Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: **BRISTOL-MYERS SQUIBB FOUNDATION, INC.**
A Employer identification number: **13-3127947**
Number and street (or P O box number if mail is not delivered to street address): **345 PARK AVENUE, 3RD FLOOR**
Room/suite:
B Telephone number (see instructions): **212-546-4835**
City or town, state, and ZIP code: **NEW YORK, NY 10154**
C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 119,600,626

J Accounting method: ☐ Cash ☑ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

		Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	2,725,666	STATEMENT 1		
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	9,034	9,034	STATEMENT 2	
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	2,734,700	9,034		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	4,981	STATEMENT 3		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	2,865,757	STATEMENT 4		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,870,738			
	25	Contributions, gifts, grants paid	31,175,633			31,175,633
	26	**Total expenses and disbursements.** Add lines 24 and 25	34,046,371			31,175,633
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(31,311,671)			
	b	**Net investment income** (if negative, enter -0-)		9,034		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	201,668		
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule) . STATEMENT 5	151,500,000	119,600,000	119,600,000
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ACCRUED INTEREST RECEIVABLE)		626	626
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	151,701,668	119,600,626	119,600,626
Liabilities	17	Accounts payable and accrued expenses	7,715,416	7,119,294	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ SEE STATEMENT 6)	2,533,990	2,340,741	
	23	**Total liabilities** (add lines 17 through 22)	10,249,406	9,460,035	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted	141,452,262	110,140,591	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	141,452,262	110,140,591	
	31	**Total liabilities and net assets/fund balances** (see instructions)	151,701,668	119,600,626	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	141,452,262
2	Enter amount from Part I, line 27a	2	(31,311,671)
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	110,140,591
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	110,140,591

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	28,850,815	145,486,143	0.198306
2010	35,357,136	158,775,498	0.222686
2009	20,729,066	129,992,732	0.159463
2008	28,305,786	57,993,933	0.488082
2007	22,987,066	31,606,429	0.727291

2	**Total** of line 1, column (d)	2	1.795828
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.359166
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	133,516,750
5	Multiply line 4 by line 3	5	47,954,677
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	90
7	Add lines 5 and 6	7	47,954,767
8	Enter qualifying distributions from Part XII, line 4	8	31,175,633

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ **(attach copy of letter if necessary—see instructions)**					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	181	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0	
3	Add lines 1 and 2			3	181	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	181	
6	Credits/Payments:					
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	9,426			
b	Exempt foreign organizations—tax withheld at source	6b				
c	Tax paid with application for extension of time to file (Form 8868)	6c	0			
d	Backup withholding erroneously withheld	6d				
7	Total credits and payments. Add lines 6a through 6d			7	9,426	00
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0	00
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	9,245	00
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 9,245 00 **Refunded** ▶			11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FLORIDA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ WWW.BMS.COM/FOUNDATION

14 The books are in care of ▶ GERARD PLUNKETT Telephone no. ▶ 212-546-4835

Located at ▶ 345 PARK AVENUE, TAX DEPARTMENT, 3RD FLOOR, NEW YORK ZIP+4 ▶ 10154

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . . . . ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) . . . . ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here . . . . ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . **6b** No ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . . ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION REVIEWS, EVALUATES, AND ADMINISTERS REQUESTS FOR FINANCIAL SUPPORT TO STRATEGIC INITIATIVES THAT HELP ADDRESS KEY HEALTH DISPARITIES - HIV/AIDS IN AFRICA; HEPTATITIS IN ASIA; SERIOUS MENTAL ILLNESS IN US; AND CANCER IN EUROPE.	31,175,633
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	135,550,000
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	135,550,000
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	135,550,000
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	2,033,250
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	133,516,750
6	**Minimum investment return.** Enter 5% of line 5	6	6,675,837

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	6,675,837
2a	Tax on investment income for 2012 from Part VI, line 5	2a	181		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	0		
c	Add lines 2a and 2b			2c	181
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	6,675,656
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	6,675,656
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	6,675,656

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	31,175,633
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	31,175,633
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	31,175,633

