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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2012

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 01-01-2012, and ending 12-31-2012

Name of foundation: TRUST FOR MUTUAL UNDERSTANDING (1060) c/o Rockefeller Trust Company NA % ROCKEFELLER TRUST COMPANYNY
A Employer identification number: 13-3212724
B Telephone number: (212) 549-5544
C If exemption application is pending, check here
D 1. Foreign organizations, check here
D 2. Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments	1,135,032	1,375,910	1,375,910			
	3 Accounts receivable <input type="checkbox"/> <u>88,870</u>						
	Less allowance for doubtful accounts <input type="checkbox"/> _____	165,537	88,870	87,664			
	4 Pledges receivable <input type="checkbox"/> _____						
	Less allowance for doubtful accounts <input type="checkbox"/> _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)						
	7 Other notes and loans receivable (attach schedule) <input type="checkbox"/> _____						
	Less allowance for doubtful accounts <input type="checkbox"/> _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)	1,712,738 <input type="checkbox"/>	2,142,947	2,252,922			
	b Investments—corporate stock (attach schedule)	16,699,914 <input type="checkbox"/>	15,558,969	19,667,975			
	c Investments—corporate bonds (attach schedule)	5,331,569 <input type="checkbox"/>	6,061,960	6,259,587			
	11 Investments—land, buildings, and equipment basis <input type="checkbox"/> _____						
Less accumulated depreciation (attach schedule) <input type="checkbox"/> _____							
12 Investments—mortgage loans							
13 Investments—other (attach schedule)	5,000,407 <input type="checkbox"/>	4,513,117	7,035,905				
14 Land, buildings, and equipment basis <input type="checkbox"/> _____							
Less accumulated depreciation (attach schedule) <input type="checkbox"/> _____							
15 Other assets (describe <input type="checkbox"/> _____)							
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	30,045,197	29,741,773	36,679,963				
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe <input type="checkbox"/> _____)						
23 Total liabilities (add lines 17 through 22)		0					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>						
	and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds	30,045,197	29,741,773				
28 Paid-in or capital surplus, or land, bldg, and equipment fund							
29 Retained earnings, accumulated income, endowment, or other funds							
30 Total net assets or fund balances (see page 17 of the instructions)	30,045,197	29,741,773					
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	30,045,197	29,741,773					

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,045,197
2	Enter amount from Part I, line 27a	2	-238,126
3	Other increases not included in line 2 (itemize) <input type="checkbox"/> _____	3	15,000
4	Add lines 1, 2, and 3	4	29,822,071
5	Decreases not included in line 2 (itemize) <input type="checkbox"/> _____	5	80,298
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	29,741,773

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,936,033
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	4,278,382	37,688,943	0.113518
2010	4,757,934	38,108,809	0.124851
2009	4,869,699	36,487,035	0.133464
2008	5,361,898	49,108,515	0.109185
2007	5,332,082	58,169,334	0.091665
2	Total of line 1, column (d).		2 0.572683
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.114537
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.		4 36,160,951
5	Multiply line 4 by line 3.		5 4,141,767
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 25,251
7	Add lines 5 and 6.		7 4,167,018
8	Enter qualifying distributions from Part XII, line 4.		8 2,694,782

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	50,501
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2.	3	50,501
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	50,501
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	75,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	25,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	100,000
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	49,499
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ▶ 49,499 Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/>		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> <input checked="" type="checkbox"/>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> <input checked="" type="checkbox"/>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of ROCKEFELLER TRUST COMPANYNA Telephone no (212) 549-5544 Located at 10 ROCKEFELLER PL 3RD FL New York NY ZIP +4 10020			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___		
2b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
4b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945–5(d). <input type="checkbox"/></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" to 6b, file Form 8870.</p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	5b	No
6b	6b	No
7b	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 	
2 	
3 	
4 	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 	
2 	
All other program-related investments. See page 24 of the instructions 3 	

Total. Add lines 1 through 3. ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	34,871,102
b	Average of monthly cash balances.	1b	1,840,523
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	36,711,625
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	36,711,625
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	550,674
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	36,160,951
6	Minimum investment return. Enter 5% of line 5.	6	1,808,048

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,808,048
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	50,501
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	50,501
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,757,547
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,757,547
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,757,547

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,694,782
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,694,782
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,694,782

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,757,547
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 2010, 2009, 2008		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.	2,530,109			
b From 2008.	2,922,614			
c From 2009.	3,059,885			
d From 2010.	2,903,718			
e From 2011.	2,443,757			
f Total of lines 3a through e.	13,860,083			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>2,694,782</u>				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				1,757,547
e Remaining amount distributed out of corpus	937,235			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,797,318			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).	2,530,109			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	12,267,209			
10 Analysis of line 9				
a Excess from 2008.	2,922,614			
b Excess from 2009.	3,059,885			
c Excess from 2010.	2,903,718			
d Excess from 2011.	2,443,757			
e Excess from 2012.	937,235			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed
 Barbara A Lanciers Director
 TMU -6 WEST 48TH STREET 12TH FLOOR
 NEW YORK, NY 10036
 (212) 843-0374

b The form in which applications should be submitted and information and materials they should include
 BY INITIAL LETTER OF INQUIRY

c Any submission deadlines
 6 MOS PRIOR TO DATE OF ACTIVITY FOR WHICH SUPPORT IS SOUGHT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
 THE TRUST'S PROGRAMS SUPPORT EXCHANGES BETWEEN THE UNITED STATES, THE FORMER SOVIET UNION, AND EASTERN AND CENTRAL EUROPE IN THE ARTS AND ENVIRONMENTAL CONSERVATION

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED LIST see attached list New YORK, NY 10020		501C3	GENERAL	1,658,169
Total				3a 1,658,169
b <i>Approved for future payment</i>				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge Signature of officer or trustee Date Title

Paid Preparer Use Only Print/Type preparer's name Preparer's Signature Date Check if self-employed PTIN Firm's name Firm's address Firm's EIN Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SHORT-TERM G/L (SEE ATTACHED)	P		
LONG-TERM G/L (SEE ATTACHED)	P		
GAIN ON LITIGATION SETTLEMENTS	P		
5500 FUND (S-T)	P		
5500 FUND (L-T)	P		
NEW CENTURY HOLDINGS XI (S-T)	P		
NEW CENTURY HOLDINGS (L-T)	P		
ROCK ACCESS FUND 06-II (S-T)	P		
ROCK ACCESS FUND 06-II (L-T)	P		
ROCK ALT STRAT QP (S-T)	P		
ROCK ALT STRAT QP (L-T)	P		
ROCK GA INVESTORS 2006 (S-T)	P		
ROCK GA INVESTORS 2006 (L-T)	P		
ROCK VENTURE OPPORTUNITIES FUND (L-T)	P		
VENROCK PARTNERS V (S-T)	P		
VENROCK PARTNERS V (L-T)	P		
CAPITAL GAIN DISTRIBUTIONS THROUGH SSB	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,577,107		5,481,326	95,781
7,458,150		5,756,037	1,702,113
16,337			16,337
1,142			1,142
236,125			236,125
		16,200	-16,200
70,860			70,860
307			307
19,713			19,713
		87	-87
38,681			38,681
9,639			9,639
98,738			98,738
		357,144	-357,144
1,258			1,258
15,087			15,087
4,463			4,463

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			95,781
			1,702,113
			16,337
			1,142
			236,125
			-16,200
			70,860
			307
			19,713
			-87
			38,681
			9,639
			98,738
			-357,144
			1,258
			15,087
			4,463

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD S LANIER 6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	TRUSTEE 6 0	22,500		
ELIZABETH J MCCORMACK 6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	TRUSTEE 2 0	22,500		
DONAL C OBRIEN JR C/O ROCKEFELLER TRUST COMPANY NA NEW YORK, NY 10020	TRUSTEE 1 0	22,500		
BLAIR A RUBLE 6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	Trustee 2 0	22,500		
JENNIFER P GOODALE 6 West 48th Street 12th Floor New York, NY 10036	EXECUTIVE DIRECTOR 10 0	38,918	10,472	
BARBARA A LANCIERS 6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	ASSOCIATE DIRECTOR/DIRECTOR 40 0	121,769	32,098	
ALINA ENGGIST 6 WEST 48TH STREET 12TH FLOOR NEW YORK, NY 10036	PROGRAM OFFICER 40 0	69,769	28,633	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Expenditure Responsibility Statement

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Arthur Adam Mitchell	60 Riverside Drive New York, NY 10024			see attached		NO			
Center for International Theatre Develop	901 Lenton Avenue Baltimore, MD 21212			see attached		NO			

**TY 2012 Investments Corporate
Bonds Schedule**

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
 c/o Rockefeller Trust Company NA
EIN: 13-3212724

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED LIST	6,061,960	6,259,587

**TY 2012 Investments Corporate
Stock Schedule**

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA

EIN: 13-3212724

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	15,558,969	19,667,975

**TY 2012 Investments Government
Obligations Schedule**

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)
c/o Rockefeller Trust Company NA

EIN: 13-3212724

**US Government Securities - End of
Year Book Value:** 2,142,947

**US Government Securities - End of
Year Fair Market Value:** 2,252,922

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2012 Investments - Other Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
5500 FUND		1,011,510	2,903,551
ROCKEFELLER ALTERNATIVE STRATE		0	27,174
ROCKEFELLER VENTURE OPPORTUNIT		569,336	908,236
NEW CENTURY HOLDINGS XI, LP		774,458	643,073
ROCKEFELLER ACCESS FUND 06-II		518,573	585,086
ROCKEFELLER GA INVESTORS 2006		1,501,920	1,769,490
VENROCK PARTNERS V, LP		137,320	199,295

TY 2012 Land, Etc. Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

TY 2012 Other Decreases Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Amount
PRIOR PERIOD ADJUSTMENT	80,280
DIV/INT TIMING DIFFERENCE	18

TY 2012 Other Expenses Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UTILITIES	4,932			4,932
OFFICE SUPPLIES	8,100			8,100
STORAGE	622			622
TELEPHONE, FAX & POSTAGE	12,801			12,801
MEMBERSHIP, BOOKS, PERIODICALS	11,901			11,901
INSURANCE EXPENSE	10,256			10,256
FEES AND LICENSES	430	555		-125
ANNUAL REPORT	33,171			33,171
COMPUTER EXPENSE	11,790			11,790
PANTRY/DUP/MAINTENANCE	4,442			4,442
PAYROLL SERVICES	12,064			12,064
CONSTRUCTION/REPAIRS/FURNITURE	6,749			6,749
CONSULTING FEES	2,665			2,665
SECURITY	1,425			1,425
FURNITURE & REPAIRS	1,547			1,547
5500 FUND	2,635	2,635		
ROCKEFELLER ACCESS FUND 06-11	1,945	1,610		
VENROCK PARTNERS V	3,071	3,071		
VENTURE OPPORTUNITIES FUND	26,587	26,587		
ROCKEFELLER ALT STRAT QP	840	1,639		
ROCKEFELLER GA INVESTORS 2006	20,851	20,851		

TY 2012 Other Income Schedule**Name:** TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
F/X Loss (Section 988)	-332	-332	
NEW CENTURY HOLDINGS XI, LP	7,447	6,842	

TY 2012 Other Increases Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Description	Amount
2010 TAX EXCISE TAX PAYMENT NOT RECEIVED	15,000

TY 2012 Other Professional Fees Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RTC AGENCY FEES	207,023	70,146		136,877

TY 2012 Taxes Schedule

Name: TRUST FOR MUTUAL UNDERSTANDING (1060)

c/o Rockefeller Trust Company NA

EIN: 13-3212724

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON SECURITIES	26,285	26,285		
NYC CORP TAX	250			
FEDERAL EXCISE TAX PAYMENTS	70,000			

Trust for Mutual Understanding
 EIN: 13-3217242
 Balance Sheet
 December 31, 2011

Quantity	Security Name	Cost (USD)	Market Value (USD)
	Cash	1,135,031.92	1,135,031.92
	Total Cash	1,135,031.92	1,135,031.92
	<u>Accounts Receivable</u>		
	Cash - Payroll Account	15,000.00	15,000.00
	Accrued Dividends Receivable	36,263.76	36,263.76
	Accrued Interest Receivable	82,023.03	82,023.03
	Advances Receivable	32,250.00	32,250.00
	Total Accounts Receivable	165,536.79	165,536.79
	<u>Corporate Stock</u>		
3,220.00	Advance Auto Parts, Inc.	123,379.44	224,208.60
9,850.00	Aflac, Inc.	413,085.70	426,111.00
2,030.00	Alexion Pharmaceuticals, Inc.	45,655.76	145,145.00
6,470.00	Allstate Corporation	218,658.52	177,342.70
4,930.00	Anadarko Petroleum Corporation	284,201.04	376,306.90
2,715.00	Apache Corporation	219,895.50	245,924.70
1,215.00	Apple Inc.	312,586.70	492,075.00
5,130.00	Baker Hughes Incorporated	214,453.10	249,523.20
4,890.00	BioMarin Pharmaceutical Inc.	104,201.15	168,118.20
4,570.00	Boskalis Westminster - CVA	135,439.90	168,424.31
11,910.00	CareFusion Corporation	233,997.49	302,633.10
91,200.00	Centrica plc	360,082.95	410,035.74
3,710.00	Chevron Corporation	124,822.46	394,744.00
112,000.00	China Agri-Industries Holdings	141,182.72	85,226.48
9,090.00	China Mobile (Hong Kong) Ltd	402,796.44	440,774.10
6,050.00	Chunghwa Telecom Ltd ADR	148,164.92	201,344.00
15,250.00	Cisco Systems, Inc.	262,820.60	275,720.00
13,490.00	Comcast Corporation - CL A	183,222.20	319,847.90
4,730.00	Comerica Incorporated	176,888.94	122,034.00
3,840.00	Continental Resources, Inc.	90,538.76	256,166.40
5,270.00	Covidien PLC	185,642.97	237,202.70
2,180.00	Cree, Inc.	99,687.26	48,047.20
3,110.00	FedEx Corporation	188,717.04	259,716.10
103,000.00	Galaxy Entertainment Group Ltd	89,710.13	188,849.69
1,150.00	Goldman Sachs Group	112,361.90	103,994.50
1,028.00	Google Inc - Class A	580,089.88	663,985.20
15,070.00	Hertz Global Holdings Inc.	179,772.46	176,620.40
12,680.00	Hewlett-Packard Company	463,800.56	326,636.80

