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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning

, and ending

Name of foundation HOLMES FOUNDATION INC		A Employer identification number 13-1946867
Number and street (or P O box number if mail is not delivered to street address) 3111 CARDINAL DRIVE	Room/suite B	B Telephone number 772-231-6900
City or town, state, and ZIP code VERO BEACH, FL 32963-1920		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,578,336.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	9.	9.		STATEMENT 1
4 Dividends and interest from securities	46,533.	43,137.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	73,083.			
b Gross sales price for all assets on line 6a	1,211,336.			
7 Capital gain net income (from Part IV, line 2)		73,083.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	119,625.	116,229.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees	5,006.	3,755.		1,251.
b Accounting fees	9,250.	6,938.		2,312.
c Other professional fees	23,055.	23,055.		0.
17 Interest				
18 Taxes	1,532.	323.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	10,996.	8,247.		2,749.
22 Printing and publications				
23 Other expenses				
24 Total operating and administrative expenses. Add lines 13 through 23	49,839.	42,318.		6,312.
25 Contributions, gifts, grants paid	77,000.			77,000.
26 Total expenses and disbursements. Add lines 24 and 25	126,839.	42,318.		83,312.
27 Subtract line 26 from line 12	-7,214.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		73,911.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED SEP 16 2013

Revenue

Operating and Administrative Expenses

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

	Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1 Cash - non-interest-bearing	1,174.	1,033.	1,033.	
2 Savings and temporary cash investments	22,429.	23,293.	23,293.	
3 Accounts receivable ▶ Less allowance for doubtful accounts ▶				
4 Pledges receivable ▶ Less allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons				
7 Other notes and loans receivable ▶ Less allowance for doubtful accounts ▶				
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments - U S and state government obligations				
b Investments - corporate stock STMT 7	1,452,580.	1,445,074.	1,554,010.	
c Investments - corporate bonds				
11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶				
15 Other assets (describe ▶ INCOME TAX DEPOSITS)	431.	0.	0.	
16 Total assets (to be completed by all filers)	1,476,614.	1,469,400.	1,578,336.	
Liabilities				
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances				
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds	709,029.	709,029.		
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	767,585.	760,371.		
30 Total net assets or fund balances	1,476,614.	1,469,400.		
31 Total liabilities and net assets/fund balances	1,476,614.	1,469,400.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,476,614.
2 Enter amount from Part I, line 27a	2	-7,214.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,469,400.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,469,400.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a UBS #14295 - SEE ATTACHED	P		
b UBS #14295 - SEE ATTACHED	P		
c UBS #14294 - SEE ATTACHED	P		
d UBS #14294 - SEE ATTACHED	P		
e QWEST SECURITIES LITIGATION	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 307,399.		313,566.	-6,167.
b 337,498.		292,361.	45,137.
c 260,463.		262,599.	-2,136.
d 305,957.		269,727.	36,230.
e 19.			19.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col (i) over col (j), if any	
a			-6,167.
b			45,137.
c			-2,136.
d			36,230.
e			19.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	73,083.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	86,528.	1,622,051.	.053345
2010	74,571.	1,577,025.	.047286
2009	88,202.	1,496,140.	.058953
2008	36,860.	839,604.	.043902
2007	82,157.	956,662.	.085879

2 Total of line 1, column (d)	2	.289365
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057873
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,556,149.
5 Multiply line 4 by line 3	5	90,059.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	739.
7 Add lines 5 and 6	7	90,798.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	83,312.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,478.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,478.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,478.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	959.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,300.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	2,259.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	781.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax 781. Refunded	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
1c		X
d		
(1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e		
Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2		X
If "Yes," attach a detailed description of the activities.		
3		X
4a		X
4b		
N/A		
5		X
If "Yes," attach the statement required by General Instruction T.		
6	X	
7	X	
If "Yes," complete Part II, col. (c), and Part XV.		
8a		
Enter the states to which the foundation reports or with which it is registered (see instructions) FL, NY		
b	X	
If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
9		X
Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		
10		X
Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ MICHAEL O'HAIRE Telephone no ▶ 772-231-6900 Located at ▶ 3111 CARDINAL DRIVE, SUITE B, VERO BEACH, FL ZIP+4 ▶ 32963			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶		Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No **6b**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,555,129.
b	Average of monthly cash balances	1b	24,718.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,579,847.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,579,847.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	23,698.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,556,149.
6	Minimum investment return. Enter 5% of line 5	6	77,807.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	77,807.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,478.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,478.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	76,329.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	76,329.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	76,329.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	83,312.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	83,312.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	83,312.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				76,329.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	28,088.			
b From 2008				
c From 2009	13,667.			
d From 2010				
e From 2011	6,384.			
f Total of lines 3a through e	48,139.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	83,312.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				76,329.
e Remaining amount distributed out of corpus	6,983.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below.	55,122.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	28,088.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	27,034.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009	13,667.			
c Excess from 2010				
d Excess from 2011	6,384.			
e Excess from 2012	6,983.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
BETTER CHANGE FOR WILTON P.O. BOX 7658 WILTON, CT 06897		PUBLIC CHARITY	GENERAL	2,000.
CENTAURUS MONARCH CMTY SCHOLARSHIP P.O. BOX 212 LOUISVILLE, CO 80027		PUBLIC CHARITY	GENERAL	4,000.
CUMBERLAND COLLEGE 6191 COLLEGE STATION DR. WILLIAMSBURG, KY 40769		PUBLIC CHARITY	GENERAL	3,000.
DANBURY HOSPITAL 24 HOSPITAL AVENUE DANBURY, CT 06810		PUBLIC CHARITY	GENERAL	12,500.
HESSTON COLLEGE 325 S. COLLEGE DRIVE HESSTON, KS 67062		PUBLIC CHARITY	GENERAL	5,000.
Total	SEE CONTINUATION SHEET(S)			77,000.
<i>b Approved for future payment</i>				
NONE				
Total				0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
I HAVE A DREAM FDT OF BOULDER CNTY 3012 STERLING CIRCLE #200 BOULDER, CO 80301		PUBLIC CHARITY	GENERAL	7,500.
JOHN W. NICK FOUNDATION P.O. BOX 4133 VERO BEACH, FL 32964		PUBLIC CHARITY	GENERAL	2,000.
JUNIOR ACHIEVEMENT OF SW CT 200 CONNECTICUT AVENUE NORWALK, CT 06854		PUBLIC CHARITY	GENERAL	7,500.
MCKEE BOTANICAL GARDEN 350 U.S. HIGHWAY #1 VERO BEACH, FL 32962		PUBLIC CHARITY	GENERAL	10,000.
MONARCH HIGH SCHOOL 329 CAMPUS DRIVE LOUISVILLE, CO 80027		PUBLIC CHARITY	GENERAL	5,000.
RIVERSIDE CHILDREN'S THEATRE 3280 RIVERSIDE PARK DRIVE VERO BEACH, FL 32963		PUBLIC CHARITY	GENERAL	2,000.
SOUTHERN SCHOLARSHIP FOUNDATION 322 STADIUM DR, TALLAHASSEE, FL 32304		PUBLIC CHARITY	GENERAL	3,000.
THE AFTER SCHOOL ARTS PROGRAM P.O. BOX 15 WASHINGTON DEPOT, CT 06794		PUBLIC CHARITY	GENERAL	3,500.
VERO BEACH MUSEUM OF ART 3001 RIVERSIDE PARK DRIVE VERO BEACH, FL 32963		PUBLIC CHARITY	GENERAL	10,000.
Total from continuation sheets				50,500.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MARINE BANK	9.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	9.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS	43,137.	0.	43,137.
UBS	3,396.	0.	3,396.
TOTAL TO FM 990-PF, PART I, LN 4	46,533.	0.	46,533.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	5,006.	3,755.		1,251.
TO FM 990-PF, PG 1, LN 16A	5,006.	3,755.		1,251.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,250.	6,938.		2,312.
TO FORM 990-PF, PG 1, LN 16B	9,250.	6,938.		2,312.

FORM 990-PF		OTHER PROFESSIONAL FEES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	23,055.	23,055.			0.
TO FORM 990-PF, PG 1, LN 16C	23,055.	23,055.			0.

FORM 990-PF		TAXES		STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAXES - FEDERAL	959.	0.			0.
TAXES - STATE	250.	0.			0.
TAXES - FOREIGN	323.	323.			0.
TO FORM 990-PF, PG 1, LN 18	1,532.	323.			0.

FORM 990-PF		CORPORATE STOCK		STATEMENT	7
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE		
UBS #14294 - STOCK		764,623.	808,620.		
UBS #14295 - STOCK		680,451.	745,390.		
TOTAL TO FORM 990-PF, PART II, LINE 10B		1,445,074.	1,554,010.		

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAY TYLER HOLMES 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	PRESIDENT 1.00	0.	0.	0.
CLAUDETTE HOLMES 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	VICE PRESIDENT 1.00	0.	0.	0.
MICHAEL O'HAIRE 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	SECRETARY 1.00	0.	0.	0.
PHIL FIORE 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	TREASURER 1.00	0.	0.	0.
MIRIAM W. HOLMES 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	DIRECTOR 1.00	0.	0.	0.
CORTNEY HOLMES RIDENS 3111 CARDINAL DRIVE, STE B VERO BEACH, FL 32963	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print <small>File by the due date for filing your return. See instructions</small>	Name of exempt organization or other filer, see instructions	Enter filer's identifying number, see instructions Employer identification number (EIN) or
	HOLMES FOUNDATION INC	13-1946867
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	3111 CARDINAL DRIVE, NO. B	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	VERO BEACH, FL 32963-1920	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MICHAEL O'HAIRE

• The books are in the care of 3111 CARDINAL DRIVE, SUITE B - VERO BEACH, FL 32963
Telephone No. 772-231-6900 FAX No.

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ . If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013.

5 For calendar year 2012, or other tax year beginning _____, and ending _____.

6 If the tax year entered in line 5 is for less than 12 months, check reason. Initial return Final return
 Change in accounting period

7 State in detail why you need the extension SEE STATEMENT 9

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	2,259.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	2,259.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date



Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/interest period	Days in period
RMA MONEY MKT PORTFOLIO	34,154.36	11,112.27	1.00	0.01%	Nov 26 to Dec 23	28

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES TRUST RUSSELL 1000	741,000	65.792	48,752.34	48,752.34	65.490	48,528.09	-224.25	-224.25	ST
GROWTH INDEX FUND									
Symbol: IWF									
Trade date: Dec 3, 12									
EAI \$803 Current yield 1.65%									
KAYNE ANDERSON MLP INVESTMENT									
CO									
Symbol: KYN									
Trade date: Apr 15, 10	358,000	27.125	9,710.84	9,710.84	29.470	10,550.26	839.42		LT
Trade date: Jul 15, 11	48,000	29.403	1,411.36	1,411.36	29.470	1,414.56	3.20		LT
Trade date: Jul 9, 12	1,000	30.740	30.74	30.74	29.470	29.47	-1.27		ST
Trade date: Oct 2, 12	10,000	31.332	313.32	313.32	29.470	294.70	-18.62		ST
Total reinvested	110,000	27.433	3,017.71	3,017.71	29.470	3,241.70	223.99		

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Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI: \$1,159 Current yield 7.46%									
Security total	527,000	27,484	11,466.26	14,483.97	15,530.69	1,046.72	4,064.43		
POWERSHARES ETF INTL DIVID									
ACHIEVERS PORTFOLIO									
Symbol: PID									
Trade date: Oct 12, 11	1,582,000	14,674	23,215.42	23,215.42	15.830	25,043.06	1,827.64	1,827.64	LT
EAI: \$676 Current yield 2.70%									
VANGUARD EXTD MKT ETF									
Symbol: VXF									
Trade date: Jan 20, 09	309,000	29,304	9,055.03	9,055.03	60.500	18,694.50	9,639.47		LT
Trade date: Jul 21, 10	24,000	43,823	1,051.77	1,051.77	60.500	1,452.00	400.23		LT
Trade date: Jan 28, 11	68,000	54,989	3,739.27	3,739.27	60.500	4,114.00	374.73		LT
Trade date: Aug 25, 11	21,000	48,769	1,024.16	1,024.16	60.500	1,270.50	246.34		LT
Trade date: Oct 2, 12	123,000	59,580	7,328.34	7,328.34	60.500	7,441.50	113.16		ST
EAI: \$533 Current yield 1.62%									
Security total	545,000	40,731	22,198.57	22,198.57	32,972.50	10,773.93	10,773.93		
VANGUARD REIT ETF									
Symbol: VNQ									
Trade date: Oct 2, 12	250,000	64,779	16,194.98	16,194.98	65.800	16,450.00	255.02		ST
Trade date: Dec 3, 12	2,000	64,450	128.90	128.90	65.800	131.60	2.70		ST
EAI: \$590 Current yield 3.56%									
Security total	252,000	64,777	16,323.88	16,323.88	16,581.60	257.72	257.72		
VANGUARD SECTOR INDEX FD									
VANGUARD HEALTH CARE ETF									
Symbol: VHT									
Trade date: Dec 3, 12	111,000	72,755	8,075.89	8,075.89	71.670	7,955.37	-120.52	-120.52	ST
EAI: \$133 Current yield 1.67%									
VANGUARD TOTAL WORLD STK INDEX									
FD ETF									
Symbol: VT									
Trade date: Oct 12, 11	1,256,000	44,046	55,322.21	55,322.21	49.419	62,070.26	6,748.05	6,748.05	LT

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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
VANGUARD FTSE ALL WO X-US SC									
Symbol	VSS								
Trade date	86 000	77 983	6,706 54	6,706 54	90 890	7,816 54	1,110 00		LT
Trade date	2 000	80 650	161 30	161 30	90 890	181 78	20 48		LT
Trade date	3 000	87 200	261.60	261 60	90.890	272 67	11 07		LT
EAI: \$246 Current yield: 2.97%									
Security total	91 000	78 345	7,129 44	7,129 44		8,270.99	1,141 55	1,141 55	
VANGUARD DIVID APPRECIATION									
ETF									
Symbol	VIG								
Trade date	626 000	46 140	28,883.64	28,883 64	59.570	37,290 82	8,407.18		LT
Trade date	53 000	50 120	2,656 36	2,656 36	59 570	3,157 21	500 85		LT
Trade date	3 000	59 526	178.58	178 58	59 570	178 71	0 13		ST
EAI: \$962 Current yield: 2.37%									
Security total	682 000	46 508	31,718 58	31,718 58		40,626 74	8,908 16	8,908 16	
WISDOMTREE EQUITY INCOME FUND									
INC									
Symbol	DHS								
Trade date	529 000	46 100	24,387.28	24,387 28	45 799	24,227 67	-159 61		ST
EAI: \$1,460 Current yield: 6.03%									
WISDOMTREE EMERGING MARKETS									
EQUITY INCOM									
Symbol	DEM								
Trade date	462 000	51.762	23,914.33	23,914 33	57 190	26,421 78	2,507.45		LT
Trade date	110 000	56 694	6,236.37	6,236 37	57 190	6,290 90	54 53		ST
Trade date	28 000	51 027	1,428 78	1,428 78	57.190	1,601 32	172.54		ST
Trade date	9 000	53.350	480 15	480 15	57 190	514 71	34 56		ST
EAI: \$1,147 Current yield: 3.29%									
Security total	609 000	52 643	32,059.63	32,059 63		34,828.71	2,769 08	2,769 08	

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Portfolio Management Program
December 2012

Account name:
Account number:

HOLMES FOUNDATION INC.
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets > Equities > Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total			\$280,649.50	\$283,667.21		\$316,635.68	\$32,968.47	\$35,986.18	
Total estimated annual income: \$9,140									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK LATIN AMERICA									
FUND INC A									
Symbol: MDLTX									
Trade date: Oct 2, 12	276,098	58,869	16,253.87	16,253.87	60,630	16,739.82	485.95		ST
Trade date: Dec 3, 12	8,244	57,347	472.77	472.77	60,630	499.83	27.06		ST
Total reinvested	4,104	60,070		246.53	60,630	248.83	2.30		
EAI: \$250 Current yield 1.43%									
Security total	288,446	58,843	16,726.64	16,973.17		17,488.48	515.31	761.84	

CALAMOS INTERNATIONAL

GROWTH FUND CLASS A

Symbol: CIGRX

Trade date: Oct 12, 11

Trade date: Feb 1, 12

Trade date: Jul 9, 12

Total reinvested

EAI: \$60 Current yield 0.21%

Security total

EATON VANCE ATLANTA

CAPITAL SMID-CAP

FUND CLASS A

Symbol: EAASX

1,313,694	15,840	20,808.92	20,808.92	17,560	23,068.46	2,259.54			LT
256,856	16,719	4,294.63	4,294.63	17,560	4,510.39	215.76			ST
44,864	15,869	711.99	711.99	17,560	787.81	75.82			ST
3,391	17,649	59.85	59.85	17,560	59.55	-0.30			
1,618,805	15,984	25,815.54	25,875.39		28,426.21	2,559.82		2,610.67	

continued next page



Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Equities • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
	Jul 9, 12	936,249	15,909	14,895.72	14,895.72	17,030	15,944.31	1,048.59		ST
	Oct 2, 12	16,358	16,520	270.24	270.24	17,030	278.58	8.34		ST
Total reinvested		3,145	16,871		53.06	17,030	53.56	0.50		
Security total		955,752	15,924	15,165.96	15,219.02		16,276.45	1,057.43	1,110.49	

TEMPLETON FRONTIER

MARKETS CLASS A

Symbol: TFMXX

Trade date	Jul 21, 10	350,200	14,500	5,077.90	5,077.90	15,990	5,599.70	521.80		LT
Trade date	Nov 23, 10	7,351	15,980	117.47	117.47	15,990	117.54	0.07		LT
Trade date	Mar 31, 11	38,943	15,850	617.25	617.25	15,990	622.70	5.45		LT
Trade date	Aug 25, 11	6,206	14,339	88.99	88.99	15,990	99.23	10.24		LT
Trade date	Sep 23, 11	2,460	13,581	33.41	33.41	15,990	39.34	5.93		LT
Trade date	Feb 1, 12	620,995	13,919	8,644.25	8,644.25	15,990	9,929.71	1,285.46		ST
Total reinvested		32,485	14,235		462.43		519.44	57.01		
EAL \$198 Current yield	1.17%									
Security total		1,058,640	14,209	14,579.27	15,041.70		16,927.65	1,885.96	2,348.39	
Total				\$72,287.41	\$73,109.28		\$79,118.79	\$6,009.52	\$6,831.38	

Total estimated annual income: \$508

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES BARCLAYS 20+ YEAR										
TREAS BD FD										

Continued next page





Your assets ▶ Fixed income ▶ Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Symbol: TLT									
Trade date: Feb 1, 12	196 000	119 090	23,341 67	23,341 67	121 180	23,751 28	409.61	409.61	ST
EAI, \$636 Current yield: 2.68%									
WISDOMTREE BRAZILIAN REAL FD									
Symbol: BZF									
Trade date: Dec 6, 12	874 000	18 619	16,273 81	16,273 81	18 910	16,527 34	253 53		ST
Trade date: Dec 6, 12	2 000	18 580	37 16	37 16	18 910	37 82	0 66		ST
Trade date: Dec 6, 12	1 000	18 510	18 51	18 51	18 910	18 91	0 40		ST
Security total	877 000	18 620	16,329 48	16,329 48		16,584 07	254 59	254 59	
Total			\$39,671.15	\$39,671.15		\$40,335.35	\$664.20	\$664.20	
Total estimated annual income: \$636									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANCE BERNSTEIN HIGH INCOME MUNI CLASS A									
Symbol: ABTHX									
Trade date: Apr 15, 10	36 614	10.030	367 24	367 24	11 550	422 89	55.65		LT
Trade date: Nov 23, 10	207 172	10 069	2,086 22	2,086 22	11 550	2,392 84	306.62		LT
Trade date: Jan 28, 11	147 219	9 499	1,398 58	1,398 58	11 550	1,700 38	301.80		LT
Trade date: Feb 1, 12	791 843	10.790	8,543 99	8,543 99	11 550	9,145.79	601.80		ST
Trade date: Jul 9, 12	605 252	11.110	6,724.35	6,724.35	11 550	6,990 66	266 31		ST
Total reinvested	294,974	10.470	3,088 53	3,088 53	11 550	3,406 95	318 42		
EAI, \$1,102 Current yield 4.58%									
Security total	2,083 074	10 662	19,120 38	22,208 91		24,059 50	1,850 60	4,939.13	

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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANCEBERNS I E I N									
GLOBAL BOND FUND INC									
FD CL A									
Symbol: ANAGX									
Trade date: Dec 17, 09	885 215	8 000	7,081 72	7,081 72	8 620	7,630 55	548 83		LT
Trade date: Jan 8, 10	171 437	8 030	1,376 64	1,376 64	8 620	1,477 79	101 15		LT
Trade date: Nov 23, 10	184 161	8 459	1,558 00	1,558 00	8 620	1,587 47	29 47		LT
Trade date: Jan 28, 11	69 478	8 360	580 84	580 84	8 620	598 90	18 06		LT
Trade date: Mar 31, 11	23 764	8 310	197 48	197 48	8 620	204 85	7 37		LT
Trade date: Feb 1, 12	105 445	8 440	889 96	889 96	8 620	908 94	18 98		ST
Trade date: Oct 2, 12	1,032 291	8 639	8,918 99	8,918 99	8 620	8,898 35	-20 64		ST
Total reinvested	364,785	8 301		3,028 37	8 620	3,144 45	116 08		
EAI \$618 Current yield 2 53%									
Security total	2,836 576	8 331	20,603 63	23,632 00		24,451 28	819 30		3,847 67
DOUBLE LINE TOTAL RETURN									
FUND N									
Symbol: DLTNX									
Trade date: Jul 21, 10	576 009	10 760	6,197 86	6,197 86	11 330	6,526 18	328 32		LT
Trade date: Nov 23, 10	85 796	11 199	960 91	960 91	11 330	972 07	11 16		LT
Trade date: Jan 28, 11	836 211	11 070	9,256 86	9,256 86	11 330	9,474 27	217 41		LT
Trade date: Mar 31, 11	4 766	10 960	52 24	52 24	11 330	54 00	1 76		LT
Trade date: Oct 19, 11	829 925	11 179	9,278 56	9,278 56	11 330	9,403 05	124 49		LT
Trade date: Feb 1, 12	38 841	11 129	432 30	432 30	11 330	440 07	7 77		ST
Trade date: Oct 2, 12	71 751	11 399	817 96	817 96	11 330	812 94	-5 02		ST
Total reinvested	432 449	11 111		4,805 22	11 330	4,899 65	94 43		
EAI \$1,895 Current yield 5 82%									
Security total	2,875 748	11 059	26,996 69	31,801 91		32,582 22	780 32		5,585 54
EATON VANCE TAX-ADV									
BOND STRATEGIES									
SHORT TERM FUND A									
Symbol: EAB5X									



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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC.
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Fixed income • Mutual funds (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
	Trade date Jul 15, 11	607,035	10,530	6,392,08	6,392,08	10,660	6,470,99	78,91		LT
	Trade date Feb 1, 12	29,243	10,820	316,41	316,41	10,660	311,73	-4,68		ST
	Trade date Oct 2, 12	58,475	10,909	637,96	637,96	10,660	623,34	-14,62		ST
	Total reinvested	60,854	10,715	652,08	652,08	10,660	648,70	-3,38		
	EAI: \$45 Current yield 0.56%									
	Security total	755,607	10,586	7,346,45	7,998,53		8,054,77	56,23	708,31	
JP MORGAN TAX AWARE										
REAL RETURN A										
	Symbol TXRX									
	Trade date Apr 15, 10	607,297	9,939	6,036,53	6,036,53	10,490	6,370,54	334,01		LT
	Trade date Nov 23, 10	376,023	9,980	3,752,71	3,752,71	10,490	3,944,48	191,77		LT
	Trade date Jul 15, 11	357,585	10,300	3,683,13	3,683,13	10,490	3,751,07	67,94		LT
	Trade date Oct 2, 12	48,942	10,520	514,87	514,87	10,490	513,40	-1,47		ST
	Total reinvested	142,635	10,145	1,447,17	1,447,17	10,490	1,496,24	49,07		
	EAI: \$362 Current yield: 2.25%									
	Security total	1,532,482	10,072	13,987,24	15,434,41		16,075,73	641,32	2,088,49	
LORD ABBETT INTERMEDIATE										
TAX FREE FUND CLASS A										
	Symbol LISAX									
	Trade date Jul 15, 11	62,437	10,329	644,97	644,97	11,010	687,43	42,46		LT
	Trade date Oct 19, 11	950,903	10,389	9,879,88	9,879,88	11,010	10,469,44	589,56		LT
	Trade date Feb 1, 12	1,458,588	10,839	15,811,09	15,811,09	11,010	16,059,05	247,96		ST
	Trade date Jul 9, 12	254,235	10,859	2,760,99	2,760,99	11,010	2,799,13	38,14		ST
	Total reinvested	195,207	10,768	2,102,09	2,102,09	11,010	2,149,23	47,14		
	EAI: \$935 Current yield 2.91%									
	Security total	2,921,370	10,680	29,096,93	31,199,02		32,164,28	965,26	3,067,35	
PIMCO INCOME FUND										
CLASS A										
	Symbol PONAX									
	Trade date Dec 3, 12	1,967,875	12,379	24,362,29	24,362,29	12,360	24,322,93	-39,36		ST
	Total reinvested	26,390	12,373	326,54	326,54	12,360	326,18	-0,36		

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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
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Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI \$1,235 Current yield: 5.01%	1,994,265	12.380	24,362.29	24,688.83	24,649.11	24,649.11	-39.72	286.82	
TCW EMERGING MARKETS									
INCOME FUND CLASS N									
Symbol	TGINX								
Trade date	Jul 9, 12	11,300	14,625.01	14,625.01	12,020	15,556.86	931.85		ST
Trade date	Oct 2, 12	11,819	8,286.94	8,286.94	12,020	8,427.16	140.22		ST
Total reinvested	85,094	11,921		1,014.42	12,020	1,022.83	8.41		
EAI \$1,282 Current yield: 5.13%	2,080,437	11,501	22,911.95	23,926.37	25,006.85	25,006.85	1,080.48	2,094.90	
WESTERN ASSET									
MANAGED MUNICIPALS									
FUND CLASS A									
Symbol	SHMMX								
Trade date	Feb 1, 12	16,779	13,728.59	13,728.59	17,230	14,096.75	368.16		ST
Trade date	Oct 2, 12	17,200	17,140.18	17,140.18	17,230	17,170.07	29.89		ST
Total reinvested	41,106	17,094		702.67 ²	17,230	708.26	5.59		
EAI \$1,259 Current yield: 3.94%	1,855,780	17,012	30,868.77	31,571.44	31,975.08	31,975.08	403.64	1,106.31	
Security total			\$195,294.33	\$212,461.42	\$219,018.82	\$219,018.82	\$6,557.43	\$23,724.49	
Total									
Total estimated annual income:	\$8,733								

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction





Portfolio Management Program
December 2012

Account name:
Account number:

HOLMES FOUNDATION INC.
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets (continued)

Alternative strategies

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
IQ HEDGE MULTI-STRAT TRACKER									
Symbol QAI									
Trade date Feb 1, 12	721 000	27.681	19,958.03	19,958.03	27.810	20,051.01	92.98	92.98	ST
EAI, \$223 Current yield 1.11%									

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
JHANCOCK2 GLOBAL									
ABSOLUTE RETURN									
STRATEGIES FUND CLASS A									
Symbol JHAAX									
Trade date Dec 3, 12	1,513 555	10 730	16,240 45	16,240 45	10 710	16,210 16	-30 29		ST
Total reinvested	13 642	10 649		145 28	10 710	146 11	0 83		
Security total	1,527,197	10 729	16,240,45	16,385 73		16,356 27	-29 46	115 82	
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol MFLDX									
Trade date Dec 3, 12	1,039 056	15 629	16,240 44	16,240 44	15 840	16,458 64	218 20		ST

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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Alternative strategies • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total reinvested	4 801	15 511		74.47	15 840	76.05	1 58		
Security total	1,043 857	15 629	16,240 44	16,314 91		16,534 69	219 78	294 25	
NATIXIS ASG GLOBAL									
ALTERNATIVE A									
Symbol	GAFAX								
Trade date	Nov 23, 10	10 790	505 88	505 88	10 620	497 91	-7.97		LT
Trade date	Jul 15, 11	11 060	17,071 19	17,071 19	10 620	16,392.04	-679 15		LT
Trade date	Feb 1, 12	10,680	214 80	214.80	10 620	213 59	-1 21		ST
Trade date	Jul 9, 12	10,019	1,660 63	1,660 63	10 620	1,760 07	99 44		ST
Trade date	Dec 3, 12	10 521	25 01	25 01	10 620	25.24	0 23		ST
Total reinvested	147 441	10,686		1,575 61	10 620	1,565 82	-9 79		
Security total	1,926 053	10 931	19,477 51	21,053 12		20,454 68	-598 45	977 16	
Total			\$51,958.40	\$53,753.76		\$53,345.64	-\$408.13	\$1,387.24	

Broad commodities

Closed end funds & Exchange traded products

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ETF5 PRECIOUS METALS BASKET TR									
Symbol	GLTR								
Trade date	Jul 15, 11	102.132	16,443 35	16,443 35	92.810	14,942 41	-1,500 94		LT
Trade date	Dec 3, 12	97 914	489 57	489 57	92 810	464 05	-25 52		ST
Security total	166 000	102 006	16,932 92	16,932 92		15,406 46	-1,526 46	-1,526 46	





Your assets • **Broad commodities** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PIMCO COMMODITY REAL									
RETURN STRATEGY FUND									
CLASS A									
Symbol	PCRAX								
Trade date	Oct 2, 12	7.040	24,379.51	24,379.51	6.520	22,578.75	-1,800.76		ST
Trade date	Dec 3, 12	6.820	881.54	881.54	6.520	842.76	-38.78		ST
Total reinvested	37,537	6.640		249.25	6.520	244.74	-4.51		
EAI, \$465	Current yield	1.96%							
Security total	3,629,793	7.028	25,261.05	25,510.30		23,666.25	-1,844.05	-1,594.80	

Other

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized, as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
PIMCO ALL ASSETS ALL									
AUTH-A									
Symbol	PAUAX								
Trade date	Jan 20, 09	9.000	2,398.60	2,398.60	11.070	2,950.28	551.68		LT
Trade date	Jan 8, 10	10.319	302.83	302.83	11.070	324.84	22.01		LT

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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
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Your assets - Other - Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
Trade date Nov 23, 10	1,012,833	11.040	11,181.68	11,181.68	11.070	11,212.06	30.38		LT
Trade date Jul 15, 11	1,559,884	10.870	16,955.94	16,955.94	11.070	17,267.91	311.97		LT
Total reinvested	838,957	10.394		8,720.12	11.070	9,287.25	567.13		
EAI: \$1,968 Current yield 4.80%									
Security total	3,707,529	10.670	30,839.05	39,559.17		41,042.34	1,483.17	10,203.29	