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

			(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7					6,675,656
2	Undistributed income, if any, as of the end of 2012:					
a	Enter amount for 2011 only				0	
b	Total for prior years: 20___,20____,20____			0		
3	Excess distributions carryover, if any, to 2012:					
a	From 2007	21,423,679				
b	From 2008	25,417,121				
c	From 2009	14,229,429				
d	From 2010	27,419,784				
e	From 2011	21,576,889				
f	**Total** of lines 3a through e		110,066,902			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 31,175,633					
a	Applied to 2011, but not more than line 2a .				0	
b	Applied to undistributed income of prior years (Election required—see instructions) . . .			0		
c	Treated as distributions out of corpus (Election required—see instructions)		0			
d	Applied to 2012 distributable amount . .					6,675,656
e	Remaining amount distributed out of corpus		24,499,977			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		134,566,879			
b	Prior years' undistributed income. Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0		
d	Subtract line 6c from line 6b. Taxable amount—see instructions			0		
e	Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) .		0			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) .		21,423,679			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a . . .		113,143,200			
10	Analysis of line 9:					
a	Excess from 2008	25,417,121				
b	Excess from 2009	14,229,429				
c	Excess from 2010	27,419,784				
d	Excess from 2011	21,576,889				
e	Excess from 2012	24,499,977				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . .					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter ⅔ of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization . . .					
(4) Gross investment income . . .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

John Damonti, 345 Park Avenue, 3rd Floor, New York, NY 10154, (212) 546-4566

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 8

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 9				31,175,633
Total . . . . ▶ 3a				31,175,633
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	9,043	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				9,043	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 9,043

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 11/7/13 Date | ▶ President Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization

BRISTOL-MYERS SQUIBB FOUNDATION, INC.

Employer identification number

13-3127947

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	BRISTOL-MYERS SQUIBB COMPANY AND SUBSIDIARIES 345 PARK AVENUE NEW YORK, NY 10154	$ 2,725,666	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	**Employer identification number**
BRISTOL-MYERS SQUIBB FOUNDATION, INC.	13-3127947

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	**Relationship of transferor to transferee**

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	**Relationship of transferor to transferee**

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	**Relationship of transferor to transferee**

(a) No. from Part I	**(b) Purpose of gift**	**(c) Use of gift**	**(d) Description of how gift is held**

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	**Relationship of transferor to transferee**

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART I, LINE 1 - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

NAME AND ADDRESS	
BRISTOL-MYERS SQUIBB COMPANY & SUBSIDIARIES 345 PARK AVENUE NEW YORK, NY 10154	2,725,666
TOTAL CONTRIBUTION AMOUNTS	2,725,666

BRISTOL-MYERS SQUIBB FOUNDATION, INC (THE "FOUNDATION") IS REGISTERED AS A CHARITABLE CORPORATION AND IS ADMINISTERED BY THE BOARD OF DIRECTORS OF THE FOUNDATION. THE FOUNDATION REVIEWS, EVALUATES AND ADMINISTERS REQUESTS FOR FINANCIAL SUPPORT. FUNDING FOR THE FOUNDATION COMES SOLELY FROM THE BRISTOL-MYERS SQUIBB COMPANY(THE "COMPANY") AND ITS SUBSIDIARIES

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART I, LINE 3 - INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS

DESCRIPTIONS		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT
INTEREST ON SHORT-TERM INVESTMENTS		9,034	9,034
	TOTAL	9,034	9,034

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART I, LINE 18 - TAXES

DESCRIPTIONS		REVENUE AND EXPENSES PER BOOKS
2012 EXCISE TAXES		3,481
BMS Foundation Filing Fee		1,500
	TOTAL	4,981

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES

DESCRIPTIONS		
WIRE TRANSFER		31
BANKING SERVICE CHARGE		121
ADMINISTRATIVE EXPENSES		2,865,605
	TOTAL	2,865,757

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART II, LINE 13 - OTHER INVESTMENTS