Quantity	Security Name	Cost (USD)	Market Value (USD)
<u>Corporate Stock, continued</u>			
6,890.00	Honeywell Inc.	251,134.27	374,471.50
3,310.00	Hyundai Motor Co., Ltd. - 2nd	253,411.65	192,796.01
12,090.00	InterContinental Hotels Group	123,759.92	217,389.27
7,660.00	Intertek Group plc	148,417.88	242,254.37
98,410.00	Intesa Sanpaolo	174,796.45	165,309.08
7,910.00	Johnson Matthey PLC	208,556.33	225,697.95
14,050.00	Korea Electric Power Corp.	353,101.85	311,612.41
2,590.00	LG Electronics Inc. - PFD	95,349.96	53,958.33
635	Mastercard Inc - Class A	105,256.73	236,740.70
19,110.00	Microsoft Corp.	454,992.46	496,095.60
12,400.00	Mitsui & Co., Ltd.	206,868.17	192,913.96
9,110.00	Morgan Stanley	247,150.39	137,834.30
3,840.00	MSCI Inc.	141,368.71	126,451.20
4,780.00	NetApp, Inc.	172,964.52	173,370.60
14,000.00	NGK Insulators Ltd.	211,446.79	166,311.41
8,270.00	Novartis AG - ADR	432,827.56	472,795.90
6,480.00	NYSE Euronext	165,456.89	169,128.00
2,890.00	Oceaneering International, Inc	82,190.15	133,315.70
5,940.00	Pepsico, Inc	308,667.72	394,119.00
15,630.00	Pfizer Inc.	273,569.11	338,233.20
1,510.00	Philippine Long Dist Tele Co	84,035.60	87,006.20
18,410.00	Prudential plc	185,262.69	182,680.90
6,260.00	Qualcomm Inc.	236,148.21	342,422.00
5,270.00	Quest Diagnostics Incorporated	301,722.33	305,976.20
2,420.00	Regeneron Pharmaceuticals	72,173.21	134,140.60
5,053.00	Royal Dutch Shell PLC-ADR A	270,333.69	369,323.77
9,130.00	Safran SA	260,731.36	275,027.13
325	Samsung Electronics Co., Pfd	187,489.77	188,172.74
13,650.00	Santos Limited	201,826.11	171,286.22
94,000.00	Semen Gresik (Persero) Tbk	97,182.71	118,698.65
12,620.00	Smiths Group plc	200,590.32	179,456.38
8,250.00	Svenska Handelsbanken AB - A s	224,947.51	217,824.17
13,100.00	SwedBank AB	136,186.93	170,359.43
42,985.41	TCW Emerging Markets Income Fd	381,488.46	354,199.76
4,600.00	Thermo Fisher Scientific Inc.	199,044.49	206,862.00
3,310.00	Toronto-Dominion Bank	212,898.12	247,621.10
4,090.00	Tullow Oil plc	82,758.04	89,114.79
122,392.93	Vanguard GNMA Fund-ADM	1,340,310.41	1,354,889.72
7,410.00	Vedanta Resources PLC	266,002.39	116,886.05
17,810.00	Vodafone Group PLC - SP ADR	348,448.82	499,214.30
6,290.00	Wal-Mart Stores, Inc.	289,124.58	375,890.40

Quantity	Security Name	Cost (USD)	Market Value (USD)
<u>Corporate Stock, continued</u>			
4,100.00	Wellpoint Inc.	202,497.05	271,625.00
12,280.00	Wells Fargo Company	200,697.51	338,436.80
47,600.00	Wynn Macau, Limited	95,431.39	119,511.76
4,690.00	Yum! Brands, Inc.	115,437.84	276,756.90
	Total Corporate Stock	16,699,913.54	19,300,939.38
<u>Corporate Bonds including Agency , Mortgage Backed, Preferred Bonds</u>			
150,000.00	3M Company 4.375% 08/15/13	159,358.51	159,446.55
150,000.00	BB&T Corp 3.95% 4/29/2016	159,691.87	161,154.00
70,000.00	Boeing, 6.00% 3/15/2019	84,720.70	84,897.61
75,000.00	Campbell Soup 4.25% 04/15/21	82,085.11	83,681.70
75,000.00	Caterpillar Inc. 5.7% 8/15/16	86,632.23	88,009.43
100,000.00	Comcast Corp Notes, 5.300%	108,276.62	107,755.20
100,000.00	Connecticut St 5.3% 12/01/23	111,852.67	119,565.00
150,000.00	CSX Corp Debt 6.250% 4/1/15	156,794.25	171,391.50
75,000.00	Diageo Cap Plc 5.750% 10/23/17	86,520.37	88,007.48
150,000.00	Dow Chemical Co 4.25% 11/15/20	151,142.47	155,915.85
85,000.00	Duke Energy Ind 3.75% 07/15/20	86,713.44	91,269.60
75,000.00	E.I. Du Pont, 6% 07/15/18	91,347.36	91,671.00
275,000.00	Fannie Mae 6.625% 11/15/30	355,281.33	407,317.08
275,000.00	Fed Home Loan Bank 5% 11/17/17	329,840.23	330,027.50
75,000.00	Fedex Corp Nts, 8.0% 01/15/19	97,674.35	98,481.83
325,000.00	Freddie Mac 1.375% 02/25/14	330,333.50	330,255.90
75,000.00	General Dynamics 5.25% 2/01/14	81,504.36	81,834.53
150,000.00	General Mills 5.7% 02/15/17	171,952.30	175,748.70
75,000.00	Honeywell 5.0% Due 2/15/19	83,418.26	87,291.83
200,000.00	IBM Corp, 6.5% Due 10/15/13	201,050.94	220,559.40
150,000.00	J&J 5.15% Due 07/15/2018	180,687.93	181,106.40
75,000.00	JPM Chase 3.7% 01/20/2015	78,203.49	77,760.38
140,000.00	McDonald's Corp, 5.35% 3/1/18	167,093.87	167,285.72
13,100.00	OGX Petroleo E Gas Participaoe	120,805.84	95,655.81
100,000.00	OH Build Amer 4.772% 09/01/23	106,941.96	113,111.00
100,000.00	Oracle Corp 5.00% 07/08/2019	110,656.21	117,900.30
100,000.00	PA Build America 4.75% 7/15/22	104,893.68	110,600.00
149,000.00	Pepsico Inc., 5.15% 05/15/2012	151,667.02	151,514.23
170,000.00	TD Bank 2.375% 10/19/16	172,519.63	172,990.30
135,000.00	TX St Build 5.503% 10/1/22	149,864.09	158,958.45
150,000.00	UPS 5.125% Due 4/1/19	180,217.79	181,192.50
75,000.00	Verizon Comm 6.35% 04/01/19	88,545.80	91,388.03
150,000.00	Wal-Mart Stores 5.8% 2/15/18	178,799.02	183,875.25
150,000.00	Walgreen Co 5.25% 01/15/19	172,755.74	178,326.30
75,000.00	Wells Fargo, 5.625% 12/11/17	84,493.89	85,464.60
250,000.00	WI Transn Rev 4.737% 07/01/20	267,231.77	277,620.00
	Total Corporate Bonds	5,331,568.60	5,479,030.96

Quantity	Security Name	Cost (USD)	Market Value (USD)
<u>US and State Government Obligations</u>			
75,000.00	U.S. Treasury Infl Index Notes	94,692.00	95,678.84
150,000.00	US Treas Inf Ix 1.625% 1/15/15	189,926.04	191,859.91
150,000.00	US Treas Infl 2.125% 01/15/19	165,133.96	188,046.86
165,000.00	US Treas N/B 1.75% 04/15/13	167,965.95	168,274.26
325,000.00	US Treas N/B 2.625% 11/15/20	322,695.45	349,882.65
300,000.00	US Treasury 3.75% 08/15/41	332,216.32	352,828.20
200,000.00	US Treasury N/B 4.25% 11/15/17	224,260.77	236,234.38
100,000.00	NY St B.America 4.61% 03/15/20	106,310.97	112,522.00
100,000.00	NY St Dorm 4.9% 3/15/23	109,536.33	115,479.00
	Total US and State Government Oblig.	<u>1,712,737.79</u>	<u>1,810,806.10</u>
<u>Other -Partnerships</u>			
	5500 Fund (LP)	953,478.00	2,590,937.64
	New Century Holdings XI, LP (LP)	712,351.00	632,963.00
	Rockefeller Access Fund 06-II (LP)	443,915.00	485,368.25
	Rockefeller Alt. Strat. QP (LP)	25,140.00	41,664.19
	Rockefeller GA Investors 2006 (LP)	1,778,402.00	1,940,385.04
	Rockefeller Vent. Opport. Fund (LP)	953,067.00	1,397,336.13
	Venrock Partners V, LP (LP)	134,054.00	189,340.00
	Total Other - Partnerships	<u>5,000,407.00</u>	<u>7,277,994.25</u>
	GRAND TOTAL	<u>30,045,195.64</u>	<u>35,169,339.40</u>

Trust for Mutual Understanding (1060)
 EIN: 13-3212724
 Balance Sheet
 December 31, 2012

Quantity	Security Name	Cost (USD)	FMV (USD)
<u>Cash</u>			
	Cash	1,375,910.25	1,375,910.25
	Total Cash	1,375,910.25	1,375,910.25
<u>Accounts Receivable</u>			
	Accrued Dividends Receivable	34,021.79	34,021.79
	Accrued Interest Receivable	54,848.59	54,848.59
	F/X Value Adjustment	-	(1,206.19)
	Total Accounts Receivable	88,870.38	87,664.19
<u>Corporate Stock</u>			
3,680.00	Advance Auto Parts, Inc.	163,574.66	266,248.00
11,400.00	Aflac, Inc.	484,450.20	605,568.00
1,890.00	Alexion Pharmaceuticals, Inc.	42,453.29	177,168.60
4,590.00	Anadarko Petroleum Corporation	261,666.55	341,082.90
2,525.00	Apache Corporation	202,574.16	198,212.50
4,850.00	Arcos Dorados Holdings, Inc.	57,676.62	58,006.00
4,780.00	Baker Hughes Incorporated	199,496.90	195,252.01
3,130.00	BioMarin Pharmaceutical Inc.	62,013.66	153,996.00
4,484.00	Boskalis Westminster - CVA	131,503.33	200,996.70
11,110.00	CareFusion Corporation	215,105.97	317,523.80
85,090.00	Centrica plc	329,156.81	461,411.31
3,460.00	Chevron Corporation	116,166.48	374,164.40
105,000.00	China Agri-Industries Holdings	131,874.38	58,793.55
8,970.00	China Mobile (Hong Kong) Ltd	399,520.61	526,718.40
5,280.00	Comcast Corporation - CL A	68,528.44	197,260.80
4,410.00	Comerica Incorporated	164,752.08	133,799.40
3,590.00	Continental Resources, Inc.	84,644.31	263,829.10
4,910.00	Covidien PLC	172,308.43	283,503.40
7,670.00	Delphi Automotive PLC	249,685.02	293,377.50
3,170.00	Dover Corp.	194,572.59	208,300.70
4,650.00	FedEx Corporation	320,164.48	426,498.00
1,570.00	Goldman Sachs Group	165,968.00	200,269.20
1,013.00	Google Inc - Class A	567,462.11	716,575.94
11,830.00	Hewlett-Packard Company	427,212.14	168,577.50
6,430.00	Honeywell Inc.	233,699.99	408,112.10
3,070.00	Hyundai Motor Co., Ltd. - 2nd	234,124.18	216,791.91
720.00	Hyundai Motor Company Ltd PFD	43,978.31	46,606.73
26,560.00	ING Groep	251,803.72	247,251.36
11,630.00	J.P. Morgan Chase & Co.	397,927.28	511,360.63
5,240.00	Johnson Matthey PLC	142,099.36	202,377.11
13,110.00	Korea Electric Power Corp.	328,172.22	372,883.26
2,400.00	LG Electronics Inc. - PFD	88,216.48	45,732.43
585.00	Mastercard Inc - Class A	96,315.87	287,398.80
6,760.00	Merck & Co. Inc.	261,847.25	276,754.40
20,040.00	Microsoft Corp.	486,964.16	535,262.39

7,380.00	MSCI Inc.	231,538.70	228,706.20
4,300.00	Murata Manufacturing Osaka	247,027.91	251,142.08
6,060.00	NetApp, Inc.	207,223.91	203,313.00
6,000.00	NGK Spark Plug Co., Ltd. Tokyo	79,192.95	79,107.15
7,720.00	Novartis AG - ADR	402,562.70	488,676.00
2,690.00	Oceaneering International, Inc	76,502.25	144,695.10
3,500.00	Ophir Energy Plc	32,040.19	28,702.05
7,760.00	Oracle Corporation	221,778.47	258,563.20
5,540.00	Pepsico, Inc	286,865.00	379,102.20
1,060.00	Pharmacyclics Inc.	44,546.81	61,246.80
147,150.00	PICC Prop & Casualty Co. Ltd.	191,530.68	206,177.26
17,170.00	Prudential plc	172,378.56	241,557.79
5,840.00	Qualcomm Inc.	220,218.28	361,260.06
3,140.00	Quest Diagnostics Incorporated	179,540.50	182,967.80
1,080.00	Regeneron Pharmaceuticals	32,192.85	184,755.60
2,400.00	Reinsurance Group of America,	134,920.62	128,448.00
1,650.00	Roche Holdings AG	283,102.52	331,676.41
10,590.00	Royal Caribbean Cruises Ltd.	317,750.47	360,060.00
5,053.00	Royal Dutch Shell PLC-ADR A	270,333.69	348,404.35
8,510.00	Safran SA	242,676.71	365,700.00
460.00	Samsung Electronics Co., Pfd	274,798.26	366,083.65
12,730.00	Santos Limited	188,040.79	146,700.10
12,840.00	Sberbank - Sponsored ADR	154,281.24	157,932.00
87,500.00	Semen Gresik (Persero) Tbk	90,297.46	143,904.02
112,000.00	Singapore Telecom LTD	285,505.84	302,578.80
1,000.00	SMC Corporation, Tokyo	182,609.02	179,957.21
12,620.00	Smiths Group plc	200,590.32	244,317.62
7,790.00	Svenska Handelsbanken AB - A s	212,338.88	278,289.13
12,230.00	SwedBank AB	126,973.29	238,755.20
4,280.00	Thermo Fisher Scientific Inc.	185,132.46	272,978.40
1,720.00	Tiffany & Co.	93,173.89	98,624.80
3,100.00	Toronto-Dominion Bank	199,354.86	261,423.00
3,810.00	Tullow Oil plc	77,029.79	78,095.09
4,870.00	UnitedHealth Group Inc.	273,870.29	264,148.80
7,410.00	Vedanta Resources PLC	266,002.39	139,359.07
4,170.00	Virgin Media Inc.	148,231.41	153,247.50
17,430.00	Vodafone Group PLC - SP ADR	340,489.95	439,061.70
6,070.00	Wal-Mart Stores, Inc.	278,728.96	414,156.10
11,450.00	Wells Fargo Company	180,646.12	391,361.00
44,300.00	Wynn Macau, Limited	88,648.12	119,739.77
2,490.00	Yum! Brands, Inc.	60,621.91	165,336.00
	Total Corporate Stock	15,558,969.06	19,667,974.84

