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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
RALPH LANDAU FOUNDATION

% EDWARD ROVER

Number and street (or P O box number if mail is not delivered to street address)
2 PARK AVENUE
Suite 1525

Room/suite

City or town, state, and ZIP code
NEW YORK, NY 10016

A Employer identification number
13-2895717

B Telephone number (see instructions)
(212) 683-8660

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 55,742,835

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	1,693,791			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	379	379		
	4	Dividends and interest from securities	872,415	834,695		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	109,926			
	b	Gross sales price for all assets on line 6a 244,926				
	7	Capital gain net income (from Part IV, line 2)		109,926		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	4,295			
	12	**Total.** Add lines 1 through 11	2,680,806	945,000		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	130,000	13,000		117,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	5,731			5,731
	b	Accounting fees (attach schedule)	26,190			26,190
	c	Other professional fees (attach schedule)	68,911	68,911		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	42,133	23,856		9,277
	19	Depreciation (attach schedule) and depletion	480			
	20	Occupancy				
	21	Travel, conferences, and meetings	1,290			1,290
	22	Printing and publications				
	23	Other expenses (attach schedule)	130,491	44,179		86,292
	24	**Total operating and administrative expenses.** Add lines 13 through 23	405,226	149,946		245,780
	25	Contributions, gifts, grants paid	2,667,329			2,667,329
	26	**Total expenses and disbursements.** Add lines 24 and 25	3,072,555	149,946		2,913,109
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-391,749			
	b	**Net investment income** (if negative, enter -0-)		795,054		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	383,660	107,992	107,992
	2	Savings and temporary cash investments	17,854,054	16,303,774	16,303,774
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	835,007	695,538	713,493
	b	Investments—corporate stock (attach schedule)	27,259,972	28,051,178	36,370,668
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,488,915	2,236,681	2,242,395
	14	Land, buildings, and equipment basis ▶ 2,400 Less accumulated depreciation (attach schedule) ▶ 1,000	1,880	1,400	1,400
	15	Other assets (describe ▶)	3,075	3,113	3,113
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	47,826,563	47,399,676	55,742,835
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)	0	387	
	23	**Total liabilities** (add lines 17 through 22)	0	387	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶** ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	47,826,563	47,399,289	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	47,826,563	47,399,289	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	47,826,563	47,399,676	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,826,563
2	Enter amount from Part I, line 27a	2	-391,749
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	47,434,814
5	Decreases not included in line 2 (itemize) ▶	5	35,525
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	47,399,289

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	109,926
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	2,763,970	46,095,606	0 059962
2010	1,414,128	38,471,221	0 036758
2009	1,197,529	34,076,390	0 035142
2008	230,036	22,532,851	0 010209
2007	1,010,069	227,870	4 432655

2	**Total** of line 1, column (d).	2	4 574726
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 914945
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	53,977,073
5	Multiply line 4 by line 3.	5	49,386,053
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,951
7	Add lines 5 and 6.	7	49,394,004
8	Enter qualifying distributions from Part XII, line 4.	8	2,913,109

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	15,901
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	15,901
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	15,901
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	12,964		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	22,964
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	7,063
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 7,063	**Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	Yes	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶EDWARD ROVER Telephone no ▶(212) 683-8660

Located at ▶2 PARK AVENUE SUITE 1525 NEW YORK NY ZIP+4 ▶10016

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
LAURIE J LANDEAU 2 PARK AVENUE NEW YORK, NY 10016	PRESIDENT 0 25	100,000	0	0
EDWARD ROVER 2 PARK AVENUE NEW YORK, NY 10016	SECRETARY & TREASURER 0 1	30,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
LISTOWEL INC 2 PARK AVENUE SUITE 1525 NEWYOK,NY 10016	INVST & OFFICE SVCES	115,472

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	34,915,366
b	Average of monthly cash balances	1b	17,926,253
c	Fair market value of all other assets (see instructions)	1c	1,957,440
d	**Total** (add lines 1a, b, and c)	1d	54,799,059
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	54,799,059
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	821,986
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	53,977,073
6	**Minimum investment return.** Enter 5% of line 5	6	2,698,854

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,698,854
2a	Tax on investment income for 2012 from Part VI, line 5	2a	15,901		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	15,901
3	Distributable amount before adjustments Subtract line 2c from line 1			3	2,682,953
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	2,682,953
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	2,682,953

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	2,913,109
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,913,109
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,913,109

