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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: KEEFE FAMILY FOUNDATION

A Employer identification number: 13-3520397

Number and street (or P O box number if mail is not delivered to street address): 21 AIKEN ROAD

Room/suite:

B Telephone number (see instructions):

City or town, state, and ZIP code: GREENWICH CT 06831

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 7,131,349

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	229,798	229,798	229,798	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	(2,725)			
	b	Gross sales price for all assets on line 6a 1,624,766				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			4,544	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)	0			
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	227,073	229,798	234,342	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	7,950	7,950	7,950	
	b	Accounting fees (attach schedule)	4,180	4,180	4,180	
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	5,626	5,626	5,626	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	300	300	300	300
	24	**Total operating and administrative expenses.** Add lines 13 through 23	18,056	18,056	18,056	300
	25	Contributions, gifts, grants paid	368,400			368,400
	26	**Total expenses and disbursements.** Add lines 24 and 25	386,456	18,056	18,056	368,700
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(159,383)			
	b	**Net investment income** (if negative, enter -0-)		211,742		
	c	**Adjusted net income** (if negative, enter -0-)			216,286	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing	83,946	125,353	125,353
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	96,680	0	0
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	4,954,468	4,904,454	6,955,718
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ CD'S)	195,000	50,000	50,278
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,330,094	5,079,807	7,131,349
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	5,330,094	5,079,807	
30	**Total net assets or fund balances** (see instructions)	5,330,094	5,079,807	
31	**Total liabilities and net assets/fund balances** (see instructions)	5,330,094	5,079,807	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,330,094
2	Enter amount from Part I, line 27a	2	(159,383)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,170,711
5	Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	90,904
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,079,807

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHMENT	P	VAR	VAR
b	SEE ATTACHMENT	P	VAR	VAR
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				(7,265)
b				4,544
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	(2,725)
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	4,544

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	356,621	6,779,207	0.0526
2010	326,217	6,596,245	0.0495
2009	335,427	5,883,470	0.0570
2008	375,345	7,364,284	0.0510
2007	434,175	7,930,007	0.0548

2	**Total** of line 1, column (d)	2	0.2649
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0529
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	7,022,555
5	Multiply line 4 by line 3	5	371,493
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,117
7	Add lines 5 and 6	7	373,610
8	Enter qualifying distributions from Part XII, line 4	8	368,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	4,235
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	4,235
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	4,235
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 5,500		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	5,500
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,265
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 1,265 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CONNECTICUT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ STEVEN M. LEICHT Telephone no. ▶ 212-921-5777
Located at ▶ 570 7TH AVE.,SUITE 1804, NEW YORK, NY ZIP+4 ▶ 10018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED STATEMENT				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,931,472
b	Average of monthly cash balances	1b	198,025
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	7,129,497
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,129,497
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	106,942
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,022,555
6	**Minimum investment return.** Enter 5% of line 5	6	351,128

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	351,128
2a	Tax on investment income for 2012 from Part VI, line 5	2a	4,235		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,235
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	346,893
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	346,893
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	346,893

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	368,700
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	368,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	368,700

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				346,893
2	Undistributed income, if any, as of the end of 2012:				
a	Enter amount for 2011 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2012:				
a	From 2007 . . . 49,055				
b	From 2008 . . . 13,146				
c	From 2009 . . . 45,967				
d	From 2010 . . . 1,510				
e	From 2011 . . . 25,650				
f	**Total** of lines 3a through e	135,328			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 368,700				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				346,893
e	Remaining amount distributed out of corpus	21,807			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	157,135			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e	Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	49,055			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	108,080			
10	Analysis of line 9:				
a	Excess from 2008 . . . 13,146				
b	Excess from 2009 . . . 45,967				
c	Excess from 2010 . . . 1,510				
d	Excess from 2011 . . . 25,650				
e	Excess from 2012 . . . 21,807				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT ATTACHED

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT ATTACHED			TO PERMIT VARIOUS DONEES TO CARRYOUT THEIR CHARITABLE PURPOSES	368,400
Total ▶ 3a				368,400
b *Approved for future payment*				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		0	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 0

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NONE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	**1a(1)**		X
	(2) Other assets	**1a(2)**		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		X
	(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		X
	(3) Rental of facilities, equipment, or other assets	**1b(3)**		X
	(4) Reimbursement arrangements	**1b(4)**		X
	(5) Loans or loan guarantees	**1b(5)**		X
	(6) Performance of services or membership or fundraising solicitations	**1b(6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 1/28/2013 Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEVEN M LEICHT	[signature]	1/25/13		P00848227
Firm's name ▶ LEICHT & REIN TAX ASSOCIATES LTD			Firm's EIN ▶ 22-2760934	
Firm's address ▶ 570 7TH AVENUE, STE. 1804 NY, NY 10018			Phone no 212-921-5777	