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	11,112.27	1.36%	11,112.27		
Equities					
Closed end funds & Exchange traded products	316,635.68		283,667.21	9,140.00	32,968.47
Mutual funds	79,118.79		73,109.28	508.00	6,009.52
Total equities	395,754.47	48.28%	356,776.49	9,648.00	38,977.99
Fixed income					
Closed end funds & Exchange traded products	40,335.35		39,671.15	636.00	664.20
Mutual funds	219,018.82		212,461.42	8,733.00	6,557.43
Total fixed income	259,354.17	31.64%	252,132.57	9,369.00	7,221.63
Alternative strategies					
Closed end funds & Exchange traded products	20,051.01		19,958.03	223.00	92.98
Mutual funds	53,345.64		53,753.76		-408.13
Total alternative strategies	73,396.65	8.95%	73,711.79	223.00	-315.15
Broad commodities					
Closed end funds & Exchange traded products	15,406.46		16,932.92		-1,526.46
Mutual funds	23,666.25		25,510.30	465.00	-1,844.05
Total broad commodities	39,072.71	4.76%	42,443.22	465.00	-3,370.51
Other	41,042.34	5.01%	39,559.17	1,968.00	1,483.17
Total	\$819,732.61	100.00%	\$775,735.51	\$21,673.00	\$43,997.13
	Cash		11,112.27		
			808,620.34		
			<u>764,623.21</u>		





Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	31,968.25	12,180.97	1.00	0.01%	Nov 26 to Dec 23	28

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABT Exchange: NYSE								
EAI: \$97 Current yield: 0.85%	Jan 8, 10	162,000	55.085	8,923.85	65.500	10,611.00	1,687.15	LT
	Dec 3, 12	12,000	64.343	772.12	65.500	786.00	13.88	ST
Security total		174,000	55.724	9,695.97		11,397.00	1,701.03	
ACCENTURE PLC IRELAND CL A								
Symbol: ACN Exchange: NYSE								
EAI: \$266 Current yield: 2.44%	Jul 15, 11	106,000	60.609	6,424.57	66.500	7,049.00	624.43	LT
	Dec 3, 12	58,000	68.040	3,946.32	66.500	3,857.00	-89.32	ST
Security total		164,000	63.237	10,370.89		10,906.00	535.11	
AMERICAN TOWER CORP REIT								
Symbol: AMT Exchange: NYSE								
EAI: \$95 Current yield: 1.24%	Feb 1, 12	99,000	63.698	6,306.13	77.270	7,649.73	1,343.60	ST
AMERISOURCEBERGEN CORP								
Symbol: ABC Exchange: NYSE								
EAI: \$147 Current yield: 1.95%	Jan 18, 11	175,000	35.606	6,231.19	43.180	7,556.50	1,325.31	LT
ANADARKO PETROLEUM CORP								
Symbol: APC Exchange: NYSE								
EAI: \$36 Current yield: 0.48%	Jan 18, 11	89,000	79.590	7,083.51	74.310	6,613.59	-469.92	LT
	Jul 15, 11	3,000	80.070	240.21	74.310	222.93	-17.28	LT



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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	May 7, 12	8 000	68.483	547 87	74 310	594.48	46.61	ST
ANALOG DEVICES INC		100 000	78 716	7,871.59		7,431 00	-440 59	
Symbol: ADI Exchange OTC	Jan 18, 11	185 000	39 410	7,290 85	42 060	7,781 10	490 25	LT
EAI: \$330 Current yield: 2.85%	Jul 15, 11	3 000	35 710	107 13	42 060	126.18	19 05	LT
Security total	Dec 3, 12	87 000	40 568	3,529 50	42 060	3,659 22	129 72	ST
APPLE INC		275 000	39 736	10,927 48		11,566 50	639 02	
Symbol: AAPL Exchange: OTC	Dec 3, 12	19 000	589.690	11,204.11	532.172	10,111.26	-1,092.85	ST
EAI: \$201 Current yield: 1.99%								
APPLIED MATERIALS INC		1,044,000	10.720	11,191.68	11 440	11,943 36	751 68	ST
Symbol: AMAT Exchange: OTC	Dec 3, 12	234 000	47 795	11,184 26	47.270	11,061 18	-123.08	ST
EAI: \$376 Current yield: 3.15%								
ASTRAZENECA PLC SPON ADR		190 000	42.169	8,012.12	56 930	10,816 70	2,804.58	LT
Symbol: AZN Exchange: NYSE	Jan 8, 10	7 000	56 480	395 36	56 930	398 51	3.15	ST
EAI: \$667 Current yield: 6.03%	Dec 3, 12	197 000	42 678	8,407 48		11,215 21	2,807.73	
AUTOMATIC DATA PROCESSING INC		133 000	45 595	6,064.24	57 880	7,698 04	1,633 80	LT
Symbol: ADP Exchange: OTC	Jan 8, 10							
EAI: \$343 Current yield: 3.06%								
Security total								
BANK OF NOVA SCOTIA CANADA CAD		325 000	34.117	11,088 12	35.010	11,378 25	290.13	ST
Symbol: BNS Exchange: NYSE	Dec 3, 12							
EAI: \$306 Current yield: 3.98%								
CAD Exchange rate: 0.99570								
BARRICK GOLD CORP CAD		57 000	195 918	11,167 35	206 710	11,782 47	615.12	ST
Symbol: ABX Exchange: NYSE	Dec 3, 12							
EAI: \$260 Current yield: 2.29%								
CAD Exchange rate: 0.99570								
BLACKROCK INC								
Symbol: BLK Exchange: NYSE								
EAI: \$342 Current yield: 2.90%								

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CHEVRON CORP								
Symbol CVX Exchange: NYSE								
EAI: \$382 Current yield 3.33%	Nov 23, 10	95 000	81 769	7,768.07	108 140	10,273 30	2,505 23	LT
Security total	Dec 3, 12	11 000	104 826	1,153 09	108 140	1,189 54	36 45	ST
		106 000	84 162	8,921 16		11,462 84	2,541 68	
COCA COLA CO COM								
Symbol KO Exchange: NYSE								
EAI: \$201 Current yield 2.81%	May 7, 12	190 000	38 468	7,308 98	36 250	6,887 50	-421 48	ST
Security total	Oct 2, 12	4 000	38 277	153 11	36 250	145 00	-8 11	ST
	Dec 3, 12	3 000	37 540	112 62	36 250	108 75	-3 87	ST
		197 000	38 450	7,574 71		7,141 25	-433 46	
COLGATE PALMOLIVE CO								
Symbol CL Exchange: NYSE								
EAI: \$169 Current yield: 2.38%	Nov 23, 10	68 000	77 716	5,284 69	104 540	7,108 72	1,824 03	LT
Security total	Dec 3, 12	301 000	37 072	11,158 97	37 360	11,245 36	86 39	ST
COMCAST CORP NEW CL A								
Symbol CMCSA Exchange: OTC								
EAI: \$196 Current yield 1.74%	Jul 15, 11	125 000	52 138	6,517 27	57 740	7,217 50	700 23	LT
Security total	Dec 3, 12	3 000	57 636	172 91	57 740	173 22	0 31	ST
		128 000	52 267	6,690 18		7,390 72	700 54	
COVIDIEN PLC								
Symbol COV Exchange: NYSE								
EAI: \$133 Current yield: 1.80%	Feb 1, 12	153 000	42 826	6,552 38	48 350	7,397 55	845 17	ST
Security total	Dec 3, 12	8 000	46 380	371 04	48 350	386 80	15 76	ST
		161 000	43 003	6,923 42		7,784 35	860 93	
CVS CAREMARK CORP								
Symbol CVS Exchange: NYSE								
EAI: \$145 Current yield 1.86%	Dec 3, 12	1,127 000	9 892	11,148 86	10 840	12,216 68	1,067 82	ST
CYPRESS SEMICONDUCTOR CP								
Symbol CY Exchange: OTC								
EAI: \$496 Current yield 4.06%	Dec 3, 12	236 000	47 299	11,162 75	49 140	11,597 04	434 29	ST
Security total								
ENI SPA IT SPON ADR								
Symbol E Exchange: NYSE								
EAI: \$506 Current yield 4.36%								



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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
EXXON MOBIL CORP								
Symbol: XOM Exchange NYSE								
EAI: \$194 Current yield: 2.64%	Jan 18, 11	82,000	78 379	6,427 10	86 550	7,097.10	670 00	LT
	Dec 3, 12	3 000	87 780	263 34	86 550	259 65	-3 69	ST
Security total		85 000	78 711	6,690 44		7,356 75	666 31	
GENL MILLS INC								
Symbol: GIS Exchange NYSE								
EAI: \$242 Current yield: 3.27%	Oct 15, 08	174 000	32 698	5,689 56	40 420	7,033 08	1,343 52	LT
	Feb 1, 12	9 000	40 196	361 77	40 420	363 78	2 01	ST
Security total		183 000	33,067	6,051.33		7,396 86	1,345 53	
HEINEKEN NV SPON ADR								
Symbol: HEINY Exchange: OTC								
EAI: \$97 Current yield 1.28%	Dec 3, 12	225 000	33 070	7,440.75	33 570	7,553 25	112 50	ST
HEINZ H J CO								
Symbol: HNZ Exchange: NYSE								
EAI: \$262 Current yield 3.58%	Feb 1, 12	127 000	52 278	6,639 34	57 680	7,325 36	686 02	ST
HOME DEPOT INC								
Symbol: HD Exchange NYSE								
EAI: \$198 Current yield 1.87%	Nov 23, 10	122,000	30 948	3,775 70	61 850	7,545 70	3,770 00	LT
	Dec 3, 12	49,000	65 126	3,191.18	61.850	3,030.65	-160 53	ST
Security total		171 000	40,742	6,966 88		10,576 35	3,609 47	
INTL BUSINESS MACH								
Symbol: IBM Exchange NYSE								
EAI: \$201 Current yield 1.78%	Mar 22, 06	59 000	84 170	4,966 03	191 550	11,301 45	6,335 42	LT
JOHNSON & JOHNSON COM								
Symbol: JNJ Exchange NYSE								
EAI: \$261 Current yield 3.48%	Nov 23, 10	107 000	63 037	6,745 06	70 100	7,500 70	755.64	LT
LORILLARD INC								
Symbol: LO Exchange NYSE								
EAI: \$378 Current yield 5.31%	Jan 18, 11	52 000	77 237	4,016 37	116 670	6,066 84	2,050 47	LT
	Feb 1, 12	3 000	107 620	322 86	116 670	350 01	27 15	ST
	Oct 2, 12	6 000	116 226	697 36	116 670	700.02	2 66	ST
Security total		61,000	82 567	5,036 59		7,116 87	2,080.28	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MERCK & CO INC NEW COM								
Symbol: MRK Exchange: NYSE								
EAI: \$430 Current yield: 4.20%	Nov 23, 10	247 000	35.264	8,710.45	40.940	10,112.18	1,401.73	LT
	Dec 3, 12	3 000	44.490	133.47	40.940	122.82	-10.65	ST
Security total		250 000	35.376	8,843.92		10,235.00	1,391.08	
MICROSOFT CORP								
Symbol: MSFT Exchange: OTC								
EAI: \$387 Current yield: 3.44%	Sep 8, 10	243 000	24.165	5,872.10	26.709	6,490.28	618.18	LT
	Jul 9, 12	4 000	29.930	119.72	26.709	106.84	-12.88	ST
	Oct 2, 12	3 000	29.566	88.70	26.709	80.13	-8.57	ST
	Dec 3, 12	171 000	26.497	4,530.99	26.709	4,567.24	36.25	ST
Security total		421 000	25.205	10,611.51		11,244.48	632.98	
NESTLE S A SPONSORED ADR								
REPSTG REG SHS SWITZ ADR								
Symbol: NSRGY Exchange: OTC								
EAI: \$301 Current yield: 2.72%	Dec 3, 12	170 000	65.629	11,157.09	65.170	11,078.90	-78.19	ST
NORFOLK STHN CORP								
Symbol: NSC Exchange: NYSE								
EAI: \$374 Current yield: 3.23%	Jan 18, 11	101 000	65.068	6,571.95	61.840	6,245.84	-326.11	LT
	Sep 23, 11	1 000	61.770	61.77	61.840	61.84	0.07	LT
	May 7, 12	1 000	71.830	71.83	61.840	61.84	-9.99	ST
	Oct 2, 12	13 000	64.356	836.63	61.840	803.92	-32.71	ST
	Dec 3, 12	71 000	59.496	4,224.25	61.840	4,390.64	166.39	ST
Security total		187 000	62.922	11,766.43		11,564.08	-202.35	
NOVARTIS AG SPON ADR								
Symbol: NVS Exchange: NYSE								
EAI: \$377 Current yield: 3.33%	Nov 23, 10	135 000	55.409	7,480.24	63.300	8,545.50	1,065.26	LT
	Jan 18, 11	3 000	57.650	172.95	63.300	189.90	16.95	LT
	Mar 31, 11	9 000	54.580	491.22	63.300	569.70	78.48	LT
	Jul 15, 11	32 000	61.509	1,968.29	63.300	2,025.60	57.31	LT
Security total		179 000	56.496	10,112.70		11,330.70	1,218.00	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
OAKTREE CAP GROUP LLC Symbol: OAK Exchange: NYSE EAI: \$605 Current yield: 4.84%	Dec 3, 12	275,000	40.950	11,261.51	45.490	12,509.75	1,248.24	ST
PHILLIPS 66 Symbol: PSX Exchange: NYSE EAI: \$216 Current yield: 1.88%	Dec 3, 12	216,000	51.385	11,099.23	53.100	11,469.60	370.37	ST
POTASH CORP SASK INC CANADA CAD Symbol: POT Exchange: NYSE EAI: \$242 Current yield: 2.07%	Dec 3, 12	288,000	38.757	11,162.02	40.690	11,718.72	556.70	ST
CAD Exchange rate: 0.99570 PUBLIC STORAGE REIT Symbol: PSA Exchange: NYSE EAI: \$229 Current yield: 3.04%	Jan 18, 11	52,000	103.863	5,400.89	144.960	7,537.92	2,137.03	LT
QUALCOMM INC Symbol: QCOM Exchange: OTC EAI: \$175 Current yield: 1.62%	Jul 15, 11 Feb 1, 12	136,000 39,000	54.950 59.427	7,473.20 2,317.68	61.859 61.859	8,412.82 2,412.50	939.62 94.82	LT ST
Security total		175,000	55.948	9,790.88		10,825.32	1,034.44	
ROYAL DUTCH SHELL PLC CL A SPON ADR Symbol: RDSA Exchange: NYSE EAI: \$488 Current yield: 4.24%	Dec 3, 12	167,000	66.862	11,166.09	68.950	11,514.65	348.56	ST
SIEMENS A G SPON ADR Symbol: SI Exchange: NYSE EAI: \$309 Current yield: 2.61%	Dec 3, 12	108,000	103.639	11,193.11	109.470	11,822.76	629.65	ST
SMUCKER J M CO NEW Symbol: SJM Exchange: NYSE EAI: \$175 Current yield: 2.42%	Feb 1, 12	84,000	79.695	6,694.46	86.240	7,244.16	549.70	ST
STARBUCKS CORP Symbol: SBUX Exchange: OTC EAI: \$181 Current yield: 1.56%	Jan 18, 11 Jul 9, 12 Oct 2, 12 Dec 3, 12	136,000 7,000 7,000 66,000	33.079 51.880 49.130 51.691	4,498.85 363.16 343.91 3,411.67	53.630 53.630 53.630 53.630	7,293.68 375.41 375.41 3,539.58	2,794.83 12.25 31.50 127.91	LT ST ST ST

