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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0047

2012Open to Public
Inspection**A For the 2012 calendar year, or tax year beginning**

and ending

B Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization**THE GLAUCOMA FOUNDATION, INC.**

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

80 MAIDEN LANE

Room/suite

700

City, town, or post office, state, and ZIP code

NEW YORK, NY 10038**F Name and address of principal officer: SCOTT CHRISTENSEN
SAME AS C ABOVE****D Employer identification number****13-3174839****E Telephone number****(212) 285-0080****G Gross receipts \$****2,086,094.****H(a) Is this a group return for affiliates?**☐ Yes ☒ No**H(b) Are all affiliates included?**☐ Yes ☐ No

If "No," attach a list. (see instructions)

H(c) Group exemption number ▶**I Tax-exempt status:** ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J Website:** ▶ **GLAUCOMAFoundation.org****K Form of organization:** ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L Year of formation:** **1984** **M State of legal domicile:** **NY****Part I Summary****1** Briefly describe the organization's mission or most significant activities **SEE ATTACHED STATEMENT****2** Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets**3** Number of voting members of the governing body (Part VI, line 1a)**3** **28****4** Number of independent voting members of the governing body (Part VI, line 1b)**4** **28****5** Total number of individuals employed in calendar year 2012 (Part V, line 2a)**5** **4****6** Total number of volunteers (estimate if necessary)**6** **10****7a** Total unrelated business revenue from Part VIII, column (C), line 12**7a** **0.****b** Net unrelated business taxable income from Form 990-T, line 34**7b** **0.****8** Contributions and grants (Part VIII, line 1h)**9** Program service revenue (Part VIII, line 2g)**10** Investment income (Part VIII, column (A), lines 3, 4, and 5d)**11** Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)**12** Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)**13** Grants and similar amounts paid (Part IX, column (A), lines 1-3)**14** Benefits paid to or for members (Part IX, column (A), line 4)**15** Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)**16a** Professional fundraising fees (Part IX, column (A), line 11e)**b** Total fundraising expenses (Part IX, column (D), line 25) ▶ **156,765.****17** Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)**18** Total expenses Add lines 13-17 (must equal Part IX, column (A), line 25)**19** Revenue less expenses. Subtract line 18 from line 12**20** Total assets (Part X, line 16)**21** Total liabilities (Part X, line 26)**22** Net assets or fund balances. Subtract line 21 from line 20

Prior Year

Current Year

1,576,182. **1,426,055.****0.** **0.****83,109.** **170,455.****<83,243.>** **<66,292.>****1,576,048.** **1,530,218.****190,712.** **198,458.****0.** **0.****513,683.** **490,077.****0.** **0.****599,020.** **635,109.****1,303,415.** **1,323,644.****272,633.** **206,574.**

Beginning of Current Year

End of Year

3,715,160. **4,221,355.****214,019.** **246,059.****3,501,141.** **3,975,296.****Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign

Here

Signature of officer

5/8/13

Date

SCOTT CHRISTENSEN, PRESIDENT & CEO

Type or print name and title

Paid

Preparer

Use Only

Print/Type preparer's name **ROBERT J. ROSENBERG****089-28-4940**

Preparer's signature

Robert J. Rosenberg

Date

5/6/13Check ☐ if self-employed

PTIN

P01378729Firm's name ▶ **ROSENBERG ZACH & COMPANY LLP**Firm's EIN ▶ **11-3663451**Firm's address ▶ **881 ALLWOOD ROAD SUITE 202**Phone no. **(973) 773-1129****CLIFTON, NJ 07012**

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

914 22

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

☒ X**1** Briefly describe the organization's mission:

THE GLAUCOMA FOUNDATION IS A NATIONAL NOT-FOR-PROFIT ORGANIZATION DEDICATED TO ERADICATING GLAUCOMA, THE LEADING CAUSE OF PREVENTABLE BLINDNESS. THE STRATEGY TO ACHIEVE THIS GOAL IS TWO-FOLD: RAISE PUBLIC

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code _____) (Expenses \$ 568,171. including grants of \$ _____) (Revenue \$ _____)
PUBLIC EDUCATION - SEE FOOTNOTE #1 OF NOTES TO YEAR ENDED 2012
FINANCIAL STATEMENTS

4b (Code _____) (Expenses \$ 547,551. including grants of \$ 198,458.) (Revenue \$ _____)
RESEARCH PROGRAM - SEE STATEMENTS 8&9 AND FOOTNOTE #1 OF NOTES TO YEAR
ENDED 2011 FINANCIAL STATEMENTS

4c (Code _____) (Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4d Other program services (Describe in Schedule O.)

(Expenses \$ _____ including grants of \$ _____) (Revenue \$ _____)

4e Total program service expenses **▶ 1,115,722.**

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	X	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	X	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>		X
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	X	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>		X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>	X	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	X	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
28b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
28c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
35b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response to any question in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	2	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	0	
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	4	
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?		X
3b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
4b	If "Yes," enter the name of the foreign country See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		X
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	X	
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	X	
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7d	If "Yes," indicate the number of Forms 8282 filed during the year		
7e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
7g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
7h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
9a	Did the organization make any taxable distributions under section 4966?		
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
10a	Initiation fees and capital contributions included on Part VIII, line 12		
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter:		
11a	Gross income from members or shareholders		
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
13a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.		
13b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
13c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		X
14b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI ☒

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	28	
b Enter the number of voting members included in line 1a, above, who are independent	28	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?	5	X
6 Did the organization have members or stockholders?	6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	X
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	X
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	
13 Did the organization have a written whistleblower policy?	13	X
14 Did the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **► NY, CA, IL, IN, MD, VA, MA, NJ, PA, WI, WY, MI**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **►**
THE GLAUCOMA FOUNDATION INC. - 212-285-0080
80 MAIDEN LANE SUITE 700, NEW YORK, NY 10038

Check if Schedule O contains a response to any question in this Part VII

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

8

Part VII	Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees <i>(continued)</i>
-----------------	--

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-total								286,445.	0.	33,278.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								286,445.	0.	33,278.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ►

1

		Yes	No
3	Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	X	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

the organization. Report compensation for the calendar year ending with or within the organization's tax year.		
(A) Name and business address	(B) Description of services	(C) Compensation
NONE		
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization		0

Part VIII Statement of RevenueCheck if Schedule O contains a response to any question in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c	217,204.			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	1,208,851.			
	g	Noncash contributions included in lines 1a-1f \$		58,934.			
	h	Total. Add lines 1a-1f		1,426,055.			
Program Service Revenue	Business Code						
	2 a						
	b						
	c						
	d						
	e						
	f	All other program service revenue					
g	Total. Add lines 2a-2f						
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		67,118.			67,118.
	4	Income from investment of tax-exempt bond proceeds					
	5	Royalties					
	6 a	Gross rents	(i) Real (ii) Personal				
	b	Less: rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss)					
	7 a	Gross amount from sales of assets other than inventory	(i) Securities (ii) Other	502,821.			
	b	Less cost or other basis and sales expenses		399,484.			
	c	Gain or (loss)		103,337.			
	d	Net gain or (loss)		103,337.			103,337.
	8 a	Gross income from fundraising events (not including \$ 217,204. of contributions reported on line 1c). See Part IV, line 18	a	90,100.			
	b	Less: direct expenses	b	156,392.			
	c	Net income or (loss) from fundraising events		<66,292.>			<66,292.>
	9 a	Gross income from gaming activities. See Part IV, line 19	a				
	b	Less: direct expenses	b				
	c	Net income or (loss) from gaming activities					
	10 a	Gross sales of inventory, less returns and allowances	a				
	b	Less cost of goods sold	b				
	c	Net income or (loss) from sales of inventory					
Miscellaneous Revenue			Business Code				
11 a							
b							
c							
d	All other revenue						
e	Total. Add lines 11a-11d						
12	Total revenue. See instructions.			1,530,218.	0.	0.	104,163.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	198,458.	198,458.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	331,981.	294,095.	26,559.	11,327.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	119,890.	104,304.	9,591.	5,995.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)				
9 Other employee benefits	38,206.	33,181.	3,056.	1,969.
10 Payroll taxes				
11 Fees for services (non-employees)				
a Management				
b Legal				
c Accounting	20,848.	18,138.	1,668.	1,042.
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)				
12 Advertising and promotion				
13 Office expenses				
14 Information technology				
15 Royalties				
16 Occupancy	84,436.	73,460.	6,755.	4,221.
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	1,718.	1,495.	137.	86.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a DIRECT MAIL	164,424.	82,212.		82,212.
b OPTIC NERVE THINK TANK	138,206.	138,206.		
c FUNDRAISING CONSULTANTS	90,874.	45,437.		45,437.
d COMMUNITY OUTREACH	39,340.	39,340.		
e All other expenses	95,263.	87,396.	3,391.	4,476.
25 Total functional expenses. Add lines 1 through 24e	1,323,644.	1,115,722.	51,157.	156,765.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response to any question in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	310,763.	1	237,782.
	2 Savings and temporary cash investments	419,108.	2	424,909.
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net	82,863.	4	142,679.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr) Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	14,793.	9	8,674.
	10a Land, buildings, and equipment: cost or other basis Complete Part VI of Schedule D	10a 76,550.		
	b Less: accumulated depreciation	10b 72,530.		
		2,240.	10c	4,020.
	11 Investments - publicly traded securities	2,857,597.	11	3,375,495.
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
15 Other assets See Part IV, line 11	27,796.	15	27,796.	
16 Total assets. Add lines 1 through 15 (must equal line 34)	3,715,160.	16	4,221,355.	
Liabilities	17 Accounts payable and accrued expenses	149,227.	17	219,149.
	18 Grants payable	62,667.	18	25,625.
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	2,125.	25	1,285.
	26 Total liabilities. Add lines 17 through 25	214,019.	26	246,059.
	Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.		
27 Unrestricted net assets		488,999.	27	398,443.
28 Temporarily restricted net assets			28	
29 Permanently restricted net assets		3,012,142.	29	3,576,853.
Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.				
30 Capital stock or trust principal, or current funds			30	
31 Paid-in or capital surplus, or land, building, or equipment fund			31	
32 Retained earnings, endowment, accumulated income, or other funds			32	
33 Total net assets or fund balances		3,501,141.	33	3,975,296.
34 Total liabilities and net assets/fund balances		3,715,160.	34	4,221,355.

