00	-219.00
300. NATIONAL-OILWELL INC	09/07/2011	04/11/2012	23,263.00	28,189.00	-4,926.00
76. NAVISTAR INTL CORP NEW	08/01/2011	02/02/2012	3,445.00	4,106.00	-661.00
16. NAVISTAR INTL CORP NEW	04/14/2011	02/02/2012	725.00	1,037.00	-312.00
48. NAVISTAR INTL CORP NEW	08/01/2011	05/16/2012	1,381.00	2,442.00	-1,061.00
400. NESTLE S A SPONSORED REG SH	06/30/2011	03/15/2012	24,576.00	24,942.00	-366.00
300. NIKE INC CL B	03/26/2012	11/07/2012	28,572.00	32,402.00	-3,830.00
116. NISOURCE INC	03/06/2012	10/12/2012	2,923.00	2,727.00	196.00
50. NISOURCE INC	03/06/2012	10/22/2012	1,261.00	1,175.00	86.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 68. NISOURCE INC	03/06/2012	10/23/2012	1,698.00	1,578.00	120.00
100. NISOURCE INC	01/13/2012	11/05/2012	2,477.00	2,280.00	197.00
1332. NOKIA CORP SPONSORED	01/17/2012	04/11/2012	5,742.00	7,458.00	-1,716.00
5000. OCCIDENTAL PETROLEUM	07/26/2012	07/26/2012	432,760.00	431,061.00	1,699.00
1150. OCCIDENTAL PETROLEUM	07/26/2012	11/07/2012	89,061.00	97,079.00	-8,018.00
500. ORACLE CORP	03/23/2012	06/01/2012	13,055.00	14,343.00	-1,288.00
1500. ORACLE CORP	03/23/2012	09/21/2012	48,745.00	39,218.00	9,527.00
494. PMC-SIERRA INC	08/02/2011	01/12/2012	2,977.00	3,439.00	-462.00
480. PMC-SIERRA INC	10/31/2011	01/18/2012	2,961.00	3,251.00	-290.00
454. PMC-SIERRA INC	10/31/2011	01/19/2012	2,897.00	2,834.00	63.00
360. PMC-SIERRA INC	08/12/2011	01/23/2012	2,303.00	2,065.00	238.00
14. PMC-SIERRA INC	08/12/2011	01/24/2012	91.00	80.00	11.00
1000. PPG INDUSTRIES INC (	10/27/2011	01/17/2012	88,116.00	82,566.00	5,550.00
181. PATTERSON-UTI ENERGY	07/15/2011	04/02/2012	3,170.00	5,934.00	-2,764.00
390. PATTERSON-UTI ENERGY	10/31/2011	05/03/2012	6,125.00	10,321.00	-4,196.00
63. PENN NATL GAMING INC 0	08/05/2011	07/30/2012	2,477.00	2,357.00	120.00
43. PHILLIPS 66	05/17/2012	07/26/2012	1,532.00	1,350.00	182.00
113. PHILLIPS 66	05/17/2012	10/18/2012	5,268.00	3,547.00	1,721.00
387. PITNEY BOWES INC.	04/09/2012	08/07/2012	5,518.00	6,660.00	-1,142.00
121. PLAINS EXPLORATION &	05/03/2012	09/11/2012	4,418.00	5,047.00	-629.00
337. PLAINS EXPLORATION &	08/30/2012	12/05/2012	15,002.00	13,304.00	1,698.00
97. POST HLDGS INC	01/27/2012	02/10/2012	2,571.00	2,356.00	215.00
.5 POST HLDGS INC	01/27/2012	02/14/2012	13.00	14.00	-1.00
1500. POTASH CORP SASK INC	10/06/2011	05/04/2012	63,043.00	80,784.00	-17,741.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1000. PRUDENTIAL FINANCIAL	05/03/2012	05/16/2012	47,536.00	56,024.00	-8,488.00
43. RALCORP HLDGS INC NEW	05/02/2012	07/11/2012	2,839.00	3,219.00	-380.00
44. RALCORP HLDGS INC NEW	05/02/2012	07/13/2012	2,739.00	3,239.00	-500.00
45. RALCORP HLDGS INC NEW	03/06/2012	08/17/2012	3,026.00	3,312.00	-286.00
42. RALCORP HLDGS INC NEW	03/06/2012	08/22/2012	2,850.00	2,768.00	82.00
123. RALCORP HLDGS INC NEW	10/05/2011	08/23/2012	8,597.00	7,945.00	652.00
71. RAYTHEON COMPANY	07/29/2011	03/15/2012	3,700.00	3,161.00	539.00
64. REGAL BELOIT CORP	07/06/2011	04/03/2012	4,143.00	4,376.00	-233.00
103. RIVERBED TECHNOLOG	11/18/2011	11/07/2012	1,865.00	2,742.00	-877.00
87. ROWAN COS INC COM 00	11/16/2011	02/22/2012	3,353.00	3,115.00	238.00
5.5443 ROYAL DUTCH SHELL P	06/28/2011	02/01/2012	400.00	378.00	22.00
35. SBA COMMUNICATIONS COR	08/08/2011	01/11/2012	1,542.00	1,203.00	339.00
300. SM ENERGY COMPANY	03/23/2012	06/01/2012	15,471.00	23,811.00	-8,340.00
10000. SM ENERGY COMPANY	06/19/2012	06/19/2012	498,956.00	507,215.00	-8,259.00
12500. SM ENERGY COMPANY	08/02/2012	08/02/2012	540,598.00	540,020.00	578.00
200. SM ENERGY COMPANY	08/02/2012	08/02/2012	8,013.00	8,571.00	-558.00
500. SM ENERGY COMPANY	08/02/2012	10/18/2012	28,691.00	21,428.00	7,263.00
2000. S & P 500 DEPOSITARY	03/13/2012	03/13/2012	278,198.00	277,913.00	285.00
62. SAP AG-SPONSORED ADR	01/10/2012	03/05/2012	4,212.00	3,336.00	876.00
47. SAP AG-SPONSORED ADR	01/10/2012	04/13/2012	3,081.00	2,529.00	552.00
1200. SCHLUMBERGER LTD	12/06/2011	05/14/2012	80,721.00	92,912.00	-12,191.00
173. SEALED AIR CORP	07/21/2011	01/11/2012	3,025.00	3,972.00	-947.00
157. SEALED AIR CORP	08/01/2011	05/02/2012	2,980.00	3,561.00	-581.00
344. SEALED AIR CORP	09/21/2011	05/08/2012	6,017.00	6,984.00	-967.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 408. SEALED AIR CORP	10/28/2011	05/10/2012	7,406.00	7,313.00	93.00
587. SOLUTIA INC	01/11/2012	01/27/2012	16,085.00	9,951.00	6,134.00
154. SOLUTIA INC	12/01/2011	04/10/2012	4,252.00	2,489.00	1,763.00
433. SOLUTIA INC	12/01/2011	04/11/2012	11,997.00	6,597.00	5,400.00
.6429 SOUTHERN COPPER CORP	12/09/2011	03/05/2012	21.00	20.00	1.00
134. SPIRIT AEROSYSTEMS	04/26/2011	02/06/2012	3,143.00	3,239.00	-96.00
201. SPIRIT AEROSYSTEMS	04/26/2011	02/08/2012	4,706.00	4,846.00	-140.00
1000. STRATASYS INC	08/09/2012	11/07/2012	60,620.00	66,952.00	-6,332.00
257. TAIWAN SEMICONDUCTOR-	09/19/2012	10/19/2012	3,920.00	3,874.00	46.00
252. TAIWAN SEMICONDUCTOR-	09/19/2012	10/22/2012	3,857.00	3,611.00	246.00
97. TAIWAN SEMICONDUCTOR-S	07/26/2012	10/24/2012	1,477.00	1,292.00	185.00
76. TECK RESOURCES LTD-CLS	07/21/2011	03/22/2012	2,657.00	4,069.00	-1,412.00
402. TECK RESOURCES LTD-CL	12/01/2011	03/23/2012	14,144.00	19,606.00	-5,462.00
67. TIMKEN CO 00	08/17/2012	11/29/2012	2,975.00	2,798.00	177.00
198. TITANIUM METALS CORP	06/16/2011	04/12/2012	2,756.00	3,249.00	-493.00
5000. UNION PAC CORP	03/15/2012	03/15/2012	570,928.00	554,007.00	16,921.00
300. UNION PAC CORP	12/06/2011	06/01/2012	32,774.00	31,003.00	1,771.00
200. UNION PAC CORP	12/06/2011	11/07/2012	24,389.00	20,669.00	3,720.00
100000. UNITED STATES TREA 2/02/12	09/30/2011	01/18/2012	99,999.00	100,000.00	-1.00
250000. UNITED STATES TREA 2/02/12	09/30/2011	02/02/2012	250,000.00	250,000.00	
2000. U.S. T BDS INFL IN 5 4/15/28	03/29/2011	02/14/2012	4,252.00	3,486.00	766.00
6000. U.S. TSY INFL IDX NT 1/15/29	09/13/2011	01/27/2012	8,551.00	8,201.00	350.00
10000. U.S. TSY INFL IDX N 1/15/29	09/13/2011	01/27/2012	14,211.00	12,925.00	1,286.00
4000. U.S. TSY INFL IDX NT 1/15/29	07/12/2011	02/29/2012	5,700.00	4,884.00	816.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D-1 (Form 1041) 2012

JSA
2F1221 2 000

RQ03855880 05/30/2013 11:16:10

31-15C033738575

42

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4000. U.S. TSY INFL IDX NT 1/15/29	07/27/2011	03/01/2012	5,662.00	4,884.00	778.00
2000. U.S. T NTS INFL IN 3 7/15/12	03/27/2012	07/15/2012	2,000.00	2,619.00	-619.00
60000. U S TREAS NTS 11/15/12	04/30/2012	09/13/2012	60,126.00	60,392.00	-266.00
7000. U S TREAS NTS 3/15/13	03/29/2011	03/01/2012	7,084.00	7,079.00	5.00
47000. U S TREASURY NOTES 3/31/12	10/28/2011	03/31/2012	47,000.00	47,182.00	-182.00
9000. U S TREAS NTS 5/15/20	09/12/2011	04/09/2012	10,262.00	10,339.00	-77.00
46000. U S TREAS NTS 5/15/20	09/12/2011	07/16/2012	54,442.00	52,407.00	2,035.00
25000. U S TREASURY NOTES 10/15/13	03/28/2012	07/23/2012	25,092.00	25,082.00	10.00
20999.93691 U S TREASURY N 3.125% 5/15/21	07/16/2012	09/19/2012	23,754.00	24,287.00	-533.00
4000.06309 U S TREASURY NO 5/15/21	07/16/2012	09/19/2012	4,525.00	4,626.00	-101.00 *
5000. U S TREASURY NOTES 5/15/21	07/16/2012	09/27/2012	5,716.00	5,783.00	-67.00
2000. U S TREASURY NOTES 5/15/21	07/16/2012	11/06/2012	2,264.00	2,313.00	-49.00
6000. U S TREASURY NOTES 5/15/21	07/16/2012	12/17/2012	6,795.00	6,939.00	-144.00
23000. U S TREAS NTS 7/31/16	09/13/2011	03/01/2012	23,717.00	23,533.00	184.00
96000. U S TREAS NTS 6/30/13	12/09/2011	03/28/2012	96,157.00	96,251.00	-94.00
20000. UNITED STATES TREAS 10/15/14	12/09/2011	01/11/2012	20,097.00	20,084.00	13.00
152000. UNITED STATES TREA 10/15/14	03/28/2012	04/30/2012	152,641.00	152,415.00	226.00
20000. U S TREAS NTS 10/31/16	01/11/2012	07/17/2012	20,397.00	20,208.00	189.00
64000. U S TREAS NTS 11/15/21	03/20/2012	12/07/2012	67,050.00	64,144.00	2,906.00
28000. U S TREAS NTS 2/15/22	03/20/2012	09/14/2012	28,564.00	27,952.00	612.00
135000. U S TREAS NTS 3/15/15	04/30/2012	09/13/2012	135,332.00	135,000.00	332.00
25000. U S TREAS NTS 3/31/17	10/12/2012	12/11/2012	25,498.00	25,473.00	25.00
19000. U S TREAS NTS 4/30/14	07/23/2012	09/13/2012	19,005.00	19,013.00	-8.00
25000. U S TREAS NTS 9/15/15	09/13/2012	09/20/2012	24,929.00	24,951.00	-22.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

31-15C033738575 EL & JB STEINIGER FOUNDATION

Employer identification number

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 500. UNITED TECHNOLOGIES C	03/30/2011	03/02/2012	42,173.00	42,205.00	-32.00
500. UNITED TECHNOLOGIES C	12/06/2011	04/25/2012	39,796.00	38,389.00	1,407.00
10. VARIAN MEDICAL SYSTEMS	08/19/2011	01/03/2012	696.00	530.00	166.00
500. VISA INC-CLASS A SHAR	07/13/2011	06/01/2012	56,335.00	44,876.00	11,459.00
56. VISTEON CORP	08/10/2011	08/08/2012	2,155.00	2,743.00	-588.00
3000. VMWARE INC	04/12/2012	04/12/2012	326,258.00	324,331.00	1,927.00
50. VODACOM GROUP LIMITED	04/12/2012	10/24/2012	614.00	686.00	-72.00
184. WMS INDS INC 00	04/12/2012	09/10/2012	3,093.00	4,441.00	-1,348.00
226. WMS INDS INC 00	05/01/2012	09/17/2012	3,865.00	5,193.00	-1,328.00
20000. WACHOVIA CORP GLOBA 5/01/13	01/11/2012	09/20/2012	20,604.00	21,074.00	-470.00
20000. WACHOVIA CORP NEW 6/15/17	02/10/2011	01/11/2012	22,653.00	22,069.00	584.00
33. WAL MART STORES INC	07/14/2011	05/17/2012	2,055.00	1,769.00	286.00
58. WARNACO GROUP INC/THE	08/31/2011	01/10/2012	3,105.00	3,077.00	28.00
53. WARNACO GROUP INC/THE	04/19/2012	08/07/2012	2,563.00	2,829.00	-266.00
61. WARNACO GROUP INC/THE	04/19/2012	08/23/2012	2,918.00	3,215.00	-297.00
55. WARNACO GROUP INC/THE	11/09/2011	10/31/2012	3,900.00	2,719.00	1,181.00
500. WELLS FARGO & COMPANY	01/12/2012	06/01/2012	15,430.00	14,982.00	448.00
1500. WELLS FARGO & COMPAN	07/19/2012	11/07/2012	49,574.00	49,210.00	364.00
1000. WEYERHAEUSER CO	01/17/2012	06/01/2012	19,400.00	20,437.00	-1,037.00
2000. WHIRLPOOL CORP	03/09/2012	03/09/2012	150,553.00	148,020.00	2,533.00
500. WHIRLPOOL CORP	05/30/2012	07/24/2012	31,163.00	32,285.00	-1,122.00
65. WHITING PETE CORP NEW	09/11/2012	11/08/2012	3,045.00	3,238.00	-193.00
1000. WHOLE FOODS MKT INC	03/23/2012	11/07/2012	95,492.00	83,336.00	12,156.00
56. WOOLWORTHS HLDGS LTD	10/24/2012	11/20/2012	4,246.00	4,224.00	22.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

Continuation Sheet for Schedule D
(Form 1041)

OMB No 1545-0092

2012

▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

Name of estate or trust

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	-39,550.00
--	------------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

JSA
2F1221 2 000

* INDICATES WASH SALE

RQ0385 5880 05/30/2013 11:16:10

31-15C033738575

45 -

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 24. AFLAC INC	12/09/2010	04/10/2012	1,014.00	1,328.00	-314.00
335. AFLAC INC	12/09/2010	05/17/2012	13,568.00	18,531.00	-4,963.00
6. AT&T INC	12/02/2010	05/17/2012	200.00	171.00	29.00
51. AT&T INC	12/02/2010	07/26/2012	1,832.00	1,458.00	374.00
205. ABBOTT LABS	12/09/2010	02/09/2012	11,325.00	9,639.00	1,686.00
128. ACTUANT CORP CL A NEW	07/15/2011	11/02/2012	3,602.00	3,312.00	290.00
141. ACTUANT CORP CL A NEW	07/15/2011	11/08/2012	3,799.00	3,648.00	151.00
116. ADIDAS AG ADR	05/05/2011	08/21/2012	4,534.00	4,444.00	90.00
31. AIRGAS INC	02/11/2011	05/24/2012	2,752.00	1,982.00	770.00
39. AIRGAS INC	02/11/2011	10/12/2012	3,129.00	2,493.00	636.00
83. AKBANK TURK ANONIM SIR	12/03/2010	10/24/2012	764.00	962.00	-198.00
25000. ALCOA INC 8/15/20	07/08/2011	10/24/2012	27,268.00	26,961.00	307.00
112. INVERNESS MED INNOVAT	11/01/2010	01/04/2012	2,677.00	3,216.00	-539.00
50. INVERNESS MED INNOVATI	11/01/2010	01/09/2012	1,183.00	1,436.00	-253.00
70. INVERNESS MED INNOVATI	11/01/2010	01/10/2012	1,659.00	1,990.00	-331.00
129. INVERNESS MED INNOVAT	08/24/2010	01/17/2012	2,891.00	3,635.00	-744.00
114. INVERNESS MED INNOVAT	08/24/2010	01/19/2012	2,601.00	3,212.00	-611.00
256. ALLEGHENY TECHNOLOGIE	08/03/2011	10/24/2012	7,312.00	14,906.00	-7,594.00
974.216 ALLIANZ GL INV FXD	03/28/2011	04/11/2012	12,821.00	12,548.00	273.00
1101. ALLIANZ GL INV FXD I	02/18/2011	10/02/2012	12,353.00	11,352.00	1,001.00
115. ALLSTATE CORP	12/02/2010	05/17/2012	3,844.00	3,490.00	354.00
59. ALLSTATE CORP	12/02/2010	10/18/2012	2,478.00	1,790.00	688.00
66. AMEREN CORP PAR .01	12/02/2010	05/17/2012	2,130.00	1,923.00	207.00
721. AMEREN CORP PAR .01	12/02/2010	08/21/2012	24,264.00	21,008.00	3,256.00
57. AMERICA MOVIL - SERIES	12/03/2010	10/24/2012	1,481.00	1,626.00	-145.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 8000. AMERICAN GEN FIN CP 12/01/15	12/02/2010	03/14/2012	6,440.00	6,440.00	
790. AMERISOURCEBERGEN COR	03/28/2011	10/05/2012	31,316.00	29,073.00	2,243.00
4000. AON CORP	12/17/2010	04/02/2012	196,190.00	179,538.00	16,652.00
50. APPLE COMPUTER INC	09/23/2010	04/11/2012	31,356.00	14,578.00	16,778.00
20000. ARCELORMITTAL 6/01/19	02/15/2011	08/03/2012	22,700.00	25,538.00	-2,838.00
141. ASSA ABLOY AB ADR	12/21/2010	01/24/2012	1,880.00	2,031.00	-151.00
354. ASSA ABLOY AB ADR	12/22/2010	01/25/2012	4,700.00	5,085.00	-385.00
325. ASSA ABLOY AB ADR	01/26/2011	09/07/2012	5,010.00	4,589.00	421.00
115. ASSA ABLOY AB ADR	01/26/2011	11/28/2012	2,017.00	1,624.00	393.00
147. ASSA ABLOY AB ADR	12/14/2010	11/29/2012	2,601.00	2,074.00	527.00
86. ASTELLAS PHARMA INC AD	10/12/2010	06/19/2012	3,442.00	3,192.00	250.00
91. ASTELLAS PHARMA INC AD	08/25/2010	07/18/2012	4,423.00	3,116.00	1,307.00
104. AVIS BUDGET GROUP	08/19/2011	09/04/2012	1,684.00	1,222.00	462.00
264. AVIS BUDGET GROUP	09/28/2010	09/04/2012	4,276.00	2,950.00	1,326.00
147. AVIS BUDGET GROUP	09/28/2010	09/24/2012	2,371.00	1,642.00	729.00
182. AVIS BUDGET GROUP	09/28/2010	10/01/2012	2,807.00	2,033.00	774.00
68. BG GROUP PLC	01/15/2010	03/28/2012	1,566.00	1,348.00	218.00
278. BG GROUP PLC	01/15/2010	11/01/2012	4,929.00	5,510.00	-581.00
10000. BALL CORP 3/15/18	12/03/2010	03/09/2012	10,258.00	10,324.00	-66.00
73. BALLY TECHNOLOGIES INC	09/29/2005	01/25/2012	3,029.00	773.00	2,256.00
59. BALLY TECHNOLOGIES INC	09/29/2005	06/13/2012	2,661.00	624.00	2,037.00
68. BALLY TECHNOLOGIES INC	09/29/2005	10/19/2012	3,218.00	720.00	2,498.00
35. BANCO MACRO SA-ADR	12/03/2010	10/24/2012	496.00	1,811.00	-1,315.00
.5417 BANCO SANTANDER CENT	10/19/2005	05/15/2012	3.00	7.00	-4.00 *
.4375 BANCO SANTANDER CENT	10/19/2005	08/15/2012	3.00	6.00	-3.00 *