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SHORT TERM INVESTMENTS		151,500,000	119,600,000	119,600,000
	TOTAL	151,500,000	119,600,000	119,600,000

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART II, LINE 22 - OTHER LIABILITIES

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE
CASH OVERDRAFT		2,533,990	2,340,741
	TOTAL	2,533,990	2,340,741

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS AND TRUSTEES

NAME AND ADDRESS

BOARD OF DIRECTORS

LAMBERTO ANDREOTTI
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

JOHN CELENTANO
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

BEATRICE CAZALA
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

JOHN L DAMONTI
345 PARK AVENUE, NEW YORK, NY 10154

GIOVANNI CAFORIO
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

SANDRA LEUNG
345 PARK AVENUE, NEW YORK, NY 10154

BRIAN DANIELS
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

OFFICERS

JOHN L DAMONTI -- PRESIDENT
345 PARK AVENUE, NEW YORK, NY 10154

MARY VANHATTEN -- SECRETARY
ROUTE 206 & PROVINCEVLINE ROAD, PRINCETON, NJ 08543

JEFFREY GALIK -- TREASURER
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

JEREMY WILLOUGHBY -- ASSISTANT TREASURER
ROUTE 206 & PROVINCE LINE ROAD, PRINCETON, NJ 08543

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Bristol-Myers Squibb

Addressing significant unmet medical needs

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Bristol-Myers Squibb Foundation

Our Staff

Reducing Health Disparities

Foundation News

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Bristol-Myers Squibb Foundation

Our commitment to corporate social responsibility has remained consistently strong since the Bristol-Myers Fund—now the Bristol-Myers Squibb Foundation—was established in 1955. Since then, our company has invested in a broad range of programs that reflect our values and serve the needs of society.

The mission of the Bristol-Myers Squibb Foundation is to help reduce health disparities by strengthening community-based health care worker capacity, integrating medical care and community-based supportive services, and mobilizing communities in the fight against disease.

Bristol-Myers Squibb Foundation, World Health Organization, Announce African TB Collaboration

Read more >>

Dr. Margaret Chan, director-general, World Health Organization and Lamberto Andreotti, chief executive officer, Bristol-Myers Squibb

Learn about our Actions and Partnerships to fight Non-Communicable Diseases around the Globe ›

Legal Notice » Privacy Policy » Trademarks

Statement 8

Addressing significant
unmet medical needs

How to Apply
for Bristol-Myers Squibb Foundation Grants

We receive many requests for funding annually Bristol-Myers Squibb is proud to support strategic initiatives that help address health disparities in four key areas: HIV/AIDS in Africa, hepatitis in Asia, serious mental illness in the United States and cancer in central and eastern Europe

Although we are unable to fund all requests, we will review all complete proposals that fall into the above mentioned categories. Please allow at least six to eight weeks for the processing of your completed funding application Funding requests submitted without complete information may be rejected

Apply for a U S -based grant
Apply for a non-U S -based grant

Please note that in order to be eligible for a charitable contribution, any U S recipient organization must be a tax-exempt organization under Section 501(c) (3) of the U S Internal Revenue Code

Before you start the process, please be sure to have the following information available:

1 U S -- Federal tax I D number for your organization
2 U S -- Copy of your organization's 501(c)(3) document & mission statement
3 Copy of the organizing documents, i e , certificate of incorporation or bylaws
4 Description of the rules applicable to the applicant with respect to the distribution of the organization's income, if any
5 Description of the rules applicable to the organization with respect to participation in any political or similar activities
6 Description of the applicant's other sources of financial support, if any
7 If and where available and relevant, an affidavit stating that the applicant is a public charity or the equivalent of a public charity
8 Valid e-mail address
9 Verification of your role within the requesting organization
10 List of organization's board of directors and executive officers

Bristol-Myers Squibb does not provide funding for:

- Individuals
- Endowments
- Capital Campaigns
- Scholarships
- Sponsorships
- Church, religious, sectarian organizations
- Dinners, galas, sporting events
- Souvenir Journals, advertising
- Political causes

Statement 8

Bristol-Myers Squibb

Enhancing health outcomes
around the world

Bristol-Myers Squibb Foundation

- Our Staff
- Reducing Health Disparities
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BMS.com Home » Bristol-Myers Squibb Foundation » Our Staff

Foundation Staff

Foundation Board of Directors

Lamberto Andreotti, Chairman
Béatrice Cazala
John E. Celentano
John L. Damonti
Giovanni Caforio, M.D.
Sandra Leung
Brian Daniels, M.D.