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BW 14295 LP

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		216 000	39 896	8,617 59		11,584 08	2,966.49	
STARWOOD HOTELS & RESORTS								
WORLDWIDE INC NEW								
Symbol: HOT Exchange NYSE								
EAI: \$261	Feb 1, 12	131 000	54 734	7,170 26	57 360	7,514.16	343 90	ST
	Dec 3, 12	78 000	53 427	4,167 36	57 360	4,474.08	306 72	ST
Security total		209 000	54 247	11,337 62		11,988 24	650 62	
TANGER FACTORY OUTLET								
CENTERS INC								
Symbol: SKT Exchange NYSE								
EAI: \$190	Jul 15, 11	226 000	27 980	6,323 48	34 200	7,729 20	1,405.72	LT
UNILEVER PLC AMER SHS NEW SPON								
ADR								
Symbol: UL Exchange NYSE								
EAI: \$237	Jul 15, 11	193 000	32 017	6,179 37	38 720	7,472.96	1,293 59	LT
UNITED PARCEL SERVICE INC CL B								
Symbol: UPS Exchange NYSE								
EAI: \$351	Nov 23, 10	94 000	67 828	6,375 92	73 730	6,930 62	554 70	LT
	Oct 2, 12	9 000	71 916	647.25	73 730	663.57	16 32	ST
	Dec 3, 12	51 000	72 526	3,698 86	73 730	3,760.23	61 37	ST
Security total		154 000	69 624	10,722 03		11,354 42	632 39	
UNITD TECHNOLOGIES CORP								
Symbol: UTX Exchange NYSE								
EAI: \$300	Oct 15, 08	48 000	50 984	2,447 26	82 010	3,936 48	1,489 22	LT
	Jun 11, 09	43 000	55 668	2,393 76	82 010	3,526 43	1,132 67	LT
	Sep 23, 11	3 000	69 420	208 26	82 010	246 03	37 77	LT
	May 7, 12	1 000	79 220	79 22	82 010	82 01	2 79	ST
	Dec 3, 12	45 000	79 966	3,598 51	82 010	3,690 45	91 94	ST
Security total		140 000	62,336	8,727 01		11,481 40	2,754 39	
US BANCORP DEL (NEW)								
Symbol: USB Exchange NYSE								
EAI: \$180	Jul 15, 11	218 000	24 739	5,393 11	31 940	6,962 92	1,569 81	LT
	Dec 3, 12	13 000	32 096	417.26	31 940	415 22	-2.04	ST



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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		231,000	25.153	5,810.37		7,378.14	1,567.77	
VISA INC CL A								
Symbol: V Exchange NYSE								
EAI: \$99 Current yield: 0.87%	Dec 3, 12	75,000	149.126	11,184.45	151.580	11,368.50	184.05	ST
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange NYSE								
EAI: \$170 Current yield: 1.51%	Feb 1, 12	144,000	39.556	5,696.19	49.790	7,169.76	1,473.57	ST
	Dec 3, 12	82,000	49.386	4,049.69	49.790	4,082.78	33.09	ST
Security total		226,000	43.123	9,745.88		11,252.54	1,506.66	
WELLS FARGO & CO NEW								
Symbol: WFC Exchange NYSE								
EAI: \$298 Current yield: 2.57%	Dec 3, 12	339,000	32.898	11,152.50	34.180	11,587.02	434.52	ST
3M CO								
Symbol: MMM Exchange NYSE								
EAI: \$290 Current yield: 2.54%	Jun 11, 09	80,000	60.779	4,862.33	92.850	7,428.00	2,565.67	LT
	Dec 3, 12	43,000	90.316	3,883.62	92.850	3,992.55	108.93	ST
Security total		123,000	71.105	8,745.95		11,420.55	2,674.60	
Total				\$469,917.74		\$528,484.14	\$58,566.41	
Total estimated annual income: \$14,392								

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
KAYNE ANDERSON MLP INVESTMENT										
CO										
Symbol: KYN										
Trade date: Apr 15, 10		152,000		4,123.04	4,123.04	29.470	4,479.44	356.40		LT

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Your assets • Equities • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Trade date Jul 15, 11	102,000	29,403	2,999,13	2,999,13	29,470	3,005,94	6,81		LT
Trade date Sep 23, 11	170,000	27,461	4,668,41	4,668,41	29,470	5,009,90	341,49		LT
Trade date Dec 3, 12	2,000	30,820	61,64	61,64	29,470	58,94	-2,70		ST
Total reinvested	179,000	27,613	4,942,86	4,942,86	29,470	5,275,13	332,27		
EAI: \$1,331 Current yield 7.47%									
Security total	605,000	27,760	11,852,22	16,795,08		17,829,35	1,034,27	5,977,13	

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

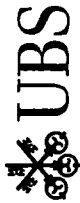
Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES IBOXX \$ INVT GRA DE									
CORPORATE BOND FUND									
Symbol LQD									
Trade date Mar 22, 06	57,000	106,560	6,073,92	6,073,92	120,990	6,896,43	822,51		LT
Trade date Sep 23, 11	66,000	113,183	7,470,11	7,470,11	120,990	7,985,34	515,23		LT
EAI: \$569 Current yield 3.82%									
Security total	123,000	110,114	13,544,03	13,544,03		14,881,77	1,337,74	1,337,74	
ISHARES BARCLAYS 20+ YEAR									
TREAS BD FD									
Symbol TLT									
Trade date Feb 1, 12	233,000	119,090	27,748,01	27,748,01	121,180	28,234,94	486,93		ST
Trade date Oct 2, 12	8,000	124,347	994,78	994,78	121,180	969,44	-25,34		ST
EAI: \$783 Current yield 2.68%									
Security total	241,000	119,265	28,742,79	28,742,79		29,204,38	461,59	461,59	



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Your assets • Fixed income • Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
Total			\$42,286.82	\$42,286.82		\$44,086.15	\$1,799.33	\$1,799.33	

Total estimated annual income: \$1,352

Mutual funds

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ALLIANCEBERNSTEIN									
GLOBAL BOND FUND INC									
FD CL A									
Symbol: ANAGX									
Trade date: Dec 17, 09	549,566	8,000	4,396,53	4,396.53	8,620	4,737,26	340,73		LT
Trade date: Mar 31, 11	11,679	8,309	97,05	97,05	8,620	100,67	3,62		LT
Trade date: Sep 23, 11	1,294,211	8,420	10,897,26	10,897,26	8,620	11,156,10	258,84		LT
Trade date: Oct 2, 12	84,973	8,640	734,17	734,17	8,620	732,47	-1,70		ST
Total reinvested	675,169	8,308		5,609,50	8,620	5,819,96	210,46		
EAI: \$570 Current yield: 2.53%									
Security total	2,615,598	8,310	16,125,01	21,734,51		22,546,45	811,95	6,421,45	

AMERICAN BEACON FLEXIBLE

BOND FUND INVESTOR CLASS

Symbol: AFXPX

Trade date: May 7, 12

Trade date: Oct 2, 12

Total reinvested

EAI: \$423 Current yield: 1.87%

Security total

DOUBLE LINE TOTAL RETURN

	2,014,835	10,390	20,934,14	20,934.14	10,560	21,276,66	342,52		ST
	68,397	10,579	723,64	723,64	10,560	722,27	-1,37		ST
	63,075	10,500		662,30	10,560	666,07	3,77		
	2,146,307	10,399	21,657,78	22,320,08		22,665,00	344,92	1,007,22	

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Portfolio Management Program
December 2012

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

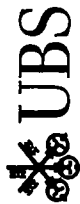
Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
FUND N									
Symbol	DLTNX								
Trade date	May 7, 12	1,872.920	11,210	20,995.44	11,330	21,220.17	224.73		ST
Trade date	Oct 2, 12	48,560	11,399	553.58	11,330	550.18	-3.40		ST
Total reinvested		66,362	11,290	749.25	11,330	751.88	2.63		
EAI: \$1,310	Current yield 5.82%								
Security total	1,987,842	11,217	21,549.02	22,298.27		22,522.24	223.96	973.21	
EATON VANCE STRATEGIC INCOME FUND CLASS A									
Symbol	ETSIX								
Trade date	Feb 1, 12	2,616.326	8,099	21,192.24	8,180	21,401.54	209.30		ST
Trade date	Oct 2, 12	46,460	8,179	380.04	8,180	380.04			ST
Total reinvested		107,694	8,105	872.90 ²	8,180	880.94	8.04		
EAI: \$1,050	Current yield 4.63%								
Security total	2,770,480	8,102	21,572.28	22,445.18		22,662.52	217.34	1,090.24	
FIRST TRUST PREFERRED SECURITIES AND INCOME FUND A									
Symbol	FPEAX								
Trade date	Feb 1, 12	781,981	20,769	16,241.74	22,150	17,320.87	1,079.13		ST
Trade date	Oct 2, 12	190,210	22,289	4,239.78	22,150	4,213.15	-26.63		ST
Total reinvested		47,710	21,754	1,037.90	22,150	1,056.78	18.88		
EAI: \$1,139	Current yield 5.04%								
Security total	1,019,901	21,100	20,481.52	21,519.42		22,590.80	1,071.38	2,109.28	
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A									
Symbol	TPINX								
Trade date	Jul 15, 11	999,364	13,879	13,871.17	13,380	13,371.49	-499.68		LT
Trade date	Sep 23, 11	453,812	12,709	5,767.95	13,380	6,072.00	304.05		LT
Trade date	Oct 2, 12	4,298	13,380	57.51	13,380	57.51			ST
Total reinvested		246,070	12,877	3,168.81	13,380	3,292.42	123.61		

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Portfolio Management Program
December 2012

Account name:
Account number:

HOLMES FOUNDATION INC
BW 14295 LP

Your Financial Advisor:
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203-357-0700/800-942-2045

Your assets • Fixed income • Mutual funds (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
EAI \$954 Current yield: 4.19%	1,703,544	13 422	19,696 63	22,865 44		22,793 41	-72 02		3,096.79	
Security total										
TCW EMERGING MARKETS INCOME FUND CLASS N										
Symbol: TGINX										
Trade date Jul 9, 12	1,208,293	11 299	13,653 71	13,653.71	12 020	14,523 68	869 97			ST
Trade date Oct 2, 12	321 066	11 819	3,795 00	3,795.00	12 020	3,859 21	64.21			ST
Total reinvested	68 826	11 908		819.64	12 020	827 29	7.65			
EAI \$985 Current yield: 5.13%										
Security total	1,598 185	11 431	17,448 71	18,268 35		19,210 18	941 83		1,761 47	
Total			\$138,530.95	\$151,451.25		\$154,990.60	\$3,539.36		\$16,459.65	

Total estimated annual income: \$6,431

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	12,180.97	1.61%	12,180.97		
Equities					
Common stock	528,484 14		469,917.74	14,392 00	58,566 41
Closed end funds & Exchange traded products	17,829 35		16,795 08	1,331 00	1,034 27
Total equities	546,313.49	72.11%	486,712.82	15,723.00	59,600.68
Fixed income					
Closed end funds & Exchange traded products	44,086 15		42,286 82	1,352 00	1,799.33
Mutual funds	154,990 60		151,451 25	6,431 00	3,539 36
Total fixed income	199,076.75	26.28%	193,738.07	7,783.00	5,338.69
Total	\$757,571.21	100.00%	\$692,631.86	\$23,506.00	\$64,939.37
	Cash		12,180.97		
			745,390.24		680,450.89



Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ABBOTT LABS	VSP	3 000	Feb 01, 12	Mar 22, 12	180 35	162 81			17 54
	VSP	7 000	Feb 01, 12	Jul 09, 12	457 29	379 89			77 40
ACCENTURE PLC IRELAND	VSP	6 000	Jul 15, 11	Feb 01, 12	349 73	363 66		-13 93	
CL A	VSP	9 000	Jul 15, 11	May 07, 12	548 08	545 48			2 60
	VSP	2 000	Sep 23, 11	Mar 22, 12	126 93	100 46			26 47
	VSP	1 000	Jul 09, 12	Aug 20, 12	60 84	57 94			2 90
	VSP	9 000	Jul 09, 12	Oct 02, 12	628 21	521 46			106 75
ACCO BRANDS CORP	Adjustment	1 979	Sep 23, 11	May 03, 12	22 19	18 04			4 15
ADT CORP COM	FIFO	2 500	Jul 09, 12	Oct 02, 12	94 48	85 70			8 78
AIR PROD & CHEMICAL INC	VSP	4 000	Sep 23, 11	Feb 01, 12	358 91	307 44			51 47
AMER ELECTRIC POWER CO	VSP	8 000	Feb 01, 12	Mar 08, 12	305 12	318 48		-13 36	
AMERICAN BEACON FLEXIBLE BOND FUND INVESTOR CLASS	FIFO	31 149	May 07, 12	Jul 09, 12	324 57	323 64			0 93
	FIFO	19 683	May 07, 12	Aug 20, 12	206 67	204 50			2 17
	FIFO	65 848	May 07, 12	Nov 02, 12	698 65	684 17			14 48
	FIFO	45 042	May 07, 12	Dec 03, 12	477 44	467 98			9 46
AMERICAN TOWER CORP REIT	VSP	2 000	Feb 01, 12	Mar 22, 12	124 09	127 40		-3 31	
	VSP	4 000	Feb 01, 12	May 07, 12	265 55	254 79			10 76
	VSP	8 000	Feb 01, 12	Jul 09, 12	568 70	509 59			59 11
	VSP	1 000	Feb 01, 12	Aug 20, 12	70 49	63 69			6 80
	VSP	5 000	Feb 01, 12	Dec 03, 12	373 49	318 50			54 99
	VSP	3 000	Oct 02, 12	Nov 02, 12	224 33	214 50			9 83
AMERISOURCEBERGEN CORP	VSP	3 000	Feb 01, 12	Mar 22, 12	115 07	119 48		-4 41	
	VSP	10 000	Feb 01, 12	Dec 03, 12	424 49	398 26			26 23

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Portfolio Management Program

Account name:
Account number:

HOLMES FOUNDATION INC
BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	VSP	16 000	May 07, 12	Jul 09, 12	620 99	579 44			41 55
	VSP	2 000	May 07, 12	Aug 20, 12	75 55	72 43			3 12
	VSP	1 000	May 07, 12	Dec 03, 12	42 45	36 22			6 23
	VSP	6 000	Oct 02, 12	Nov 02, 12	244 91	233 68			11 23
	VSP	1 000	Oct 02, 12	Dec 03, 12	42 45	38 95			3 50
ANADARKO PETROLEUM CORP	VSP	1 000	Jul 15, 11	Mar 22, 12	78 07	80 07		-2 00	
	VSP	3 000	May 07, 12	Nov 02, 12	211 88	205 45			6 43
	VSP	6 000	May 07, 12	Dec 03, 12	446 19	410 91			35 28
	VSP	1 000	Jul 09, 12	Aug 20, 12	69 16	65 97			3 19
	VSP	4 000	Jul 09, 12	Oct 02, 12	282 15	263 88			18 27
ANALOG DEVICES INC	VSP	16 000	Jul 15, 11	Feb 01, 12	628 67	571 35			57 32
	VSP	3 000	Jul 15, 11	Mar 22, 12	119 27	107 12			12 15
	VSP	7 000	May 07, 12	Oct 02, 12	276 37	262 29			14 08
	VSP	4 000	May 07, 12	Nov 02, 12	160 43	149 88			10 55
	VSP	2 000	Jul 09, 12	Aug 20, 12	80 85	72 04			8 81
	VSP	5 000	Jul 09, 12	Oct 02, 12	197 40	180 10			17 30
AUTOMATIC DATA PROCESSING INC	VSP	6 000	May 07, 12	Jul 09, 12	331 68	319 98			11 70
BANK OF NOVA SCOTIA CANADA CAD	VSP	2 000	Feb 01, 12	Mar 22, 12	111 27	104 20			7 07
	VSP	2 000	Feb 01, 12	May 07, 12	106 07	104 20			1 87
	VSP	3 000	Feb 01, 12	Oct 02, 12	164 63	156 30			8 33
	VSP	1 000	Jul 09, 12	Aug 20, 12	53 66	51 44			2 22
	VSP	2 000	Jul 09, 12	Oct 02, 12	109 76	102 88			6 88
BCE INC NEW CAD	VSP	2 000	Jul 15, 11	Jul 09, 12	83 04	79 83			3 21
	VSP	2 000	Feb 01, 12	Mar 22, 12	80 07	81 38		-1 31	
	VSP	1 000	Feb 01, 12	Jul 09, 12	41 51	40 69			0 82
	VSP	2 000	May 07, 12	Jul 09, 12	83 04	81 30			1 74

