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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation DW FRANKEL FOUNDATION INC		A Employer identification number 13-2874447	
% DW FRANKEL FOUNDATION		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) 160 EAST 65TH STREET Suite	Room/suite		
City or town, state, and ZIP code NEW YORK, NY 10021		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 3,615,421		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) <u> </u> (Part I, column (d) must be on cash basis.)		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	15,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	87,412	87,412		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	533,104			
	b Gross sales price for all assets on line 6a <u>3,465,658</u>				
	7 Capital gain net income (from Part IV , line 2)		533,104		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	5,422	0		
	12 Total. Add lines 1 through 11	640,938	620,516		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	7,500	0	0	7,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	35,946	17,820		18,126
	24 Total operating and administrative expenses. Add lines 13 through 23	43,446	17,820	0	25,626
	25 Contributions, gifts, grants paid	374,133			374,133
	26 Total expenses and disbursements. Add lines 24 and 25	417,579	17,820	0	399,759
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	223,359			
	b Net investment income (if negative, enter -0-)		602,696		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,596,162	112,864	112,864
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,821,129	3,506,814	3,482,707
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)	5,582	19,850	19,850
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,422,873	3,639,528	3,615,421
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)	37,539	30,835	
	23	Total liabilities (add lines 17 through 22)	37,539	30,835	
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	3,385,334	3,608,693	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,422,873	3,639,528	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,385,334
2	Enter amount from Part I, line 27a	2	223,359
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	3,608,693
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,608,693

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SHORT TERM GAIN SEE SCHEDULE ATTACHED			
b	LONG TERM GAIN SEE SCHEDULE ATTACHED			
c	SHORT TERM GAIN FROM K-1			
d	LONG TERM GAIN FROM K-1			
e	LONG TERM CAPITAL DISTRIUBTION			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			93,095
b			439,140
c			26
d			246
e			597

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	533,104
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	199,461	2,903,570	0 068695
2010	191,544	2,882,501	0 066451
2009	160,100	2,477,355	0 064625
2008	168,324	3,407,298	0 049401
2007	180,295	3,855,904	0 046758

2	Total of line 1, column (d).	2	0 29593
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 059186
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	3,719,576
5	Multiply line 4 by line 3.	5	220,147
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	6,027
7	Add lines 5 and 6.	7	226,174
8	Enter qualifying distributions from Part XII, line 4.	8	399,759

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	6,027
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	6,027
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,027
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	894	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	894
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	5,133
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. ☒	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶DW FRANKEL FOUNDATION Telephone no ▶(212) 772-7600 Located at ▶160 EAST 65TH STREET new york NY ZIP +4 ▶10021			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DAVID F FRANKEL	PRESIDENT	0	0	0
20 NIBANG AVENUE OLD SAYBROOK,CT 06475	0			
LINDA FRANKEL	TRUSTEE	0	0	0
20 NIBANG AVENUE OLD SAYBROOK,CT 06475	0			
DIANE F SHERMAN	TRUSTEE	0	0	0
30866 BROAD BEACH ROAD MALIBU,CA 90265	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,815,292
b	Average of monthly cash balances.	1b	941,077
c	Fair market value of all other assets (see instructions).	1c	19,850
d	Total (add lines 1a, b, and c).	1d	3,776,219
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,776,219
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	56,643
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,719,576
6	Minimum investment return. Enter 5% of line 5.	6	185,979

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	185,979
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	6,027
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	6,027
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	179,952
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	179,952
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	179,952

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	399,759
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	399,759
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	6,027
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	393,732
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				179,952
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			16,093	
b Total for prior years 2010 , 2009 , 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 399,759				
a Applied to 2011, but not more than line 2a			16,093	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				179,952
e Remaining amount distributed out of corpus	203,714			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	203,714			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	203,714			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .	0			
e Excess from 2012. . . .	203,714			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2012)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.		
	***** _____ Signature of officer or trustee	2013-05-15 _____ Date	***** _____ Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN
	Kenneth Weissenberg	Kenneth Weissenberg	2013-05-15		
	Firm's name ☐	EISNERAMPER LLP			Firm's EIN ☐
		750 THIRD AVENUE			
	Firm's address ☐	NEW YORK, NY 100172703			Phone no (212) 949-8700

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

DAVID F FRANKEL
DIANE F SHERMAN

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization DW FRANKEL FOUNDATION INC	Employer identification number 13-2874447
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization DW FRANKEL FOUNDATION INC	Employer identification number 13-2874447
--	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	David W Frankel JR Hufelandstrasse 22 Berlin, 10407 GM	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>2</u>	Esme Howard 2831 Nichols Canyon Road Los Angeles, CA 90046	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>3</u>	Jessa Sherman 30866 BROAD BEACH ROAD MALIBU, CA 90265	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization DW FRANKEL FOUNDATION INC	Employer identification number 13-2874447
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization DW FRANKEL FOUNDATION INC	Employer identification number 13-2874447
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2012 IRS Payment**Name:** DW FRANKEL FOUNDATION INC**EIN:** 13-2874447**Routing Transit Number:****Bank Account Number:****Type of Account:** Checking**Payment Amount in Dollars and** 5,133**Cents:****Requested Payment Date:** 2013-05-15**Taxpayer's Daytime Phone** (212) 772-7600
Number:

TY 2012 Accounting Fees Schedule

Name: DW FRANKEL FOUNDATION INC

EIN: 13-2874447

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	7,500			7,500

**TY 2012 All Other Program
Related Investments Schedule**

Name: DW FRANKEL FOUNDATION INC
EIN: 13-2874447

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: DW FRANKEL FOUNDATION INC

EIN: 13-2874447

**TY 2012 Investments Corporate
Stock Schedule**

Name: DW FRANKEL FOUNDATION INC
EIN: 13-2874447

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE SCHEDULE ATTACHED	3,506,814	3,482,707

TY 2012 Land, Etc. Schedule**Name:** DW FRANKEL FOUNDATION INC**EIN:** 13-2874447

TY 2012 Other Assets Schedule**Name:** DW FRANKEL FOUNDATION INC**EIN:** 13-2874447

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM OFFICER	5,582	14,154	14,154
DUE FROM INVESTMENT		5,696	5,696

TY 2012 Other Expenses Schedule**Name:** DW FRANKEL FOUNDATION INC**EIN:** 13-2874447

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	34,753	17,376		17,377
CREDIT CARD MEMBERSHIP FEES	150			150
NYS FILING FEES	250			250
FOREIGN TAX PAID	94	94		
INVESTMENT EXPENSE FROM K-1	699	350		349

TY 2012 Other Income Schedule

Name: DW FRANKEL FOUNDATION INC

EIN: 13-2874447

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
UNREALIZED GAIN	5,422	0	

TY 2012 Other Liabilities Schedule**Name:** DW FRANKEL FOUNDATION INC**EIN:** 13-2874447

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BANK	25,539	30,835
PROFESSIONAL FEES PAYABLE	12,000	

TY 2012 Taxes Schedule

Name: DW FRANKEL FOUNDATION INC

EIN: 13-2874447

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES				

Part XV- Grants & Contributions Paid During The Year

Recipients Name:	Address	Foundation Status of Recipient	Purpose of Contribution	Amounts
Angel Basket	P.O. Box 22000, PMB 574, Telluride, CO 81435	Public	General Support	1,000
BB Actors Fund	729 Seventh Avenue, 10th floor, New York, NY 10019	Public	General Support	100
BB Human Rights Watch	350 Fifth Avenue, 34th Floor, New York, NY 10118	Public	General Support	500
Best Friends Animal	5001 Angel Canyon Rd Kanab, UT 84741	Public	General Support	500
Brooklyn Museum Member Brooklyn	200 Eastern Parkway Brooklyn, NY 11238-6052	Public	General Support	1,000
Cancer Research & Treatment FND	500 East 77th Street, Suite 1001 New York, NY 10162	Public	General Support	5,000
Congregation Beth Shalom CT	55 E. Kings Hwy, Chester CT 06412	Public	General Support	2,000
Congregation Emanuel of NY	1 E 66th St New York, NY 10065	Public	General Support	10,000
Crain Communications Detroit	1155 Gratiot Ave. Detroit, MI 48207-2732	Public	General Support	30
Connecticut River Museum	67 Main Street, Essex, CT 06426	Public	General Support	220
Dartmouth College	6041 Lower Level Wilson Hall Hanover, NH 03755-3543	Public	General Support	5,000
Dermatology Foundation Evanston	1560 Sherman Avenue Evanston IL 60201-4808	Public	General Support	1,500
Downton Abbey Revisited	2601 Mariposa Street, San Francisco, CA 94110	Public	General Support	1,005
Estuary Council of Sen Old Saybrook	220 Main St Old Saybrook, CT 06475	Public	General Support	100
FISTULA FOUNDATION	1900 The Alameda, Suite 500, San Jose, California 95126	Public	General Support	10,000
Florence Griswold Museum Old Lyme CT	96 Lyme Street, Old Lyme, CT 06371	Public	General Support	29,800
Giving to Dartmouth	6041 Lower Level Wilson Hall Hanover, NH 03755-3543	Public	General Support	1,000
Goodspeed Opera Develo	6 Main Street, PO Box A, East Haddam, CT 06423	Public	General Support	23,200
Guggenheim Museum	1071 5th Ave New York, NY 10128	Public	General Support	1,750
Harvard University	124 Mount Auburn Street Cambridge, MA 02138	Public	General Support	17,500
High Hope Therapy Old Lyme	36 Town Woods Rd Old Lyme, CT 06371	Public	General Support	500
INSIGHT LA	1430 Olympic Blvd., Santa Monica, CA 90404	Public	General Support	425
Kaboom Washington DC	4301 Connecticut Ave NW, Washington, D.C. 20008	Public	General Support	2,000
LLS-E-FUNDRAISING	1311 Mamaroneck Avenue, White Plains, NY 10605	Public	General Support	500
Malibu Jewis CA	24855 Pacific Coast Highway Malibu, California 90265	Public	General Support	250
Manhattan Theatre Club	311 W. 43rd Street, 8th Fl, New York, NY 10036	Public	General Support	6,500
Metropolitan Museum of Art	1000 Fifth Ave, New York, NY 10028	Public	General Support	4,500
MOAB Music Festival	58 E 300 S Moab, UT 84532	Public	General Support	2,000
MOCA Bunker Hill LA	250 S Grand Ave, Los Angeles, CA 90012	Public	General Support	1,350
Mountainfilm, Ltd Telluride Co	PO Box 1088 109 E Colorado, Suite 1 Telluride, CO 81435	Public	General Support	10,000
Museum Nat Hist Member New York	Central Park West New York, NY 10024-5192	Public	General Support	500
Musical Masterworks in Old Lyme CT	PO Box 684, Old Lyme CT 06371	Public	General Support	6,500
Mystic Seaport Museum	PO Box 6000, Mystic, CT 06355	Public	General Support	353
Natural Resources Defense	733 Third Avenue, New York, NY 10017	Public	General Support	3,000
Network for Good	7920 Norfolk Avenue, Bethesda, MD 20814	Public	General Support	5,025
NY Charities Org	137-139 West 25th Street, New York, NY 10001	Public	General Support	10,000
Old Saybrook Ambulance Association Inc	310 Main Street, Saybrook, CT 06475	Public	General Support	100
Old Saybrook Fire Dept	310 Main St, Old Saybrook, CT 06475	Public	General Support	100
Old Saybrook Police Dept	302 Main Street Old Saybrook, CT 06475-2304	Public	General Support	100
PAN MASS CHALLENGE	77 4th Ave Needham, MA 02494	Public	General Support	500
PEN Center USA	P.O. Box 6037, Beverly Hills, CA 90212	Public	General Support	400
Planned Parenthood AF	555 Capitol Mall, Ste 510, Sacramento, CA 95814	Public	General Support	1,000
Riverdale Country School	5250 Fieldston Road, Bronx, NY 10471	Public	General Support	160,250
Room to Read	111 Sutter Street, San Francisco, CA, 94104	Public	General Support	5,000
Scholarship Fund	503 Capen Hall, Buffalo, NY 14260-1660	Public	General Support	2,000
Scotthosfel CA		Public	General Support	1,000
Smile Train	245 Fifth Ave, Suite 2201, New York, NY 10016	Public	General Support	5,000
SO CAL Public Radio	1570 E Colorado Blvd., Pasadena, CA 91106	Public	General Support	1,500
SQ Mountainfilm, LTD Telluride Co	620 Mountain Village Blvd Suite 2B Telluride, CO 81435	Public	General Support	75
The Dartmouth Review	6041 Lower Level Wilson Hall Hanover, NH 03755-3543	Public	General Support	100
The Fistula Foundation	1900 The Alameda, San Jose, California 95126	Public	General Support	2,000
THE TRICYCLE FOUND	92 Vandam Street, New York, NY 10013	Public	General Support	5,000
The UCLA Foundation	10920 Wilshire Boulevard, Los Angeles, California 90024	Public	General Support	1,000
Tom Sawyer State Park	3000 Freys Hill Rd Louisville, KY 40241	Public	General Support	10,000
U of M Adv. Gift Ctrg A Coral Gables FL	1252 Memorial Drive, Coral Gables, FL 33146	Public	General Support	800
US ED PETS Inc	2001 WILSHIRE BLVD # 510 Santa Monica, California 90403	Public	General Support	1,500
United Service Org. Donations	P.O. Box 96322, Washington, DC 20090	Public	General Support	100
Venice Family Clinic	604 Rose Avenue, Venice, CA 90921	Public	General Support	5,000
Wellesley College	106 Central St Wellesley, MA 02482	Public	General Support	6,000
Whitney Museum of American Art	945 Madison Avenue New York, NY 10021	Public	General Support	1,000