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,682,953
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			402,747	
b Total for prior years 2010 , 2009 , 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011. 0				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 2,913,109				
a Applied to 2011, but not more than line 2a			402,747	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				2,510,362
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				172,591
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . . 0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				2,667,329
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				577,500

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	379	
4 Dividends and interest from securities. . . .			14	872,415	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.	900099	4,295	18	0	
8 Gain or (loss) from sales of assets other than inventory			18	109,926	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		4,295		982,720	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 987,015

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2013-11-04	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
HILTON L SOKOL	HILTON L SOKOL			

Firm's name ▶ ROSEN SEYMOUR SHAPSS MARTIN &COLLP

Firm's EIN ▶

Firm's address ▶ 757 THIRD AVENUE NEW YORK, NY 100172049

Phone no (212) 303-1800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
10,000 MTA NY DEDICATED TAX 5 25% 04/01/2012	D	2008-12-31	2012-04-01
10,000 MTA NY DEDICATED TAX 5 25% 04/01/2012	D	2008-12-31	2012-04-01
20,000 SUFFOLK CTY NY WATER 6 75% 06/01/2012	D	2008-12-31	2012-06-01
45,000 MTA OF NY TRANS FACS 5 0% 07/01/2012	D	2007-06-17	2012-07-01
20,000 NYC IDA HORACE MANN 4 9% 07/01/2013	D	2008-12-31	2012-07-01
10,000 NYS DORM AUTHORITY 7 375% 07/01/2016	D	2008-12-31	2012-07-01
10,000 NYC TFA 5 25% 08/01/2012	D	2008-12-31	2012-08-01
10,000 PELHAM NY UFSD 4 5% 08/15/2015	D	2008-12-31	2012-08-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
10,000		10,000	
10,000		10,000	
20,000		20,000	
45,000		45,000	
20,000		20,000	
10,000		10,000	
10,000		10,000	
10,000		10,000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COLD SPRING HARBOR FISH HATCHERY & AQUARIUM 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	N/A	501(C)(3)	CHARITABLE - ANNUAL APPEAL TO SUPPORT ENVIRONMENTAL EDUCATION PROGRAMS THAT SERVE PUBLIC AND PRIVATE SCHOOLS	7,500
METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	501(C)(3)	CHARITABLE - SUPPORT THE ARTS	40,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET 433 FRANKLIN BUILDING PHILADELPHIA, PA 191046205	N/A	501(C)(3)	CHARITABLE - SUPPORT THE LANDAU MANAGEMENT AND TECHNOLOGY PROFESSORSHIP	50,000
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	501(C)(3)	CHARITABLE - SUPPORT THE DNA LEARNING CENTER	250,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET 433 FRANKLIN BUILDING PHILADELPHIA, PA 191046205	N/A	501(C)(3)	CHARITABLE - SUPPORT THE ROBERT K MARSHAK TERM PROFESSORSHIP	100,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET 433 FRANKLIN BUILDING PHILADELPHIA, PA 191046205	N/A	501(C)(3)	CHARITABLE - SUPPORT THE TRUSTEES' COUNCIL OF PENN WOMEN FUND	4,000
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET 433 FRANKLIN BUILDING PHILADELPHIA, PA 191046205	N/A	501(C)(3)	CHARITABLE - SUPPORT AQUACULTURE RESOURCES (AQUAVET PROGRAM)	82,829
AMERICAN FARMLAND TRUST 1200 18TH STREET NW SUITE 800 WASHINGTON, DC 20036	N/A	501(C)(3)	CHARITABLE - ANNUAL GIVING TO SUPPORT THE PRESERVATION OF FARM AND RANCH LANDS	20,000