KEEFE FAMILY FOUNDATION
13-3520397
FORM 990PF

PART 1- LINE 16A

Legal Fee-Gilbridge Tusa Last & Spellane		7,950

PART P1- LINE 16b

Accounting Fees		
Leicht &Rein Tax Associates		4,180

PART P1- LINE 18

Federal Tax		5,626

PART P1- LINE 23

Investment Fees	275	
Bank Charges	25	
		300

KEEFE FAMILY FOUNDATION
Profit & Loss
January through December 2012

KEEFE FAMILY FOUNDATION

EIN 13-3520397

PART XV - CONTRIBUTIONS PAID

	Jan - Dec 12
CONTRIBUTIONS	
BOSTON LATIN SCH ASSN	60,000 00
BRUNSWICK SCHOOL	15,000 00
EAGLE BLUFF	3,600 00
GET YOUR GUTS IN GEAR	20,000 00
GREEN CHIMNEYS	25,000 00
HUDSON SCHOOL	55,000 00
KALEIDOSCOPE YOUTH CENTER	20,300 00
LAFAYETTE COLLEGE	20,000 00
LONG ISLAND CADDIE SCHOLARSHIP	8,000 00
MARITIME AQUARIUM	10,000 00
RONALD MCDONALD HOUSE	75,000 00
WHEATON COLLEGE	31,500 00
WILDLIFE CONSERVATION SOCIETY	25,000.00
Total CONTRIBUTIONS	368,400 00

KEEFE FAMILY FOUNDATION
FMV SECURITIES
12/31/2012

CD'S		50278.00
CORPORATE BONDS	36750.00	
CORPORATE BONDS	191475.00	228225.00
PREFERRED STOCK	227650.00	
PREFERRED STOCK	176220.00	403870.00
EQUITIES	1258243.00	
EQUITIES	5045880.00	6304123.00
MUTUAL FUNDS		19500.00
TOTAL		6955718.00

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

PREFERRED STOCKS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
AEGON NV PFD STOCK 7.25% PERP CAP SECS MOODY'S: BAA1 S&P: BBB CINS: N00927348	09/14/07	1 2,000	50,000.00	25.0700	**50,140.00**	140.00		**3,625**	7 22
BARCLAYS BANK PLC AMERICAN DEP SHRS SRS 5 8.125% NON CUM PERP MOODY'S: BA2 S&P: BBB CUSIP: 06739H362	04/08/08	1,000	25,000.00	25.4300	**25,430.00**	430.00		**2,031**	7 98
DEUTSCHE BK CAPITAL FDG TRUST X PFD NON-CUMUL 07.350% PERPETUAL MOODY'S: BA2 S&P. BBB CUSIP: 25154D102	11/07/07	4,000	100,000.00	25.0700	**100,280.00**	280.00		**7,349**	7.32
FORD MOTOR CO SR NOTES 07.500% JUNE 10 2043 MOODY'S: BAA3 S&P: BB+ CUSIP: 345370852	06/18/03	1,000	25,000.00	25.9000	25,900.00	900 00		1,875	7 23
FORD MOTOR CO	07/30/07	100	1,829.45	25.9000	2,590.00	760.55		188	7 23
FORD MOTOR CO	08/03/07	900	16,165.23	25.9000	23,310.00	7,144.77		1,688	7.23
Subtotal		*2,000*	*42,994.68*		**51,800.00**	*8,805 32*		**3,751**	7.23
TOTAL		9,000	217,994.68		**227,650.00**	9,655.32		**16,756**	7 36

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALTRIA GROUP INC	MO	10/07/09	2,000	17.9123	35,824.65	31.4400	**62,880.00**	27,055.35	**3,521**	5.59
AMERICAN INTERNATIONAL	AIG	04/16/12	1,000	32.9870	32,987.00	35.3000	35,300.00	2,313.00		
GROUP INC		08/15/12	1,000	34.4496	34,449.60	35.3000	35,300 00	850.40		
Subtotal			*2,000*		*67,436.60*		**70,600.00**	*3,163 40*		

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BANK NEW YORK MELLON	BK	12/28/01	12,000	27.1600	325,920 01	25.7000	308,400 00	(17,520.01)	6,240	2 02
CORP		03/28/02	9,000	24.6500	221,850.00	25.7000	231,300 00	9,450 00	4,680	2 02
Subtotal			*21,000*		*547,770.01*		**539,700.00**	*(8,070.01)*	**10,920**	*2.02*
BANK OF AMERICA CORP	BAC	01/20/98	2,153	26.1829	56,371 88	11.6100	24,996.33	(31,375 55)	87	.34
		03/07/05	1,180	21.1864	25,000 00	11 6100	13,699 80	(11,300.20)	48	.34
		05/19/09	2,500	10.0000	25,000.00	11.6100	29,025.00	4,025 00	100	34
Subtotal			*5,833*		*106,371.88*		**67,721.13**	*(38,650.75)*	**235**	*.34*
BAXTER INTERNTL INC	BAX	07/07/10	500	42.9325	21,466.28	66.6600	**33,330.00**	11,863 72	**901**	2 70
BERKSHIRE HATHAWAYINC *DEL CL B NEW*	BRKB	06/18/01	4,700	13.1800	61,946.00	89 7000	**421,590.00**	359,644 00		
CABLEVISION NY GRP CL A	CVC	08/11/11	1,500	17.8328	26,749 20	14.9400	**22,410.00**	(4,339 20)	**901**	4 01
CAPITAL BANK FINL CORP	CBF	01/24/11	131	20.8188	2,727 27	17.0700	2,236 17	(491.10)		
CL A SHARES		N/A	12	N/A	N/A	17.0700	204 84	N/A		
(.1310 FRACTIONAL SHARE)		01/24/11		20 8396	2 73	17.0700	2 24	(0 49)		
(8690 FRACTIONAL SHARE)		N/A		N/A	N/A	17 0700	14.83	N/A		
Subtotal			*144*		*2,730.00*		**2,458.08**	*(491.59)*		
CENTERSTATE BANKS, INC	CSFL	07/02/07	2,263	2.4600	5,566.98	8.5300	**19,303.39**	13,736 41	**91**	.46
CHUBB CORP	CB	04/22/10	1,500	52.4633	78,695.09	75 3200	**112,980.00**	34,284.91	**2,461**	2.17
CISCO SYSTEMS INC COM	CSCO	08/11/11	1,500	16.1765	24,264.77	19.6494	**29,474.10**	5,209 33	**841**	2 85
COCA COLA COM	KO	08/31/10	2,000	28.1430	56,286 13	36.2500	**72,500.00**	16,213.87	**2,041**	2.81
CORNING INC	GLW	09/14/12	3,000	13.2725	39,817.55	12.6200	**37,860.00**	(1,957.55)	**1,080**	2 85
DIGIMARC CORP	DMRC	10/21/08	428	9.4500	4,044.60	20.7000	**8,859.60**	4,815 00	**189**	2 12
DOLE FOOD COMPANY INC	DOLE	08/15/12	2,000	12.6090	25,218.15	11.4700	**22,940.00**	(2,278 15)		
DOMINION RES INC NEW VA	D	09/24/02	800	24.6319	19,705 55	51.8000	**41,440.00**	21,734 45	**1,689**	4 07
DOW CHEMICAL CO	DOW	04/16/12	1,200	33.8679	40,641.52	32.3294	**38,795.28**	(1,846.24)	**1,536**	3.95