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 10000. BANK AMER FDG CORP 6/01/19	10/18/2010	10/12/2012	12,743.00	11,731.00	1,012.00
145. BANKUNITED INC	02/04/2011	04/10/2012	3,399.00	4,120.00	-721.00
130. BANKUNITED INC	02/04/2011	04/17/2012	3,154.00	3,694.00	-540.00
48. BANKUNITED INC	02/04/2011	04/20/2012	1,153.00	1,364.00	-211.00
77. BANKUNITED INC	02/04/2011	04/24/2012	1,825.00	2,188.00	-363.00
27. BIDVEST GROUP LTD	12/03/2010	10/24/2012	1,261.00	1,248.00	13.00
75. BIDVEST GROUP LTD	12/03/2010	11/01/2012	3,566.00	3,467.00	99.00
5000. BOEING CAP CORP 2/15/12	10/19/2009	02/15/2012	5,000.00	5,513.00	-513.00
20000. BOEING CO 11/20/12	07/06/2011	11/20/2012	20,000.00	20,366.00	-366.00
500. BRISTOL MYERS SQUIBB	04/28/2011	06/01/2012	16,565.00	14,055.00	2,510.00
755. BROCADE COMMUNICATION NEW	07/13/2010	02/03/2012	4,456.00	3,933.00	523.00
548. BROCADE COMMUNICATION NEW	08/19/2010	03/08/2012	3,098.00	2,807.00	291.00
566. BROCADE COMMUNICATION NEW	08/19/2010	05/01/2012	3,135.00	2,837.00	298.00
765. BROCADE COMMUNICATION NEW	08/19/2010	05/24/2012	3,678.00	3,834.00	-156.00
580. BROCADE COMMUNICATION NEW	08/25/2010	06/06/2012	2,704.00	2,865.00	-161.00
606. BROCADE COMMUNICATION NEW	08/25/2010	06/11/2012	2,798.00	2,908.00	-110.00
757. BROCADE COMMUNICATION NEW	08/25/2010	06/12/2012	3,411.00	3,633.00	-222.00
6000. CHS / CMNTY HEALTH 7/15/15	12/03/2010	03/21/2012	6,285.00	6,315.00	-30.00
7000. CHS / CMNTY HEALTH 7/15/15	12/03/2010	07/18/2012	7,182.00	7,368.00	-186.00
7000. CIT GROUP INC 5/01/15	12/13/2010	01/23/2012	7,000.00	7,000.00	
15000. CIT GROUP INC 5/01/17	12/03/2010	03/09/2012	15,000.00	15,000.00	
8. CNOOC LTD SPND ADR 00	01/15/2010	01/10/2012	1,585.00	1,249.00	336.00
1. CNOOC LTD SPND ADR 00	01/15/2010	01/11/2012	195.00	156.00	39.00
8. CNOOC LTD SPND ADR 00	01/15/2010	01/12/2012	1,552.00	1,249.00	303.00
12. CNOOC LTD SPND ADR 00	01/15/2010	12/17/2012	2,562.00	1,873.00	689.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day , yr)	(c) Date sold (mo , day , yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 10. CNOOC LTD SPND ADR 00	01/15/2010	12/18/2012	2,146.00	1,561.00	585.00
61. CANADIAN NATL RAILWAY	01/15/2010	06/06/2012	4,932.00	3,237.00	1,695.00
51. CANADIAN NATL RAILWAY	01/15/2010	09/07/2012	4,682.00	2,707.00	1,975.00
98. CANADIAN NAT RES LTD C	01/15/2010	07/24/2012	2,643.00	3,418.00	-775.00
242. CANADIAN NAT RES LTD	01/15/2010	07/26/2012	6,612.00	8,440.00	-1,828.00
196. CANADIAN NAT RES LTD	09/30/2010	07/27/2012	5,418.00	6,795.00	-1,377.00
170. CANON INC ADR REPSTG	03/16/2011	07/25/2012	5,767.00	7,394.00	-1,627.00
650. CANON INC ADR REPSTG	08/31/2010	07/27/2012	20,754.00	24,620.00	-3,866.00
223. CAREFUSION CORP	12/16/2010	09/07/2012	6,139.00	5,447.00	692.00
225. CAREFUSION CORP	12/16/2010	09/11/2012	6,250.00	5,496.00	754.00
136. CARNIVAL CORP PAIRED CARNI	01/15/2010	01/17/2012	4,018.00	4,645.00	-627.00
25000. CATERPILLAR FINL SV 9/30/13	01/12/2011	03/06/2012	27,179.00	28,102.00	-923.00
691. CHESAPEAKE ENERGY COR	12/02/2010	05/25/2012	11,090.00	14,903.00	-3,813.00
98. CHINA CONSTR BK CORP	12/03/2010	10/24/2012	1,465.00	1,862.00	-397.00
187. CIELO SA SPND ADR	12/03/2010	02/28/2012	6,700.00	4,366.00	2,334.00
.2 CIELO SA SPND ADR	12/03/2010	05/08/2012	6.00	4.00	2.00
226. CIELO SA SPND ADR	12/03/2010	09/17/2012	6,403.00	4,396.00	2,007.00
35. CIELO SA SPND ADR	12/03/2010	10/24/2012	783.00	681.00	102.00
9000. CIMAREX ENERGY CO 5/01/17	12/15/2010	01/20/2012	9,338.00	9,495.00	-157.00
77. CINEMARK HLDGS INC	05/10/2011	07/23/2012	1,749.00	1,510.00	239.00
200. CITRIX SYS INC	04/28/2011	06/01/2012	14,368.00	17,374.00	-3,006.00
1300. CITRIX SYS INC	12/13/2010	11/07/2012	81,328.00	89,080.00	-7,752.00
41. CLICKS GROUP LTD ADR	06/29/2011	10/24/2012	1,099.00	1,022.00	77.00
400. COCA COLA COMPANY	12/13/2010	06/01/2012	29,379.00	24,870.00	4,509.00
116. COMERICA INC	02/14/2011	03/06/2012	3,306.00	4,605.00	-1,299.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 92. COMERICA INC	01/25/2011	05/11/2012	2,913.00	3,572.00	-659.00
98. COMERICA INC	02/02/2011	05/15/2012	2,999.00	3,800.00	-801.00
106. COMERICA INC	03/17/2011	11/06/2012	3,192.00	3,967.00	-775.00
1324. COMMERCIAL INTL BK E	12/03/2010	08/28/2012	6,312.00	9,665.00	-3,353.00
100. COMMERCIAL INTL BK EG	12/03/2010	10/24/2012	613.00	730.00	-117.00
300. COMPANHIA ENERGETICA GERAIS	12/03/2010	03/08/2012	6,808.00	5,367.00	1,441.00
272. COMPANHIA ENERGETICA GERAIS	12/03/2010	04/02/2012	6,583.00	4,866.00	1,717.00
.25 COMPANHIA ENERGETICA D GERAIS	12/03/2010	05/22/2012	4.00	4.00	
184. COMPANHIA ENERGETICA GERAIS	12/03/2010	06/07/2012	3,230.00	2,633.00	597.00
617. COMPANHIA ENERGETICA GERAIS	12/03/2010	10/19/2012	7,468.00	8,831.00	-1,363.00
1116. COMPANHIA SIDERURGIC	05/04/2011	09/19/2012	7,180.00	18,152.00	-10,972.00
186. COMPANHIA SIDERURGICA	06/20/2011	09/19/2012	1,197.00	2,252.00	-1,055.00
152. COMPANHIA DE BEBIDAS 00	02/25/2011	04/30/2012	6,426.00	828.00	5,598.00
241. CONSTELLATION BRANDS	05/22/2009	01/06/2012	4,745.00	2,740.00	2,005.00
7000. CORRECTIONS CORP AME 6/01/17	12/07/2010	07/10/2012	7,569.00	7,630.00	-61.00
130. CREDIT SUISSE GROUP S	02/18/2011	02/28/2012	3,573.00	6,134.00	-2,561.00
230. CREDIT SUISSE GROUP S	02/18/2011	02/29/2012	6,206.00	10,853.00	-4,647.00
12. CREDIT SUISSE GROUP SP	05/26/2011	12/14/2012	295.00	510.00	-215.00
258. CREDIT SUISSE GROUP S	02/18/2011	12/14/2012	6,343.00	12,174.00	-5,831.00
125. CREDIT SUISSE GROUP S	07/12/2011	12/17/2012	3,060.00	5,132.00	-2,072.00
109. CREDIT SUISSE GROUP S	03/15/2011	12/17/2012	2,668.00	4,575.00	-1,907.00
250. CREDIT SUISSE GROUP S	09/16/2011	12/18/2012	6,210.00	8,871.00	-2,661.00
116. CREDIT SUISSE GROUP S	09/16/2011	12/19/2012	2,923.00	3,036.00	-113.00
7000. CROWN CASTLE INTL 1/15/15	12/13/2010	09/21/2012	7,525.00	7,727.00	-202.00
331. DESARROLLADORA HOMEX ADR	02/25/2011	02/29/2012	6,014.00	9,412.00	-3,398.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 292. DEUTSCHE TELEKOM AG S	10/23/2006	06/19/2012	3,066.00	4,712.00	-1,646.00
900. DEVON ENERGY CORP NEW	12/13/2010	02/03/2012	57,243.00	63,767.00	-6,524.00
30. DIAMONDROCK HOSPITALIT	03/16/2011	03/23/2012	295.00	312.00	-17.00
143. DIAMONDROCK HOSPITALI	08/11/2010	06/07/2012	1,426.00	1,298.00	128.00
290. DIAMONDROCK HOSPITALI	08/30/2010	07/19/2012	2,800.00	2,609.00	191.00
485. DIAMONDROCK HOSPITALI	08/30/2010	10/04/2012	4,751.00	4,294.00	457.00
246. DIAMONDROCK HOSPITALI	08/30/2010	10/05/2012	2,405.00	2,178.00	227.00
169. DIGITAL RIV INC	04/29/2011	07/25/2012	2,846.00	5,839.00	-2,993.00
256. DIGITAL RIV INC	04/29/2011	07/27/2012	4,436.00	8,430.00	-3,994.00
337. DIGITAL RIV INC	01/15/2010	08/01/2012	4,637.00	8,519.00	-3,882.00
331. DONNELLEY R R & SONS	12/02/2010	07/30/2012	3,973.00	5,524.00	-1,551.00
20. DONNELLEY R R & SONS C	12/02/2010	08/22/2012	246.00	334.00	-88.00
75. DONNELLEY R R & SONS C	12/02/2010	09/10/2012	900.00	1,252.00	-352.00
940. DONNELLEY R R & SONS	12/02/2010	09/14/2012	11,207.00	15,689.00	-4,482.00
83. ENI S P A SPONSORED AD	10/20/2010	03/01/2012	3,873.00	3,807.00	66.00
508. EATON CORP	07/25/2011	12/01/2012	26,368.00	26,934.00	-566.00
455. EDISON INTERNATIONAL	12/02/2010	01/04/2012	18,660.00	17,175.00	1,485.00
152. EDISON INTERNATIONAL	12/02/2010	01/09/2012	6,153.00	5,738.00	415.00
7000. EDISON MISSION ENERG 6/15/13	12/09/2010	05/11/2012	5,163.00	6,878.00	-1,715.00
2000. EDISON MISSION ENERG 6/15/13	12/09/2010	05/24/2012	1,200.00	1,965.00	-765.00
26. ENERSYS	03/01/2010	01/12/2012	731.00	602.00	129.00
98. ENERSYS	08/16/2010	01/23/2012	2,785.00	2,262.00	523.00
108. ENERSYS	08/16/2010	01/30/2012	3,146.00	2,429.00	717.00
105. ENERSYS	08/16/2010	02/01/2012	3,138.00	2,362.00	776.00
104. ENERSYS	08/16/2010	02/06/2012	3,162.00	2,339.00	823.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 59. ESTERLINE TECHNOLOGIES	08/02/2011	11/30/2012	3,610.00	4,543.00	-933.00
164. FANUC CORPORATION	01/15/2010	08/21/2012	4,531.00	2,730.00	1,801.00
544.41 FGLMCG POOL #G0343 11/01/37	01/19/2010	01/31/2012	544.00	576.00	-32.00
623.01 FGLMCG POOL #G0343 11/01/37	01/19/2010	03/15/2012	623.00	659.00	-36.00
747.79 FGLMCG POOL #G0343 11/01/37	01/19/2010	04/15/2012	748.00	791.00	-43.00
753.02 FGLMCG POOL #G0343 11/01/37	01/19/2010	05/15/2012	753.00	797.00	-44.00
850.28 FGLMCG POOL #G0343 11/01/37	01/19/2010	06/15/2012	850.00	900.00	-50.00
801.56 FGLMCG POOL #G0343 11/01/37	01/19/2010	07/15/2012	802.00	848.00	-46.00
798.1 FGLMCG POOL #G0343 5 11/01/37	01/19/2010	08/15/2012	798.00	844.00	-46.00
760.41 FGLMCG POOL #G0343 11/01/37	01/19/2010	09/15/2012	760.00	805.00	-45.00
608.02 FGLMCG POOL #G0343 11/01/37	01/19/2010	10/15/2012	608.00	643.00	-35.00
744.96 FGLMCG POOL #G0343 11/01/37	01/19/2010	11/15/2012	745.00	788.00	-43.00
12610.53 FGLMCG POOL #G034 11/01/37	01/19/2010	11/28/2012	13,574.00	13,344.00	230.00
666.34 FGLMCG POOL #A9704 2/01/41	02/09/2011	01/31/2012	666.00	670.00	-4.00
976.76 FGLMCG POOL #A9704 2/01/41	02/09/2011	03/15/2012	977.00	982.00	-5.00
1381.65 FGLMCG POOL #A9704 2/01/41	02/09/2011	04/15/2012	1,382.00	1,389.00	-7.00
1031.07 FGLMCG POOL #A9704 2/01/41	02/09/2011	05/15/2012	1,031.00	1,037.00	-6.00
1288.54 FGLMCG POOL #A9704 2/01/41	02/09/2011	06/15/2012	1,289.00	1,296.00	-7.00
987.32 FGLMCG POOL #A9704 2/01/41	02/09/2011	07/15/2012	987.00	993.00	-6.00
878.27 FGLMCG POOL #A9704 2/01/41	02/09/2011	08/15/2012	878.00	883.00	-5.00
879.83 FGLMCG POOL #A9704 2/01/41	02/09/2011	09/15/2012	880.00	885.00	-5.00
1105.26 FGLMCG POOL #A9704 2/01/41	02/09/2011	10/15/2012	1,105.00	1,111.00	-6.00
1465.53 FGLMCG POOL #A9704 2/01/41	02/09/2011	11/15/2012	1,466.00	1,474.00	-8.00
993.26 FGLMCG POOL #A9704 2/01/41	02/09/2011	12/15/2012	993.00	999.00	-6.00
930.46 FGLMCG POOL #A9704 2/01/41	02/09/2011	12/31/2012	930.00	936.00	-6.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 766.57 FGLMCG POOL #A9704 2/01/41	02/11/2011	01/31/2012	767.00	772.00	-5.00
907.64 FGLMCG POOL #A9704 2/01/41	02/11/2011	03/15/2012	908.00	914.00	-6.00
806.21 FGLMCG POOL #A9704 2/01/41	02/11/2011	04/15/2012	806.00	812.00	-6.00
611.38 FGLMCG POOL #A9704 2/01/41	02/11/2011	05/15/2012	611.00	615.00	-4.00
535.3 FGLMCG POOL #A9704 2/01/41	02/11/2011	06/15/2012	535.00	539.00	-4.00
624.48 FGLMCG POOL #A9704 2/01/41	02/11/2011	07/15/2012	624.00	629.00	-5.00
739.2504 FGLMCG POOL #A970 2/01/41	02/11/2011	08/14/2012	793.00	744.00	49.00
743.2 FGLMCG POOL #A9704 2/01/41	02/11/2011	08/15/2012	743.00	748.00	-5.00
887.74 FGLMCG POOL #A9704 2/01/41	02/11/2011	09/15/2012	888.00	894.00	-6.00
694.04 FGLMCG POOL #A9704 2/01/41	02/11/2011	10/15/2012	694.00	699.00	-5.00
831.04 FGLMCG POOL #A9704 2/01/41	02/11/2011	11/15/2012	831.00	837.00	-6.00
661.35 FGLMCG POOL #A9704 2/01/41	02/11/2011	12/15/2012	661.00	666.00	-5.00
938.15 FGLMCG POOL #A9704 2/01/41	02/11/2011	12/31/2012	938.00	944.00	-6.00
302.33 FNMA POOL #AH0057 2/01/41	02/02/2011	02/25/2012	302.00	308.00	-6.00
45.07 FNMA POOL #AH0057 4 2/01/41	02/02/2011	03/25/2012	45.00	46.00	-1.00
22953.71 FNMA POOL #AH0057 2/01/41	02/02/2011	04/16/2012	24,914.00	23,416.00	1,498.00
328.74 FNMA POOL #AH0057 2/01/41	02/02/2011	04/25/2012	329.00	335.00	-6.00
8.71 FNMA POOL #AH0057 4. 2/01/41	02/02/2011	05/25/2012	9.00	9.00	
106.48 FNMA POOL #AH0057 2/01/41	02/02/2011	06/25/2012	106.00	109.00	-3.00
9.39 FNMA POOL #AH0057 4. 2/01/41	02/02/2011	07/25/2012	9.00	10.00	-1.00
5274.4502 FNMA POOL #AH005 2/01/41	02/02/2011	08/14/2012	5,713.00	5,381.00	332.00
109.8598 FNMA POOL #AH0057 2/01/41	02/02/2011	08/25/2012	110.00	112.00	-2.00
30000. FEDL NATL MTG ASSN 12/18/13	01/11/2011	08/03/2012	30,199.00	29,660.00	539.00
1412.45 FNMA POOL # 745275 2/01/36	01/19/2010	02/25/2012	1,412.00	1,468.00	-56.00
1527.96 FNMA POOL # 745275 2/01/36	01/19/2010	03/25/2012	1,528.00	1,588.00	-60.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1597.57 FNMA POOL # 745275 2/01/36	01/19/2010	04/16/2012	1,737.00	1,660.00	77.00
1579.78 FNMA POOL # 745275 2/01/36	01/19/2010	04/25/2012	1,580.00	1,641.00	-61.00
1467.38 FNMA POOL # 745275 2/01/36	01/19/2010	05/25/2012	1,467.00	1,525.00	-58.00
1660.24 FNMA POOL # 745275 2/01/36	01/19/2010	06/25/2012	1,660.00	1,725.00	-65.00
1585.59 FNMA POOL # 745275 2/01/36	01/19/2010	07/25/2012	1,586.00	1,647.00	-61.00
1508.19 FNMA POOL # 745275 2/01/36	01/19/2010	08/25/2012	1,508.00	1,567.00	-59.00
1658.83 FNMA POOL # 745275 2/01/36	01/19/2010	09/25/2012	1,659.00	1,724.00	-65.00
1459.4 FNMA POOL # 745275 2/01/36	01/19/2010	10/25/2012	1,459.00	1,516.00	-57.00
1683.04 FNMA POOL # 745275 2/01/36	01/19/2010	11/25/2012	1,683.00	1,749.00	-66.00
19792.55 FNMA POOL # 74527 2/01/36	01/19/2010	11/26/2012	21,422.00	20,564.00	858.00
558.03 FNMA POOL # 745275 2/01/36	01/19/2010	12/25/2012	558.00	580.00	-22.00
577.48 FNMA POOL # 745275 2/01/36	01/19/2010	12/31/2012	577.00	600.00	-23.00
812.17 FNMA POOL #889579 5/01/38	01/19/2010	02/25/2012	812.00	867.00	-55.00
947.89 FNMA POOL #889579 5/01/38	01/19/2010	03/25/2012	948.00	1,012.00	-64.00
1002.71 FNMA POOL #889579 5/01/38	01/19/2010	04/25/2012	1,003.00	1,071.00	-68.00
1095.46 FNMA POOL #889579 5/01/38	01/19/2010	05/25/2012	1,095.00	1,170.00	-75.00
1278.22 FNMA POOL #889579 5/01/38	01/19/2010	06/25/2012	1,278.00	1,365.00	-87.00
1214.04 FNMA POOL #889579 5/01/38	01/19/2010	07/25/2012	1,214.00	1,296.00	-82.00
1247.88 FNMA POOL #889579 5/01/38	01/19/2010	08/25/2012	1,248.00	1,333.00	-85.00
1165.18 FNMA POOL #889579 5/01/38	01/19/2010	09/25/2012	1,165.00	1,244.00	-79.00
978.57 FNMA POOL #889579 5/01/38	01/19/2010	10/25/2012	979.00	1,045.00	-66.00
1167.91 FNMA POOL #889579 5/01/38	01/19/2010	11/25/2012	1,168.00	1,247.00	-79.00
1078.04 FNMA POOL #889579 5/01/38	01/19/2010	12/25/2012	1,078.00	1,151.00	-73.00
1132.13 FNMA POOL #889579 5/01/38	01/19/2010	12/31/2012	1,132.00	1,209.00	-77.00
253.38 FNMA POOL #986761 7/01/38	02/11/2010	02/25/2012	253.00	268.00	-15.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 474.32 FNMA POOL #986761 7/01/38	02/11/2010	03/25/2012	474.00	502.00	-28.00
268.32 FNMA POOL #986761 7/01/38	02/11/2010	04/25/2012	268.00	284.00	-16.00
5338.76 FNMA POOL #986761 7/01/38	02/11/2010	05/15/2012	5,846.00	5,654.00	192.00
260.14 FNMA POOL #986761 7/01/38	02/11/2010	05/25/2012	260.00	276.00	-16.00
141.06 FNMA POOL #986761 7/01/38	02/11/2010	06/25/2012	141.00	149.00	-8.00
199.67 FNMA POOL #986761 7/01/38	02/11/2010	07/25/2012	200.00	211.00	-11.00
168.18 FNMA POOL #986761 7/01/38	02/11/2010	08/25/2012	168.00	178.00	-10.00
197.26 FNMA POOL #986761 7/01/38	02/11/2010	09/25/2012	197.00	209.00	-12.00
135.22 FNMA POOL #986761 7/01/38	02/11/2010	10/25/2012	135.00	143.00	-8.00
189.42 FNMA POOL #986761 7/01/38	02/11/2010	11/25/2012	189.00	201.00	-12.00
2778.81 FNMA POOL #986761 7/01/38	02/11/2010	12/07/2012	3,020.00	2,943.00	77.00
105.47 FNMA POOL #986761 7/01/38	02/11/2010	12/25/2012	105.00	112.00	-7.00
9.31 FNMA POOL #995876 11/01/38	02/11/2010	02/25/2012	9.00	10.00	-1.00
10.76 FNMA POOL #995876 11/01/38	02/11/2010	03/25/2012	11.00	11.00	
11.54 FNMA POOL #995876 11/01/38	02/11/2010	04/25/2012	12.00	12.00	
9.99 FNMA POOL #995876 11/01/38	02/11/2010	05/25/2012	10.00	11.00	-1.00
13.28 FNMA POOL #995876 11/01/38	02/11/2010	06/25/2012	13.00	14.00	-1.00
14.49 FNMA POOL #995876 11/01/38	02/11/2010	07/25/2012	14.00	15.00	-1.00
14.99 FNMA POOL #995876 11/01/38	02/11/2010	08/25/2012	15.00	16.00	-1.00
10.84 FNMA POOL #995876 11/01/38	02/11/2010	09/25/2012	11.00	12.00	-1.00
12.16 FNMA POOL #995876 11/01/38	02/11/2010	10/25/2012	12.00	13.00	-1.00
13.17 FNMA POOL #995876 11/01/38	02/11/2010	11/25/2012	13.00	14.00	-1.00
13.62 FNMA POOL #995876 11/01/38	02/11/2010	12/25/2012	14.00	15.00	-1.00
10.82 FNMA POOL #995876 11/01/38	02/11/2010	12/31/2012	11.00	12.00	-1.00
269.97 FNMA POOL #AB1149 6/01/40	04/28/2011	05/25/2012	270.00	285.00	-15.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 351.03 FNMA POOL #AB1149 6/01/40	04/28/2011	06/25/2012	351.00	371.00	-20.00
356.45 FNMA POOL #AB1149 6/01/40	04/28/2011	07/25/2012	356.00	377.00	-21.00
409.49 FNMA POOL #AB1149 6/01/40	04/28/2011	08/25/2012	409.00	433.00	-24.00
409.27 FNMA POOL #AB1149 6/01/40	04/28/2011	09/25/2012	409.00	433.00	-24.00
246.93 FNMA POOL #AB1149 6/01/40	04/28/2011	10/25/2012	247.00	261.00	-14.00
361.74 FNMA POOL #AB1149 6/01/40	04/28/2011	11/25/2012	362.00	383.00	-21.00
225.42 FNMA POOL #AB1149 6/01/40	04/28/2011	12/25/2012	225.00	238.00	-13.00
285.52 FNMA POOL #AB1149 6/01/40	04/28/2011	12/31/2012	286.00	302.00	-16.00
873.66 FNMA POOL #AB4297 1/01/42	01/10/2012	12/31/2012	874.00	901.00	-27.00
1413.08 FNMA POOL #AE0115 12/01/35	04/19/2011	04/25/2012	1,413.00	1,524.00	-111.00
1243.8 FNMA POOL #AE0115 12/01/35	04/19/2011	05/25/2012	1,244.00	1,341.00	-97.00
1435.13 FNMA POOL #AE0115 12/01/35	04/19/2011	06/25/2012	1,435.00	1,548.00	-113.00
1286.36 FNMA POOL #AE0115 12/01/35	04/19/2011	07/25/2012	1,286.00	1,387.00	-101.00
1304.58 FNMA POOL #AE0115 12/01/35	04/19/2011	08/25/2012	1,305.00	1,407.00	-102.00
1369.68 FNMA POOL #AE0115 12/01/35	04/19/2011	09/25/2012	1,370.00	1,477.00	-107.00
1235.73 FNMA POOL #AE0115 12/01/35	04/19/2011	10/25/2012	1,236.00	1,333.00	-97.00
1399.96 FNMA POOL #AE0115 12/01/35	04/19/2011	11/25/2012	1,400.00	1,510.00	-110.00
34116.8401 FNMA POOL #AE01 12/01/35	04/19/2011	11/28/2012	37,497.00	36,792.00	705.00
402.02 FNMA POOL #AE0984 2/01/41	03/02/2011	03/25/2012	402.00	410.00	-8.00
19357.6 FNMA POOL #AE0984 2/01/41	03/02/2011	04/05/2012	20,679.00	19,724.00	955.00
426.1 FNMA POOL #AE0984 2/01/41	03/02/2011	04/25/2012	426.00	434.00	-8.00
200. FEDEX CORPORATION	09/17/2010	05/14/2012	17,544.00	16,423.00	1,121.00
800. FEDEX CORPORATION	09/17/2010	11/30/2012	71,568.00	65,692.00	5,876.00
381. FLSMIDTH & CO A S SPO	05/10/2011	08/21/2012	2,263.00	3,290.00	-1,027.00
3300. FORD MOTOR COMPANY	09/17/2010	06/01/2012	33,574.00	41,217.00	-7,643.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 28. FREEPORT MCMORAN COPPE	08/05/2011	10/18/2012	1,185.00	1,559.00	-374.00
10000. FREESCALE SEMICONDU	12/15/16	12/03/2010	10,613.00	9,830.00	783.00
5000. FRONTIER COMMUNICATI	5/01/14	12/13/2010	5,575.00	5,550.00	25.00
104. GAYLORD ENTERTAINMENT	07/14/2010	05/01/2012	3,567.00	2,840.00	727.00
63. GAYLORD ENTERTAINMENT	07/14/2010	06/05/2012	2,400.00	1,720.00	680.00
94. GAZPROM OAO SPD ADR	08/12/2011	10/24/2012	912.00	1,231.00	-319.00
65. GENERAL ELEC CO.	12/02/2010	05/17/2012	1,236.00	1,079.00	157.00
110. GENERAL ELEC CO.	12/02/2010	10/18/2012	2,513.00	1,826.00	687.00
5000. GENERAL ELEC CAP COR	9/16/20	03/24/2011	5,606.00	4,897.00	709.00
2000. GENON ESCROW CORP	10/15/20	06/16/2011	2,320.00	2,075.00	245.00
8000. GEORGIA PAC CORP	1/15/24	01/03/2011	10,353.00	9,250.00	1,103.00
510. GLAXO WELLCOME PLC SP	12/02/2010	05/31/2012	22,562.00	25,832.00	-3,270.00
119. GLAXO WELLCOME PLC SP	10/19/2005	06/13/2012	5,374.00	6,159.00	-785.00
50. GOOGLE INC CL A	09/17/2010	05/11/2012	30,559.00	24,114.00	6,445.00
42. GRUPO TELEVISIA SA DE C	12/03/2010	10/24/2012	978.00	1,016.00	-38.00
167. HANG LUNG PPTYS LTD	01/15/2010	08/21/2012	2,951.00	3,180.00	-229.00
22. HARRIS CORP DEL	12/02/2010	10/18/2012	1,037.00	989.00	48.00
350. HENNES & MAURITZ AB A	01/15/2010	03/07/2012	2,370.00	1,990.00	380.00
178. HONG KONG EXCHANGES &	01/15/2010	08/21/2012	2,444.00	3,345.00	-901.00
15000. HOST MARRIOTT L P	3/15/15	12/03/2010	15,188.00	15,325.00	-137.00
651. HUDSON CITY BANCORP I	08/25/2011	08/31/2012	4,720.00	4,453.00	267.00
2468. HUDSON CITY BANCORP	03/21/2011	08/31/2012	17,894.00	28,045.00	-10,151.00
17600. HUNTSMAN CORP	08/24/2009	06/15/2012	228,010.00	136,367.00	91,643.00
8300. HUNTSMAN CORP	08/24/2009	06/19/2012	109,924.00	64,309.00	45,615.00
.6875 ADR IBERDROLA	02/02/2009	02/07/2012	17.00	21.00	-4.00 *