CHEMICAL HERITAGE FOUNDATION 315 CHESTNUT STREET PHILADELPHIA, PA 19106	N/A	501(C)(3)	CHARITABLE - SUPPORT THE RALPH LANDAU AND LAURIE LANDEAU COLLECTIONS FUND	575,000
PRINCETON UNIVERSITY OFFICE OF DEVELOPMENT THE HELM BUI 330 ALEXANDER STREET PRINCETON, NJ 08540	N/A	501(C)(3)	CHARITABLE - SUPPORT FRIENDS OF OUTDOOR ACTION	1,500
CHEMICAL HERITAGE FOUNDATION 315 CHESTNUT STREET PHILADELPHIA, PA 19106	N/A	501(C)(3)	CHARITABLE - SUPPPORT THE ROBERT BOYLE SOCIETY	10,000
VALLEY CONSERVATION COUNCIL 17 BARRISTERS ROW STAUNTON, VA 24401	N/A	501(C)(3)	CHARITABLE - SUPPORT TO PRESERVE THE NATURAL AND CULTURAL RESOURCES OF THE SHENANDOAH VALLEY	1,250
AMERICAN FARMLAND TRUST 1200 18TH STREET NW SUITE 800 WASHINGTON, DC 20036	N/A	501(C)(3)	CHARITABLE - SUPPORT FOR PRESERVATION OF FARM AND RANCH LANDS IN NEW YORK	20,000
CARING HOUSE INC 2625 PICKETT ROAD DURHAM, NC 27705	N/A	501(C)(3)	CHARITABLE - SUPPORT OUTPATIENT TREATMENT AT THE DUKE COMPREHENSIVE CANCER CENTER	12,500
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR, NY 11724	N/A	501(C)(3)	CHARITABLE - TO ESTABLISH A DNA LEARNING CENTER IN MANHATTAN, NEW YORK	750,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET 433 FRANKLIN BUILDING PHILADELPHIA,PA 191046205	N/A	501(C)(3)	CHARITABLE - SUPPORT VETERINARY SCHOOL DEAN'S FUND	12,500
UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET 433 FRANKLIN BUILDING PHILADELPHIA,PA 191046205	N/A	501(C)(3)	CHARITABLE - SUPPORT ENGINEERING ANNUAL GIVING FUND	7,500
HORSES IN THE HOOD PO BOX 573249 TARZANA,CA 913573249	N/A	501(C)(3)	CHARITABLE - SUPPORT INNER-CITY YOUTH PROGRAM	2,500
MARINE BIOLOGICAL LABORATORY 7 MBL STREET WOODS HOLE,MA 02543	N/A	501(C)(3)	CHARITABLE - ANNUAL FUND	7,500
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR,NY 11724	N/A	501(C)(3)	CHARITABLE - SUPPORT ANNUAL FUND TO FUND RESEARCH PROJECTS TO FIGHT VARIOUS DISEASES	10,000
COLD SPRING HARBOR LABORATORY ONE BUNGTOWN ROAD COLD SPRING HARBOR,NY 11724	N/A	501(C)(3)	CHARITABLE - SUPPORT DOLAN DNA LEARNING CENTER	12,500
STONY BROOK FOUNDATION INC STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION BUILDING STONY BROOK,NY 117941188	N/A	501(C)(3)	CHARITABLE - SUPPORT THE SHINNECOCK BAY RESTORATION PROGRAM	650,000
FRIENDS OF THE HIGH LINE 529 WEST 20TH STREET SUITE 8W NEW YORK,NY 10011	N/A	501(C)(3)	CHARITABLE - GENERAL SUPPORT	1,500
NORTH SHORE LAND ALLIANCE 151 POST ROAD OLD WESTBURY,NY 11568	N/A	501(C)(3)	CHARITABLE - GENERAL SUPPORT	1,500
SARATOGA THERAPEUTIC EQUINE PROGRAM PO BOX 2918 GLENVILLE,NY 123250918	N/A	501(C)(3)	CHARITABLE - GENERAL SUPPORT	500
THEODORE ROOSEVELT SANCTUARY & AUDOBON CENTER 134 COVE ROAD OYSTER BAY,NY 11771	N/A	501(C)(3)	CHARITABLE - SUPPORT ONGOING EDUCATION AND CONSERVATION WORK	500
EQUINE LAND CONSERVATION RESOURCE 4037 IRON WORKS PARKWAY SUITE 120 LEXINGTON,KY 40511	N/A	501(C)(3)	CHARITABLE - SUPPORT CONSERVATION OF LAND FOR HORSES	500
HENRICO POLICE FOUNDATION PO BOX 3165 GLEN ALLEN,VA 23058	N/A	501(C)(3)	CHARITABLE - SUPPORT FOR THE HENRICO COUNTY DIVISION OF POLICE SWAT TEAM	15,000
RIVERHEAD FDN FOR MARINE RESEARH & PRESERVATION 467 EAST MAIN STREET RIVERHEAD,NY 11901	N/A	501(C)(3)	CHARITABLE - SUPPORT THE MARINE & AQUATIC ANIMAL HEALTH & CONSERVATION PROGRAMS	20,000
SMITHTOWN HUNT INC 3 SETTLEMENT COURT EAST HAMPTON,NY 11937	N/A	501(C)(3)	CHARITABLE - GENERAL SUPPORT	250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD SUITE 300 JACKSONVILLE, FL 32256	N/A	501(C)(3)	CHARITABLE - GENERAL SUPPORT	250
UNITED STATES DRIVING FOR THE DISABLED INC 32450 S WRIGHT ROAD MOLALLA, OR 97038	N/A	501(C)(3)	CHARITABLE - GENERAL SUPPORT	250
Total . . . . ▶ **3a**				2,667,329