KEEFE FAMILY FOUNDATION

Account Number: 840-04A63

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DUKE ENERGY CORP NEW	DUK	09/24/02	166	34.3716	5,705.70	63.8000	10,590.80	4,885.10	508	4.79
		09/24/02	167	34 3878	5,742.77	63.8000	10,654.60	4,911.83	512	4.79
Subtotal			*333*		*11,448.47*		**21,245.40**	*9,796.93*	**1,020**	*4.79*
ELI LILLY & CO	LLY	03/17/11	1,000	34 3677	34,367.75	49.3200	**49,320.00**	14,952 25	**1,960**	3 97
EXPRESS SCRIPTS HLDG CO	ESRX	07/07/00	664	18.1481	12,050.37	54 0000	**35,856.00**	23,805 63		
EXXON MOBIL CORP COM	XOM	02/01/00	4,000	34.2500	137,000.00	86.5500	**346,200.00**	209,200.00	**9,120**	2 63
FORD MOTOR CO	F	11/14/07	4,000	8.1908	32,763.35	12.9500	51,800.00	19,036.65	800	1.54
		04/22/10	1,000	14.3683	14,368.34	12.9500	12,950.00	(1,418.34)	200	1 54
Subtotal			*5,000*		*47,131.69*		**64,750.00**	*17,618.31*	**1,000**	*1 54*
GENERAL ELECTRIC	GE	05/07/09	2,000	14 4454	28,890.98	20.9900	41,980.00	13,089.02	1,521	3.62
		01/13/12	2,000	18.9468	37,893 65	20.9900	41,980.00	4,086 35	1,521	3 62
Subtotal			*4,000*		*66,784 63*		**83,960.00**	*17,175 37*	**3,042**	*3 62*
GLOBECOMM SYS INC	GCOM	05/21/10	4,000	8.0524	32,209.67	11.3000	**45,200.00**	12,990.33		
INTEL CORP	INTC	08/11/11	1,000	20.7339	20,733 93	20 6200	**20,620.00**	(113 93)	**900**	4 36
JETBLUE AIRWAYS CORP DEL	JBLU	07/31/09	2,000	5 2832	10,566.59	5.7200	11,440.00	873 41		
		08/31/10	2,000	5 8953	11,790.78	5 7200	11,440.00	(350.78)		
Subtotal			*4,000*		*22,357.37*		**22,880.00**	522 63		
JOHNSON AND JOHNSON COM	JNJ	01/13/12	500	65 3612	32,680 60	70.1000	**35,050.00**	2,369.40	**1,220**	3 48
KIMBERLY CLARK	KMB	07/21/11	1,000	68.0171	68,017 18	84.4300	**84,430.00**	16,412.82	**2,961**	3.50
LUMINEX CORP DEL COM	LMNX	12/15/09	3,000	14.8803	44,641.19	16.7985	**50,395.50**	5,754.31		
MERCK AND CO INC SHS	MRK	07/23/04	3,400	27 4600	93,364.00	40 9400	**139,196.00**	45,832.00	**5,849**	4.20
MICROSOFT CORP	MSFT	08/11/11	1,000	25.1760	25,176.08	26.7097	**26,709.70**	1,533.62	**920**	3.44
NATIONAL GRID PLC SP ADR	NGG	08/31/10	2,000	42.7419	85,483.91	57.4400	**114,880.00**	29,396 09	**6,332**	5 51
NEWS CORP CL B	NWS	07/21/11	2,500	17.2741	43,185.27	26.2400	65,600.00	22,414.73	426	.64
		01/13/12	1,500	19.2066	28,809.90	26.2400	39,360.00	10,550.10	256	.64
Subtotal			*4,000*		*71,995.17*		**104,960.00**	*32,964.83*	**682**	*.64*