Form 990 (2012)

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,530,218.
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,323,644.
3	Revenue less expenses. Subtract line 2 from line 1	3	206,574.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	3,501,141.
5	Net unrealized gains (losses) on investments	5	355,816.
6	Donated services and use of facilities	6	
7	Investment expenses	7	<55,288.>
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	<32,947.>
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	3,975,296.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

☒

- 1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form 990 (2012)

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number

13-3174839

Part I	Reason for Public Charity Status (All organizations must complete this part.) See instructions.
---------------	--

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii) A family member of a person described in (i) above?

(iii) A 35% controlled entity of a person described in (i) or (ii) above?

h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

[illegible]

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	1,713,183.	1,636,751.	1,916,542.	1,576,182.	1,426,055.	8,268,713.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1,713,183.	1,636,751.	1,916,542.	1,576,182.	1,426,055.	8,268,713.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						1,346,753.
6 Public support. Subtract line 5 from line 4						6,921,960.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	1,713,183.	1,636,751.	1,916,542.	1,576,182.	1,426,055.	8,268,713.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	59,979.	34,756.	41,811.	47,892.	67,118.	251,556.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						8,520,269.
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ☐						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	81.24 %
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	79.02 %
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☒		
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ☐		
17a 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
b 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ☐		

Schedule A (Form 990 or 990-EZ) 2012

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012
Open to Public
Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number
13-3174839

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☐ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e.g., recreation or education)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibitiond ☐ Loan or exchange programsb ☐ Scholarly researche ☐ Other _____c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table.

	Amount
1c	
1d	
1e	
1f	

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	3,012,142.	2,769,367.	2,249,240.	1,720,576.	2,952,410.
b Contributions	564,711.	242,775.	505,355.	401,109.	600,078.
c Net investment earnings, gains, and losses	493,279.	209,103.	420,610.	510,381.	<1,416,954.>
d Grants or scholarships	198,458.	160,013.	366,295.	354,093.	367,153.
e Other expenditures for facilities and programs	239,523.				
f Administrative expenses	55,288.	49,090.	39,543.	28,733.	47,805.
g End of year balance	3,576,863.	3,012,142.	2,769,367.	2,249,240.	1,720,576.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ☐ %

b Permanent endowment ☒ 100.00 %

c Temporarily restricted endowment ☐ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		X
3a(ii)		X
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment				
e Other		76,550.	72,530.	4,020.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c))				4,020.

Part VII Investments - Other Securities. See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ►		

Part VIII Investments - Program Related. See Form 990, Part X, line 13

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ►		

Part IX Other Assets. See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ►	

Part X Other Liabilities. See Form 990, Part X, line 25

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) CHARITABLE GIFT ANNUITY	1,285.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►	

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	1,954,191.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	355,816.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	355,816.
3	Subtract line 2e from line 1	3	1,598,375.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	55,288.
b	Other (Describe in Part XIII.)	4b	<123,445.>
c	Add lines 4a and 4b	4c	<68,157.>
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	1,530,218.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	1,480,036.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	156,392.
e	Add lines 2a through 2d	2e	156,392.
3	Subtract line 2e from line 1	3	1,323,644.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,323,644.

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: FIN 48 LIABILITY - PER AUDITED F/S NOTE 2F IS ZERO

PART XI, LINE 4B - OTHER ADJUSTMENTS:

FUNDRAISING EXPENSES -156,392.

GAAP ADJUSTMENT TO REALIZED GAIN 32,947.

TOTAL TO SCHEDULE D, PART XI, LINE 4B -123,445.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

Part XIII Supplemental Information *(continued)*

PART XII, LINE 2D: FUNDRAISING EXPENSES

\$156,392

PART V, LINE 4: THE ORGANIZATIONS ENDOWMENT FUNDS ARE

INTENDED TO BE USED FOR MEDICAL RESEARCH GRANTS

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.**
▶ **Attach to Form 990.** ▶ **See separate instructions.**

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization

Employer identification number

THE GLAUCOMA FOUNDATION, INC.

13-3174839

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b

- 1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No
- 2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 **Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

3 Activities per region. (The following Part I, line 3 table can be duplicated if additional space is needed.)					
(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
3 a Sub-total	0	0			0
b Total from continuation sheets to Part I	0	0			0
c Totals (add lines 3a and 3b)	0	0			

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2012

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			EAST ASIA AND THE PACIFIC	MEDICAL RESEARCH	28,125	CHECK	0		
			EAST ASIA AND THE PACIFIC	MEDICAL RESEARCH	30,000	CHECK	0		
			EUROPE (INCLUDING ICELAND & GREENLAND)	MEDICAL RESEARCH	12,000	CHECK	0		

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter**3** Enter total number of other organizations or entities

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report. (see Instructions for Form 5713) ☐ Yes ☒ No

Schedule F (Form 990) 2012

Department of the Treasury
Internal Revenue Service

**Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No. 1545-0047

2012

Open To Public Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number

13-3174839

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☒ Mail solicitations
b ☐ Internet and email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☒ Special fundraising events

- 2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes☐ No

- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
SANKY COMMUNICATIONS, INC. - 599 11TH AVENUE, NEW YORK, NY	DIRECT MAIL PROGRAM CONSULTANT		X	307,304.	90,874.	216,430.
Total				307,304.	90,874.	216,430.

- 3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO
MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

	(a) Event #1 BLACK & WHITE BALL (event type)	(b) Event #2 (event type)	(c) Other events NONE (total number)	(d) Total events (add col (a) through col. (c))
	Revenue			
1 Gross receipts	307,304.			307,304.
2 Less: Contributions	217,204.			217,204.
3 Gross income (line 1 minus line 2)	90,100.			90,100.
Direct Expenses				
4 Cash prizes				
5 Noncash prizes	34,100.			34,100.
6 Rent/facility costs	19,092.			19,092.
7 Food and beverages	56,000.			56,000.
8 Entertainment				
9 Other direct expenses	47,200.			47,200.
10 Direct expense summary. Add lines 4 through 9 in column (d)				(156,392.)
11 Net income summary. Combine line 3, column (d), and line 10				<66,292.>

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

	(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue				
1 Gross revenue				
Direct Expenses				
2 Cash prizes				
3 Noncash prizes				
4 Rent/facility costs				
5 Other direct expenses				
6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
7 Direct expense summary. Add lines 2 through 5 in column (d)				()
8 Net gaming income summary. Combine line 1, column d, and line 7				

9 Enter the state(s) in which the organization operates gaming activities: _____**a** Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No**b** If "No," explain: _____**10a** Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No**b** If "Yes," explain: _____

- 11** Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity operated in:
- | | | |
|--------------------------------------|------------|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records.

Name ► _____

Address ► _____

- 15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No
- b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____
- c** If "Yes," enter name and address of the third party:

Name ► _____

Address ► _____

16 Gaming manager information.

Name ► _____

Gaming manager compensation ► \$ _____

Description of services provided ► _____

☐ Director/officer☐ Employee☐ Independent contractor**17** Mandatory distributions.

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No
- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:(I) NAME OF FUNDRAISER: **SANKY COMMUNICATIONS, INC.**(I) ADDRESS OF FUNDRAISER: **599 11TH AVENUE, NEW YORK, NY 10036**

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number
13-3174839

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STEPHEN ROTH MD UNIVERSITY OF CHICAGO - 5841 SOUTH MARYLAND - CHICAGO, IL 60637			20,000.	0.			
MANSOOR SARAFARAZI PHD UNIVERSIT OF CONNECTICUT - 263 FARMINGTON AVENUE - FARMINGTON, CT 06030			30,000.	0.			
ANUJ CHAUHAN UNIVERSITY OF FLORIDA P.O. BOX 116005 GAINESVILLE, FL 32611			10,000.	0.			
DEBORAH C OTTENSON PHD UNIVERSITY F HOUSTON - 505 J DAVIS ARMISTED BLDG - HOUSTON, TX 77204			30,000.	0.			
S. KOUTSOPOULOS MD SOLUTION LABS 24 THORNDIKE ST CAMBRIDGE, MA 02141			3,333.	0.			
JORGE GHISO PHD NYU SCHOOL OF MEDICINE - 550 FIRST AVENUE - NEW YORK, NY 10016			10,000.	0.			

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

7.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2012)

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS:

RECIPIENTS MUST SUBMIT ANNUAL REPORTS SHOWING PROGRESS AND

EXPENDITURES.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number

13-3174839

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items

- | | |
|--|---|
| ☐ First-class or charter travel | ☐ Housing allowance or residence for personal use |
| ☐ Travel for companions | ☐ Payments for business use of personal residence |
| ☐ Tax indemnification and gross-up payments | ☒ Health or social club dues or initiation fees |
| ☐ Discretionary spending account | ☐ Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|--|---|
| ☐ Compensation committee | ☐ Written employment contract |
| ☐ Independent compensation consultant | ☐ Compensation survey or study |
| ☐ Form 990 of other organizations | ☒ Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b X

2 X

4a X

4b X

4c X

5a X

5b X

6a X

6b X

7 X

8 X

9

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2012

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1B: BASIC GYM MEMBERSHIP PROVIDED FOR ALL EMPLOYEES IF

THEY CHOOSE TO PARTICIPATE.

**SCHEDULE M
(Form 990)**

Department of the Treasury
Internal Revenue Service

Noncash Contributions

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number

13-3174839

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded	X	3	58,934.	SALES PROCEEDS
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ()				
26 Other ► ()				
27 Other ► ()				
28 Other ► ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		X
31	X	
32a		X
33		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2012)

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization

THE GLAUCOMA FOUNDATION, INC.

Employer identification number
13-3174839

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AWARENESS CONCERNING THE NECESSITY OF ROUTINE EYE EXAMS, AND FUND

CRITICAL RESEARCH TO FIND CURES FOR GLAUCOMA.

FORM 990, PART VI, SECTION B, LINE 11: THE CHIEF EXECUTIVE OFFICER AND THE
ASSISTANT TREASURER REVIEW THE FORM 990 BEFORE IT IS FILED.