Corporate Bonds Including Agency, Mortgage Backed, Preferred Bonds

135,000.00	Bank of America Float% 6/15/17	126,904.75	125,125.02
120,000.00	BB&T Corp 3.95% 4/29/2016	125,875.60	130,854.12
55,000.00	Caterpillar Inc. 5.7% 8/15/16	61,755.84	63,805.45
55,000.00	Comcast Corp Notes, 5.300%	57,332.43	57,705.12
120,000.00	CSX Corp Debt 6.250% 4/1/15	121,883.93	134,371.08
98,775.66	DoubleLine Total Return Bnd Fd	1,125,041.63	1,119,128.27
65,000.00	Duke Energy Ind 3.75% 07/15/20	66,176.42	71,977.75
55,000.00	E.I. Du Pont, 6% 07/15/18	65,272.32	67,877.43
85,000.00	Fannie Mae 6.625% 11/15/30	108,941.55	129,455.34

185,000.00	Fed Home Loan Bank 5% 11/17/17	215,610.06	221,786.88
95,000.00	Fedex Corp Nts, 8 0% 01/15/19	120,496.63	125,115.38
130,000.00	Freddie Mac 1.375% 02/25/14	131,144.78	131,747.33
95,000.00	GE Cap Corp 5.625% 05/01/18	111,343.88	112,815.73
95,000.00	General Mills 5.7% 02/15/17	106,332.03	111,634.12
115,000.00	GS Group 5.75% 01/24/2022	125,373.68	135,954.38
55,000.00	Honeywell 5.0% Due 2/15/19	60,388.66	65,409.19
95,000.00	JPMorgan Chase 6% 10/01/2017	106,169.93	112,464.80
105,000 00	Keycorp 5.1% Due 3/24/21	114,560.39	122,325.95
140,000.00	Morgan Stanley 6.00% 04/28/15	145,578.45	152,489 12
115,000.00	NVR Inc Corp 3 95% 9/15/22	118,726.07	119,169.33
105,000.00	PNC Funding 5.125% 02/08/20	117,064.25	124,879.23
116,810.72	TCW Emerging Markets Income Fd	1,018,555.68	1,087,507.82
115,000.00	TD Bank 2.375% 10/19/16	116,332.78	120,845.91
95,000.00	UPS 5.125% Due 4/1/19	111,668.14	115,541.09
120,000.00	US Bancorp 2.2% 11/15/16	121,879.38	125,028.96
88,664.90	Vanguard GNMA Fund-ADM	969,905.23	967,334.09
55,000.00	Verizon Comm 6.35% 04/01/19	63,706.51	69,508.18
68,000.00	Wachovia 6% 11/15/2017	81,667.94	81,543.97
95,000.00	Wal-Mart Stores 5.8% 2/15/18	110,439.43	116,379.37
115,000.00	Yum! Brands 6 25% 3/15/18	135,831.70	139,806.54
	Total Corporate Bonds	6,061,960.07	6,259,586.95

U.S. and State Government Obligations

130,000.00	US Treas N/B 1.75% 04/15/13	130,521.34	130,609.44
230,000.00	US Treas N/B 2.625% 11/15/20	230,220.64	252,425.00
226,000.00	US Treasury TIPS 1.25% 4/15/14	254,034.10	254,664.90
143,000.00	US Tres Infl 2.5% 07/15/2016	186,243.99	188,236.39
175,000.00	Connecticut St 5.3% 12/01/23	205,931.16	218,127.00
100,000.00	MA St BAB 4.2% 12/01/21	110,985.14	113,948 00
100,000.00	NY Met BAB 4.655% 11/15/18	116,528.05	115,177.00
100,000.00	NY Nassau Cnty 1.976% 11/15/19	101,261.74	100,837.00
100,000 00	NY St B.America 4.61% 03/15/20	105,639.28	116,002.00
100,000.00	NY St Dorm 4.9% 3/15/23	108,840.39	119,590.00
100,000.00	OH Build Amer 4.772% 09/01/23	106,464.27	122,615.00
100,000.00	PA Build America 4.75% 7/15/22	104,515.71	118,498 00
100,000.00	TX San Antonio 4.543% 05/15/19	116,284 18	115,735.00
250,000.00	WI Transn Rev 4.737% 07/01/20	265,476.95	286,457.50
	Total U.S. and State Government Oblig	2,142,946.94	2,252,922.23

Other - Partnerships

2,903,550.88	5500 Fund (LP)	1,011,510.00	2,903,550.88
603,239.00	New Century Holdings XI, LP (LP)	774,458.00	643,073.00
585,086.23	Rockefeller Access Fund 06-II (LP)	518,573.00	585,086.23
27,173.59	Rockefeller Alt. Strat. QP (LP)	-	27,173 59
1,769,490.37	Rockefeller GA Investors 2006 (LP)	1,501,920 00	1,769,490.37
908,236.18	Rockefeller Vent. Opport. Fund (LP)	569,336.00	908,236.18
199,294.87	Venrock Partners V, LP (LP)	137,320 00	199,294.87
	Total Other - Partnerships	4,513,117.00	7,035,905.12

GRAND TOTAL

29,741,773.70 36,679,963.58

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
150000 Sh 3M Company 4.375% 08/15/13	07/28/2011	01/05/2012	159,327.00	159,294.71	32.29
25000 Sh. 3M Company 1 375% 09/29/16	01/19/2012	03/27/2012	25,117.75	25,305.65	-187.90
25000 Sh 3M Company 1 375% 09/29/16	01/19/2012	05/29/2012	25,412.50	25,294.93	117.57
150000 Sh 3M Company 1.375% 09/29/16	01/05/2012	08/09/2012	152,946.00	151,444.94	1,501.06
810 Sh. Advance Auto Parts, Inc.	08/28/2012	12/21/2012	56,963.27	58,456.97	-1,493.70
160 Sh. Advance Auto Parts, Inc	08/29/2012	12/21/2012	11,252.00	11,520.86	-268.86
25000 Sh American Express 7% 03/19/2018	01/06/2012	05/29/2012	30,562.50	29,981.47	581.03
125000 Sh American Express 7% 03/19/2018	01/06/2012	08/22/2012	157,880.00	148,973.39	8,906.61
200 Sh. American Express Co.	02/07/2012	04/26/2012	11,930.93	10,448.36	1,482.57
520 Sh. American Express Co	02/07/2012	07/25/2012	29,187.36	27,165.74	2,021.62
620 Sh. American Express Co	02/07/2012	07/26/2012	35,545.85	32,389.91	3,155.94
490 Sh. American Express Co	02/06/2012	07/27/2012	28,370.22	25,338.19	3,032.03
210 Sh American Express Co.	02/07/2012	07/27/2012	12,158.66	10,970.78	1,187.88
1700 Sh. American Express Co.	02/06/2012	07/30/2012	99,241.57	87,908.02	11,333.55
20000 Sh. Bank of Amer 5.3% 03/15/17	08/09/2012	11/05/2012	22,248.20	21,572.33	675.87
10000 Sh Bank of Amer 5.3% 03/15/17	08/09/2012	11/28/2012	11,056.60	10,774.49	282.11
120000 Sh. Bank of Amer 5.3% 03/15/17	08/09/2012	12/13/2012	133,816.80	129,209.93	4,606.87
20000 Sh BB&T Corp 3 95% 4/29/2016	11/14/2011	11/05/2012	21,987.00	21,160.25	826.75
630 Sh. Best Buy Co , Inc	04/19/2012	04/26/2012	13,954.44	13,928.92	25.52
3990 Sh Best Buy Co , Inc	04/18/2012	08/09/2012	78,167.93	88,167.83	-9,999.90
910 Sh Best Buy Co., Inc.	04/18/2012	08/09/2012	17,367.41	20,108.45	-2,741.04
5430 Sh Best Buy Co , Inc	04/19/2012	08/09/2012	103,631.94	120,054.04	-16,422.10
350 Sh Best Buy Co., Inc	04/18/2012	08/10/2012	6,731.96	7,734.02	-1,002.06
75000 Sh. Campbell Soup 4.25% 04/15/21	09/28/2011	07/12/2012	85,737.00	81,731.99	4,005.01
6110 Sh. Centrica plc	05/10/2011	04/26/2012	30,550.69	30,926.14	-375.45
520 Sh China Mobile (Hong Kong) Ltd	12/20/2011	04/26/2012	28,354.45	24,597.51	3,756.94
830 Sh. China Mobile (Hong Kong) Ltd	08/21/2012	12/21/2012	47,988.86	44,242.49	3,746.37
25000 Sh Comcast Corp Notes, 5.300%	06/24/2011	04/26/2012	26,853.75	26,747.96	105.79
5263 158 Sh DoubleLine Total Return Bnd Fd	11/09/2012	11/29/2012	60,000.00	59,947.37	52.63
130000 Sh Fannie Mae 6.625% 11/15/30	06/20/2011	01/05/2012	188,704.62	167,936.60	20,768.02
20000 Sh. Fannie Mae 6.625% 11/15/30	06/20/2011	03/27/2012	28,540.00	25,790.39	2,749.61
25000 Sh Fannie Mae 6.625% 11/15/30	06/20/2011	04/26/2012	36,461.75	32,217.63	4,244.12
10000 Sh Fannie Mae 6 625% 11/15/30	10/05/2012	11/02/2012	15,239.50	15,204.40	35.10
25000 Sh. Fed Home Loan Bank 5% 11/17/17	11/07/2011	03/27/2012	29,940.25	29,863.87	76.38
50000 Sh. Fed Home Loan Bank 5% 11/17/17	11/07/2011	09/10/2012	60,613.00	58,983.74	1,629.26
5000 Sh Fed Home Loan Bank 5% 11/17/17	11/07/2011	11/02/2012	6,023.00	5,873.37	149.63
30000 Sh. Fed Home Loan Bank 5% 11/17/17	10/05/2012	11/02/2012	36,138.00	36,289.71	-151.71

Run Date. 10/21/2013 04:40 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.
From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
20000 Sh Fedex Corp Nts, 8.0% 01/15/19	01/10/2012	11/05/2012	26,631.40	25,886.42	744.98
10000 Sh Fedex Corp Nts, 8.0% 01/15/19	01/10/2012	11/28/2012	13,292.50	12,913.04	379.46
160000 Sh. Freddie Mac 1.375% 02/25/14	11/16/2011	01/05/2012	162,980.80	162,612.25	368.55
25000 Sh. Freddie Mac 1.375% 02/25/14	11/16/2011	11/02/2012	25,355.50	25,251.40	104.10
20000 Sh GE Cap Corp 5.625% 05/01/18	08/22/2012	11/05/2012	23,761.60	23,539.02	222.58
10000 Sh. GE Cap Corp 5.625% 05/01/18	08/22/2012	11/28/2012	11,878.10	11,748.34	129.76
75000 Sh General Dynamics 5.25% 2/01/14	07/07/2011	03/15/2012	81,398.25	80,868.51	529.74
25000 Sh. General Mills 5.7% 02/15/17	07/08/2011	05/29/2012	29,725.00	28,380.71	1,344.29
25000 Sh GS Group 5.75% 01/24/2022	08/28/2012	11/05/2012	29,014.75	27,464.46	1,550.29
10000 Sh. GS Group 5.75% 01/24/2022	08/24/2012	11/28/2012	11,825.70	10,909.41	916.29
10 Sh. Hyundai Motor Co., Ltd - 2nd	04/29/2011	04/26/2012	668.33	795.95	-127.62
230 Sh. Hyundai Motor Co., Ltd. - 2nd	05/02/2011	04/26/2012	15,371.67	18,491.52	-3,119.85
17340 Sh. Intesa Sanpaolo	12/06/2011	04/24/2012	24,381.56	31,085.48	-6,703.92
20440 Sh. Intesa Sanpaolo	12/07/2011	04/24/2012	28,740.44	37,890.14	-9,149.70
31860 Sh Intesa Sanpaolo	12/06/2011	04/26/2012	47,625.40	57,115.54	-9,490.14
4060 Sh. Intesa Sanpaolo	12/08/2011	04/26/2012	6,069.02	7,152.93	-1,083.91
5660 Sh. Intesa Sanpaolo	12/08/2011	04/27/2012	8,522.29	9,971.81	-1,449.52
9730 Sh. Intesa Sanpaolo	12/09/2011	04/27/2012	14,650.52	16,304.86	-1,654.34
9320 Sh Intesa Sanpaolo	12/12/2011	04/27/2012	14,033.18	15,275.69	-1,242.51
25000 Sh J&J 5.15% Due 07/15/2018	12/13/2011	05/29/2012	30,062.50	29,853.06	209.44
20000 Sh. J&J 5.15% Due 07/15/2018	12/13/2011	11/05/2012	24,410.40	23,620.26	790.14
10000 Sh. J&J 5.15% Due 07/15/2018	12/13/2011	11/28/2012	12,220.00	11,789.20	430.80
55000 Sh. J&J 5.15% Due 07/15/2018	12/13/2011	12/11/2012	67,074.70	64,780.67	2,294.03
75000 Sh. JPM Chase 3.7% 01/20/2015	07/08/2011	05/24/2012	77,658.00	77,798.20	-140.20
25000 Sh. JPMorgan Chase 6% 10/01/2017	08/24/2012	09/10/2012	29,596.75	29,397.35	199.40
20000 Sh. JPMorgan Chase 6% 10/01/2017	08/24/2012	11/05/2012	23,689.20	23,417.57	271.63
10000 Sh. JPMorgan Chase 6% 10/01/2017	08/24/2012	11/28/2012	11,825.20	11,685.87	139.33
25000 Sh. Keycorp 5.1% Due 3/24/21	03/21/2012	05/29/2012	27,937.50	27,413.11	524.39
15000 Sh. Keycorp 5.1% Due 3/24/21	03/21/2012	09/10/2012	17,229.75	16,410.05	819.70
20000 Sh. Keycorp 5.1% Due 3/24/21	03/21/2012	11/05/2012	23,449.20	21,851.41	1,597.79
10000 Sh. Keycorp 5.1% Due 3/24/21	03/21/2012	11/28/2012	11,696.20	10,919.16	777.04
940 Sh. Korea Electric Power Corp	06/24/2011	04/26/2012	17,911.64	24,929.63	-7,017.99
92000 Sh. McDonald's Corp, 5.35% 3/1/18	08/18/2011	03/06/2012	111,155.32	109,323.32	1,832.00
48000 Sh. McDonald's Corp, 5.35% 3/1/18	09/06/2011	03/06/2012	57,994.08	57,025.72	968.36
410 Sh Merck & Co. Inc	01/05/2012	04/26/2012	15,734.05	15,881.27	-147.22
1080 Sh Morgan Stanley	08/09/2011	04/10/2012	19,209.96	18,563.04	646.92
1580 Sh. Morgan Stanley	08/22/2011	04/10/2012	28,103.46	25,461.38	2,642.08