Foundation Staff

John L. Damonti, President
Patricia Doykos
Catharine Grimes
Beryl Mohr
Phangisile Mtshali
Christine Newman
Vivienne Stewart-Seaton
Damon Young
Lilibeth Zandueta

Statement 8

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

FORM 990PF, PART XV, LINE 3A - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

		REFERENCE
BRISTOL-MYERS SQUIBB FOUNDATION PAYMENTS	31,175,632	STATEMENT 9 1
BRISTOL-MYERS SQUIBB FOUNDATION PAYMENTS - SECURE THE FUTURE	-	
TOTAL	31,175,632	

BRISTOL-MYERS SQUIBB FOUNDATION, INC.
FEIN: 13-3127947
FOR THE TAX YEAR ENDING DECEMBER 31, 2012

2012 Fdn Payments Made - By Month

Payee Name	Payment Date	Amount	
Chinese Foundation for Hepatitis Prevention & Control	1/9/2012	(168,520 00)	
ESF College Foundation, Inc	1/11/2012	15,000 00	
Montclair State University Foundation	1/11/2012	50,000 00	
Peer Health Exchange	1/11/2012	25,000 00	
Rider University	1/11/2012	50,000 00	
World Environment Center	1/11/2012	12,000 00	
Gbc Health Inc	1/12/2012	30,000 00	
Kaohsiung Chang Gung Memorial Hospital	1/12/2012	100,000 00	
Chinese Foundation for Hepatitis Prevention & Control	1/26/2012	168,551.00	
Americares India Foundation	1/26/2012	72,250 00	
			$354,281 00
Quinnipiac University	2/3/2012	25,000.00	
Council On Foundations	2/6/2012	15,000 00	
New Dimension Consulting Zimbabwe	2/6/2012	28,935 00	
New York Academy Of Medicine, Being Healthy	2/28/2012	35,000 00	
Volunteer Consulting Group	2/28/2012	10,000 00	
Bank Service Charge	2/28/2012	(31 00)	
			$113,904 00
Kifaru Community Development in Tanzania	3/12/2012	8,653 00	
Brookings Institution	3/13/2012	15,000 00	
Capital Health System Foundation Inc	3/13/2012	1,000,000.00	
			$1,023,653 00
Princeton in Africa	4/12/2012	8,000 00	
Sing for Hope	4/12/2012	5,000 00	
Funders Concerned About AIDS	4/13/2012	20,000 00	
Employee Giving Program	4/17/2012	1,286,602 00	
Employee Volunteer Award Program	4/17/2012	9,500 00	
New Jersey Commission on Holocaust Education	4/17/2012	10,000 00	
Comfort the Children International	4/24/2012	48,812 00	
HelpAge Zimbabwe	4/24/2012	49,989 00	
National Council of NGOs in the DRC	4/24/2012	45,250 00	
Cornell University	4/25/2012	25,000 00	
			$1,508,153 00
Super Buddies Club	5/4/2012	41,844.45	
Alpha Consulting Corp	5/16/2012	32,688 00	
Manpower Demonstration Research Corporation	5/18/2012	200,000 00	
Mental Health Association In New York State Inc	5/18/2012	152,738 00	
University of North Carolina at Chapel Hill	5/18/2012	54,637 00	
Committee Encouraging Corporate Philanthropy	5/21/2012	10,000.00	
Partners Healthcare System Inc	5/22/2012	172,056 00	
Silver Shield Foundation	5/22/2012	15,000 00	
Worldwide Orphans Foundation	5/22/2012	10,000 00	
ECMS Foundation	5/23/2012	59,520 00	
Mississippi Public Health Institute	5/23/2012	90,000 00	