Total contributions made: 374,133

DAVID FRANKEL FOUNDATION
JP Morgan Securities
1/1/12-12/31/12

Securities	beginning inventory		ending inventory		
	\$HS	Cost	\$HS	Cost	MV
Abbott Laboratories	1,900	90,982			
Alerie Inc.	4,325	143,351			
Arch Capital Group	4,840	109,673			
Compass Minerals Int'l Inc	1,710	128,913			
Fidelity National Information Service	4,521	114,986			
Golar LNG Ltd	6,977	89,426			
Golar LNG Partners LP	1,000	26,205			
Heckmann Corp	12,900	75,098			
Hospira Inc	1,950	57,060			
Johnson Controls Inc	3,050	116,043			
Keppel Ltd	3,390	21,548			
Lockheed Martin Corp	870	63,302			
Macy's Inc	2,275	55,789			
Medquist Hldgs Inc.	4,000	43,273			
Monsanto Co	1,455	78,963			
Neustar Inc. - Class A	4,125	94,879			
News Corp Inc	5,125	48,534			
Republic Services Inc.	3,500	103,872			
Scripps Networks Inter-CL A	235	10,000			
Viasat inc.	375	14,074			
Warner Chilcott Plc Ireland	6,875	155,748			
Willis Group Holdings Ltd	2,775	85,738			
Yahoo Inc	6,175	93,670			
SIT Mut Fds Inc. Div. Growth			1,714	25,737	24,846
Asia ex-Japan Equity			1,587	22,789	23,122
Eaton Vance Floating-rate			5,481	60,677	60,841
Gateway Fund - Y			2,006	55,552	54,389
HSBC FDS			3,382	35,005	34,836
Manged Portfolio Ser			1,685	21,278	20,915
US Fixed Inc (see A/C A86523007)				453,419	452,543
Pimpco Emerging Mkts			1,799	21,858	21,948
US All Cap Equity (see A/C A86487005)				1,179,403	1,147,987
EAFE Equity (see A/C A86490009)				625,823	636,008
Partnership-Primus High Yield Bond				1,005,271	1,005,271
Total stocks		1,821,129		3,506,814	3,482,707

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los \$	Long Term Gain/Los \$	Total Realized G/L	Quantity
A86487005	ONEOK INC @ 42.196 2,784.94 BROKERAGE 1.98 TAX &/OR SEC 06 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/31/12	10/18/2012	12/31/2012	2,782.90	3,217.84	-434.94		-434.94	-66.00
A86487005	ONEOK INC @ 42.2097 2,363.74 BROKERAGE 1.68 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/28/12	10/18/2012	12/28/2012	2,362.01	2,730.29	-368.28		-368.28	-56.00
A86487005	ONEOK INC @ 41.9522 1,258.57 BROKERAGE 0.90 TAX &/OR SEC .03 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/27/12	10/18/2012	12/27/2012	1,257.64	1,462.66	-205.02		-205.02	-30.00
A86487005	CINEMARK HOLDINGS INC @ 25.54 25.54 BROKERAGE 0.02 TAX &/OR SEC .01 JEFFERIES & COMPANY TRADE DATE 12/26/12	10/18/2012	12/26/2012	25.51	24.57	0.94		0.94	-1.00
A86487005	ONEOK INC @ 42.8681 2,872.16 BROKERAGE 2.01 TAX &/OR SEC 06 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/26/12	10/18/2012	12/26/2012	2,870.09	3,266.60	-396.51		-396.51	-67.00

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los s	Long Term Gain/Los s	Total Realized G/L	Quantity
A86487005	CINEMARK HOLDINGS INC @ 25.4618 636.55 BROKERAGE 0.50 TAX &/OR SEC .01 MORGAN STANLEY & CO INCORPORATED TRADE DATE 12/21/12	10/18/2012	12/21/2012	636.04	614.20	21.84		21.84	-25.00
A86487005	CINEMARK HOLDINGS INC @ 25.5264 1,327.37 BROKERAGE 1.04 TAX &/OR SEC .03 JEFFERIES & COMPANY TRADE DATE 12/24/12	10/18/2012	12/24/2012	1,326.30	1,277.53	48.77		48.77	-52.00
A86487005	ONEOK INC @ 43.1549 474.70 BROKERAGE 0.33 TAX &/OR SEC .01 CITIGROUP GLOBAL MKTS INC TRADE DATE 12/24/12	10/18/2012	12/24/2012	474.36	536.31	-61.95		-61.95	-11.00
A86487005	ONEOK INC @ 43.5354 1,262.53 BROKERAGE 0.87 TAX &/OR SEC .03 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/21/12	10/18/2012	12/21/2012	1,261.63	1,413.90	-152.27		-152.27	-29.00
A86487005	CINEMARK HOLDINGS INC @ 25.4465 1,221.43 BROKERAGE 0.96 TAX &/OR SEC .03 JEFFERIES & COMPANY TRADE DATE 12/21/12	10/18/2012	12/21/2012	1,220.44	1,179.25	41.19		41.19	-48.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
A86487005	CINEMARK HOLDINGS INC @ 26.2027 2,043.81 BROKERAGE 1.56 TAX &/OR SEC .05 JEFFERIES & COMPANY TRADE DATE 12/20/12	10/18/2012	12/20/2012	2,042.20	1,916.29	125.91		125.91	-78.00
A86487005	SEMPRA ENERGY @ 72.0902 6,199.76 BROKERAGE 2.58 TAX &/OR SEC .14 DEUTSCHE BANC ALEX BROWN INC TRADE DATE 12/20/12	10/18/2012	12/20/2012	6,197.04	5,890.15	306.89		306.89	-86.00
A86487005	ONEOK INC @ 43.6617 4,846.45 BROKERAGE 3.33 TAX &/OR SEC .11 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/20/12	10/18/2012	12/20/2012	4,843.01	5,411.83	-568.82		-568.82	-111.00
A86487005	SEMPRA ENERGY @ 72.11 1,081.65 BROKERAGE 0.30 TAX &/OR SEC .02 LIQUIDNET INC TRADE DATE 12/20/12	10/18/2012	12/20/2012	1,081.33	1,027.35	53.98		53.98	-15.00
A86487005	SEMPRA ENERGY @ 72.4064 5,140.85 BROKERAGE 1.42 TAX &/OR SEC .12 LIQUIDNET INC TRADE DATE 12/19/12	10/18/2012	12/19/2012	5,139.31	4,862.80	276.51		276.51	-71.00

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los	Long Term Gain/Los	Total Realized G/L	Quantity
A86487005	ONEOK INC @ 43.639 785.50 BROKERAGE 0.36 TAX &/OR SEC .02 MORGAN STANLEY & CO. INCORPORATED TRADE DATE 12/19/12	10/18/2012	12/19/2012	785.12	877.59	-92.47		-92.47	-18.00
A86487005	SEMPRA ENERGY @ 72.4953 724.95 BROKERAGE 0.20 TAX &/OR SEC .02 BEAL MR & COMPANY TRADE DATE 12/19/12	10/18/2012	12/19/2012	724.73	684.90	39.83		39.83	-10.00
A86487005	CINEMARK HOLDINGS INC @ 26.109 417.74 BROKERAGE 0.32 TAX &/OR SEC .01 MORGAN STANLEY & CO. INCORPORATED TRADE DATE 12/19/12	10/18/2012	12/19/2012	417.41	393.08	24.33		24.33	-16.00
A86487005	CINEMARK HOLDINGS INC @ 26.0372 885.26 BROKERAGE 0.68 TAX &/OR SEC .02 JEFFERIES & COMPANY TRADE DATE 12/19/12	10/18/2012	12/19/2012	884.56	835.31	49.25		49.25	-34.00
A86487005	GENUINE PARTS CO @ 63.4376 507.50 BROKERAGE 0.16 TAX &/OR SEC .01 MORGAN STANLEY & CO. INCORPORATED TRADE DATE 12/18/12	10/18/2012	12/18/2012	507.33	502.83	4.50		4.50	-8.00

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los s	Long Term Gain/Los s	Total Realized G/L	Quantity
A86487005	GENUINE PARTS CO @ 63 5519 2,287.87 BROKERAGE 0.72 TAX &/OR SEC .05 MORGAN STANLEY & CO. INCORPORATED TRADE DATE 12/18/12	10/18/2012	12/18/2012	2,287.10	2,262.73	24.37		24.37	-36.00
A86487005	GENUINE PARTS CO @ 63.2276 2,023.28 BROKERAGE 0.64 TAX &/OR SEC .05 JEFFERIES & COMPANY TRADE DATE 12/17/12	10/18/2012	12/17/2012	2,022.59	2,011.32	11.27		11.27	-32.00
A86487005	GENUINE PARTS CO @ 63 4009 2,028.83 BROKERAGE 0.64 TAX &/OR SEC .05 UBS SECURITIES LLC TRADE DATE 12/17/12	10/18/2012	12/17/2012	2,028.14	2,011.31	16.83		16.83	-32.00
A86487005	GENUINE PARTS CO @ 63.0348 756.42 BROKERAGE 0.24 TAX &/OR SEC .02 WEEDEN AND CO TRADE DATE 12/14/12	10/18/2012	12/14/2012	756.16	754.24	1.92		1.92	-12.00
A86487005	GENUINE PARTS CO @ 62.93 377.58 BROKERAGE 0.12 TAX &/OR SEC .01 LIQUIDNET INC TRADE DATE 12/14/12	10/18/2012	12/14/2012	377.45	377.12	0.33		0.33	-6.00

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los \$	Long Term Gain/Los \$	Total Realized G/L	Quantity
A86487005	GENUINE PARTS CO @ 63.4117 63.41 BROKERAGE 0.02 TAX &/OR SEC 01 JEFFERIES & COMPANY TRADE DATE 12/13/12	10/18/2012	12/13/2012	63.38	62.85	0.53		0.53	-1.00
A86487005	GENUINE PARTS CO @ 63.2287 2,023.32 BROKERAGE 0.64 TAX &/OR SEC 05 WEEDEN AND CO TRADE DATE 12/13/12	10/18/2012	12/13/2012	2,022.63	2,011.31	11.32		11.32	-32.00
A86487005	GENUINE PARTS CO @ 63.415 3,551.24 BROKERAGE 1.12 TAX &/OR SEC .08 LIQUIDNET INC TRADE DATE 12/13/12	10/18/2012	12/13/2012	3,550.04	3,519.80	30.24		30.24	-56.00
A86487005	GENUINE PARTS CO @ 63.6128 63.61 BROKERAGE 0.02 TAX &/OR SEC .01 JEFFERIES & COMPANY TRADE DATE 12/12/12	10/18/2012	12/12/2012	63.58	62.85	0.73		0.73	-1.00
A86487005	GENUINE PARTS CO @ 63.4831 2,031.46 BROKERAGE 0.96 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/12/12	10/18/2012	12/12/2012	2,030.45	2,011.31	19.14		19.14	-32.00

Account Number	Description	Acquired/Open Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
A86487005	GENUINE PARTS CO @ 63.258 3,795.48 BROKERAGE 1.20 TAX & OR SEC .09 WEEDEN AND CO TRADE DATE 12/12/12	10/18/2012	12/12/2012	3,794.19	3,771.21	22.98		22.98	-60.00
A86487005	GENUINE PARTS CO @ 63.5778 2,988.16 BROKERAGE 1.41 TAX & OR SEC .07 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/11/12	10/18/2012	12/11/2012	2,986.68	2,954.11	32.57		32.57	-47.00
A86487005	MC DONALDS CORP @ 89.2194 3,122.68 BROKERAGE 0.70 TAX & OR SEC .07 SJ LEVINSON & SONS LLC TRADE DATE 12/11/12	10/18/2012	12/11/2012	3,121.91	3,256.05	-134.14		-134.14	-35.00
A86487005	GENUINE PARTS CO @ 63.7141 3,121.99 BROKERAGE 1.47 TAX & OR SEC .07 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 12/10/12	10/18/2012	12/10/2012	3,120.45	3,079.82	40.63		40.63	-49.00
A86487005	MC DONALDS CORP @ 89.598 8,870.20 BROKERAGE 1.98 TAX & OR SEC .20 SJ LEVINSON & SONS LLC TRADE DATE 12/10/12	10/18/2012	12/10/2012	8,868.02	9,209.97	-341.95		-341.95	-99.00