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a .7143 ADR IBERDROLA	02/02/2009	08/06/2012	10.00	22.00	-12.00 *
66. ICON PUB LTD CO	01/25/2011	08/10/2012	1,556.00	1,519.00	37.00
102. ICON PUB LTD CO	01/25/2011	08/13/2012	2,375.00	2,347.00	28.00
126. ICON PUB LTD CO	01/25/2011	10/15/2012	3,089.00	2,899.00	190.00
126. ICON PUB LTD CO	01/25/2011	11/01/2012	3,088.00	2,899.00	189.00
73. ICON PUB LTD CO	01/26/2011	11/06/2012	1,925.00	1,677.00	248.00
314. INDUSTRIAL & COML BK	01/27/2011	08/21/2012	3,595.00	4,717.00	-1,122.00
14. INFOSYS TECH LTD SPND 1/4 00	12/03/2010	10/24/2012	606.00	966.00	-360.00
193. INFOSYS TECH LTD SPND 1/4 00	12/03/2010	11/20/2012	8,105.00	13,317.00	-5,212.00
805. ING GROEP N.V.	07/21/2009	08/03/2012	5,330.00	22,290.00	-16,960.00
1173. ING GROEP N.V.	06/04/2010	08/20/2012	8,396.00	13,748.00	-5,352.00
21. INTEL CORP	12/02/2010	05/17/2012	553.00	455.00	98.00
124. INTERNATIONAL BUSINES	12/02/2010	04/05/2012	25,437.00	18,028.00	7,409.00
57. INTERNATIONAL PAPER CO	06/22/2011	10/18/2012	2,138.00	1,644.00	494.00
280. INTERPUBLIC GROUP COS	09/15/2011	10/31/2012	2,857.00	2,246.00	611.00
569. INTERPUBLIC GROUP COS	09/15/2011	12/12/2012	6,239.00	4,564.00	1,675.00
399. INTERPUBLIC GROUP COS	09/15/2011	12/20/2012	4,490.00	3,200.00	1,290.00
437. INTERPUBLIC GROUP COS	10/14/2011	12/21/2012	4,855.00	3,486.00	1,369.00
72. ISHARES TRUST RUSSELL FUND	05/04/2011	08/28/2012	7,852.00	7,904.00	-52.00
98. ISHARES TR RUSSELL 200	05/04/2011	08/28/2012	7,973.00	8,132.00	-159.00
500. ITC HLDGS CORP	10/18/2010	05/17/2012	33,544.00	31,034.00	2,510.00
1000. ITC HLDGS CORP	09/24/2010	05/29/2012	69,025.00	60,480.00	8,545.00
245. JDS UNIPHASE CORP	10/18/2011	12/26/2012	3,263.00	2,584.00	679.00
188. J. P. MORGAN CHASE &	12/09/2010	02/09/2012	7,128.00	7,666.00	-538.00
132. J. P. MORGAN CHASE &	05/02/2011	10/17/2012	5,734.00	5,965.00	-231.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 29. KB FINANCIAL GROUP INC	08/12/2011	10/24/2012	990.00	1,104.00	-114.00
151. KAO CORP SPD ADR REPS COM	10/07/2005	02/28/2012	3,885.00	3,607.00	278.00
158. KDDI CORP ADR	05/10/2011	08/21/2012	2,830.00	2,708.00	122.00
38. KIMBERLY-CLARK CORP CO	12/02/2010	05/17/2012	3,011.00	2,348.00	663.00
87. KIMBERLY CLARK DE MEXI C	12/03/2010	10/24/2012	1,073.00	897.00	176.00
1000. KINDER MORGAN FIN CP 1/05/16	12/22/2010	06/07/2012	1,044.00	1,018.00	26.00
2000. KINDER MORGAN FIN CP 1/05/16	12/22/2010	06/08/2012	2,088.00	2,035.00	53.00
3000. KINDER MORGAN FIN CP 1/05/16	12/22/2010	06/12/2012	3,128.00	3,053.00	75.00
9000. KINDER MORGAN FIN CP 1/05/16	12/22/2010	11/29/2012	9,821.00	9,158.00	663.00
.7 KOC HLDG	12/03/2010	06/22/2012	13.00	16.00	-3.00
49. KOC HLDG	12/03/2010	10/24/2012	1,061.00	1,143.00	-82.00
83. KOMATSU LTD SPNS ADR	01/15/2010	03/01/2012	2,432.00	1,844.00	588.00
49. KUMBA IRON ORE SPONSOR	12/03/2010	01/26/2012	3,323.00	3,032.00	291.00
128. LVMH MOET HENNESSY LO	01/15/2010	09/04/2012	4,156.00	2,930.00	1,226.00
109. LVMH MOET HENNESSY LO	01/15/2010	12/07/2012	3,883.00	2,495.00	1,388.00
1000. LAUDER ESTEE COS INC	05/24/2011	06/01/2012	52,420.00	49,640.00	2,780.00
601. LEAP WIRELESS INTL IN	12/08/2010	04/27/2012	3,513.00	6,667.00	-3,154.00
142. LEAR CORP	05/05/2011	10/23/2012	5,739.00	7,155.00	-1,416.00
980. LEGGETT & PLATT INC	12/09/2010	05/09/2012	20,415.00	21,645.00	-1,230.00
151. LEGG MASON INC	02/25/2011	05/31/2012	3,829.00	5,365.00	-1,536.00
10000. LEUCADIA NATL CORP 3/15/17	12/09/2010	03/15/2012	10,356.00	10,350.00	6.00
24000. LEVEL 3 FING INC 2/15/17	12/06/2010	09/05/2012	25,050.00	25,050.00	
11. LOCKHEED MARTIN CORP	12/02/2010	05/17/2012	919.00	769.00	150.00
317. MANITOWOC INC COM W/R 09/18/2006	12/16/2010	04/25/2012	4,533.00	3,959.00	574.00
170. MANITOWOC INC COM W/R 09/18/2006	12/16/2010	04/26/2012	2,395.00	2,123.00	272.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 147. MASSMART HLDGS LTD	12/03/2010	03/12/2012	6,584.00	6,143.00	441.00
1125. MCDONALDS CORP	11/01/2007	02/09/2012	112,779.00	66,684.00	46,095.00
7000. MEDIACOM BROADBAND 10/15/15	12/03/2010	08/27/2012	7,158.00	7,035.00	123.00
3000. MEDIACOM BROADBAND 10/15/15	12/03/2010	09/12/2012	3,068.00	3,015.00	53.00
30. MEDTRONIC INC	12/02/2010	10/18/2012	1,292.00	1,019.00	273.00
15000. METLIFE INC 2/15/19	11/04/2009	10/12/2012	19,767.00	17,562.00	2,205.00
105. MICROSOFT CORP	12/09/2010	03/15/2012	3,455.00	2,857.00	598.00
856. MICROSOFT CORP	12/02/2010	05/02/2012	27,221.00	22,965.00	4,256.00
216. MICROCHIP TECHNOLOGY	12/09/2010	08/14/2012	7,614.00	7,350.00	264.00
688.26 MIDWEST GEN LLC 1/02/16	12/28/2010	01/02/2012	688.00	695.00	-7.00
717.64 MIDWEST GEN LLC 1/02/16	12/28/2010	07/02/2012	718.00	725.00	-7.00
4243.02 MIDWEST GEN LLC 1/02/16	12/28/2010	11/13/2012	3,957.00	4,285.00	-328.00
466. MITSUBISHI UFJ FINL G	01/15/2010	03/01/2012	2,376.00	2,562.00	-186.00
72. MOBILE TELESYSTEMS OJS	12/03/2010	10/24/2012	1,248.00	1,530.00	-282.00
60. MONSTER WORLDWIDE INC	01/28/2011	02/08/2012	437.00	1,025.00	-588.00
1093. MONSTER WORLDWIDE IN	08/19/2010	03/01/2012	8,505.00	12,432.00	-3,927.00
121. MYRIAD GENETICS INC	04/08/2011	08/17/2012	2,938.00	2,437.00	501.00
111. MYRIAD GENETICS INC	03/31/2011	08/21/2012	2,866.00	2,224.00	642.00
117. MYRIAD GENETICS INC	03/31/2011	10/15/2012	3,126.00	2,306.00	820.00
99. MYRIAD GENETICS INC	03/28/2011	11/06/2012	2,946.00	1,886.00	1,060.00
257. NII HLDGS INC	04/22/2009	07/03/2012	2,709.00	3,471.00	-762.00
485. NII HLDGS INC	03/06/2009	07/12/2012	4,070.00	6,059.00	-1,989.00
226. NAVISTAR INTL CORP NE	08/27/2010	05/16/2012	6,504.00	9,785.00	-3,281.00
55. NEDBANK GROUP LTD	12/03/2010	10/24/2012	1,141.00	1,025.00	116.00
38. NESTLE S A SPONSORED A REG SH	01/15/2010	02/02/2012	2,193.00	1,818.00	375.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. NETEASE INC-ADR	12/03/2010	10/24/2012	812.00	596.00	216.00
12. NISOURCE INC	09/27/2011	11/05/2012	297.00	261.00	36.00
128. NISOURCE INC	09/27/2011	12/03/2012	3,125.00	2,787.00	338.00
21. NORTHROP GRUMMAN CORP	12/02/2010	10/18/2012	1,480.00	1,217.00	263.00
81. NOVARTIS AG-ADR	01/15/2010	01/10/2012	4,608.00	4,663.00	-55.00
67. NOVARTIS AG-ADR	01/15/2010	02/01/2012	3,717.00	3,857.00	-140.00
39. NOVARTIS AG-ADR	01/15/2010	08/21/2012	2,342.00	2,245.00	97.00
13. NOVO NORDISK A S ADR	01/15/2010	07/13/2012	1,886.00	869.00	1,017.00
24. NOVO NORDISK A S ADR	01/15/2010	07/13/2012	3,487.00	1,604.00	1,883.00
15. NOVO NORDISK A S ADR	01/15/2010	08/21/2012	2,329.00	1,003.00	1,326.00
22. NOVO NORDISK A S ADR	01/15/2010	09/19/2012	3,430.00	1,470.00	1,960.00
350. OCCIDENTAL PETROLEUM	09/14/2011	11/07/2012	27,106.00	29,130.00	-2,024.00
16. OIL CO LUKOIL	08/12/2011	10/24/2012	978.00	889.00	89.00
24. ORASCOM CONSTR INDS S	12/30/2010	10/24/2012	1,022.00	1,193.00	-171.00
58. ORIFLAME COSMETICS SA	12/03/2010	10/24/2012	911.00	1,554.00	-643.00
149. OWENS ILL INC NEW	02/12/2009	08/07/2012	2,730.00	2,647.00	83.00
160. OWENS ILL INC NEW	02/12/2009	08/24/2012	2,885.00	2,750.00	135.00
337. OWENS ILL INC NEW	03/06/2009	09/04/2012	5,747.00	5,070.00	677.00
336. OWENS ILL INC NEW	03/06/2009	09/06/2012	6,326.00	3,369.00	2,957.00
882. PMC-SIERRA INC	10/22/2008	01/24/2012	5,736.00	4,111.00	1,625.00
32. PNC BK CORP	12/02/2010	05/17/2012	1,995.00	1,811.00	184.00
85. PPC LTD	12/03/2010	10/24/2012	558.00	819.00	-261.00
55. PTT EXPLORATION & PROD	08/09/2011	10/24/2012	564.00	631.00	-67.00
121. PT SEMEN INDONESIA (P	12/03/2010	02/29/2012	7,491.00	6,457.00	1,034.00
.5 PT SEMEN INDONESIA (PER	12/03/2010	03/16/2012	12.00	11.00	1.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 21. PT SEMEN INDONESIA (PE	12/03/2010	10/24/2012	642.00	448.00	194.00
273. PT PERUSAHAAN GAS NEG	06/14/2011	08/31/2012	5,253.00	6,444.00	-1,191.00
301. PT PERUSAHAAN GAS NEG	05/05/2011	08/31/2012	5,792.00	7,148.00	-1,356.00
37. PT UTD TRACTORS TBK AD	12/03/2010	02/01/2012	2,379.00	2,012.00	367.00
16. PT UTD TRACTORS TBK AD	12/03/2010	10/24/2012	664.00	870.00	-206.00 *
138. PT BK MANDIRI PERSERO	12/03/2010	10/24/2012	1,143.00	1,046.00	97.00
209. PACCAR INC	12/09/2010	04/10/2012	9,097.00	11,680.00	-2,583.00
74. PENN NATL GAMING INC 0	07/05/2011	07/10/2012	3,147.00	3,062.00	85.00
12. PENN NATL GAMING INC 0	07/05/2011	07/30/2012	472.00	497.00	-25.00
123. PENN NATL GAMING INC	04/14/2011	10/12/2012	5,039.00	4,530.00	509.00
25. PENN NATL GAMING INC 0	08/05/2011	10/12/2012	1,024.00	935.00	89.00
57. PENN NATL GAMING INC 0	01/25/2011	11/16/2012	2,827.00	2,059.00	768.00
160. PEPSICO INC	03/14/2011	08/14/2012	11,556.00	10,215.00	1,341.00
169. PEPSICO INC	03/02/2011	10/04/2012	11,973.00	10,601.00	1,372.00
43. PERUSAHAAN PERSEROAN P	12/03/2010	10/24/2012	1,754.00	1,565.00	189.00
192. PFIZER INC.	12/02/2010	05/17/2012	4,354.00	3,203.00	1,151.00
102. PHILIP MORRIS INTL IN	12/09/2010	04/10/2012	8,883.00	6,067.00	2,816.00
39. PHILIPPINE LONG DISTAN	12/03/2010	02/06/2012	2,499.00	2,165.00	334.00
24. PHILIPPINE LONG DISTAN	12/03/2010	10/24/2012	1,536.00	1,332.00	204.00
526. PINNACLE ENTMT INC	10/03/2008	03/09/2012	5,855.00	3,257.00	2,598.00
500. PITNEY BOWES INC.	03/25/2011	07/18/2012	6,757.00	12,535.00	-5,778.00
522. PITNEY BOWES INC.	03/25/2011	08/07/2012	7,443.00	12,699.00	-5,256.00
94. POTASH CORP SASK INC C	04/19/2011	08/21/2012	4,019.00	5,371.00	-1,352.00
411. PROCTOR & GAMBLE CO	12/09/2010	07/12/2012	26,172.00	25,806.00	366.00
339. PUBLICIS S A NEW SPND	11/01/2010	08/21/2012	4,441.00	4,254.00	187.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 10000. QUEBECOR MEDIA INC 3/15/16	12/07/2010	03/16/2012	10,283.00	10,440.00	-157.00
487. RAYTHEON COMPANY	12/09/2010	03/15/2012	25,380.00	22,454.00	2,926.00
348. RECKITT BENCKISER GRO	01/15/2010	09/19/2012	4,099.00	3,720.00	379.00
331. RECKITT BENCKISER GRO	01/15/2010	10/24/2012	4,035.00	3,538.00	497.00
247. RECKITT BENCKISER GRO	01/15/2010	11/28/2012	3,073.00	2,640.00	433.00
295. RECKITT BENCKISER GRO	01/15/2010	12/07/2012	3,732.00	3,154.00	578.00
6000. REGIONS FINANCIAL 6/15/15	12/29/2010	10/04/2012	6,443.00	5,941.00	502.00
4000. REGIONS FINANCIAL 6/15/15	12/29/2010	10/09/2012	4,310.00	3,961.00	349.00
2200. REPUBLIC SVCS INC	10/18/2010	05/14/2012	56,696.00	67,832.00	-11,136.00
53. REYNOLDS AMERN INC	12/02/2010	05/17/2012	2,158.00	1,685.00	473.00
46. REYNOLDS AMERN INC	12/02/2010	07/26/2012	2,109.00	1,463.00	646.00
67. RIVERBED TECHNOLOG	11/01/2011	11/07/2012	1,213.00	1,753.00	-540.00
43.4557 ROYAL DUTCH SHELL	10/19/2005	02/01/2012	3,139.00	2,580.00	559.00
79. ROYAL DUTCH SHELL PLC	10/19/2005	04/26/2012	5,595.00	4,690.00	905.00
15. RYMAN HOSPITALITY COM	07/14/2010	11/01/2012	576.00	410.00	166.00
65. RYMAN HOSPITALITY COM	08/03/2011	11/01/2012	2,495.00	1,765.00	730.00
42. RYMAN HOSPITALITY COM	08/20/2010	12/11/2012	1,546.00	1,112.00	434.00
45. RYMAN HOSPITALITY COM	08/03/2011	12/11/2012	1,657.00	1,194.00	463.00
.3969 RYMAN HOSPITALITY CO	08/20/2010	12/27/2012	14.00	11.00	3.00
31. SBA COMMUNICATIONS COR	09/29/2005	01/11/2012	1,366.00	466.00	900.00
48. SBA COMMUNICATIONS COR	09/29/2005	11/28/2012	3,216.00	721.00	2,495.00
39. SL GREEN RLTY CORP	08/16/2011	09/10/2012	3,187.00	2,858.00	329.00
52. SL GREEN RLTY CORP	09/01/2011	11/09/2012	3,819.00	3,789.00	30.00
35. SL GREEN RLTY CORP	09/01/2011	11/27/2012	2,595.00	2,490.00	105.00
42. SL GREEN RLTY CORP	08/30/2011	11/29/2012	3,115.00	2,919.00	196.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 35. SL GREEN RLTY CORP	08/18/2011	12/05/2012	2,628.00	2,424.00	204.00
41. SL GREEN RLTY CORP	08/22/2011	12/20/2012	3,171.00	2,828.00	343.00
61. SABMILLER PLC SPD ADR	01/15/2010	08/21/2012	2,705.00	1,767.00	938.00
39. SANLAM SPONS ADR EA RE	12/03/2010	10/24/2012	855.00	769.00	86.00
595. SANOFI	12/02/2010	06/29/2012	22,264.00	20,036.00	2,228.00
11. SAP AG-SPONSORED ADR	01/15/2010	04/13/2012	721.00	538.00	183.00
75. SAP AG-SPONSORED ADR	01/15/2010	07/12/2012	4,350.00	3,670.00	680.00
57. SAP AG-SPONSORED ADR	01/15/2010	08/21/2012	3,719.00	2,789.00	930.00
79. SAP AG-SPONSORED ADR	01/15/2010	10/24/2012	5,641.00	3,866.00	1,775.00
122. SBERBANK RUSSIA	08/04/2011	10/24/2012	1,455.00	1,751.00	-296.00
456. SBERBANK RUSSIA	09/12/2011	12/14/2012	5,517.00	4,950.00	567.00
85. SEVEN & I HLDGS CO LTD	01/26/2010	04/27/2012	5,091.00	4,304.00	787.00
31. SHINHAN FINL GROUP CO	04/28/2011	10/24/2012	1,044.00	1,456.00	-412.00
107. SHOPRITE HLDGS LTD	12/03/2010	04/30/2012	3,678.00	3,193.00	485.00
27. SHOPRITE HLDGS LTD	12/03/2010	10/24/2012	1,109.00	806.00	303.00
50. SHOPRITE HLDGS LTD	12/03/2010	11/02/2012	2,018.00	1,492.00	526.00
39. SIEMENS AG-ADR	03/10/2011	08/21/2012	3,641.00	4,975.00	-1,334.00 *
13. SIEMENS AG-ADR	02/03/2011	09/04/2012	1,222.00	1,658.00	-436.00
39. SIEMENS AG-ADR	02/03/2011	09/19/2012	4,017.00	4,753.00	-736.00
46. SIEMENS AG-ADR	01/11/2011	10/11/2012	4,569.00	5,444.00	-875.00
.519 SOUTHERN COPPER CORP	12/09/2010	03/05/2012	17.00	23.00	-6.00
11. SPIRIT AEROSYSTEMS	01/25/2011	02/08/2012	258.00	262.00	-4.00
137. SPIRIT AEROSYSTEMS	01/25/2011	09/20/2012	3,089.00	3,263.00	-174.00
250. SPIRIT AEROSYSTEMS	01/25/2011	10/12/2012	5,379.00	5,955.00	-576.00
250. SPIRIT AEROSYSTEMS	01/25/2011	10/26/2012	3,707.00	5,955.00	-2,248.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 163. SPIRIT AEROSYSTEMS	06/03/2011	10/26/2012	2,417.00	3,490.00	-1,073.00
6000. SPRINT CAP CORP 5/01/19	12/03/2010	09/27/2012	6,225.00	5,924.00	301.00
51. STANDARD BANK GROUP LI	12/03/2010	10/24/2012	618.00	758.00	-140.00
395. TAIWAN SEMICONDUCTOR-	01/10/2008	05/01/2012	6,167.00	3,508.00	2,659.00
339. TAIWAN SEMICONDUCTOR-	01/11/2008	12/14/2012	5,746.00	3,005.00	2,741.00
1176. TELEFONICA DE ESPANA	05/21/2010	01/25/2012	19,893.00	29,612.00	-9,719.00
.3158 TELEFONICA DE ESPANA	01/30/2006	06/25/2012	4.00	5.00	-1.00 *
252. TELSTRA 00	03/12/2010	01/12/2012	4,252.00	3,139.00	1,113.00
246. TELSTRA 00	05/11/2009	03/15/2012	4,148.00	3,014.00	1,134.00
309. TELSTRA 00	05/11/2009	04/03/2012	5,327.00	3,786.00	1,541.00
422. TELSTRA 00	05/12/2009	04/13/2012	7,327.00	5,120.00	2,207.00
210. TENCENT HLDGS LTD	01/10/2011	03/20/2012	5,854.00	4,822.00	1,032.00
8000. TEREX CORP NEW 6/01/16	12/08/2010	09/28/2012	8,981.00	9,160.00	-179.00
253. TESCO PLC	01/15/2010	06/29/2012	3,691.00	5,237.00	-1,546.00
93. TESCO PLC	01/15/2010	06/29/2012	1,361.00	1,925.00	-564.00
184. TESCO PLC	01/15/2010	08/21/2012	2,944.00	3,809.00	-865.00
210. TESCO PLC	01/15/2010	10/08/2012	3,195.00	4,347.00	-1,152.00
295. TESCO PLC	01/15/2010	10/09/2012	4,438.00	6,107.00	-1,669.00
545. TESCO PLC	12/01/2010	10/10/2012	8,223.00	11,078.00	-2,855.00
427. TESCO PLC	12/01/2010	10/11/2012	6,416.00	8,332.00	-1,916.00
57. TEVA PHARMACEUTICAL IN	01/15/2010	05/24/2012	2,207.00	3,310.00	-1,103.00
129. TEVA PHARMACEUTICAL I	01/15/2010	12/06/2012	5,397.00	7,491.00	-2,094.00
690. THOMSON REUTERS PLC	12/09/2010	02/08/2012	18,928.00	25,246.00	-6,318.00
161. TIGER BRANDS LTD SPON	12/03/2010	02/02/2012	5,365.00	4,506.00	859.00
26. TIGER BRANDS LTD SPON	12/03/2010	10/24/2012	837.00	728.00	109.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 48. TIME WARNER INC	12/09/2010	07/26/2012	1,859.00	1,516.00	343.00
53. TIME WARNER INC	12/09/2010	10/18/2012	2,394.00	1,674.00	720.00
570. TIME WARNER INC	12/09/2010	12/07/2012	26,470.00	17,954.00	8,516.00
150. TITANIUM METALS CORP	03/15/2011	04/12/2012	2,088.00	2,628.00	-540.00
84. TOTAL S A SPONSORED A	10/19/2005	06/19/2012	3,767.00	5,039.00	-1,272.00
45. TOYOTA MTR CORP 00	01/15/2010	02/16/2012	3,757.00	4,335.00	-578.00
35. TOYOTA MTR CORP 00	10/19/2005	06/19/2012	2,693.00	3,139.00	-446.00
51. THE TRAVELERS COMPANIE	12/02/2010	05/17/2012	3,230.00	2,805.00	425.00
106. TRINITY INDUSTRIES IN	08/04/2011	12/20/2012	3,775.00	2,729.00	1,046.00
770. TRIQUINT SEMICONDUCTO	08/02/2011	11/06/2012	3,598.00	5,611.00	-2,013.00
680. TRIQUINT SEMICONDUCTO	08/10/2011	11/20/2012	3,016.00	4,923.00	-1,907.00
97. TURKCELL ILETISIM HIZM	12/03/2010	10/24/2012	1,481.00	1,652.00	-171.00
1634. TURKIYE GARANTI BANK	12/01/2010	02/03/2012	6,330.00	8,244.00	-1,914.00
1006. TURKIYE GARANTI BANK	02/04/2010	02/10/2012	3,692.00	4,361.00	-669.00
656. TURKIYE GARANTI BANKA	02/04/2010	11/28/2012	2,994.00	2,843.00	151.00
154. UNILEVER PLC SPNSRD A	10/19/2005	04/26/2012	5,333.00	3,432.00	1,901.00
10000. U.S. T BDS INFL IN 4/15/28	10/12/2010	02/14/2012	21,262.00	18,346.00	2,916.00
6000. U.S. TSY INFL IDX NT 1/15/29	10/12/2010	02/02/2012	8,696.00	7,341.00	1,355.00
7000. U.S. TSY INFL IDX NT 1/15/29	12/08/2010	02/28/2012	10,050.00	8,548.00	1,502.00
2000. U.S. TSY INFL IDX NT 1/15/29	12/08/2010	02/29/2012	2,850.00	2,442.00	408.00
30000. U.S. T NTS INFL IN 7/15/12	05/26/2010	07/15/2012	30,000.00	39,551.00	-9,551.00
55000. U S TREAS NTS 2/29/12	05/26/2010	02/29/2012	55,000.00	55,051.00	-51.00
5000. U S TREAS NTS 3/15/13	03/24/2010	03/01/2012	5,060.00	4,986.00	74.00
20000. U S TREAS NTS 5/15/20	03/07/2011	07/16/2012	23,670.00	20,286.00	3,384.00
35000. U S TREAS NTS 8/15/21	09/13/2011	09/17/2012	36,426.00	35,438.00	988.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

31-15C033738575 EL & JB STEINIGER FOUNDATION

13-3585674

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 100. UNITED TECHNOLOGIES C	03/25/2011	04/20/2012	8,139.00	8,333.00	-194.00
100. UNITED TECHNOLOGIES C	03/25/2011	04/23/2012	7,944.00	8,333.00	-389.00
800. UNITED TECHNOLOGIES C	03/25/2011	04/25/2012	63,674.00	66,666.00	-2,992.00
71. COMPANHIA VALE DO RIO	12/03/2010	10/24/2012	1,203.00	2,146.00	-943.00
178. VALUECLICK INC	04/30/2010	10/26/2012	2,964.00	1,807.00	1,157.00
159. VALUECLICK INC	04/30/2010	12/12/2012	3,076.00	1,614.00	1,462.00
159. VALUECLICK INC	04/30/2010	12/18/2012	3,141.00	1,614.00	1,527.00
158. VALUECLICK INC	04/30/2010	12/20/2012	3,113.00	1,604.00	1,509.00
30. VARIAN MEDICAL SYSTEMS	10/09/2009	01/03/2012	2,089.00	1,202.00	887.00
200. VISA INC-CLASS A SHAR	07/13/2011	11/07/2012	28,458.00	17,950.00	10,508.00
61. VISTEON CORP	01/25/2011	03/22/2012	3,272.00	4,383.00	-1,111.00
131. VISTEON CORP	03/25/2011	08/08/2012	5,041.00	8,913.00	-3,872.00
60. VISTEON CORP	05/16/2011	08/08/2012	2,309.00	3,739.00	-1,430.00
119. VODAFONE GROUP SPD AD SH	09/01/2009	06/19/2012	3,344.00	2,552.00	792.00
200. VOLKSWAGEN AG	10/04/2010	03/12/2012	7,349.00	4,478.00	2,871.00
436. VOLKSWAGEN AG	08/25/2010	03/13/2012	15,786.00	9,144.00	6,642.00
57. WAL MART STORES INC	07/14/2011	07/26/2012	4,158.00	3,055.00	1,103.00
170. WAL-MART DE MEXICO SA	01/15/2010	03/20/2012	5,611.00	4,123.00	1,488.00
160. WAL-MART DE MEXICO SA	01/15/2010	12/06/2012	5,290.00	3,880.00	1,410.00
100. WARNACO GROUP INC/THE	09/06/2011	10/31/2012	7,091.00	4,975.00	2,116.00
125. WARNACO GROUP INC/THE	10/18/2011	11/30/2012	8,953.00	5,789.00	3,164.00
.4 WEICHAI PWR CO LTD	12/30/2010	09/10/2012	4.00	8.00	-4.00
57. WEICHAI PWR CO LTD	12/30/2010	10/24/2012	835.00	1,182.00	-347.00
72. WELLS FARGO & COMPANY	12/02/2010	05/17/2012	2,292.00	2,065.00	227.00
1000. WEYERHAEUSER CO	09/14/2011	12/05/2012	26,582.00	17,490.00	9,092.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

FEDERAL CAPITAL GAIN DIVIDENDS
=====LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

ALLIANZ GL INV FXD INC SH-C	2,001.00
ANNALY CAPITOL MGMT INC	276.00
CAMDEN PPTY TR SHS BEN INT	99.00
SL GREEN RLTY CORP	7.00
WEYERHAEUSER CO	3,400.00
CLASS ACTION PROCEEDS	44.00

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

5,827.00

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

5,827.00
=====

Trust Account - 15C033738575

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK MDA (L) CUSIP 9900CST72 Yield 05%	19,617.63	1.000	19,617.63	1.00	19,617.63	4.63	19,622.26	0.00	9.81
Total Cash and Short Term Investments			19,617.63		19,617.63	4.63	19,622.26	0.00	9.81
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			19,617.63		19,617.63	4.63	19,622.26	0.00	9.81



Trust Account - 15C033738575

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield .05%	8,467.97	1.000	8,467.97	1.00	8,467.97	2.74	8,470.71	0.00	4.23
Total Cash and Short Term Investments			8,467.97		8,467.97	2.74	8,470.71	0.00	4.23
TOTAL INVESTMENT POSITIONS - INCOME									
			8,467.97		8,467.97	2.74	8,470.71	0.00	4.23
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			28,085.60		28,085.60	7.37	28,092.97	0.00	14.04



Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 1.84% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield 05%	19,034.91	1.000	19,034.91	1.00	19,034.91	0.80	19,035.71	0.00	9.52
Total Cash and Short Term Investments			19,034.91		19,034.91	0.80	19,035.71	0.00	9.52

EQUITIES 98.16% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ABB LTD SPONS ADR Ticker/CUSIP : ABB/000375204 Yield 3.33% Sector : Utilities Conv. Ratio 1.1	1,124	18.222	20,482.00	20.79 31 Dec 12	23,367.96	0.00	23,367.96	2,885.96	778.93
AMCOR LTD ADR NEW -USD- Ticker/CUSIP : AMCRY/02341R302 Yield 4.54% Sector : Consumer Discretionary Conv. Ratio 4:1	390	19.630	7,655.70	33.80 31 Dec 12	13,182.00	0.00	13,182.00	5,526.30	598.65
ASTELLAS PHARMA INC-JPY Ticker/CUSIP : ALPMY/04623U102 Yield 3.14% Sector : Health Care Conv. Ratio 1:1	531	32.556	17,287.44	44.85 31 Dec 12	23,815.35	0.00	23,815.35	6,527.91	748.18
BANCO SANTANDER S.A. Ticker/CUSIP : SAN/05964H105 Yield 7.83% Sector : Financials Conv. Ratio 1.1	1,565	9.660	15,118.28	8.17 31 Dec 12	12,786.05	0.00	12,786.05	(2,332.23)	1,001.60

Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
BG GROUP PLC SPON ADR Ticker/CUSIP : BRGY/055434203 Yield 1.48% Sector Energy Conv. Ratio 1:1	1,070	8.455	9,047.13	16.71 31 Dec 12	17,879.70	0.00	17,879.70	8,832.57	265.36
BP PLC SPONS ADR Ticker/CUSIP : BP/055622104 Yield 5.19% Sector : Energy Conv. Ratio 6:1	703	59.431	41,780.26	41.64 31 Dec 12	29,272.92	0.00	29,272.92	(12,507.34)	1,518.48
CANON INC ADR Ticker/CUSIP : CAJ/138006309 Yield 3.6% Sector : Consumer Discretionary Conv. Ratio 1:1	931	34.348	31,978.34	39.21 31 Dec 12	36,504.51	0.00	36,504.51	4,526.17	1,312.71
CARREFOUR SA-SP Ticker/CUSIP : CRRFY/144430204 Yield 1.55% Sector Consumer Staples Conv. Ratio 2:1	5,892	5.649	33,282.51	5.15 31 Dec 12	30,343.80	0.00	30,343.80	(2,938.71)	471.36
DEUTSCHE TELEKOM AG SP ADR Ticker/CUSIP : DTEGY/251566105 Yield 7.48% Sector Telecommunication Services Conv. Ratio 1:1	2,097	16.119	33,802.19	11.362 31 Dec 12	23,826.11	0.00	23,826.11	(9,976.08)	1,782.45
ENI SPA SPONSORED ADR Ticker/CUSIP : E/26874R108 Yield 4.37% Sector Energy Conv. Ratio 2:1	467	41.018	19,155.55	49.14 31 Dec 12	22,948.38	0.00	22,948.38	3,792.83	1,001.72
FRANCE TELECOM SA SPON ADR Ticker/CUSIP : FTE/351770105 Yield 13% Sector : Telecommunication Services Conv. Ratio 1:1	2,170	18.487	40,115.89	11.05 31 Dec 12	23,978.50	0.00	23,978.50	(16,137.39)	3,118.29



Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
GDF SUEZ-EUR Ticker/CUSIP : GDFZY/36160B105 Yield 10.02% Sector : Utilities Conv. Ratio 1 1	471	34.485	16,242.29	21.04 31 Dec 12	9,909.84	0.00	9,909.84	(6,332.45)	992.87
GLAXOSMITHKLINE PLC SP ADR Ticker/CUSIP : GSK/37733W105 Yield 5.33% Sector : Health Care Conv. Ratio 2.1	698	51.529	35,967.20	43.47 31 Dec 12	30,342.06	404.11	30,746.17	(5,625.14)	1,618.66
IBERDROLA Ticker/CUSIP : IBDRY/450737101 Yield 6.04% Sector : Utilities Conv. Ratio 4 1	1,555	22.191	34,506.67	21.32 31 Dec 12	33,152.60	0.00	33,152.60	(1,354.07)	2,001.29
KAO CORP.-JPY Ticker/CUSIP : KCRPY/485537302 Yield 2.56% Sector : Consumer Staples Conv. Ratio 1 1	1,122	23.885	26,799.41	25.97 31 Dec 12	29,138.34	0.00	29,138.34	2,338.93	745.01
KONINKLIJKE AHOLD NV ADR Ticker/CUSIP : AHONY/500467402 Yield 3.21% Sector : Consumer Staples Conv. Ratio 1 1	2,053	13.256	27,214.51	13.60 31 Dec 12	27,920.80	0.00	27,920.80	706.29	895.11
NATIONAL GRID PLC Ticker/CUSIP : NGG/636274300 Yield 5.51% Sector : Utilities Conv. Ratio 5 1	357	50.484	18,022.79	57.44 31 Dec 12	20,506.08	0.00	20,506.08	2,483.29	1,130.26
NOVARTIS AG ADR Ticker/CUSIP : NWS/66987V109 Yield 3.33% Sector : Health Care Conv. Ratio 1 1	742	53.335	39,574.69	63.30 31 Dec 12	46,968.60	0.00	46,968.60	7,393.91	1,562.65

Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency: USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
QBE INSURANCE GROUP-SPN Ticker/CUSIP : QBIEY/747283605 Yield 5.56% Sector : Financials Conv. Ratio 1:1	721	12.140	8,752.73	11.43 31 Dec 12	8,241.03	0.00	8,241.03	(511.70)	458.56
REED ELSEMIER NV Ticker/CUSIP : ENL/758204200 Yield 3.32% Sector : Consumer Discretionary Conv. Ratio 2:1	831	30.750	25,553.16	29.58 31 Dec 12	24,580.98	0.00	24,580.98	(972.18)	815.21
ROYAL DUTCH SHELL PLC ADR Ticker/CUSIP : RDSA/780259206 Yield 4.24% Sector : Energy Conv. Ratio 2:1	301	59.370	17,870.37	68.95 31 Dec 12	20,753.95	0.00	20,753.95	2,883.58	880.12
RWE AG SPONS ADR -USD Ticker/CUSIP : RWE0Y/74975E303 Yield 4.64% Sector : Utilities Conv. Ratio 1:1	811	52.018	42,186.58	41.64 31 Dec 12	33,770.04	0.00	33,770.04	(8,416.54)	1,566.04
SANOFI SPONS ADR Ticker/CUSIP : SNY/80105N105 Yield 3.02% Sector : Health Care Conv. Ratio .5:1	809	31.532	25,509.08	47.38 31 Dec 12	38,330.42	0.00	38,330.42	12,821.34	1,157.68
SEVEN & I HLDGS CO LTD-JPY Ticker/CUSIP : SWNDY/81783H105 Yield 2.54% Sector : Consumer Staples Conv. Ratio 2:1	484	43.750	21,174.98	56.35 31 Dec 12	27,273.40	0.00	27,273.40	6,098.42	693.09
SINGAPORE TELECOMMUNICATIO Ticker/CUSIP : SGAPY/82929R304 Yield 4.53% Sector : Telecommunication Services Conv. Ratio 10:1	791	17.622	13,939.08	27.24 31 Dec 12	21,546.84	0.00	21,546.84	7,607.76	976.89



Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
SOCIETE GENERALE SPON ADR Ticker/CUSIP : SCGLY/83364L109 Sector Financials Conv. Ratio 2:1	1,508	19.364	29,200.55	7.82 31 Dec 12	11,792.56	0.00	11,792.56	(17,407.99)	0.00
TAIWAN SEMICONDUCTOR MFG Ticker/CUSIP : TSM/874039100 Yield 2.32% Sector : Information Technology Conv. Ratio 5:1	1,431	8.797	12,587.92	17.16 31 Dec 12	24,555.96	0.00	24,555.96	11,968.04	569.54
TAKEDA PHARMACEUTICAL CO Ticker/CUSIP : TKPY/874060205 Yield 4.43% Sector Health Care Conv. Ratio 5:1	1,496	23.791	35,591.50	22.20 31 Dec 12	33,211.20	0.00	33,211.20	(2,380.30)	1,472.06
TELEFONICA S A SPON ADR Ticker/CUSIP : TEF/879382208 Yield 12.28% Sector Telecommunication Services Conv. Ratio 1:1	2,033	14.354	29,181.83	13.49 31 Dec 12	27,425.17	0.00	27,425.17	(1,756.66)	3,368.68
TESCO PLC SPONSORED ADR Ticker/CUSIP : TSCDY/881575302 Yield 3.97% Sector Consumer Staples Conv. Ratio 3:1	2,113	19.238	40,649.46	16.58 31 Dec 12	35,033.54	0.00	35,033.54	(5,615.92)	1,392.47
TEVA PHARMACEUTICAL INDS Ticker/CUSIP : TEVA/881624209 Yield 2.15% Sector Health Care Conv. Ratio 1:1	479	46.223	22,140.62	37.34 31 Dec 12	17,885.86	0.00	17,885.86	(4,254.76)	385.12
TOKIO MARINE HLDGS INC Ticker/CUSIP : TKOMY/889094108 Yield 2% Sector Financials Conv. Ratio 1:1	1,173	31.604	37,071.10	27.84 31 Dec 12	32,656.32	0.00	32,656.32	(4,414.78)	653.36

Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
TOKYO ELECTRON LTD-JPY Ticker/CUSIP TOELY/889110102 Yield 1 17% Sector : Information Technology Conv. Ratio 25.1	1,192	12.288	14,647.74	11.39 31 Dec 12	13,576.88	0.00	13,576.88	(1,070.86)	158.54
TOTAL S.A SPONS ADR Ticker/CUSIP TOT/89151E109 Yield 4 82% Sector : Energy Conv. Ratio 1.1	637	59.987	38,211.40	52.01 31 Dec 12	33,130.37	414.33	33,544.70	(5,081.03)	1,596.96
TOYOTA MOTOR CORP ADR NEW Ticker/CUSIP TM/892331307 Yield 1 47% Sector : Industrials Conv. Ratio 2.1	254	89.675	22,777.52	93.25 31 Dec 12	23,685.50	0.00	23,685.50	907.98	349.25
UNILEVER PLC SPONS ADR NEW Ticker/CUSIP UL/904767704 Yield 3 17% Sector : Consumer Staples Conv. Ratio 1.1	967	22.288	21,552.78	38.72 31 Dec 12	37,442.24	0.00	37,442.24	15,889.46	1,185.54
UNITED OVERSEAS BANK LTD Ticker/CUSIP UOVEY/911271302 Yield 2 9% Sector : Financials Conv. Ratio 2.1	614	18.905	11,607.40	32.85 31 Dec 12	20,169.90	0.00	20,169.90	8,562.50	585.14
VINCI S.A. ADR Ticker/CUSIP VCI9Y/927320101 Yield 4 43% Sector : Industrials Conv. Ratio 25.1	2,032	11.841	24,061.00	12.00 31 Dec 12	24,384.00	0.00	24,384.00	323.00	1,078.99
VODAFONE GROUP PLC SPONS Ticker/CUSIP VOD/92857W209 Yield 5 95% Sector : Telecommunication Services Conv. Ratio 10.1	861	21.038	18,113.63	25.19 31 Dec 12	21,688.59	438.49	22,127.08	3,574.96	1,291.50



Delaware Capital Mgmt - International - 15C065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
ZURICH INSURANCE GROUP AG Ticker/CUSIP - ZURYV989825104 Yield 6.81% Sector : Financials Conv Ratio 1:1	914	22.606	20,661.89	26.80 31 Dec 12	24,495.20	0.00	24,495.20	3,833.31	1,667.88
Total Equities			1,001,075.17		1,011,473.55	1,256.93	1,012,730.48	10,398.38	43,846.20
TOTAL INVESTMENT POSITIONS - PRINCIPAL			1,020,110.08		1,030,508.46	1,257.73	1,031,766.19	10,398.38	43,855.72



Delaware Capital Mgmt - International - 150065944768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - INCOME

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L)	1,469.89	1.000	1,469.89	1.00	1,469.89	0.05	1,469.94	0.00	0.73
CLUSIP 9900CST72 Yield 05%									
Total Cash and Short Term Investments			1,469.89		1,469.89	0.05	1,469.94	0.00	0.73
TOTAL INVESTMENT POSITIONS - INCOME									
			1,469.89		1,469.89	0.05	1,469.94	0.00	0.73
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,021,579.97		1,031,978.35	1,257.78	1,033,236.13	10,398.38	43,856.45



Sage Advisory Services - Intermediate Bond - 15C065953768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

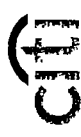
Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 1.38% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield 05%	16,231.81	1.000	16,231.81	1.00	16,231.81	2.39	16,234.20	0.00	8.12
Total Cash and Short Term Investments			16,231.81		16,231.81	2.39	16,234.20	0.00	8.12

FIXED INCOME 98.62% SORTED BY CALL DATE/MATURITY DATE

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds									
UNITED STATES TREAS NTS MAT 31AUG14 Rate .25% Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828TL4 Yield 23%	164,000	100.014	164,022.35	100.027 31 Dec 12	164,044.28	137.04	164,181.32	21.93	410.00
U.S. TREASURY NOTES SER AD-2015 MAT 15SEP15 Rate .25% Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828TP5 Yield 33%	180,000	99.800	179,639.39	99.781 31 Dec 12	179,605.80	131.77	179,737.57	(33.59)	450.00
FEDERAL HOME LOAN MTG CORP MAT 08MAR17 Rate 1% Pay Frqncy Semi Annual S&P AA+ Moody's Aaa CUSIP 3137EADC0 Yield 69%	35,000	99.641	34,874.35	101.275 31 Dec 12	35,446.25	109.86	35,556.11	571.90	350.00



Sage Advisory Services - Intermediate Bond - 15C065953768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds CONTINUED									
U S TREASURY NOTES SER W-2017 MAT 31MAR17 Rate 1%	118,000	101.751	120,066.28	101.789 31 Dec 12	120,111.02	391.16	120,502.18	44.74	1,180.00
Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828SM3 Yield .57%									
U S TREASURY NOTES SERC-2021 MAT 15MAY21 Rate 3.125%	49,000	113.258	55,496.18	113.398 31 Dec 12	55,565.02	198.81	55,763.83	68.84	1,531.25
Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828QN3 Yield 1.42%									
FNMA PL#AB1149 DTD 05/01/2010 MAT 01JUN40 Rate 5%	10,868.7	105.750	11,493.65	109.315 31 Dec 12	11,881.12	45.29	11,926.41	387.47	543.44
Pay Frqncy Monthly CUSIP 31416WH39 Yield 4.41%									
Corporate Bonds									
CSX CORP NOTES MAT 01AUG13 Rate 5.5%	30,000	110.746	33,223.80	102.809 31 Dec 12	30,842.70	687.50	31,530.20	(2,381.10)	1,650.00
Pay Frqncy Semi Annual Call 31JUL13 @ 100 S&P BBB Moody's Baa2 CUSIP 126408GD9 Yield 67%									
CELLCO PART / VERI WIRELESS MAT 01FEB14 Rate 5.55%	20,000	110.355	22,071.00	105.002 31 Dec 12	21,000.40	462.50	21,462.90	(1,070.60)	1,110.00
Pay Frqncy Semi Annual Call 01FEB14 @ 100 S&P A- Moody's A2 CUSIP 92344SAP5 Yield .9%									



Sage Advisory Services - Intermediate Bond - 15C065953768

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



Reference Currency: USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
CATERPILLAR FINANCIAL SE MAT 17FEB14 Rate 6.125% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 14912L4F5 Yield 25%	20,000	110.482	22,096.40	106.615 31 Dec 12	21,323.00	455.97	21,778.97	(773.40)	1,225.00
SAFEWAY INC MAT 15MAR14 Rate 6.25% Pay Frqncy Semi Annual S&P BBB Moodys Baa3 CUSIP 786514BQ1 Yield 1.62%	20,000	110.964	22,192.80	105.501 31 Dec 12	21,100.20	368.06	21,468.26	(1,092.60)	1,250.00
BB&T CORPORATION MAT 30APR14 Rate 5.7% Pay Frqncy Semi Annual S&P A- Moodys A2 CUSIP 05531FAA1 Yield 72%	25,000	110.433	27,608.25	106.58 31 Dec 12	26,645.00	241.46	26,886.46	(963.25)	1,425.00
WELLS FARGO & CO MAT 01JUL15 Rate 1.5% Pay Frqncy Semi Annual S&P A+ Moodys A2 CUSIP 94974BFE5 Yield 8%	25,000	101.740	25,435.00	101.723 31 Dec 12	25,430.75	191.67	25,622.42	(4.25)	375.00
HEWLETT-PACKARD CO MAT 13SEP15 Rate 2.125% Pay Frqncy Semi Annual Call 13SEP15 @ 100 S&P BBB+ Moodys Baa1 CUSIP 428238BC6 Yield 2.15%	35,000	99.887	34,960.45	99.931 31 Dec 12	34,975.85	223.13	35,198.98	15.40	743.75
GOLDMAN SACHS GROUP INC GLOBAL MAT 01OCT16 Rate 5.75% Pay Frqncy Semi Annual S&P A- Moodys A3 CUSIP 38141GER1 Yield 1.92%	25,000	109.450	27,362.50	113.786 31 Dec 12	28,446.50	359.38	28,805.88	1,084.00	1,437.50

00004/00004/01538/H12



Sage Advisory Services - Intermediate Bond - 15C065953768
Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
CONOCOPHILLIPS NOTES-BK/ENTRY MAT 15OCT16 Rate 5.625% Pay Frqncy Semi Annual Call 14OCT16 @ 100 S&P A Moodys A1 CUSIP 20825TAA5 Yield 97%	35,000	98.502	34,475.55	117.266 31 Dec 12	41,043.10	415.63	41,458.73	6,567.55	1,968.75
TIME WARNER CABLE INC MAT 01MAY17 Rate 5.85% Pay Frqncy Semi Annual Call 30APR17 @ 100 S&P BBB Moodys Baa2 CUSIP 88732JAH1 Yield 1.51%	30,000	108.102	32,430.60	118.132 31 Dec 12	35,439.60	292.50	35,732.10	3,009.00	1,755.00
CVS CAREMARK CORP MAT 01JUN17 Rate 5.75% Pay Frqncy Semi Annual Call 31MAY17 @ 100 S&P BBB+ Moodys Baa2 CUSIP 126650BH2 Yield 1.19%	37,000	94.243	34,869.87	119.557 31 Dec 12	44,236.09	177.29	44,413.38	9,366.22	2,127.50
BEAR STEARNS CO INC MAT 02OCT17 Rate 6.4% Pay Frqncy Semi Annual S&P A Moodys A2 CUSIP 073902PR3 Yield 1.95%	20,000	113.896	22,779.20	120.10 31 Dec 12	24,020.00	316.44	24,336.44	1,240.80	1,280.00
BANK OF NOVA SCOTIA MAT 18DEC17 Rate 1.375% Pay Frqncy Semi Annual Call 18NOV17 @ 100 S&P AA- Moodys Aa1 CUSIP 064159BE5 Yield 1.35%	25,000	99.889	24,972.25	100.139 31 Dec 12	25,034.75	12.41	25,047.16	62.50	343.75



Sage Advisory Services - Intermediate Bond - 15C065953768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Corporate Bonds CONTINUED									
METLIFE INC MAT 15FEB19 Rate 7.717% Pay Frqncy Semi Annual Call 15FEB19 @ 100 S&P A- Moody's A3 CUSIP 59156RAT5 Yield 2.25%	20,000	117.082	23,416.40	131.101 31 Dec 12	26,220.20	583.06	26,803.26	2,803.80	1,543.40
BANK OF AMERICA CORP MAT 01JUN19 Rate 7.625% Pay Frqncy Semi Annual Call 01JUN19 @ 100 S&P A- Moody's Baa2 CUSIP 06051GDZ9 Yield 2.83%	20,000	117.306	23,461.20	127.957 31 Dec 12	25,591.40	127.08	25,718.48	2,130.20	1,525.00
GENERAL ELEC CAP CORP MAT 16SEP20 Rate 4.375% Pay Frqncy Semi Annual S&P AA+ Moody's A1 CUSIP 36962G4R2 Yield 2.7%	20,000	97.531	19,506.20	111.605 31 Dec 12	22,321.00	255.21	22,576.21	2,814.80	875.00
HCP INC MAT 01FEB21 Rate 5.375% Pay Frqncy Semi Annual Call 03NOV20 @ 100 S&P BBB+ Moody's Baa1 CUSIP 40414LAD1 Yield 3.4%	25,000	102.885	25,721.30	113.876 31 Dec 12	28,469.00	559.90	29,028.90	2,747.70	1,343.75
SIMON PROPERTY GROUP LP MAT 01MAR21 Rate 4.375% Pay Frqncy Semi Annual Call 01DEC20 @ 100 S&P A- Moody's A3 CUSIP 828807CF2 Yield 2.67%	20,000	103.132	20,626.40	112.457 31 Dec 12	22,491.40	291.67	22,783.07	1,865.00	875.00



Sage Advisory Services - Intermediate Bond - 15C065953768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
TOYOTA MOTOR CREDIT CORP MAT 15SEP21 Rate 3.4% Pay Frqncy Semi Annual S&P AA- Moody's Aa3 CUSIP 89233P5F9 Yield 2.27%	25,000	100.135	25,033.75	108.906 31 Dec 12	27,226.50	250.28	27,476.78	2,192.75	850.00
NOBLE HOLDING INTL LTD MAT 15MAR22 Rate 3.95% Pay Frqncy Semi Annual S&P BBB+ Moody's Baa1 CUSIP 65504LAJ6 Yield 3.29%	22,000	107.364	23,620.04	105.231 31 Dec 12	23,150.82	255.87	23,406.69	(469.22)	869.00
ONEOK PARTNERS LP MAT 01OCT22 Rate 3.375% Pay Frqncy Semi Annual Call 01JUL22 @ 100 S&P BBB Moody's Baa2 CUSIP 68268NAJ2 Yield 3.15%	30,000	100.346	30,103.80	101.904 31 Dec 12	30,571.20	303.75	30,874.95	467.40	1,012.50
Total Fixed Income			1,121,558.96		1,152,232.95	7,844.69	1,160,077.64	30,673.99	30,049.59
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			1,137,790.77		1,168,464.76	7,847.08	1,176,311.84	30,673.99	30,057.71



Sage Advisory Services - Intermediate Bond - 15C065953768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield .05%	46.23	1.000	46.23	1.00	46.23	0.05	46.28	0.00	0.02
Total Cash and Short Term Investments			46.23		46.23	0.05	46.28	0.00	0.02
TOTAL INVESTMENT POSITIONS - INCOME									
			46.23		46.23	0.05	46.28	0.00	0.02
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,137,837.00		1,168,510.99	7,847.13	1,176,358.12	30,673.99	30,057.73



Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 2.41% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	(3,166 81)	0 000	(3,166 81)	0 00	(3,166 81)	0 00	(3,166 81)	0 00	0 00
Short Term Investments									
CTIBANK M D A (L) CUSIP 9900CST72 Yield .05%	33,534 35	1 000	33,534.35	1 00	33,534 35	1.69	33,536.04	0 00	16 77
Total Cash and Short Term Investments			30,367.54		30,367.54	1.69	30,369.23	0.00	16.77

EQUITIES 97.59% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
A O SMITH CORP Ticker/CUSIP : AOS/831865209 Yield 1 27% Sector : Utilities	264	55 727	14,711.97	63 07 31 Dec 12	16,650 48	0 00	16,650 48	1,938 51	211 20
ACME PACKET INC Ticker/CUSIP : APKT/004764106 Sector Information Technology	831	27 314	22,697 86	22.12 31 Dec 12	18,381 72	0 00	18,381 72	(4,316 14)	0 00
ACTUANT CORP CLASS A Ticker/CUSIP : ATU/00508X203 Yield 14% Sector Consumer Discretionary	317	23.535	7,460.75	27.91 31 Dec 12	8,847 47	0 00	8,847 47	1,386 72	12 68
AIRGAS INC Ticker/CUSIP : ARG/009363102 Yield 1 75% Sector : Industrials	147	62 851	9,239 07	91 29 31 Dec 12	13,419 63	0 00	13,419 63	4,180 56	235 20
ARCH CAPITAL GROUP LTD Ticker/CUSIP : ACGL/G0450A105 Sector : Financials	502	37 776	18,963.62	44 02 31 Dec 12	22,098.04	0.00	22,098 04	3,134 42	0 00



Penn Capital - Small/Mid Cap Stocks - 150065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
BALLY TECHNOLOGIES INC Ticker/CUSIP : BY058748107 Sector : Information Technology	301	10 584	3,185.84	44.71 31 Dec 12	13,457.71	0.00	13,457.71	10,271.87	0.00
BELO CORP CL A DELAWARE Ticker/CUSIP : BLC080555105 Yield 4.17% Sector : Telecommunication Services	1,685	7 435	12,527.72	7.67 31 Dec 12	12,923.95	0.00	12,923.95	396.23	539.20
BUFFALO WILD WINGS INC Ticker/CUSIP : BWLD119848109 Sector : Consumer Discretionary	229	78 649	18,010.68	72.82 31 Dec 12	16,675.78	0.00	16,675.78	(1,334.90)	0.00
CABOT OIL & GAS CORP Ticker/CUSIP : COG127097103 Yield .16% Sector : Energy	375	32 685	12,256.85	49.74 31 Dec 12	18,652.50	0.00	18,652.50	6,395.65	30.00
CADENCE DESIGN SYSTEMS INC Ticker/CUSIP : CDNS127387108 Sector : Information Technology	1,397	12 509	17,474.48	13.51 31 Dec 12	18,873.47	0.00	18,873.47	1,398.99	0.00
CAVUM INC Ticker/CUSIP : CAVM14964U108 Sector : Information Technology	561	31 407	17,619.29	31.21 31 Dec 12	17,508.81	0.00	17,508.81	(110.48)	0.00
CELANESE CORPORATION SER A Ticker/CUSIP : CE150870103 Yield .67% Sector : Materials	301	40 529	12,199.36	44.53 31 Dec 12	13,403.53	0.00	13,403.53	1,204.17	90.30
CENTENE CORP DEL Ticker/CUSIP : CNC15135B101 Sector : Health Care	485	39.895	19,349.27	41.00 31 Dec 12	19,885.00	0.00	19,885.00	535.73	0.00
CHART INDUSTRIES INC Ticker/CUSIP : GTLS161150308 Sector : Industrials	307	65.007	19,957.01	66.6891 31 Dec 12	20,473.55	0.00	20,473.55	516.54	0.00



Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
CHEMTURA CORP Ticker/CUSIP : CHMT/163893209 Sector Health Care	948	17.006	16,121.43	21.26 31 Dec 12	20,154.48	0.00	20,154.48	4,033.05	0.00
CHICOS FAS INC Ticker/CUSIP : CHS/168615102 Yield 1 14% Sector Consumer Discretionary	1,179	14.689	17,318.47	18.46 31 Dec 12	21,764.34	0.00	21,764.34	4,445.87	247.59
CINEMARK HOLDINGS INC Ticker/CUSIP : CNK/17243V102 Yield 3 23% Sector Consumer Discretionary	608	20.579	12,511.87	25.98 31 Dec 12	15,795.84	0.00	15,795.84	3,283.97	510.72
COMERICA INC Ticker/CUSIP : CMA/200340107 Yield 1.98% Sector Financials	697	24.611	17,154.09	30.34 31 Dec 12	21,146.98	104.55	21,251.53	3,992.89	418.20
CONSTELLATION BRANDS INC CL A Ticker/CUSIP : STZ/21036P108 Sector Consumer Staples	401	28.754	11,530.24	35.39 31 Dec 12	14,191.39	0.00	14,191.39	2,661.15	0.00
CROWN HOLDINGS INC Ticker/CUSIP : CCK/228368106 Sector Materials	411	36.475	14,991.41	36.81 31 Dec 12	15,128.91	0.00	15,128.91	137.50	0.00
DSW INC CL A Ticker/CUSIP : DSW/23334L102 Yield 1 1% Sector Consumer Discretionary	252	60.907	15,348.60	65.69 31 Dec 12	16,553.88	0.00	16,553.88	1,205.28	181.44
ESTERLINE TECHNOLOGIES CORP Ticker/CUSIP : ESL/297425100 Sector Health Care	277	65.787	18,222.88	63.61 31 Dec 12	17,619.97	0.00	17,619.97	(602.91)	0.00
FIRST HORIZON NATL CORP Ticker/CUSIP : FHN/320517105 Yield 4% Sector Financials	1,641	9.504	15,595.54	9.91 31 Dec 12	16,262.31	16.41	16,278.72	666.77	65.64

Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
FLOWERVE CORP Ticker/CUSIP : FLS/34354P105 Yield .98% Sector : Industrials	141	110.723	15,611.94	146.80 31 Dec 12	20,698.80	50.76	20,749.56	5,086.86	203.04
FREESCALE SEMICONDUCTOR LT Ticker/CUSIP : FSL/G3727Q101 Sector : Information Technology	982	9.425	9,255.76	11.01 31 Dec 12	10,811.82	0.00	10,811.82	1,556.06	0.00
GULFPORT ENERGY CORP NEW Ticker/CUSIP : GPO/402635304 Sector : Energy	416	38.490	16,011.93	38.22 31 Dec 12	15,899.52	0.00	15,899.52	(112.41)	0.00
HARRY WINSTON DIAMOND CORP Ticker/CUSIP : HWD/41587B100 Sector : Materials	1,339	13.687	18,326.47	14.07 31 Dec 12	18,839.73	0.00	18,839.73	513.26	0.00
HERCULES OFFSHORE INC Ticker/CUSIP : HERO/427093109 Sector : Energy	4,104	4.226	17,344.33	6.17 31 Dec 12	25,321.68	0.00	25,321.68	7,977.35	0.00
ICON PLC SPONSORED ADR Ticker/CUSIP : ICLR/45103T107 Sector : Health Care Conv. Ratio 1.1	604	21.384	12,915.70	27.76 31 Dec 12	16,767.04	0.00	16,767.04	3,851.34	0.00
IDEX CORPORATION Ticker/CUSIP : IEX/45167R104 Yield 1.72% Sector : Industrials	372	42.624	15,856.18	46.53 31 Dec 12	17,309.16	0.00	17,309.16	1,452.98	297.60
JDS UNIPHASE CORP NEW Ticker/CUSIP : JDSU/46612J507 Sector : Information Technology	1,587	10.413	16,526.06	13.50 31 Dec 12	21,424.50	0.00	21,424.50	4,898.44	0.00
KANSAS CITY SOUTHERN INDS NEW Ticker/CUSIP : KSU/485170302 Yield .93% Sector : Industrials	271	34.807	9,432.81	83.48 31 Dec 12	22,623.08	0.00	22,623.08	13,190.27	211.38



Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
KBR INC Ticker/CUSIP KBR/48242W106 Yield 1.07% Sector . Industrials	693	30.498	21,135.28	29.92 31 Dec 12	20,734.56	0.00	20,734.56	(400.72)	221.76
KEY ENERGY SVCS INC Ticker/CUSIP KEG/492914106 Sector . Energy	1,857	8.614	15,995.90	6.95 31 Dec 12	12,906.15	0.00	12,906.15	(3,089.75)	0.00
KIRBY CORP Ticker/CUSIP KEX/497266106 Sector . Industrials	272	55.197	15,013.63	61.89 31 Dec 12	16,834.08	0.00	16,834.08	1,820.45	0.00
LASALLE HOTEL PPTYS SBI Ticker/CUSIP : LHO/517942108 Yield 8.03% Sector . Financials	650	27.969	18,179.90	25.39 31 Dec 12	16,503.50	130.00	16,633.50	(1,676.40)	1,326.00
LEAR CORP Ticker/CUSIP LEA/521865204 Yield 1.2% Sector . Industrials	338	47.539	16,068.11	46.84 31 Dec 12	15,831.92	0.00	15,831.92	(236.19)	189.28
LEGG MASON INC Ticker/CUSIP : LM/524901105 Yield 1.71% Sector . Financials	569	32.783	18,653.52	25.72 31 Dec 12	14,634.68	0.00	14,634.68	(4,018.84)	250.36
MADISON SQUARE GARDEN CO-A Ticker/CUSIP MSG/55826P100 Sector . Consumer Discretionary	278	44.730	12,435.06	44.35 31 Dec 12	12,329.30	0.00	12,329.30	(105.76)	0.00
MGM RESORTS INTERNATIONAL Ticker/CUSIP : MGM/552953101 Sector . Consumer Discretionary	1,085	11.511	12,489.77	11.64 31 Dec 12	12,629.40	0.00	12,629.40	139.63	0.00
MYRIAD GENETICS INC Ticker/CUSIP : MYGN/628551104 Sector . Health Care	585	18.002	10,531.29	27.25 31 Dec 12	15,941.25	0.00	15,941.25	5,409.96	0.00

Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
NISOURCE INC Ticker/CUSIP : NV65473P105 Yield 3.86% Sector : Utilities	461	21.644	9,977.70	24.89 31 Dec 12	11,474.29	0.00	11,474.29	1,496.59	442.56
OWENS CORNING INC Ticker/CUSIP : OC/690742101 Sector : Energy	537	32.399	17,398.46	36.99 31 Dec 12	19,863.63	0.00	19,863.63	2,465.17	0.00
PENN NATIONAL GAMING INC Ticker/CUSIP : PENN707569109 Sector : Consumer Discretionary	302	34.751	10,494.72	49.11 31 Dec 12	14,831.22	0.00	14,831.22	4,336.50	0.00
PENSKE AUTOMOTIVE GROUP INC Ticker/CUSIP : PAG70959W103 Yield 1.73% Sector : Consumer Discretionary	542	27.768	15,050.49	30.09 31 Dec 12	16,308.78	0.00	16,308.78	1,258.29	281.84
QLIK TECHNOLOGIES INC Ticker/CUSIP : QLIK74733T105 Sector : Information Technology	1,088	21.988	23,923.27	21.72 31 Dec 12	23,631.36	0.00	23,631.36	(291.91)	0.00
REGAL-BELOIT CORP Ticker/CUSIP : RBC/758750103 Yield 1.08% Sector : Utilities	248	67.113	16,643.96	70.47 31 Dec 12	17,476.56	47.12	17,523.68	832.60	188.48
RF MICRO DEVICES INC Ticker/CUSIP : RFMD/749941100 Sector : Information Technology	4,775	5.014	23,941.83	4.48 31 Dec 12	21,392.00	0.00	21,392.00	(2,549.83)	0.00
RIVERBED TECHNOLOGY INC Ticker/CUSIP : RVBD/768573107 Sector : Information Technology	996	20.878	20,794.68	19.72 31 Dec 12	19,641.12	0.00	19,641.12	(1,153.56)	0.00
ROWAN COMPANIES LTD Ticker/CUSIP : RDC/67665A101 Sector : Energy	592	34.789	20,595.35	31.27 31 Dec 12	18,511.84	0.00	18,511.84	(2,083.51)	0.00



Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
RYMAN HOSPITALITY PROPERTIES Ticker/CUSIP : RHP/783771107 Sector : Other	423	22.547	9,537.55	38.46 31 Dec 12	16,268.58	0.00	16,268.58	6,731.03	0.00
SBA COMMUNICATIONS CORP Ticker/CUSIP : SBAC/78388J106 Sector : Telecommunication Services	395	15.023	5,934.04	70.98 31 Dec 12	28,037.10	0.00	28,037.10	22,103.06	0.00
SINCLAIR BROADCAST GROUP INC Ticker/CUSIP : SBGI/829226109 Yield 4.75% Sector : Telecommunication Services	1,740	11.800	20,532.42	12.62 31 Dec 12	21,958.80	0.00	21,958.80	1,426.38	1,044.00
SL GREEN REALTY CORP Ticker/CUSIP : SLG/78440X101 Yield 4.11% Sector : Financials	78	63.673	4,966.53	76.65 31 Dec 12	5,978.70	25.74	6,004.44	1,012.17	245.70
TANGER FACTORY OUTLET CTRES INC Ticker/CUSIP : SKT/875465106 Yield 4.44% Sector : Financials	536	33.314	17,856.47	34.20 31 Dec 12	18,331.20	0.00	18,331.20	474.73	814.72
TEREX CORP NEW Ticker/CUSIP : TEX/880779103 Sector : Industrials	627	23.971	15,029.69	28.11 31 Dec 12	17,624.97	0.00	17,624.97	2,595.28	0.00
TIMKEN CO Ticker/CUSIP : TKR/887389104 Yield 1.92% Sector : Industrials	355	41.955	14,893.89	47.83 31 Dec 12	16,979.65	0.00	16,979.65	2,085.76	326.60
TRINITY INDUSTRIES INC Ticker/CUSIP : TRN/896522109 Yield 1.23% Sector : Industrials	483	25.544	12,337.59	35.82 31 Dec 12	17,301.06	0.00	17,301.06	4,963.47	212.52
TRIQUINT SEMICONDUCTOR INC Ticker/CUSIP : TQNT/89674K103 Sector : Information Technology	2,640	5.701	15,051.50	4.83 31 Dec 12	12,751.20	0.00	12,751.20	(2,300.30)	0.00



Reference Currency USD

Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
TRIUMPH GROUP INC NEW Ticker/CUSIP : TGI/896818101 Yield 25% Sector : Industrials	285	60.894	17,354.69	65.30 31 Dec 12	18,610.50	0.00	18,610.50	1,255.81	45.60
ULTRA PETROLEUM CORP-CAD Ticker/CUSIP : UPL/903914109 Sector : Energy	531	23.752	12,612.19	18.13 31 Dec 12	9,627.03	0.00	9,627.03	(2,985.16)	0.00
USG CORPORATION NEW Ticker/CUSIP : USG/903293405 Sector : Materials	839	21.313	17,881.89	28.07 31 Dec 12	23,550.73	0.00	23,550.73	5,668.84	0.00
VALUECLICK INC Ticker/CUSIP : VCLK/92046N102 Sector : Consumer Discretionary	224	10.151	2,273.89	19.41 31 Dec 12	4,347.84	0.00	4,347.84	2,073.95	0.00
VARIAN MEDICAL SYSTEMS INC Ticker/CUSIP : VAR/92220P105 Sector : Health Care	220	40.083	8,818.19	70.24 31 Dec 12	15,452.80	0.00	15,452.80	6,634.61	0.00
VERA BRADLEY INC Ticker/CUSIP : VRA/92335C106 Sector : Consumer Discretionary	649	27.622	17,926.67	25.10 31 Dec 12	16,289.90	0.00	16,289.90	(1,636.77)	0.00
WARNER CHILCOTT PLC ORD Ticker/CUSIP : WCRX/G94368100 Sector : Health Care	1,165	16.221	18,897.35	12.04 31 Dec 12	14,026.60	0.00	14,026.60	(4,870.75)	0.00
WHITING PETROLEUM CORP NEW Ticker/CUSIP : WLL/966387102 Sector : Energy	423	45.632	19,302.13	43.37 31 Dec 12	18,345.51	0.00	18,345.51	(956.62)	0.00
WMS INDUSTRIES INC Ticker/CUSIP : WMS/929297109 Sector : Consumer Discretionary	711	21.379	15,200.33	17.50 31 Dec 12	12,442.50	0.00	12,442.50	(2,757.83)	0.00



Penn Capital - Small/Mid Cap Stocks - 15C065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
ZIONS BANCORP Ticker/CUSIP : ZION/989701107 Yield 19% Sector : Financials	1,095	16 715	18,302 91	21.40 31 Dec 12	23,433 00	0 00	23,433 00	5,130.09	43.80
Mutual Funds									
ISHARES RUSSELL 2000 INDEX FD Ticker/CUSIP : IWM/464287655 Yield 2% Sector : Financials	326	70.691	23,045 31	84 3178 31 Dec 12	27,487.60	0.00	27,487.60	4,442 29	549 96
ISHARES TR RUSSELL MIDCAP Ticker/CUSIP : IWR/464287499 Yield 1.81% Sector : Financials	242	96 205	23,281.49	113.10 31 Dec 12	27,370 20	0 00	27,370 20	4,088.71	496 58
Total Equities			1,086,089.12		1,227,256.18	374.58	1,227,630.76	141,167.06	9,933.95
TOTAL INVESTMENT POSITIONS - PRINCIPAL			1,116,456.66		1,257,623.72	376.27	1,257,999.99	141,167.06	9,950.72



Penn Capital - Small/Mid Cap Stocks - 150065962768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Short Term Investments									
CITIBANK M.D.A. (L)	3,207.54	1.000	3,207.54	1.00	3,207.54	0.06	3,207.60	0.00	1.60
CUSIP 9900CST72 Yield .05%				—					
Total Cash and Short Term Investments			3,207.54		3,207.54	0.06	3,207.60	0.00	1.60
TOTAL INVESTMENT POSITIONS - INCOME									
			3,207.54		3,207.54	0.06	3,207.60	0.00	1.60
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,119,664.20		1,260,831.26	376.33	1,261,207.59	141,167.06	9,952.32



Trust Account - 15C065971768

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 2.95% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield .05%	264,147.39	1.000	264,147.39	1.00	264,147.39	11.25	264,158.64	0.00	132.07
Total Cash and Short Term Investments			264,147.39		264,147.39	11.25	264,158.64	0.00	132.07

EQUITIES 97.05% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
3M COMPANY Ticker/CUSIP : MMM/88579Y101 Yield 2.54% Sector : Health Care	4,000	52.687	210,748.25	92.85 31 Dec 12	371,400.00	0.00	371,400.00	160,651.75	9,440.00
ACCENTURE PLC Ticker/CUSIP : ACN/G1151C101 Yield 2.44% Sector : Consumer Discretionary	10,000	41.060	410,600.00	66.50 31 Dec 12	665,000.00	0.00	665,000.00	254,400.00	16,200.00
AMERICAN INTL GROUP INC Ticker/CUSIP : AIG/026874784 Sector : Financials	11,000	34.242	376,662.90	35.30 31 Dec 12	388,300.00	0.00	388,300.00	11,637.10	0.00
ANHEUSER-BUSCH INBEV SPONS Ticker/CUSIP : BUD/03524A108 Yield 1.48% Sector : Consumer Staples Conv. Ratio 1:1	5,200	57.690	299,985.92	87.41 31 Dec 12	454,532.00	0.00	454,532.00	154,546.08	6,723.60
AON PLC Ticker/CUSIP : AON/G0408V102 Yield 1.13% Sector : Financials	4,000	49.048	196,190.00	55.61 31 Dec 12	222,440.00	0.00	222,440.00	26,250.00	2,520.00

Trust Account - 15C065971768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
APPLE INC Ticker/CUSIP AAPL/037833100 Yield 1.99% Sector Information Technology	670	446.115	298,897.32	532.173 31 Dec 12	356,555.91	0.00	356,555.91	57,658.59	7,102.00
BROOKFIELD ASSET MGMT INC Ticker/CUSIP BAM/112585104 Yield 1.53% Sector Financials	15,000	20.560	308,400.00	36.65 31 Dec 12	549,750.00	0.00	549,750.00	241,350.00	8,400.00
DENTSPLY INTL INC Ticker/CUSIP XRAY/249030107 Yield .56% Sector Health Care	8,000	35.963	287,700.00	39.61 31 Dec 12	316,880.00	440.00	317,320.00	29,180.00	1,760.00
EDU PONT DE NEMOURS & CO Ticker/CUSIP DD/263534109 Yield 3.82% Sector Materials	5,000	44.096	220,480.00	44.9785 31 Dec 12	224,892.50	0.00	224,892.50	4,412.50	8,600.00
FRANKLIN RESOURCES INC Ticker/CUSIP BEN/354613101 Yield .92% Sector Financials	2,000	71.445	142,890.40	125.70 31 Dec 12	251,400.00	0.00	251,400.00	108,509.60	2,320.00
INTL BUSINESS MACHINES CORP Ticker/CUSIP IBM/459200101 Yield 1.78% Sector Information Technology	2,000	114.829	229,658.30	191.55 31 Dec 12	383,100.00	0.00	383,100.00	153,441.70	6,800.00
LABORATORY CORP AMER HLDGS NEW Ticker/CUSIP LH/50540R409 Sector Health Care	4,600	64.966	298,844.37	86.62 31 Dec 12	398,452.00	0.00	398,452.00	99,607.63	0.00
MCDONALDS CORP Ticker/CUSIP MCD/580135101 Yield 3.49% Sector Consumer Discretionary	4,375	59.275	259,326.37	88.21 31 Dec 12	385,918.75	0.00	385,918.75	126,592.38	13,475.00
NESTLE S A SPONSORED ADR Ticker/CUSIP NSRGY/641069406 Yield 2.72% Sector Consumer Staples Conv. Ratio 1:1	8,000	37.360	298,880.00	65.17 31 Dec 12	521,360.00	0.00	521,360.00	222,480.00	14,176.00



Trust Account - 15C065971768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
ONEX CORP Ticker/CUSIP : ONEXF68272K103 Yield 26% Sector : Consumer Discretionary	12,000	24.335	292,015.20	42.27 31 Dec 12	507,240.00	0.00	507,240.00	215,224.80	1,320.00
PHILIP MORRIS INTL INC Ticker/CUSIP : PMW718172109 Yield 4.07% Sector : Consumer Staples	6,000	0.000	0.00	83.84 31 Dec 12	501,840.00	5,100.00	506,940.00	501,840.00	20,400.00
PRAXAIR INC Ticker/CUSIP : PXW74005P104 Yield 2.01% Sector : Materials	4,000	69.194	276,777.60	109.45 31 Dec 12	437,800.00	0.00	437,800.00	161,022.40	8,800.00
RANGE RESOURCES CORP Ticker/CUSIP : RRC75281A109 Yield 25% Sector : Energy	5,550	25.946	143,998.45	62.83 31 Dec 12	348,706.50	0.00	348,706.50	204,708.05	888.00
UNITED TECHNOLOGIES CORP Ticker/CUSIP : UTX913017109 Yield 2.61% Sector : Industrials	5,500	63.982	351,790.00	82.01 31 Dec 12	451,055.00	0.00	451,055.00	99,265.00	11,770.00
US BANCORP DEL NEW Ticker/CUSIP : USB902973304 Yield 2.44% Sector : Financials	12,000	16.814	201,769.20	31.94 31 Dec 12	383,280.00	2,340.00	385,620.00	181,510.80	9,360.00
WELLS FARGO & CO NEW Ticker/CUSIP : WFC949746101 Yield 2.57% Sector : Financials	10,000	32.304	323,040.00	34.18 31 Dec 12	341,800.00	0.00	341,800.00	18,760.00	8,800.00
WILLIAMS COS INC Ticker/CUSIP : WMB969457100 Yield 3.97% Sector : Utilities	6,500	33.836	219,932.70	32.74 31 Dec 12	212,810.00	0.00	212,810.00	(7,122.70)	8,450.00
Total Equities			5,648,586.98		8,674,512.66	7,880.00	8,682,392.66	3,025,925.68	167,304.60

Trust Account - 15C065971768
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

	Total Cost	Market Value	Accrued Interest or Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
TOTAL INVESTMENT POSITIONS - PRINCIPAL	5,912,734.37	8,938,660.05	7,891.25	8,946,551.30	3,025,925.68	167,436.67



Trust Account - 15C065971768

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L)	9,828.45	1.000	9,828.45	1.00	9,828.45	0.29	9,828.74	0.00	4.91
CUSIP 9900CS172 Yield 05%									
Total Cash and Short Term Investments			9,828.45		9,828.45	0.29	9,828.74	0.00	4.91
TOTAL INVESTMENT POSITIONS - INCOME									
			9,828.45		9,828.45	0.29	9,828.74	0.00	4.91
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			5,922,562.82		8,948,488.50	7,891.54	8,956,380.04	3,025,925.68	167,441.58



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 2.33% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield .05%	38,068.77	1.000	38,068.77	1.00	38,068.77	2.38	38,071.15	0.00	19.03
Total Cash and Short Term Investments			38,068.77		38,068.77	2.38	38,071.15	0.00	19.03

EQUITIES 97.67% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ACCENTURE PLC Ticker/CUSIP ACN/G1151C101 Yield 2.44% Sector Consumer Discretionary	315	58.249	18,348.41	66.50 31 Dec 12	20,947.50	0.00	20,947.50	2,599.09	510.30
ADIDAS AG SPON ADR Ticker/CUSIP ADDY/00687A107 Yield 1.02% Sector Consumer Discretionary Conv Ratio 5:1	697	36.693	25,574.94	44.80 31 Dec 12	31,225.60	0.00	31,225.60	5,650.66	317.83
AIA GROUP LTD Ticker/CUSIP AAGY/001317205 Yield .98% Sector Financials Conv Ratio 4:1	2,057	15.034	30,925.39	15.83 31 Dec 12	32,562.31	0.00	32,562.31	1,636.92	318.84
ARM HOLDINGS PLC ADR Ticker/CUSIP ARMH/042068106 Yield .42% Sector Information Technology Conv Ratio 3:1	552	9.238	5,099.38	37.83 31 Dec 12	20,882.16	0.00	20,882.16	15,782.78	86.66

Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
ASML HOLDING N V NY REG SHS Ticker/CUSIP : ASML/N07059210 Yield 1.05% Sector : Other	258	66.998	17,285.44	64.39 31 Dec 12	16,612.62	0.00	16,612.62	(672.82)	173.63
ASSA ABL OY AB-SEK Ticker/CUSIP : ASAZY/045387107 Yield 1.36% Sector : Consumer Discretionary Conv. Ratio .51	1,776	13.510	23,992.95	18.51 31 Dec 12	32,873.76	0.00	32,873.76	8,880.81	447.55
BAIDU INC SPON ADR RPTNG Ticker/CUSIP : BIDU/056752108 Sector : Other	168	121.443	20,402.48	100.29 31 Dec 12	16,848.72	0.00	16,848.72	(3,553.76)	0.00
BG GROUP PLC SPON ADR Ticker/CUSIP : BRGYV/055434203 Yield 1.48% Sector : Energy Conv. Ratio 1.1	1,169	19.274	22,531.51	16.71 31 Dec 12	19,533.99	0.00	19,533.99	(2,997.52)	289.91
BURBERRY GROUP PLC Ticker/CUSIP : BURBY/12082W204 Yield 1.9% Sector : Consumer Discretionary Conv. Ratio 2:1	359	39.023	14,009.09	40.78 31 Dec 12	14,640.02	0.00	14,640.02	630.93	278.58
CANADIAN NATL RAILWAY CO Ticker/CUSIP : CNI/136375102 Yield 1.66% Sector : Industrials	352	53.069	18,680.29	91.01 31 Dec 12	32,035.52	0.00	32,035.52	13,355.23	531.52
CARNIVAL CORP Ticker/CUSIP : CCL/143658300 Yield 2.72% Sector : Industrials	1,019	33.705	34,345.43	36.77 31 Dec 12	37,468.63	0.00	37,468.63	3,123.20	1,019.00
CHECK POINT SOFTWARE TECH Ticker/CUSIP : CHKP/M22465104 Sector : Information Technology	423	55.461	23,460.02	47.64 31 Dec 12	20,151.72	0.00	20,151.72	(3,308.30)	0.00



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
CNOOC LTD SPONS ADR Ticker/CUSIP : CEO/126132109 Yield 2.27% Sector : Energy Conv. Ratio 100:1	132	155.538	20,530.98	220.00 31 Dec 12	29,040.00	0.00	29,040.00	8,509.02	658.55
COMPASS GROUP PLC ADR Ticker/CUSIP : CMPGY/20449X203 Yield 2.35% Sector : Consumer Discretionary Conv. Ratio 1:1	1,355	11.811	16,004.07	11.98 31 Dec 12	16,232.90	0.00	16,232.90	228.83	380.76
DASSAULT SYSTEMS SA ADR Ticker/CUSIP : DASTY/237545108 Yield 5.4% Sector : Information Technology Conv. Ratio 1:1	185	60.850	11,257.25	113.09 31 Dec 12	20,921.65	0.00	20,921.65	9,664.40	112.85
DEUTSCHE BANK-EUR Ticker/CUSIP : DB/D18190898 Yield 2.09% Sector : Financials	364	43.437	15,811.05	44.29 31 Dec 12	16,121.56	0.00	16,121.56	310.51	336.34
ELEKTA AB ADR Ticker/CUSIP : EKTAY/28617Y101 Yield 7.5% Sector : Health Care Conv. Ratio 1:1	1,056	15.443	16,307.95	15.96 31 Dec 12	16,853.76	0.00	16,853.76	545.81	126.72
EMBRAER S A-BRL Ticker/CUSIP : ERJ/29082A107 Sector : Industrials Conv. Ratio 4:1	1,097	22.490	24,671.24	28.51 31 Dec 12	31,275.47	89.70	31,365.17	6,604.23	0.00
FANUC CORP Ticker/CUSIP : FANUY/307305102 Yield 1.1% Sector : Industrials Conv. Ratio .1667:1	990	16.647	16,480.20	31.07 31 Dec 12	30,759.30	0.00	30,759.30	14,279.10	338.58



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
FLSMITH & CO A/S UNSPON Ticker/CUSIP : FUDY/343793105 Yield 3.76% Sector : Industrials Conv Ratio 1 1	2,485	7 378	18,333 43	5 77 31 Dec 12	14,338 45	0.00	14,338 45	(3,994 98)	539 25
FRESENIUS MEDICAL CARE Ticker/CUSIP : FMS/358029106 Yield 91% Sector : Health Care Conv Ratio 5 1	964	26 636	25,677 11	34 30 31 Dec 12	33,065 20	0 00	33,065 20	7,388 09	301 73
HANG LUNG PROPERTIES LTD Ticker/CUSIP : HLPY/41043M104 Yield 3.16% Sector : Financials Conv Ratio 5 1	1,120	18 662	20,901 10	20 15 31 Dec 12	22,568 00	0 00	22,568 00	1,666 90	712 32
HENNES & MAURITZ AB ADR Ticker/CUSIP : HNNM/425883105 Yield 3% Sector : Consumer Discretionary Conv Ratio 2:1	5,384	5 685	30,608 04	6 91 31 Dec 12	37,203 44	0.00	37,203 44	6,595 40	1,114 49
HONG KONG EXCHANGES AND Ticker/CUSIP : HXC/43858F109 Yield 2 67% Sector : Financials Conv Ratio 1 1	1,164	18 790	21,871 56	17 26 31 Dec 12	20,090 64	0.00	20,090 64	(1,780 92)	535 44
HSBC HLDG PLC SP ADR NEW Ticker/CUSIP : HBC/404280406 Yield 4 71% Sector : Financials Conv Ratio 5:1	626	45 565	28,523 80	53 07 31 Dec 12	33,221 82	0 00	33,221 82	4,698 02	1,565 00
INDUSTRIAL & COML BK Ticker/CUSIP : IDC/455807107 Yield 3 64% Sector : Financials Conv Ratio 20:1	1,873	14 867	27,846 36	14 48 31 Dec 12	27,121 04	0 00	27,121 04	(725 32)	987 07



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
ITALI UNIBANCO BANCO HLDG Ticker/CUSIP : ITUB/465562106 Yield 54% Sector : Financials Conv Ratio 1 1	2,122	19.683	41,768.25	16.46 31 Dec 12	34,928.12	15.70	34,943.82	(6,840.13)	188.86
KDDI CORP-JPY Ticker/CUSIP : KDDY/486671106 Yield 2.47% Sector : Telecommunication Services Conv Ratio 25 1	970	17.137	16,622.82	17.62 31 Dec 12	17,091.40	0.00	17,091.40	468.58	422.92
KINGFISHER PLC Ticker/CUSIP : KGFHY/495724403 Yield 2.87% Sector : Consumer Discretionary Conv Ratio 2 1	4,247	7.337	31,161.67	9.24 31 Dec 12	39,242.28	0.00	39,242.28	8,080.61	1,125.46
KOMATSU LTD ADR NEW Ticker/CUSIP : KMTUY/500458401 Yield 1.81% Sector : Industrials Conv Ratio 1 1	1,503	22.168	33,318.62	25.71 31 Dec 12	38,642.13	0.00	38,642.13	5,323.51	698.90
LAS VEGAS SANDS CORP Ticker/CUSIP : LVS/517834107 Yield 2.17% Sector : Consumer Discretionary	574	44.485	25,534.18	46.16 31 Dec 12	26,495.84	0.00	26,495.84	961.66	574.00
LI & FUNG LTD-HKD Ticker/CUSIP : LFUGY/501897102 Yield 2.82% Sector : Consumer Discretionary Conv Ratio 2 1	6,124	3.622	22,178.45	3.58 31 Dec 12	21,923.92	0.00	21,923.92	(254.53)	618.52
LVMH MOET HENNESSY LOUIS Ticker/CUSIP : LVMUY/502441306 Yield 1.48% Sector : Consumer Staples Conv Ratio 2 1	1,284	22.890	29,390.76	37.69 31 Dec 12	48,393.96	0.00	48,393.96	19,003.20	713.90

Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
MERCADOLIBRE INC Ticker/CUSIP : MELJ/58733R102 Yield 56% Sector Consumer Discretionary	257	64.348	16,537.48	78.55 31 Dec 12	20,187.35	28.01	20,215.36	3,649.87	112.05
MICHELIN CGDE UNSPON ADR Ticker/CUSIP : MGDY/59410T106 Yield 2.04% Sector Consumer Discretionary Conv. Ratio 2:1	1,288	14.267	18,376.28	19.19 31 Dec 12	24,716.72	0.00	24,716.72	6,340.44	504.90
MITSUBISHI UFJ FINANCIAL Ticker/CUSIP : MTUJ/606822104 Yield 2.47% Sector Financials Conv. Ratio 1:1	4,718	5.469	25,804.77	5.42 31 Dec 12	25,571.56	0.00	25,571.56	(233.21)	632.21
NESTLE S A SPONSORED ADR Ticker/CUSIP : NSRGV/641069406 Yield 2.72% Sector Consumer Staples Conv. Ratio 1:1	505	47.850	24,164.25	65.17 31 Dec 12	32,910.85	0.00	32,910.85	8,746.60	894.86
NOVARTIS AG ADR Ticker/CUSIP : NWS/66987V109 Yield 3.33% Sector Health Care Conv. Ratio 1:1	555	56.208	31,195.49	63.30 31 Dec 12	35,131.50	0.00	35,131.50	3,936.01	1,168.83
NOVO-NORDISK A S ADR Ticker/CUSIP : NVO/670100205 Yield 1.12% Sector Health Care Conv. Ratio 1:1	268	66.839	17,912.84	163.21 31 Dec 12	43,740.28	0.00	43,740.28	25,827.44	490.98
PEARSON PLC SPONSORED ADR Ticker/CUSIP : PSO/705015105 Yield 3.56% Sector Consumer Discretionary Conv. Ratio 1:1	1,263	18.831	23,783.14	19.54 31 Dec 12	24,679.02	0.00	24,679.02	895.88	877.79



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012



Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
POTASH CORP SASK INC. Ticker/CUSIP : POT/73755L107 Yield 2.06% Sector : Materials	608	40.128	24,398.03	40.69 31 Dec 12	24,739.52	0.00	24,739.52	341.49	510.72
PUBLICIS GROUPE ADR Ticker/CUSIP : PUBGY/74463M106 Yield 1.13% Sector : Consumer Discretionary Conv. Ratio 25:1	1,837	10.666	19,593.32	15.16 31 Dec 12	27,848.92	0.00	27,848.92	8,255.60	315.96
RECKITT BENCKISER GROUP PL Ticker/CUSIP : RBGLY/756255204 Yield 2.78% Sector : Consumer Staples Conv. Ratio 2:1	2,863	10.281	29,435.91	12.82 31 Dec 12	36,703.66	0.00	36,703.66	7,267.75	1,022.09
ROYAL BK SCOTLAND GROUP Ticker/CUSIP : RBS/780097689 Sector : Financials Conv. Ratio 2:1	1,562	9.998	15,617.56	10.79 31 Dec 12	16,853.98	0.00	16,853.98	1,236.42	0.00
SABMILLER PLC SPON ADR Ticker/CUSIP : SBMRV/778572M105 Yield 1.94% Sector : Consumer Staples Conv. Ratio 1:1	378	28.970	10,950.66	46.79 31 Dec 12	17,686.62	0.00	17,686.62	6,735.96	342.85
SAP AG SPONS ADR-USD Ticker/CUSIP : SAP/803054204 Yield .84% Sector : Information Technology Conv. Ratio 1:1	511	48.582	24,825.22	80.38 31 Dec 12	41,074.18	0.00	41,074.18	16,248.96	344.93
SBERBANK SPONSORED ADR Ticker/CUSIP : SBRBY/80565Y308 Yield 1.54% Sector : Financials Conv. Ratio 4:1	1,352	10.628	14,369.05	12.56 31 Dec 12	16,981.12	0.00	16,981.12	2,612.07	262.29

Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
SCHLUMBERGER LTD Ticker/CUSIP : SLB/806857108 Yield 1 59% Sector Energy	543	69.670	37,830.70	69.2986 31 Dec 12	37,629.14	149.33	37,778.47	(201.56)	597.30
SIEMENS A G SPONS ADR Ticker/CUSIP : SI/826197501 Yield 2 62% Sector Health Care Conv. Ratio 1:1	235	102.445	24,074.47	109.47 31 Dec 12	25,725.45	0.00	25,725.45	1,650.98	672.81
SOUTHERN COPPER CORP DEL Ticker/CUSIP : SCCO/84265V105 Yield 9 8% Sector Materials	349	32.033	11,179.38	37.86 31 Dec 12	13,213.14	0.00	13,213.14	2,033.76	1,294.79
SWATCH GROUP AG UNSPON ADR Ticker/CUSIP : SWGAY/870123106 Yield 1 38% Sector Consumer Discretionary Conv. Ratio 05:1	1,105	19.154	21,165.13	25.40 31 Dec 12	28,067.00	0.00	28,067.00	6,901.87	387.86
SYNGENTA AG ADR Ticker/CUSIP : SYT/87160A100 Yield 1 79% Sector Consumer Staples Conv. Ratio 2 1	231	67.050	15,488.63	80.80 31 Dec 12	18,664.80	0.00	18,664.80	3,176.17	333.80
TAIWAN SEMICONDUCTOR MFG Ticker/CUSIP : TSM/874039100 Yield 2 32% Sector Information Technology Conv. Ratio 5:1	1,061	13.423	14,241.70	17.16 31 Dec 12	18,206.76	0.00	18,206.76	3,965.06	422.28
TENCENT HLDGS LTD-HKD Ticker/CUSIP : TCEHY/88032Q109 Yield 27% Sector Telecommunication Services Conv. Ratio 1 1	1,119	20.312	22,729.12	32.65 31 Dec 12	36,535.35	0.00	36,535.35	13,806.23	97.35