FORM 990, PART VI, SECTION B, LINE 15: BOARD DETERMINATION

FORM 990, PART VI, SECTION C, LINE 19: FINANCIAL STATEMENTS AND GOVERNING
DOCUMENTS ARE MADE AVAILABLE TO THE PUBLIC THROUGH THE ORGANIZATION'S
WEBSITE AND UPON DIRECT REQUEST.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

GAAP ADJUSTMENT TO CAPITAL GAINS

-32,947.

FORM 990, PAGE 12, QUESTION #2C

TWO BOARD MEMBERS ARE CERTIFIED PUBLIC ACCOUNTANTS AND ARE RESPONSIBLE
FOR SELECTING THE INDEPENDENT ACCOUNTANT. THE PROCEDURE HAS NOT CHANGED
FROM PRIOR YEARS.

4562

Form

Depreciation and Amortization 990

(Including Information on Listed Property)

OMB No 1545-0172

2012

Attachment
Sequence No 179Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

Business or activity to which this form relates

Identifying number

THE GLAUCOMA FOUNDATION, INC.

FORM 990 PAGE 10

13-3174839

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	0.
13	Carryover of disallowed deduction to 2013. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	125.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	434.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	1,134.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		125.	5 YRS.	HY	200DB	25.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year	/		40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	1,718.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	3,248.

Part V**Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
--	-------------------------------------	--	-------------------------------	--	---------------------------	------------------------------	----------------------------------	---------------------------------------

25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use**25****26** Property used more than 50% in a qualified business use

		%						
		%						
		%						

27 Property used 50% or less in a qualified business use:

		%			S/L -		
		%			S/L -		
		%			S/L -		

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1**28****29** Add amounts in column (i), line 26. Enter here and on line 7, page 1**29****Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
30 Total business/investment miles driven during the year (do not include commuting miles)						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.**Part VI** Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
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42 Amortization of costs that begins during your 2012 tax year:

43 Amortization of costs that began before your 2012 tax year**43****44** Total. Add amounts in column (f). See the instructions for where to report**44**

STATE COPY



The Glaucoma Foundation

Statement of Purpose/Mission Statement

The Glaucoma Foundation is an international not-for-profit organization dedicated to eradicating glaucoma, the leading cause of preventable blindness. The strategy to achieve this goal is two-fold: raise public awareness concerning the necessity of routine eye exams, and fund critical research to find cures for glaucoma.

Program Activities

Medical Research: The Glaucoma Foundation awards grants-in-aid for glaucoma research in the following disciplines:

1. Optic Nerve Rescue and Restorations
 - Neuroprotectants and their role in glaucoma
 - Reversal of dysfunction of damaged retinal ganglion cells
 - Retinal ganglion cell axonal regeneration
 - Optic nerve transplantation
2. Molecular Genetics
 - Research into the genetic causes of the various forms of glaucoma, particularly the identification of the responsible genes, with the long-term goal of finding ways to reverse these genetic defects.
3. Nanotechnology
 - Research into the use of nanotechnology for monitoring IOP, diagnosing and monitoring damage to the optic nerve and delivering drugs and other therapies.

Grants are awarded for a one-year period and are renewable. The maximum amount of funds awarded for a one-year period is \$40,000 per grantee. Researchers may also apply for a one-time expanded grant renewal up to \$50,000 for a second year. Funds are not to be used for salaries, personnel support, overhead or other indirect costs. All requests for grants must be made in writing on The Foundation's application form and supporting documentation must include a description of the objectives, background, methodology, significance, any preliminary results, and budget for the project.

The Foundation also sponsors the annual Scientific Think Tank on Optic Nerve Rescue and Restoration, a worldwide collaboration of leading scientists and researchers pooling their efforts to seek a way to reverse optic nerve damage.

Public Education: The Glaucoma Foundation distributes the following publication on request to the general public at no charge:

- a 20-page color brochure, *Doctor, I Have a Question*, a guide for patients and their families
- a quarterly newsletter, *Eye to Eye*
- a leaflet, *Glaucoma: The leading cause of preventable blindness*, a brief guide describing glaucoma and treatment for glaucoma.



The Glaucoma Foundation

Solicitation Plan for Fundraising

The Glaucoma Foundation solicits funds or plans to solicit funds from individuals, corporations and foundations through a variety of methods including:

1. At the quarterly meeting on August 13, 1997, the Board of Directors of The Glaucoma Foundation, Inc., approved a proposal to begin an endowment campaign for glaucoma research with a goal of \$5 million. Solicitations for this campaign will include personal solicitation of individuals, corporations, and foundations by members of the Board of Directors and Foundation staff.
2. Direct mail to readers of The Foundation's free quarterly newsletter, *Eye to Eye*, and to individuals on lists to be purchased or rented by The Foundation.
3. Corporations will be solicited through a variety of methods to meet the requirements of each corporation. These include the submission of completely developed proposals for specific project support, solicitation letters for general operating support, and in-person solicitation by members of The Foundation's Board of Directors and staff for project support and/or operating support.
4. Foundations that provide funding in the areas of health and human services will receive solicitations proposals for specific project support and/or general operating support based on the requirements and limitation of each foundation.
5. Special event: The annual Black and White Ball in early winter in a black tie dinner/dance held at one of New York City's landmark locations. The event is attended by approximately 350 guests from the financial community and medical profession. Other facets of this event include the publication of an advertising journal, a silent auction of donated prizes and a raffle of donated prizes.

Officers of the Corporation

Chairman

Gregory K. Harmon, M.D.
Director, Glaucoma Service
New York Presbyterian Hospital
520 East 70th Street, Suite 823
New York, NY 10021

President and Chief Executive Officer

Mr. Scott R. Christensen
8 Inness Place
Manhasset, NY 11030

Assistant Treasurer

Ms. Nilda L. Richards
34 Cornell Avenue
Staten Island, NY 10310

Vice President and Secretary

Robert Ritch, M.D.
New York Eye & Ear Infirmary
310 East 14th Street
New York, NY 10003

Assistant Secretary

Marianne Howard
80 Crystal Rock Ct
Middle Island, NY 11953

**The Glaucoma Foundation Board of Directors
As of December 2012**

Gregory K. Harmon, M.D.
Chairman
Chairman of the Board
205 E. 64th Street, Suite 101
New York, New York 10021

Joseph M. La Motta
Chairman Emeritus
69 Black Brook Road
Pound Ridge, New York 10576-1444

Sheldon M. Siegel
2199 NW 59th Street
Boca Raton, Florida 33496

Robert Ritch, M.D.
**Medical Director, Vice President,
Secretary, & Founder**
Professor of Clinical Ophthalmology
Chief, Glaucoma Service
The New York Eye & Ear Infirmary
310 East 14th Street
New York, NY 10003

William C. Baker
7 East 78th Street
New York, New York 10021

Joseph M. Cohen
Chairman
J.M.Cohen & Company
70 East 55th Street, 16th Floor
New York, New York 10022

Lori G. Feldman, Esq.
457 First Street
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Rutledge Ellis-Behnke, PhD
Associate Professor
Massachusetts Institute of Technology
43 Vassar Street 46-6007
Cambridge, MA 02139

Mr. Salvatore P. Ciampo
Senior Director
Albert Einstein College of Medicine
1300 Morris Park Avenue
Bronx, NY 10461

Murray Fingeret, O.D.
Professor
SUNY College of Optometry
St. Albans VA Medical Center
183 Lakeview Drive
Hewlett, New York 11557

Mr. David Fellows
President, Vision Care New Ventures
Vistakon
7500 Centurion Parkway
Jacksonville, FL 32256

**The Glaucoma Foundation Board of Directors
As of December 2012**

Ilene Giaquinta

35 Sutton Place, #15B
New York, NY 10022

Ms. Debora Grobman

179 East 70th Street #8B
New York, NY 10022

Chuck F.V. Imhof

Managing Director, Greater NY Area
American Airlines Inc.
150 East 42nd Street, 4th Floor
New York, New York 10017

Ms. Barbara W. Hearst

O Tradd Street
Charleston, SC 29401

Jeffrey M. Liebmann, M.D.

Chair, Medical Advisory Board
Clinical Professor of Ophthalmology
Director, Glaucoma Services
Manhattan Eye, Ear & Throat Hospital
New York University Medical Center
310 East 14th Street, Suite 304
New York, New York 10003

Kenneth Mortenson

Retired, *Oppenheimer Capital*
21 South End Avenue, PH -1D
New York, New York 10280

Barry S. Friedberg

FriedbergMilstein, LLC
6 East 43rd Street-3rd Fl
New York, NY 10017

Theodore Krupin, M.D.

Professor of Ophthalmology
Northwestern University Medical School
University Eye Specialists, Ltd.
676 North St. Clair Suite 320
Chicago, IL 60611

Paul Kaufman

Prof. of Ophthalmology & Visual Sciences
Director of Glaucoma Services
University of Wisconsin-Madison
Clinical Science Center F4/328-3220
600 Highland Ave.
Midland, WI 53792-3284

Martin R. Lewis

Martin R. Lewis Associates
1140 Broadway, Suite 706
New York, New York 10001

Maurice H. Luntz, M.D.

Fromer Eye Centers
550 Park Avenue
New York, New York 10021

Mr. Irving Wolbrom

785 Fifth Avenue
New York, NY 10022-1696

**The Glaucoma Foundation Board of Directors
As of December 2012**

Gerald Kaiser, Esq.

44 Bacon Road
Old Westbury, NY 11568

James C. Tsai, M.D

Chair, Medical Advisory Board
Robert R. Young Professor and Chairman
Yale School of Medicine
Dept. of Ophthalmology & visual Science
330 Cedar Street
PO Box 208061
New Haven, CT 06520-8061

Mary Jane Voelker

5119 Mojave Drive
Pueblo, CO 81005

Allergan, Inc.

Julian Gangolli
Corporate Vice President and President
North American Pharmaceuticals
2525 Dupont Drive
Irvine, California 92612

Alcon Laboratories, Inc.