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
b *Approved for future payment*				
STONY BROOK FOUNDATION INC STATE UNIVERSITY OF NEW YORK AT STO 230 ADMINISTRATION BUILDING STONY BROOK, NY 117941188	N/A	501(C)(3)	CHARITABLE - SUPPORT THE SHINNECOCK BAY RESTORATION PROGRAM	500,000
CHEMICAL HERITAGE FOUNDATION 315 CHESTNUT STREET PHILADELPHIA, PA 19106	N/A	501(C)(3)	CHARITABLE - SUPPPORT THE ROBERT BOYLE SOCIETY	10,000
CARING HOUSE INC2625 PICKETT ROAD DURHAM, NC 27705	N/A	501(C)(3)	CHARITABLE - SUPPORT OUTPATIENT TREATMENT AT THE DUKE COMPREHENSIVE CANCER CENTER	12,500
HENRICO POLICE FOUNDATIONPO BOX 3165 GLEN ALLEN, VA 23058	N/A	501(C)(3)	CHARITABLE - SUPPORT FOR THE HENRICO COUNTY DIVISION OF POLICE SWAT TEAM	15,000
METROPOLITAN OPERALINCOLN CENTER NEW YORK, NY 10023	N/A	501(C)(3)	CHARITABLE - SUPPORT THE ARTS	40,000
Total . . . . ▶ **3b**				577,500

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2012

Name of the organization	**Employer identification number**
RALPH LANDAU FOUNDATION	13-2895717

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ► $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
RALPH LANDAU FOUNDATION

Employer identification number
13-2895717

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF CLAIRE LANDAU C/O LISTOWEL INC 2 PARK AVE STE NEW YORK, NY 10016	$ 745	Person ☑ Payroll ☐ Noncash ☑ (Complete Part II if there is a noncash contribution)
2	ESTATE OF CLAIRE LANDAU C/O LISTOWEL INC 2 PARK AVE STE NEW YORK, NY 10016	$ 1,693,046	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	**Employer identification number**
RALPH LANDAU FOUNDATION	13-2895717

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	LIMITED PARTNERSHIP INVESTMENTS	$ 745	2012-01-01

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
RALPH LANDAU FOUNDATION

Employer identification number
13-2895717

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2012 All Other Program Related Investments Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER EQUIPMENT	2010-12-03	2,400	520	SL	5	480			

TY 2012 Investments Corporate Stock Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VANGUARD EMGING MKTS STCK INDX	4,598,271	6,439,984
VANGUARD EUROPEAN STOCK INDEX	3,305,911	3,211,583
VANGUARD INSTITUTIONAL INDEX	13,297,605	17,011,740
VANGUARD PACIFIC STOCK INDEX	1,504,318	1,526,745
VANGUARD SMALL CAP INDEX	4,378,556	6,605,909
SPDR TRUST SERIES 1	271,973	433,781
VANGUARD WINDSOR FD ADMIRAL SH	694,544	1,140,926

TY 2012 Investments Government Obligations Schedule

Name:	RALPH LANDAU FOUNDATION
EIN:	13-2895717
US Government Securities - End of Year Book Value:	
US Government Securities - End of Year Fair Market Value:	
State & Local Government Securities - End of Year Book Value:	695,538
State & Local Government Securities - End of Year Fair Market Value:	713,493

TY 2012 Investments - Other Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FLAG VENTURE PARTNERS II, LP	AT COST	8,454	8,669
FLAG VENTURE PARTNERS III, LP	AT COST	16,281	16,711
FLAG VENTURE PARTNERS IV, LP	AT COST	67,583	68,562
FLAG VENTURE PARTNERS V, LP	AT COST	169,492	170,720
FLAG VENTURE PARTNERS VI, LP	AT COST	129,793	130,154
FLAG PRIVATE EQUITY, LP	AT COST	25,160	25,710
FLAG PRIVATE EQUITY II, LP	AT COST	66,114	66,765
FLAG PRIVATE EQUITY III, LP	AT COST	80,508	80,773
FLAG INT'L PARTNERS, LP	AT COST	88,182	88,495
AMBERBROOK IV LLC	AT COST	33,349	34,071
MOLPUS WOODLANDS FUND III, LP	AT COST	1,551,150	1,551,150
LMS-PLI PARTNERSHIP	AT COST	203	203
BIALYSTOCK & BLOOM LP	AT COST	70	70
B&B PRODUCERS UK LONDON LP	AT COST	342	342