Account Number	Description	Acquired/Open Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los	Long Term Gain/Los	Total Realized G/L	Quantity
A86487005	LORILLARD INC @ 119.9647 3,598.94 BROKERAGE 0.60 TAX &/OR SEC 08 INVESTMENT TECHNOLOGY GROUP (ITG) TRADE DATE 12/06/12	10/18/2012	12/06/2012	3,598.26	3,545.05	53.21		53.21	-30.00
A86487005	E I DU PONT DE NEMOURS & CO @ 41.8552 502.26 BROKERAGE 0.24 TAX &/OR SEC .01 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 11/16/12	10/18/2012	11/16/2012	502.01	602.63	-100.62		-100.62	-12.00
A86487005	E I DU PONT DE NEMOURS & CO @ 41.8709 879.29 BROKERAGE 0.42 TAX &/OR SEC .02 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 11/16/12	10/18/2012	11/16/2012	878.85	1,054.61	-175.76		-175.76	-21.00
A86487005	E I DU PONT DE NEMOURS & CO @ 42.1561 1,854.87 BROKERAGE 0.88 TAX &/OR SEC .04 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 11/15/12	10/18/2012	11/15/2012	1,853.95	2,209.65	-355.70		-355.70	-44.00
A86487005	E I DU PONT DE NEMOURS & CO @ 42.0875 6,734.00 BROKERAGE 3.20 TAX &/OR SEC .15 SJ LEVINSON & SONS LLC TRADE DATE 11/15/12	10/18/2012	11/15/2012	6,730.65	8,035.08	-1,304.43		-1,304.43	-160.00

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los	Long Term Gain/Los	Total Realized G/L	Quantity
A86487005	MC DONALDS CORP @ 86.4419 3,630.56 BROKERAGE 0.84 TAX &/OR SEC .08 SANFORD C. BERNSTEIN & CO.INC.(SCB TRADE DATE 11/08/12	10/18/2012	11/08/2012	3,629.64	3,907.26	-277.62		-277.62	-42.00
A86487005	E I DU PONT DE NEMOURS & CO @ 45.1093 1,578.83 BROKERAGE 0.70 TAX &/OR SEC .04 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 10/25/12	10/18/2012	10/25/2012	1,578.09	1,757.68	-179.59		-179.59	-35.00
A86487005	E I DU PONT DE NEMOURS & CO @ 44.908 3,727.36 BROKERAGE 1.66 TAX &/OR SEC .08 GOLDMAN SACHS & CO. TRADE DATE 10/24/12	10/18/2012	10/24/2012	3,725.62	4,168.20	-442.58		-442.58	-83.00
A86487005	E I DU PONT DE NEMOURS & CO @ 44.882 3,500.80 BROKERAGE 1.56 TAX &/OR SEC .08 SJ LEVINSON & SONS LLC TRADE DATE 10/24/12	10/18/2012	10/24/2012	3,499.16	3,917.10	-417.94		-417.94	-78.00
A86487005	E I DU PONT DE NEMOURS & CO @ 44.9727 1,484.10 BROKERAGE 0.99 TAX &/OR SEC .03 PERSHING & COMPANY TRADE DATE 10/24/12	10/18/2012	10/24/2012	1,483.08	1,657.24	-174.16		-174.16	-33.00

Account Number	Description	Acquired/O pen Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Los	Long Term Gain/Los	Total Realized G/L	Quantity
A86487005	E I DU PONT DE NEMOURS & CO @ 44.915 808.47 BROKERAGE 0.36 TAX & OR SEC .02 LIQUIDNET INC TRADE DATE 10/24/12	10/18/2012	10/24/2012	808.09	903.95	-95.86		-95.86	-18.00
A86487005	LORILLARD INC @ 117.3405 7,040.43 BROKERAGE 1.80 TAX & OR SEC .16 MORGAN STANLEY & CO. INCORPORATED TRADE DATE 10/19/12	10/18/2012	10/19/2012	7,038.47	7,090.09	-51.62		-51.62	-60.00
Total				109,257.60	114,329.82	-5,072.22	0.00	-5,072.22	

Account Number	Description	Acquired/Open Date	Sold/Close Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
A86523007	HARBOR HIGH YIELD BOND FUND - INS	10/18/2012	12/04/2012	5,328.00	5,299.60	28.40		28.40	-473.18
A86523007	SIT MUT FDS INC DIVIDEND GRWTH	10/18/2012	12/04/2012	3,589.00	3,679.64	-90.64		-90.64	-244.98
A86523007	GATEWAY FUND - Y	10/18/2012	12/04/2012	7,855.00	7,996.51	-141.51		-141.51	-288.79
A86523007	MATTHEWS ASIA DIVIDEND INSTITUTIONAL	10/18/2012	12/04/2012	3,267.00	3,273.84	-6.84		-6.84	-227.98
A86523007	MANAGED PORTFOLIO SER TORTOIS INSTL	10/18/2012	12/04/2012	3,071.00	3,216.65	-145.65		-145.65	-246.87
A86523007	HARBOR CONVERTIBLE SEC FD-IS	10/18/2012	12/04/2012	1,979.00	1,982.94	-3.94		-3.94	-196.72
A86523007	DOUBLELINE FDS TR TTL RTN BD I	10/18/2012	12/04/2012	15,036.00	15,049.22	-13.22		-13.22	-1,322.43
A86523007	COHEN & STEERS PREFERRED SECURITIES AND INCOME FUND INC	10/18/2012	12/04/2012	4,171.00	4,198.02	-27.02		-27.02	-313.37

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
A86523007	JPM TRI MLT SC INCM SL JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @	10/18/2012	12/04/2012	9,986.00	10,025.09	-39.09		-39.09	-977.10
A86523007	HSBC FDS TOTAL RETURN I	10/18/2012	12/04/2012	5,000.00	5,009.68	-9.68		-9.68	-484.03
A86523007	BLACKROCK HIGH YIELD BOND	10/18/2012	12/04/2012	5,018.00	5,030.52	-12.52		-12.52	-625.69
A86523007	JPM SHORT DURATION BOND FD - SEL FUND 3133 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING	10/18/2012	11/15/2012	8,252.00	8,244.51	7.49		7.49	-748.82
Total				72,552.00	73,006.22	-454.22	0.00	-454.22	

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Total Realized G/L %	Quantity
A86490009	COMPANHIA ENERGETICA DE MINAS GERAISSPONS ADR REP NON VOTING PFD @ 11.7248	10/18/2012	11/29/2012	6,081.16	6,397.35	-316.19		-316.19	-4.94	-520.00
A86490009	TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SPONS ADR @ 17.051 2,046.12	10/18/2012	11/29/2012	2,042.47	1,870.89	171.78		171.78	9.18	-120.00
	Total			8,123.63	8,268.04	-144.41	0.00	-144.41		