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
CABLEVISION SYSTEMS CORP N Y GROUP CL A	VSP	81 000	Jul 15, 11	Feb 01, 12	1,173 16	2,095 26		-922 10	
	VSP	99 000	Sep 23, 11	Feb 01, 12	1,433 87	1,652 31		-218 44	
CHEVRON CORP	VSP	1 000	May 07, 12	Jul 09, 12	103 88	103 05			0 83
COCA COLA CO COM	VSP	2 000	May 07, 12	Jul 09, 12	155 83	153 87			1 96
	VSP	2 000	May 07, 12	Aug 20, 12	78 79	76 94			1 85
	VSP	6 000	Oct 02, 12	Nov 02, 12	223 61	229 66		-6 05	
COLGATE PALMOLIVE CO	VSP	1 000	Feb 01, 12	Mar 22, 12	96 28	91 34			4 94
	VSP	4 000	Feb 01, 12	Jul 09, 12	416 35	365 36			50 99
	VSP	1 000	Feb 01, 12	Aug 20, 12	105 63	91 34			14 29
CORNING INC	VSP	17 000	Oct 02, 12	Nov 02, 12	201 44	223 69		-22 25	
	VSP	565 000	Oct 02, 12	Dec 03, 12	6,854 99	7,434 38		-579 39	
COVIDIEN PLC	VSP	4 000	Jul 15, 11	Feb 01, 12	210 15	208 55			1 60
	VSP	2 000	Jul 15, 11	Mar 22, 12	107 21	104 28			2 93
	VSP	4 000	Jul 15, 11	May 07, 12	215 83	208 55			7 28
	VSP	1 000	Jul 09, 12	Aug 20, 12	56 94	53 27			3 67
	VSP	1 000	Jul 09, 12	Oct 02, 12	59 44	53.27			6 17
CUMMINS INC	VSP	1 000	Feb 01, 12	Mar 22, 12	119 72	106 03			13 69
	VSP	1 000	Feb 01, 12	May 07, 12	106 38	106.02			0 36
	VSP	70,000	Feb 01, 12	Dec 03, 12	6,856 36	7,421 86		-565 50	
	VSP	1 000	Jul 09, 12	Aug 20, 12	102 01	94 98			7 03
	VSP	8 000	Jul 09, 12	Dec 03, 12	783 59	759 84			23 75
	VSP	2 000	Oct 02, 12	Nov 02, 12	200 75	183 98			16 77
	VSP	3 000	Oct 02, 12	Dec 03, 12	293 84	275 97			17 87
CVS CAREMARK CORP	VSP	2 000	Feb 01, 12	Mar 22, 12	89 37	85 65			3 72
	VSP	11 000	Feb 01, 12	May 07, 12	498 76	471 09			27 67
	VSP	8,000	Feb 01, 12	Jul 09, 12	378 34	342 61			35 73
	VSP	1 000	Feb 01, 12	Aug 20, 12	45 48	42 82			2 66
	VSP	4 000	Feb 01, 12	Nov 02, 12	186 91	171 31			15.60
	VSP	1 000	Oct 02, 12	Nov 02, 12	46 73	48 41		-1 68	

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
DARDEN RESTAURANTS INC	VSP	1 000	Sep 23, 11	Jul 09, 12	50 59	46 10			4 49
	VSP	2 000	Feb 01, 12	Mar 22, 12	103 41	93 67			9 74
	VSP	13 000	Feb 01, 12	May 07, 12	653 39	608 86			44 53
DEERE AND CO	VSP	12 000	Jul 15, 11	Feb 01, 12	1,046 47	980 15			66 32
	VSP	1 000	Jul 15, 11	Mar 22, 12	80 19	81 68		-1 49	
	VSP	3 000	May 07, 12	Nov 02, 12	258 68	239 82			18 86
	VSP	4 000	May 07, 12	Dec 03, 12	334 07	319 76			14 31
	VSP	1 000	Jul 09, 12	Aug 20, 12	77 07	80 26		-3 19	
DEVON ENERGY CORP NEW	VSP	20 000	Sep 23, 11	Feb 01, 12	1,281 03	1,128 65			152 38
	VSP	1 000	Mar 08, 12	Mar 22, 12	70 70	72 00		-1 30	
	VSP	105 000	Mar 08, 12	Dec 03, 12	5,450 65	7,559 75		-2,109 10	
	VSP	11 000	May 07, 12	Dec 03, 12	571 03	704 37		-133 34	
	VSP	1 000	Jul 09, 12	Aug 20, 12	58 63	56 70			1 93
	VSP	6 000	Jul 09, 12	Oct 02, 12	368 01	340 17			27 84
	VSP	4 000	Jul 09, 12	Nov 02, 12	235 67	226 78			8 89
	VSP	5 000	Jul 09, 12	Dec 03, 12	259 55	283 48		-23 93	
DOUBLE LINE TOTAL RETURN FUND N	FIFO	35 617	May 07, 12	Jul 09, 12	399 27	399 27			
	FIFO	18 326	May 07, 12	Aug 20, 12	207 63	205 43			2 20
	FIFO	60 953	May 07, 12	Nov 02, 12	692 43	683 28			9 15
	FIFO	29 528	May 07, 12	Dec 03, 12	335 44	331 01			4 43
DOVER CORP	VSP	2 000	Feb 01, 12	Mar 22, 12	123 23	129 11		-5 88	
	VSP	116 000	Feb 01, 12	Oct 02, 12	6,774 51	7,488 56		-714 05	
	VSP	9 000	May 07, 12	Oct 02, 12	525 60	535 05		-9 45	
	VSP	1 000	Jul 09, 12	Aug 20, 12	57 75	51 73			6 02
	VSP	18 000	Jul 09, 12	Oct 02, 12	1,051 22	931 20			120 02
DU PONT DE NEMOURS	VSP	17 000	Jul 15, 11	Feb 01, 12	878 71	919 67		-40 96	
	VSP	2 000	Sep 23, 11	Mar 22, 12	104 19	82 80			21 39
	VSP	3 000	Sep 23, 11	May 07, 12	156 83	124 19			32 64



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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
EATON VANCE STRATEGIC INCOME FUND CLASS A	VSP	1 000	Jul 09, 12	Aug 20, 12	50 32	47 87			2 45
	VSP	1 000	Jul 09, 12	Oct 02, 12	49 67	47 87			1 80
	VSP	4 000	Jul 09, 12	Nov 02, 12	177 87	191 47		-13 60	
	VSP	8 000	Jul 09, 12	Dec 03, 12	340 71	382 94		-42 23	
EATON VANCE STRATEGIC INCOME FUND CLASS A	Adjustment	39 306	Feb 01, 12	Mar 22, 12	316 81	317 60	-0 78	-0 79	
	Adjustment	24 666	Feb 01, 12	May 07, 12	199 30	199 35	-0 44	-0 05	
	Adjustment	15 716	Feb 01, 12	Jul 09, 12	125 73	125 73	-1 57		
	Adjustment	25 659	Feb 01, 12	Aug 20, 12	206 81	207 13	-0 71	-0 32	4 25
EQT CORP	FIFO	84 909	Feb 01, 12	Nov 02, 12	692 01	687 76			4 25
	FIFO	25 814	Feb 01, 12	Dec 03, 12	210 13	209 10			1 03
EXXON MOBIL CORP	VSP	2 000	Feb 01, 12	Mar 22, 12	97 03	100 09		-3 06	
	VSP	29 000	Feb 01, 12	May 07, 12	1,406 34	1,451 31		-44 97	
	VSP	2 000	Sep 23, 11	Mar 22, 12	170 55	139 14			31 41
	VSP	1 000	Jul 09, 12	Aug 20, 12	87 78	83 33			4 45
FINANCIAL SECTOR SPDR TRUST ETF	VSP	4 000	May 17, 12	Aug 20, 12	60 47	56 83			3 64
	VSP	513 000	May 17, 12	Oct 02, 12	8,038 52	7,288 24			750 28
	VSP	1 000	Jul 09, 12	Aug 20, 12	15 12	14 40			0 72
FIRST TRUST PREFERRED SECURITIES AND INCOME FUND A	FIFO	15 350	Feb 01, 12	Mar 22, 12	323 57	318 82			4 75
	FIFO	45 659	Feb 01, 12	May 07, 12	977 11	948 34			28 77
	FIFO	211 201	Feb 01, 12	Jul 09, 12	4,623 19	4,386 64			236 55
	FIFO	7 835	Feb 01, 12	Aug 20, 12	172 85	162 73			10 12
	FIFO	31 150	Feb 01, 12	Nov 02, 12	699 32	646 99			52 33
	FIFO	11 418	Feb 01, 12	Dec 03, 12	253 60	237 15			16 45
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	434 629	Jul 15, 11	Feb 01, 12	5,689 29	6,032 65		-343 36	
	FIFO	32 991	Jul 15, 11	Mar 22, 12	431 19	457 91		-26 72	
	FIFO	324 943	Jul 15, 11	May 07, 12	4,243 76	4,510 21		-266 45	

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Portfolio Management Program

Account name:
Account number:

HOLMES FOUNDATION INC
BW 14295 LP

Your Financial Advisor:
THE FDG GROUP
203-357-0700/800-942-2045

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	298 715	Jul 15, 11	Jul 09, 12	3,850 44	4,146 17		-295 73	
GENL ELECTRIC CO	VSP	38 000	Jul 15, 11	Feb 01, 12	721 74	698 79			22 95
	VSP	12 000	Sep 23, 11	Feb 01, 12	227 92	182 25			45 67
GENL MILLS INC	VSP	3 000	Feb 01, 12	Mar 22, 12	115 67	120 59		-4 92	
	VSP	1 000	Feb 01, 12	Nov 02, 12	40 08	40 20		-0 12	
	VSP	2 000	Feb 01, 12	Dec 03, 12	81 17	80 39			0 78
	VSP	4 000	May 07, 12	Jul 09, 12	153 79	153 59			0 20
	VSP	2 000	May 07, 12	Aug 20, 12	77 33	76 79			0 54
	VSP	5 000	May 07, 12	Nov 02, 12	200 39	191 99			8 40
GENUINE PARTS CO	VSP	1 000	Jul 09, 12	Aug 20, 12	64 33	60 75			3 58
	VSP	6 000	Jul 09, 12	Oct 02, 12	365 00	364 50			0 50
HALIBURTON CO (HOLDING COMPANY)	VSP	4 000	Sep 23, 11	Feb 01, 12	147 95	130 42			17 53
	VSP	3 000	Sep 23, 11	Mar 22, 12	98 78	97 82			0 96
	VSP	4 000	May 07, 12	Oct 02, 12	135 25	129 43			5 82
	VSP	7 000	May 07, 12	Nov 02, 12	225 46	226 49		-1 03	
	VSP	18 000	May 07, 12	Dec 03, 12	598 00	582 42			15 58
	VSP	2 000	Jul 09, 12	Aug 20, 12	69 75	58 01			11 74
	VSP	25 000	Jul 09, 12	Oct 02, 12	845 31	725 17			120 14
HEINZ H J CO	VSP	2 000	Feb 01, 12	Mar 22, 12	105 77	104 56			1 21
	VSP	2 000	Feb 01, 12	May 07, 12	107 21	104 55			2 66
	VSP	4 000	Feb 01, 12	Jul 09, 12	216 84	209 12			7 72
	VSP	1 000	Feb 01, 12	Aug 20, 12	55 60	52 27			3 33
	VSP	4 000	Feb 01, 12	Nov 02, 12	230 99	209 12			21.87
	VSP	5 000	Feb 01, 12	Dec 03, 12	291 74	261 39			30 35
ILLINOIS TOOL WORKS INC	VSP	7 000	Mar 31, 11	Feb 01, 12	384 93	376 60			8 33
	VSP	16 000	Sep 23, 11	Feb 01, 12	879 85	691 97			187.88
INTEL CORP	VSP	270 000	May 07, 12	Dec 03, 12	5,280 43	7,507 89		-2,227 46	
	VSP	3 000	Jul 09, 12	Aug 20, 12	78 71	77 63			1 08

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	VSP	16 000	Jul 09, 12	Dec 03, 12	312 91	414 01		-101 10	
	VSP	10 000	Oct 02, 12	Nov 02, 12	221 99	227 27		-5 28	
	VSP	40 000	Oct 02, 12	Dec 03, 12	782 29	909 07		-126 78	
INTL BUSINESS MACH	VSP	1 000	Jul 09, 12	Aug 20, 12	200 53	189 27			11 26
	VSP	5 000	Jul 09, 12	Oct 02, 12	1,045 72	946 35			99 37
ISHARES BARCLAY 3-7 YEAR TREAS BD FD	VSP	279 000	Sep 23, 11	Feb 01, 12	34,191 64	34,000 76			190.88
ISHARES BARCLAYS 10-20 YR TREAS BD FD	VSP	114 000	Oct 19, 11	Feb 01, 12	15,118 25	14,683 20			435.05
ISHARES BARCLAYS 20+ YEAR TREAS BD FD	VSP	4 000	Feb 01, 12	Mar 22, 12	447 99	476 36		-28 37	
	VSP	17 000	Feb 01, 12	Jul 09, 12	2,174 64	2,024 53			150 11
	VSP	2 000	Feb 01, 12	Aug 20, 12	244 21	238 18			6 03
	VSP	3 000	May 07, 12	Jul 09, 12	383 76	354 96			28 80
	VSP	7 000	Oct 02, 12	Nov 02, 12	854 19	870 43		-16 24	
	VSP	3 000	Oct 02, 12	Dec 03, 12	374 07	373 04			1 03
ISHARES IBOXX \$ INVT GRA DE CORPORATE BOND FUND	VSP	35 000	Sep 23, 11	Feb 01, 12	4,060 97	3,961 42			99 55
	VSP	4 000	Sep 23, 11	Mar 22, 12	458 59	452 74			5 85
	VSP	43 000	Sep 23, 11	May 07, 12	5,009 60	4,866 89			142 71
	VSP	3 000	Sep 23, 11	Jul 09, 12	356 23	339 55			16 68
	VSP	1 000	Sep 23, 11	Aug 20, 12	118 76	113 18			5 58
	VSP	3 000	Oct 02, 12	Nov 02, 12	367 10	365 21			1 89
JOHNSON & JOHNSON COM	VSP	2 000	Feb 01, 12	Mar 22, 12	129 13	132 11		-2 98	
	VSP	3 000	Feb 01, 12	Jul 09, 12	203 56	198 17			5 39
	VSP	3 000	May 07, 12	Jul 09, 12	203 55	194 25			9 30
	VSP	2 000	Oct 02, 12	Nov 02, 12	142 45	137 54			4 91
JPMORGAN CHASE & CO	VSP	180 000	May 07, 12	May 17, 12	6,303 70	7,532 64		-1,228 94	
LORILLARD INC	VSP	1 000	Feb 01, 12	Mar 22, 12	129 55	107 62			21 93
	VSP	2 000	Feb 01, 12	Jul 09, 12	272 27	215 24			57 03
	VSP	2 000	Oct 02, 12	Nov 02, 12	232 91	232 45			0 46