Payee Name	Payment Date	Amount	
National Center for Chronic and Non-communicable Disease Control and Prevention, Chinese Center for Disease Control & Prevention	5/23/2012	470,921 00	
Shanghai Charity Foundation	5/23/2012	270,390 00	
George Washington University	5/24/2012	165,224 00	
			$1,745,018 45
Employee Giving Program	6/26/2012	965,635 00	
Employee Volunteer Award Program	6/26/2012	12,000 00	
			$977,635 00
African Medical and Research Foundation	7/5/2012	84,163 00	
Disability HIV and AIDS Trust	7/5/2012	73,100 00	
Doctors with Africa CUAMM	7/5/2012	124,150 00	
Johannesburg Society for the Blind	7/5/2012	35,985 84	
Maseru Women Senior Citizens Association	7/5/2012	13,185 22	
Save The Children Federation Inc	7/5/2012	125,000 00	
Swaziland Breast and Cervical Cancer Network	7/5/2012	39,255 02	
TB Action Group	7/5/2012	41,028 00	
Give an Hour	7/12/2012	588,200 00	
Iraq And Afghanistan Veterans of America Inc	7/12/2012	100,000 00	
National Merit Scholarship	7/12/2012	398,146 00	
National Network of Public Health Institutes	7/12/2012	197,027 00	
National Council on the Aging Inc	7/13/2012	1,927,960 00	
St Mary Medical Center Foundation	7/23/2012	500,000 00	
Employee Giving Program	7/24/2012	577,230 54	
All India Institute of Diabetes and Research	7/25/2012	139,866 00	
China Foundation for Hepatitis Prevention and Control	7/25/2012	94,236 00	
Health Oriented Programmes and Education Initiative	7/25/2012	91,653 00	
Liver Foundation West Bengal	7/25/2012	223,885 00	
MAMTA Health Institute for Mother & Child	7/25/2012	136,359 00	
Sanjivani Health & Relief Committee	7/25/2012	63,187 00	
United Way of Mumbai	7/25/2012	212,178 00	
Alpha Consulting Corp	7/30/2012	36,509 41	
NAMI Georgia Inc	7/30/2012	200,000 00	
President And Fellows of Harvard College	7/30/2012	263,612 00	
Rush University Medical Center	7/30/2012	200,000 00	
Sixteenth Street Community Health Center	7/30/2012	104,740 00	
Hepatitis B Foundation	7/31/2012	86,000 00	
			$6,676,656 03
National Council of NGOs in the DRC	8/2/2012	79,497 00	
New Dimension Consulting Zimbabwe	8/2/2012	28,935.00	
Luthando Neuropsychiatric HIV Clinic	8/6/2012	68,152 56	
Mpilonhle Project	8/6/2012	33,644 34	
Tanzania Development and AIDS Prevention	8/7/2012	28,081 20	
Tanzania Development and AIDS Prevention	8/7/2012	21,081 00	
Morehouse School of Medicine	8/8/2012	622 37	
Morehouse School of Medicine	8/8/2012	2,500 00	
Rutgers University Foundation	8/8/2012	31,960 00	
Partnership At Drugfree Org	8/17/2012	15,000 00	
Baylor College of Medicine, Baylor Pediatric AIDS Corps	8/20/2012	10,000 00	
Catholic Medical Mission Board	8/22/2012	92,549 00	