Run Date: 10/21/2013 04.40 PM

Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
25000 Sh. Morgan Stanley 6 00% 04/28/15	03/15/2012	11/05/2012	27,213.50	26,062.24	1,151.26
10000 Sh Morgan Stanley 6.00% 04/28/15	03/15/2012	11/28/2012	10,861.90	10,413.50	448.40
330 Sh NetApp, Inc.	09/08/2011	04/26/2012	12,981.91	12,024.57	957.34
25000 Sh. NVR Inc Corp 3.95% 9/15/22	10/04/2012	11/05/2012	24,825.50	25,821.27	-995.77
10000 Sh. NVR Inc Corp 3.95% 9/15/22	10/04/2012	11/28/2012	10,058.90	10,326.56	-267.66
50000 Sh. NY Trans Auth 3.823% 5/1/2017	05/01/2012	11/02/2012	55,820.00	54,985.32	834.68
100000 Sh. Oracle Corp 5 00% 07/08/2019	06/22/2011	01/25/2012	117,036.00	110,572.89	6,463.11
450 Sh Oracle Corporation	01/24/2012	04/26/2012	13,079.95	12,860.87	219.08
45000 Sh Pepsico Inc., 5 15% 05/15/2012	09/29/2011	01/05/2012	45,747.00	45,790.98	-43.98
34000 Sh. Pepsico Inc., 5.15% 05/15/2012	11/07/2011	01/05/2012	34,564.40	34,601.86	-37.46
70000 Sh. Pepsico Inc., 5 15% 05/15/2012	11/08/2011	01/05/2012	71,162.00	71,194.55	-32.55
150000 Sh. Pepsico Inc.3% Due 8/25/21	01/05/2012	08/09/2012	157,890.00	153,793.88	4,096.12
15000 Sh. PNC Funding 5.125% 02/08/20	01/06/2012	09/10/2012	17,618.10	16,790.80	827.30
20000 Sh. PNC Funding 5.125% 02/08/20	01/06/2012	11/05/2012	23,917.60	22,344.04	1,573.56
10000 Sh PNC Funding 5.125% 02/08/20	01/06/2012	11/28/2012	11,934.70	11,162.09	772.61
1240 Sh Prudential plc	11/08/2011	04/26/2012	14,953.31	12,884.13	2,069.18
1520 Sh Quest Diagnostics Incorporated	07/21/2011	06/08/2012	83,966.87	87,104.21	-3,137.34
100 Sh. Roche Holdings AG	04/12/2012	04/26/2012	18,208.87	17,213.68	995.19
620 Sh. Safran SA	11/30/2011	04/26/2012	22,852.32	18,054.65	4,797.67
40 Sh. Samsung Electronics Co , Pfd	12/07/2011	04/26/2012	27,123.72	23,251.91	3,871.81
460 Sh. Svenska Handelsbanken AB - A s	12/08/2011	04/26/2012	14,441.47	12,608.63	1,832.84
3625.392 Sh. TCW Emerging Markets Income Fd	07/01/2011	04/26/2012	32,048.47	32,229.73	-181.26
243 388 Sh TCW Emerging Markets Income Fd	07/29/2011	04/26/2012	2,151.55	2,185.62	-34.07
25000 Sh. TD Bank 2.375% 10/19/16	11/14/2011	05/29/2012	25,687.50	25,356.48	331.02
20000 Sh. TD Bank 2.375% 10/19/16	11/14/2011	11/05/2012	21,044.20	20,257.97	786.23
75000 Sh. U.S. Treasury Infl Index Notes	06/15/2011	01/15/2012	95,599.50	94,692.00	907.50
25000 Sh UPS 5 125% Due 4/1/19	08/19/2011	05/29/2012	30,375.00	29,776.23	598.77
25000 Sh US Bancorp 2.2% 11/15/16	03/21/2012	05/29/2012	25,593.75	25,449.69	144.06
20000 Sh US Bancorp 2.2% 11/15/16	03/21/2012	11/05/2012	20,913.80	20,325.85	587.95
10000 Sh. US Bancorp 2 2% 11/15/16	03/21/2012	11/28/2012	10,462.30	10,160.21	302.09
60000 Sh. US Treas Bonds 6 25% 05/15/30	01/19/2012	08/24/2012	94,307.81	90,756.86	3,550.95
25000 Sh US Treas Inf Ix 1 625% 1/15/15	06/15/2011	03/27/2012	32,371.11	31,452.49	918.62
125000 Sh. US Treas Inf Ix 1 625% 1/15/15	06/15/2011	04/26/2012	161,750.39	156,909.97	4,840.42
50000 Sh US Treas Infl 2.125% 01/15/19	05/18/2011	04/26/2012	64,115.48	57,867.70	6,247.78
125000 Sh US Treas Infl 2.375% 1/15/17	01/05/2012	03/21/2012	164,389.21	162,962.13	1,427.08
25000 Sh US Treas N/B 2.625% 11/15/20	12/12/2011	04/26/2012	26,876.95	26,542.07	334.88
25000 Sh US Treas N/B 2.625% 11/15/20	06/20/2011	05/29/2012	27,306.64	24,520.41	2,786.23

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Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
25000 Sh US Treas N/B 2.625% 11/15/20	12/12/2011	05/29/2012	27,306.64	26,528.31	778.33
60000 Sh US Treasury 3.75% 08/15/41	10/11/2011	01/05/2012	68,367.19	67,364.19	1,003.00
90000 Sh. US Treasury 3.75% 08/15/41	12/12/2011	01/05/2012	102,550.78	102,446.74	104.04
25000 Sh. US Treasury 3.75% 08/15/41	10/11/2011	05/29/2012	29,683.59	28,043.53	1,640.06
40000 Sh US Treasury 3 75% 08/15/41	10/11/2011	08/24/2012	48,151.56	44,844.32	3,307.24
20000 Sh US Treasury TIPS 1.25% 4/15/14	09/10/2012	11/02/2012	22,513.87	22,672.76	-158.89
10000 Sh US Treasury TIPS 1.25% 4/15/14	09/10/2012	11/28/2012	11,252.63	11,356.54	-103.91
20000 Sh. US Tres Infl 2.5% 07/15/2016	08/09/2012	11/02/2012	26,298.60	26,042.98	255.62
10000 Sh. US Tres Infl 2.5% 07/15/2016	08/09/2012	11/28/2012	13,166.21	12,986.53	179.68
5076.6725 Sh. Vanguard GNMA Fund-ADM	07/13/2011	03/29/2012	56,046.46	55,691.10	355.36
276 1775 Sh. Vanguard GNMA Fund-ADM	07/29/2011	03/29/2012	3,049.00	3,040.71	8.29
339.073 Sh. Vanguard GNMA Fund-ADM	08/31/2011	03/29/2012	3,743.37	3,784.05	-40.68
345.269 Sh. Vanguard GNMA Fund-ADM	09/30/2011	03/29/2012	3,811.77	3,853.20	-41.43
342 772 Sh. Vanguard GNMA Fund-ADM	10/31/2011	03/29/2012	3,784.20	3,815.05	-30.85
324 108 Sh Vanguard GNMA Fund-ADM	11/30/2011	03/29/2012	3,578.15	3,617.04	-38.89
218.2016 Sh. Vanguard GNMA Fund-ADM	12/29/2011	03/29/2012	2,408.95	2,413.31	-4.36
1178.2904 Sh. Vanguard GNMA Fund-ADM	12/29/2011	03/29/2012	13,008.33	13,031.89	-23.56
330.829 Sh. Vanguard GNMA Fund-ADM	12/30/2011	03/29/2012	3,652.35	3,662.28	-9.93
312.023 Sh. Vanguard GNMA Fund-ADM	01/31/2012	03/29/2012	3,444.73	3,460.90	-16.17
314.555 Sh. Vanguard GNMA Fund-ADM	02/29/2012	03/29/2012	3,472.69	3,475.83	-3.14
6189.566 Sh. Vanguard GNMA Fund-ADM	07/13/2011	04/26/2012	68,332.80	67,899.54	433.26
314.094 Sh Vanguard GNMA Fund-ADM	03/30/2012	04/26/2012	3,467.60	3,458.17	9.43
72.4616 Sh Vanguard GNMA Fund-ADM	03/30/2012	04/26/2012	799.98	797.73	2.25
217.3584 Sh. Vanguard GNMA Fund-ADM	03/30/2012	04/26/2012	2,399.64	2,393.19	6.45
267.211 Sh. Vanguard GNMA Fund-ADM	04/30/2012	11/01/2012	2,944.67	2,952.66	-7.99
250 904 Sh Vanguard GNMA Fund-ADM	05/31/2012	11/01/2012	2,764.96	2,777.51	-12.55
281 684 Sh. Vanguard GNMA Fund-ADM	06/29/2012	11/01/2012	3,104.16	3,112.61	-8.45
259.131 Sh. Vanguard GNMA Fund-ADM	07/31/2012	11/01/2012	2,855.62	2,876.35	-20.73
242.494 Sh. Vanguard GNMA Fund-ADM	08/31/2012	11/01/2012	2,672.28	2,691.68	-19.40
230 205 Sh. Vanguard GNMA Fund-ADM	09/28/2012	11/01/2012	2,536.86	2,557.58	-20.72
234.8472 Sh Vanguard GNMA Fund-ADM	10/31/2012	11/29/2012	2,583.32	2,590.36	-7.04
25000 Sh. Wal-Mart Stores 5.8% 2/15/18	08/04/2011	05/29/2012	30,275.00	29,496.91	778.09
25000 Sh. Walgreen Co 5 25% 01/15/19	08/25/2011	05/29/2012	29,312.50	29,046.42	266.08
60000 Sh Walgreen Co 5 25% 01/15/19	08/01/2011	06/22/2012	68,563.20	68,329.07	234.13
65000 Sh. Walgreen Co 5.25% 01/15/19	08/01/2011	06/25/2012	74,185.80	74,019.70	166.10
830 Sh Wells Fargo Company	08/09/2011	04/26/2012	27,995.93	20,051.39	7,944.54
25000 Sh. Yum! Brands 6.25% 3/15/18	03/07/2012	11/05/2012	30,660.75	29,659.75	1,001.00

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Rockefeller Trust Company, N.A.
From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Short Term					
Regular Gain/Loss					
10000 Sh. Yum! Brands 6 25% 3/15/18	03/07/2012	11/28/2012	12,223.00	11,841.30	381.70
Regular Gain/Loss Totals:					95,781.18
Account Totals:			5,577,107.50	5,481,326.32	95,781.18

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Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
210 Sh. Advance Auto Parts, Inc.	11/04/2009	04/26/2012	19,208.75	8,048.40	11,160.35
140 Sh. Alexion Pharmaceuticals, Inc.	10/15/2009	04/26/2012	12,555.68	3,202.47	9,353.21
330 Sh. Allstate Corporation	04/27/2010	02/06/2012	10,087.64	11,084.80	-997.16
3200 Sh. Allstate Corporation	04/28/2010	02/06/2012	97,819.56	108,818.24	-10,998.68
2940 Sh. Allstate Corporation	04/27/2010	02/07/2012	89,799.69	98,755.48	-8,955.79
340 Sh. Anadarko Petroleum Corporation	10/15/2009	04/26/2012	25,160.66	22,534.49	2,626.17
85 Sh. Apache Corporation	06/04/2010	04/26/2012	8,035.35	7,652.73	382.62
105 Sh. Apache Corporation	06/23/2010	04/26/2012	9,926.03	9,668.61	257.42
80 Sh. Apple Inc.	04/27/2010	04/26/2012	48,732.60	21,003.46	27,729.14
550 Sh. Apple Inc.	04/27/2010	09/11/2012	366,118.93	144,398.76	221,720.17
280 Sh. Apple Inc.	04/27/2010	12/26/2012	144,012.31	73,512.10	70,500.21
60 Sh. Apple Inc.	04/27/2010	12/27/2012	30,624.99	15,752.59	14,872.40
245 Sh. Apple Inc.	05/07/2010	12/27/2012	125,052.06	57,919.79	67,132.27
350 Sh. Baker Hughes Incorporated	06/15/2010	04/26/2012	15,122.32	14,956.20	166.12
10000 Sh. BB&T Corp 3 95% 4/29/2016	11/14/2011	11/28/2012	10,947.20	10,568.95	378.25
330 Sh. BioMarin Pharmaceutical Inc.	10/08/2008	04/26/2012	11,639.99	8,033.62	3,606.37
380 Sh. BioMarin Pharmaceutical Inc.	10/07/2008	07/17/2012	15,810.53	9,093.63	6,716.90
330 Sh. BioMarin Pharmaceutical Inc.	10/08/2008	07/17/2012	13,730.20	8,033.61	5,696.59
530 Sh. BioMarin Pharmaceutical Inc.	10/09/2008	07/17/2012	22,051.53	12,762.19	9,289.34
190 Sh. BioMarin Pharmaceutical Inc.	10/13/2008	07/17/2012	7,905.27	4,264.44	3,640.83
70000 Sh. Boeing, 6 00% 3/15/2019	09/29/2011	10/25/2012	88,034.10	83,194.56	4,839.54
18 9655 Sh. Boskalis Westminster - CVA	05/11/2007	04/26/2012	688.22	637.54	50.68
291 0345 Sh. Boskalis Westminster - CVA	05/14/2007	04/26/2012	10,560.99	10,040.22	520.77
0.2105 Sh. Boskalis Westminster - CVA	05/11/2007	06/13/2012	6.70	7.08	-0.38
800 Sh. CareFusion Corporation	11/03/2010	04/26/2012	20,768.73	18,891.52	1,877.21
10000 Sh. Caterpillar Inc 5.7% 8/15/16	07/08/2011	11/05/2012	11,669.60	11,280.74	388.86
10000 Sh. Caterpillar Inc 5.7% 8/15/16	07/08/2011	11/28/2012	11,679.50	11,258.15	421.35
240 Sh. Chevron Corporation	11/04/2002	04/26/2012	25,477.06	8,296.08	17,180.98
10 Sh. Chevron Corporation	07/02/2003	04/26/2012	1,061.54	359.90	701.64
3900 Sh. China Agri-Industries Hold Rts	05/05/2010	12/10/2012	276.40	0.00	276.40
6900 Sh. China Agri-Industries Hold Rts	05/06/2010	12/10/2012	489.02	0.00	489.02
2100 Sh. China Agri-Industries Hold Rts	05/12/2010	12/10/2012	148.83	0.00	148.83
3300 Sh. China Agri-Industries Hold Rts	05/13/2010	12/10/2012	233.88	0.00	233.88
2100 Sh. China Agri-Industries Hold Rts	05/14/2010	12/10/2012	148.83	0.00	148.83
2700 Sh. China Agri-Industries Hold Rts	05/17/2010	12/10/2012	191.35	0.00	191.35
600 Sh. China Agri-Industries Hold Rts	05/18/2010	12/10/2012	42.52	0.00	42.52
9600 Sh. China Agri-Industries Hold Rts	11/26/2010	12/10/2012	680.38	0.00	680.38