Gary Menichini
Vice president & General manager
U.S. Pharmaceuticals
6201 South Freeway
Fort Worth, Texas 76134-7131

Banking and Other Financial Institutions

Brokerage Account

Treasury Partners
Div. of High Tower Sec.
505-5th Ave. 14th Fl.
New York, NY 10017
(917)286-2770
Account Number: 395-11339 263

Brokerage Account-Endow.

Treasury Partners
Div. of High Tower Sec.
505-5th Ave. 14th Fl.
(917) 286-2770
A/C #: 395-31798 263 -Luntz
A/C #: 395-04565 263 -Mendelsohn

Business Checking

J.P.Morgan Chase Bank
255 First Avenue
New York, NY 10003
(212) 935-9935
Account Number: 079-3602483

Neuberger Berman

605 Third Ave.
New York, NY 10158-3698
(212) 476-5911
Account Number: 550-00071 002

Individual Responsible for Custody of Records

Nilda Richards, Assistant Treasurer

Individuals Authorized to Sign Checks

Gregory K. Harmon, M.D., Chairman;
Scott R. Christensen, President and Chief Executive Officer
Joseph M. La Motta, Chairman Emeritus
Robert Ritch, M.D., Vice President & Secretary

All checks of \$2,500 or more require 2 signatures or
the personal approval of the Chairman.

Individuals Responsible for Custody and Distribution of Funds

Chairman

Gregory K. Harmon, M.D.
Director, Glaucoma Service
New York Presbyterian Hospital
520 East 70th Street, Suite 823
New York, NY 10021
(212) 746-2475

President and Chief Executive Officer

Mr. Scott R. Christensen
8 Inness Place
Manhasset, NY 11030

Vice President and Secretary

Robert Ritch, M.D.
Professor and Chief, Glaucoma Service
New York Eye & Ear Infirmary
310 East 14th Street
New York, NY 10003
(212) 477-7540

The Glaucoma Foundation Staff list 2012

Staff List

Scott Christensen
President & Chief Executive Officer

Angela Cooley
Director of Fundraising

Marianne Howard
Director of Research & Events

Nilda Richards
Controller & Assistant Treasurer

Key Staff List

As of December 2012

Dr. Gregory K. Harmon, Chairman
(unpaid volunteer)

Scott R. Christensen, President and Chief Executive Officer

Nilda Richards, Controller and Assistant Treasurer

Marianne Howard, Director of Research and Events

Angela Cooley, Director of Fundraising



December 18, 2012

The Glaucoma Foundation
80 Maiden Lane, Suite 700
New York, NY 10038

Sanky Communications, Inc.
589 Eighth Avenue, 10th Floor
New York, NY 10018

The following is an agreement between Sanky Communications, Inc., (SCI) Professional Fundraising Counsel, and The Glaucoma Foundation (TGF), a 501-C-3 not-for-profit organization dedicated to the eradication of glaucoma.

SCI will design and supervise the direct mail program for fundraising and program support for TGF. All contributions from the direct mail program will be used for the work of The Glaucoma Foundation. TGF raises funds for research into the causes of glaucoma, improvement of existing methods of treatment, and development of cures.

This contract covers the period from January 14, 2013 through December 31, 2013. SCI services will commence on January 14, 2013. The contract can be canceled by either party with sixty days written notice. If canceled, TGF would be responsible for all invoices for materials, design and production and counsel ordered before the cancellation date, for any mailing in the agreed upon mail plan.

The direct mail schedule of appeals will be developed jointly by TGF and SCI, and can be revised as needed throughout this time period. TGF has approval over the mail plan.

SCI's responsibilities will include the following:

- Creation of all materials for the acquisition program, seven to eight donor mailings pending 2012 results (this includes one year-end reminder mailing). TGF will provide the background materials and SCI will write the copy, work with the artists and supervise the printing process. All materials will be submitted to TGF for any revisions and approval at copy, design, mechanical and blueprint stages.

SCI will work with TGF staff to develop themes and concepts for the acquisition and renewal packages, craft calls for action, and work to accomplish both the fundraising and educational/public awareness goals of the organization. This is also to confirm that TGF program personnel will be involved in the development of each activity.

- Selection of all acquisition lists. The acquisition mailing quantity recommended for this contract period is a quantity of approximately 500,000-750,000 pending 2012 results. TGF has final authority over the acquisition quantity. SCI will arrange for merge purges, negotiate for list exchanges and schedule and request exchange paybacks from

Sanky Communications, Inc. T. 212.868.4300
599 11th Avenue, 6th Floor F. 212.868.4310
New York, NY 10036 sankyinc.com

The Glaucoma Foundation list. List selections will be sent to TGF in advance for approval. TGF has approval over all exchanges of the donor list.

- Working with TGF and Foundation Computer Services (FCS) to ensure accurate donor inputting and reporting. SCI will use the statistical reports from TGF and FCS to provide additional analysis on a regular basis. SCI will work with TGF staff on any necessary revisions of the mailing plan.
- Supervision of all lettershop activities, including preparation of mailing schedules, checking in of lists and monitoring of mailing times.
- Providing periodic reports and analysis on the results of the direct mail campaign and recommendations for the program.

The following procedures will apply:

COPY, DESIGN AND LIST APPROVALS

Any copy written or materials designed by SCI will be based on information supplied by TGF and in regular consultation with TGF. TGF exercises control and approval over the content and volume of all mailings, but TGF understands that timely approvals will be critical for the maintenance of the mailing schedule. By sending written approvals of blueprints to SCI, TGF accepts responsibility for the mailing package.

The donor list remains the exclusive property of TGF. SCI will have no independent access to or use of TGF's donor names.

VENDORS

Suppliers will be selected on a competitive bid basis in order to obtain the best prices and delivery dates possible. TGF can elect to have SCI handle the bidding and ordering, or TGF may choose suppliers for any or all mailings.

All vendor invoices (for printing, lettershop, computer, lists, artists, postage, typesetting, etc.) will be sent to SCI for approval and then forwarded to TGF for direct payment to the vendor, with no agency markup. TGF will be responsible for paying all vendor invoices within the specified period.

FEE

SCI's fee for the above services will be \$92,000 for the period 1/14/13-12/31/13, divided into 12 installments of \$7,666.66. The first installment is due upon signing of this contract and the remaining eleven installments will be billed monthly. Any special projects that SCI might be asked to take on during this period, may occasion an additional fee (please refer to the appendix), which would be jointly agreed upon in advance.

Overnight delivery or messenger charges, or typesetting work, will be billed separately. In addition, TGF will be billed for any mechanical layouts and/or revisions produced by our staff. Your approval will be secured in advance for any of these charges which might exceed \$300.

CONTRIBUTIONS

All contributions will be received by TCF or its bank. At no time will SCL have control over, custody of, or access to any of the contributions.

STATE AND LOCAL REGULATIONS

This contract is written in accordance with provisions established by the Association of Direct Response Fundraising Counsel's code of ethics and with various state and local regulations. TCF agrees to register in jurisdictions where it is required and understands that SCL also registers as fundraising counsel, sending copies of client contracts as required.

According to New York State Law, you may cancel this contract without cost penalty or liability for the first fifteen days that the contract is on file with the Office of the Attorney General. In such a case, send a written notice of cancellation to SCL and a duplicate copy to Office of the Attorney General, Charities Bureau, The Capitol, Albany, NY 12224.

For purposes of the Commonwealth of Pennsylvania the following shall apply: Services under the terms of the Agreement with respect to solicitation of contributions in the Commonwealth will commence on the Effective Date or ten (10) working days after the Agreement is received by the Department of State, Bureau of Charitable Organizations and/or is approved by the Department of State, Bureau of Charitable Solicitations. Services and the Agreement will terminate on the Expiration Date within the Commonwealth of Pennsylvania.

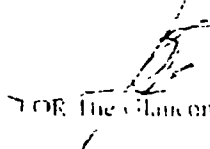
TCF agrees to return a signed copy of the contract to SCL and to supply financial and/or organizational information necessary for SCL to satisfy governmental regulations.

We are looking forward to another rewarding year!

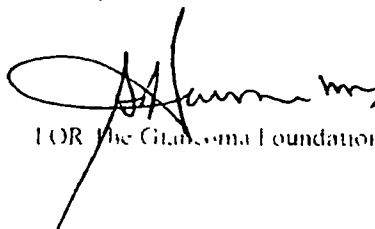
Sincerely,


Harry Esch
TCF

AGREED AND ACCEPTED


FOR The Glaucoma Foundation

12/19/12
DATE
12/19/12
DATE


FOR The Glaucoma Foundation (board member)

Chairman
12/18/12
DATE

Appendix to Contract

ADDITIONAL SERVICES

The following additional services and products, beyond the scope of this contract, are offered by Sanky Communications, Inc. to The Glaucoma Foundation. Each service or product must be mutually agreed upon in advance by both SCI and TGF.

<u>Service or Product</u>	<u>Minimum Fee</u>
Acknowledgment Letter	\$500.00
Short Inserts (planned giving or matching gift)	\$500.00
Additional Renewal	\$4,000.00
Additional Acquisition Mailing	\$5,000.00
Welcome Package	\$2,500.00
Planned Giving Marketing Package	\$4,000.00
Major Donor Package	\$6,000.00
Gift Club Development	\$10,000.00
Pledge Program Development	\$6,000.00

SankyNet: SCI's online division, SankyNet, offers our clients a range of Internet-related services including web design; consulting to make web sites more dynamic and increase traffic; and multifaceted campaigns designed to help NPOs use the internet proactively to both raise funds and further their missions.

Related Consulting: Ongoing consulting is also available to our clients in other areas of fundraising and marketing, including major donor cultivation programs.

Printing Production: SCI is available, upon request, to handle printing production of non-direct-mail materials for a fee equivalent to 10% of the gross price charged by the selected printer (minimum charge for this service will be \$25).

NOTICE

There is an appendix with your contract renewal that asks you to specify which states are to be included in your mailing program for the contract year.

It has become necessary for us to pay much closer attention to state selects because we find that many jurisdictions are becoming stricter about the registration status of both charitable organizations and the consultants who advise them. Several states have resorted to imposing fines or other penalties on mailers who have failed to register.