KEEFE FAMILY FOUNDATION

Account Number: 840-04A63

24-Hour Assistance: (800) MERRILL
Access Code: 91-840-04263

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol/Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NEXTERA ENERGY INC SHS	NEE 09/24/02	800	25 8820	20,705 67	69.1900	55,352 00	34,646.33	1,920	3 46
	04/22/10	800	51.2433	40,994 70	69.1900	55,352 00	14,357 30	1,920	3 46
Subtotal		*1,600*		*61,700.37*		***110,704.00***	*49,003.63*	***3,840***	*3 46*
PFIZER INC	PFE 03/17/11	2,000	19.8930	39,786.08	25 0793	50,158.60	10,372 52	1,921	3 82
	01/13/12	1,500	22.1614	33,242.11	25 0793	37,618.95	4,376.84	1,441	3.82
Subtotal		*3,500*		*73,028.19*		***87,777.55***	*14,749.36*	***3,362***	*3 82*
PHILIP MORRIS INTL INC	PM 12/15/09	2,000	50.4846	100,969.21	83.6400	**167,280.00**	66,310.79	**6,800**	4.06
PLUM CREEK TIMBER CO INC	PCL 02/04/00	5,000	22.2500	111,250.00	44.3700	**221,850.00**	110,600.00	**8,400**	3.78
PORTLAND GEN ELEC CO	POR 07/07/10	1,000	18.8580	18,858 07	27.3600	**27,360.00**	8,501.93	**1,081**	3 94
PPL CORPORATION	PPL 05/21/10	1,500	25.0320	37,548.12	28.6300	**42,945.00**	5,396 88	**2,160**	5 02
PROCTER & GAMBLE CO	PG 10/03/05	5,000	48.1000	240,500.00	67.8900	**339,450.00**	98,950.00	**11,240**	3.31
SERVICE CORP INTL	SCI 08/31/10	5,000	7.9552	39,776.13	13.8100	**69,050.00**	29,273 87	**1,201**	1 73
SPECTRA ENERGY CORP	SE 09/24/02	250	16.5184	4,129 61	27.3800	6,845 00	2,715.39	305	4 45
	09/24/02	250	16.5262	4,131 56	27.3800	6,845.00	2,713 44	305	4.45
	07/07/10	1,000	21 0590	21,059 04	27 3800	27,380 00	6,320 96	1,220	4 45
Subtotal		*1,500*		*29,320.21*		***41,070.00***	*11,749.79*	***1,830***	*4.45*
STATE STREET CORP	STT 06/23/00	1,200	51.8344	62,201.35	47 0100	56,412.00	(5,789.35)	1,152	2.04
	06/23/00	800	51.8925	41,514 00	47.0100	37,608.00	(3,906 00)	768	2 04
	01/17/01	800	54.0629	43,250 38	47 0100	37,608.00	(5,642 38)	768	2 04
	01/17/01	200	54.0550	10,811.00	47.0100	9,402 00	(1,409.00)	192	2.04
Subtotal		*3,000*		*157,776.73*		***141,030.00***	*(16,746.73)*	***2,880***	*2 04*
SYSCO CORPORATION	SYY 08/15/12	1,500	31 0107	46,516.08	31.6600	**47,490.00**	973 92	**1,681**	3 53
TECO ENERGY INC	TE 05/28/03	1,500	13.4194	20,129.20	16.7600	**25,140.00**	5,010.80	**1,320**	5 25
THE MOSAIC COMPANY COMMON SHARES	MOS 04/16/12	800	50.5957	40,476 58	56.6300	**45,304.00**	4,827 42	**800**	1 76

YOUR EMA ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TRAVELERS COS INC	TRV	03/25/96	46	17.2893	795.31	71.8200	3,303.72	2,508.41	85	2 56
		03/25/96	95	18.5614	1,763.34	71 8200	6,822.90	5,059.56	175	2 56
		01/20/98	38	25.6905	976.24	71.8200	2,729.16	1,752.92	70	2 56
		01/20/98	77	28.1103	2,164.50	71.8200	5,530.14	3,365.64	142	2.56
Subtotal			256		5,699.39		**18,385.92**	12,686.53	**472**	2.56
UNS ENERGY CORP	UNS	02/10/05	1,000	31.2178	31,217.80	42.4200	**42,420.00**	11,202.20	**1,720**	4 05
VEECO INSTRUMENTS INC	VECO	05/07/09	1,200	8.6528	10,383.41	29.4900	35,388.00	25,004.59		
		01/13/12	400	23.5799	9,431.99	29.4900	11,796.00	2,364.01		
Subtotal			1,600		19,815.40		**47,184.00**	27,368.60		
VODAFONE GROP PLC SP ADR	VOD	03/17/11	1,500	28.1374	42,206.15	25.1900	**37,785.00**	(4,421.15)	**2,250**	5.95
WASTE MANAGEMENT INC NEW	WM	04/22/10	1,000	35 5157	35,515.76	33.7400	**33,740.00**	(1,775.76)	**1,420**	4.20
WELLS FARGO & CO NEW DEL	WFC	04/28/97	19,000	11 8063	224,320.86	34.1800	**649,420.00**	425,099.14	**16,715**	2 57
TOTAL					3,306,601.22		**5,045,879.65**	1,739,058.76	**130,584**	2 59