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	VISTEON CORP 45 0845 8,115.21 BROKERAGE 10.80 TAX &/OR SEC 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/16/2012 10/10/2012	3,151.64	3,789.88	-638.24		-638.24	-70.00
Q72146006	VISTEON CORP 45.0845 8,115.21 BROKERAGE 10.80 TAX &/OR SEC 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/17/2012 10/10/2012	4,952.58	6,038.53	-1,083.95		-1,083.95	-110.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	10/17/2011 10/10/2012	7,729.62	7,416.84	312.78		312.78	-200.00
Q72146006	VIASAT INC 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	10/18/2011 10/10/2012	1,932.40	1,883.38	49.04		49.04	-50.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	10/19/2011 10/10/2012	4,831.01	4,773.66	57.35		57.35	-125.00
Q72146006	VIASAT INC 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	01/09/2012 10/10/2012	2,705.37	3,192.18	-486.81		-486.81	-70.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	01/10/2012 10/10/2012	6,570.17	7,986.11	-1,415.94		-1,415.94	-170.00
Q72146006	VIASAT INC 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	01/12/2012 10/10/2012	2,705.37	3,342.49	-637.12		-637.12	-70.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/08/2012 10/10/2012	8,695.82	10,018.53	-1,322.71		-1,322.71	-225.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/14/2012 10/10/2012	966.20	1,128.06	-161.86		-161.86	-25.00
Q72146006	VIASAT INC 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/15/2012 10/10/2012	1,932.40	2,260.22	-327.82		-327.82	-50.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/21/2012 10/10/2012	966.20	1,130.33	-164.13		-164.13	-25.00
Q72146006	VIASAT INC 38 70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/22/2012 10/10/2012	9,662.02	11,303.98	-1,641.96		-1,641.96	-250.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/23/2012	10/10/2012	3,091.85	3,614.10	-522.25		-522.25	-80.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/10/2012	10/10/2012	966.20	1,149.57	-183.37		-183.37	-25.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/11/2012	10/10/2012	1,932.40	2,297.35	-364.95		-364.95	-50.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/18/2012	10/10/2012	5,797.21	6,299.55	-502.34		-502.34	-150.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/21/2012	10/10/2012	1,932.40	2,102.89	-170.49		-170.49	-50.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/07/2012	10/10/2012	6,783.41	6,687.47	75.94		75.94	-175.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/06/2012	10/10/2012	2,898.61	2,865.11	33.50		33.50	-75.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/12/2012	10/10/2012	2,898.62	2,641.78	256.84		256.84	-75.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/15/2012	10/10/2012	3,864.81	3,614.31	250.50		250.50	-100.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/18/2012	10/10/2012	2,898.61	2,731.04	167.57		167.57	-75.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/19/2012	10/10/2012	1,932.40	1,827.28	105.12		105.12	-50.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/21/2012	10/10/2012	3,864.81	3,630.29	234.52		234.52	-100.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/26/2012	10/10/2012	966.20	899.77	66.43		66.43	-25.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/28/2012	10/10/2012	3,864.81	3,802.72	262.09		262.09	-100.00
Q72146006	VIASAT INC @ 38.70895 96,385.29 BROKERAGE 149.40 TAX &/OR SEC 2.16 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/12/2012	10/10/2012	3,864.81	3,793.84	70.97		70.97	-100.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/26/2010	10/10/2012	9,490.11	9,432.54		57.57	57.57	-600.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/26/2010	10/10/2012	18,980.21	18,812.28		167.93	167.93	-1,200.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/26/2010	10/10/2012	14,235.16	14,129.37		105.79	105.79	-900.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/29/2010	10/10/2012	3,163.37	2,858.08		305.29	305.29	-200.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/21/2010	10/10/2012	7,117.58	6,404.63		712.95	712.95	-450.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/21/2010	10/10/2012	1,581.88	1,386.82		194.86	194.86	-100.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/21/2010	10/10/2012	4,745.05	4,207.98		537.07	537.07	-300.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/21/2010	10/10/2012	3,163.37	2,786.94		376.43	376.43	-200.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	09/15/2010	10/10/2012	11,071.79	9,840.88		1,230.91	1,230.91	-700.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/14/2010	10/10/2012	3,163.37	3,188.32		-24.95	-24.95	-200.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/14/2010	10/10/2012	3,163.37	3,185.40		-22.03	-22.03	-200.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	YAHOO INC @ 15 8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/21/2010	10/10/2012	3,511.34	3,532.13		-20.79	-20.79	-222.00
Q72146006	YAHOO INC @ 15 8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/21/2010	10/10/2012	5,978.77	6,008.95		-30.18	-30.18	-378.00
Q72146006	YAHOO INC @ 15 8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/16/2011	10/10/2012	4,349.63	4,138.12		211.51	211.51	-275.00
Q72146006	YAHOO INC @ 15.8772 98,041.71 BROKERAGE 370.50 TAX &/OR SEC 2.20 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/17/2011	10/10/2012	3,954.21	3,757.50		196.71	196.71	-250.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/03/2009	10/10/2012	7,089.84	4,620.20		2,469.64	2,469.64	-100.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/19/2011	10/10/2012	17,724.60	13,431.66	4,292.92		4,292.92	-250.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/21/2011	10/10/2012	19,497.06	14,840.29	4,656.77		4,656.77	-275.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/04/2009	10/10/2012	7,089.84	4,584.12		2,505.72	2,505.72	-100.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/11/2009	10/10/2012	7,089.84	4,538.20		2,551.64	2,551.64	-100.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/16/2009	10/10/2012	7,089.85	4,254.90		2,834.95	2,834.95	-100.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/16/2009	10/10/2012	28,359.36	17,039.80		11,319.56	11,319.56	-400.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/07/2010	10/10/2012	8,862.30	6,115.08		2,747.22	2,747.22	-125.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/14/2010	10/10/2012	10,634.76	7,186.76		3,449.01	3,449.01	-150.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/14/2010	10/10/2012	3,544.92	2,395.50		1,149.42	1,149.42	-50.00
Q72146006	ABBOTT LABORATORIES @ 70.96 134,824.00 BROKERAGE 114.00 TAX &/OR SEC 3.03 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/19/2010	10/10/2012	17,724.80	11,976.35		5,748.25	5,748.25	-250.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/07/2011	10/10/2012	12,575.75	9,760.00		2,815.75	2,815.75	-400.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/07/2011	10/10/2012	2,357.95	1,833.68		524.27	524.27	-75.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/10/2011	10/10/2012	3,143.93	2,480.67	663.26		663.26	-100.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/04/2011	10/10/2012	2,357.95	2,132.14	225.81		225.81	-75.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/07/2011	10/10/2012	785.98	709.29	76.69		76.69	-25.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/08/2011	10/10/2012	1,571.96	1,414.39	157.57		157.57	-50.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/09/2011	10/10/2012	785.98	700.97	85.01		85.01	-25.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/10/2011	10/10/2012	785.98	703.14	82.84		82.84	-25.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/11/2011	10/10/2012	785.98	708.23	77.75		77.75	-25.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/29/2011	10/10/2012	2,357.95	2,124.72	233.23		233.23	-75.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/30/2011	10/10/2012	785.98	723.98	62.00		62.00	-25.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/01/2011	10/10/2012	785.98	719.62	66.36		66.36	-25.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/05/2011	10/10/2012	785.98	726.21	59.77		59.77	-25.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/13/2011	10/10/2012	1,571.96	1,467.85	104.11		104.11	-50.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/04/2012	10/10/2012	7,859.82	7,213.68	646.14		646.14	-250.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/29/2012	10/10/2012	7,859.82	8,090.53	-230.71		-230.71	-250.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/02/2012	10/10/2012	1,571.96	1,616.13	-44.17		-44.17	-50.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/03/2012	10/10/2012	1,571.96	1,645.92	-73.96		-73.96	-50.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/05/2012	10/10/2012	2,357.95	2,485.88	-127.93		-127.93	-75.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/06/2012	10/10/2012	3,143.93	3,405.46	-261.53		-261.53	-100.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/11/2012	10/10/2012	11,789.73	11,606.25	183.48		183.48	-375.00
Q72146006	GOLAR LNG PARTNERS LP @ 31.50 75,600.00 BROKERAGE 144.00 TAX &/OR SEC 1.70 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/11/2012	10/10/2012	7,859.82	7,642.55	217.27		217.27	-250.00
Q72146006	ALERE INC @ 21.01 03/04/2010 10/10/2012 148,645.75 BROKERAGE 424.50 TAX &/OR SEC 3.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/04/2010	10/10/2012	1,047.48	1,980.32		-932.84	-932.84	-50.00
Q72146006	ALERE INC @ 21.01 03/04/2010 10/10/2012 148,645.75 BROKERAGE 424.50 TAX &/OR SEC 3.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/04/2010	10/10/2012	4,189.91	7,923.68		-3,733.77	-3,733.77	-200.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	03/04/2010 10/10/2012	7,332.34	13,981.87		-6,649.53	-6,649.53	-350.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	06/28/2011 10/10/2012	4,189.91	6,948.76		-2,759.85	-2,759.85	-200.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	06/29/2011 10/10/2012	1,047.48	1,769.66		-722.18	-722.18	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	01/18/2012 10/10/2012	523.74	550.71	-26.97		-26.97	-25.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	01/25/2012 10/10/2012	3,666.17	3,955.32	-289.15		-289.15	-175.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	01/30/2012 10/10/2012	1,047.48	1,198.99	-151.51		-151.51	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	02/29/2012 10/10/2012	16,759.62	20,599.04	-3,839.42		-3,839.42	-800.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	03/26/2010 10/10/2012	523.74	998.71		-474.97	-474.97	-25.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	03/31/2010 10/10/2012	4,189.91	7,812.02		-3,622.11	-3,622.11	-200.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	04/06/2010 10/10/2012	4,189.91	7,813.30		-3,623.39	-3,623.39	-200.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	04/14/2010 10/10/2012	5,237.38	9,491.53		-4,254.15	-4,254.15	-250.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	04/16/2010 10/10/2012	1,047.48	1,930.32		-882.84	-882.84	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	04/19/2010 10/10/2012	1,047.48	1,919.57		-872.09	-872.09	-50.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	04/20/2010 10/10/2012	2,094.95	3,834.88		-1,739.93	-1,739.93	-100.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	04/26/2010 10/10/2012	3,142.43	5,559.48		-2,417.05	-2,417.05	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	06/10/2010 10/10/2012	2,094.95	2,675.25		-580.30	-580.30	-100.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	07/09/2010 10/10/2012	7,332.34	9,311.72		-1,979.38	-1,979.38	-350.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	07/16/2010 10/10/2012	1,047.48	1,357.84		-310.36	-310.36	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	08/03/2010 10/10/2012	1,047.48	1,444.92		-397.44	-397.44	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	08/03/2010 10/10/2012	3,142.43	4,343.31		-1,200.88	-1,200.88	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	08/13/2010 10/10/2012	4,189.91	5,695.12		-1,505.21	-1,505.21	-200.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	10/08/2010 10/10/2012	1,047.48	1,526.56		-479.08	-479.08	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	10/22/2010 10/10/2012	11,522.24	16,389.12		-4,866.88	-4,866.88	-550.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	10/28/2010 10/10/2012	2,094.95	2,904.20		-809.25	-809.25	-100.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	10/29/2010 10/10/2012	3,142.43	4,374.30		-1,231.87	-1,231.87	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	10/29/2010 10/10/2012	2,094.95	2,944.02		-849.07	-849.07	-100.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	11/02/2010 10/10/2012	1,047.48	1,401.37		-353.89	-353.89	-50.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	11/19/2010 10/10/2012	2,094.95	3,013.41		-918.46	-918.46	-100.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	11/23/2010 10/10/2012	3,142.43	4,675.26		-1,532.83	-1,532.83	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	11/23/2010 10/10/2012	3,142.43	4,649.18		-1,506.75	-1,506.75	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	11/23/2010 10/10/2012	3,142.43	4,680.00		-1,537.57	-1,537.57	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/04/2012 10/10/2012	6,284.86	6,580.44	-295.58		-295.58	-300.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/07/2012 10/10/2012	2,618.69	2,786.01	-167.32		-167.32	-125.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/11/2012 10/10/2012	3,666.17	3,316.30	349.87		349.87	-175.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/11/2012 10/10/2012	2,618.64	2,282.86	335.78		335.78	-125.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/11/2012 10/10/2012	3,142.43	2,816.79	325.64		325.64	-150.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/14/2012 10/10/2012	4,713.64	4,163.76	549.88		549.88	-225.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/16/2012 10/10/2012	6,808.60	5,949.61	858.99		858.99	-325.00
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21.01	05/17/2012 10/10/2012	523.74	459.46	64.28		64.28	-25.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	ALERE INC 148,645.75 BROKERAGE 424.50 TAX &/OR SEC J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 21 01	05/18/2012 10/10/2012	5,237.38	4,658.88	578.50		578.50	-250.00
Q72146006	SEADRILL LIMITED 39,370.76 40,355.03 BROKERAGE 61.50 TAX &/OR SEC .91 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	07/12/2012 10/10/2012	21,620.43	19,808.09	1,812.34		1,812.34	-550.00
Q72146006	SEADRILL LIMITED 39,370.76 40,355.03 BROKERAGE 61.50 TAX &/OR SEC .91 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	07/13/2012 10/10/2012	18,672.19	17,445.42	1,226.77		1,226.77	-475.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.73 67,950.50 BROKERAGE 111.00 TAX &/OR SEC 1.53 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12		07/08/2008 10/10/2012	20,168.05	16,773.46		3,394.59	3,394.59	-550.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.73 67,950.50 BROKERAGE 111.00 TAX &/OR SEC 1.53 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12		10/10/2008 10/10/2012	25,668.42	17,149.02		8,519.40	8,519.40	-700.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.73 67,950.50 BROKERAGE 111.00 TAX &/OR SEC 1.53 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12		07/30/2009 10/10/2012	22,001.50	15,016.26		6,985.24	6,985.24	-600.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	01/18/2012 10/10/2012	30,047.32	32,035.84	-1,988.52		-1,988.52	-800.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	07/18/2006 10/10/2012	51,718.96	18,048.95		33,670.01	33,670.01	-1,377.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	01/19/2012 10/10/2012	14,084.88	15,506.44	-1,421.76		-1,421.76	-375.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	03/14/2012 10/10/2012	4,694.89	4,933.01	-238.12		-238.12	-125.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	05/03/2012 10/10/2012	25,352.43	23,248.35	2,104.08		2,104.08	-675.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	05/03/2012 10/10/2012	2,816.94	2,627.90	189.04		189.04	-75.00
Q72146006	GOLAR LNG LTD 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	05/04/2012 10/10/2012	2,816.94	2,578.38	238.56		238.56	-75.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/06/2006	10/10/2012	15,023.66	5,080.00		9,943.66	9,943.66	-400.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/10/2006	10/10/2012	18,779.58	6,920.00		12,459.58	12,459.58	-500.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/11/2006	10/10/2012	15,023.66	5,164.68		9,858.98	9,858.98	-400.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/13/2006	10/10/2012	11,267.75	3,930.39		7,337.36	7,337.36	-300.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/16/2006	10/10/2012	11,267.75	3,918.63		7,349.12	7,349.12	-300.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/18/2006	10/10/2012	15,023.66	5,226.66		9,797.10	9,797.10	-400.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/25/2006	10/10/2012	3,755.92	1,292.80		2,463.12	2,463.12	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/28/2006	10/10/2012	15,023.66	5,222.44		9,801.22	9,801.22	-400.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/19/2006	10/10/2012	3,755.92	1,282.00		2,473.92	2,473.92	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/26/2006	10/10/2012	3,755.92	1,275.68		2,480.24	2,480.24	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/29/2006	10/10/2012	3,755.92	1,282.00		2,473.92	2,473.92	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/03/2007	10/10/2012	3,755.92	1,275.00		2,480.92	2,480.92	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/05/2007	10/10/2012	3,755.92	1,250.00		2,505.92	2,505.92	-100.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/05/2007	10/10/2012	3,755.92	1,249.00		2,506.92	2,506.92	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/05/2007	10/10/2012	3,755.92	1,249.91		2,506.01	2,506.01	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/08/2007	10/10/2012	3,755.92	1,250.00		2,505.92	2,505.92	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/08/2007	10/10/2012	3,755.92	1,250.00		2,505.92	2,505.92	-100.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/09/2007	10/10/2012	11,287.75	3,735.00		7,532.75	7,532.75	-300.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/12/2007	10/10/2012	7,511.80	2,446.16		5,065.64	5,065.64	-200.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/16/2007	10/10/2012	7,511.83	2,477.34		5,034.49	5,034.49	-200.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/31/2007	10/10/2012	30,047.32	10,083.20		19,964.12	19,964.12	-800.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/31/2007	10/10/2012	7,511.83	2,497.76		5,014.07	5,014.07	-200.00