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Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
300 Sh. China Agri-Industries Hold Rts	11/29/2010	12/10/2012	21.26	0.00	21.26
6000 Sh. China Agri-Industries Holdings	05/04/2010	04/26/2012	4,337.42	8,001.62	-3,664.20
1000 Sh. China Agri-Industries Holdings	05/05/2010	04/26/2012	722.90	1,306.72	-583.82
440 Sh. Chunghwa Telecom Ltd ADR	04/23/2010	04/26/2012	13,524.46	10,853.15	2,671.31
122 Sh. Chunghwa Telecom Ltd ADR	04/23/2010	05/02/2012	3,757.45	3,009.28	748.17
78 Sh. Chunghwa Telecom Ltd ADR	04/26/2010	05/02/2012	2,402.31	1,922.31	480.00
470 Sh. Chunghwa Telecom Ltd ADR	04/26/2010	05/03/2012	14,542.79	11,583.15	2,959.64
316 Sh. Chunghwa Telecom Ltd ADR	04/26/2010	05/04/2012	9,797.45	7,787.82	2,009.63
154 Sh. Chunghwa Telecom Ltd ADR	04/22/2010	05/04/2012	4,774.71	3,775.02	999.69
370 Sh. Chunghwa Telecom Ltd ADR	04/22/2010	05/07/2012	11,369.18	9,069.86	2,299.32
940 Sh. Chunghwa Telecom Ltd ADR	04/22/2010	05/08/2012	28,873.71	23,042.34	5,831.37
510 Sh. Chunghwa Telecom Ltd ADR	04/20/2010	05/09/2012	15,503.55	12,495.89	3,007.66
520 Sh. Chunghwa Telecom Ltd ADR	04/22/2010	05/09/2012	15,807.54	12,746.82	3,060.72
470 Sh. Chunghwa Telecom Ltd ADR	04/20/2010	05/10/2012	14,194.34	11,515.83	2,678.51
156 Sh. Chunghwa Telecom Ltd ADR	04/20/2010	05/11/2012	4,721.64	3,822.27	899.37
684 Sh. Chunghwa Telecom Ltd ADR	04/21/2010	05/11/2012	20,702.57	16,618.46	4,084.11
820 Sh. Chunghwa Telecom Ltd ADR	04/21/2010	05/14/2012	24,737.53	19,922.72	4,814.81
14650 Sh. Cisco Systems, Inc	11/22/2005	01/24/2012	288,019.32	252,854.60	35,164.72
600 Sh. Cisco Systems, Inc	03/31/2009	01/24/2012	11,796.01	9,966.00	1,830.01
10000 Sh. Comcast Corp Notes, 5.300%	06/24/2011	11/05/2012	10,553.60	10,489.32	64.28
10000 Sh. Comcast Corp Notes, 5.300%	06/24/2011	11/28/2012	10,498.60	10,461.21	37.39
150 Sh. Comcast Corporation - CL A	12/10/2007	04/26/2012	4,544.84	2,765.65	1,779.19
760 Sh. Comcast Corporation - CL A	07/01/2009	04/26/2012	23,027.18	11,118.80	11,908.38
2800 Sh. Comcast Corporation - CL A	10/28/2008	12/13/2012	103,874.87	39,197.20	64,677.67
1130 Sh. Comcast Corporation - CL A	06/01/2009	12/13/2012	41,920.93	15,815.14	26,105.79
700 Sh. Comcast Corporation - CL A	07/01/2009	12/13/2012	25,968.72	10,241.00	15,727.72
1830 Sh. Comcast Corporation - CL A	03/16/2009	12/21/2012	68,060.56	23,902.55	44,158.01
650 Sh. Comcast Corporation - CL A	03/31/2009	12/21/2012	24,174.52	8,994.24	15,180.28
190 Sh. Comcast Corporation - CL A	06/01/2009	12/21/2012	7,066.40	2,659.18	4,407.22
320 Sh. Comerica Incorporated	03/16/2010	04/26/2012	10,337.98	12,136.86	-1,798.88
250 Sh. Continental Resources, Inc.	07/08/2009	04/26/2012	22,371.57	5,894.45	16,477.12
360 Sh. Covrdien PLC	11/25/2008	04/26/2012	19,795.67	13,334.54	6,461.13
150 Sh. Cree, Inc.	04/04/2011	04/26/2012	4,657.93	6,859.22	-2,201.29
2030 Sh. Cree, Inc.	04/04/2011	05/25/2012	52,748.98	92,828.04	-40,079.06
20000 Sh. CSX Corp Debt 6.250% 4/1/15	07/12/2011	11/05/2012	22,626.20	22,041.78	584.42
10000 Sh. CSX Corp Debt 6.250% 4/1/15	07/12/2011	11/28/2012	11,219.80	10,992.05	227.75
10000 Sh. Diageo Cap Plc 5.750% 10/23/17	07/21/2011	11/05/2012	12,111.80	11,329.68	782.12

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Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
10000 Sh Diageo Cap Plc 5.750% 10/23/17	07/21/2011	11/28/2012	12,096.40	11,312.26	784.14
55000 Sh Diageo Cap Plc 5 750% 10/23/17	07/21/2011	12/11/2012	66,575.30	62,167.64	4,407.66
150000 Sh. Dow Chemical Co 4.25% 11/15/20	07/18/2011	10/04/2012	165,819.00	151,059.41	14,759.59
10000 Sh Duke Energy Ind 3.75% 07/15/20	07/14/2011	11/05/2012	11,099.30	10,184.35	914.95
10000 Sh. Duke Energy Ind 3 75% 07/15/20	07/14/2011	11/28/2012	11,106.20	10,182.91	923.29
10000 Sh E.I. Du Pont, 6% 07/15/18	08/22/2011	11/05/2012	12,534.10	11,918.30	615.80
10000 Sh. E.I. Du Pont, 6% 07/15/18	08/22/2011	11/28/2012	12,409.60	11,896.50	513.10
5000 Sh Fannie Mae 6.625% 11/15/30	06/20/2011	11/02/2012	7,619.75	6,416.92	1,202.83
10000 Sh Fannie Mae 6.625% 11/15/30	06/20/2011	11/28/2012	15,424.00	12,826.31	2,597.69
10000 Sh. Fed Home Loan Bank 5% 11/17/17	11/07/2011	11/28/2012	12,054.60	11,721.86	332.74
210 Sh. FedEx Corporation	02/09/2010	04/26/2012	18,350.08	16,428.09	1,921.99
10000 Sh Freddie Mac 1.375% 02/25/14	11/16/2011	11/28/2012	10,136.40	10,095.05	41.35
6900 Sh. Galaxy Entertainment Group Ltd	10/13/2010	04/26/2012	20,338.93	6,215.93	14,123.00
8100 Sh. Galaxy Entertainment Group Ltd	10/13/2010	11/05/2012	30,083.37	7,296.96	22,786.41
24450 Sh. Galaxy Entertainment Group Ltd	10/11/2010	11/05/2012	90,807.19	21,476.30	69,330.89
10820 Sh Galaxy Entertainment Group Ltd	10/11/2010	11/06/2012	39,457.34	9,504.03	29,953.31
9980 Sh. Galaxy Entertainment Group Ltd	10/12/2010	11/07/2012	36,750.48	8,693.40	28,057.08
3230 Sh Galaxy Entertainment Group Ltd	10/11/2010	11/07/2012	11,894.19	2,837.15	9,057.04
6920 Sh Galaxy Entertainment Group Ltd	10/12/2010	12/21/2012	27,130.22	6,027.89	21,102.33
13910 Sh Galaxy Entertainment Group Ltd	10/08/2010	12/21/2012	54,534.87	11,801.51	42,733.36
7340 Sh. Galaxy Entertainment Group Ltd	10/08/2010	12/24/2012	28,311.91	6,227.40	22,084.51
11350 Sh. Galaxy Entertainment Group Ltd	10/08/2010	12/27/2012	43,450.51	9,629.56	33,820.95
20000 Sh General Mills 5.7% 02/15/17	07/08/2011	11/05/2012	23,805.60	22,473.73	1,331.87
10000 Sh General Mills 5 7% 02/15/17	07/08/2011	11/28/2012	11,830.30	11,217.89	612.41
70 Sh Goldman Sachs Group	03/13/2009	04/26/2012	8,040.72	6,839.42	1,201.30
80 Sh. Google Inc - Class A	11/05/2007	04/26/2012	49,335.32	57,387.40	-8,052.08
3130 Sh. Hertz Global Holdings Inc.	04/13/2010	02/17/2012	43,219.51	37,352.48	5,867.03
1810 Sh Hertz Global Holdings Inc	04/14/2010	02/17/2012	24,992.75	22,029.15	2,963.60
5310 Sh Hertz Global Holdings Inc.	04/12/2010	02/21/2012	72,465.32	62,870.40	9,594.92
4820 Sh Hertz Global Holdings Inc.	04/13/2010	02/21/2012	65,778.32	57,520.43	8,257.89
850 Sh Hewlett-Packard Company	02/24/2011	04/26/2012	21,100.69	36,588.42	-15,487.73
10000 Sh. Honeywell 5 0% Due 2/15/19	06/23/2011	11/05/2012	11,949.10	11,003.04	946.06
10000 Sh Honeywell 5.0% Due 2/15/19	06/23/2011	11/28/2012	11,931.00	10,993.01	937.99
460 Sh Honeywell Inc.	12/19/2005	04/26/2012	28,102.20	17,434.28	10,667.92
50000 Sh IBM Corp, 6.5% Due 10/15/13	10/15/2008	03/15/2012	54,612.50	50,233.88	4,378.62
50000 Sh IBM Corp, 6.5% Due 10/15/13	10/15/2008	04/26/2012	54,301.00	50,217.75	4,083.25
15000 Sh. IBM Corp, 6 5% Due 10/15/13	10/15/2008	11/05/2012	15,820.80	15,042.77	778.03

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Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
85000 Sh. IBM Corp, 6.5% Due 10/15/13	10/15/2008	11/28/2012	89,428.50	85,224.79	4,203.71
850 Sh. InterContinental Hotels Group	05/12/2009	04/26/2012	19,974.82	8,915.85	11,058.97
3660 Sh. InterContinental Hotels Group	05/12/2009	04/27/2012	87,736.83	38,390.61	49,346.22
1810 Sh. InterContinental Hotels Group	05/11/2009	04/30/2012	43,299.99	18,078.03	25,221.96
780 Sh. InterContinental Hotels Group	05/12/2009	04/30/2012	18,659.67	8,181.60	10,478.07
830 Sh. InterContinental Hotels Group	07/01/2009	04/30/2012	19,855.80	8,644.33	11,211.47
1980 Sh. InterContinental Hotels Group	05/11/2009	05/01/2012	47,354.95	19,775.96	27,578.99
2180 Sh. InterContinental Hotels Group	05/11/2009	05/02/2012	52,755.12	21,773.54	30,981.58
210 Sh. Intertek Group plc	12/11/2009	05/23/2012	8,309.98	4,174.77	4,135.21
90 Sh. Intertek Group plc	12/11/2009	05/24/2012	3,580.01	1,789.18	1,790.83
320 Sh. Intertek Group plc	12/23/2009	05/24/2012	12,728.92	6,287.16	6,441.76
270 Sh. Intertek Group plc	12/22/2009	05/25/2012	10,809.77	5,285.31	5,524.46
500 Sh. Intertek Group plc	12/23/2009	05/25/2012	20,018.08	9,823.70	10,194.38
360 Sh. Intertek Group plc	12/22/2009	05/29/2012	14,875.33	7,047.08	7,828.25
310 Sh. Intertek Group plc	12/17/2009	05/30/2012	12,648.18	6,025.96	6,622.22
310 Sh. Intertek Group plc	12/22/2009	05/30/2012	12,648.18	6,068.31	6,579.87
420 Sh. Intertek Group plc	12/17/2009	05/31/2012	17,052.43	8,164.20	8,888.23
480 Sh. Intertek Group plc	12/17/2009	06/06/2012	19,363.15	9,330.52	10,032.63
560 Sh. Intertek Group plc	12/17/2009	11/08/2012	25,556.17	10,885.61	14,670.56
60 Sh. Intertek Group plc	12/17/2009	11/09/2012	2,719.03	1,166.31	1,552.72
500 Sh. Intertek Group plc	12/21/2009	11/09/2012	22,658.61	9,670.03	12,988.58
560 Sh. Intertek Group plc	12/21/2009	11/12/2012	25,368.91	10,830.44	14,538.47
690 Sh. Intertek Group plc	12/18/2009	11/13/2012	31,331.76	13,196.17	18,135.59
190 Sh. Intertek Group plc	12/21/2009	11/13/2012	8,627.58	3,674.61	4,952.97
1070 Sh. Intertek Group plc	12/18/2009	11/14/2012	48,216.04	20,463.62	27,752.42
560 Sh. Intertek Group plc	12/18/2009	11/15/2012	25,019.05	10,709.93	14,309.12
200 Sh. Intertek Group plc	12/18/2009	11/16/2012	8,954.03	3,824.97	5,129.06
40000 Sh. J&J 5.15% Due 07/15/2018	09/26/2011	12/11/2012	48,781.60	46,913.69	1,867.91
700 Sh. Johnson Matthey PLC	11/03/2006	07/17/2012	22,989.14	19,225.58	3,763.56
150 Sh. Johnson Matthey PLC	10/31/2006	07/18/2012	5,035.95	4,117.35	918.60
380 Sh. Johnson Matthey PLC	11/03/2006	07/18/2012	12,757.73	10,436.75	2,320.98
320 Sh. Johnson Matthey PLC	10/31/2006	07/19/2012	11,008.18	8,783.67	2,224.51
180 Sh. Johnson Matthey PLC	10/31/2006	07/20/2012	6,201.17	4,940.82	1,260.35
280 Sh. Johnson Matthey PLC	10/31/2006	07/23/2012	9,150.13	7,685.72	1,464.41
140 Sh. Johnson Matthey PLC	10/31/2006	07/24/2012	4,586.38	3,842.86	743.52
270 Sh. Johnson Matthey PLC	10/31/2006	07/25/2012	8,540.98	7,411.22	1,129.76
0 4521 Sh. Johnson Matthey PLC	10/31/2006	08/10/2012	15.52	13.00	2.52