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
ALTRIA GROUP INC	MO	Buy (B17)	Hold	Buy
AMERICAN INTERNATIONAL	AIG	Buy (C19)	Buy	Buy
BANK NEW YORK MELLON	BK	Neutral (B27)	Buy	Hold
BAXTER INTERNTL INC	BAX	Buy (B17)	Hold	Buy
CABLEVISION NY GRP CL A	CVC	Buy (C17)	Hold	Hold
CHUBB CORP	CB	Neutral (A27)	Buy	Buy
CISCO SYSTEMS INC COM	CSCO	Buy (B17)	Buy	Buy
COCA COLA COM	KO	Buy (A17)	Hold	Buy
CORNING INC	GLW	Buy (C17)	Buy	Hold
DOMINION RES INC NEW VA	D	N/A	Hold	Hold
DUKE ENERGY CORP NEW	DUK	N/A	Hold	Hold

CDs/EQUIVALENTS

Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
CD AMER EXPRESS BK FSB SALT LAKE CITY, UT 03.100% APR 15 2013 CUSIP: 02580VLG8	04/06/09	50,000	50,000.00	100.5551	**50,277.55**	277.55	326.99	**1,550**	3.08
TOTAL		50,000	50,000.00		**50,277.55**	277.55	326.99	**1,550**	3 08

KEEFE FAMILY FOUNDATION

Account Number: 840-04H68

YOUR EMA SUBACCOUNT ASSETS

December 01, 2012 - December 31, 2012

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
MBIA INC 06.400% AUG 15 2022 MOODY'S: CAA1 S&P: B- CUSIP: 55262CAH3 PAR CALL DATE: 08/15/06 PAR CALL PRICE: 100 00	08/16/02	50,000	50,000.00	73.5000	**36,750.00**	(13,250.00)	408.89	**3,200**	8.70
TOTAL		50,000	50,000 00		**36,750.00**	(13,250 00)	408 89	**3,200**	8 71

PREFERRED STOCKS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
BANK OF AMERICA SER 2 PFD STK MOODY'S: B1 S&P. BB+ CUSIP: 060505625	03/07/05	1,000	25,000.00	18.3100	**18,310.00**	(6,690 00)		**766**	4 18
CITIGROUP CAP TRUST XV TRUST PFD SECS 06.500% SEP 15 2066 MOODY'S: BA2 S&P: BB CUSIP: 17310G202	09/07/06	1,000	25,000.00	25.1001	**25,100.10**	100.10		**1,626**	6.47
DB CONT CAP TRUST III CUM TR PFD SEC 7.60% PERPETUAL MOODY'S: BA2 S&P: BBB CUSIP: 25154A108	02/12/08	2,000	50,000.00	26.9400	**53,880.00**	3,880.00		**3,800**	7.05
ING GROUP NV PERP HYBRID CAPITAL SECS 7.375% PFD NON CUM MOODY'S: BA1 S&P: BBB- CUSIP: 456837707	09/27/07	1,000	25,000.00	24.9900	**24,990.00**	(10.00)		**1,843**	7 37
VORNADO REALTY TR SER J CUM REDEEMABLE PFD SHRS 6.875% PERPETUAL MOODY'S: BAA3 S&P: BBB- CUSIP: 929042869	04/13/11	2,000	50,000.00	26.9700	**53,940.00**	3,940.00		**3,436**	6.37
TOTAL		7,000	175,000.00		**176,220.10**	1,220.10		**11,471**	6 51

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

YOUR EMA SUBACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES: Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BANK NEW YORK MELLON CORP	BK	12/28/01	2,000	27.1600	54,320.01	25.7000	51,400.00	(2,920.01)	1,040	2 02
		03/28/02	4,717	24.6500	116,274.05	25.7000	121,226.90	4,952.85	2,453	2 02
Subtotal			6,717		170,594.06		**172,626.90**	2,032.84	**3,493**	2.02
BRISTOL-MYERS SQUIBB CO	BMY	11/08/12	1,000	32.6530	32,653.00	32.5900	**32,590.00**	(63 00)	**1,400**	4.29
CABLEVISION NY GRP CL A	CVC	07/31/12	1,300	15 4619	20,100.51	14.9400	**19,422.00**	(678.51)	**781**	4 01
CHUBB CORP	CB	04/22/10	500	52.6414	26,320.70	75.3200	**37,660.00**	11,339 30	**821**	2 17
CISCO SYSTEMS INC COM	CSCO	07/20/11	800	16.1825	12,946.00	19.6494	**15,719.52**	2,773.52	**449**	2 85
CORNING INC	GLW	09/14/12	1,300	13 3055	17,297.15	12.6200	**16,406.00**	(891.15)	**468**	2 85
DOLE FOOD COMPANY INC	DOLE	07/31/12	1,300	12.0397	15,651.65	11.4700	**14,911.00**	(740.65)		
DOW CHEMICAL CO	DOW	04/18/12	1,000	34.7877	34,787.75	32.3294	**32,329.40**	(2,458.35)	**1,280**	3.95
EXXON MOBIL CORP COM	XOM	12/02/03	1,500	39.6600	59,490.00	86.5500	**129,825.00**	70,335.00	**3,420**	2.63
FORD MOTOR CO	F	01/04/12	2,000	11.4664	22,932.80	12.9500	**25,900.00**	2,967.20	**400**	1.54
GENERAL ELECTRIC	GE	01/25/12	1,000	19.3600	19,360.00	20.9900	**20,990.00**	1,630.00	**761**	3.62
GENERAL MOTORS CO COMMON SHARES	GM	01/25/12	500	25.2524	12,626.20	28.8300	**14,415.00**	1,788.80		
INTEL CORP	INTC	06/03/10	1,000	21.9860	21,986.00	20.6200	**20,620.00**	(1,366 00)	**900**	4.36
JOHNSON AND JOHNSON COM	JNJ	07/12/12	400	68.3265	27,330.60	70.1000	**28,040.00**	709.40	**976**	3 48
LUMINEX CORP DEL COM	LMNX	01/25/12	1,000	20.2658	20,265.80	16.7985	**16,798.50**	(3,467.30)		
MARSH & MCLENNAN COS INC	MMC	07/31/12	800	33.7380	26,990.40	34.4700	**27,576.00**	585.60	**736**	2.66
MICROSOFT CORP	MSFT	06/03/10	1,000	27.0605	27,060.50	26.7097	**26,709.70**	(350.80)	**920**	3 44
NEWS CORP CL B	NWS	07/20/11	1,000	16.7219	16,721.90	26.2400	**26,240.00**	9,518 10	**171**	.64
NEXTERA ENERGY INC SHS	NEE	04/22/10	500	51.1267	25,563.35	69.1900	**34,595.00**	9,031.65	**1,200**	3 46
PACCAR INC	PCAR	07/31/12	500	40.7277	20,363.89	45.2100	**22,605.00**	2,241.11	**400**	1 76
PFIZER INC	PFE	03/17/11	1,500	19.8873	29,830.95	25.0793	**37,618.95**	7,788.00	**1,441**	3.82