Q72146006	GOLAR LNG LTD @ 37.62 342,417.24 BROKERAGE 546.12 TAX &/OR SEC 7.68 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/26/2007	10/10/2012	7,511.83	2,618.88		4,892.95	4,892.95	-200.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13 13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/19/2009	10/10/2012	11,764.25	20,025.00		-8,260.75	-8,260.75	-900.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13 13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/20/2009	10/10/2012	12,417.82	21,489.86		-9,072.04	-9,072.04	-950.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13 13168 83,714.46 BROKERAGE 382.60 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/03/2010	10/10/2012	14,378.52	25,407.69		-11,029.17	-11,029.17	-1,100.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/04/2010	10/10/2012	653.57	1,110.66		-457.09	-457.09	-50.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/04/2010	10/10/2012	3,921.42	6,852.27		-2,930.85	-2,930.85	-300.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/08/2010	10/10/2012	3,921.42	6,682.35		-2,760.93	-2,760.93	-300.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/09/2010	10/10/2012	2,614.28	4,554.22		-1,939.94	-1,939.94	-200.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/02/2010	10/10/2012	653.57	1,102.06		-448.49	-448.49	-50.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/06/2010	10/10/2012	1,960.71	3,366.45		-1,405.74	-1,405.74	-150.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/06/2010	10/10/2012	653.57	1,124.65		-471.08	-471.08	-50.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/07/2010	10/10/2012	1,307.14	2,241.80		-934.66	-934.66	-100.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/11/2011	10/10/2012	6,862.48	12,206.25		-5,343.77	-5,343.77	-525.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	09/30/2010	10/10/2012	18,953.48	31,378.00		-12,424.52	-12,424.52	-1,450.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/01/2010	10/10/2012	1,307.14	2,203.99		-896.85	-896.85	-100.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 13.13168 83,714.46 BROKERAGE 382.50 TAX &/OR SEC 1.88 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/05/2010	10/10/2012	1,960.71	3,570.97		-1,610.26	-1,610.26	-150.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/04/2006	10/10/2012	63,883.57	33,335.00		30,548.57	30,548.57	-1,500.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/05/2006	10/10/2012	38,330.14	20,046.63		18,283.51	18,283.51	-600.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/15/2006	10/10/2012	16,609.73	8,921.29		7,688.44	7,688.44	-390.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/18/2006	10/10/2012	25,553.43	13,672.90		11,880.53	11,880.53	-600.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/26/2007	10/10/2012	51,106.84	25,685.52		25,421.32	25,421.32	-1,200.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/24/2011	10/10/2012	9,582.53	7,210.58		2,371.95	2,371.95	-225.00
Q72146006	ARCH CAPITAL GROUP LTD @ 42.65 206,426.00 BROKERAGE 290.40 TAX &/OR SEC 4.63 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/30/2011	10/10/2012	1,064.73	801.01		263.72	263.72	-25.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/03/2010	10/10/2012	7,588.83	6,642.20		946.63	946.63	-100.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/03/2010	10/10/2012	7,588.84	6,560.37		1,028.47	1,028.47	-100.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/28/2010	10/10/2012	22,766.49	22,481.19		285.30	285.30	-300.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/20/2010	10/10/2012	6,829.95	6,743.01		86.94	86.94	-90.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/21/2010	10/10/2012	6,071.06	5,987.65		83.41	83.41	-80.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/26/2010	10/10/2012	3,794.41	3,479.32		315.09	315.09	-50.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/08/2011	10/10/2012	3,794.41	3,532.09		262.32	262.32	-50.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 75.95 59,241.00 BROKERAGE 46.80 TAX &/OR SEC 1.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	09/26/2011	10/10/2012	758.88	669.17		89.71	89.71	-10.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/06/2010	10/10/2012	7,941.82	4,633.02		3,308.80	3,308.80	-200.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/07/2010	10/10/2012	3,970.91	2,269.20		1,701.71	1,701.71	-100.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/09/2008	10/10/2012	7,941.82	4,788.88		3,152.94	3,152.94	-200.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/21/2010	10/10/2012	11,912.73	6,445.35		5,467.38	5,467.38	-300.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/23/2008	10/10/2012	7,941.82	4,481.82		3,460.00	3,460.00	-200.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/23/2008	10/10/2012	3,970.91	2,242.16		1,728.75	1,728.75	-100.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/27/2008	10/10/2012	3,970.91	2,268.06		1,702.85	1,702.85	-100.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/27/2008	10/10/2012	59,563.66	33,267.60		26,296.06	26,296.06	-1,500.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/28/2008	10/10/2012	27,796.38	15,659.98		12,136.40	12,136.40	-700.00
Q72146006	NEUSTAR INC-CLASS A @ 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/24/2010	10/10/2012	9,927.27	5,337.43		4,589.84	4,589.84	-250.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/15/2011	10/10/2012	3,970.91	2,505.19		1,465.72	1,465.72	-100.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/16/2011	10/10/2012	3,970.91	2,505.82		1,465.09	1,465.09	-100.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/17/2011	10/10/2012	3,970.91	2,530.34		1,440.57	1,440.57	-100.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 12/22/2011	10/10/2012	2,978.18	2,557.22	420.96		420.96	-75.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 12/23/2011	10/10/2012	992.73	849.39	143.34		143.34	-25.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 12/28/2011	10/10/2012	2,978.18	2,537.97	440.21		440.21	-75.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/03/2012	10/10/2012	5,559.28	5,075.21	484.07		484.07	-140.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/13/2012	10/10/2012	992.73	883.84	108.89		108.89	-25.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/14/2012	10/10/2012	992.73	887.21	105.52		105.52	-25.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/15/2012	10/10/2012	2,382.55	2,131.13	251.42		251.42	-60.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/12/2012	10/10/2012	3,970.91	3,491.13	479.78		479.78	-100.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/12/2012	10/10/2012	992.73	873.50	119.23		119.23	-25.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/13/2012	10/10/2012	4,963.64	4,366.38	597.26		597.26	-125.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/14/2012	10/10/2012	992.73	876.72	116.01		116.01	-25.00
Q72146006	NEUSTAR INC-CLASS A 39.77 192,884.50 BROKERAGE 291.00 TAX &/OR SEC 4.33 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/21/2012	10/10/2012	7,841.82	7,162.48	779.34		779.34	-200.00
Q72146006	NEWS CORP INC @ 24.64367 77,011.47 BROKERAGE 187.50 TAX &/OR SEC 1.73 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	CL A 10/10/2008	10/10/2012	62,072.37	22,136.17		39,936.20	39,936.20	-2,525.00
Q72146006	NEWS CORP INC @ 24.64367 77,011.47 BROKERAGE 187.50 TAX &/OR SEC 1.73 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	CL A 11/11/2008	10/10/2012	14,749.87	4,705.80		10,044.07	10,044.07	-600.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/11/2012	10/10/2012	3,987.10	2,883.00	1,084.10		1,084.10	-100.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/12/2012	10/10/2012	1,983.55	1,453.54	530.01		530.01	-50.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/31/2012	10/10/2012	9,917.74	7,218.55	2,699.19		2,699.19	-250.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/01/2012	10/10/2012	15,868.39	11,614.08	4,254.31		4,254.31	-400.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/02/2012	10/10/2012	5,950.65	4,354.94	1,595.71		1,595.71	-150.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/02/2012	10/10/2012	991.77	727.21	264.56		264.56	-25.00
Q72146006	MADISON SQUARE GARDEN COMPANY @ 39.73186 39,731.86 BROKERAGE 60.00 TAX &/OR SEC .89 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/03/2012	10/10/2012	991.77	732.47	259.30		259.30	-25.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 03/31/2011	10/10/2012	10,870.51	6,604.24		4,266.27	4,266.27	-275.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 04/01/2011	10/10/2012	3,952.91	2,425.94		1,526.97	1,526.97	-100.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 04/01/2011	10/10/2012	7,905.82	4,880.26		3,025.56	3,025.56	-200.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 04/04/2011	10/10/2012	4,941.14	3,035.31		1,905.83	1,905.83	-125.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 04/05/2011	10/10/2012	4,941.13	2,879.70		1,961.43	1,961.43	-125.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 04/05/2011	10/10/2012	8,694.05	5,449.70		3,444.35	3,444.35	-225.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 04/13/2011	10/10/2012	9,882.28	6,205.45		3,676.83	3,676.83	-250.00
Q72146006	MACYS INC 57,405.50 BROKERAGE 87.00 TAX &/OR SEC 1.29 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 39.59 08/17/2011	10/10/2012	5,929.37	3,679.82		2,249.55	2,249.55	-150.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/08/2009	10/10/2012	7,500.63	5,913.34		1,587.29	1,587.29	-80.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/20/2009	10/10/2012	9,375.79	7,203.99		2,171.80	2,171.80	-100.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/20/2009	10/10/2012	9,375.79	7,303.20		2,072.59	2,072.59	-100.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/20/2009	10/10/2012	9,375.79	7,275.72		2,100.07	2,100.07	-100.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/21/2009	10/10/2012	9,375.79	7,070.67		2,305.12	2,305.12	-100.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/21/2009	10/10/2012	4,687.89	3,538.20		1,149.69	1,149.69	-50.00
Q72146006	LOCKHEED MARTIN CORP @ 93.82 52,539.20 BROKERAGE 33.60 TAX &/OR SEC 1.18 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	09/08/2010	10/10/2012	2,812.74	2,070.01		742.73	742.73	-30.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/09/2012	10/10/2012	5,620.14	5,928.68	-308.54		-308.54	-750.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/10/2012	10/10/2012	10,303.58	10,842.43	-538.85		-538.85	-1,375.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/10/2012	10/10/2012	11,052.94	11,644.09	-591.15		-591.15	-1,475.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/11/2012	10/10/2012	3,934.10	4,740.07	-805.97		-805.97	-525.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/11/2012	10/10/2012	5,432.80	6,763.09	-1,330.29		-1,330.29	-725.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/11/2012	10/10/2012	2,248.06	2,836.59	-588.53		-588.53	-300.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/14/2012	10/10/2012	13,488.34	16,028.82	-2,540.48		-2,540.48	-1,800.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/14/2012	10/10/2012	13,488.34	16,034.40	-2,546.06		-2,546.06	-1,800.00
Q72146006	MONSTER WORLDWIDE INC @ 7.55389 79,502.59 BROKERAGE 631.50 TAX &/OR SEC 1.79 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/14/2012	10/10/2012	13,301.00	15,808.33	-2,507.33		-2,507.33	-1,775.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 09/28/2010	10/10/2012	13,592.69	7,235.31		6,357.38	6,357.38	-150.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 09/28/2010	10/10/2012	13,592.69	7,190.81		6,401.88	6,401.88	-150.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 09/28/2010	10/10/2012	27,185.39	14,863.59		12,321.80	12,321.80	-300.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 09/30/2010	10/10/2012	13,592.69	7,196.38		6,397.31	6,397.31	-150.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	10/06/2010 10/10/2012	11,327.25	6,148.28		5,178.97	5,178.97	-125.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	11/15/2010 10/10/2012	5,437.08	3,650.63		1,786.45	1,786.45	-60.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	11/16/2010 10/10/2012	4,530.90	2,996.61		1,534.39	1,534.39	-50.00
Q72146006	MONSANTO CO 90.68 93,853.80 BROKERAGE 62.10 TAX &/OR SEC 2.11 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	11/23/2010 10/10/2012	4,530.90	2,902.42		1,628.48	1,628.48	-50.00
Q72146006	MOLYCORP INC 11.10 11,932.50 BROKERAGE 64.50 TAX &/OR SEC 27 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/24/2012 10/10/2012	7,727.82	19,032.58	-11,304.76		-11,304.76	-700.00
Q72146006	MOLYCORP INC 11.10 11,932.50 BROKERAGE 64.50 TAX &/OR SEC 27 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/27/2012 10/10/2012	3,587.92	8,449.97	-4,862.05		-4,862.05	-325.00
Q72146006	MOLYCORP INC 11.10 11,932.50 BROKERAGE 64.50 TAX &/OR SEC 27 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/28/2012 10/10/2012	551.99	1,311.30	-759.31		-759.31	-50.00
Q72146006	GANNETT CO 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/03/2012 10/10/2012	4,434.90	3,732.08	702.82		702.82	-250.00
Q72146006	GANNETT CO 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/03/2012 10/10/2012	2,217.45	1,847.61	369.84		369.84	-125.00
Q72146006	GANNETT CO 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/03/2012 10/10/2012	5,765.37	4,851.37	914.00		914.00	-325.00
Q72146006	GANNETT CO 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/03/2012 10/10/2012	7,095.84	5,959.84	1,136.00		1,136.00	-400.00
Q72146006	GANNETT CO 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/06/2012 10/10/2012	20,400.54	17,407.55	2,992.99		2,992.99	-1,150.00
Q72146006	GANNETT CO 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@	02/07/2012 10/10/2012	7,539.33	6,259.61	1,279.72		1,279.72	-425.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/15/2012	10/10/2012	6,652.35	5,522.96	1,129.39		1,129.39	-375.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/15/2012	10/10/2012	5,321.88	4,412.48	909.42		909.42	-300.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/15/2012	10/10/2012	11,087.25	9,253.31	1,833.94		1,833.94	-625.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/27/2012	10/10/2012	9,313.29	7,877.21	1,436.08		1,436.08	-525.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/27/2012	10/10/2012	9,313.29	7,953.44	1,359.85		1,359.85	-525.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/27/2012	10/10/2012	1,330.47	1,147.03	183.44		183.44	-75.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/05/2012	10/10/2012	6,652.35	5,572.80	1,079.55		1,079.55	-375.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/06/2012	10/10/2012	4,878.39	4,109.85	768.54		768.54	-275.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/07/2012	10/10/2012	5,712.15	4,669.00	1,043.15		1,043.15	-322.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/07/2012	10/10/2012	1,862.66	1,523.45	339.21		339.21	-105.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/07/2012	10/10/2012	3,955.93	3,230.00	725.93		725.93	-223.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/16/2012	10/10/2012	8,426.31	6,384.29	2,042.02		2,042.02	-475.00
Q72146006	GANNETT CO @ 17.80 127,270.00 BROKERAGE 429.00 TAX &/OR SEC 2.86 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/16/2012	10/10/2012	4,878.39	3,895.31	1,183.08		1,183.08	-275.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/08/2010	10/10/2012	5,499.08	5,936.70		-437.62	-437.62	-200.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/09/2010	10/10/2012	8,248.61	8,938.35		-689.74	-689.74	-300.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/06/2010	10/10/2012	6,873.84	7,329.60		-455.76	-455.76	-250.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/25/2010	10/10/2012	6,873.85	7,090.70		-216.85	-216.85	-250.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/07/2010	10/10/2012	4,124.31	4,430.12		-305.81	-305.81	-150.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/07/2010	10/10/2012	8,248.61	8,934.81		-686.20	-686.20	-300.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/12/2010	10/10/2012	1,374.77	1,452.17		-77.40	-77.40	-50.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	09/28/2010	10/10/2012	1,374.77	1,482.04		-107.27	-107.27	-50.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/01/2010	10/10/2012	16,497.23	17,782.74		-1,285.51	-1,285.51	-600.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/09/2011	10/10/2012	9,623.38	10,500.00		-876.62	-876.62	-350.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	03/09/2011	10/10/2012	19,934.15	21,741.66		-1,807.51	-1,807.51	-725.00
Q72146006	REPUBLIC SERVICES INC @ 27.556 96,446.00 BROKERAGE 210.00 TAX &/OR SEC 2.17 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/13/2011	10/10/2012	7,561.23	8,253.47		-692.24	-692.24	-275.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/17/2009	10/10/2012	15,419.65	11,317.20		4,102.45	4,102.45	-500.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/30/2009	10/10/2012	3,083.93	2,262.16		821.77	821.77	-100.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/05/2010	10/10/2012	13,754.33	10,232.13		3,522.20	3,522.20	-446.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/10/2010	10/10/2012	10,793.76	7,864.82		2,928.94	2,928.94	-350.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/11/2010	10/10/2012	10,793.74	7,823.76		2,969.98	2,969.98	-350.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/11/2010	10/10/2012	7,709.83	6,692.60		1,017.23	1,017.23	-250.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/24/2010	10/10/2012	3,083.93	2,594.26		489.67	489.67	-100.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/01/2010	10/10/2012	4,625.90	4,057.86		568.04	568.04	-150.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/04/2010	10/10/2012	6,167.86	5,398.62		769.24	769.24	-200.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/05/2010	10/10/2012	7,709.83	6,718.08		991.75	991.75	-250.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/27/2010	10/10/2012	7,709.83	6,852.18		857.65	857.65	-250.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30.90 104,936.40 BROKERAGE 203.76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/28/2010	10/10/2012	4,625.90	4,023.41		602.49	602.49	-150.00