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
TEVA PHARMACEUTICAL INDS Ticker/CUSIP TEVA/881624209 Yield 2.15% Sector Health Care Conv. Ratio 1:1	759	58.067	44,072.86	37.34 31 Dec 12	28,341.06	0.00	28,341.06	(15,731.80)	610.24
TOYOTA MOTOR CORP ADR NEW Ticker/CUSIP TM/892331307 Yield 1.47% Sector Industrials Conv. Ratio 2:1	466	92.777	43,234.24	93.25 31 Dec 12	43,454.50	0.00	43,454.50	220.26	640.75
TULLOW OIL PLC UNSPON ADR Ticker/CUSIP : TUNOY/899415202 Yield .77% Sector Energy Conv. Ratio 5:1	1,642	9.726	15,969.44	10.42 31 Dec 12	17,109.64	0.00	17,109.64	1,140.20	131.36
TURKYE GARANTI BANKASI Ticker/CUSIP : TKGBY/900148701 Yield 1.1% Sector : Financials Conv. Ratio 1:1	3,330	4.270	14,220.40	5.20 31 Dec 12	17,316.00	0.00	17,316.00	3,095.60	189.81
VODAFONE GROUP PLC SPONS Ticker/CUSIP : VOD/92857W209 Yield 5.95% Sector : Telecommunication Services Conv. Ratio 10:1	573	26.819	15,367.19	25.19 31 Dec 12	14,433.87	291.82	14,725.69	(933.32)	859.50
WAL-MART DE MEXICO SA DE Ticker/CUSIP WMMVY/93114W107 Yield .98% Sector Consumer Staples Conv. Ratio 10:1	613	24.250	14,865.25	32.78 31 Dec 12	20,094.14	0.00	20,094.14	5,228.89	197.39
YANDEX NV-A Ticker/CUSIP : YNDX/N97284108 Sector : Other	786	28.871	22,692.42	21.54 31 Dec 12	16,930.44	0.00	16,930.44	(5,761.98)	0.00
Total Equities			1,363,549.15		1,595,860.00	574.56	1,596,434.56	232,310.85	29,941.18

Thornburg Intl Value ADR - 15C079617768
Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency: USD

INVESTMENT POSITIONS - PRINCIPAL

	Total Cost	Market Value	Accrued Interest or Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
TOTAL INVESTMENT POSITIONS - PRINCIPAL	1,401,617.92	1,633,928.77	576.94	1,634,505.71	232,310.85	29,960.21



Thornburg Intl Value ADR - 15C079617768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield 05%	82,830.7	1.000	82,830.70	1.00	82,830.70	3.41	82,834.11	0.00	41.42
Total Cash and Short Term Investments			82,830.70		82,830.70	3.41	82,834.11	0.00	41.42
TOTAL INVESTMENT POSITIONS - INCOME									
			82,830.70		82,830.70	3.41	82,834.11	0.00	41.42
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,484,448.62		1,716,759.47	580.35	1,717,339.82	232,310.85	30,001.63



PIMCO Total Return - 15C079626768

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 6.36% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield 05%	77,572.94	1.000	77,572.94	1.00	77,572.94	3.39	77,576.33	0.00	38.79
Total Cash and Short Term Investments			77,572.94		77,572.94	3.39	77,576.33	0.00	38.79

FIXED INCOME 93.64% SORTED BY CALL DATE/MATURITY DATE

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds									
U S TREASURY NOTES SER AE-2017 MAT 31OCT17 Rate 75% Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828TWO Yield 68%	147,000	100.404	147,594.58	100.328 31 Dec 12	147,482.16	187.79	147,669.95	(112.42)	1,102.50
U S TREASURY NOTES SER H-2019 MAT 28FEB19 Rate 1.375% Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828SH4 Yield .96%	37,000	99.531	36,826.56	102.477 31 Dec 12	37,916.49	172.86	38,089.35	1,089.93	508.75
UNITED STATES TREAS NOTES MAT 15JUL22 Rate 1.25% Pay Frqncy Semi Annual S&P N/A Moody's Aaa CUSIP 912828TE0	58,000	109.042	63,244.50	109.1152 31 Dec 12	63,286.82	30.34	63,317.16	42.32	72.50


PIMCO Total Return - 15C079626768

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency: USD

INVESTMENT POSITIONS - PRINCIPAL
FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds CONTINUED									
US TSY INFLATION INDEX BDS CPI	7,000	152.910	10,703.72	153.4567 31 Dec 12	10,741.97	80.84	10,822.81	38.25	175.00
MAT 15JAN29 Rate 2.5%									
Pay Frqncy Semi Annual									
S&P NR Moodys Aaa									
CUSIP 912810PZ5									
FNMA PL#745275 DTD 01/01/2006	12,080.61	103.898	12,551.57	108.757 31 Dec 12	13,138.51	50.34	13,188.85	586.94	604.03
MAT 01FEB36 Rate 5%									
Pay Frqncy Monthly									
CUSIP 3140306L0 Yield 4.39%									
FNMA PL#889579 DTD 05/01/2008	20,249.31	106.781	21,622.45	109.52 31 Dec 12	22,177.04	101.25	22,278.29	554.59	1,214.96
MAT 01MAY38 Rate 6%									
Pay Frqncy Monthly									
CUSIP 31410KJY1 Yield 5.31%									
FNMA PL#986761 DTD 07/01/2008	0.05	100.000	0.05	110.425 31 Dec 12	0.06	0.00	0.06	0.01	0.00
MAT 01JUL38 Rate 5.5%									
Pay Frqncy Monthly									
CUSIP 31415RFA7 Yield 4.79%									
FNMA PL#995876 DTD 06/01/2009	270.08	106.531	287.72	109.481 31 Dec 12	295.69	1.35	297.04	7.97	16.20
MAT 01NOV38 Rate 6%									
Pay Frqncy Monthly									
CUSIP 31416CJV9 Yield 5.32%									
FHLMC PL#A97046 DTD 02/01/2011	30,812.98	100.555	30,983.89	107.903 31 Dec 12	33,248.13	115.55	33,363.68	2,264.24	1,386.58
MAT 01FEB41 Rate 4.5%									
Pay Frqncy Monthly									
CUSIP 312945ZK7 Yield 4.03%									
FHLMC PL#A97047 DTD 02/01/2011	22,060.36	100.660	22,206.00	107.903 31 Dec 12	23,803.79	82.73	23,886.52	1,597.79	992.72
MAT 01FEB41 Rate 4.5%									
Pay Frqncy Monthly									
CUSIP 312945ZL5 Yield 4.03%									



PIMCO Total Return - 15C079626768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Government Bonds CONTINUED									
FNMA PL#AJ4052 DTD 10/01/2011 MAT 01OCT41 Rate 4% Pay Frqncy Monthly CUSIP 3138AVQE8 Yield 3.59%	42,795.53	105.891	45,316.46	107.337 31 Dec 12	45,935.44	142.65	46,078.09	618.98	1,711.82
FNMA PL#AB4297 DTD 12/01/2011 MAT 01JAN42 Rate 3.5% Pay Frqncy Monthly CUSIP 31417AX38 Yield 3.15%	29,220.68	103.109	30,129.25	106.70 31 Dec 12	31,178.47	85.23	31,263.70	1,049.22	1,022.73
FNMA PL#AL1948 DTD 06/01/2012 MAT 01JAN42 Rate 4% Pay Frqncy Monthly CUSIP 3138EJEW4 Yield 3.46%	38,246.36	109.328	41,814.03	109.90 31 Dec 12	42,032.75	127.49	42,160.24	218.72	1,529.85
FNMA PL#AB5468 DTD 05/01/2012 MAT 01JUN42 Rate 3.5% Pay Frqncy Monthly CUSIP 31417CCE3 Yield 3.14%	30,755.66	104.969	32,283.84	106.918 31 Dec 12	32,883.34	89.70	32,973.04	599.50	1,076.45
FNMA PL#AB5670 DTD 06/01/2012 MAT 01JUL42 Rate 3.5% Pay Frqncy Monthly CUSIP 31417CJ09 Yield 3.13%	53,158.18	107.188	56,978.93	107.106 31 Dec 12	56,935.60	155.04	57,090.64	(43.33)	1,860.54
FNMA PL#AB7079 DTD 11/01/2012 MAT 01NOV42 Rate 3% Pay Frqncy Monthly CUSIP 31417D2M4 Yield 2.76%	35,920.4	106.398	37,859.54	104.888 31 Dec 12	37,676.19	89.80	37,765.99	(183.35)	1,077.61
Mutual Funds									
FIXED INCOME SHARES FD Rate 539% CUSIP 01882B304 Yield 4.8%	24,115.608	9.878	238,216.40	11.22 31 Dec 12	270,577.12	0.00	270,577.12	32,360.72	12,998.31

PIMCO Total Return - 15C079626768

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Mutual Funds CONTINUED									
FIXED INCOME SHARES FD SERIES Rate .622%	20,148 145	12 597	253,802.30	13 50 31 Dec 12	271,999 96	0.00	271,999 96	18,197 66	12,532.15
CUSIP 01882B205 Yield 4.61%									
Total Fixed Income			1,082,421.79		1,141,309.53	1,512.96	1,142,822.49	58,887.74	39,882.70

TOTAL INVESTMENT POSITIONS - PRINCIPAL

			1,159,994.73		1,218,882.47	1,516.35	1,220,398.82	58,887.74	39,921.49
--	--	--	---------------------	--	---------------------	-----------------	---------------------	------------------	------------------



PIMCO Total Return - 15C079626768

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L)	7,162.25	1.000	7,162.25	1.00	7,162.25	0.18	7,162.43	0.00	3.58
CUSIP 9900CST72 Yield 05%									
Total Cash and Short Term Investments			7,162.25		7,162.25	0.18	7,162.43	0.00	3.58
TOTAL INVESTMENT POSITIONS - INCOME									
			7,162.25		7,162.25	0.18	7,162.43	0.00	3.58
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,167,156.98		1,226,044.72	1,516.53	1,227,561.25	58,887.74	39,925.07



Trust Account - 15C080767575

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 1.15% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L) CUSIP 9900CST72 Yield .05%	58,057.57	1.000	58,057.57	1.00	58,057.57	17.83	58,075.40	0.00	29.03
Total Cash and Short Term Investments			58,057.57		58,057.57	17.83	58,075.40	0.00	29.03

EQUITIES 98.85% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ALLERGAN INC Ticker/CUSIP : AGN/018490102 Yield 22% Sector : Health Care	1,000	71.333	71,333.15	91.73 31 Dec 12	91,730.00	0.00	91,730.00	20,396.85	200.00
AMERICAN EXPRESS CO Ticker/CUSIP : AXP/025816109 Yield 1.39% Sector : Financials	1,500	54.253	81,378.94	57.48 31 Dec 12	86,220.00	0.00	86,220.00	4,841.06	1,200.00
AMERICAN TOWER CORP Ticker/CUSIP : AMT/03027X100 Sector : Telecommunication Services	2,200	70.375	154,823.98	77.27 31 Dec 12	169,994.00	0.00	169,994.00	15,170.02	0.00
AMERICAN WTR WKS CO INC NEW Ticker/CUSIP : AWK/030420103 Yield 2.69% Sector : Utilities	3,000	28.352	85,054.50	37.13 31 Dec 12	111,390.00	0.00	111,390.00	26,335.50	3,000.00
ANADARKO PETROLEUM CORP Ticker/CUSIP : APC/032511107 Yield 4.8% Sector : Energy	1,000	68.396	68,396.30	74.31 31 Dec 12	74,310.00	0.00	74,310.00	5,913.70	360.00

Trust Account - 15C080767575

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
APPLE INC Ticker/CUSIP : AAPL/037833100 Yield 1.99% Sector : Information Technology	350	276.527	96,784.51	532.173 31 Dec 12	186,260.55	0.00	186,260.55	89,476.04	3,710.00
BOEING CO Ticker/CUSIP : BA/097023105 Yield 2.57% Sector : Industrials	2,000	65.645	131,289.75	75.36 31 Dec 12	150,720.00	0.00	150,720.00	19,430.25	3,880.00
BRISTOL MYERS SQUIBB CO Ticker/CUSIP : BMY/110122108 Yield 4.3% Sector : Health Care	3,800	27.699	105,255.00	32.59 31 Dec 12	123,842.00	0.00	123,842.00	18,587.00	5,320.00
CATERPILLAR INC Ticker/CUSIP : CAT/149123101 Yield 2.32% Sector : Industrials	1,500	74.742	112,112.55	89.6085 31 Dec 12	134,412.75	0.00	134,412.75	22,300.20	3,120.00
COCA-COLA CO Ticker/CUSIP : KO/191216100 Yield 2.81% Sector : Consumer Staples	3,000	29.023	87,070.00	36.25 31 Dec 12	108,750.00	0.00	108,750.00	21,680.00	3,060.00
COSTCO WHOLESALE CORP NEW Ticker/CUSIP : COST/22160K105 Yield 1.11% Sector : Consumer Staples	1,500	71.735	107,601.98	98.73 31 Dec 12	148,095.00	0.00	148,095.00	40,493.02	1,650.00
CUMMINS INC Ticker/CUSIP : CMI/231021106 Yield 1.85% Sector : Industrials	700	104.501	73,150.36	108.35 31 Dec 12	75,845.00	0.00	75,845.00	2,694.64	1,400.00
DIAGEO PLC SPON ADR-NEW Ticker/CUSIP : DEO/252430205 Yield 2.38% Sector : Consumer Staples Conv. Ratio 4.1	1,000	78.778	78,778.00	116.58 31 Dec 12	116,580.00	0.00	116,580.00	37,802.00	2,774.00
ECOLAB INC Ticker/CUSIP : ECL/278865100 Yield 1.28% Sector : Consumer Staples	2,500	63.769	159,423.64	71.90 31 Dec 12	179,750.00	0.00	179,750.00	20,326.36	2,300.00



Trust Account - 15C080767575

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
EDWARDS LIFESCIENCES CORP Ticker/CUSIP : EW/28176E108 Sector : Health Care	1,200	77.072	92,486.64	90.17 31 Dec 12	108,204.00	0.00	108,204.00	15,717.36	0.00
EQUINIX INC Ticker/CUSIP : EQIX/29444U502 Sector : Telecommunication Services	600	184.537	110,722.00	206.20 31 Dec 12	123,720.00	0.00	123,720.00	12,998.00	0.00
EXXON MOBIL CORP Ticker/CUSIP : XOM/30231G102 Yield 2.63% Sector : Energy	1,400	88.113	123,358.38	86.55 31 Dec 12	121,170.00	0.00	121,170.00	(2,188.38)	3,192.00
FACEBOOK INC - A Ticker/CUSIP : FB/30303M102 Sector : Information Technology	2,500	25.045	62,613.15	26.6197 31 Dec 12	66,549.25	0.00	66,549.25	3,936.10	0.00
FORD MOTOR COMPANY Ticker/CUSIP : F/345370860 Yield 1.54% Sector : Industrials	8,700	11.564	100,604.50	12.95 31 Dec 12	112,665.00	0.00	112,665.00	12,060.50	1,740.00
FREEMONT MCMORAN COPPER & GOLD Ticker/CUSIP : FCX/35671D857 Yield 3.66% Sector : Materials	3,700	32.179	119,061.98	34.20 31 Dec 12	126,540.00	0.00	126,540.00	7,478.02	4,625.00
GOOGLE INC Ticker/CUSIP : GOOG/38259P508 Sector : Information Technology	100	482.283	48,228.33	707.38 31 Dec 12	70,738.00	0.00	70,738.00	22,509.67	0.00
HAIN CELESTIAL GROUP INC Ticker/CUSIP : HAIN/405217100 Sector : Consumer Staples	2,500	49.359	123,398.20	54.22 31 Dec 12	135,550.00	0.00	135,550.00	12,151.80	0.00
HESS CORP Ticker/CUSIP : HES/42809H107 Yield 7.6% Sector : Energy	1,500	44.574	66,860.55	52.96 31 Dec 12	79,440.00	0.00	79,440.00	12,579.45	600.00

Trust Account - 15C080767575

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
HOME DEPOT INC Ticker/CUSIP : HD/437076102 Yield 1 88% Sector : Consumer Discretionary	2,000	39 859	79,717 30	61 85 31 Dec 12	123,700 00	0 00	123,700 00	43,982 70	2,320 00
INTL BUSINESS MACHINES CORP Ticker/CUSIP : IBM/459200101 Yield 1 78% Sector : Information Technology	700	191.041	133,728 45	191.55 31 Dec 12	134,085 00	0 00	134,085 00	356.55	2,380 00
KINDER MORGAN INC DEL Ticker/CUSIP : KMI/494568101 Yield 4 08% Sector : Utilities	3,000	31.321	93,961 90	35 33 31 Dec 12	105,990 00	0 00	105,990 00	12,028 10	4,320 00
MCDONALDS CORP Ticker/CUSIP : MCD/580135101 Yield 3 49% Sector : Consumer Discretionary	1,200	82 354	98,824 92	88 21 31 Dec 12	105,852 00	0 00	105,852 00	7,027 08	3,696 00
MONSANTO CO NEW Ticker/CUSIP : MON/61166W101 Yield 1 58% Sector : Materials	2,000	70 736	141,472 48	94 65 31 Dec 12	189,300 00	0 00	189,300 00	47,827 52	3,000 00
NATIONAL OILWELL VARCO INC Ticker/CUSIP : NOV/637071101 Yield : 76% Sector : Energy	1,300	77 623	100,909 72	68 35 31 Dec 12	88,855 00	0 00	88,855 00	(12,054.72)	676 00
NESTLE S A SPONSORED ADR Ticker/CUSIP : NSRGY/641069406 Yield 2.72% Sector : Consumer Staples Conv. Ratio 1 1	2,000	57 529	115,057 90	65 17 31 Dec 12	130,340 00	0 00	130,340 00	15,282 10	3,544 00
NIKE INC CL B Ticker/CUSIP : NKE/654106103 Yield 81% Sector : Materials	1,600	50 801	81,280 96	51 60 31 Dec 12	82,560 00	0 00	82,560 00	1,279 04	672 00
ORACLE CORP Ticker/CUSIP : ORCL/68389X105 Yield 72% Sector : Information Technology	2,500	25 510	63,775 50	33 32 31 Dec 12	83,300 00	0 00	83,300 00	19,524 50	600 00



Trust Account - 15C080767575

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
PEABODY ENERGY CORP Ticker/CUSIP : BTU/704549104 Yield 1 28% Sector : Materials	2,500	24 879	62,198 20	26 61 31 Dec 12	66,525 00	0.00	66,525 00	4,326 80	850.00
PERRIGO COMPANY Ticker/CUSIP : PRGO/714290103 Yield 35% Sector : Health Care	1,700	102 206	173,750 78	104.03 31 Dec 12	176,851 00	0 00	176,851 00	3,100.22	612 00
PETSMART INC Ticker/CUSIP : PETM/716768106 Yield .97% Sector : Consumer Discretionary	700	71.328	49,929.70	68.34 31 Dec 12	47,838 00	0.00	47,838 00	(2,091 70)	462 00
PRUDENTIAL FINANCIAL INC Ticker/CUSIP : PRU/744320102 Yield 3% Sector : Financials	1,300	55 200	71,759.92	53 33 31 Dec 12	69,329.00	0.00	69,329 00	(2,430 92)	2,080 00
QUALCOMM INC Ticker/CUSIP : QCOM/747525103 Yield 1 62% Sector : Information Technology	1,500	56.586	84,879.36	61 8596 31 Dec 12	92,789.40	0 00	92,789.40	7,910.04	1,500 00
SHERWIN WILLIAMS CO Ticker/CUSIP : SHW/824348106 Yield 1.01% Sector : Materials	600	151 693	91,016 07	153.82 31 Dec 12	92,292.00	0 00	92,292 00	1,275.93	936 00
SUSSEX HOLDINGS CORP Ticker/CUSIP : SUSS/869233106 Sector : Consumer Staples	1,000	38 026	38,025.80	34 49 31 Dec 12	34,490 00	0.00	34,490 00	(3,535 80)	0 00
UNION PACIFIC CORP Ticker/CUSIP : UNP/907818108 Yield 2.2% Sector : Industrials	1,200	87 224	104,669 00	125.72 31 Dec 12	150,864 00	690 00	151,554.00	46,195.00	3,312 00
VISA INC COM CL A Ticker/CUSIP : V/92826C839 Yield 87% Sector : Consumer Discretionary	1,100	86.527	95,179 73	151 58 31 Dec 12	166,738 00	0 00	166,738.00	71,558.27	1,452 00



Trust Account - 15C080767575

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
WALT DISNEY CO Ticker/QUISIP : DIS/254687106 Yield 1 51% Sector : Consumer Discretionary	2,500	38.088	95,219.10	49.79 31 Dec 12	124,475.00	0.00	124,475.00	29,255.90	1,875.00
WELLS FARGO & CO NEW Ticker/QUISIP : WFC/949746101 Yield 2 57% Sector : Financials	3,000	26.085	78,254.47	34.18 31 Dec 12	102,540.00	0.00	102,540.00	24,285.53	2,640.00
WEYERHAEUSER CO Ticker/QUISIP : WY/962166104 Yield 2 44% Sector : Materials	4,000	17.257	69,026.00	27.82 31 Dec 12	111,280.00	0.00	111,280.00	42,254.00	2,720.00
Total Equities			4,182,423.65		4,982,468.95	690.00	4,983,158.95	800,045.30	81,778.00
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			4,240,481.22		5,040,526.52	707.83	5,041,234.35	800,045.30	81,807.03



Trust Account - 150080767575

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield .05%	123,393.33	1.000	123,393.33	1.00	123,393.33	4.72	123,398.05	0.00	61.70
Total Cash and Short Term Investments			123,393.33		123,393.33	4.72	123,398.05	0.00	61.70
TOTAL INVESTMENT POSITIONS - INCOME									
			123,393.33		123,393.33	4.72	123,398.05	0.00	61.70
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			4,363,874.55		5,163,919.85	712.55	5,164,632.40	800,045.30	81,868.73



NFJ Investment - Dividend Value - C78005165002

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 1.63% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield .05%	20,252.99	1.000	20,252.99	1.00	20,252.99	1.05	20,254.04	0.00	10.13
Total Cash and Short Term Investments			20,252.99		20,252.99	1.05	20,254.04	0.00	10.13

EQUITIES 98.37% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ALLSTATE CORP Ticker/CUSIP : ALL/020002101 Yield 2.19% Sector : Financials	635	30.017	19,060.70	40.17 31 Dec 12	25,507.95	0.00	25,507.95	6,447.25	558.80
AMERICAN ELECTRIC POWER CO INC Ticker/CUSIP : AEP/025537101 Yield 4.4% Sector : Utilities	562	40.871	22,969.50	42.68 31 Dec 12	23,986.16	0.00	23,986.16	1,016.66	1,056.56
AMERIPRISE FINANCIAL INC Ticker/CUSIP : AMP/03076C106 Yield 2.87% Sector : Financials	427	53.528	22,856.66	62.63 31 Dec 12	26,743.01	0.00	26,743.01	3,886.35	768.60
ANALLY CAPITAL MANAGEMENT INC Ticker/CUSIP : NLY/035710409 Yield 21.37% Sector : Financials	1,616	17.423	28,155.37	14.04 31 Dec 12	22,688.64	727.20	23,415.84	(5,466.73)	4,848.00
ASTRAZENECA PLC SPON ADR Ticker/CUSIP : AZN/046353108 Yield 6.03% Sector : Health Care Conv. Ratio 1.1	1,116	46.065	51,408.73	47.27 31 Dec 12	52,753.32	0.00	52,753.32	1,344.59	3,180.60



NFJ Investment - Dividend Value - C7B005165002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
AT&T INC Ticker/CUSIP T/00206R102 Yield 5.34% Sector : Telecommunication Services	679	28.579	19,405.21	33.71 31 Dec 12	22,889.09	0.00	22,889.09	3,483.88	1,222.20
BARRICK GOLD CORP CAD Ticker/CUSIP : ABX/067901108 Yield 2.29% Sector : Materials	480	48.637	23,345.66	35.01 31 Dec 12	16,804.80	0.00	16,804.80	(6,540.86)	384.00
CA INC Ticker/CUSIP CA/12673P105 Yield 4.55% Sector : Information Technology	899	26.346	23,685.05	21.98 31 Dec 12	19,760.02	0.00	19,760.02	(3,925.03)	899.00
CHEVRON CORP Ticker/CUSIP CVX/166764100 Yield 3.33% Sector : Energy	219	84.438	18,492.03	108.14 31 Dec 12	23,682.66	0.00	23,682.66	5,190.63	788.40
CISCO SYS INC Ticker/CUSIP CSCO/17275R102 Yield 2.85% Sector : Telecommunication Services	1,375	16.333	22,457.19	19.6494 31 Dec 12	27,017.92	0.00	27,017.92	4,560.73	770.00
CONOCOPHILLIPS Ticker/CUSIP : COP/20825C104 Yield 4.55% Sector : Energy	878	49.343	43,323.58	57.99 31 Dec 12	50,915.22	0.00	50,915.22	7,591.64	2,317.92
E I DU PONT DE NEMOURS & CO Ticker/CUSIP DD/263534109 Yield 3.82% Sector : Materials	472	51.528	24,321.07	44.9785 31 Dec 12	21,229.85	0.00	21,229.85	(3,091.22)	811.84
ENSCO PLC Ticker/CUSIP : ESV/G3157S106 Yield 2.53% Sector : Energy	436	47.619	20,761.75	59.28 31 Dec 12	25,846.08	0.00	25,846.08	5,084.33	654.00
FIFTH THIRD BANCORP Ticker/CUSIP : FITB/316773100 Yield 2.63% Sector : Financials	1,651	15.018	24,795.21	15.20 31 Dec 12	25,095.20	165.10	25,260.30	299.99	660.40



NFJ Investment - Dividend Value - C7B005165002

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
FREEMONT MCMORAN COPPER & GOLD Ticker/CUSIP : FCX/35671D857 Yield 3.66% Sector : Materials	615	49.033	30,155.20	34.20 31 Dec 12	21,033.00	0.00	21,033.00	(9,122.20)	768.75
GENERAL ELECTRIC CO Ticker/CUSIP : GE/369604103 Yield 3.62% Sector : Industrials	1,110	16.600	18,426.00	20.99 31 Dec 12	23,298.90	210.90	23,509.80	4,872.90	843.60
HARRIS CORP-DELAWARE- Ticker/CUSIP : HRS/413875105 Yield 3.02% Sector : Telecommunication Services	545	44.236	24,108.75	48.96 31 Dec 12	26,683.20	0.00	26,683.20	2,574.45	806.60
INTEL CORP Ticker/CUSIP : INTC/458140100 Yield 4.36% Sector : Information Technology	1,765	21.439	37,840.47	20.62 31 Dec 12	36,394.30	0.00	36,394.30	(1,446.17)	1,588.50
INTERNATIONAL PAPER CO Ticker/CUSIP : IP/460146103 Yield 3.01% Sector : Materials	1,390	27.514	38,243.95	39.84 31 Dec 12	55,377.60	0.00	55,377.60	17,133.65	1,668.00
JOHNSON & JOHNSON Ticker/CUSIP : JNJ/478160104 Yield 3.48% Sector : Health Care	339	62.660	21,241.70	70.10 31 Dec 12	23,763.90	0.00	23,763.90	2,522.20	827.16
JPMORGAN CHASE & CO Ticker/CUSIP : JPM/46625H100 Yield 2.73% Sector : Financials	1,228	36.066	44,289.62	43.9691 31 Dec 12	53,994.05	0.00	53,994.05	9,704.43	1,473.60
KIMBERLY CLARK CORP Ticker/CUSIP : KMB/494368103 Yield 3.51% Sector : Materials	295	61.799	18,230.71	84.43 31 Dec 12	24,906.85	218.30	25,125.15	6,676.14	873.20
LOCKHEED MARTIN CORP Ticker/CUSIP : LMT/539830109 Yield 4.98% Sector : Industrials	280	69.888	19,568.64	92.29 31 Dec 12	25,841.20	0.00	25,841.20	6,272.56	1,288.00