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Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
190 Sh. LG Electronics Inc. - PFD	03/09/2011	04/26/2012	3,770.26	7,133.48	-3,363.22
45 Sh. Mastercard Inc - Class A	09/30/2008	04/26/2012	20,492.33	8,052.83	12,439.50
5 Sh. Mastercard Inc - Class A	06/01/2009	04/26/2012	2,276.92	888.03	1,388.89
1290 Sh. Microsoft Corp.	05/18/2004	04/26/2012	41,433.35	33,415.90	8,017.45
8200 Sh Mitsui & Co., Ltd.	03/16/2011	04/19/2012	128,764.84	138,344.06	-9,579.22
4200 Sh. Mitsui & Co , Ltd.	03/17/2011	04/19/2012	65,952.73	68,524.11	-2,571.38
3000 Sh Morgan Stanley	08/07/2009	04/05/2012	55,187.36	94,477.20	-39,289.84
2370 Sh. Morgan Stanley	08/07/2009	04/09/2012	42,338.86	74,636.98	-32,298.12
1080 Sh Morgan Stanley	08/07/2009	04/10/2012	19,209.96	34,011.79	-14,801.83
260 Sh MSCI Inc.	12/08/2010	04/26/2012	9,532.24	9,640.00	-107.76
1000 Sh NGK Insulators Ltd	03/17/2011	04/26/2012	12,234.67	15,441.67	-3,207.00
1180 Sh. NGK Insulators Ltd.	03/16/2011	11/02/2012	12,837.43	17,713.06	-4,875.63
2000 Sh. NGK Insulators Ltd	03/17/2011	11/02/2012	21,758.35	30,883.33	-9,124.98
3440 Sh. NGK Insulators Ltd	03/16/2011	11/05/2012	36,463.25	51,638.09	-15,174.84
3520 Sh. NGK Insulators Ltd.	03/16/2011	11/07/2012	36,714.93	52,838.97	-16,124.04
1830 Sh. NGK Insulators Ltd.	03/16/2011	11/14/2012	18,322.20	27,470.26	-9,148.06
1030 Sh NGK Insulators Ltd.	03/16/2011	11/16/2012	10,671.92	15,461.41	-4,789.49
440 Sh Novartis AG - ADR	01/31/2006	04/26/2012	24,231.14	24,207.31	23.83
110 Sh Novartis AG - ADR	08/07/2007	04/26/2012	6,057.78	6,057.55	0.23
440 Sh NYSE Euronext	12/23/2009	04/26/2012	11,897.64	11,345.00	552.64
1720 Sh NYSE Euronext	12/18/2009	12/13/2012	39,752.95	43,484.35	-3,731.40
3150 Sh. NYSE Euronext	12/22/2009	12/13/2012	72,803.38	80,460.14	-7,656.76
1170 Sh. NYSE Euronext	12/23/2009	12/13/2012	27,041.25	30,167.40	-3,126.15
200 Sh. Oceaneering International, Inc	05/18/2010	04/26/2012	10,103.77	5,687.90	4,415.87
800 Sh OGX Petroleo E Gas Participaoe	10/16/2009	04/26/2012	5,948.51	7,384.28	-1,435.77
5700 Sh OGX Petroleo E Gas Participaoe	10/16/2009	06/18/2012	27,192.35	52,613.02	-25,420.67
6500 Sh. OGX Petroleo E Gas Participaoe	10/16/2009	06/19/2012	32,213.28	59,997.31	-27,784.03
100 Sh OGX Petroleo E Gas Participaoe	11/19/2009	06/19/2012	495.59	811.23	-315.64
400 Sh. Pepsico, Inc	12/17/2008	04/26/2012	26,568.29	21,802.72	4,765.57
10810 Sh Pfizer Inc.	10/29/2010	01/05/2012	231,837.61	189,359.85	42,477.76
4820 Sh Pfizer Inc.	11/02/2010	01/05/2012	103,372.55	84,209.26	19,163.29
70 Sh Philippine Long Dist Tele Co	09/16/2010	04/26/2012	4,197.80	3,998.37	199.43
10 Sh. Philippine Long Dist Tele Co	09/16/2010	08/23/2012	642.78	571.20	71.58
140 Sh. Philippine Long Dist Tele Co	09/17/2010	08/23/2012	8,998.99	7,956.86	1,042.13
10 Sh Philippine Long Dist Tele Co	09/17/2010	09/10/2012	658.12	568.35	89.77
80 Sh. Philippine Long Dist Tele Co	09/17/2010	09/11/2012	5,319.55	4,546.77	772.78
60 Sh Philippine Long Dist Tele Co	09/14/2010	09/13/2012	4,014.52	3,384.25	630.27

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Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
20 Sh. Philippine Long Dist Tele Co	09/17/2010	09/13/2012	1,338.17	1,136.69	201.48
30 Sh. Philippine Long Dist Tele Co	09/13/2010	09/14/2012	2,071.71	1,681.95	389.76
50 Sh. Philippine Long Dist Tele Co	09/14/2010	09/14/2012	3,452.85	2,820.21	632.64
60 Sh. Philippine Long Dist Tele Co	09/10/2010	09/17/2012	4,130.41	3,356.97	773.44
80 Sh. Philippine Long Dist Tele Co	09/13/2010	09/17/2012	5,507.21	4,485.19	1,022.02
50 Sh. Philippine Long Dist Tele Co	09/10/2010	09/19/2012	3,386.71	2,797.48	589.23
80 Sh. Philippine Long Dist Tele Co	09/09/2010	09/20/2012	5,375.06	4,435.49	939.57
110 Sh. Philippine Long Dist Tele Co	09/09/2010	09/21/2012	7,387.32	6,098.80	1,288.52
60 Sh. Philippine Long Dist Tele Co	09/09/2010	09/25/2012	3,989.23	3,326.61	662.62
100 Sh. Philippine Long Dist Tele Co	09/03/2010	09/27/2012	6,599.47	5,484.98	1,114.49
10 Sh. Philippine Long Dist Tele Co	09/09/2010	09/27/2012	659.95	554.44	105.51
20 Sh. Philippine Long Dist Tele Co	09/03/2010	09/28/2012	1,324.58	1,097.00	227.58
150 Sh. Philippine Long Dist Tele Co	09/03/2010	10/01/2012	9,887.39	8,227.46	1,659.93
10 Sh. Philippine Long Dist Tele Co	09/03/2010	10/02/2012	660.04	548.50	111.54
10 Sh. Philippine Long Dist Tele Co	09/03/2010	10/03/2012	657.31	548.50	108.81
40 Sh. Philippine Long Dist Tele Co	09/03/2010	10/04/2012	2,640.89	2,193.99	446.90
30 Sh. Philippine Long Dist Tele Co	09/08/2010	10/05/2012	1,981.29	1,644.76	336.53
20 Sh. Philippine Long Dist Tele Co	09/08/2010	10/26/2012	1,275.55	1,096.51	179.04
110 Sh. Philippine Long Dist Tele Co	09/07/2010	10/31/2012	6,991.42	5,991.72	999.70
100 Sh. Philippine Long Dist Tele Co	09/08/2010	10/31/2012	6,355.84	5,482.55	873.29
420 Sh. Qualcomm Inc	02/09/2010	04/26/2012	26,856.80	15,929.93	10,926.87
360 Sh. Quest Diagnostics Incorporated	03/09/2011	04/26/2012	20,791.77	20,701.55	90.22
250 Sh. Quest Diagnostics Incorporated	03/09/2011	06/08/2012	13,810.34	14,376.07	-565.73
610 Sh. Regeneron Pharmaceuticals	12/03/2010	04/12/2012	74,639.51	18,214.66	56,424.85
80 Sh. Regeneron Pharmaceuticals	12/02/2010	04/13/2012	9,801.99	2,384.66	7,417.33
110 Sh. Regeneron Pharmaceuticals	12/03/2010	04/13/2012	13,477.73	3,284.61	10,193.12
90 Sh. Regeneron Pharmaceuticals	12/02/2010	04/26/2012	12,563.72	2,682.74	9,880.98
450 Sh. Regeneron Pharmaceuticals	12/02/2010	12/13/2012	80,157.69	13,413.69	66,744.00
920 Sh. Santos Limited	03/09/2011	04/26/2012	13,325.04	13,785.32	-460.28
6500 Sh. Semen Gresik (Persero) Tbk	03/24/2011	04/26/2012	8,431.01	6,885.25	1,545.76
870 Sh. SwedBank AB	12/03/2009	04/26/2012	13,980.23	9,213.64	4,766.59
10000 Sh. TD Bank 2.375% 10/19/16	11/14/2011	11/28/2012	10,504.50	10,126.80	377.70
320 Sh. Thermo Fisher Scientific Inc.	08/26/2010	04/26/2012	17,555.67	13,912.03	3,643.64
210 Sh. Toronto-Dominion Bank	12/02/2009	04/26/2012	17,477.09	13,543.26	3,933.83
280 Sh. Tullow Oil plc	10/16/2009	04/26/2012	6,970.48	5,728.25	1,242.23
135000 Sh. TX St Build 5 503% 10/1/22	05/05/2011	11/02/2012	166,239.00	148,931.54	17,307.46
20000 Sh. UPS 5.125% Due 4/1/19	08/19/2011	11/05/2012	24,259.60	23,593.73	665.87

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Trust For Mutual Understanding (1060)
EIN: 13-3212724

Schedule D - Attachment
Rockefeller Trust Company, N.A.

From: 01/01/2012 To: 12/31/2012

Description of Property	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain or (Loss)
Long Term					
Regular Gain/Loss					
10000 Sh. UPS 5.125% Due 4/1/19	08/19/2011	11/28/2012	12,073.00	11,778.62	294.38
100000 Sh. US Treas Infl 2.125% 01/15/19	01/21/2010	04/26/2012	128,230.96	106,657.29	21,573.67
25000 Sh. US Treas N/B 1 75% 04/15/13	06/20/2011	11/02/2012	25,175.78	25,157.79	17.99
10000 Sh. US Treas N/B 1 75% 04/15/13	06/20/2011	11/28/2012	10,062.11	10,052.77	9.34
35000 Sh. US Treas N/B 2.625% 11/15/20	06/20/2011	11/02/2012	38,322.27	34,359.23	3,963.04
20000 Sh. US Treas N/B 2.625% 11/15/20	06/20/2011	11/28/2012	22,118.75	19,636.82	2,481.93
85000 Sh. US Treasury 3.75% 08/15/41	08/18/2011	08/24/2012	102,322.07	89,365.03	12,957.04
200000 Sh. US Treasury N/B 4 25% 11/15/17	08/12/2010	03/21/2012	231,492.19	223,403.85	8,088.34
14802 3092 Sh. Vanguard GNMA Fund-ADM	07/13/2011	11/01/2012	163,121.45	162,381.33	740.12
4492.4258 Sh. Vanguard GNMA Fund-ADM	07/13/2011	11/29/2012	49,416.68	49,281.91	134.77
10000 Sh. Verizon Comm 6 35% 04/01/19	07/12/2011	11/05/2012	12,745.90	11,619.57	1,126.33
10000 Sh. Verizon Comm 6 35% 04/01/19	07/12/2011	11/28/2012	12,712.20	11,603.81	1,108.39
380 Sh. Vodafone Group PLC - SP ADR	05/10/2010	04/26/2012	10,643.56	7,958.87	2,684.69
20000 Sh. Wal-Mart Stores 5.8% 2/15/18	08/04/2011	11/05/2012	24,803.80	23,346.23	1,457.57
10000 Sh. Wal-Mart Stores 5 8% 2/15/18	08/04/2011	11/28/2012	12,309.69	11,652.47	657.22
220 Sh. Wal-Mart Stores, Inc	07/19/2002	04/26/2012	12,992.80	10,395.62	2,597.18
280 Sh. Wellpoint Inc	11/04/2010	04/26/2012	19,438.23	15,660.12	3,778.11
1820 Sh. Wellpoint Inc.	07/20/2009	07/18/2012	115,022.15	90,631.83	24,390.32
1580 Sh. Wellpoint Inc	10/22/2009	07/18/2012	99,854.40	72,714.92	27,139.48
420 Sh. Wellpoint Inc	11/04/2010	07/18/2012	26,543.57	23,490.18	3,053.39
10000 Sh. Wells Fargo, 5.625% 12/11/17	09/27/2011	11/05/2012	11,968.00	11,101.49	866.51
10000 Sh. Wells Fargo, 5.625% 12/11/17	09/27/2011	11/28/2012	11,946.60	11,087.76	858.84
55000 Sh. Wells Fargo, 5.625% 12/11/17	09/27/2011	12/20/2012	65,639.20	60,915.85	4,723.35
3300 Sh. Wynn Macau, Limited	10/11/2010	04/26/2012	10,075.48	6,783.27	3,292.21
280 Sh. Yum! Brands, Inc.	02/13/2006	04/26/2012	20,476.00	7,002.76	13,473.24
1610 Sh. Yum! Brands, Inc	02/13/2006	06/18/2012	105,041.78	40,265.86	64,775.92
310 Sh. Yum! Brands, Inc.	03/13/2006	06/18/2012	20,225.43	7,547.31	12,678.12
Regular Gain/Loss Totals:					1,702,113.31
Account Totals:			7,458,149.84	5,756,036.53	1,702,113.31