Account Number	Description	Acquired/Open Date	Sold/Close Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30 90 104,936 40 BROKERAGE 203 76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/19/2010	10/10/2012	5,396.88	4,801.18		595.70	595.70	-175.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 30 90 104,936 40 BROKERAGE 203 76 TAX &/OR SEC 2.36 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	10/06/2011	10/10/2012	3,654.91	3,072.20		782.71	782.71	-125.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/03/2012	10/10/2012	24,312.20	19,269.86	5,042.34		5,042.34	-525.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/03/2012	10/10/2012	25,469.93	20,165.86	5,304.07		5,304.07	-550.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/04/2012	10/10/2012	11,577.24	8,960.25	2,616.99		2,616.99	-250.00
Q72146006	EBAY INC @ 46.37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/05/2012	10/10/2012	4,630.88	3,547.71	1,083.17		1,083.17	-100.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/18/2012	10/10/2012	9,261.79	7,219.22	2,042.57		2,042.57	-200.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/23/2012	10/10/2012	11,577.24	9,919.10	1,658.14		1,658.14	-250.00
Q72146006	EBAY INC @ 46.37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/04/2012	10/10/2012	11,577.24	9,965.38	1,611.86		1,611.86	-250.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/08/2012	10/10/2012	10,419.52	8,874.70	1,544.82		1,544.82	-225.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/15/2012	10/10/2012	10,419.52	9,117.65	1,301.87		1,301.87	-225.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/16/2012	10/10/2012	10,419.52	8,977.73	1,441.79		1,441.79	-225.00
Q72146006	EBAY INC @ 46 37 161,135.75 BROKERAGE 208 50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/17/2012	10/10/2012	10,419.52	8,862.73	1,556.79		1,556.79	-225.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	EBAY INC @ 46.37 161,135.75 BROKERAGE 208.50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/30/2012	10/10/2012	10,419.52	8,934.95	1,484.57		1,484.57	-225.00
Q72146006	EBAY INC @ 46.37 161,135.75 BROKERAGE 208.50 TAX &/OR SEC 3.61 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/31/2012	10/10/2012	10,419.52	8,786.12	1,633.40		1,633.40	-225.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/29/2011	10/10/2012	48,218.68	43,708.53	4,508.13		4,508.13	-1,475.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	11/30/2011	10/10/2012	6,537.85	5,779.26	758.59		758.59	-200.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/02/2011	10/10/2012	8,989.56	7,572.68	1,416.88		1,416.88	-275.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/27/2012	10/10/2012	4,086.16	4,439.03	-352.87		-352.87	-125.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/27/2012	10/10/2012	4,903.39	5,328.95	-423.56		-423.56	-150.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/30/2012	10/10/2012	1,634.46	1,766.24	-131.78		-131.78	-50.00
Q72146006	HOSPIRA INC @ 32.75 81,875.00 BROKERAGE 150.00 TAX &/OR SEC 1.84 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	04/30/2012	10/10/2012	7,355.08	7,937.91	-582.83		-582.83	-225.00
Q72146006	KEPPEL LTD SPONS ADR @ 18.09 61,325.10 BROKERAGE 203.40 TAX &/OR SEC 1.38 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/12/2011	10/10/2012	8,564.06	7,184.38		1,379.68	1,379.68	-475.00
Q72146006	KEPPEL LTD SPONS ADR @ 18.09 61,325.10 BROKERAGE 203.40 TAX &/OR SEC 1.38 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	12/01/2006	10/10/2012	52,566.26	14,364.06		38,192.20	38,192.20	-2,915.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/21/2011	10/10/2012	11,139.00	16,284.00		-5,145.00	-5,145.00	-425.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/22/2011	10/10/2012	1,546.36	2,318.70		-772.34	-772.34	-59.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/22/2011	10/10/2012	4,953.58	7,405.55		-2,451.97	-2,451.97	-189.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	06/22/2011	10/10/2012	4,839.07	6,949.83		-2,310.76	-2,310.76	-177.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/15/2011	10/10/2012	5,241.88	8,150.78		-2,908.90	-2,908.90	-200.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/20/2011	10/10/2012	5,241.88	8,002.82		-2,760.94	-2,760.94	-200.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/20/2011	10/10/2012	5,241.88	8,016.34		-2,774.46	-2,774.46	-200.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/21/2011	10/10/2012	5,241.88	8,019.46		-2,777.58	-2,777.58	-200.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/26/2011	10/10/2012	5,897.12	8,784.00		-2,886.88	-2,886.88	-225.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	07/27/2011	10/10/2012	5,897.12	8,559.50		-2,662.38	-2,662.38	-225.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/01/2011	10/10/2012	5,897.12	8,404.79		-2,507.67	-2,507.67	-225.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/02/2011	10/10/2012	5,897.12	8,314.09		-2,416.97	-2,416.97	-225.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/04/2011	10/10/2012	5,897.12	7,873.63		-1,976.51	-1,976.51	-225.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/05/2011	10/10/2012	5,897.12	7,440.98		-1,543.86	-1,543.86	-225.00
Q72146006	JOHNSON CONTROLS INC @ 26.27 80,123.50 BROKERAGE 183.00 TAX &/OR SEC 1.80 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	08/09/2011	10/10/2012	1,310.45	1,518.53		-208.08	-208.08	-50.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/25/2012	10/10/2012	8,182.32	5,217.38	2,964.94		2,964.94	-150.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/30/2012	10/10/2012	15,000.91	9,354.35	5,646.56		5,646.56	-275.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	01/31/2012	10/10/2012	8,182.32	5,099.00	3,083.32		3,083.32	-150.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/16/2012	10/10/2012	10,364.26	6,455.19	3,909.07		3,909.07	-190.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/21/2012	10/10/2012	36,002.19	23,086.54	12,915.65		12,915.65	-660.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/27/2012	10/10/2012	9,546.04	6,140.58	3,405.46		3,405.46	-175.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/28/2012	10/10/2012	19,092.07	12,281.36	6,810.71		6,810.71	-350.00
Q72146006	JARDEN CORP @ 54.61 114,681.00 BROKERAGE 126.00 TAX &/OR SEC 2.57 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/29/2012	10/10/2012	8,182.32	5,261.87	2,920.45		2,920.45	-150.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/07/2012	10/10/2012	4,395.90	4,217.19	178.71		178.71	-350.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/08/2012	10/10/2012	1,255.97	1,207.53	48.44		48.44	-100.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/09/2012	10/10/2012	4,709.89	4,488.53	221.36		221.36	-375.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/10/2012	10/10/2012	3,139.93	2,911.88	228.05		228.05	-250.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/10/2012	10/10/2012	3,767.92	3,484.26	283.66		283.66	-300.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/13/2012	10/10/2012	827.99	597.00	30.99		30.99	-50.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/13/2012	10/10/2012	2,511.94	2,343.88	168.06		168.06	-200.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/14/2012	10/10/2012	1,569.96	1,494.09	75.87		75.87	-125.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	02/14/2012	10/10/2012	941.98	892.50	49.48		49.48	-75.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/04/2012	10/10/2012	1,883.96	2,003.10	-119.14		-119.14	-150.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/07/2012	10/10/2012	4,395.90	4,655.25	-259.35		-259.35	-350.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/08/2012	10/10/2012	2,197.95	2,265.57	-67.62		-67.62	-175.00
Q72146006	HARRY WINSTON DIAMOND CORP @ 12.62 35,967.00 BROKERAGE 171.00 TAX &/OR SEC .81 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	05/08/2012	10/10/2012	4,395.90	4,501.00	-105.10		-105.10	-350.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 01/31/2011	10/10/2012	3,232.08	3,882.56		-650.48	-650.48	-800.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/01/2011	10/10/2012	3,434.08	4,219.15		-785.07	-785.07	-850.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/04/2011	10/10/2012	1,010.02	1,287.83		-277.81	-277.81	-250.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/07/2011	10/10/2012	2,626.06	3,374.48		-748.42	-748.42	-650.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/08/2011	10/10/2012	2,020.05	2,629.75		-609.70	-609.70	-500.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/09/2011	10/10/2012	606.01	790.80		-184.79	-184.79	-150.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/15/2011	10/10/2012	404.01	518.08		-114.05	-114.05	-100.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/16/2011	10/10/2012	2,121.05	2,730.79		-609.74	-609.74	-525.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/16/2011	10/10/2012	404.01	520.00		-115.99	-115.99	-100.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/16/2011	10/10/2012	2,727.07	3,512.36		-785.29	-785.29	-675.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 02/17/2011	10/10/2012	707.02	921.41		-214.39	-214.39	-175.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/29/2011	10/10/2012	2,525.08	4,019.00		-1,493.94	-1,493.94	-625.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 03/29/2011	10/10/2012	101.00	161.00		-60.00	-60.00	-25.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/04/2011	10/10/2012	2,525.06	4,058.56		-1,533.50	-1,533.50	-625.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/08/2011	10/10/2012	2,323.06	3,858.73		-1,335.67	-1,335.67	-575.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/11/2011	10/10/2012	1,515.04	2,416.28		-901.24	-901.24	-375.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/11/2011	10/10/2012	1,515.04	2,425.65		-910.61	-910.61	-375.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1 19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/14/2011	10/10/2012	606.01	952.02		-346.01	-346.01	-150.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/14/2011	10/10/2012	303.01	474.69		-171.68	-171.68	-75.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/18/2011	10/10/2012	707.02	1,108.07		-399.05	-399.05	-175.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/18/2011	10/10/2012	1,313.03	2,053.51		-740.48	-740.48	-325.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/19/2011	10/10/2012	606.01	952.28		-346.27	-346.27	-150.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/21/2011	10/10/2012	1,717.04	2,690.25		-973.21	-973.21	-425.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/26/2011	10/10/2012	1,818.04	2,830.50		-1,012.46	-1,012.46	-450.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 04/29/2011	10/10/2012	3,737.09	5,863.30		-2,126.21	-2,126.21	-925.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 05/03/2011	10/10/2012	1,919.05	2,860.40		-941.35	-941.35	-475.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 05/04/2011	10/10/2012	1,919.05	2,863.21		-944.16	-944.16	-475.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 05/05/2011	10/10/2012	1,818.04	2,707.47		-889.43	-889.43	-450.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 05/06/2011	10/10/2012	1,919.05	2,818.89		-899.84	-899.84	-475.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 05/09/2011	10/10/2012	3,535.09	5,196.80		-1,661.71	-1,661.71	-875.00
Q72146006	HECKMANN CORP 4 10019 52,892.45 BROKERAGE 774.00 TAX &/OR SEC 1.19 J.P. MORGAN SECURITIES LLC TRADE DATE 10/10/12	@ 05/11/2011	10/10/2012	404.01	602.58		-198.57	-198.57	-100.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	NEUSTAR INC-CLASS A 36 1226 361 23 BROKERAGE 0 50 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/15/12	@ 02/03/2012	08/15/2012	360 72	362 51	-1.79		-1.79	-10 00
Q72146006	MOTOROLA SOLUTIONS INC @ 47.2586 9,451 72 BROKERAGE 10 00 TAX &/OR SEC .22 GOLDMAN SACHS & CO TRADE DATE 08/14/12	06/25/2012	08/14/2012	3,540.58	3,508.27	32 29		32 29	-75 00
Q72146006	MOTOROLA SOLUTIONS INC @ 47.2586 9,451 72 BROKERAGE 10 00 TAX &/OR SEC .22 GOLDMAN SACHS & CO TRADE DATE 08/14/12	06/28/2012	08/14/2012	5,900 94	5,825.64	75.30		75.30	-125.00
Q72146006	NEUSTAR INC-CLASS A 36 1543 9,038 58 BROKERAGE 12.50 TAX &/OR SEC .20 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/14/12	@ 02/03/2012	08/14/2012	902 59	906 29	-3.70		-3.70	-25.00
Q72146006	NEUSTAR INC-CLASS A 36.1543 9,038.58 BROKERAGE 12.50 TAX &/OR SEC .20 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/14/12	@ 02/03/2012	08/14/2012	4,512.94	4,749.61	-236 67		-236 67	-125 00
Q72146006	NEUSTAR INC-CLASS A 36 1543 9,038 58 BROKERAGE 12.50 TAX &/OR SEC .20 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/14/12	@ 02/03/2012	08/14/2012	3,610 35	3,685 01	-74 66		-74 66	-100.00
Q72146006	NEUSTAR INC-CLASS A 36.2643 6,171 25 BROKERAGE 8 75 TAX &/OR SEC .14 OPPENHEIMER & CO INC TRADE DATE 08/07/12	@ 02/03/2012	08/07/2012	6,182 36	6,649.46	-487.10		-487 10	-175 00
Q72146006	MMODAL INC 14 1054 1,057.91 BROKERAGE 3 75 TAX &/OR SEC .03 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/06/12	@ 04/21/2011	08/06/2012	1,054 13	748.88		305.25	305.25	-75 00
Q72146006	NEUSTAR INC-CLASS A 35 229 3,522.90 BROKERAGE 5 00 TAX &/OR SEC .08 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/06/12	@ 02/03/2012	08/06/2012	3,517 82	3,799.69	-281 87		-281 87	-100 00
Q72146006	MMODAL INC 14 1103 9,877.21 BROKERAGE 35 00 TAX &/OR SEC .23 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/03/12	@ 04/21/2011	08/03/2012	9,841 98	6,989.60		2,852.48	2,852.48	-700.00
Q72146006	MMODAL INC 14 2403 13,884.29 BROKERAGE 46 75 TAX &/OR SEC .32 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/02/12	@ 04/21/2011	08/02/2012	13,835 22	9,735.37		4,099.85	4,099 85	-975 00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.7306 9,182 65 BROKERAGE 12 50 TAX &/OR SEC .21 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	08/09/2011	08/01/2012	1,833.99	1,692 26	141 73		141.73	-50 00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.7306 9,182 65 BROKERAGE 12 50 TAX &/OR SEC .21 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	02/16/2012	08/01/2012	7,335 95	6,889 48	446.47		446.47	-200 00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MMODAL INC @ 14 2589 3,564.73 BROKERAGE 12.50 TAX &/OR SEC 08 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	04/26/2011	08/01/2012	3,562.15	2,505.00		1,047.15	1,047.15	-250.00
Q72146006	MMODAL INC @ 14 3165 715.83 BROKERAGE 2.50 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 07/31/12	04/26/2011	07/31/2012	713.31	501.00		212.31	212.31	-50.00
Q72146006	MMODAL INC @ 14.3823 719.12 BROKERAGE 2.50 TAX &/OR SEC 02 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/30/12	04/26/2011	07/30/2012	716.80	501.00		215.80	215.80	-50.00
Q72146006	MMODAL INC @ 14.3661 1,077.46 BROKERAGE 3.75 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 07/30/12	04/26/2011	07/30/2012	1,073.89	751.50		322.19	322.19	-75.00
Q72146006	MMODAL INC @ 14.375 2,156.25 BROKERAGE 7.50 TAX &/OR SEC 05 BAYPOINT TRADING LLC TRADE DATE 07/30/12	04/26/2011	07/30/2012	2,148.70	1,503.00		645.70	645.70	-150.00
Q72146006	MMODAL INC @ 14 4307 1,443.07 BROKERAGE 5.00 TAX &/OR SEC 03 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/27/12	04/26/2011	07/27/2012	1,438.04	1,002.00		436.04	436.04	-100.00
Q72146006	MMODAL INC @ 14.4002 1,800.03 BROKERAGE 6.25 TAX &/OR SEC 04 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/26/12	04/26/2011	07/26/2012	358.75	250.50		108.25	108.25	-25.00
Q72146006	MMODAL INC @ 14.4002 1,800.03 BROKERAGE 8.25 TAX &/OR SEC 04 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/26/12	08/09/2011	07/26/2012	1,434.99	1,139.87	295.12		295.12	-100.00
Q72146006	MMODAL INC @ 14 3686 2,155.29 BROKERAGE 7.50 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/25/12	08/09/2011	07/25/2012	1,789.78	1,424.84	364.94		364.94	-125.00
Q72146006	MMODAL INC @ 14.3686 2,155.29 BROKERAGE 7.50 TAX &/OR SEC 05 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/25/12	08/10/2011	07/25/2012	357.96	287.22	70.74		70.74	-25.00
Q72146006	MMODAL INC @ 14 4007 360.02 BROKERAGE 1.25 TAX &/OR SEC 01 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/24/12	08/10/2011	07/24/2012	358.76	287.23	71.53		71.53	-25.00
Q72146006	MMODAL INC @ 14 5294 3,995.59 BROKERAGE 13.75 TAX &/OR SEC 09 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/20/12	08/05/2011	07/20/2012	2,533.84	2,079.23	454.61		454.61	-175.00
Q72146006	MMODAL INC @ 14.5294 3,995.59 BROKERAGE 13.75 TAX &/OR SEC 09 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/20/12	08/08/2011	07/20/2012	1,085.93	865.79	220.14		220.14	-75.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MMODAL INC 14.6294 3,995.59 BROKERAGE 13.75 TAX &/OR SEC 09 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 07/20/12	@	08/10/2011 07/20/2012	361.98	287.23	74.75		74.75	-25.00
Q72146006	MMODAL INC 14.4014 2,160.21 BROKERAGE 7.50 TAX &/OR SEC .05 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/19/12	@	06/29/2011 07/19/2012	1,076.33	903.60		172.73	172.73	-75.00
Q72146006	MMODAL INC 14.4014 2,160.21 BROKERAGE 7.50 TAX &/OR SEC .05 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/19/12	@	08/04/2011 07/19/2012	358.78	297.65	61.13		61.13	-25.00
Q72146006	MMODAL INC 14.4014 2,160.21 BROKERAGE 7.50 TAX &/OR SEC .05 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/19/12	@	08/05/2011 07/19/2012	717.55	594.06	123.49		123.49	-50.00
Q72146006	MMODAL INC 14.4092 5,403.45 BROKERAGE 18.75 TAX &/OR SEC 13 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/18/12	@	08/28/2011 07/18/2012	358.98	301.20		57.78	57.78	-25.00
Q72146006	MMODAL INC 14.4092 5,403.45 BROKERAGE 18.75 TAX &/OR SEC 13 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/18/12	@	06/29/2011 07/18/2012	717.94	604.17		113.77	113.77	-50.00
Q72146006	MMODAL INC 14.4092 5,403.45 BROKERAGE 18.75 TAX &/OR SEC 13 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/18/12	@	06/29/2011 07/18/2012	358.97	302.32		56.65	56.65	-25.00
Q72146006	MMODAL INC 14.4092 5,403.45 BROKERAGE 18.75 TAX &/OR SEC 13 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/18/12	@	06/30/2011 07/18/2012	1,076.91	907.34		169.57	169.57	-75.00
Q72146006	MMODAL INC 14.4092 5,403.45 BROKERAGE 18.75 TAX &/OR SEC .13 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/18/12	@	07/27/2011 07/18/2012	1,076.91	938.68	138.23		138.23	-75.00
Q72146006	MMODAL INC 14.4092 5,403.45 BROKERAGE 18.75 TAX &/OR SEC 13 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/18/12	@	07/28/2011 07/18/2012	1,794.86	1,568.75	226.11		226.11	-125.00
Q72146006	MMODAL INC 14.5586 4,006.37 BROKERAGE 13.75 TAX &/OR SEC .09 UBS SECURITIES LLC TRADE DATE 07/17/12	@	07/28/2011 07/17/2012	3,992.53	3,451.25	541.28		541.28	-275.00
Q72146006	MMODAL INC 14.4186 2,883.32 BROKERAGE 10.00 TAX &/OR SEC 07 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/17/12	@	07/28/2011 07/17/2012	1,436.63	1,255.00	181.63		181.63	-100.00
Q72146006	MMODAL INC 14.4186 2,883.32 BROKERAGE 10.00 TAX &/OR SEC 07 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/17/12	@	08/03/2011 07/17/2012	718.31	645.89	72.42		72.42	-50.00