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MARSH & MCLENNAN COS INC	VSP	3 000	Oct 02, 12	Dec 03, 12	363 92	348 68			15 24
	VSP	2 000	Jul 09, 12	Aug 20, 12	68 01	64 65			3 36
	VSP	6 000	Jul 09, 12	Oct 02, 12	206 57	193 97			12 60
	VSP	2 000	Jul 09, 12	Nov 02, 12	69 08	64 65			4 43
MATTEL INC	VSP	2 000	Jul 09, 12	Aug 20, 12	71 38	63 96			7 42
	VSP	2 000	Jul 09, 12	Oct 02, 12	70 81	63 96			6 85
MCDONALDS CORP	VSP	4 000	May 07, 12	Oct 02, 12	364 52	382 16		-17 64	
	VSP	1 000	Jul 09, 12	Aug 20, 12	88 07	89 35		-1 28	
	VSP	6 000	Jul 09, 12	Oct 02, 12	546 79	536 10			10 69
MEADWESTVACO CORP	VSP	17 000	Sep 23, 11	Feb 01, 12	513 22	431 75			81 47
	VSP	3 000	Sep 23, 11	Mar 22, 12	91 22	76 19			15 03
	VSP	1 000	May 07, 12	Aug 20, 12	28 65	28 25			0 40
	VSP	16 000	May 07, 12	Oct 02, 12	493 96	452 07			41 89
	VSP	1 000	Jul 09, 12	Aug 20, 12	28 64	28 00			0 64
MICROSOFT CORP	VSP	18 000	Mar 31, 11	Feb 01, 12	539 33	461 34			77 99
	VSP	4 000	Mar 31, 11	Mar 22, 12	127 75	102 52			25 23
	VSP	2 000	Jul 09, 12	Aug 20, 12	61 29	59 86			1 43
	VSP	8 000	Oct 02, 12	Nov 02, 12	236 39	236 53		-0 14	
NATL FUEL GAS CO	VSP	18 000	Sep 23, 11	Mar 08, 12	883 79	933 34		-49 55	
	VSP	19 000	Feb 01, 12	Mar 08, 12	932 88	962 24		-29 36	
NEXTERA ENERGY INC COM	VSP	1 000	Feb 01, 12	Dec 03, 12	68 25	60 22			8 03
	VSP	2 000	Mar 08, 12	Mar 22, 12	120 45	119 39			1 06
	VSP	8 000	Mar 08, 12	May 07, 12	511 82	477 56			34 26
	VSP	8 000	Mar 08, 12	Jul 09, 12	541 58	477 56			64 02
	VSP	1 000	Mar 08, 12	Aug 20, 12	69 08	59 70			9 38
	VSP	3 000	Mar 08, 12	Nov 02, 12	209 27	179 08			30 19
	VSP	11 000	Mar 08, 12	Dec 03, 12	750 78	656 65			94 13
NIKE INC CL B	VSP	3 000	Mar 31, 11	Feb 01, 12	314 18	229 60			84 58
	VSP	1 000	Mar 31, 11	Mar 22, 12	110 41	76 54			33 87



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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NORFOLK STHN CORP	VSP	1 000	Jul 09, 12	Aug 20, 12	96 03	90 46			5 57
	VSP	15 000	Jul 09, 12	Oct 02, 12	1,426 20	1,356 95			69 25
NORFOLK STHN CORP	VSP	1 000	Sep 23, 11	Mar 22, 12	64 78	61 77			3 01
	VSP	1 000	May 07, 12	Jul 09, 12	72 14	71 83			0 31
	VSP	1 000	May 07, 12	Aug 20, 12	74 94	71 83			3 11
	VSP	3 000	Oct 02, 12	Nov 02, 12	184 97	193 07		-8 10	
NORTHEAST UTILITIES	VSP	150 000	Jul 15, 11	Feb 01, 12	5,264 89	5,236 90			27 99
NOVARTIS AG SPON ADR	VSP	3 000	Feb 01, 12	Mar 22, 12	165 65	167 45		-1 80	
	VSP	2 000	Feb 01, 12	Jul 09, 12	111 28	111 63		-0 35	
NOVARTIS AG SPON ADR	VSP	2 000	Feb 01, 12	Aug 20, 12	120 05	111 64			8 41
	VSP	7 000	Feb 01, 12	Oct 02, 12	434 36	390 71			43 65
NYSE EURONEXT	VSP	5 000	May 07, 12	Jul 09, 12	278 19	270 85			7 34
NYSE EURONEXT	VSP	8 000	Jul 15, 11	Feb 01, 12	211 37	271 62		-60 25	
	VSP	45 000	Sep 23, 11	Feb 01, 12	1,188 93	1,086 29			102 64
OCCIDENTAL PETROLEUM CRP	VSP	30 000	Sep 23, 11	Feb 01, 12	2,985 45	2,152 26			833 19
	VSP	1 000	Jul 09, 12	Aug 20, 12	88 48	84 77			3 71
OCCIDENTAL PETROLEUM CRP	VSP	3 000	Jul 09, 12	Dec 03, 12	222 69	254 31		-31 62	
	VSP	3 000	Oct 02, 12	Nov 02, 12	236 39	254 64		-18 25	
ORACLE CORP	VSP	238 000	Jul 15, 11	Feb 01, 12	6,814 09	7,627 66		-813 57	
PENTAIR LTD	FIFO	1 199	Jul 09, 12	Oct 02, 12	50 87	50 20			0 67
PEPSICO INC	VSP	2 000	Feb 01, 12	Mar 22, 12	130 95	132 42		-1 47	
	VSP	1 000	Feb 01, 12	Jul 09, 12	69 83	66 21			3 62
PEPSICO INC	VSP	1 000	May 07, 12	Jul 09, 12	69 83	66 60			3 23
	VSP	3 000	Oct 02, 12	Nov 02, 12	208 43	211 65		-3 22	
POWERSHARES ETF TRUST II	VSP	513 000	Jul 15, 11	Feb 01, 12	14,108 42	14,501 13		-392 71	
PROCTER & GAMBLE CO	VSP	2 000	Feb 01, 12	Mar 22, 12	135 01	126 85			8 16
	VSP	5 000	Feb 01, 12	May 07, 12	320 51	317 14			3 37
PUBLIC STORAGE REIT	VSP	1 000	May 07, 12	Jul 09, 12	144 14	140 75			3 39
	VSP	2 000	Oct 02, 12	Nov 02, 12	282 23	277 90			4 33

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
QUALCOMM INC	VSP	1 000	Oct 02, 12	Dec 03, 12	142 58	138 95			3 63
	VSP	3 000	Feb 01, 12	Mar 22, 12	198 44	178 28			20 16
	VSP	8 000	Feb 01, 12	May 07, 12	493 74	475 42			18 32
	VSP	1 000	Feb 01, 12	Nov 02, 12	59 64	59 43			0 21
	VSP	6 000	Feb 01, 12	Dec 03, 12	382 19	356 57			25 62
	VSP	2 000	Jul 09, 12	Aug 20, 12	125 03	110 23			14 80
	VSP	15 000	Jul 09, 12	Oct 02, 12	926 37	826 75			99 62
	VSP	4 000	Jul 09, 12	Nov 02, 12	238 55	220 47			18 08
RIO TINTO PLC SPON ADR	VSP	2 000	Feb 01, 12	Mar 22, 12	104 95	124 36		-19 41	
	VSP	121 000	Feb 01, 12	May 07, 12	6,252 38	7,523 57		-1,271 19	
ROCKWELL COLLINS INC	VSP	3 000	Jul 15, 11	Feb 01, 12	178 46	175 98			2 48
	VSP	2 000	Jul 15, 11	Mar 22, 12	114 61	117 32		-2 71	
	VSP	1 000	Jul 15, 11	May 07, 12	53 58	58 66		-5 08	
SCHLUMBERGER LTD NETHERLANDS ANTILLES	VSP	11 000	Sep 23, 11	Feb 01, 12	842 37	682 19			160 18
	VSP	1 000	Sep 23, 11	Mar 22, 12	71 59	62 02			9 57
	VSP	3 000	May 07, 12	Oct 02, 12	217 70	208 97			8 73
	VSP	3 000	May 07, 12	Nov 02, 12	208 25	208 96			
	VSP	3 000	May 07, 12	Dec 03, 12	213 65	208 97			
	VSP	1 000	Jul 09, 12	Aug 20, 12	74 39	65 49			8 90
	VSP	6 000	Jul 09, 12	Oct 02, 12	435 41	392 94			42 47
SMUCKER J M CO NEW	VSP	1 000	Feb 01, 12	Mar 22, 12	79 32	79 70		-0 38	
	VSP	6 000	Feb 01, 12	Oct 02, 12	522 02	478 17			43 85
	VSP	3 000	Feb 01, 12	Nov 02, 12	257 93	239 09			18 84
	VSP	1 000	Feb 01, 12	Dec 03, 12	88 71	79 70			9 01
	VSP	5 000	May 07, 12	Oct 02, 12	435 02	382 65			52 37
	VSP	1 000	Jul 09, 12	Aug 20, 12	34 45	74 60			9 85
SOUTHERN CO	VSP	6 000	Feb 01, 12	Dec 03, 12	258 80	272 76		-13 96	
	VSP	2 000	Mar 08, 12	Mar 22, 12	88 16	89 48		-1 32	

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Realized gains and losses (continued)
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	VSP	3 000	Mar 08, 12	May 07, 12	136 29	134 21			2 08
	VSP	6 000	Mar 08, 12	Jul 09, 12	280 19	268 42			11 77
	VSP	1 000	Mar 08, 12	Aug 20, 12	45 93	44 74			1 19
	VSP	33 000	Mar 08, 12	Dec 03, 12	1,423 37	1,476 34		-52 97	
	VSP	5 000	Oct 02, 12	Nov 02, 12	230 19	227 68			2 51
	VSP	4 000	Oct 02, 12	Dec 03, 12	172 53	182 15		-9 62	
ST JUDE MEDICAL INC	VSP	175 000	Jul 15, 11	Feb 01, 12	7,346 65	8,037 61		-690 96	
	VSP	1 000	Sep 23, 11	Feb 01, 12	41 98	38 74			3 24
STARBUCKS CORP	VSP	1 000	Jul 09, 12	Aug 20, 12	47 99	51 88		-3 89	
	VSP	5 000	Oct 02, 12	Nov 02, 12	257 89	245 65			12.24
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	VSP	2 000	Feb 01, 12	Mar 22, 12	114 23	109 47			4 76
	VSP	7 000	Feb 01, 12	May 07, 12	398 43	383 14			15 29
	VSP	1 000	Jul 09, 12	Aug 20, 12	54 33	52 31			2 02
	VSP	6 000	Jul 09, 12	Oct 02, 12	339 47	313 85			25 62
	VSP	4 000	Jul 09, 12	Nov 02, 12	209 67	209 23			0 44
SYSCO CORP	VSP	24 000	Mar 31, 11	Feb 01, 12	732 26	665 28			66 98
	VSP	3 000	Sep 23, 11	Feb 01, 12	91 53	77 61			13 92
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	VSP	7 000	Mar 31, 11	Mar 22, 12	105 27	85 75			19 52
	VSP	5 000	Jul 09, 12	Aug 20, 12	71 89	67 01			4 88
	VSP	65 000	Jul 09, 12	Oct 02, 12	1,041 93	871 12			170 81
TANGER FACTORY OUTLET CENTERS INC	VSP	7 000	Jul 15, 11	Feb 01, 12	209 15	195 86			13 29
	VSP	4 000	Jul 15, 11	Mar 22, 12	117 83	111 92			5 91
	VSP	9 000	Jul 15, 11	May 07, 12	284 75	251 82			32 93
	VSP	11 000	Jul 15, 11	Jul 09, 12	355 73	307 78			47 95
	VSP	7 000	Oct 02, 12	Nov 02, 12	228 05	223 20			4 85
	VSP	4 000	Oct 02, 12	Dec 03, 12	131 55	127 54			4 01

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
TCW EMERGING MARKETS INCOME FUND CLASS N									
	FIFO	12 119	Jul 09, 12	Aug 20, 12	141 07	136 94			4 13
	FIFO	48 899	Jul 09, 12	Nov 02, 12	582 88	552 56			30 32
	FIFO	54 786	Jul 09, 12	Dec 03, 12	660 17	619 09			41 08
TECHNOLOGY SECTOR SPDR TRUST SHS									
	VSP	18 000	Oct 02, 12	Nov 02, 12	525 94	552 76		-26 82	
	VSP	606 000	Oct 02, 12	Dec 03, 12	17,676 68	18,609 54		-932 86	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR									
	VSP	2 000	Feb 01, 12	Mar 22, 12	86 25	93 26		-7 01	
	VSP	163 000	Feb 01, 12	Dec 03, 12	6,635 96	7,600 69		-964 73	
	VSP	6 000	May 07, 12	Dec 03, 12	244 27	265 56		-21 29	
	VSP	2 000	Jul 09, 12	Aug 20, 12	81 21	78 47			2 74
	VSP	2 000	Jul 09, 12	Oct 02, 12	82 15	78 48			3 67
	VSP	5 000	Jul 09, 12	Nov 02, 12	208 84	196 18			12 66
	VSP	12 000	Jul 09, 12	Dec 03, 12	488 54	470 85			17 69
TYCO INTL LTD									
	VSP	1 000	Jul 09, 12	Aug 20, 12	56 88	53 17			3 71
	VSP	5 000	Jul 09, 12	Dec 03, 12	139 93	129 95			9 98
	VSP	8 000	Oct 02, 12	Nov 02, 12	223 35	232 30		-8 95	
	VSP	116 000	Oct 02, 12	Dec 03, 12	3,246 42	3,368 37		-121 95	
UNILEVER PLC AMER SHS NEW SPON ADR									
	VSP	3 000	Feb 01, 12	Mar 22, 12	97 88	99 36		-1 48	
	VSP	4 000	Feb 01, 12	Oct 02, 12	146 81	132 48			14 33
	VSP	216 000	May 07, 12	Oct 02, 12	7,927 58	7,378 56			549 02
	VSP	4 000	Jul 09, 12	Aug 20, 12	142 87	133 92			8 95
	VSP	1 000	Jul 09, 12	Oct 02, 12	36 70	33 48			3 22
UNITED PARCEL SERVICE INC CL 5									
	VSP	1 000	Jul 15, 11	Mar 22, 12	80 18	73 02			7 16
	VSP	1 000	Jul 15, 11	May 07, 12	77 72	73 02			4 70
	VSP	3 000	Oct 02, 12	Nov 02, 12	221 78	215 75			6 03
UNTD TECHNOLOGIES CORP									
	VSP	3 000	Sep 23, 11	Feb 01, 12	239 84	208 25			31 59
	VSP	1 000	Sep 23, 11	Mar 22, 12	82 04	69 42			12 62