NFJ Investment - Dividend Value - C7B005165002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
MARATHON OIL CORP Ticker/CUSIP : MRO/565849106 Yield 2.22% Sector Energy	799	25.285	20,202.80	30.66 31 Dec 12	24,497.34	0.00	24,497.34	4,294.54	543.32
MATTEL INC DE Ticker/CUSIP : MAT/577081102 Yield 3.39% Sector Consumer Discretionary	696	27.062	18,835.39	36.62 31 Dec 12	25,487.52	0.00	25,487.52	6,652.13	863.04
MEDTRONIC INC Ticker/CUSIP : MDT/585055106 Yield 2.54% Sector Health Care	605	33.970	20,551.85	41.02 31 Dec 12	24,817.10	0.00	24,817.10	4,265.25	629.20
MERCK & CO INC NEW Ticker/CUSIP : MRK/58933Y105 Yield 4.2% Sector Health Care	540	38.675	20,884.45	40.94 31 Dec 12	22,107.60	232.20	22,339.80	1,223.15	928.80
METLIFE INC Ticker/CUSIP : MET/59156R108 Yield 2.25% Sector Financials	703	38.735	27,230.82	32.94 31 Dec 12	23,156.82	0.00	23,156.82	(4,074.00)	520.22
MICROSOFT CORP Ticker/CUSIP : MSFT/594918104 Yield 3.44% Sector Information Technology	819	30.606	25,066.07	26.7097 31 Dec 12	21,875.24	0.00	21,875.24	(3,190.83)	753.48
MOLSON COORS BREWING CO CL B Ticker/CUSIP : TAP/60871R209 Yield 2.99% Sector Consumer Staples	577	44.365	25,598.48	42.79 31 Dec 12	24,689.83	0.00	24,689.83	(908.65)	738.56
NORTHROP GRUMMAN CORP Ticker/CUSIP : NOC/666807102 Yield 3.26% Sector Industrials	362	57.794	20,921.43	67.58 31 Dec 12	24,463.96	0.00	24,463.96	3,542.53	796.40
PFIZER INC Ticker/CUSIP : PFE/717081103 Yield 3.83% Sector Health Care	1,025	16.680	17,097.00	25.0793 31 Dec 12	25,706.28	0.00	25,706.28	8,609.28	984.00



NFJ Investment - Dividend Value - C7B005165002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
PHILLIPS 66 Ticker/CUSIP : PSX/718546104 Yield 1.88% Sector : Energy	565	30.912	17,465.32	53.10 31 Dec 12	30,001.50	0.00	30,001.50	12,536.18	565.00
PNC FINANCIAL SERVICES GROUP Ticker/CUSIP : PNC/693475105 Yield 2.74% Sector : Financials	372	56.599	21,054.73	58.31 31 Dec 12	21,691.32	0.00	21,691.32	636.59	595.20
REYNOLDS AMERICAN INC Ticker/CUSIP : RAI/761713106 Yield 5.7% Sector : Consumer Staples	529	31.798	16,821.15	41.43 31 Dec 12	21,916.47	312.11	22,228.58	5,095.32	1,248.44
ROYAL DUTCH SHELL PLC ADR Ticker/CUSIP : RDSA/780259206 Yield 4.24% Sector : Energy Conv. Ratio 2:1	330	63.118	20,828.83	68.95 31 Dec 12	22,753.50	0.00	22,753.50	1,924.67	964.92
STAPLES INC Ticker/CUSIP : SPLS/855030102 Yield 3.86% Sector : Consumer Discretionary	2,217	11.778	26,112.48	11.40 31 Dec 12	25,273.80	0.00	25,273.80	(838.68)	975.48
TOTAL S A SPONS ADR Ticker/CUSIP : TOT/89151E109 Yield 4.82% Sector : Energy Conv. Ratio 1:1	968	53.729	52,009.65	52.01 31 Dec 12	50,345.68	629.63	50,975.31	(1,663.97)	2,426.78
TRAVELERS COMPANIES INC Ticker/CUSIP : TRV/89417E109 Yield 2.56% Sector : Financials	366	55.009	20,133.11	71.82 31 Dec 12	26,286.12	0.00	26,286.12	6,153.01	673.44
WAL-MART STORES INC Ticker/CUSIP : WMT/931142103 Yield 2.33% Sector : Consumer Discretionary	333	53.602	17,849.34	68.23 31 Dec 12	22,720.59	0.00	22,720.59	4,871.25	529.47
WELLS FARGO & CO NEW Ticker/CUSIP : WFC/949746101 Yield 2.57% Sector : Financials	1,516	31.299	47,448.62	34.18 31 Dec 12	51,816.88	0.00	51,816.88	4,368.26	1,334.08


NFJ Investment - Dividend Value - C7B005165002

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL
EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
WHIRLPOOL CORP Ticker/CUSIP WHR/963320106 Yield 1 97% Sector , Consumer Discretionary	299	63.296	18,925.37	101.75 31 Dec 12	30,423.25	0.00	30,423.25	11,497.88	598.00
XEROX CORP Ticker/CUSIP XRX/984121103 Yield 2.49% Sector Information Technology	3,433	10.315	35,411.97	6.82 31 Dec 12	23,413.06	145.90	23,558.96	(11,998.91)	583.61
Total Equities			1,107,591.61		1,219,656.78	2,641.34	1,222,298.12	112,065.17	46,309.17
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			1,127,844.60		1,239,909.77	2,642.39	1,242,552.16	112,065.17	46,319.30



NFJ Investment - Dividend Value - c7B005165002

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A. (L)	75,957.98	1.000	75,957.98	1.00	75,957.98	3.11	75,961.09	0.00	37.98
CUSIP 9900CST72 Yield 05%				—					
Total Cash and Short Term Investments			75,957.98		75,957.98	3.11	75,961.09	0.00	37.98
TOTAL INVESTMENT POSITIONS - INCOME			75,957.98		75,957.98	3.11	75,961.09	0.00	37.98
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME			1,203,802.58		1,315,867.75	2,645.50	1,318,513.25	112,065.17	46,357.28



Santa Barbara - Dividend Growth - C7B005348002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 0.37% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CS72 Yield 05%	4,621.56	1.000	4,621.56	1.00	4,621.56	0.18	4,621.74	0.00	2.31
Total Cash and Short Term Investments			4,621.56		4,621.56	0.18	4,621.74	0.00	2.31

EQUITIES 99.63% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
ABBOTT LABORATORIES Ticker/CUSIP : ABT/002824100 Yield .86% Sector : Health Care	561	50.006	28,053.12	65.50 31 Dec 12	36,745.50	0.00	36,745.50	8,692.38	314.16
ACCENTURE PLC Ticker/CUSIP : ACN/G1151C101 Yield 2.44% Sector : Consumer Discretionary	481	46.743	22,483.17	66.50 31 Dec 12	31,986.50	0.00	31,986.50	9,503.33	779.22
APPLE INC Ticker/CUSIP : AAPL/037833100 Yield 1.99% Sector : Information Technology	56	618.286	34,624.00	532.173 31 Dec 12	29,801.69	0.00	29,801.69	(4,822.31)	593.60
AT&T INC Ticker/CUSIP : T/00206R102 Yield 5.34% Sector : Telecommunication Services	1,200	28.631	34,357.60	33.71 31 Dec 12	40,452.00	0.00	40,452.00	6,094.40	2,160.00
BLACKROCK INC Ticker/CUSIP : BLK/09247X101 Yield 2.9% Sector : Financials	152	175.164	26,624.96	206.71 31 Dec 12	31,419.92	0.00	31,419.92	4,794.96	912.00



Santa Barbara - Dividend Growth - c7B005348002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est Annual Income
Common Stock CONTINUED									
CATERPILLAR INC Ticker/CUSIP : CAT/149123101 Yield 2 32% Sector : Industrials	252	89 527	22,560 83	89.6085 31 Dec 12	22,581.34	0.00	22,581.34	20.51	524.16
CHEVRON CORP Ticker/CUSIP : CVX/166764100 Yield 3 33% Sector : Energy	390	86 606	33,776 50	108.14 31 Dec 12	42,174.60	0.00	42,174.60	8,398.10	1,404.00
COCA-COLA CO Ticker/CUSIP : KO/191216100 Yield 2 81% Sector : Consumer Staples	1,100	32 534	35,787 35	36.25 31 Dec 12	39,875.00	0.00	39,875.00	4,087.65	1,122.00
CULLEN FROST BANKERS INC Ticker/CUSIP : CFR/229899109 Yield 3 54% Sector : Financials	540	58 367	31,517 91	54.27 31 Dec 12	29,305.80	0.00	29,305.80	(2,212.11)	1,036.80
CVS CAREMARK CORP Ticker/CUSIP : CVS/126650100 Yield 1 86% Sector : Consumer Staples	586	47.751	27,982.23	48.35 31 Dec 12	28,333.10	0.00	28,333.10	350.87	527.40
EATON CORP PLC CUSIP : G29183103 Yield 2 81% Sector : Other	508	53 020	26,934 36	54.18 31 Dec 12	27,523.44	0.00	27,523.44	589.08	772.16
EMERSON ELECTRIC CO Ticker/CUSIP : EMR/291011104 Yield 3 1% Sector : Industrials	582	54 062	31,464 05	52.96 31 Dec 12	30,822.72	0.00	30,822.72	(641.33)	954.48
EQT CORPORATION INC Ticker/CUSIP : EQT/26884L109 Yield 1 49% Sector : Utilities	679	45.681	31,017 70	58.98 31 Dec 12	40,047.42	0.00	40,047.42	9,029.72	597.52
FIDELITY NATL INFORMATION SVCS Ticker/CUSIP : FIS/31620M106 Yield 2 3% Sector : Consumer Discretionary	896	32 888	29,450.10	34.81 31 Dec 12	31,189.76	0.00	31,189.76	1,739.66	716.80



Citi Trust

Santa Barbara - Dividend Growth - C7B005348002

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
INTL BUSINESS MACHINES CORP Ticker/CUSIP : IBM/459200101 Yield 1.78% Sector : Information Technology	190	144.686	27,490.31	191.55 31 Dec 12	36,394.50	0.00	36,394.50	8,904.19	646.00
ITC HOLDINGS CORP Ticker/CUSIP : ITC/465685105 Yield 1.96% Sector : Utilities	310	77.831	24,127.52	76.91 31 Dec 12	23,842.10	0.00	23,842.10	(285.42)	468.10
JPMORGAN CHASE & CO Ticker/CUSIP : JPM/46625H100 Yield 2.73% Sector : Financials	944	38.203	36,063.30	43.9691 31 Dec 12	41,506.83	0.00	41,506.83	5,443.53	1,132.80
KINDER MORGAN INC DEL Ticker/CUSIP : KMI/49456B101 Yield 4.08% Sector : Utilities	764	26.199	20,016.34	35.33 31 Dec 12	26,992.12	0.00	26,992.12	6,975.78	1,100.16
LORILLARD INC Ticker/CUSIP : LO/544147101 Yield 5.31% Sector : Consumer Staples	301	89.117	26,824.32	116.67 31 Dec 12	35,117.67	0.00	35,117.67	8,293.35	1,866.20
LOWES COMPANIES INC Ticker/CUSIP : LOW/548661107 Yield 1.8% Sector : Consumer Discretionary	956	31.918	30,513.80	35.52 31 Dec 12	33,957.12	0.00	33,957.12	3,443.32	611.84
MCCORMICK & CO INC NON-VOTING Ticker/CUSIP : MKC/579780206 Yield 2.14% Sector : Consumer Staples	540	46.192	24,943.45	63.53 31 Dec 12	34,306.20	183.60	34,489.80	9,362.75	734.40
MICROCHIP TECHNOLOGY INC Ticker/CUSIP : MCHP/595017104 Yield 4.32% Sector : Information Technology	897	34.606	31,041.29	32.59 31 Dec 12	29,233.23	0.00	29,233.23	(1,808.06)	1,262.98
MICROSOFT CORP Ticker/CUSIP : MSFT/594918104 Yield 3.44% Sector : Information Technology	1,255	27.382	34,364.78	26.7097 31 Dec 12	33,520.67	0.00	33,520.67	(844.11)	1,154.60

00004/00004/01679/H12



Santa Barbara - Dividend Growth - C7B005348002
Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
MOTOROLA SOLUTIONS INC Ticker/CUSIP MS/620076307 Yield 1.87% Sector Telecommunication Services	534	47.003	25,099.48	55.68 31 Dec 12	29,733.12	138.84	29,871.96	4,633.64	555.36
NEW YORK COMMUNITY BANCORP Ticker/CUSIP NYCB/649445103 Yield 7.63% Sector Financials	2,551	16.254	41,463.77	13.10 31 Dec 12	33,418.10	0.00	33,418.10	(8,045.67)	2,551.00
NEXTERA ENERGY INC Ticker/CUSIP NEE/65339F101 Yield 3.47% Sector Utilities	550	50.998	28,048.90	69.19 31 Dec 12	38,054.50	0.00	38,054.50	10,005.60	1,320.00
ONEOK INC NEW Ticker/CUSIP OKE/682680103 Yield 3.09% Sector Utilities	688	27.098	18,643.60	42.75 31 Dec 12	29,412.00	0.00	29,412.00	10,768.40	908.16
PACCAR INC - DEL- Ticker/CUSIP PCAR/693718108 Yield 1.77% Sector Industrials	530	45.889	24,321.17	45.21 31 Dec 12	23,961.30	0.00	23,961.30	(359.87)	424.00
PHILIP MORRIS INTL INC Ticker/CUSIP PM/718172109 Yield 4.07% Sector Consumer Staples	428	59.333	25,394.68	83.64 31 Dec 12	35,797.92	363.80	36,161.72	10,403.24	1,455.20
QUALCOMM INC Ticker/CUSIP QCOM/747525103 Yield 1.62% Sector Information Technology	596	48.987	29,196.31	61.8596 31 Dec 12	36,868.32	0.00	36,868.32	7,672.01	596.00
ROYAL DUTCH SHELL PLC ADR Ticker/CUSIP RDSA/780259206 Yield 4.24% Sector Energy Conv Ratio 2 1	519	64.438	33,443.48	68.95 31 Dec 12	35,785.05	0.00	35,785.05	2,341.57	1,517.56
SEADRILL LTD Ticker/CUSIP SDRU/G7945E105 Yield 9.24% Sector Energy	1,046	34.146	35,717.03	36.80 31 Dec 12	38,492.80	0.00	38,492.80	2,775.77	3,556.40



Santa Barbara - Dividend Growth - C7B005348002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
SOUTHERN COPPER CORP DEL Ticker/CUSIP : SCCO/84265V105 Yield 9.8% Sector : Materials	1,182	41.524	49,081.05	37.86 31 Dec 12	44,750.52	0.00	44,750.52	(4,330.53)	4,385.22
TIME WARNER CABLE INC Ticker/CUSIP : TWC/88732J207 Yield 2.3% Sector : Consumer Discretionary	438	77.097	33,768.58	97.19 31 Dec 12	42,569.22	0.00	42,569.22	8,800.64	981.12
UNION PACIFIC CORP Ticker/CUSIP : UNP/907818108 Yield 2.2% Sector : Industrials	281	98.360	27,639.14	125.72 31 Dec 12	35,327.32	193.89	35,521.21	7,688.18	775.56
V F CORP Ticker/CUSIP : VFC/918204108 Yield 2.31% Sector : Consumer Discretionary	252	88.839	22,387.46	150.97 31 Dec 12	38,044.44	0.00	38,044.44	15,656.98	876.96
WELLS FARGO & CO NEW Ticker/CUSIP : WFC/949746101 Yield 2.57% Sector : Financials	919	32.071	29,473.25	34.18 31 Dec 12	31,411.42	0.00	31,411.42	1,938.17	808.72
Total Equities			1,095,696.89		1,250,755.26	880.13	1,251,635.39	155,058.37	42,102.64
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			1,100,318.45		1,255,376.82	880.31	1,256,257.13	155,058.37	42,104.95

Santa Barbara - Dividend Growth - C7B005348002
Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - INCOME

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY									
Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CTIBANK M.D.A. (L)	39,408.49	1.000	39,408.49	1.00	39,408.49	1.65	39,410.14	0.00	19.70
CUSIP 9900CST72 Yield .05%									
Total Cash and Short Term Investments			39,408.49		39,408.49	1.65	39,410.14	0.00	19.70
TOTAL INVESTMENT POSITIONS - INCOME									
			39,408.49		39,408.49	1.65	39,410.14	0.00	19.70
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			1,139,726.94		1,294,785.31	881.96	1,295,667.27	155,058.37	42,124.65



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 1.59% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L)	12,923.86	1.000	12,923.86	1.00	12,923.86	0.65	12,924.51	0.00	6.46
CUSIP 9900CST72 Yield 05%									
Total Cash and Short Term Investments			12,923.86		12,923.86	0.65	12,924.51	0.00	6.46

FIXED INCOME 98.41% SORTED BY CALL DATE/MATURITY DATE

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds									
CONSTELLATION BRANDS INC MAT 15DEC14 Rate 8.375% Pay Frqncy Semi Annual Call 05DEC07 @ 100 S&P BB+ Moody's Ba1 CUSIP 21036PAG3 Yield 2.2%	13,000	110.740	14,396.20	111.75 31 Dec 12	14,527.50	48.39	14,575.89	131.30	1,088.75
FIRST DATA CORPORATION MAT 24SEP15 Rate 9.875% Pay Frqncy Semi Annual Call 02FEB13 @ 102.469 S&P B- Moody's Caa1 CUSIP 319963AP9 Yield 9.02%	10,000	90.246	9,024.55	102.00 31 Dec 12	10,200.00	266.08	10,466.08	1,175.45	987.50



Seix Investment Advisors - High Yield Bond - C78005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
RITE AID CORP MAT 01MAR17 Rate 7.5% Pay Frqncy Semi Annual Call 02FEB13 @ 103.75 S&P B- Moody's Caa1 CUSIP 767754BL7 Yield 6.73%	7,000	102.125	7,148.75	102.75 31 Dec 12	7,192.50	175.00	7,367.50	43.75	525.00
NEWFIELD EXPLORATION CO SR MAT 15MAY18 Rate 7.125% Pay Frqncy Semi Annual Call 15MAY13 @ 103.563 S&P BB+ Moody's Ba2 CUSIP 651290AK4 Yield 5.91%	10,000	106.125	10,612.50	105.50 31 Dec 12	10,550.00	91.04	10,641.04	(62.50)	712.50
CORRECTIONS CORP OF AMERICA MAT 01JUN17 Rate 7.75% Pay Frqncy Semi Annual Call 01JUN13 @ 103.875 S&P BB Moody's Ba1 CUSIP 22025YAK6 Yield 6.11%	8,000	109.000	8,720.00	106.25 31 Dec 12	8,500.00	51.67	8,551.67	(220.00)	620.00
HARRAH'S OPERATING CO INC MAT 01JUN17 Rate 11.25% Pay Frqncy Semi Annual Call 01JUN13 @ 105.625 S&P B Moody's B2 CUSIP 413627BL3 Yield 9.24%	5,000	110.750	5,537.50	107.125 31 Dec 12	5,356.25	46.88	5,403.13	(181.25)	562.50
SEALED AIR CORP MAT 15JUN17 Rate 7.875% Pay Frqncy Semi Annual Call 15JUN13 @ 103.938 S&P BB- Moody's B1 CUSIP 81211KAP5 Yield 6.03%	6,000	106.958	6,417.50	107.125 31 Dec 12	6,427.50	21.00	6,448.50	10.00	472.50



Seix Investment Advisors - High Yield Bond - C78005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
PAETEC HOLDING CORP MAT 30JUN17 Rate 8.875% Pay Francy Semi Annual Call 30JUN13 @ 104.438 S&P BB- CUSIP 695459AD9 Yield 6.97%	10,000	104.990	10,499.00	107.25 31 Dec 12	10,725.00	2.47	10,727.47	226.00	887.50
INTERNATIONAL LEASE FIN CORP MAT 20SEP13 Rate 5.625% Pay Francy Semi Annual S&P BBB- Moodys Ba3 CUSIP 45974VA81 Yield 2.1%	10,000	99.610	9,961.00	102.50 31 Dec 12	10,250.00	157.81	10,407.81	289.00	562.50
FORD MOTOR CREDIT CO GLOBAL MAT 01OCT13 Rate 7% Pay Francy Semi Annual S&P BB+ Moodys Baa3 CUSIP 345397TZ6 Yield 95%	13,000	107.500	13,975.00	104.51 31 Dec 12	13,586.30	227.50	13,813.80	(388.70)	910.00
CCO HOLDINGS LLC / CCO HOLDING MAT 30OCT17 Rate 7.25% Pay Francy Semi Annual Call 30OCT13 @ 105.438 S&P BB- Moodys B1 CUSIP 1248EPA06 Yield 5.12%	15,000	102.950	15,442.50	109.00 31 Dec 12	16,350.00	184.27	16,534.27	907.50	1,087.50
ICAHN ENTERPRISES MAT 15JAN18 Rate 8% Pay Francy Semi Annual Call 15JAN14 @ 104 S&P BBB- Moodys Ba3 CUSIP 451102AH0 Yield 6.27%	15,000	100.375	15,056.25	107.375 31 Dec 12	16,106.25	553.33	16,659.58	1,050.00	1,200.00

Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Corporate Bonds CONTINUED									
DEL MONTE CORP MAT 15FEB19 Rate 7.625% Pay Frqncy Semi Annual Call 15FEB14 @ 103.813 S&P CCC+ Moody's B3 CUSIP 245217AS3 Yield 6.76%	8,000	103.875	8,310.00	104.25 31 Dec 12	8,340.00	230.44	8,570.44	30.00	610.00
VISTEON CORP GTD SR NT - FULLY MAT 15APR19 Rate 6.75% Pay Frqncy Semi Annual Call 15APR14 @ 105.063 S&P B+ Moody's B2 CUSIP 92839UAF4 Yield 5.51%	7,000	99.000	6,930.00	106.50 31 Dec 12	7,455.00	99.75	7,554.75	525.00	472.50
CINEMARK USA INC MAT 15JUN19 Rate 8.625% Pay Frqncy Semi Annual Call 15JUN14 @ 104.313 S&P BB- Moody's B2 CUSIP 172441AS6 Yield 6.55%	7,000	108.875	7,621.25	110.75 31 Dec 12	7,752.50	26.83	7,779.33	131.25	603.75
NRG ENERGY INC MAT 15JUN19 Rate 8.5% Pay Frqncy Semi Annual Call 15JUN14 @ 104.25 S&P BB- Moody's B1 CUSIP 629377BG6 Yield 6.57%	15,000	103.750	15,562.50	110.00 31 Dec 12	16,500.00	56.67	16,556.67	937.50	1,275.00
HCA- THE HEALTHCARE CO MAT 15FEB20 Rate 7.875% Pay Frqncy Semi Annual Call 15AUG14 @ 103.938 S&P BB Moody's Baa3 CUSIP 404119BJ7 Yield 5.91%	21,000	109.625	23,021.25	111.25 31 Dec 12	23,362.50	624.75	23,987.25	341.25	1,653.75



Seix Investment Advisors - High Yield Bond - C78005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
IRON MOUNTAIN INC MAT 15AUG21 Rate 8.375% Pay Frqncy Semi Annual Call 15AUG14 @ 104.188 S&P B+ Moody's B1 CUSIP 46284PAM6 Yield 6.67%	10,000	109.250	10,925.00	111.00 31 Dec 12	11,100.00	316.39	11,416.39	175.00	837.50
PENN NATIONAL GAMING, INC. MAT 15AUG19 Rate 8.75% Pay Frqncy Semi Annual Call 15AUG14 @ 104.375 S&P BB- Moody's Ba2 CUSIP 707569AN9 Yield 6.14%	13,000	110.750	14,397.50	114.00 31 Dec 12	14,820.00	429.72	15,249.72	422.50	1,137.50
AVIS BUDGET CAR GLOBAL MAT 15JAN19 Rate 8.25% Pay Frqncy Semi Annual Call 15OCT14 @ 104.125 S&P B Moody's B2 CUSIP 053773AN7 Yield 6.14%	5,000	111.500	5,575.00	110.50 31 Dec 12	5,525.00	190.21	5,715.21	(50.00)	412.50
AFFINION GROUP I GLOBAL MAT 15DEC18 Rate 7.875% Pay Frqncy Semi Annual Call 15DEC14 @ 103.938 S&P CCC Moody's Caa1 CUSIP 00828DAN1 Yield 13.86%	8,000	89.188	7,135.00	76.25 31 Dec 12	6,100.00	28.00	6,128.00	(1,035.00)	630.00
UNITED RENTALS NORTH AMERICA MAT 15DEC19 Rate 9.25% Pay Frqncy Semi Annual Call 15DEC14 @ 104.625 S&P B+ Moody's B3 CUSIP 911365AU8 Yield 6.61%	14,000	110.970	15,535.80	114.50 31 Dec 12	16,030.00	57.56	16,087.56	494.20	1,295.00



Seix Investment Advisors - High Yield Bond - C78005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Corporate Bonds CONTINUED									
TENET HEALTHCARE COR MAT 01FEB15 Rate 9.25% Pay Frqncy Semi Annual Call 01FEB15 @ 100 S&P CCC+ Moodys Caa1 CUSIP 880333BGC3 Yield 3.13%	7,000	107.250	7,507.50	112.25 31 Dec 12	7,857.50	269.79	8,127.29	350.00	647.50
SERVICEMASTER CO GLOBAL MAT 15FEB20 Rate 8% Pay Frqncy Semi Annual Call 15FEB15 @ 106 S&P B- Moodys B3 CUSIP 81760NAN9 Yield 7.22%	9,000	108.944	9,805.00	104.25 31 Dec 12	9,382.50	272.00	9,654.50	(422.50)	720.00
CLEAR CHANNEL WORLDWIDE HOLDIN MAT 15MAR20 Rate 7.625% Pay Frqncy Semi Annual Call 15MAR15 @ 105.719 S&P B Moodys B3 CUSIP 18451QAH1 Yield 7.49%	15,000	99.650	14,947.50	100.75 31 Dec 12	15,112.50	336.77	15,449.27	165.00	1,143.75
HUNTINGTON INGALLS INDUSTRIES MAT 15MAR18 Rate 6.875% Pay Frqncy Semi Annual Call 15MAR15 @ 103.438 S&P B+ Moodys Ba3 CUSIP 446413AB2 Yield 4.95%	15,000	105.667	15,850.00	108.75 31 Dec 12	16,312.50	303.65	16,616.15	462.50	1,031.25
INTELSAT JACKSON HLDGS S A MAT 01APR19 Rate 7.25% Pay Frqncy Semi Annual Call 01APR15 @ 103.625 S&P B Moodys B3 CUSIP 45824TAE5 Yield 5.8%	15,000	105.300	15,795.00	107.50 31 Dec 12	16,125.00	271.88	16,396.88	330.00	1,087.50



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
AMERISTAR CASINOS INC MAT 15APR21 Rate 7.5% Pay Frqncy Semi Annual Call 15APR15 @ 105.625 S&P B+ Moody's B3 CUSIP 030700A01 Yield 6.19%	7,000	109.500	7,665.00	108.375 31 Dec 12	7,586.25	110.83	7,697.08	(78.75)	525.00
CCO HOLDINGS LLC MAT 30APR21 Rate 6.5% Pay Frqncy Semi Annual Call 30APR15 @ 104.875 S&P BB- Moody's B1 CUSIP 1248EPAU7 Yield 5.32%	8,000	98.625	7,890.00	107.875 31 Dec 12	8,630.00	88.11	8,718.11	740.00	520.00
WYNN LAS VEGAS LLC / WYNN LAS MAT 01MAY20 Rate 7.875% Pay Frqncy Semi Annual Call 01MAY15 @ 103.938 S&P BBB- Moody's N/A CUSIP 983130APO Yield 5.64%	8,000	107.250	8,580.00	113.25 31 Dec 12	9,060.00	105.00	9,165.00	480.00	630.00
LEVEL 3 FINANCING INC MAT 01JUL19 Rate 8.125% Pay Frqncy Semi Annual Call 01JUL15 @ 104.063 S&P CCC Moody's B3 CUSIP 527298AU7 Yield 6.41%	15,000	107.283	16,092.50	109.00 31 Dec 12	16,350.00	609.38	16,959.38	257.50	1,218.75
ZAYO GROUP LLC / ZAYO CAPITAL MAT 01JAN20 Rate 8.125% Pay Frqncy Semi Annual Call 01JUL15 @ 104.063 S&P B Moody's B1 CUSIP 989194AG0 Yield 6.12%	8,000	111.750	8,940.00	111.25 31 Dec 12	8,900.00	290.69	9,190.69	(40.00)	650.00