Account Number	Description	Acquired/Original Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MMODAL INC @ 14 4166 2,883.82 BROKERAGE 10.00 TAX &/OR SEC .07 CITIGROUP GLOBAL MKTS INC TRADE DATE 07/17/12	08/04/2011	07/17/2012	718.31	643.50	74.81		74.81	-50.00
Q72146006	LOCKHEED MARTIN CORP @ 83.2992 9,162.91 BROKERAGE 5.50 TAX &/OR SEC .21 OPPENHEIMER & CO. INC. TRADE DATE 08/13/12	10/09/2009	08/13/2012	9,157.20	8,130.84		1,026.36	1,026.36	-110.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 32 2801 5,645.52 BROKERAGE 7.00 TAX &/OR SEC .13 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/13/12	11/19/2010	08/13/2012	5,638.39	4,801.18		837.21	837.21	-175.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 32.0782 12,029.33 BROKERAGE 15.00 TAX &/OR SEC 27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/12/12	09/17/2010	08/12/2012	8,009.37	6,887.48		1,121.89	1,121.89	-250.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 32 0782 12,029.33 BROKERAGE 15.00 TAX &/OR SEC 27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/12/12	11/01/2010	08/12/2012	1,601.88	1,377.18		224.72	224.72	-50.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 32 0782 12,029.33 BROKERAGE 15.00 TAX &/OR SEC 27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/12/12	12/16/2010	08/12/2012	2,402.81	2,086.51		316.30	316.30	-75.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 69 7242 5,577.94 BROKERAGE 4.00 TAX &/OR SEC .12 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/12/12	04/28/2010	08/12/2012	5,573.82	5,994.99		-421.17	-421.17	-80.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 31.4814 16,527.74 BROKERAGE 26.25 TAX &/OR SEC 37 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	10/26/2010	08/01/2012	7,857.68	7,013.50		844.18	844.18	-250.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 31.4814 16,527.74 BROKERAGE 26.25 TAX &/OR SEC 37 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	11/05/2010	08/01/2012	4,714.61	4,201.37		513.24	513.24	-150.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 31.4814 16,527.74 BROKERAGE 26.25 TAX &/OR SEC 37 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	12/02/2010	08/01/2012	1,571.54	1,405.75		165.79	165.79	-50.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 31.4814 16,527.74 BROKERAGE 26.25 TAX &/OR SEC 37 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	12/02/2010	08/01/2012	1,571.54	1,401.68		169.86	169.86	-50.00
Q72146006	FIDELITY NATIONAL INFORMATION SERVICES @ 31.4814 16,527.74 BROKERAGE 26.25 TAX &/OR SEC 37 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 08/01/12	12/16/2010	08/01/2012	785.75	695.50		90.25	90.25	-25.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146008	FIDELITY NATIONAL INFORMATION SERVICES @ 31.6346 1,581.73 BROKERAGE 2.50 TAX &/OR SEC .04 OPPENHEIMER & CO. INC TRADE DATE 06/01/12	12/02/2010	06/01/2012	1,579.19	1,405.76		173.43	173.43	-80.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 76.3437 6,107.50 BROKERAGE 4.00 TAX &/OR SEC 14 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 05/11/12	04/28/2010	05/11/2012	6,103.36	5,994.98		108.38	108.38	-80.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 35.858 10,757.40 BROKERAGE 15.00 TAX &/OR SEC .25 BARCLAYS CAPITAL LE TRADE DATE 05/11/12	02/15/2012	05/11/2012	8,066.61	7,838.53	218.08		218.08	-225.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 35.858 10,757.40 BROKERAGE 15.00 TAX &/OR SEC .25 BARCLAYS CAPITAL LE TRADE DATE 05/11/12	02/15/2012	05/11/2012	2,685.54	2,595.64	89.90		89.90	-75.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38.0631 1,803.16 BROKERAGE 2.50 TAX &/OR SEC .05 SANFORD C BERNSTEIN & CO. INC (SCB) TRADE DATE 05/10/12	02/15/2012	05/10/2012	1,800.61	1,763.04	37.57		37.57	-50.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.0741 7,214.82 BROKERAGE 8.00 TAX &/OR SEC .17 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 05/10/12	02/15/2012	05/10/2012	5,404.99	5,289.10	115.89		115.89	-150.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.0741 7,214.82 BROKERAGE 8.00 TAX &/OR SEC .17 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 05/10/12	02/15/2012	05/10/2012	1,801.66	1,741.89	59.77		59.77	-50.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.0503 4,506.29 BROKERAGE 6.25 TAX &/OR SEC 11 BARCLAYS CAPITAL LE TRADE DATE 05/02/12	02/15/2012	05/02/2012	4,499.93	4,407.59	92.34		92.34	-125.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.4183 14,567.32 BROKERAGE 20.00 TAX &/OR SEC .33 BARCLAYS CAPITAL LE TRADE DATE 05/01/12	05/23/2011	05/01/2012	2,727.56	2,959.86	-232.30		-232.30	-75.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.4183 14,567.32 BROKERAGE 20.00 TAX &/OR SEC 33 BARCLAYS CAPITAL LE TRADE DATE 05/01/12	07/21/2011	05/01/2012	2,727.56	2,964.35	-236.79		-236.79	-75.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.4183 14,567.32 BROKERAGE 20.00 TAX &/OR SEC 33 BARCLAYS CAPITAL LE TRADE DATE 05/01/12	07/25/2011	05/01/2012	909.19	988.55	-79.36		-79.36	-25.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.4183 14,567.32 BROKERAGE 20.00 TAX &/OR SEC .33 BARCLAYS CAPITAL LE TRADE DATE 05/01/12	02/15/2012	05/01/2012	8,182.68	7,933.66	249.02		249.02	-225.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 36.4155 5,482.33 BROKERAGE 7.50 TAX &/OR SEC 13 MORGAN STANLEY & CO. INCORPORATED TRADE DATE 04/30/12	07/27/2011	04/30/2012	4,545.58	5,116.25	-570.67		-570.67	-125.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4155 5,462.93 BROKERAGE 7.50 TAX &/OR SEC 13 MORGAN STANLEY & CO INCORPORATED TRADE DATE 04/30/12	07/29/2011	04/30/2012	909.12	1,012.07	-102.95		-102.95	-25.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4048 7,280.96 BROKERAGE 8.00 TAX &/OR SEC 17 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 04/30/12	07/26/2011	04/30/2012	909.10	1,004.25	-95.15		-95.15	-25.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4048 7,280.96 BROKERAGE 8.00 TAX &/OR SEC 17 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 04/30/12	07/29/2011	04/30/2012	6,363.69	7,084.51	-720.82		-720.82	-175.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4075 12,742.63 BROKERAGE 17.50 TAX &/OR SEC 29 SANFORD C. BERNSTEIN & CO INC.(SCB TRADE DATE 04/30/12	05/17/2011	04/30/2012	3,835.67	4,001.93	-366.26		-366.26	-100.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4075 12,742.63 BROKERAGE 17.50 TAX &/OR SEC 29 SANFORD C. BERNSTEIN & CO INC (SCB TRADE DATE 04/30/12	05/20/2011	04/30/2012	908.92	998.47	-89.55		-89.55	-25.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4075 12,742.63 BROKERAGE 17.50 TAX &/OR SEC 29 SANFORD C. BERNSTEIN & CO INC.(SCB TRADE DATE 04/30/12	07/22/2011	04/30/2012	6,362.42	6,968.15	-605.73		-605.73	-175.00
Q72146006	WILLIS GROUP HOLDINGS PLC @ 38 4075 12,742.63 BROKERAGE 17.50 TAX &/OR SEC 29 SANFORD C. BERNSTEIN & CO INC (SCB TRADE DATE 04/30/12	07/26/2011	04/30/2012	1,817.83	2,008.51	-190.68		-190.68	-50.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 19 0914 2,386.43 BROKERAGE 6.25 TAX &/OR SEC 06 OPPENHEIMER & CO INC TRADE DATE 04/27/12	03/25/2010	04/27/2012	1,428.07	1,912.50		-484.43	-484.43	-75.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 19 0914 2,386.43 BROKERAGE 6.25 TAX &/OR SEC 06 OPPENHEIMER & CO INC TRADE DATE 04/27/12	11/05/2010	04/27/2012	952.05	1,190.33		-238.28	-238.28	-50.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 19 4309 1,943.09 BROKERAGE 5.00 TAX &/OR SEC 04 JONESTRADING INSTITUTIONAL SERVICE TRADE DATE 04/27/12	03/25/2010	04/27/2012	1,938.06	2,550.00		-611.95	-611.95	-100.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 19 0287 2,378.59 BROKERAGE 6.25 TAX &/OR SEC 06 UBS SECURITIES LLC TRADE DATE 04/27/12	03/25/2010	04/27/2012	1,423.37	1,912.50		-489.13	-489.13	-75.00
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 19 0287 2,378.59 BROKERAGE 6.25 TAX &/OR SEC 06 UBS SECURITIES LLC TRADE DATE 04/27/12	03/25/2010	04/27/2012	948.91	1,294.97		-346.06	-346.06	-50.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	WARNER CHILCOTT PLC IRELAND SHS A @ 19.3864 2,907.96 BROKERAGE 7.50 TAX &/OR SEC .07 MORGAN STANLEY & CO INCORPORATED TRADE DATE 04/27/12	11/05/2010	04/27/2012	2,900.39	3,570.98		-670.59	-670.59	-150.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 73.7872 737.87 BROKERAGE 0.50 TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 04/25/12	04/28/2010	04/25/2012	737.35	749.37		-12.02	-12.02	-10.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 73.6909 8,843.63 BROKERAGE 6.00 TAX &/OR SEC .20 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 04/24/12	04/28/2010	04/24/2012	8,837.43	8,992.48		-155.05	-155.05	-120.00
Q72146006	MACYS INC @ 40.1159 6,017.39 BROKERAGE 7.50 TAX &/OR SEC 14 CARLIN EQ FBO DAHLMAN ROSE WEISS L TRADE DATE 04/09/12	06/10/2011	04/09/2012	6,009.75	3,780.43	2,279.32		2,279.32	-150.00
Q72146006	MACYS INC @ 40.0327 7,005.72 BROKERAGE 8.75 TAX &/OR SEC 16 CANTOR FITZGERALD & CO INC TRADE DATE 04/09/12	04/13/2011	04/09/2012	2,998.63	1,861.64	1,136.99		1,136.99	-75.00
Q72146006	MACYS INC @ 40.0327 7,005.72 BROKERAGE 8.75 TAX &/OR SEC .16 CANTOR FITZGERALD & CO INC TRADE DATE 04/09/12	08/10/2011	04/09/2012	3,998.18	2,486.95	1,511.23		1,511.23	-100.00
Q72146006	NEWS CORP INC CL A @ 19.0489 12,858.01 BROKERAGE 33.75 TAX &/OR SEC 29 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 04/09/12	10/10/2008	04/09/2012	12,823.97	5,917.59		6,906.38	6,906.38	-675.00
Q72146006	NEWS CORP INC CL A @ 19.0872 12,883.86 BROKERAGE 27.00 TAX &/OR SEC 29 J.P. MORGAN SECURITIES LLC TRADE DATE 04/09/12	10/07/2011	04/09/2012	6,666.37	5,652.99	1,013.38		1,013.38	-350.00
Q72146006	NEWS CORP INC CL A @ 19.0872 12,883.86 BROKERAGE 27.00 TAX &/OR SEC .29 J.P. MORGAN SECURITIES LLC TRADE DATE 04/09/12	05/20/2010	04/09/2012	6,190.20	4,213.56		1,976.64	1,976.64	-325.00
Q72146006	NEWS CORP INC CL A @ 19.1444 12,443.86 BROKERAGE 32.50 TAX &/OR SEC 28 GOLDMAN SACHS & CO. TRADE DATE 04/09/12	05/20/2010	04/09/2012	954.70	648.24		306.46	306.46	-50.00
Q72146006	NEWS CORP INC CL A @ 19.1444 12,443.86 BROKERAGE 32.50 TAX &/OR SEC 28 GOLDMAN SACHS & CO. TRADE DATE 04/09/12	10/10/2008	04/09/2012	11,456.38	5,260.08		6,196.30	6,196.30	-800.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 69.7286 9,762.14 BROKERAGE 4.20 TAX &/OR SEC .18 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 03/26/12	05/04/2010	03/26/2012	2,090.96	2,250.86		-159.91	-159.91	-30.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 69.7286 9,762.14 BROKERAGE 4.20 TAX &/OR SEC .18 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 03/26/12	05/07/2010	03/26/2012	2,787.93	3,023.08		-235.15	-235.15	-40.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 69.7296 9,762.14 BROKERAGE 4.20 TAX &/OR SEC .18 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 03/26/12	10/27/2010	03/26/2012	3,484.91	3,840.80		-355.89	-355.89	-50.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 69.7296 9,762.14 BROKERAGE 4.20 TAX &/OR SEC .18 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 03/26/12	11/16/2010	03/26/2012	1,393.97	1,570.16		-176.19	-176.19	-20.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.24 2,564.64 BROKERAGE 1.80 TAX &/OR SEC .05 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 02/17/12	11/01/2010	02/17/2012	1,139.02	1,258.57		-119.55	-119.55	-16.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.24 2,564.64 BROKERAGE 1.80 TAX &/OR SEC .05 MERRILL LYNCH PIERCE FENNER & SMIT TRADE DATE 02/17/12	11/16/2010	02/17/2012	1,423.77	1,570.17		-146.40	-146.40	-20.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.1616 4,910.15 BROKERAGE 3.45 TAX &/OR SEC .09 UBS SECURITIES LLC TRADE DATE 02/17/12	10/28/2010	02/17/2012	2,488.86	2,753.79		-264.93	-264.93	-36.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.1616 4,910.15 BROKERAGE 3.45 TAX &/OR SEC .09 UBS SECURITIES LLC TRADE DATE 02/17/12	11/01/2010	02/17/2012	2,417.75	2,674.45		-256.70	-256.70	-34.00
Q72146006	MACYS INC @ 8,100.72 36.0032 BROKERAGE 11.25 TAX &/OR SEC 15 CITIGROUP GLOBAL MKTS INC TRADE DATE 02/17/12	04/13/2011	02/17/2012	1,797.63	1,245.78	551.85		551.85	-50.00
Q72146006	MACYS INC @ 8,100.72 36.0032 BROKERAGE 11.25 TAX &/OR SEC 15 CITIGROUP GLOBAL MKTS INC TRADE DATE 02/17/12	08/10/2011	02/17/2012	6,291.69	4,352.16	1,939.53		1,939.53	-175.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.3263 7,132.63 BROKERAGE 5.00 TAX &/OR SEC .13 UBS SECURITIES LLC TRADE DATE 02/16/12	10/28/2010	02/16/2012	356.37	393.40		-37.03	-37.03	-5.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.3263 7,132.63 BROKERAGE 5.00 TAX &/OR SEC .13 UBS SECURITIES LLC TRADE DATE 02/16/12	07/29/2011	02/16/2012	6,771.13	7,525.69	-754.56		-754.56	-95.00
Q72146006	LOCKHEED MARTIN CORP @ 87.7206 17,544.12 BROKERAGE 10.00 TAX &/OR SEC .32 BARCLAYS CAPITAL LE TRADE DATE 02/15/12	10/07/2009	02/15/2012	8,766.90	7,396.91		1,369.99	1,369.99	-100.00
Q72146006	LOCKHEED MARTIN CORP @ 87.7206 17,544.12 BROKERAGE 10.00 TAX &/OR SEC .32 BARCLAYS CAPITAL LE TRADE DATE 02/15/12	10/08/2009	02/15/2012	5,260.14	4,435.00		825.14	825.14	-60.00
Q72146006	LOCKHEED MARTIN CORP @ 87.7206 17,544.12 BROKERAGE 10.00 TAX &/OR SEC .32 BARCLAYS CAPITAL LE TRADE DATE 02/15/12	10/08/2009	02/15/2012	3,506.76	2,984.24		542.52	542.52	-40.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.7329 1,793.32 BROKERAGE 1.25 TAX & OR SEC .04 UBS SECURITIES LLC TRADE DATE 02/15/12	11/12/2010	02/15/2012	1,433.62	1,594.87		-161.25	-161.25	-20.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 71.7329 1,793.32 BROKERAGE 1.25 TAX & OR SEC .04 UBS SECURITIES LLC TRADE DATE 02/15/12	07/29/2011	02/15/2012	358.41	396.09	-37.68		-37.68	-5.00
Q72146006	MACYS INC @ 35 5455 9,775.01 BROKERAGE 13.75 TAX & OR SEC .19 GOLDMAN SACHS & CO. TRADE DATE 01/20/12	04/13/2011	01/20/2012	9,761.07	8,861.82	2,909.25		2,909.25	-275.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0906 5,767.25 BROKERAGE 2.40 TAX & OR SEC .12 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/18/12	11/12/2010	01/18/2012	720.59	797.43		-76.84	-76.84	-10.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0906 5,767.25 BROKERAGE 2.40 TAX & OR SEC .12 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/18/12	11/29/2010	01/18/2012	5,044.14	5,630.12		-585.98	-585.98	-70.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0623 13,691.84 BROKERAGE 5.70 TAX & OR SEC .27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/17/12	11/19/2010	01/17/2012	1,440.62	1,617.40		-176.78	-176.78	-20.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0623 13,691.84 BROKERAGE 5.70 TAX & OR SEC .27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/17/12	11/29/2010	01/17/2012	720.31	804.30		-83.99	-83.99	-10.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0623 13,691.84 BROKERAGE 5.70 TAX & OR SEC .27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/17/12	12/17/2010	01/17/2012	720.31	872.27		-151.96	-151.96	-10.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0623 13,691.84 BROKERAGE 5.70 TAX & OR SEC .27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/17/12	06/23/2011	01/17/2012	5,042.16	5,837.94	-795.78		-795.78	-70.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0623 13,691.84 BROKERAGE 5.70 TAX & OR SEC .27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/17/12	06/24/2011	01/17/2012	1,440.62	1,661.96	-221.34		-221.34	-20.00
Q72146006	COMPASS MINERALS INTERNATIONAL INC @ 72.0623 13,691.84 BROKERAGE 5.70 TAX & OR SEC .27 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/17/12	06/24/2011	01/17/2012	4,321.85	5,012.37	-690.52		-690.52	-60.00
Q72146006	MONSANTO CO @ 77.1635 19,290.88 BROKERAGE 7.50 TAX & OR SEC .38 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	11/05/2010	01/06/2012	7,713.20	8,183.14		1,530.06	1,530.06	-100.00
Q72146006	MONSANTO CO @ 77.1635 19,290.88 BROKERAGE 7.50 TAX & OR SEC .38 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	12/09/2010	01/06/2012	1,542.64	1,218.60		324.04	324.04	-20.00