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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
US BANCORP DEL (NEW)	VSP	1 000	Jul 09, 12	Aug 20, 12	80 51	73 91			6 60
	VSP	2 000	Jul 09, 12	Oct 02, 12	156 53	147 82			8 71
	VSP	3 000	Jul 09, 12	Nov 02, 12	237 02	221 73			15 29
VERIZON COMMUNICATIONS INC	VSP	28 000	Jul 15, 11	Feb 01, 12	803 65	692 69			110 96
	VSP	4 000	Jul 15, 11	Mar 22, 12	125 47	98 96			26 51
	VSP	23 000	Jul 15, 11	May 07, 12	731 92	568 99			162 93
	VSP	4 000	Jul 15, 11	Jul 09, 12	127 43	98 96			28 47
VF CORP	VSP	3 000	Jul 15, 11	Jul 09, 12	133 47	110 40			23 07
	VSP	2 000	Feb 01, 12	Mar 22, 12	79 27	76 02			3 25
	VSP	4 000	Feb 01, 12	Jul 09, 12	177 95	152 04			25 91
	VSP	1 000	Oct 02, 12	Nov 02, 12	45 05	45 72		-0 67	
	VSP	1 000	Feb 01, 12	Mar 22, 12	148 91	132 67			16 24
VIACOM INC NEW CL B	VSP	2 000	Feb 01, 12	Mar 22, 12	93 45	95 49		-2 04	
	VSP	15 000	Feb 01, 12	Oct 02, 12	810 05	716 21			93 84
	VSP	4 000	Feb 01, 12	Nov 02, 12	205 55	190 98			14 57
	VSP	137 000	Feb 01, 12	Dec 03, 12	7,054 38	6,541 31			513 07
	VSP	1 000	Jul 09, 12	Aug 20, 12	50 92	47 45			3 47
VODAFONE GROUP PLC NEW SPON ADR	VSP	1 000	Jul 09, 12	Oct 02, 12	54 00	47 45			6 55
	VSP	8 000	Feb 01, 12	May 07, 12	222 39	217 34			5 05
	VSP	4 000	Feb 01, 12	Jul 09, 12	112 55	108 68			3 87
	VSP	2 000	Feb 01, 12	Aug 20, 12	58 79	54 33			4 46
	VSP	1 000	Feb 01, 12	Nov 02, 12	27 05	27 17		-0 12	
VODAFONE GROUP PLC NEW SPON ADR	VSP	196 000	Feb 01, 12	Dec 03, 12	5,049 62	5,324 93		-275 31	
	VSP	5 000	Oct 02, 12	Nov 02, 12	135 24	142 45		-7 21	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
WALT DISNEY CO (HOLDING CO)/DISNEY COM	VSP	3 000	Feb 01, 12	Mar 22, 12	129 71	118 67			11 04
	VSP	14 000	Feb 01, 12	May 07, 12	608 47	553 80			54 67
	VSP	19 000	Feb 01, 12	Jul 09, 12	910 32	751 58			158 74
	VSP	1 000	Feb 01, 12	Aug 20, 12	50 28	39 55			10 73
	VSP	7 000	Feb 01, 12	Oct 02, 12	361 47	276 90			84 57
	VSP	4 000	Feb 01, 12	Nov 02, 12	200 75	158 23			42 52
3M CO	VSP	5 000	Sep 23, 11	Feb 01, 12	437 84	369 42			68 42
	VSP	1 000	Sep 23, 11	Mar 22, 12	88 42	73 88			14 54
	VSP	1 000	Sep 23, 11	May 07, 12	88 25	73 89			14 36
	VSP	1 000	Sep 23, 11	Aug 20, 12	93 70	73 88			19 82
Total					\$307,398.88	\$313,565.51		-\$16,048.87	\$9,882.24
Net short-term capital gains and losses								-\$6,166.63	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ABBOTT LABS	VSP	27 000	Jan 08, 10	May 07, 12	1,675 10	1,487 31			187 79
	VSP	1 000	Jan 08, 10	Jul 09, 12	65 33	55 09			10 24
	VSP	2 000	Jan 08, 10	Aug 20, 12	131 71	110 17			21 54
	VSP	4 000	Jan 08, 10	Oct 02, 12	274 87	220 34			54 53
	VSP	5 000	Jan 08, 10	Nov 02, 12	327 74	275 43			52 31
ACCENTURE PLC IRELAND	VSP	9 000	Jul 15, 11	Oct 02, 12	628 21	545 48			82 73
CL A	VSP	3 000	Jul 15, 11	Nov 02, 12	206 00	181 83			24 17
	VSP	2 000	Sep 23, 11	Oct 02, 12	139 61	100 46			39 15
ACCO BRANDS CORP	Adjustment	0 136	Jan 18, 11	May 01, 12	1 57	1 33			0 24
	Adjustment	80 020	Jan 18, 11	May 03, 12	897 11	776 95			120 16
ADT CORP COM	FIFO	0 500	Jan 18, 11	Oct 01, 12	17 92	14 44			3 48
	FIFO	67 500	Jan 18, 11	Oct 02, 12	2,550 98	1,949 17			601 81



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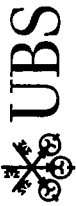


Realized gains and losses (continued)
Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AIR PROD & CHEMICAL INC	VSP	85 000	Jun 11, 09	Feb 01, 12	7,626 84	5,885 95			1,740 89
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	477 209	Dec 17, 09	Feb 01, 12	4,027 64	3,817 67			209 97
	FIFO	50,336	Dec 17, 09	Mar 22, 12	422 32	402 69			19 63
	FIFO	490 521	Dec 17, 09	May 07, 12	4,154 71	3,924 17			230 54
	FIFO	497 626	Dec 17, 09	Jul 09, 12	4,249 73	3,981 00			268 73
	FIFO	24 007	Dec 17, 09	Aug 20, 12	205 50	192 06			13 44
	FIFO	80 240	Dec 17, 09	Nov 02, 12	694 88	641 92			52 96
	FIFO	52 629	Dec 17, 09	Dec 03, 12	456 82	421 03			35 79
AMER ELECTRIC POWER CO	VSP	136 000	Nov 23, 10	Mar 08, 12	5,186 98	4,837 00			349 98
AMERISOURCEBERGEN CORP	VSP	4 000	Jan 18, 11	Dec 03, 12	169 79	142 43			27 36
ANADARKO PETROLEUM CORP	VSP	8 000	Jan 18, 11	Feb 01, 12	649 90	636 72			13 18
ANALOG DEVICES INC	VSP	2 000	Jul 15, 11	Nov 02, 12	80 22	71 42			8 80
AUTOMATIC DATA PROCESSING INC	VSP	9 000	Jan 08, 10	Feb 01, 12	497 55	379 52			118 03
	VSP	3 000	Jan 08, 10	Mar 22, 12	165 05	126 51			38 54
	VSP	1 000	Jan 08, 10	Jul 09, 12	55 28	42 17			13 11
	VSP	2 000	Jan 08, 10	Aug 20, 12	116 87	84 34			32 53
	VSP	5 000	Jan 08, 10	Oct 02, 12	292 99	210 84			82 15
	VSP	6 000	Jan 08, 10	Nov 02, 12	350 21	253 02			97 19
BANK OF NOVA SCOTIA CANADA CAD	VSP	1 000	Jan 08, 10	Dec 03, 12	55 85	45 60			10 25
	VSP	4 000	Sep 23, 11	Nov 02, 12	219 55	197 27			22 28
	VSP	1 000	Sep 23, 11	Dec 03, 12	55 86	49 32			6 54
BCE INC NEW CAD	VSP	1 000	Jul 15, 11	Aug 20, 12	45 32	39 92			5 40
	VSP	3 000	Jul 15, 11	Oct 02, 12	132 14	119 75			12 39
	VSP	4 000	Jul 15, 11	Nov 02, 12	173 59	159 67			13 92
	VSP	126 000	Jul 15, 11	Dec 03, 12	5,351 60	5,029 57			322 03
CABLEVISION SYSTEMS CORP N Y GROUP CL A	VSP	230 000	Apr 15, 10	Feb 01, 12	3,331 21	4,333 65		-1,002 44	

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HOLMES FOUNDATION INC
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CHEVRON CORP	VSP	8 000	Nov 23, 10	Oct 02, 12	939 57	654 16			285 41
	VSP	3 000	Nov 23, 10	Nov 02, 12	325 99	245 31			80 68
	VSP	4 000	Jan 18, 11	Feb 01, 12	414 47	373 52			40 95
	VSP	2 000	Jan 18, 11	Mar 22, 12	210 39	186 76			23 63
	VSP	1 000	Jan 18, 11	Aug 20, 12	112 29	93 38			18 91
	VSP	1 000	Jan 18, 11	Oct 02, 12	117 45	93 38			24 07
COLGATE PALMOLIVE CO	VSP	6 000	Nov 23, 10	May 07, 12	596 74	466 30			130 44
	VSP	2 000	Nov 23, 10	Nov 02, 12	211 67	155 43			56 24
	VSP	1 000	Nov 23, 10	Dec 03, 12	108 23	77 71			30 52
COVIDIEN PLC	VSP	10 000	Jul 15, 11	Oct 02, 12	594 38	521 38			73 00
	VSP	4 000	Jul 15, 11	Nov 02, 12	223 91	208 55			15 36
DARDEN RESTAURANTS INC	VSP	1 000	Jan 18, 11	Jul 09, 12	50 60	45 90			4 70
	VSP	1 000	Jan 18, 11	Aug 20, 12	53 29	45 89			7 40
	VSP	9 000	Jan 18, 11	Oct 02, 12	502 00	413 10			88 90
	VSP	4 000	Jan 18, 11	Nov 02, 12	212 07	183 59			28 48
	VSP	133 000	Jan 18, 11	Dec 03, 12	6,993 32	6,104 60			888 72
DEERE AND CO	VSP	86 000	Jul 15, 11	Dec 03, 12	7,182 47	7,024 41			158 06
DEVON ENERGY CORP NEW	VSP	101 000	Jan 18, 11	Feb 01, 12	6,469 23	8,353 26		-1,884 03	
DU PONT DE NEMOURS	VSP	132 000	Jul 15, 11	Dec 03, 12	5,621 77	7,140 93		-1,519 16	
	VSP	10 000	Sep 23, 11	Dec 03, 12	425 89	413 97			11 92
EQT CORP	VSP	120 000	Jan 18, 11	May 07, 12	5,819 32	5,633 61			185 71
EXXON MOBIL CORP	VSP	13 000	Jan 18, 11	Feb 01, 12	1,096 83	1,018 93			77 90
	VSP	45 000	Jan 18, 11	May 07, 12	3,798 02	3,527 07			270 95
	VSP	2 000	Jan 18, 11	Nov 02, 12	181 14	156 75			24 39
	VSP	3 000	Jul 15, 11	Oct 02, 12	274 28	249 15			25 13
	VSP	2 000	Sep 23, 11	Oct 02, 12	182 85	139 14			43 71
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	15 971	Jul 15, 11	Aug 20, 12	210 82	221 67		-10 85	
	FIFO	51 860	Jul 15, 11	Nov 02, 12	701 15	719 82		-18 67	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
GENL ELECTRIC CO									
	FIFO	55,948	Jul 15, 11	Dec 03, 12	760,34	776,56		-16,22	
	VSP	6,000	Nov 23, 10	Mar 22, 12	118,73	94,79			23,94
	VSP	4,000	Nov 23, 10	May 07, 12	77,23	63,19			14,04
	VSP	14,000	Nov 23, 10	Jul 09, 12	279,61	221,18			58,43
	VSP	3,000	Nov 23, 10	Aug 20, 12	62,69	47,40			15,29
	VSP	37,000	Nov 23, 10	Oct 02, 12	843,71	584,54			259,17
	VSP	10,000	Nov 23, 10	Nov 02, 12	214,29	157,98			56,31
	VSP	325,000	Nov 23, 10	Dec 03, 12	6,800,24	5,134,48			1,665,76
GENUINE PARTS CO									
	VSP	19,000	Jan 18, 11	Feb 01, 12	1,218,74	965,55			253,19
	VSP	2,000	Jan 18, 11	Mar 22, 12	123,99	101,64			22,35
	VSP	1,000	Jan 18, 11	May 07, 12	65,03	50,82			14,21
	VSP	116,000	Jan 18, 11	Oct 02, 12	7,056,60	5,894,98			1,161,62
HALLBURTON CO (HOLDING COMPANY)									
	VSP	151,000	Jan 18, 11	Dec 03, 12	5,016,56	6,020,13		-1,003,57	
	VSP	51,000	Sep 23, 11	Dec 03, 12	1,694,33	1,662,96			31,37
HOME DEPOT INC									
	VSP	16,000	Nov 23, 10	Oct 02, 12	968,35	495,17			473,18
	VSP	4,000	Nov 23, 10	Nov 02, 12	250,67	123,80			126,87
	VSP	32,000	Jan 18, 11	Feb 01, 12	1,427,60	1,151,01			276,59
	VSP	2,000	Jan 18, 11	Mar 22, 12	99,31	71,94			27,37
	VSP	23,000	Jan 18, 11	May 07, 12	1,187,31	827,29			360,02
	VSP	1,000	Jan 18, 11	Jul 09, 12	52,05	35,97			16,08
	VSP	1,000	Jan 18, 11	Aug 20, 12	56,16	35,97			20,19
	VSP	1,000	Jan 18, 11	Oct 02, 12	60,52	35,97			24,55
ILLINOIS TOOL WORKS INC									
	VSP	134,000	May 28, 10	Feb 01, 12	7,368,75	6,246,96			1,121,79
INTL BUSINESS MACH									
	VSP	3,000	Mar 22, 06	Dec 03, 12	568,75	252,51			316,24
	VSP	2,000	Jun 11, 09	Feb 01, 12	388,38	219,85			168,53
	VSP	1,000	Jun 11, 09	Mar 22, 12	205,25	109,93			95,32
	VSP	5,000	Jun 11, 09	May 07, 12	1,018,97	549,65			469,32
	VSP	2,000	Jun 11, 09	Nov 02, 12	390,87	219,86			171,01
	VSP	9,000	Jun 11, 09	Dec 03, 12	1,706,27	989,36			716,91

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ISHARES iBOXX \$ INVT GRA DE CORPORATE BOND FUND	VSP	88 000	Jun 11, 09	May 07, 12	10,252 21	8,638 27			1,613 94
	VSP	1 000	Sep 23, 11	Nov 02, 12	122 36	113 19			9 17
	VSP	1 000	Sep 23, 11	Dec 03, 12	121 84	113 18			8 66
JOHNSON & JOHNSON COM	VSP	1 000	Nov 23, 10	Nov 02, 12	71 23	63 04			8 19
	VSP	1 000	Nov 23, 10	Dec 03, 12	69 47	63 04			6 43
	VSP	1 000	Mar 31, 11	Aug 20, 12	67 75	59 43			8 32
KAYNE ANDERSON MLP INVESTMENT CO	FIFO	309 000	Apr 15, 10	Feb 01, 12	9,705 01	8,381 70			1,323 31
	FIFO	13 000	Apr 15, 10	Mar 22, 12	389 73	352 63			37 10
	FIFO	201 000	Apr 15, 10	May 07, 12	5,879 11	5,452 18			426 93
	FIFO	163 000	Apr 15, 10	Jul 09, 12	5,004 31	4,421 42			582 89
	FIFO	6 000	Apr 15, 10	Aug 20, 12	178 13	162 75			15 38
	FIFO	1 000	Apr 15, 10	Oct 02, 12	31 31	27 13			4 18
KRAFT FOODS GROUP INC	FIFO	18 000	Apr 15, 10	Nov 02, 12	566 98	488 25			78 73
	FIFO	0 333	Jul 21, 10	Oct 02, 12	15 08	10 18			4 90
	VSP	2 000	Jul 21, 10	Nov 02, 12	89 91	61 08			28 83
KRAFT FOODS INC *NAME CHANGE 10/2012* CLA	VSP	61 000	Jul 21, 10	Dec 03, 12	2,787 23	1,862 89			924 34
	VSP	6 000	Jul 21, 10	Feb 01, 12	231 83	174 87			56 96
	VSP	3 000	Jul 21, 10	Mar 22, 12	114 60	87 43			27 17
LORILLARD INC	VSP	1 000	Jul 21, 10	May 07, 12	39 27	29 15			10 12
	VSP	2 000	Jul 21, 10	Aug 20, 12	81 29	58 29			23 00
	VSP	12 000	Jan 18, 11	May 07, 12	1,595 48	926 85			668 63
MARSH & MCLENNAN COS INC	VSP	19 000	Jan 08, 10	Feb 01, 12	610 70	421 20			189 50
	VSP	3 000	Jan 08, 10	Mar 22, 12	97 10	66 50			30 60
	VSP	12 000	Jan 08, 10	May 07, 12	409 43	266 02			143 41
LORILLARD INC	VSP	5 000	Jan 08, 10	Nov 02, 12	172 69	110 85			61 84
	VSP	216 000	Jan 08, 10	Dec 03, 12	7,588 72	4,788 39			2,810 33