YOUR EMA SUBACCOUNT ASSETS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PHILIP MORRIS INTL INC	PM	12/15/09	500	50.6315	25,315.75	83.6400	**41,820.00**	16,504 25	**1,700**	4.06
PPL CORPORATION	PPL	06/03/10	1,000	26.1631	26,163.10	28.6300	**28,630.00**	2,466.90	**1,440**	5.02
PROCTER & GAMBLE CO	PG	08/06/02	975	26.2400	25,584.00	67.8900	**66,192.75**	40,608.75	**2,192**	3.31
SMITHFILDS FOODS PV$0.50	SFD	04/18/12	1,000	20 3998	20,399.80	21.5700	**21,570.00**	1,170.20		
SYSCO CORPORATION	SYY	11/08/12	600	30.3428	18,205.70	31.6600	**18,996.00**	790.30	**672**	3 53
VEECO INSTRUMENTS INC	VECO	08/22/11	700	34.7220	24,305.40	29.4900	20,643.00	(3,662.40)		
		01/25/12	300	24.5543	7,366.29	29.4900	8,847.00	1,480.71		
Subtotal			*1,000*		*31,671.69*		**29,490.00**	*(2,181.69)*		
VODAFONE GROP PLC SP ADR	VOD	03/17/11	1,000	28.1238	28,123.80	25.1900	**25,190.00**	(2,933.80)	**1,500**	5.95
WASTE MANAGEMENT INC NEW	WM	04/22/10	400	35.4727	14,189.08	33.7400	**13,496.00**	(693.08)	**568**	4.20
WELLS FARGO & CO NEW DEL	WFC	04/28/97	7,000	11.8063	82,644.53	34.1800	**239,260.00**	156,615.47	**6,158**	2 57
TOTAL					933,170.66		**$1,258,242.72**	325,072 06	**34,247**	2 72

RESEARCH RATINGS

Security	*Symbol*	*BofAML Research*	*Morningstar*	*S&P*
BANK NEW YORK MELLON	BK	Neutral (B27)	Buy	Hold
BRISTOL-MYERS SQUIBB CO	BMY	Buy (A17)	Hold	Hold
CABLEVISION NY GRP CL A	CVC	Buy (C17)	Hold	Hold
CHUBB CORP	CB	Neutral (A27)	Buy	Buy
CISCO SYSTEMS INC COM	CSCO	Buy (B17)	Buy	Buy
CORNING INC	GLW	Buy (C17)	Buy	Hold
DOW CHEMICAL CO	DOW	Neutral (C27)	Buy	Hold
EXXON MOBIL CORP COM	XOM	Buy (A17)	Buy	Buy
FORD MOTOR CO	F	Buy (C17)	Buy	Buy
GENERAL ELECTRIC	GE	Buy (B17)	Buy	Hold
GENERAL MOTORS CO	GM	Buy (C19)	Buy	Buy

YOUR EMA SUBACCOUNT ASSETS

December 01, 2012 - December 31, 2012

RESEARCH RATINGS (continued)