Payee Name	Payment Date	Amount	
Employee Giving Program	8/22/2012	9,000 00	
Tanzania Development and AIDS Prevention	8/23/2012	(21,081.00)	
Wildlife Habitat Council	8/23/2012	10,000 00	
New York Academy Of Medicine, Being Healthy	8/24/2012	26 20	
International Rescue Committee Inc	8/27/2012	30,000.00	
Melville E. Boufford	8/27/2012	2,500 00	
University of North Carolina at Chapel Hill	8/28/2012	507 32	
University of North Carolina at Chapel Hill	8/28/2012	2,500 00	
			$445,474 99
Hospice Casa Sperantei	9/4/2012	65,367 00	
Hospices of Hope	9/4/2012	76,159 00	
Mississippi Public Health Institute	9/4/2012	277,126 00	
Russian Nurses Association	9/4/2012	84,563.00	
Triedinstvo	9/4/2012	73,186 00	
Kate Lorig	9/12/2012	899 07	
Kate Lorig	9/12/2012	2,500 00	
George W Bush Foundation	9/13/2012	25,000 00	
University of Kansas Center for Research Inc	9/13/2012	577,834 00	
International Society of Nurses in Cancer Care	9/18/2012	68,050 00	
Keck Graduate Institute	9/19/2012	100,000.00	
Hudson Institute	9/24/2012	25,000 00	
National Council of NGOs in the DRC	9/26/2012	79,497 00	
China Foundation for Hepatitis Prevention and Control	9/28/2012	92,802 00	
Liver Foundation West Bengal	9/28/2012	74,445 00	
			$1,622,428 07
American Council on Science And Health	10/18/2012	15,000 00	
Duke University	10/29/2012	1,982,155.00	
Easter Seals Inc	10/18/2012	25,000 00	
Employee Giving Program	10/15/2012	628,630 00	
Employee Volunteer Award Program	10/15/2012	14,500 00	
Feeding America	10/29/2012	1,042,488 00	
Kenya AIDS NGOs Consortium	10/29/2012	46,049 00	
National Campaign To Prevent Teen and Unplanned Pregnancy	10/18/2012	15,000 00	
New York Academy of Medicine, Being Healthy	10/19/2012	20,000 00	
Princeton in Africa	10/18/2012	45,000 00	
Research America	10/18/2012	15,000 00	
The Cooper Foundation Inc	10/29/2012	661,769 00	
Alpha Consulting Corp	10/31/2012	57,125.35	
United Negro College Fund Inc	10/31/2012	15,000 00	
			$4,582,716 35
American National Red Cross	11/13/2012	100,000 00	
Council of American Ambassadors	11/29/2012	7,500 00	
FACE AIDS	11/29/2012	10,000 00	
Global Medical Relief Program	11/29/2012	20,000 00	
Humana People to People India	11/28/2012	164,450 00	
Hungarian Hospice Foundation	11/28/2012	84,500 00	
Kifaru Community Development in Tanzania	11/13/2012	9,000 00	
Marshall University Research Corporation	11/1/2012	608,470 00	
Mental Health Association In New York State Inc	11/28/2012	145,489 00	
Mercy & Sharing	11/29/2012	15,000 00	
National School of Public Health - Romania	11/28/2012	91,498 00	
Romanian Cancer Society	11/28/2012	60,181 00	