Thank you.

TGF CONTRACT APPENDIX – STATE SELECTS

Sanky Communications, Inc. is authorized by you to order names from the states checked below for inclusion in your acquisition and renewal mailings during the FY13 contract year (1/14/13-12/31/13). By signing below, you affirm that your organization is duly registered in each of these states where registration is required by law, and that you are committed to informing SCI before any mailing date if there is any change in your regulatory status in the jurisdictions checked.

Alabama ☒
 Alaska ☒
 Arizona ☒
 Arkansas ☒
 California ☒
 Colorado ☒
 Connecticut ☒
 Delaware ☒
 District of Columbia ☒
 Florida ☒
 Georgia ☒
 Hawaii ☒
 Idaho ☒
 Illinois ☒
 Indiana ☒
 Iowa ☒
 Kansas ☒
 Kentucky ☒
 Louisiana ☒
 Maine ☒
 Maryland ☒
 Massachusetts ☒
 Michigan ☒
 Minnesota ☒
 Mississippi ☒
 Missouri ☒

Montana ☒
 Nebraska ☒
 Nevada ☒
 New Hampshire ☒
 New Jersey ☒
 New Mexico ☒
 New York ☒
 North Carolina ☒
 North Dakota ☒
 Ohio ☒
 Oklahoma ☒
 Oregon ☒
 Pennsylvania ☒
 Rhode Island ☒
 South Carolina ☒
 South Dakota ☒
 Tennessee ☒
 Texas ☒
 Utah ☒
 Vermont ☒
 Virginia ☒
 Washington ☒
 West Virginia ☒
 Wisconsin ☒
 Wyoming ☒

Signed _____

Title PRESIDENT

Date 12/19/12

Capital Gains

THE GLAUCOMA FOUNDATION (LAMOTTA ENDOWMENT)
80 MAIDEN LANE - STE 700
NEW YORK NY 10038-4965

Capital Gains Summary From 01/01/2012 To 12/31/2012

Description	Totals
Short Term Gain/Loss	10,668.16
Long Term Gain/Loss	92,668.35
Total Short Sale Gain/Loss	0.00
Discount Income	0.00
Total Capital Gains/Losses	103,336.51
Adjusted Basis	399,484.33
Proceeds	502,820.84
Total Curr Gain/Loss	0.00

EQUITIES - COVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
11/14/2011	04/02/2012	1,000.00	0.00	A593922	AON CORP	47.571	49.080	47,571.09	49,080.00	ST	1,508.91	0.00	47,571.09	0.00	0.00
11/16/2011	05/02/2012	700.00	0.00	COP	CONOCOPHILL IPS	54.937	54.665	38,456.19	38,264.69	ST	-191.50	0.00	38,456.19	0.00	0.00
07/17/2012	08/20/2012	1,000.00	0.00	CVH	COVENTRY HEALTH CARE INC	32.170	41.521	32,169.54	41,520.29	ST	9,350.75	0.00	32,169.54	0.00	0.00
ST - TERM TOTAL		2,700.00						118,196.82	128,864.98	ST	10,668.16	0.00	118,196.82	0.00	0.00
01/14/2011	12/03/2012	450.00	0.00	ABT	ABBOTT LABORATORIES	46.981	64.302	21,132.45	28,935.03	LT	0.00	7,802.58	21,132.45	0.00	0.00
LT - TERM TOTAL		450.00						21,132.45	28,935.03	LT	0.00	7,802.58	21,132.45	0.00	0.00
SECTION TOTAL		3,150.00						139,329.27	157,800.01		10,668.16	7,802.58	139,329.27	0.00	0.00

EQUITIES - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
11/28/2007	02/15/2012	800.00	0.00	DVN	DEVON ENERGY CORPORATIO N NEW	81.064	70.888	64,851.12	56,709.45	LT	0.00	-8,141.67	64,851.12	0.00	0.00
10/05/2010	04/27/2012	300.00	0.00	AXP	AMERICAN EXPRESS COMPANY	38.168	60.402	11,450.54	18,120.21	LT	0.00	6,669.67	11,450.54	0.00	0.00
07/28/2010	05/02/2012	300.00	0.00	COP	CONOCOPHILL IPS	42.362	54.665	12,708.65	16,399.15	LT	0.00	3,690.50	12,708.65	0.00	0.00
02/19/2010	06/08/2012	300.00	0.00	FDX	FEDEX CORP	81.958	86.966	24,587.52	26,089.18	LT	0.00	1,501.66	24,587.52	0.00	0.00
06/11/2009	06/08/2012	500.00	0.00	XRX	XEROX CORP	7.053	7.617	3,526.60	3,808.51	LT	0.00	281.91	3,526.60	0.00	0.00
12/09/2003	06/18/2012	500.00	0.00	COG	CABOT OIL & GAS CORP	4.823	36.403	2,423.96	18,201.29	LT	0.00	15,777.33	2,423.96	0.00	0.00
05/25/2010	06/21/2012	100.00	0.00	UPS	UNITED PARCEL SVC	61.015	77.877	6,101.48	7,787.54	LT	0.00	1,686.06	6,101.48	0.00	0.00
06/11/2009	06/25/2012	700.00	0.00	STZ	INC CL B CONSTELLATI ON BRANDS	13.432	21.582	8,402.51	15,106.71	LT	0.00	5,704.20	9,402.51	0.00	0.00
06/11/2009	06/29/2012	1,400.00	0.00	STZ	INC CL A CONSTELLATI ON BRANDS	13.432	26.782	18,805.02	37,493.65	LT	0.00	18,688.63	18,805.02	0.00	0.00
02/19/2010	07/02/2012	200.00	0.00	FDX	FEDEX CORP	81.958	90.059	16,391.68	18,011.35	LT	0.00	1,619.67	16,391.68	0.00	0.00
06/17/2010	07/02/2012	100.00	0.00	FDX	FEDEX CORP	78.106	90.059	7,810.60	9,005.68	LT	0.00	1,195.08	7,810.60	0.00	0.00
05/25/2010	07/18/2012	100.00	0.00	UPS	UNITED PARCEL SVC	61.015	80.234	6,101.48	8,023.19	LT	0.00	1,921.71	6,101.48	0.00	0.00
02/25/2008	09/07/2012	500.00	0.00	PKG	INC CL B PACKAGING CORP AMER	24.594	33.201	12,296.95	16,600.22	LT	0.00	4,303.27	12,296.95	0.00	0.00
04/30/2010	09/28/2012	200.00	0.00	ACN	***ACCENTURE PLC IRELAND	43.940	70.219	8,787.94	14,043.41	LT	0.00	5,255.47	8,787.94	0.00	0.00
05/25/2010	11/07/2012	400.00	0.00	UPS	SHS CL A UNITED PARCEL SVC	61.015	72.961	24,405.92	29,183.62	LT	0.00	4,777.70	24,405.92	0.00	0.00
05/25/2010	11/08/2012	100.00	0.00	UPS	INC CL B UNITED PARCEL SVC	61.015	73.308	6,101.48	7,330.65	LT	0.00	1,229.17	6,101.48	0.00	0.00
04/20/2009	12/03/2012	500.00	0.00	ABT	ABBOTT LABORATORIE S	44.265	64.302	22,132.66	32,150.04	LT	0.00	10,017.38	22,132.66	0.00	0.00
12/09/2003	12/03/2012	200.00	0.00	COG	CABOT OIL & GAS CORP	4.823	48.213	968.58	9,642.42	LT	0.00	8,672.84	968.58	0.00	0.00
LT - TERM TOTAL		7,200.00						258,855.69	343,706.27	LT	0.00	84,850.58	258,855.69	0.00	0.00

CAPITAL GAIN FROM LIQUIDATING DIVIDENDS - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
10/14/2010	01/05/2012	1,500.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	15.934	0.041	61.87	61.87		0.00	0.00	61.87	0.00	0.00
02/19/2010	01/05/2012	2,000.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	16.274	0.041	82.50	82.50		0.00	0.00	82.50	0.00	0.00
02/19/2010	04/12/2012	2,000.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	16.233	0.083	165.00	165.00		0.00	0.00	165.00	0.00	0.00
10/14/2010	04/12/2012	1,500.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	15.893	0.083	123.75	123.75		0.00	0.00	123.75	0.00	0.00
02/19/2010	07/06/2012	2,000.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	16.150	0.083	165.00	165.00		0.00	0.00	165.00	0.00	0.00
10/14/2010	07/06/2012	1,500.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	15.811	0.083	123.75	123.75		0.00	0.00	123.75	0.00	0.00
10/14/2010	10/17/2012	1,500.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	15.728	0.083	123.75	123.75		0.00	0.00	123.75	0.00	0.00
02/19/2010	10/17/2012	2,000.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	16.068	0.083	165.00	165.00		0.00	0.00	165.00	0.00	0.00
02/19/2010	12/26/2012	2,000.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	15.985	0.083	165.00	165.00		0.00	0.00	165.00	0.00	0.00
10/14/2010	12/26/2012	1,500.00	0.00	CVA	COVANTA HOLDING CORPORATIO N	15.846	0.083	123.75	123.75		0.00	0.00	123.75	0.00	0.00
SECTION TOTAL		17,500.00						1,299.37	1,299.37		0.00	0.00	1,299.37	0.00	0.00