Account Number	Description	Acquired/Opened Date	Sold/Closed Date	Proceeds	Cost(\$)	Short Term Gain/Loss	Long Term Gain/Loss	Total Realized G/L	Quantity
Q72146006	MONSANTO CO 77 1635 19,290.88 BROKERAGE 7.50 TAX &/OR SEC 38 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	@ 03/15/2011	01/08/2012	385.66	329.38	56.28		56.28	-5.00
Q72146006	MONSANTO CO 77 1635 19,290.88 BROKERAGE 7.50 TAX &/OR SEC 38 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	@ 05/04/2011	01/08/2012	9,641.50	8,198.13	1,443.37		1,443.37	-125.00
Q72146006	MONSANTO CO 77.4006 5,418.04 BROKERAGE 2.10 TAX &/OR SEC 11 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	@ 11/15/2010	01/08/2012	1,160.54	912.66		247.88	247.88	-15.00
Q72146006	MONSANTO CO 77 4006 5,418.04 BROKERAGE 2.10 TAX &/OR SEC 11 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	@ 12/09/2010	01/08/2012	4,255.29	3,351.15		904.14	904.14	-55.00
Q72146006	MONSANTO CO 77 929 7,782.90 BROKERAGE 3.00 TAX &/OR SEC 15 CREDIT SUISSE FIRST BOSTON LLC TRADE DATE 01/06/12	@ 03/15/2011	01/08/2012	7,789.75	6,587.50	1,202.25		1,202.25	-100.00
Total				3,275,724.38	2,737,817.87	98,766.95	438,139.56	537,906.51	