TY 2012 Land, Etc. Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER EQUIPMENT	2,400	1,000	1,400	

TY 2012 Other Assets Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND RECEIVABLE	2,346	3,113	3,113
LOANS & EXCHANGES	729	0	0

TY 2012 Other Decreases Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description	Amount
UNREALIZED LOSSES/TIMING DIFF-THRU K-1'S	35,525

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TY 2012 Other Expenses Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SERVICES	56,882			56,882
DELAWARE FILING FEES	55			55
CUSTODY FEES	20,872	20,872		
OFFICE & MISC EXPENSES	3,525			3,525
GRANT ADMINISTRATION EXPENSE	25,830			25,830
BIALYSTOCK & BLOOM LP - K-1	4	4		
B&B AUSTRALIA INVESTMENT CO LP	21	21		
B&B PRODUCERS UK LONDON INV CO	105	105		
FLAG VENTURE PARTNERS II LP	57	57		
FLAG VENTURE PARTNERS III LP	520	520		
FLAG VENTURE PARTNERS IV LP	1,839	1,838		
FLAG VENTURE PARTNERS V LP	5,155	5,151		
FLAG VENTURE PARTNERS VI LP	4,572	4,569		
FLAG PRIVATE EQUITY LP - K-1	751	748		
FLAG PRIVATE EQUITY II LP K-1	1,281	1,259		
FLAG PRIVATE EQUITY III LP K-1	2,192	2,207		
FLAG INTERNATIONAL PARTNERS LP	2,589	2,589		
AMBERBROOK IV LLC - K-1	1,052	1,050		
MOLPUS WOODLANDS FUND III LP	3,189	3,189		

TY 2012 Other Income Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
AMBERBROOK IV LLC - K-1	192		
FLAG VENTURE PARTNERS IV LP - K-1	-3		
FLAG VENTURE PARTNERS V LP - K-1	23		
FLAG VENTURE PARTNERS VI LP - K-1	38		
FLAG PRIVATE EQUITY LP - K-1	226		
FLAG PRIVATE EQUITY II LP - K-1	3,314		
FLAG PRIVATE EQUITY III LP - K-1	507		
FLAG INTERNATIONAL PARTNERS LP - K-1	-2		

TY 2012 Other Liabilities Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Description	Beginning of Year - Book Value	End of Year - Book Value
LOANS & EXCHANGES	0	387

TY 2012 Other Professional Fees Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT SERVICES	32,760	32,760		
PORTFOLIO MANAGEMENT FEES	36,151	36,151		

TY 2012 Taxes Schedule

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	9,000			
FICA EXPENSE	9,945	995		8,950
FOREIGN TAXES PAID	22,689	22,689		
DELAWARE FRANCHISE TAX	250			250
NYS MCTMT	85	8		77
FOREIGN TAXES W/H-FVP IV	25	25		
FOREIGN TAXES-FVP III, LP K-1	26	26		
FOREIGN TAXES-FVP IV, LP K-1	26	26		
FOREIGN TAXES-FVP V, LP K-1	2	2		
FOREIGN TAXES-FVP VI, LP K-1	11	11		
FOREIGN TAXES-FPE, LP K-1	11	11		
FOREIGN TAXES-FPE II, LP K-1	34	34		
FOREIGN TAXES-FPE III, LP K-1	2	2		
FOREIGN TAXES-FIP, LP K-1	15	15		
FOREIGN TAXES-AMBRBRK LLC K-1	12	12		

TY 2012
TransfersToControlledEntities

Name: RALPH LANDAU FOUNDATION

EIN: 13-2895717

Name	US / Foreign Address	EIN	Description	Amount
THE LAURIE LANDEAU FOUNDATION LLC	2 PARK AVENUE SUITE 1525 NEW YORK, NY 10016	26-3258535	TO FUND NUMEROUS CONTRIBUTIONS AND PROVIDE CASH FOR CHARITABLE EXPENDITURES	2,209,000
Total				