Security	*Symbol*	*BofAML Research*	*Morningstar*	*S&P*
INTEL CORP	INTC	Buy (B17)	Buy	Hold
JOHNSON AND JOHNSON COM	JNJ	Neutral (A27)	Buy	Buy
MARSH & MCLENNAN COS INC	MMC	Neutral (C27)	Hold	Hold
MICROSOFT CORP	MSFT	Buy (B17)	Buy	Buy
NEWS CORP CL B	NWS	Buy (B17)	Hold	Buy
NEXTERA ENERGY INC SHS	NEE	N/A	Hold	Buy
PACCAR INC	PCAR	Buy (B17)	Hold	Buy
PPL CORPORATION	PPL	N/A	Buy	Buy
PHILIP MORRIS INTL INC	PM	Buy (B17)	Hold	Buy
PFIZER INC	PFE	Buy (A17)	Hold	Buy
PROCTER & GAMBLE CO	PG	Buy (A17)	Hold	Hold
SMITHFILDS FOODS PV$0.50	SFD	Underperform (C39)	No Coverage	Hold
VEECO INSTRUMENTS INC	VECO	Neutral (C29)	Hold	No Coverage
VODAFONE GROP PLC SP ADR	VOD	Neutral (B27)	Buy	Buy
WASTE MANAGEMENT INC NEW	WM	N/A	Hold	Buy
WELLS FARGO & CO NEW DEL	WFC	Buy (B17)	Buy	Hold

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
ISHARES MSCI JAPAN INDEX FUND *SYMBOL: EWJ* *Initial Purchase:11/08/12* *Equity 100%*	2,000	18,162.00	9.7500	**19,500.00**	1,338.00	18,162	**1,338**	**376**	1 92
Subtotal (Equities)				*19,500.00*					

KEEFE FAMILY FOUNDATION
EIN# 13-3520397
CAPITAL G (L)
LONG-TERM

Descprition	# of Shares	Date aquired	Date Sold	Proceeds	Cost	G(L)
Bank of America Corp	1001	1/20/1998	7/31/2012	$7,229.71	$26,919.47	-$19,689.29
Waste MGMT Inc New	400	4/22/2010	9/14/2012	$13,388.20	$14,189.08	-$800.88
Cohen & Stears Select	100	3/25/2004	11/8/2012	$17,871.59	$20,000.00	-$2,128.41
Cohen & Stears Select	200	6/11/2009	11/8/2012	$3,574.32	$2,395.24	$1,179.08
Cohen & Stears Select	800	6/11/2009	11/8/2012	$14,297.27	$9,580.88	$4,716.39
Cohen & Stears Select	1000	6/11/2009	11/8/2012	$17,871.61	$11,974.40	$5,897.21
NM Gen'l Electic Capital (	400	5/12/2003	12/17/2012	$50,000.00	$50,000.00	$0.00
US TSY 4.00%	50000	3/11/2005	1/13/2012	$55,381.94	$48,340.18	$7,041.76
Avon Prop. Inc.	1500	10/14/2010	1/13/2012	$26,049.95	$52,235.05	-$26,185.10
Kimco Realty Corp	2000	10/2/2007	1/13/2012	$51,338.42	$50,000.00	$1,338.42
Fifth Third Cap Tr	4000	8/1/2007	1/13/2012	$99,884.81	$10,000.00	-$115.19
Medco Health Solution	820	7/7/2000	4/3/2012	$23,616.00	$0.00	$23,616.00
Waste Mgmt Inc. New	1000	4/22/2010	9/14/2012	$33,551.14	$35,515.76	-$1,964.62
US TSY 4.00% 2/15/15	50000	3/11/2005	11/16/2012	$54,003.57	$48,340.17	$5,663.40
BAC Capital Trust XI CLD	800	7/26/2006	11/5/2012	$20,000.00	$20,000.00	$0.00
Public Storage	2000	4/6/2010	11/16/2012	$53,723.64	$50,000.00	$3,723.64
State Street Corp.	1000	1/17/2001	11/16/2012	$43,398.17	$52,690.00	-$9,561.83
TOTAL						**-$7,269.42**

KEEFE FAMILY FOUNDATION
EIN# 13-3520397
CAPITAL G (L) - 2012
SHORT TERM

Descprition	# of Shares	Date aquired	Date Sold	Proceeds	Cost	G(L)
CD GE Capital	95000	1/20/2009	1/23/2012	$95,000.00	$95,000.00	$0.00
CD Citi Bank	50000	4/6/2009	4/16/2012	$50,000.00	$50,000.00	$0.00
PNC Capital Trust E	2000	2/6/2008	7/30/2012	$50,000.00	$50,000.00	$0.00
Sun Trust Capital Proceed	1000	2/26/2008	7/11/2012	$25,000.00	$25,000.00	$0.00
Veeco Instruments Inc.	400	1/25/2012	9/14/2012	$15,030.10	$9,821.71	$5,208.39
Green Mounrain Coffee Pos	1200	1/25/2012	11/8/2012	$9,583.74	$20,682.00	-$11,098.26
Digital Realty Trust Inc.	2000	9/8/2011	1/13/2012	$50,466.68	$50,000.00	$466.68
Qwest Corporation	2000	3/22/2012	4/16/2012	$50,313.52	$50,000.00	$313.52
PPL Capital Funding Inc.	3000	7/11/2007	8/14/2012	$75,000.00	$75,000.00	$0.00
Veeco Investments Inc	600	1/13/2012	9/14/2002	$22,455.93	$14,147.99	$8,307.94
Qwest Corporation	2000	3/22/2012	11/16/2012	$51,345.49	$50,000.00	$1,345.49
TOTAL						**$4,543.76**