Payee Name	**Payment Date**	**Amount**	
Samarth	11/28/2012	99,971 00	
South Florida Behavioral Health Network	11/28/2012	489,120 00	
United Way of Mumbai	11/28/2012	104,855 00	
World Services of La Crosse Inc	11/29/2012	64,297 00	
Wu Jie Ping Medical Foundation	11/28/2012	150,508 00	
			$2,224,839 00
Hurricane Sandy New Jersey Relief Fund Inc	12/10/2012	50,000 00	
Seeds of Africa Foundation	12/10/2012	10,000 00	
Advertising Council	12/14/2012	15,000 00	
Africaid	12/14/2012	65,370 00	
All India Institute of Diabetes and Research	12/14/2012	139,866 00	
Bedford VA Research Corporation	12/14/2012	163,350.00	
Centre d' Action pour Dirigeants et Cadres d' Entreprises au Congo	12/14/2012	145,042 00	
China Foundation for Hepatitis Prevention and Control	12/14/2012	129,436 00	
Consortium of Christian Relief & Development Association	12/14/2012	99,522.00	
Family Care International Inc	12/14/2012	20,000 00	
Foundation Femme Plus	12/14/2012	136,946 00	
Health Oriented Programmes and Education Initiative	12/14/2012	73,720.00	
Hepatitis Foundation of Tripura	12/14/2012	78,523 00	
Inno Community Development Foundation	12/14/2012	213,417.00	
International Society of Nurses in Cancer Care	12/14/2012	4,527.00	
Kifaru Community Development in Tanzania	12/14/2012	23,891.00	
Liver Foundation West Bengal	12/14/2012	151,145.00	
MAMTA Health Institute for Mother & Child	12/14/2012	136,358 00	
National Center on Family Homelessness Inc	12/14/2012	150,000 00	
Pathfinder International	12/14/2012	63,729 00	
Project Hope Poland	12/14/2012	70,681 00	
Regents of the University of Michigan	12/14/2012	250,206 00	
Robert Wood Johnson University Hospital Foundation	12/14/2012	1,000,000 00	
Sanjivani Health & Relief Committee	12/14/2012	63,187 00	
Save The Children Federation Inc	12/14/2012	137,546 00	
Shanghai Charity Foundation	12/14/2012	98,879.00	
Southern Africa HIV AIDS Information Dissemination Services	12/14/2012	57,475 00	
St Paul's University	12/14/2012	175,000 00	
University of California, San Francisco Foundation	12/14/2012	275,000 00	
Americares Inc	12/17/2012	84,972 00	
International AIDS Vaccine Initiative Inc	12/17/2012	10,000 00	
Direct Relief International	12/18/2012	25,000 00	
Global Health Corps Inc	12/18/2012	30,000 00	
Project Hope	12/18/2012	89,858.00	
Bambisanani Project	12/19/2012	35,681.74	
Cabrini Ministries	12/19/2012	37,939.86	
Centre for Positive Care	12/19/2012	87,197 69	
Grandmothers Against Poverty and AIDS	12/19/2012	71,051 25	
Grandmothers Against Poverty and AIDS	12/19/2012	43,388 84	
Institute of Development Management - Lesotho	12/19/2012	86,464 76	
Institute of Development Management - Swaziland	12/19/2012	82,715 24	
Mpilonhle Project	12/19/2012	79,768 29	
Mpilonhle Project - Ladysmith Hospital	12/19/2012	92,877.79	
American Academy of Family Physicians Foundation	12/20/2012	1,946,443.00	
American Pharmacists Association Foundation	12/20/2012	931,522 00	

Payee Name	**Payment Date**	**Amount**	
Black Women's Health Imperative	12/20/2012	5,000.00	
East Carolina University	12/20/2012	5,000 00	
IYL Foundation Inc	12/20/2012	10,000 00	
Johns Hopkins University	12/20/2012	1,099,638 00	
New Dimension Consulting Zimbabwe	12/20/2012	76,365 00	
Rectors & Visitors of the University of Virginia	12/20/2012	5,000 00	
Suicide Prevention International	12/20/2012	333,575 00	
United Hospital Fund	12/20/2012	1,118,331 00	
United Neighborhood Health Services	12/20/2012	5,000 00	
Whittier Street Health Center	12/20/2012	5,000 00	
World Resources Institute	12/20/2012	5,000.00	
Johns Hopkins University	12/24/2012	30,000 00	
Valley Cities Counseling and Consultation	12/24/2012	554,158 00	
NAMI Georgia Inc	12/26/2012	250,000 00	$11,234,763 46
			$32,509,522 35
Adjustments:			
Adjustment for 2012 payment for Capital Health & Robert Wood Johnson			(2,000,000 00)
Adjustment for 2012 amortization for Capital Health & Robert Wood Johnson			134,076 83
Adjustment for 2012 payment for St Mary's Medical Center Foundation, which was expensed in previous years			(500,000 00)
Adjustment for PV of St Mary's Medical Center Foundation 3-yr commitment - $1 5M of commitment was recorded in SAP without discount			19,715 29
St Mary's Medical Center Commitment			1,265,377 70
Adjustment for 2012 payment for United Hospital Fund			(114,682 00)
Administration expenses paid by BMSF			(138,377 72)
Grand Total			$31,175,632.45