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable				
1	18th Street Arts Complex (18TH) 1639 18th Street Santa Monica, CA, 90404-3807	(6610) Contributions - Cash 50% Char	06/01/2012	18,000.00
2	American Dance Festival, Inc (400) Durham, NC	(6610) Contributions - Cash 50% Char	05/09/2012	7,000.00
3	Arden 2 (AR2) 350 East 17th Street Suite 112 Costa Mesa, CA, 92627	(6610) Contributions - Cash 50% Char	12/13/2012	2,000.00
4	Art in General (706)	(6610) Contributions - Cash 50% Char	05/25/2012	25,000.00
5	Association of American Geographers (ASSAM) 1710 16th St N W. Washington, DC, 20009	(6610) Contributions - Cash 50% Char	01/09/2012	6,500.00
		(6610) Contributions - Cash 50% Char	12/03/2012	10,000.00
		Association of American Geographers (ASSAM) Sub Total		16,500.00
6	ASTI USA INC (ASTI USA) 243-245 State St P O. Box 510 New London, CT, 06320	(6610) Contributions - Cash 50% Char	08/08/2012	8,000.00
7	Atlantic Theater Company (ATLANTCT) 76 9th Ave, Suite 537 New York, NY, 10011	(6610) Contributions - Cash 50% Char	02/29/2012	7,000.00
8	Bank Information Center (BANKINFO) 733 15th Street, NW Suite 1126 Washington, DC, 20005	(6610) Contributions - Cash 50% Char	12/05/2012	20,000.00
9	Bard College (894) Annandale on Hudson, NY,	(6610) Contributions - Cash 50% Char	12/03/2012	10,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
10	Biodiversity (DIODIV) 19 Flaggy Meadow Rd Gorham, ME, 04038			
		(6610) Contributions - Cash 50% Char	02/29/2012	5,000 00
11	Blacksmith Institute (BLKSMITH) 2014 Fifth Ave New York, NY, 10035			
		(6610) Contributions - Cash 50% Char	05/30/2012	15,000 00
12	Byrd Hoffman Watermill Foundation (BHWF)			
		(6610) Contributions - Cash 50% Char	05/25/2012	15,000 00
13	CEC Artslink (1687) New York, NY,			
		(6610) Contributions - Cash 50% Char	01/30/2012	5,100 00
		(6610) Contributions - Cash 50% Char	02/29/2012	13,750.00
		(6610) Contributions - Cash 50% Char	05/21/2012	12,000 00
		(6610) Contributions - Cash 50% Char	05/21/2012	3,000.00
		(6610) Contributions - Cash 50% Char	05/25/2012	20,000.00
		(6610) Contributions - Cash 50% Char	08/08/2012	5,000.00
		(6610) Contributions - Cash 50% Char	08/24/2012	6,000 00
		(6610) Contributions - Cash 50% Char	09/27/2012	15,000 00
		(6610) Contributions - Cash 50% Char	12/03/2012	80,000 00
		(6610) Contributions - Cash 50% Char	12/03/2012	20,000.00
		CEC Artslink (1687) Sub Total:		179,850 00
14	Center for International Theatre Development (CITD)			
		(6610) Contributions - Cash 50% Char	12/03/2012	70,000 00
15	Center for Traditional Music and Dance (CTRFORDC) 32 Broadway, Ste 1314 New York, NY, 10004			
		(6610) Contributions - Cash 50% Char	05/25/2012	15,000.00
16	Charlestown Working Theater (CWT) 442 Bunker Hill Street Charlestown, MA, 02129			
		(6610) Contributions - Cash 50% Char	03/27/2012	10,000 00
17	Chez Bushwick Inc (CHEZBWIC) 304 Boerum St, Apt 23 Brooklyn, NY, 11206			
		(6610) Contributions - Cash 50% Char	05/25/2012	7,000 00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
18	Crude Accountability Incorporated (CAI) PO Box 2345 Alexandria, VA 22301, VA, 22301			
		(6610) Contributions - Cash 50% Char	05/30/2012	18,000.00
19	Denver Zoological Foundation (DENVER) 2900 E 23rd Street Avenue Denver, CO, 80205			
		(6610) Contributions - Cash 50% Char	12/05/2012	20,000.00
20	Double Edge Theatre (050701K)			
		(6610) Contributions - Cash 50% Char	12/03/2012	20,000.00
21	Drama League of New York, Inc (DRAMALG) 520 Eighth Ave., 3rd Fl, Ste. 320 New York, NY, 10018			
		(6610) Contributions - Cash 50% Char	05/25/2012	10,000.00
22	Dusan Tynek Dance Theatre Foundation (DTDTF) 415 Ninth Street, #54 Brooklyn, NY, 11215			
		(6610) Contributions - Cash 50% Char	12/03/2012	20,000.00
23	Earth Island Institute (3123) San Francisco, CA			
		(6610) Contributions - Cash 50% Char	05/30/2012	15,000.00
		(6610) Contributions - Cash 50% Char	05/30/2012	25,000.00
		(6610) Contributions - Cash 50% Char	12/05/2012	20,000.00
		(6610) Contributions - Cash 50% Char	12/05/2012	12,000.00
		Earth Island Institute (3123) Sub Total		72,000.00
24	EarthCorps (EARTHCOR) 7400 Sand Point Way, NE, Bldg 30 Seattle, WA, 98115			
		(6610) Contributions - Cash 50% Char	12/05/2012	20,000.00
25	East European Folklife Center (EEUROPE)			
		(6610) Contributions - Cash 50% Char	05/25/2012	10,000.00
26	Electronic Music Foundation Ltd (ELECM) 116 North Lake Avenue Albany, NY, 12206			
		(6610) Contributions - Cash 50% Char	05/25/2012	15,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift)

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
27	Environmental Film Festival (ENFF) 1228 1/2 31st Street N W Washington, DC, 20007	(6610) Contributions - Cash 50% Char	12/03/2012	10,000 00
28	Environmental Law Alliance Worldwide (3381) 1877 Garden Ave Eugene, OR, 97403	(6610) Contributions - Cash 50% Char	12/05/2012	18,000 00
29	Experimental Intermedia (EXPERIME) 224 Centre Street, 3rd Floor New York, NY, 10113	(6610) Contributions - Cash 50% Char	05/07/2012	5,000 00
30	Film Society of Lincoln Center (3628)	(6610) Contributions - Cash 50% Char	09/27/2012	50,000 00
31	Foundation for Contemporary Arts (ARTFOUND) 820 Greenwich street New York, NY, 10014	(6610) Contributions - Cash 50% Char	12/03/2012	6,000 00
32	Foundation for International Arts and Education (FIAE)	(6610) Contributions - Cash 50% Char	07/20/2012	1,000.00
33	Gamelan Son of Lion (GAMELAN) 167 Spring Street, #3 New York, NY, 10012	(6610) Contributions - Cash 50% Char	02/03/2012	5,000 00
34	Global Parks (GLOPA) 3803 Sulgrave Dr Alexandria, VA, 22309	(6610) Contributions - Cash 50% Char	05/21/2012	20,000 00
35	Gombin Jewish Hist & Genealogical Society (GOMBIN J) 185 Skyline Drive Highland Mills, NY, 10930	(6610) Contributions - Cash 50% Char	05/03/2012	5,000 00
36	Independent Curators International (CURATORS)	(6610) Contributions - Cash 50% Char	08/08/2012	2,000 00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

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From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
37	International Film Seminars, Inc (INTERFIL) 6 East 39th Street, 12th Floor New York, NY, 10016			
		(6610) Contributions - Cash 50% Char	03/06/2012	2,500.00
38	Joseph Brodsky Memorial Fellowship Fund (JOBRDSKY)			
		(6610) Contributions - Cash 50% Char	12/13/2012	15,000.00
39	Kitka, Inc (KIIN) 1201 Martin Luther King Jr Way Oakland, CA, 94612			
		(6610) Contributions - Cash 50% Char	12/03/2012	18,000.00
40	La Mama (LAMAMA) 74A East 4th Street New York, NY, 10003			
		(6610) Contributions - Cash 50% Char	12/13/2012	7,000.00
41	La Mama E T C (LA MAMA)			
		(6610) Contributions - Cash 50% Char	06/07/2012	20,000.00
		(6610) Contributions - Cash 50% Char	08/17/2012	5,000.00
		La Mama E T C (LA MAMA) Sub Total:		25,000.00
42	Lark Play Development Center (LARK PLA) 939 Eighth Avenue, Suite 301 New York, NY, 10019			
		(6610) Contributions - Cash 50% Char	05/29/2012	15,000.00
43	Lincoln Center for the Performing Arts, Inc. (5907)			
		(6610) Contributions - Cash 50% Char	05/29/2012	15,000.00
44	Mid Atlantic Arts Foundation (MA ARTS) 201 North Charles Street, Suite 401 Baltimore, MD, 21201			
		(6610) Contributions - Cash 50% Char	05/29/2012	20,000.00
45	Missouri Botanical Garden Board of Trustees (MBG)			
		(6610) Contributions - Cash 50% Char	06/05/2012	14,000.00
		(6610) Contributions - Cash 50% Char	09/13/2012	2,000.00
		Missouri Botanical Garden Board of Trustees (MBG) Sub Total		16,000.00
46	Museum of Modern Art (050701E) 11W 53rd Street New York, NY, 10019			
		(6610) Contributions - Cash 50% Char	10/12/2012	1,745.00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
47	New York Live Arts, Inc. (NYLAI) 219 West 19th Street New York, NY, 10011	(6610) Contributions - Cash 50% Char	07/11/2012	35,000 00
48	New York Theatre Workshop (NYTW) 79 East 4th Street New York, NY, 10003	(6610) Contributions - Cash 50% Char	05/03/2012	5,000.00
49	Northeast Document Conservation Center (7318) 100 Brickstone Square Andover, MA, 01810-1494	(6610) Contributions - Cash 50% Char	01/30/2012	-1,390 40
		(6610) Contributions - Cash 50% Char	06/18/2012	-3,436 00
		Northeast Document Conservation Center (7318) Sub Total		-4,826 40
50	Palissimo Inc (VINC) 601 W 176th Street Suite 58 New York, NY, 10033	(6610) Contributions - Cash 50% Char	05/29/2012	15,000 00
51	Panthera Corporation (PANTHERA) 8 W 40th Street, Fl 18 New York, NY, 10018	(6610) Contributions - Cash 50% Char	05/30/2012	10,000.00
52	Performance Space 122 (PERFORMA)	(6610) Contributions - Cash 50% Char	05/29/2012	15,000.00
53	Portland Institute of Contemporary Art (PORT ART) 224 NW 13th Ave., Ste 305 Portland, OR, 97209	(6610) Contributions - Cash 50% Char	08/08/2012	8,000 00
54	Russian Arts Foundation (8615) San Francisco, CA, 94111	(6610) Contributions - Cash 50% Char	05/29/2012	7,000.00
55	S.E.M. Ensemble (8637) Brooklyn, NY, 11201	(6610) Contributions - Cash 50% Char	05/29/2012	15,000 00
56	San Francisco Museum of Modern Art (8697)	(6610) Contributions - Cash 50% Char	05/29/2012	10,000 00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
57	Shakespeare Theatre Company (V00900) 516 8th St., NE Washington, DC, 20077-0932	(6610) Contributions - Cash 50% Char	12/03/2012	8,000.00
58	St Ann's Warehouse (STANNWR) 29 Jay Street Brooklyn, NY, 11201	(6610) Contributions - Cash 50% Char	12/05/2012	60,000.00
59	Sustainable Markets Foundation (SUSTAINA) 80 Broad Street 16th Floor New York, NY, 10004	(6610) Contributions - Cash 50% Char	06/04/2012	12,000.00
		(6610) Contributions - Cash 50% Char	06/15/2012	4,000.00
		Sustainable Markets Foundation (SUSTAINA) Sub Total		16,000.00
60	Synetic Theater (SYNETIC) 2611 Jefferson Davis Highway, #103 Arlington, VA, 22202	(6610) Contributions - Cash 50% Char	06/07/2012	6,000.00
61	The Arthur Adam Mitchell Foundation (ARTHURAD) 60 Riverside Dr New York, NY, 10024	(6610) Contributions - Cash 50% Char	03/21/2012	14,000.00
62	The Chocolate Factory Theater (CHOCFAC) 5-49 49th Avenue Long Island City, NY, 11101	(6610) Contributions - Cash 50% Char	04/05/2012	1,000.00
63	The Cutting Ball Theater (CTHEATER) 141 Taylor St San Francisco, CA, 94102-2802	(6610) Contributions - Cash 50% Char	03/08/2012	1,750.00
64	The Foundation for a Civil Society (THE FOU) 419 East 57th Street, 14A New York, NY, 10022	(6610) Contributions - Cash 50% Char	05/29/2012	140,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