2012 10K and over Donors

ID #	Prospect Fullname w/ Salutation	Payment Amount	Payment Date	Fund Code	Appeal Code	Full Address w/o Country
49	The Alcon Foundation, Inc.	15,000.00	2/16/2012	RESTR	TTANK12	6201 South Freeway Fort Worth, TX 76134-2099
49	The Alcon Foundation, Inc.	100,000.00	2/16/2012	UNREST	BD12	6201 South Freeway Fort Worth, TX 76134-2099
7940	Mr. and Mrs. Irving Wolbrom	10,000.00	11/19/2012	UNREST	BD12	785 5th Avenue New York, NY 10022
7941	Gregory K. Harmon, M.D.	10,000.00	9/10/2012	RESTR	TTANK12	205 East 64th Street, Ste. 101 New York, NY 10021
7941	Gregory K. Harmon, M.D.	10,000.00	12/20/2012	UNREST	12TB10K	205 East 64th Street, Ste. 101 New York, NY 10021
7941	Gregory K. Harmon, M.D.	14,000.00	12/11/2012	UNREST	12AUC	205 East 64th Street, Ste. 101 New York, NY 10021
8048	Mr. and Mrs. Kenneth Mortenson	35,886.59	9/27/2012	UNREST	12CONT	21 South End Avenue, PH -1D New York, NY 10280
8353	Mr. Martin R. Lewis	20,000.00	11/16/2012	UNREST	12TB10K	1140 Broadway, Suite 706 New York, NY 10001-7504
9189	Mr. Joseph M. Cohen	15,000.00	12/31/2012	UNREST	12CONT	70 East 55th Street, 16th Floor New York, NY 10022
39032	The Donna and Marvin Schwartz Foundation	30,000.00	9/21/2012	UNREST	ML12	Neuberger Berman, LLC 605 Third Avenue New York, NY 10158-3698
40570	Mrs. Daphna Cramer	10,000.00	10/1/2012	UNREST	12CONT	45 Sutton Place South Apartment #14F New York, NY 10022
40677	Mr. Joseph M. La Motta	25,000.00	12/31/2012	UNREST	12CONT	69 Black Brook Road Pound Ridge, NY 10576-1444

45237	The Barry Friedberg and Charlotte Moss Family Foundation	30,000.00	11/21/2012	UNREST	12CONT	c/o Milstein Brothers Capital 335 Madison Ave., Fl.15 New York, NY 10017-4631
45265	Ms. Barbara Willis Hearst	10,000.00	12/14/2012	UNREST	12TB10K	0 Tradd Street Charleston, SC 29401
55594	Allergan, Inc.	15,000.00	4/18/2012	RESTR	TTANK12	2525 Dupont Drive Irvine, CA 92612
55594	Allergan, Inc.	50,000.00	4/18/2012	UNREST	BD12	2525 Dupont Drive Irvine, CA 92612
58922	Jerome and Laura Dorfman Charitable Foundation	10,000.00	2/14/2012	UNREST	BD12	35 Sutton Place, #15B New York, NY 10022
73408	Genentech Inc.	15,000.00	10/1/2012	RESTR	TTANK12	PO Box 9030 South San Francisco, CA 94083-9030
75931	Bausch & Lomb	10,000.00	9/12/2012	RESTR	TTANK12	One Bausch & Lomb Place Rochester, NY 14604
91513	Estate of Grace E. Arnold	88,680.27	11/26/2012	UNREST	LEG12	437 Madison Avenue New York, NY 10022
105943	Estate of Susan Gala	13,061.40	7/5/2012	UNREST	LEG12	50 Elm Street Huntington, NY 11743
106376	Estate of Delores H. Von Bank	39,725.95	9/20/2012	UNREST	LEG12	99 Greenwood Avenue Bethel, CT 06801
106487	Estate of Jane S. Murray	135,577.81	1/3/2012	UNREST	LEG12	U.S. Trust, R11-536-02-05 100 Westminster Street Providence, RI 02903
108197	Merck & Co. Inc.	15,000.00	6/15/2012	RESTR	SRA12	UG3AB-203U 351 North Sumneytown Pike North Wales, PA 19454-2505
108197	Merck & Co. Inc.	15,000.00	6/19/2012	RESTR	TTANK12	UG3AB-203U 351 North Sumneytown Pike North Wales, PA 19454-2505
108244	Ms. Susan F. J. Petschek	14,905.42	10/26/2012	UNREST	UNSOL	c/o United Continental Corp. 750 3rd Avenue, Suite 3300 New York, NY 10017

113192	Estate of Eugene Guttman	41,403.36	6/21/2012	UNREST	LEG12	PO Box 402396 Miami Beach, FL 33140-0396
	Sum:	798,240.80				
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THE GLAUCOMA FOUNDATION, INC.

FINANCIAL STATEMENTS

DECEMBER 31, 2012 AND 2011

ROSENBERG ZACH & COMPANY LLP
CERTIFIED PUBLIC ACCOUNTANTS

THE GLAUCOMA FOUNDATION, INC.
DECEMBER 31, 2012 AND 2011

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ROSENBERG ZACH & COMPANY LLP
Certified Public Accountants

INDEPENDENT AUDITORS' REPORT

To the Board of Directors
The Glaucoma Foundation, Inc.

We have audited the accompanying financial statements of The Glaucoma Foundation, Inc. (a non-profit organization), which comprise the statements of financial position as of December 31, 2012 and 2011 and the related statements of activities and cash flows for the years then ended, and statement of functional expenses for the year ended December 31, 2012 with comparative totals for the year ended December 31, 2011, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Glaucoma Foundation, Inc. as of December 31, 2012 and 2011 and changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Robert Rosenberg, Partner

ROSENBERG ZACH & COMPANY LLP
Clifton, New Jersey
March 12, 2013

881 Allwood Road, Suite 202, Clifton, New Jersey 07012
Telephone: 973-773-1129 • Fax: 973-773-1139

THE GLAUCOMA FOUNDATION, INC.
STATEMENTS OF FINANCIAL POSITION
DECEMBER 31, 2012 AND 2011

	<u>ASSETS</u>	
	<u>2012</u>	<u>2011</u>
CURRENT ASSETS		
Cash and cash equivalents	\$ 461,333	\$ 575,326
Accounts receivable	142,679	82,863
Prepaid expense	8,674	14,793
Total current assets	<u>612,686</u>	<u>672,982</u>
EQUIPMENT, NET	<u>4,020</u>	<u>2,240</u>
OTHER ASSETS		
Assets restricted for permanent endowments		
Cash	536	20,550
Investments - equity securities	3,375,495	2,857,597
Investments - money market	<u>200,822</u>	<u>133,995</u>
	<u>3,576,853</u>	<u>3,012,142</u>
Security deposit	<u>27,796</u>	<u>27,796</u>
Total other assets	<u>3,604,649</u>	<u>3,039,938</u>
TOTAL ASSETS	<u>\$4,221,355</u>	<u>\$3,715,160</u>
 <u>LIABILITIES AND NET ASSETS</u>		
CURRENT LIABILITIES		
Accounts payable and accrued expenses	\$ 219,149	\$ 149,227
Grants payable	25,625	62,667
Charitable gift annuity-current portion	<u>840</u>	<u>840</u>
Total current liabilities	<u>245,614</u>	<u>212,734</u>
LONG-TERM LIABILITIES		
Charitable gift annuity-long term portion	<u>445</u>	<u>1,285</u>
TOTAL LIABILITIES	<u>246,059</u>	<u>214,019</u>
NET ASSETS		
Unrestricted	398,443	488,999
Permanently restricted	<u>3,576,853</u>	<u>3,012,142</u>
Total net assets	<u>3,975,296</u>	<u>3,501,141</u>
TOTAL LIABILITIES AND NET ASSETS	<u>\$4,221,355</u>	<u>\$3,715,160</u>

The accompanying notes are an integral part of the financial statements.
See Auditors' Report.

THE GLAUCOMA FOUNDATION, INC.
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011

	2012			2011				
	Unrestricted	Temporarily Restricted	Permanently Restricted	Totals	Unrestricted	Temporarily Restricted	Permanently Restricted	Totals
Revenue								
Support, contributions, and other revenue								
Individual and corporate donations	\$1,207,101	\$ —	\$ 1,750	\$1,208,851	\$1,291,906	\$ —	\$ 240	\$1,292,146
Fundraising benefit	307,304	—	—	307,304	383,611	—	—	383,611
Board designated restrictions	(562,961)	—	562,961	—	(242,535)	—	242,535	—
Net assets released from restrictions	—	—	—	—	—	—	—	—
Total support, contributions, and other revenue	951,444	—	564,711	1,516,155	1,432,982	—	242,775	1,675,757
Investment income								
Interest and dividend income	67,118	—	—	67,118	47,892	—	—	47,892
Investment management fees	(55,288)	—	—	(55,288)	(49,090)	—	—	(49,090)
Net unrealized and realized gains (losses) on investments	426,206	—	—	426,206	161,304	—	—	161,304
Total investment income	438,036	—	—	438,036	160,106	—	—	160,106
Total revenue	1,389,480	—	564,711	1,954,191	1,593,088	—	242,775	1,835,863
Expenses								
Operating expenses								
Program services	1,115,722	—	—	1,115,722	1,107,317	—	—	1,107,317
Management and general	51,157	—	—	51,157	53,225	—	—	53,225
Fundraising	156,765	—	—	156,765	142,873	—	—	142,873
Total operating expenses	1,323,644	—	—	1,323,644	1,303,415	—	—	1,303,415
Fundraising benefit expenses	156,392	—	—	156,392	182,818	—	—	182,818
Total expenses	1,480,036	—	—	1,480,036	1,486,233	—	—	1,486,233
Change in net assets	(90,556)	—	564,711	474,155	106,855	—	242,775	349,630
Net assets, beginning of year	488,999	—	3,012,142	3,501,141	382,144	—	2,769,367	3,151,511
Net assets, end of year	\$ 398,443	\$ —	\$3,576,853	\$3,975,296	\$ 488,999	\$ —	\$3,012,142	\$3,501,141

The accompanying notes are an integral part of the financial statements.
See Auditors' Report.