10.11 AM
01/14/13
Cash Basis

KEEFE FAMILY FOUNDATION
EIN# 13-3520397
Balance Sheet
As of December 31, 2012

Part II Page 2

		# of shares
Other Assets		
CERTIFICATE OF DEPOSIT		
AMEX - CD - 3 10% 4/15/2013	$ 50,000 00	50,000
Total CERTIFICATE OF DEPOSIT	$ 50,000.00	
INVESTMENTS - OTHER		
AEGON NV PFD 7.25% 2000 SH	50,000 00	2,000
ALTRIA CORP 2000 SH	35,825.00	2,000
AMERICAN INTL GP INC	67,436.60	2,000
BANK OF AMERICA 3500 SH	25,000 00	2,500
BANK OF AMERICA 7.25% 3333 SH	81,372.00	3,330
BANK OF NY MELLON 27717 SH	479,229.00	27,717
BANK OFAMERICA PFDSER 2 1000 SH	25,000.00	1,000
BARCLAYS BK 8 125% PFD 1000 SH	25,000.00	1,000
BAXTER INTL 500 SH	21,466.00	500
BERKSHIRE HATHAWAY - CL B 4700	226,916.00	4,700
BRISTOL MYERS SQUIBB CO	32,653.00	1,000
CABLEVISION NY CL A	20,100 51	1,300
CABLEVISION 1500 SH	26,749 00	1,500
CENTERSTATE BKS FLA INC 2263 SH	37,837 00	2,263
CHUBB CORP 2000 SH	105,016.00	2,000
CISCO SYSTEMS 1500 SHARES	37,211.00	1,500
CITIGROUP CAP TR XV 1000 SH	25,000 00	1,000
COCA COLA 1000 SH	56,286 00	1,000
CORNING INC	57,114.70	4,300
DB CONT CAP TR III 7.60% 2000SH	50,000 00	2,000
DEUTSCHE BANK CAP 7.35% 4000 SH	100,000 00	4,000
DIGIMARC CORP 428 SH	0.00	428
DOLE FOOD CO	40,869 80	3,300
DOMINION RESOURCES 800 SH	19,706.00	800
DOW CHEMICAL	75,429 27	2,200
DUKE ENERGY 1000 SH	11,460.00	1,000
ELI LILLY 1000 SH	34,368.00	1,000
EXPRESS SCRIPTS	12,050 00	664
EXXON MOBIL 5500 SH	238,975 00	5,500
FORD MOTOR 1000 SH	70,063.80	7,000
FORD MOTOR CO 7 5% 2000 SH	43,357.00	2,000
GENERAL ELECTRIC 2000 SH	86,144 65	5,000
GENERAL MOTORS	12,626 20	500
GLOBECOMM SYSTEM 4000 SH	32,210.00	4,000
ING GROUP NV 7.35% 1000 SH	25,000.00	1,000
INTEL CORP 1000 SH	42,720.00	2,000
ISHARES MSCI JAPAN INDEX	18,162.00	2,000
JET BLUE AIRWAYS 2000 SH	22,358 00	4,000
JOHNSON & JOHNSON	60,011.20	900
KIMBERLY CLARK 1000 SH	68,017 00	1,000
LUMINEX CORP 3000 SH	64,906.80	4,000
MARSH & MCLENNAN CO	26,990.40	800
MBIA INC 50000 SH	50,000.00	50,000
MERCK &CO INC. 3400 SH	93,364 00	3,400
MICROSOFT 1000 SH	52,237 00	2,000
NATIONAL GRID PLC 2000 SH	85,484.00	2,000
NEW CORP CL B	28,809 90	1,500
NEWS CORP 3500 SH	59,907 00	3,500
NEXTERA ENERGY 800 SH	87,264 00	2,100
NM GENERAL MTRS ACCEPT 50000 SH	50,000.00	5,000
NM SLM CORP 3/2019 50000 SH	50,000.00	50,000
NM SLM CORP 4/20/23 100000 SH	100,000 00	100,000
PACCAR INC	20,363 89	500
PFIZER INC 3500	102,859 11	5,000
PHILLIP MORRIS CORP 2500 SH	126,285.00	2,500
PLUM CREEK TIMBER 5000 SH	148,450.00	5,000
PORTLAND GEN ELECTRIC 1000 SH	18,858.00	1,000
PPL CORP 1500 SH	63,711.00	2,500
PROCTER & GAMBLE 5975 SH	210,381.00	5,975
SERVICE CORP 5000 SH	39,776.00	5,000
SMITHFIELD FOODS	20,399.80	1,000
SPECTRA ENERGY 1000 SH	29,320.00	1,500
STATE STREET CORP 4000 SH	158,880.00	3,000
SYSCO CORP	64,721 78	2,100
TECO ENERGY INC 1500 SH	20,129.00	1,500
THE MOSAIC CO	40,476.58	800
TIB FINANCIAL CORP FLA201 SH	26,729.00	201
TRAVELERS COS INC 256 SH	5,700.00	256
UNISOURCE ENERGY 1000 SH	31,218.00	100
VEECO INSRTUMENTS INC 1200 SH	51,487.28	2,600
VODAFONE 2500 SH	70,330.00	2,500
VORNADOREALTYTRSERJ6 875 2000SH	50,000 00	2,000
WASTE MGMT 2800 SH	49,705.16	1,400
WELLS FARGO &CO 26000 SH	306,971.00	2,600
Total INVESTMENTS - OTHER	$ 4,904,454 43	
	$ 4,904,454.43	