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From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
65	The Graduate Center Foundation, Inc (2109) The City University of New York 365 Fifth Avenue, Room 9113 New York, NY, 10016-4309	(6610) Contributions - Cash 50% Char	03/02/2012	8,500 00
66	The Kennedy Center, Inc (THE KENN) 2440 Reservoir Avenue Trumbull, CT, 06611	(6610) Contributions - Cash 50% Char	05/29/2012	10,000 00
67	The Museum of Modern Art (C8894) 11 West 53 Street New York, NY, 10019	(6610) Contributions - Cash 50% Char	05/03/2012	2,150 00
		(6610) Contributions - Cash 50% Char	12/03/2012	70,000 00
		The Museum of Modern Art (C8894) Sub Total.		72,150 00
68	The Nature Conservancy (NC) Membership Processing Center P. O Box 6017 Albert Lea, MN, 56007-9823	(6610) Contributions - Cash 50% Char	12/05/2012	30,000 00
69	The Public Theater (10059)	(6610) Contributions - Cash 50% Char	12/14/2012	18,000 00
70	The Snow Leopard Trust (SNWLPRD) 4649 Sunnyside Ave North Suite 325 Seattle, WA, 98103	(6610) Contributions - Cash 50% Char	12/05/2012	7,000 00
71	The Tributary Fund (THE TRIB) P O Box 608 Bozeman, MT, 59771	(6610) Contributions - Cash 50% Char	12/05/2012	20,000 00
72	The Woods Hole Research Center (WOODS HO) 149 Woods Hole Road Falmouth, MA, 02540	(6610) Contributions - Cash 50% Char	12/05/2012	15,000 00
73	The Yard (THEYARD) P O Box 405 Chilmark, MA, 02535	(6610) Contributions - Cash 50% Char	09/19/2012	3,000 00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

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From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
74	TrickLock Company (TRICKLCK) 118 Washington S.E Albuquerque, NM, 87108			
		(6610) Contributions - Cash 50% Char	12/03/2012	18,000 00
75	Trout Conservancy (TROUT CO) P.O Box 8871 Missoula, MT, 59807			
		(6610) Contributions - Cash 50% Char	03/05/2012	10,000 00
76	University of Vermont (10657) Bailey/Howe Library Burlington, VT, 05495			
		(6610) Contributions - Cash 50% Char	05/10/2012	20,000 00
77	University of Wisconsin (WISCONSI) Board of Regents of U of Wisconsin 21 N Park Street, Suite 6401 Madison, WI, 53715-1218			
		LESS REPLACEMENT FOR PRIOR YEAR GRANT		(20,000 00)
		(6610) Contributions - Cash 50% Char	06/04/2012	15,000 00
78	Vermont Studio Center (10789) PO Box 613 Johnson, VT, 05656			
		(6610) Contributions - Cash 50% Char	12/03/2012	10,000.00
79	Vostok, Link (VOSTOK) 4341 Colfax Avenue South Minneapolis, MN, 55409			
		(6610) Contributions - Cash 50% Char	01/10/2012	20,000 00
80	Wax Factory Inc (WAX) 51 MacDougal St., Ste 302 New York, NY, 10012			
		(6610) Contributions - Cash 50% Char	03/02/2012	9,000 00
81	Wild Salmon Center (10203)			
		(6610) Contributions - Cash 50% Char	05/30/2012	20,000 00
82	Wildlife Conservation Society (11149) 2300 Southern Boulevard Bronx, NY, 10460			
		(6610) Contributions - Cash 50% Char	05/30/2012	15,000.00
		(6610) Contributions - Cash 50% Char	05/30/2012	15,000 00
		(6610) Contributions - Cash 50% Char	12/05/2012	20,000.00
		Wildlife Conservation Society (11149) Sub Total.		50,000 00

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Rockefeller Trust Company, N.A.
Charitable Contributions
Trust For Mutual Understanding (1060)
Tax ID: 13-3212724

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift

From 01/01/2012 To 12/31/2012

Item No.	Donee Name/Address	Description	Date	Amount
50% Charitable (cont'd)				
83	World Music Institute (11346)	(6610) Contributions - Cash 50% Char	05/30/2012	18,000.00
84	World Wildlife Fund (11359) 1250 - 24th Street, NW c/o Mr. Brandt Zimmerman Washington, DC, 20037	(6610) Contributions - Cash 50% Char	05/30/2012	20,000 00
85	Yale University (C9040) 1302 Yale Station New Haven, CT, 06520	(6610) Contributions - Cash 50% Char	05/31/2012	10,000 00
50% Charitable Sub Total:				1,638,168 60
Grand Total :				1,638,168 60

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Rockefeller Trust Company, N.A.
 Charitable Contributions Summary Report
 Trust For Mutual Understanding (1060)
 Tax ID 13-3212724

(Gifts were made in cash unless otherwise stated Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift

From 01/01/2012 To 12/31/2012

SUMMARY

Account Number	Description	Total
50% Charitable		
6610	Contributions - Cash 50% Char	1,638,168.60
	Sub-Total :	<u>1,638,168.60</u>
	Grand Total	<u>1,638,168.60</u>

Last Page of Report

Adjustments

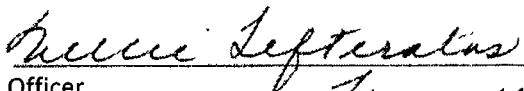
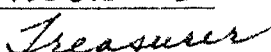
Dance/USA	Contribution - Cash 50% Char 12/3/12	10,000.00
Eugene O'Neill Memorial Theater Center Inc	Contribution - Cash 50% Char 5/29/12	<u>10,000.00</u>
Adjusted 2012 Contributions		1,658,168.60

Run Date: 10/14/2013 03.11 PM

ARTHUR ADAM MITCHELL FOUNDATION
C/O SMITH, GAMBRELL & RUSSELL, LLP
250 PARK AVENUE, 19TH FLOOR
NEW YORK, NY 10177

Statement Regarding 2012 Expenditure Responsibility
Grant for the
Tax Year Ending on December 31, 2012
Pursuant to Section 4945(h) of the Internal Revenue Code of 1986

1. Grantee: Arthur Adam Mitchell Foundation
60 Riverside Drive
New York, New York 10024
2. Grantor: Trust For Mutual Understanding
6 West 48th Street, 12th Floor
New York, New York 10036
3. Date of Grant: March 21, 2012
4. Amount of Grant: \$14,000
5. Purpose of Grant: to bring Arthur Mitchell, Lorraine Graves, Homer Bryant, and Sandi Haynes to St. Petersburg, Russia, to participate in *The Democracy of Dance Project*, a series of master classes and public dialogues in April 2012.
6. Reports: The Arthur Adam Mitchell Foundation submitted a full and complete report of its expenditure on 09/14/2012. Pursuant to IRC Section 4942(a), the Foundation has made 2012 charitable disbursements in the amount of \$14,000 to Public Charities, as detailed in the attached Schedule. No portion of the payment received was used for a different purpose. No portion of the payment received was used to influence legislation or the outcome of any public election, to make any grant which does not comply with Sections 4945(d) (3) or 4945 (d) (4) of the Internal Revenue Code of 1986 as amended, or for any purpose other than one specified in section 170(C) (2) (B) of the Code.
7. If any additional information is required regarding the Arthur Adam Mitchell Foundation's use of the above referenced grant, please contact the Program Assistant of the Foundation, Joshua Rowe, at 212-843-0404.


Officer 

TRUST FOR MUTUAL UNDERSTANDING PROJECT GRANT

ARTHUR MITCHELL RUSSIA PROJECT
FINANCIAL REPORT

*(Based on traveling group of four –
 Arthur Mitchell, Lorraine Graves, Homer Bryant & Sandi Haynes
 for a period of 18 days/17nights – March 31 – April 17, 2012)*

ITEM	ITEM EXPENSE	COVERED BY TMU GRANT	COVERED BY OTHER FUNDING SOURCES
AIRFARE <i>(International & Domestic)</i>	\$9,053.76	\$2,853.76	\$6,200
TRAIN FARE <i>(From Moscow to St. Petersburg)</i>	\$468.36		\$468.36
BAGGAGE FEES	\$474	\$204.36	\$274.64
TRAVEL INSURANCE	\$1,001		\$1,001
VISA & PASS-PORT FEES	\$2,001	\$966.91	\$1,034.09
HOTEL	\$16,867.89	\$3,419.89	\$13,448
PER DIEM	\$10,236	\$4,961	\$5,275
LOCAL TRANSPORT	\$671.24		\$671.24
MISCELLA- NEOUS/INCI- DENTALS <i>(Including pianist for master class, travel agent, paper & media supplies)</i>	\$1,828.21	\$1,599.08	\$229.13
	TOTAL PROJECT EXPENSES = \$42,601.46	TOTAL COVERED BY TMU GRANT - \$14,000	TOTAL COVERED BY OTHER FUNDING SOURCES = \$28,601.46

Prepared 5/09/12

FINANCIAL REPORT

Granted: \$70,000

Program

Air tickets	18,487.67
Per Deims	18,044.72
Lodging/Hotel	5,460.17
Group Meetings	3,969.33
Translator/guide honoraria	7,000.00
Other travel exp.	3,107.35
Total Program	56,068.69

Administration

Office & computer	9,949.13
Telephone/internet	1,510.48
Admin. Assistant	1,055.00
Bookkeeping	600.00
Other	1,147.98
Total Administration	14,262.59

TOTAL 70,331.28

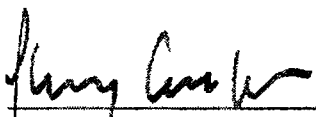
The \$331.28 variance in cash expenditures was covered by CITD organizational funds.

DETAIL OF TRAVEL

Deszka Festival, Debrecen, Hungary: Kristin Marting, h. p, t, a, tkts / Jenny Larson, h. p, t, a, tkts / Philip Arnoult, h. p, t, a, tkts **Golden Mask, Moscow:** Yury Urnov, h. p, t, a, tkts / Robert-Weinert Kent, h, p, t, a, tkts / Jennie Franks, t, tkts / Howard Shalwitz, a, t, tkts / Woolly board chair, tkts / Jeffrey Hermann, t, tkts / Mark Jackson, a, t, tkts / Robert Avila, t, tkts / Nina Arndt, t, tkts / Ryan Dunn, t, tkts / Philip Arnoult, h p, t, a, tkts / Susan Stroupe, h. p, t, a, tkts **Sofia:** Nathan Cooper, a, tkts **Moscow:** Graham Schmidt, tkts, a **Varna Festival:** Genveive de Mahey, a, t, tkts / Philip Arnoult, a **Russia to US:** Pavel Shishin, a, tkts / Maria Kroupnik, a, tkts **Hungary:** Philip Arnoult, h, p, t, a, tkts / Susan Stroupe, h. p, t, a, tkts **Golden Mask Festival:** Brent Lindsay, a, tkts / Rob Melrose, a, tkts

a = airfare h = hotel p = per deim t = translators tkts = theatre tickets

TMU grant funds of \$70,000 were expended in accordance to the signed grant agreement of December 2011.


Philip Arnoult, director


date

Center for International Theatre Development

The "Angels in America" Project at the Hungarian National Theatre

January - May 2013

Expenses

Travel expenses for director Andre Serban

Air ticket: NY/Budapest/NY	3,540
Per diem: \$350 x 40 days	14,000
local travel and transfers	1,460
	19,000
Administrative overhead	1,000
Total Expenditures	20,000

JP MORGAN US DOLLAR LIQUIDITY FUND

**ANNUAL INTERMEDIARY STATEMENT ATTACHED TO AND MADE PART OF FORM 8621
RETURN BY A SHAREHOLDER OF A
PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND
FOR TAXABLE YEAR-ENDED November 30, 2012**

Annual Intermediary Statement Pursuant to Treas. Reg. Section 1.1295-1(g)(3)

Partner: Trust for Mutual Understanding

Account: 1456-2520

- 1) New Century Holdings XI, L.P. is a nominee or shareholder of record that holds stock on behalf of its partners in a chain of ownership between its partners and JP Morgan US Dollar Liquidity Fund.
- 2) New Century Holdings XI, L.P. represents that a copy of the PFIC Annual Information Statement has been received from JP Morgan US Dollar Liquidity Fund and is attached to this Annual Intermediary Statement.
- 3) The Shareholder's pro rata share of ordinary earnings for the taxable year ending 11/30/12 is \$9.
- 4) The Shareholder's pro rata share of net capital gain for the taxable year ending is 11/30/12 is \$0.
- 5) During the taxable year ending 11/30/12, JP Morgan US Dollar Liquidity fund distributed cash equal to \$9.

**JPMorgan Liquidity Funds - US Dollar Liquidity Fund
PFIC Annual Information Statement**

- (1) This Information Statement applies to the taxable period of the JPMorgan Liquidity Funds - US Dollar Liquidity Fund (the "Fund") beginning on December 1, 2011 and ending on November 30, 2012 (the "Period").
- (2) A Shareholder of the Institutional (dist) shares may compute his or her pro rata share of the ordinary earnings and net capital gain of the Fund attributable to these Institutional (dist) shares for the Period, as follows:

Ordinary Earnings:	See Attachment
Net Capital Gain:	None

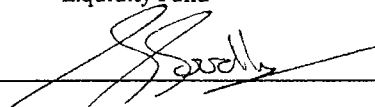
- (3) The amount of cash and fair market value of other property distributed or deemed distributed by the Fund to a Shareholder of the Institutional (dist) shares during the Period may be computed as follows:

Cash:	See Attachment
Fair Market Value of Property:	None

- (4) The Fund will permit a Shareholder to inspect and copy the Fund's permanent books of account, records, and such other documents as may be maintained by the Fund that are necessary to establish that PFIC ordinary earnings and net capital gain, as provided in Section 1293(e) of the Internal Revenue Code, are computed in accordance with U.S. income tax principles, and to verify these amounts and the Shareholder's pro rata share thereof.

JPMorgan Asset Management (Europe)
S.à.r.l as management company for
JPMorgan Liquidity Funds - US Dollar
Liquidity Fund

Date: 21st February 2013

By: 

Title: Graham A Goodhew
JPMorgan Asset Management (Europe) S.à.r.l.
Conducting Person

Additional Information

The following additional information is supplied to enable you to complete Form 8621 (Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund):

Name of Passive Foreign Investment Company:	JPMorgan US Dollar Liquidity Fund
Address:	JPMorgan Liquidity Funds European Bank & Business Centre 6, route de Treves, L-2633 Senningerberg Grand Duchy of Luxembourg
Employer Identification Number:	None
Country of Incorporation:	Luxembourg
Year of Incorporation:	December 9, 1986

On November 30, 2012, JPMorgan Liquidity Funds - US Dollar Liquidity Fund has 58,205,759,213.67 Institutional (dist) shares outstanding.