THE GLAUCOMA FOUNDATION, INC.
SUPPLEMENTARY INFORMATION
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED DECEMBER 31, 2012 (WITH SUMMARIZED INFORMATION IN TOTAL FOR 2011)

	Education Program	Medical Research Program	Total Program Services	Management and General	Fundraising	2012 Total Expenses	2011 Total Expenses
Salaries, payroll taxes and employee benefits	\$ 281,951	\$ 149,629	\$ 431,580	\$ 39,206	\$ 19,291	\$ 490,077	\$ 513,683
Research grants	—	198,458	198,458	—	—	198,458	190,712
Publications	28,330	—	28,330	—	—	28,330	53,681
Optic Nerve Think Tank	—	138,206	138,206	—	—	138,206	104,780
Community outreach	31,472	7,868	39,340	—	—	39,340	33,468
Direct mail	82,212	—	82,212	—	82,212	164,424	144,300
Fundraising consultants	45,437	—	45,437	—	45,437	90,874	80,372
Conventions and travel	6,215	6,216	12,431	—	—	12,431	16,392
Personnel recruiting and advertising	7,309	—	7,309	—	—	7,309	5,722
Occupancy	46,440	27,020	73,460	6,755	4,221	84,436	81,834
Computer and Internet expense	2,153	1,253	3,406	313	196	3,915	4,843
Professional fees	11,467	6,671	18,138	1,668	1,042	20,848	22,009
Office, insurance, telephone, equipment rental, messengers, and miscellaneous expenses	—	—	—	—	—	—	—
State filing fees	21,794	11,680	33,474	3,078	1,924	38,476	41,485
Depreciation expense	2,355	—	2,355	—	2,356	4,711	4,716
Chapter expenses	945	550	1,495	137	86	1,718	1,451
	91	—	91	—	—	91	3,967
Total expenses other than personnel expenses	286,220	397,922	684,142	11,951	137,474	833,567	789,732
Total expenses FYE 12/31/12	\$568,171	\$547,551	\$1,115,722	\$51,157	\$156,765	\$1,323,644	\$ —
Total expenses FYE 12/31/11	\$592,462	\$514,855	\$1,107,317	\$53,225	\$142,873	\$ —	\$1,303,415

The accompanying notes are an integral part of the financial statements.
See Auditors' Report.

THE GLAUCOMA FOUNDATION, INC.
STATEMENTS OF CASH FLOWS
YEARS ENDED DECEMBER 31, 2012 AND 2011

CASH FLOWS FROM OPERATING ACTIVITIES

	<u>2012</u>	<u>2011</u>
Change in net assets	\$ 474,155	\$ 349,630
Adjustments to reconcile change in net assets to net cash provided (used) by operating activities:		
Depreciation	1,718	1,451
Net unrealized and realized (gains) losses on investments	(426,206)	(161,304)
Changes in assets and liabilities which provided (used) cash:		
Accounts receivable	(59,816)	(83)
Prepaid expense	6,119	5,064
Accounts payable and accrued expenses	69,922	(76,244)
Grants payable	<u>(37,042)</u>	<u>(2,327)</u>
Net cash provided by operating activities	<u>28,850</u>	<u>116,187</u>
CASH FLOWS FROM INVESTING ACTIVITIES		
Acquisition of equipment and computer software	(3,498)	(1,064)
Assets restricted for permanent endowment	(46,813)	(35,587)
Purchases of investments and reinvested dividends	(594,513)	(399,126)
Sales proceeds of investments	<u>502,821</u>	<u>353,242</u>
Net cash (used) by investing activities	<u>(142,003)</u>	<u>(82,535)</u>
CASH FLOWS FROM FINANCING ACTIVITIES		
Payments of charitable gift annuity	<u>(840)</u>	<u>(840)</u>
Net cash (used) by financing activities	<u>(840)</u>	<u>(840)</u>
Net increase (decrease) in cash	(113,993)	32,812
Cash and cash equivalents, beginning of year	<u>575,326</u>	<u>542,514</u>
Cash and cash equivalents, end of year	<u>\$ 461,333</u>	<u>\$ 575,326</u>
Supplemental disclosure of cash flow information:		
Cash paid for interest	\$ —	\$ —
Cash paid for income taxes	\$ —	\$ —
Non-cash investing activities:		
Donated securities	<u>\$ 58,934</u>	<u>\$ 73,948</u>

The accompanying notes are an integral part of the financial statements
See Auditors' Report

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2012 AND 2011

NOTE 1. NATURE OF OPERATIONS

The Glaucoma Foundation, Inc. ('Foundation') is a not-for-profit organization, incorporated in New York State in 1984 and founded to stimulate and support basic and applied research in glaucoma, to gain and disseminate new information about the biological causes and treatment of glaucoma, and to identify and develop novel approaches to preserve visual function and reversal of blindness caused by glaucoma.

The major programs are described as follows:

Public education

To encourage routine eye examinations for everyone and in order to halt potential blindness from glaucoma, the Foundation provides free literature, a toll-free hotline and an internet website which includes an interactive e-mail based support group and public service announcements. In 2006, the foundation initiated chapters in various parts of the United States to disseminate educational material and promote the programs of the foundation.

Medical Research Program

The Foundation raises funds for research into the causes of glaucoma, improvement of existing methods of treatment, and development of cures. Currently, the research priorities are: optic nerve regeneration and molecular genetics. The Foundation hosts the Annual Scientific Think Tank on Optic Nerve Rescue and Restoration which brings together experts from around the world working in related - but different - disciplines, in order to provide creative insights into the mysteries of glaucoma.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2012 AND 2011

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A summary of the significant accounting policies consistently applied in the preparation of the accompanying financial statements follows:

a. Financial Statement Presentation

The Foundation's financial statements are prepared in accordance with the provisions of FASB, "Financial Statements of Not-for-Profit Organizations" which establishes standards for general purpose, external financial statements of financial position, activities, cash flows, and functional expenses. It also requires that an organization's net assets and its revenues, expenses, gains and losses be classified based on the existence or absence of donor imposed restrictions.

The financial statements include certain prior-year summarized comparative information in total but not by net asset class. Such information does not include sufficient detail to constitute a presentation in conformity with generally accepted accounting principles. Accordingly, such information should be read in conjunction with the Foundation's financial statements for the year ended December 31, 2011.

b. Net Assets

All financial transactions have been recorded as either unrestricted, temporarily restricted or permanently restricted net assets:

- Unrestricted net assets consist of investments and otherwise unrestricted amounts that are available for use in carrying out the mission of the Foundation and include those expendable resources which have been designated for special use by the Board.
- Temporarily restricted net assets represent those amounts which are donor restricted for specific purposes. When a donor restriction expires, that is, when a stipulated time restriction ends or a purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.
- Permanently restricted net assets result from contributions from donors who place restrictions on the use of the funds which mandate that the original principal be invested in perpetuity. This original principal is reported as a permanently restricted net asset, the income from which may be either temporarily restricted or unrestricted, depending on the donor's specifications.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2012 AND 2011

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

c. Depreciation

Equipment is recorded at cost. Depreciation is calculated using the declining balance method based on the estimated useful lives of the respective assets. Additions are capitalized; routine expenditures for maintenance and repairs are expensed as incurred.

d. Contributions

The Foundation follows FASB "Accounting for Contributions Received and Contributions Made." In accordance with this standard, contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support depending on the existence and nature of any donor stipulations. Unconditional pledges receivable that are to be received in the future are time restricted and increase temporarily restricted net assets. When a donor stipulation expires or its purpose is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions. Donor restricted contributions whose restrictions are met in the same reporting period are reported as unrestricted support.

e. Revenue

All gains and losses arising from the sale, collection or other disposition of investments are accounted for as unrestricted net assets. Interest and dividend income from investments are accounted for as unrestricted net assets.

f. Income Taxes

The Foundation is classified for tax purposes as a public charity organization under Section 501(c)(3) of the Internal Revenue Code. Accordingly, income taxes have not been provided for in the accompanying financial statements. In addition, the Foundation has been determined by the Internal Revenue Service not to be a private foundation within the meaning of section 509(a)(1) of the Code.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2012 AND 2011

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (continued)

In June 2006, the Financial Accounting Standards Board issued the interpretation, *Accounting for Uncertainty in Income Taxes*, which prescribed a comprehensive model for how an organization should measure, recognize, present, and disclose in its financial statements uncertain tax positions that the organization has taken or expects to take on a tax return. The Glaucoma Foundation, Inc. adopted this interpretation as of January 1, 2009 and, thereafter, recognized the tax benefits from uncertain tax positions only if it is more likely than not that the tax position will be sustained on examination by taxing authorities, based on the technical merits of the position. Using that guidance, as of December 31, 2012 and 2011, the organization has no uncertain tax positions that qualify for either recognition or disclosure in the financial statements.

g. Cash Equivalents

The Foundation considers certificates of deposits with a maturity of less than one year as cash equivalents.

h. Investments

The Foundation adopted FASB "Accounting for Certain Investments Held by Not - For - Profit Organizations." In accordance with this standard, all investments in equity securities with readily determinable fair values and investments in debt securities are recorded at fair value in the statement of financial position.

i. Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

j. Subsequent Events

The organization has evaluated all subsequent events through March 12, 2013, the date the financial statements were available to be issued.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 3. EQUIPMENT

Equipment is stated at cost and consists of the following:

	<u>2012</u>	<u>2011</u>
Software	\$ 36,829	\$ 36,579
Equipment	<u>39,721</u>	<u>36,473</u>
Total	76,550	73,052
Less accumulated depreciation	<u>72,530</u>	<u>70,812</u>
	<u>\$ 4,020</u>	<u>\$ 2,240</u>

Depreciation expense for the years ended December 31, 2012 and 2011 amounted to \$1,718 and \$1,451, respectively.

NOTE 4. INVESTMENTS

Investments consist of the following:

	<u>2012</u>	<u>2011</u>
Marketable securities:		
Cost	<u>\$2,238,880</u>	<u>\$ 2,043,112</u>
Market	<u>\$3,375,495</u>	<u>\$ 2,857,597</u>

The following schedule summarizes unrealized and realized gains and losses on investments and classification in the statement of activities for the years ended December 31, 2012 and 2011:

	<u>2012</u>	<u>2011</u>
Interest and dividend income	\$ 67,118	\$ 47,892
Unrealized gains	355,816	157,496
Realized gains (losses)	70,390	3,808
Investment management fees	<u>(55,288)</u>	<u>(49,090)</u>
	<u>\$438,036</u>	<u>\$160,106</u>

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 5. ENDOWMENT FUNDS

The Foundation's endowment consists of 3 donor-restricted funds, all three are established for use of the Foundation with restriction. As required by GAAP, net assets associated with endowment funds are classified and reported based on the existence or absence of donor-imposed restrictions, considering the laws of the state for endowment management. In New York, those laws are found in the New York Prudent Management of Institutional Funds Act (NYPMIFA) as enacted on September 17, 2010. NYPMIFA provides uniform and fundamental rules for the prudent investment of funds held by charitable institutions and the expenditure of funds donated as endowments. NYPMIFA requires that the donor intent be respected when the organization makes decisions with respect to either investing or spending from its endowment funds.