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
RANGE RESOURCES CORP MAT 01AUG20 Rate 6.75% Pay Frqncy Semi Annual Call 01AUG15 @ 103.375 S&P BB Moodys Ba3 CUSIP 75281AAL3 Yield 5.37%	15,000	106.200	15,930.00	108.50 31 Dec 12	16,275.00	421.88	16,696.88	345.00	1,012.50
ALLIANT TECHSYSTEMS INC MAT 15SEP20 Rate 6.875% Pay Frqncy Semi Annual Call 15SEP15 @ 103.438 S&P BB- Moodys Ba3 CUSIP 018804AP9 Yield 5.3%	15,000	104.417	15,662.50	109.875 31 Dec 12	16,481.25	303.65	16,784.90	818.75	1,031.25
LEUCADIA NATIONAL CORP MAT 15SEP15 Rate 8.125% Pay Frqncy Semi Annual S&P BB+ Moodys B1 CUSIP 527288BD5 Yield 3.26%	10,000	109.240	10,924.00	112.50 31 Dec 12	11,250.00	0.00	11,250.00	326.00	812.50
LINN ENERGY LLC/LINN ENERGY FI MAT 01FEB21 Rate 7.75% Pay Frqncy Semi Annual Call 15SEP15 @ 103.875 S&P B Moodys B2 CUSIP 536022AF3 Yield 6.69%	7,000	102.273	7,159.10	106.50 31 Dec 12	7,455.00	180.83	7,635.83	295.90	542.50
GENON ENERGY INC MAT 15OCT20 Rate 9.875% Pay Frqncy Semi Annual Call 15OCT15 @ 104.938 S&P B Moodys B3 CUSIP 37244DAF6 Yield 7.23%	9,000	102.250	9,202.50	115.50 31 Dec 12	10,395.00	187.63	10,582.63	1,192.50	888.75



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est Annual Income
Corporate Bonds CONTINUED									
MARKWEST ENERGY PARTNERS LP / MAT 01NOV20 Rate 6.75% Pay Frqncy Semi Annual Call 01NOV15 @ 103 375 S&P BB Moody's Ba3 CUSIP 570506AM7 Yield 5.33%	8,000	102.875	8,230.00	109.00 31 Dec 12	8,720.00	90.00	8,810.00	490.00	540.00
BALL CORP MAT 15MAY21 Rate 5.75% Pay Frqncy Semi Annual Call 15NOV15 @ 102 875 S&P BB+ Moody's Ba1 CUSIP 058498AQ9 Yield 4.55%	8,000	101.313	8,105.00	108.25 31 Dec 12	8,660.00	58.78	8,718.78	555.00	460.00
ENERGY FUTURE / EFH FINAN MAT 01DEC20 Rate 10% Pay Frqncy Semi Annual Call 01DEC15 @ 105 S&P B- Moody's Caa3 CUSIP 292690AA5 Yield 7.81%	14,000	106.696	14,937.50	112.75 31 Dec 12	15,785.00	116.67	15,901.67	847.50	1,400.00
CASE CORP NOTES-BK/ENTRY MAT 15JAN16 Rate 7.25% Pay Frqncy Semi Annual Call 14JAN16 @ 100 S&P BB+ Moody's Ba2 CUSIP 14743RAB9 Yield 2.92%	9,000	107.740	9,696.60	112.50 31 Dec 12	10,125.00	300.88	10,425.88	428.40	652.50
ECHOSTAR DBS CORP GLOBAL MAT 01FEB16 Rate 7.125% Pay Frqncy Semi Annual S&P BB- Moody's Ba2 CUSIP 27876GBE7 Yield 3.02%	21,000	103.750	21,787.50	112.00 31 Dec 12	23,520.00	623.44	24,143.44	1,732.50	1,496.25



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
SANDRIDGE ENERGY INC MAT 15MAR21 Rate 7.5% Pay Frqncy Semi Annual Call 15MAR16 @ 103.75 S&P B Moodys B2 CUSIP 80007PAN9 Yield 6.39%	16,000	99.625	15,940.00	107.00 31 Dec 12	17,120.00	353.33	17,473.33	1,180.00	1,200.00
HEALTH MANAGEMENT NOTES MAT 15APR16 Rate 6.125% Pay Frqncy Semi Annual Call 14APR16 @ 100 S&P BB- Moodys NR CUSIP 421933AH5 Yield 3.53%	7,000	101.500	7,105.00	108.00 31 Dec 12	7,560.00	90.51	7,650.51	455.00	428.75
PLAINS EXPLORATION & PRODUCTIO MAT 01MAY21 Rate 6.625% Pay Frqncy Semi Annual Call 01MAY16 @ 103.313 S&P B Moodys B1 CUSIP 726505AK6 Yield 5.12%	8,000	105.500	8,440.00	110.125 31 Dec 12	8,810.00	88.33	8,898.33	370.00	530.00
CHS/COMMUNITY HEALTH SYSTEMS I MAT 15JUL20 Rate 7.125% Pay Frqncy Semi Annual Call 15JUL16 @ 103.563 S&P B Moodys B3 CUSIP 12543DAQ3 Yield 6%	8,000	102.125	8,170.00	106.75 31 Dec 12	8,540.00	258.08	8,798.08	370.00	570.00
MGM MIRAGE INC MAT 15JAN17 Rate 7.625% Pay Frqncy Semi Annual S&P B+ Moodys B3 CUSIP 552953B86 Yield 5.66%	8,000	100.250	8,020.00	107.00 31 Dec 12	8,560.00	277.89	8,837.89	540.00	610.00



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
AIRCADLE LTD MAT 15APR17 Rate 6.75% Pay Frqncy Semi Annual S&P BB+ Moody's Ba3 CUSIP 009280AF8 Yield 4.92%	8,000	104.000	8,320.00	107.00 31 Dec 12	8,560.00	114.00	8,674.00	240.00	540.00
CT GROUP INC MAT 15MAY17 Rate 5% Pay Frqncy Semi Annual S&P BB- Moody's B1 CUSIP 125581GM4 Yield 3.51%	21,000	100.875	21,183.75	106.00 31 Dec 12	22,260.00	134.17	22,394.17	1,076.25	1,050.00
SALLY HOLDINGS LLC / SALLY CAP MAT 01JUN22 Rate 5.75% Pay Frqncy Semi Annual Call 01JUN17 @ 102.875 S&P BB+ Moody's Ba3 CUSIP 79546VAJ5 Yield 4.63%	7,000	106.750	7,472.50	108.50 31 Dec 12	7,595.00	33.54	7,628.54	122.50	402.50
EL PASO CORP MAT 15JUN17 Rate 7% Pay Frqncy Semi Annual Call 15JUN17 @ 100 S&P BB Moody's Ba2 CUSIP 28336LBQ1 Yield 3.52%	13,000	107.000	13,910.00	114.234 31 Dec 12	14,850.42	40.44	14,890.86	940.42	910.00
SMITHFIELD FOODS INC MAT 01JUL17 Rate 7.75% Pay Frqncy Semi Annual S&P BB Moody's B1 CUSIP 832248AQ1 Yield 3.73%	10,000	102.200	10,220.00	116.50 31 Dec 12	11,650.00	387.50	12,037.50	1,430.00	775.00

Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
AES CORP MAT 15OCT17 Rate 8% Pay Frqncy Semi Annual Call 14OCT17 @ 100 S&P BB- Moody's Ba3 CUSIP 00130HBH7 Yield 4.37%	15,000	106.000	15,900.00	115.50 31 Dec 12	17,325.00	253.33	17,578.33	1,425.00	1,200.00
AUTONATION, INC MAT 15APR18 Rate 6.75% Pay Frqncy Semi Annual Call 15APR18 @ 100 S&P BB+ Moody's Ba2 CUSIP 05329WAJ1 Yield 4%	7,000	103.000	7,210.00	113.00 31 Dec 12	7,910.00	99.75	8,009.75	700.00	472.50
CSC HOLDINGS INC SR NOTES MAT 15JUL18 Rate 7.625% Pay Frqncy Semi Annual S&P BB+ Moody's Ba3 CUSIP 126304AK0 Yield 4.44%	7,000	109.240	7,646.80	115.50 31 Dec 12	8,085.00	246.12	8,331.12	438.20	533.75
SEARS HOLDINGS CORP MAT 15OCT18 Rate 6.625% Pay Frqncy Semi Annual S&P B Moody's B2 CUSIP 812350AEG Yield 8.63%	16,000	82.031	13,125.00	91.00 31 Dec 12	14,560.00	223.78	14,783.78	1,435.00	1,060.00
CHESAPEAKE ENERGY CO MAT 15DEC18 Rate 7.25% Pay Frqncy Semi Annual S&P BB- Moody's Ba3 CUSIP 165167CC9 Yield 5.46%	15,000	106.625	15,993.75	109.00 31 Dec 12	16,350.00	48.33	16,398.33	356.25	1,087.50



Seix Investment Advisors - High Yield Bond - C7B005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
SPRINT CAP CORP COMPANY GTY MAT 01MAY19 Rate 6.9% Pay Frqncy Semi Annual Call 01MAY19 @ 100 S&P B+ Moodys B3 CUSIP 852060AG7 Yield 5.21%	16,000	98.590	15,774.40	109.00 31 Dec 12	17,440.00	184.00	17,624.00	1,665.60	1,104.00
AUTONATION INC MAT 01FEB20 Rate 5.5% Pay Frqncy Semi Annual S&P BB+ Moodys Ba2 CUSIP 05329WAK8 Yield 4.28%	8,000	101.500	8,120.00	107.375 31 Dec 12	8,590.00	183.33	8,773.33	470.00	440.00
ALLY FINANCIAL INC MAT 15MAR20 Rate 8% Pay Frqncy Semi Annual S&P B+ Moodys B1 CUSIP 02005NAE0 Yield 4.33%	8,000	113.500	9,080.00	122.50 31 Dec 12	9,800.00	188.44	9,988.44	720.00	640.00
PEABODY ENERGY CORP MAT 15SEP20 Rate 6.5% Pay Frqncy Semi Annual Call 15SEP20 @ 100 S&P BB+ Moodys Ba1 CUSIP 704549AH7 Yield 5.34%	8,000	100.250	8,020.00	107.25 31 Dec 12	8,580.00	153.11	8,733.11	560.00	520.00
ENERGY TRANSFER EQUITY LP MAT 15OCT20 Rate 7.5% Pay Frqncy Semi Annual Call 15OCT20 @ 100 S&P BB Moodys Ba2 CUSIP 29273VAC4 Yield 5.07%	15,000	105.000	15,750.00	115.50 31 Dec 12	17,325.00	237.50	17,562.50	1,575.00	1,125.00

Seix Investment Advisors - High Yield Bond - C7B005355002
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

FIXED INCOME CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Corporate Bonds CONTINUED									
INTL LEASE FINANCE CORP MAT 15DEC20 Rate 8.25% Pay Frqncy Semi Annual S&P BBB- Moodys Baa3 CUSIP 459745GF6 Yield 5.26%	8,000	108.750	8,700.00	119.25 31 Dec 12	9,540.00	29.33	9,569.33	840.00	660.00
GENWORTH FINANCIAL INC MAT 24SEP21 Rate 7.625% Pay Frqncy Semi Annual S&P BBB- Moodys Baa3 CUSIP 37247DAP1 Yield 6.08%	8,000	100.750	8,060.00	110.357 31 Dec 12	8,828.56	164.36	8,992.92	768.56	610.00
MASCO CORP MAT 15MAR22 Rate 5.95% Pay Frqncy Semi Annual S&P BBB- Moodys Baa3 CUSIP 574599BH8 Yield 4.5%	16,000	99.789	15,966.25	110.849 31 Dec 12	17,735.84	280.31	18,016.15	1,769.59	952.00
GMAC LLC MAT 01NOV31 Rate 8% Pay Frqncy Semi Annual S&P B+ Moodys B1 CUSIP 36186CBY8 Yield 5.64%	14,000	105.875	14,822.50	127.125 31 Dec 12	17,797.50	186.67	17,984.17	2,975.00	1,120.00
Total Fixed Income			749,461.20		786,111.12	13,083.77	799,194.89	36,649.92	54,094.75
TOTAL INVESTMENT POSITIONS - PRINCIPAL									
			762,385.06		799,034.98	13,084.42	812,119.40	36,649.92	54,101.21



Seix Investment Advisors - High Yield Bond - C78005355002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - INCOME

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900GSI72 Yield 05%	76,908.48	1.000	76,908.48	1.00	76,908.48	3.16	76,911.64	0.00	38.45
Total Cash and Short Term Investments			76,908.48		76,908.48	3.16	76,911.64	0.00	38.45
TOTAL INVESTMENT POSITIONS - INCOME									
			76,908.48		76,908.48	3.16	76,911.64	0.00	38.45
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			839,293.54		875,943.46	13,087.58	889,031.04	36,649.92	54,139.66



Lazard Emerging Markets Equity - C78005363002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

CASH AND SHORT TERM INVESTMENTS 4.68% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M D A (L) CJSIP 9900CS172 Yield 05%	32,016.27	1.000	32,016.27	1.00	32,016.27	1.36	32,017.63	0.00	16.01
Total Cash and Short Term Investments			32,016.27		32,016.27	1.36	32,017.63	0.00	16.01

EQUITIES 95.32% SORTED ALPHABETICALLY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock									
AKBANK TURK ANONIM Ticker/CJSIP AKBTV/009719501 Yield .87% Sector . Financials Conv. Ratio 2:1	1,055	10.966	11,568.76	10.05 31 Dec 12	10,602.75	0.00	10,602.75	(966.01)	91.79
AMERICA MOVIL S A B DE CV Ticker/CJSIP AMX/02364W105 Yield 1.3% Sector . Telecommunication Services Conv. Ratio 20:1	708	28.506	20,182.22	23.14 31 Dec 12	16,383.12	0.00	16,383.12	(3,799.10)	213.11
BAIDU INC SPON ADR RPTNG Ticker/CJSIP BIDU/056752108 Sector . Other	86	107.035	9,204.97	100.29 31 Dec 12	8,624.94	0.00	8,624.94	(580.03)	0.00
BANCO DO BRASIL SA Ticker/CJSIP BDOBY/059578104 Yield 1.62% Sector . Financials Conv. Ratio 1:1	1,868	17.155	32,045.89	12.67 31 Dec 12	23,667.56	0.00	23,667.56	(8,378.33)	382.94

Lazard Emerging Markets Equity - c78005363002
Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
BANCO MACRO S.A. Ticker/CUSIP : BMA/05961W105 Sector Financials Conv. Ratio 10:1	433	44.777	19,388.46	18.14 31 Dec 12	7,854.62	0.00	7,854.62	(11,533.84)	0.00
BANK MANDIRI TBK UNSPON Ticker/CUSIP : PPERY/69367U105 Yield 93% Sector Financials Conv Ratio 10:1	1,828	7.244	13,242.69	8.384 31 Dec 12	15,325.95	0.00	15,325.95	2,083.26	142.62
BIDVEST GROUP LTD SPON ADR Ticker/CUSIP : BDVSY/088836309 Yield 2.44% Sector Consumer Discretionary Conv Ratio 2:1	269	45.718	12,298.26	51.90 31 Dec 12	13,961.10	0.00	13,961.10	1,662.84	340.55
CHINA CONSTR BK CORP-CNY Ticker/CUSIP : CICHY/168919108 Yield 3.84% Sector Financials Conv. Ratio 20:1	1,628	16.327	26,580.69	16.23 31 Dec 12	26,422.44	0.00	26,422.44	(158.25)	1,015.81
CHINA MOBILE LTD Ticker/CUSIP : CHL/16941M109 Yield 3.34% Sector Telecommunication Services Conv. Ratio 5:1	368	52.280	19,239.00	58.72 31 Dec 12	21,608.96	0.00	21,608.96	2,369.96	721.65
CIELO SA SPON ADR Ticker/CUSIP : CIOXY/171778202 Yield 3.62% Sector Financials Conv. Ratio 1:1	568	19.130	10,865.86	28.85 31 Dec 12	16,386.80	0.00	16,386.80	5,520.94	592.99
CLUCKS GROUP LIMITED Ticker/CUSIP : CCKRY/18682W106 Yield 1.82% Sector Consumer Staples Conv. Ratio 4:1	525	24.784	13,011.36	31.14 31 Dec 12	16,348.50	0.00	16,348.50	3,337.14	297.68



Lazard Emerging Markets Equity - C7B005363002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
CNOOC LTD SPONS ADR Ticker/CUSIP : CEO/126132109 Yield 2.27% Sector : Energy Conv. Ratio 100:1	64	191 248	12,239 88	220 00 31 Dec 12	14,080 00	0.00	14,080 00	1,840.12	319 30
COMMERICAL INTL BK ADR Ticker/CUSIP : CIBEY/201712304 Yield 2.42% Sector : Financials Conv. Ratio 1:1	1,494	6 794	10,150 73	6 00 31 Dec 12	8,964 00	0.00	8,964 00	(1,186 73)	216 63
COMPANHIA DE BEBIDAS DAS Ticker/CUSIP : ABV/20441W203 Yield 2 03% Sector : Consumer Staples Conv. Ratio 1:1	341	8 351	2,847 78	41 99 31 Dec 12	14,318 59	0.00	14,318 59	11,470 81	290.19
GAZPROM OAO SPONS ADR Ticker/CUSIP : OGZPY/368287207 Yield 4 68% Sector : Financials Conv. Ratio 2:1	1,321	11 609	15,336 14	9.73 31 Dec 12	12,853 33	0.00	12,853 33	(2,482.81)	601.06
GRUPO TELEFONIA SA DE CV Ticker/CUSIP : TV40049J206 Yield .42% Sector : Telecommunication Services Conv. Ratio 5:1	523	24.190	12,651.37	26.58 31 Dec 12	13,901 34	0.00	13,901.34	1,249 97	58 58
KB FINANCIAL GROUP INC ADR Ticker/CUSIP : KB/48241A105 Yield 1 33% Sector : Financials Conv. Ratio 1:1	465	36.297	16,878.09	35 90 31 Dec 12	16,693 50	0.00	16,693 50	(184 59)	221 34
KIMBERLY CLARKE DE MEX SA Ticker/CUSIP : KCDMY/494386204 Yield 3.59% Sector : Materials Conv. Ratio 5:1	1,341	10 313	13,830 18	12 90 31 Dec 12	17,298 90	0.00	17,298 90	3,468 72	620 88



Lazard Emerging Markets Equity - C7B005363002

Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency: USD

INVESTMENT POSITIONS - PRINCIPAL

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
KOC HOLDING AS UNSPON ADR Ticker/CUSIP : KHOLY/49989A109 Yield 1.08% Sector : Industrials Conv. Ratio 5:1	616	21.834	13,449.69	26.16 31 Dec 12	16,114.56	0.00	16,114.56	2,664.87	173.71
LUKOIL OIL SPONS ADR Ticker/CUSIP : LUKOY/677862104 Yield 4.52% Sector : Energy Conv. Ratio 1:1	204	55.466	11,315.13	67.50 31 Dec 12	13,770.00	0.00	13,770.00	2,454.87	623.02
MOBILE TELESYSTEMS OJSC Ticker/CUSIP : MBT/607409109 Yield 3.97% Sector : Telecommunication Services Conv. Ratio 2:1	1,037	20.765	21,533.45	18.65 31 Dec 12	19,340.05	0.00	19,340.05	(2,193.40)	767.38
NEDBANK GROUP LTD Ticker/CUSIP : NDBKY/63975K104 Yield 3.26% Sector : Financials Conv. Ratio 1:1	684	18.625	12,739.19	22.54 31 Dec 12	15,417.36	0.00	15,417.36	2,678.17	502.06
NETEASE INC Ticker/CUSIP : NTES/64110W102 Sector : Consumer Discretionary	197	39.740	7,828.69	42.53 31 Dec 12	8,378.41	0.00	8,378.41	549.72	0.00
ORASCOM CONSTR INDS S A E Ticker/CUSIP : ORSCY/68554N403 Sector : Industrials Conv. Ratio 1:1	293	46.372	13,587.14	41.95 31 Dec 12	12,291.35	0.00	12,291.35	(1,295.79)	0.00
ORIFLAME COSMETICS UNSPON Ticker/CUSIP : OFLMY/686194101 Yield 5.49% Sector : Consumer Staples Conv. Ratio 5:1	721	26.740	19,279.87	15.87 31 Dec 12	11,442.27	0.00	11,442.27	(7,837.60)	627.67



Lazard Emerging Markets Equity - C7B005363002

Statement Period 1 Dec 2012 - 31 Dec 2012

Citi Trust



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
PHILLIPPINE LONG DISTANCE Ticker/CUSIP : PHU718252604 Yield 3.51% Sector : Telecommunication Services Conv. Ratio 1:1	311	55.512	17,264.30	61.31 31 Dec 12	19,067.41	0.00	19,067.41	1,803.11	669.58
PRETORIA PORTLAND UNSPON Ticker/CUSIP : PPCY/69354A104 Yield 3.24% Sector : Materials Conv. Ratio 2:1	1,045	9.507	9,934.75	8.03 31 Dec 12	8,391.35	0.00	8,391.35	(1,543.40)	271.70
PT TELEKOMUNIKASI Ticker/CUSIP : TLK/715684106 Yield 2.97% Sector : Telecommunication Services Conv. Ratio 40:1	531	36.403	19,330.16	36.95 31 Dec 12	19,620.45	0.00	19,620.45	290.29	582.51
PTT EXPLORATION AND Ticker/CUSIP : PEXNY/69364V106 Yield 2.9% Sector : Energy Conv. Ratio 2:1	746	10.719	7,996.55	10.98 31 Dec 12	8,191.08	0.00	8,191.08	194.53	237.23
SANLAM LTD SPON ADR Ticker/CUSIP : SLLDY/801040208 Yield 2.94% Sector : Financials Conv. Ratio 5:1	476	19.720	9,386.72	26.84 31 Dec 12	12,775.84	0.00	12,775.84	3,389.12	375.56
SBERBANK SPONSORED ADR Ticker/CUSIP : SBRGY/80585Y308 Yield 1.54% Sector : Financials Conv. Ratio 4:1	1,859	12.173	22,628.98	12.56 31 Dec 12	23,349.04	0.00	23,349.04	720.06	360.65
SEMEN GRESIK UNSPON ADR Ticker/CUSIP : PSGTY/69367J100 Yield 1.58% Sector : Materials Conv. Ratio 20:1	291	21.344	6,211.11	32.10 31 Dec 12	9,341.10	0.00	9,341.10	3,129.99	148.01

Lazard Emerging Markets Equity - C7B005363002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
SHINHAN FINANCIAL GRP CO Ticker/CUSIP : SHG/824596100 Yield 1.38% Sector : Financials Conv. Ratio 1:1	475	38.708	18,386.11	36.64 31 Dec 12	17,404.00	0.00	17,404.00	(982.11)	239.88
SHOPRITE HOLDINGS UNSPON Ticker/CUSIP : SRHGY/82510E100 Yield 1.2% Sector : Consumer Staples Conv. Ratio 2:1	314	29.377	9,224.41	48.85 31 Dec 12	15,338.90	0.00	15,338.90	6,114.49	183.88
STANDARD BANK GROUP LTD/SO Ticker/CUSIP : SGBLY/853118206 Yield 7.51% Sector : Financials Conv. Ratio 1:1	791	14.870	11,762.17	14.225 31 Dec 12	11,251.97	0.00	11,251.97	(510.20)	844.79
TAIWAN SEMICONDUCTOR MFG Ticker/CUSIP : TSM/874039100 Yield 2.32% Sector : Information Technology Conv. Ratio 5:1	1,356	12.175	16,509.27	17.16 31 Dec 12	23,268.96	0.00	23,268.96	6,759.69	539.69
TIGER BRANDS LTD Ticker/CUSIP : TBLMY/88673M201 Yield 2.06% Sector : Consumer Staples Conv. Ratio 1:1	330	27.440	9,055.19	38.91 31 Dec 12	12,840.30	0.00	12,840.30	3,785.11	264.66
TURKCELL ILETISM HIZMET Ticker/CUSIP : TKC/900111204 Sector : Telecommunication Services Conv. Ratio 2.5:1	1,205	16.959	20,436.18	16.14 31 Dec 12	19,448.70	0.00	19,448.70	(987.48)	0.00
UNITED TRACTORS UNSPON ADR Ticker/CUSIP : PUTKY/69367T108 Yield 2.53% Sector : Industrials Conv. Ratio 20:1	272	47.726	12,981.36	40.27 31 Dec 12	10,953.44	0.00	10,953.44	(2,027.92)	276.98



Lazard Emerging Markets Equity - C7B005363002

Statement Period 1 Dec 2012 - 31 Dec 2012



INVESTMENT POSITIONS - PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
Common Stock CONTINUED									
VALE S.A. CLASS A Ticker/CUSIP VALEP/91912E204 Yield 1 53% Sector : Materials Conv. Ratio 1 1	938	29.190	27,380.26	20.29 31 Dec 12	19,032.02	0.00	19,032.02	(8,348.24)	290.78
VODACOM LTD UNSPN ADR Ticker/CUSIP VDCMY/92858D101 Yield 4.73% Sector : Telecommunication Services Conv. Ratio 1 1	656	13.729	9,006.16	15.05 31 Dec 12	9,872.80	0.00	9,872.80	866.64	467.07
WEICHAI POWER CO UNSPON Ticker/CUSIP WEICY/948597109 Yield .52% Sector : Industrials Conv. Ratio 4 1	743	19.860	14,755.78	18.11 31 Dec 12	13,455.73	0.00	13,455.73	(1,300.05)	69.84
WOOLWORTHS HLDGS LTD-ZAR Ticker/CUSIP WLWHY/98088R505 Yield 2.39% Sector : Consumer Discretionary Conv. Ratio 10 1	160	75.430	12,068.80	85.44 31 Dec 12	13,670.40	0.00	13,670.40	1,601.60	326.88
WYNN MACAUS LMTD Ticker/CUSIP WYNNY/98313R106 Sector Consumer Discretionary Conv. Ratio 10 1	279	29.249	8,160.47	27.40 31 Dec 12	7,644.60	0.00	7,644.60	(515.87)	0.00
YPF SA SPON ADR Ticker/CUSIP YPF/984245100 Yield 1 11% Sector Energy Conv. Ratio 1 1	340	42.819	14,558.44	14.55 31 Dec 12	4,947.00	0.00	4,947.00	(9,611.44)	54.74
Total Equities			648,332.66		651,915.49	0.00	651,915.49	3,582.83	15,025.39

Lazard Emerging Markets Equity - C78005363002
Statement Period 1 Dec 2012 - 31 Dec 2012

Reference Currency USD

INVESTMENT POSITIONS - PRINCIPAL

	Total Cost	Market Value	Accrued Interest or Dividend	Total Value	Unrealized Gain/Loss	Est. Annual Income
TOTAL INVESTMENT POSITIONS - PRINCIPAL	680,348.93	683,931.76	1.36	683,933.12	3,582.83	15,041.40



Lazard Emerging Markets Equity - C7B005363002

Statement Period 1 Dec 2012 - 31 Dec 2012

INVESTMENT POSITIONS - INCOME

Reference Currency: USD

CASH AND SHORT TERM INVESTMENTS 100.00% SORTED BY LIQUIDITY

Description	Qty	Average/ Unit Cost	Total Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/Loss	Est. Annual Income
Cash									
USD	0.00	0.000	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Short Term Investments									
CITIBANK M.D.A. (L) CUSIP 9900CST72 Yield 05%	35,638.56	1.000	35,638.56	1.00	35,638.56	1.50	35,640.06	0.00	17.82
Total Cash and Short Term Investments			35,638.56		35,638.56	1.50	35,640.06	0.00	17.82
TOTAL INVESTMENT POSITIONS - INCOME			35,638.56		35,638.56	1.50	35,640.06	0.00	17.82
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME			715,987.49		719,570.32	2.86	719,573.18	3,582.83	15,059.22

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete **only Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete **only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return See
instructions

Name of exempt organization or other filer, see instructions.

31-15C033738575 EL & JB STEINIGER
FOUNDATION

Employer identification number (EIN) or

13-3585674

Number, street, and room or suite no. If a P.O. box, see instructions

TWO COURT SQUARE, 8TH FLOOR

Social security number (SSN)

City, town or post office, state, and ZIP code For a foreign address, see instructions.

LONG ISLAND CITY, NY 11120

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ CITIBANK, N.A.

Telephone No. ▶ (800) 770-8444

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year 2012 or
- ▶ ☐ tax year beginning , 20 , and ending , 20 .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	13,496.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	12,096.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	1,400.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)