Interpretation of Relevant Law

The Board of Trustees of the Foundation has interpreted the law as requiring the preservation of the fair value of corpus of a donor-restricted endowment fund absent explicit donor stipulations to the contrary. As a result of this interpretation, the Foundation classifies as permanently restricted net assets (a) the original value of gifts donated to the permanent endowment, (b) the original value of any subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund. The Board of Trustees of the Foundation annually shall allocate unrestricted funds that approximate the endowment earnings for spending consistent with donor stipulations. The Board of Trustees has an investment policy that is consistent with the long-term preservation of the real value of the assets of the endowment.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2012 AND 2011

NOTE 5. ENDOWMENT FUNDS (continued)

Changes in Endowment Net Assets for the Years Ended December 31,

	<u>2012</u>	<u>2011</u>
Endowment net assets, Beginning of Year	<u>\$3,012,142</u>	<u>\$2,769,367</u>
Contributions	<u>1,750</u>	<u>240</u>
Investment return:		
Investment income	67,063	47,799
Management advisory fees	(55,288)	(49,090)
Net appreciation (realized and unrealized)	<u>426,206</u>	<u>161,304</u>
Total investment return	<u>437,981</u>	<u>160,013</u>
Appropriation of assets for expenditure:		
Research grants	(198,458)	(160,013)
Other expenditures for programs	<u>(239,523)</u>	<u>-</u>
Total appropriation of assets for expenditure	<u>(437,981)</u>	<u>(160,013)</u>
Net assets released from restriction per donor stipulation	<u>-</u>	<u>-</u>
Board designated restrictions	<u>562,961</u>	<u>242,535</u>
Endowment net assets, End of Year	<u>\$3,576,853</u>	<u>\$3,012,142</u>

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2012 AND 2011

NOTE 6. FUND RAISING BENEFITS

The Foundation hosted fund raising benefits during the years ended December 31, 2012 and 2011. The revenue and expenses from the benefits were as follows:

<u>2012</u>	<u>Revenue</u>	<u>Expenses</u>	<u>Net Revenue</u>
Black & White Ball	<u>\$ 307,304</u>	<u>\$ 156,392</u>	<u>\$ 150,912</u>
 <u>2011</u>	 <u>Revenue</u>	 <u>Expenses</u>	 <u>Net Revenue</u>
Black & White Ball	<u>\$ 383,611</u>	<u>\$ 182,818</u>	<u>\$ 200,793</u>

In-kind contributions were donated for the above fund raising events and valued at their market price on date of donation. In-kind contributions received for the years ended December 31, 2012 and 2011 were recorded at \$34,100 and \$41,175, respectively.

NOTE 7. FUNCTIONAL ALLOCATION OF EXPENSES

The costs of providing the various programs and other activities have been summarized on a functional basis in the statement of activities. Accordingly, certain costs have been allocated among the programs and supporting services benefited. The statement of functional expenses is presented only for the year ended December 31, 2012, with comparative totals for the year ended December 31, 2011.

NOTE 8. NET ASSETS

a. Temporarily restricted

Temporarily restricted net assets at December 31, 2012 and 2011 are available for the following purposes:

<u>2012</u>	<u>2011</u>
<u>\$ —</u>	<u>\$ —</u>

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 8. NET ASSETS (continued)

b. Permanently restricted

Permanently restricted net assets as of December 31, 2012 and 2011 are restricted to investment in perpetuity, the income from which is available to ensure the long-term continuation of cutting-edge research programs and to guarantee the permanent financial health of The Glaucoma Foundation. The board of directors designated \$562,961 of unrestricted net assets to become permanently restricted net assets in 2012. The Board of Directors designated \$242,525 of unrestricted net assets to become permanently restricted net assets in 2011.

	<u>2012</u>	<u>2011</u>
Endowments	<u>\$3,576,853</u>	<u>\$3,012,142</u>

NOTE 9. PROMISES TO GIVE

Included in Accounts Receivable are the following unconditional promises to give:

	<u>2012</u>	<u>2011</u>
Unrestricted	<u>\$142,679</u>	<u>\$82,863</u>

NOTE 10. GRANTS PAYABLE

During 2012 and 2011, The Glaucoma Foundation, Inc. recorded grants payable for the medical research program. The grants are payable currently. At December 31, 2012 and 2011, grants payable amounted to \$25,625 and \$62,667 respectively.

NOTE 11. GIFT ANNUITY PAYABLE

On August 15, 2002, the Foundation received \$10,000 to support the fight against glaucoma and was recognized as a charitable gift annuity payable. The Foundation is obligated to pay the donor for the donor's life, an annual annuity of \$840 in quarterly payments of \$210 that commenced October 1, 2002. The amount was computed using actuarial tables.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 11. GIFT ANNUITY PAYABLE (continued)

The required future annuity payments are as follows:

2013	\$ 840
2014	<u>445</u>
	<u>\$1,285</u>

During 2012 and 2011, the Foundation made annual annuity payments of \$840, respectively.

NOTE 12. FAIR VALUE MEASUREMENTS

The Foundation's financial instruments consist primarily of cash and cash equivalents, investments, accounts receivable, accounts payable and accrued expenses, grants payable, and charitable gift annuity.

The carrying value of cash and cash equivalents, accounts receivable, accounts payable, accrued expenses, and grants payable approximate their fair value due to the short-term nature of such instruments. The charitable gift annuity approximates its fair value due to its de minimus value.

The Foundation's investments are reported at fair value in the accompanying statement of activities and changes in net assets.

	December 31, 2012	December 31, 2011
	<u>Equity Securities</u>	<u>Equity Securities</u>
Fair Value	<u>\$3,375,495</u>	<u>\$2,857,597</u>
(Level 1)		
Quoted Prices in		
Active Markets for		
Identical Assets	<u>\$3,375,495</u>	<u>\$2,857,597</u>

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 12. FAIR VALUE MEASUREMENTS (continued)

FASB "*Fair Value Measurements*," establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority, Level 2 inputs consist of observable inputs other than quoted prices for identical assets, and Level 3 inputs have the lowest priority. The Foundation uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments.

When available, the Foundation measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. No Level 2 or 3 inputs were used for the organization's investments.

Level 1 Fair Value Measurements

The fair value of equity securities is based on quoted net asset value of the shares held by the organization at year-end.

Gains and losses (realized and unrealized) included in changes in net assets for the years ended December 31, 2012 and 2011 are reported in the net appreciation or depreciation in fair value of the investments.

NOTE 13. CONCENTRATION OF CREDIT RISK

Financial instruments which potentially subject the Foundation to significant concentrations of credit risk consist principally of cash, revenue and pledges receivable.

The Foundation maintains its cash at one financial institution. Accounts at the institution are insured by the Federal Deposit Insurance Corporation up to \$100,000. Effective October 2008 through December 30, 2010, accounts are insured up to \$250,000. From December 31, 2010 through December 31, 2012, all noninterest bearing transaction accounts are fully insured, regardless of the account balance and ownership capacity of the funds. There were no uninsured amounts at December 31, 2012 and 2011 respectively. Cash, cash equivalents, and investments held in brokerage accounts are covered by the Securities Investor Protection Corporation (SIPC) in case a brokerage firm fails and assets are missing from customers' accounts.

Approximately 20% of the gross revenues for the year ended December 31, 2012 and approximately 23% of the gross revenues for the year ended December 31, 2011 was earned from one special event.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 13. CONCENTRATION OF CREDIT RISK (continued)

At December 31, 2012, over 36% of accounts receivable was due from two individuals. At December 31, 2011, over 12% of accounts receivable was due from one individual.

For the year ended December 31, 2012, approximately, 53% of donations (including donations from fundraising event) were from twenty-one individuals and entities. For the year ended December 31, 2011, approximately 58% of donations (including donations from fundraising event) were from twenty-four individuals and entities.

NOTE 14. RETIREMENT PLAN

The Foundation participates in a retirement plan which is a Simple Savings Incentive Match Plan for the benefit of all eligible professional and support staff employees who qualify under applicable participation requirements. Fixed dollar contributions are remitted monthly to a trustee. Upon retirement, the vested benefit (full immediate vesting) will be distributed to each participant. Contributions made by the Foundation to the Plan amounted to \$11,112 in 2012 and \$11,375 in 2011.

NOTE 15. COMMITMENTS

a. Property lease

On August 5, 2004 the foundation entered into a lease agreement for office space effective November 1, 2004 for five years and 3 months (63 months) expiring in 2010.

On November 14, 2007, the Foundation entered into an agreement to amend the lease dated August 5, 2004. The Foundation agreed to vacate their office space and move within the same building to new office space. The landlord agreed to pay all moving costs of the Foundation and renovation costs of the new office space. In addition, the lease was extended for seven years from the date of occupancy of the new premises. The Foundation has the option to renew the lease for an additional five years after the expiration date in 2014.

Future minimum lease commitments under this operating lease are presented below:

2013	\$ 81,274
2014	<u>83,713</u>
	<u>\$164,987</u>

Rent expense amounted to \$81,365 and \$78,485 for 2012 and 2011, respectively.

THE GLAUCOMA FOUNDATION, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 15. COMMITMENTS (continued)

b. Equipment leases

The Foundation has entered into operating lease agreements to lease a copying machine and a postage machine. Effective August, 2008, the Foundation entered into a lease agreement for a copying machine which expired in November, 2011. On June 30, 2011, The Foundation entered into a copying machine lease agreement which expires in December 2014. On October 27, 2004 the postage machine lease was renewed for a period of five years expiring October 26, 2009. Since 2009, the postage machine is rented on a month-to-month basis.

Future minimum lease commitments under these operating leases are presented below:

2013	\$ 5,028
2014	<u>5,028</u>
	<u>\$ 10,056</u>

Equipment lease expense for the years ended December 31, 2012 and 2011 amounted to \$5,028 and \$5,550, respectively.