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
MATTEL INC	VSP	22 000	Jan 18, 11	Feb 01, 12	689 09	523 06			166 03
	VSP	3 000	Jan 18, 11	Mar 22, 12	100 55	71 33			29 22
	VSP	10 000	Jan 18, 11	May 07, 12	327 19	237 75			89 44
	VSP	12 000	Jan 18, 11	Oct 02, 12	424 83	285 30			139 53
	VSP	6 000	Jan 18, 11	Nov 02, 12	219 77	142 65			77 12
	VSP	210 000	Jan 18, 11	Dec 03, 12	7,760 79	4,992.82			2,767 97
MCDONALDS CORP	VSP	1 000	Oct 14, 09	Feb 01, 12	98 67	57 76			40 91
	VSP	2 000	Oct 14, 09	Mar 22, 12	191 81	115 52			76 29
	VSP	114 000	Oct 14, 09	Oct 02, 12	10,388 93	6,584 40			3,804 53
MEADWESTVACO CORP	VSP	2 000	Jan 18, 11	Nov 02, 12	60 22	47 69			12 53
	VSP	241 000	Jan 18, 11	Dec 03, 12	7,418 58	5,747 13			1,671 45
	VSP	1 000	Sep 23, 11	Oct 02, 12	30 87	22 39			8 48
	VSP	5 000	Sep 23, 11	Nov 02, 12	150 54	111 95			38 59
MERCK & CO INC NEW COM	VSP	35 000	Nov 23, 10	Feb 01, 12	1,357 39	1,234 28			123 11
	VSP	17 000	Nov 23, 10	Jul 09, 12	712 49	599 50			112 99
	VSP	2 000	Nov 23, 10	Aug 20, 12	87 43	70 53			16 90
	VSP	12 000	Nov 23, 10	Oct 02, 12	543 02	423 18			119 84
	VSP	7 000	Nov 23, 10	Nov 02, 12	324 51	246 86			77 65
	VSP	4 000	Jan 18, 11	Mar 22, 12	150 79	134 47			16 32
	VSP	4 000	Jan 18, 11	Jul 09, 12	167 64	134 47			33 17
	VSP	1 000	Sep 23, 11	Jul 09, 12	41 91	31 50	0 40		10 41
MICROSOFT CORP	VSP	7 000	Sep 08, 10	May 07, 12	214 61	169 15			45 46
MONDELEZ INTL INC	VSP	6 000	Jul 21, 10	Nov 02, 12	159 35	113 79			45 56
	VSP	184 000	Jul 21, 10	Dec 03, 12	4,712 48	3,489 61			1,222 87
NATL FUEL GAS CO	VSP	113 000	Apr 15, 10	Mar 08, 12	5,548 19	6,064 08		-515 89	
NEXTERA ENERGY INC COM	VSP	53 000	Mar 22, 06	Dec 03, 12	3,617 38	2,131 13			1,486 25
	VSP	27 000	Sep 10, 09	Dec 03, 12	1,842 82	1,450 98			391 84
	VSP	14 000	Jan 08, 10	Dec 03, 12	955 54	741 53			214 01
NIKE INC CL B	VSP	5 000	Mar 31, 11	May 07, 12	559 03	382 68			176 35
	VSP	67 000	Mar 31, 11	Oct 02, 12	6,370 36	5,127 91			1,242 45

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NORFOLK STHN CORP	VSP	8 000	Jan 18, 11	Feb 01, 12	584 71	520 55			64 16
NOVARTIS AG SPON ADR	VSP	5 000	Jul 15, 11	Oct 02, 12	310 26	307 55			2 71
	VSP	5 000	Jul 15, 11	Nov 02, 12	305 19	307 55		-2 36	
	VSP	1 000	Jul 15, 11	Dec 03, 12	62 46	61 51			0 95
	VSP	2 000	Sep 23, 11	Oct 02, 12	124 10	114 56	6 99		9 54
NYSE EURONEXT	VSP	227 000	Jan 18, 11	Feb 01, 12	5,997 49	7,245 49		-1,248 00	
OCCIDENTAL PETROLEUM CRP	VSP	2 000	Jul 21, 10	Mar 22, 12	191 02	162 70			28 32
	VSP	6 000	Jul 21, 10	May 07, 12	523 48	488 08			35 40
	VSP	106 000	Jul 21, 10	Dec 03, 12	7,868 50	8,622 90		-754 40	
	VSP	1 000	Jul 15, 11	Dec 03, 12	74 23	105 46		-31 23	
PENTAIR LTD	FIFO	0 832	Jan 18, 11	Oct 01, 12	35 86	29 33			6 53
	FIFO	31 800	Jan 18, 11	Oct 02, 12	1,348 37	1,121 08			227 29
PEPSICO INC	VSP	79 000	Mar 22, 06	Dec 03, 12	5,534 88	4,712 35			822 53
	VSP	5 000	Jun 11, 09	Jul 09, 12	349 15	267 80			81 35
	VSP	1 000	Jun 11, 09	Aug 20, 12	73 09	53 56			19 53
	VSP	27 000	Jun 11, 09	Dec 03, 12	1,891 67	1,446 12			445 55
PROCTER & GAMBLE CO	VSP	111 000	Mar 22, 06	May 07, 12	7,115 21	6,568 98			546 23
	VSP	1 000	Mar 31, 11	May 07, 12	64 10	61 77			2 33
PUBLIC STORAGE REIT	VSP	6 000	Jan 18, 11	Feb 01, 12	834 04	623 18			210 86
	VSP	1 000	Jan 18, 11	Mar 22, 12	135 73	103 86			31 87
	VSP	1 000	Jan 18, 11	Jul 09, 12	144 15	103 87			40 28
ROCKWELL COLLINS INC	VSP	125 000	Jan 18, 11	May 07, 12	6,697 82	7,823 65		-1,125 83	
SCHLUMBERGER LTD	VSP	84 000	Jan 18, 11	Dec 03, 12	5,982 07	7,252 14		-1,270 07	
NETHERLANDS ANTILLES	VSP	7 000	Jul 15, 11	Dec 03, 12	498 50	616 77		-118 27	
	VSP	8 000	Sep 23, 11	Dec 03, 12	569 72	496 14			73 58
SOUTHERN CO	VSP	120 000	Jul 15, 11	Dec 03, 12	5,175 90	4,821 29			354 61
STARBUCKS CORP	VSP	14 000	Jan 18, 11	Feb 01, 12	673 86	463 12			210 74
	VSP	2 000	Jan 18, 11	Mar 22, 12	109 99	66 16			43 83

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
SYSCO CORP	VSP	21 000	Jan 18, 11	May 07, 12	1,159 90	694 67			465 23
	VSP	236 000	Jan 18, 11	Feb 01, 12	7,200 50	7,131 57			68 93
TAIWAN SEMICONDUCTOR MFG CO LTD ADR									
	VSP	355 000	Sep 10, 09	Feb 01, 12	5,038 45	3,880 10			1,158 35
	VSP	40 000	Sep 10, 09	May 07, 12	614 77	437 19			177 58
	VSP	3 000	Sep 10, 09	Oct 02, 12	48 09	32 79			15 30
	VSP	14 000	Sep 10, 09	Nov 02, 12	222 73	153 02			69 71
	VSP	463 000	Sep 10, 09	Dec 03, 12	7,950 87	5,060 52			2,890 35
	VSP	7 000	Mar 31, 11	Oct 02, 12	112 21	85 74			26 47
	VSP	1 000	Jul 15, 11	Oct 02, 12	16 03	12 20			3 83
TANGER FACTORY OUTLET CENTERS INC									
	VSP	2 000	Jul 15, 11	Aug 20, 12	65 85	55 96			9 89
	VSP	3 000	Jul 15, 11	Dec 03, 12	98 67	83 94			14 73
TYCO INTL LTD									
	VSP	9 000	Jan 18, 11	Feb 01, 12	459 44	403 11			56 33
	VSP	2 000	Jan 18, 11	Mar 22, 12	105 55	89 57			15 98
	VSP	9 000	Jan 18, 11	May 07, 12	495 52	403 11			92 41
	VSP	136 000	Jan 18, 11	Dec 03, 12	3,806 14	2,977 31			828 83
UNILEVER PLC AMER SHS NEW SPON ADR									
	VSP	14 000	Jul 15, 11	Oct 02, 12	513 82	448 24			65 58
	VSP	6 000	Jul 15, 11	Nov 02, 12	224 69	192 11			32 58
	VSP	9 000	Jul 15, 11	Dec 03, 12	344 87	288 15			56 72
UNITED PARCEL SERVICE INC CL B									
	VSP	10 000	Nov 23, 10	Feb 01, 12	768 78	678 29			90 49
	VSP	2 000	Nov 23, 10	Jul 09, 12	158 74	135 66			23 08
	VSP	1 000	Nov 23, 10	Aug 20, 12	76 60	67 83			8 77
US BANCORP DEL (NEW)									
	VSP	2 000	Jul 15, 11	Aug 20, 12	66 05	49 48			16 57
	VSP	7 000	Jul 15, 11	Oct 02, 12	237 78	173 17			64 61
	VSP	7 000	Jul 15, 11	Nov 02, 12	235 47	173 17			62 30
VERIZON COMMUNICATIONS INC									
	VSP	8 000	Nov 23, 10	May 07, 12	321 59	259 52			62 07
	VSP	7 000	Nov 23, 10	Jul 09, 12	311 42	227 07			84 35

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	VSP	1 000	Nov 23, 10	Aug 20, 12	43 87	32 44			11 43
	VSP	3 000	Nov 23, 10	Nov 02, 12	135 14	97 32			37 82
	VSP	122 000	Nov 23, 10	Dec 03, 12	5,390 26	3,957 66			1,432 60
VF CORP	VSP	6 000	Jan 18, 11	May 07, 12	889 66	495 88			393 78
	VSP	1 000	Jan 18, 11	Oct 02, 12	159 71	82 65			77 06
	VSP	1 000	Jan 18, 11	Nov 02, 12	159 93	82 64			77 29
	VSP	47 000	Jan 18, 11	Dec 03, 12	7,572 33	3,884 37			3,687 96
WAL MART STORES INC	VSP	12 000	Jun 11, 09	Feb 01, 12	751 21	598 80			152 41
	VSP	2 000	Jun 11, 09	Mar 22, 12	121 19	99 80			21 39
	VSP	120 000	Jun 11, 09	May 07, 12	7,052 56	5,988 00			1,064 56
3M CO	VSP	2 000	Jun 11, 09	Oct 02, 12	186 88	121 56			65 32
	VSP	2 000	Jun 11, 09	Nov 02, 12	179 20	121 56			57 64
Total					\$337,497.56	\$292,361.32		-\$10,520.99	\$55,657.23
Net long-term capital gains or losses									\$45,136.24
Net capital gains/losses:									\$38,969.61





Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BARCLAYS BANK PLC IPATH DOW JONES-UBS COMM INDX TOTAL RETURN	VSP VSP	872,000 6,000	Jul 15, 11 Sep 23, 11	Feb 01, 12 Feb 01, 12	37,656.76 259.11	43,310.38 274.12		-5,653.62 -15.01	
BLACKROCK LATIN AMERICA FUND INC A	FIFO	8,383	Oct 02, 12	Nov 02, 12	493.26	493.51		-0.25	
CALAMOS INTERNATIONAL GROWTH FUND CLASS A	FIFO FIFO FIFO FIFO FIFO	37,690 12,832 17,049 74,120	Oct 12, 11 Oct 12, 11 Oct 12, 11 Oct 12, 11	Mar 22, 12 May 11, 12 Aug 20, 12 Oct 02, 12	649.03 210.32 286.08 1,305.26	597.01 203.26 270.05 1,174.07			52.02 7.06 16.03 131.19
EATON VANCE ATLANTA CAPITAL SMID-CAP FUND CLASS A	FIFO FIFO FIFO	9,830 30,456 32,517	Jul 09, 12 Jul 09, 12 Jul 09, 12	Aug 20, 12 Nov 02, 12 Dec 03, 12	161.61 511.96 552.47	156.40 484.55 517.35			5.21 27.41 35.12
EATON VANCE RICHARD BERNSTEIN EQUITY STRATEGY FUND CLASS A	FIFO	9,672	Dec 29, 11	Oct 02, 12	107.94	95.17			12.77
EATON VANCE TAX-ADV BOND STRATEGIES SHORT TERM FUND A	FIFO	620,435	Jul 15, 11	Jul 09, 12	6,706.90	6,533.18			173.72
ETFs PRECIOUS METALS BASKET TR	VSP VSP VSP VSP VSP	22,000 4,000 1,000 2,000 18,000	Jul 15, 11 Jul 15, 11 Sep 23, 11 Jul 09, 12 Jul 09, 12	Feb 01, 12 Mar 22, 12 May 11, 12 Aug 20, 12 Oct 02, 12	2,187.89 373.55 87.79 176.17 1,818.36	2,246.92 408.53 106.53 172.39 1,551.52			-59.03 -34.98 -18.74 3.78 266.84

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
Account number: BW 14294 LP

Your Financial Advisor:
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	VSP	4 000	Jul 09, 12	Nov 02, 12	371 14	344 78			26 36
GABELLI ENTERPRISE MERGERS & ACQUISITIONS FUND CLASS A	FIFO	795 185	Jul 15, 11	Feb 01, 12	8,572 09	8,635 71		-63 62	
GUGGENHEIM MID CAP VALUE FUND CLASS A	FIFO	40 216	Aug 25, 11	Jul 09, 12	1,242 27	1,132 08			110 19
	FIFO	8 357	Sep 23, 11	Jul 09, 12	258 15	229 39			28 76
	FIFO	10 775	Dec 12, 11	Jul 09, 12	332 84	311 30			21 54
GUGGENHEIM S&P GLOBAL WATER INDEX ETF	VSP	9 000	Aug 25, 11	Feb 01, 12	181 69	171 14			10 55
	VSP	6 000	Aug 25, 11	May 11, 12	123 95	114 10			9 85
GUGGENHEIM SPIN-OFF ETF	VSP	3 000	Jul 09, 12	Aug 20, 12	79 64	81 28		-1 64	
	VSP	295 000	Jul 09, 12	Oct 02, 12	8,168 94	7,993 01			175 93
ING GLOBAL REAL ESTATE FUND CLASS A	FIFO	3 962	Oct 03, 11	Oct 02, 12	68 70	53 84			14 86
	FIFO	2 732	Jan 03, 12	Oct 02, 12	47 37	40 98			6 39
	FIFO	2 376	Apr 02, 12	Oct 02, 12	41 20	39 89			1 31
	FIFO	3 150	Jul 02, 12	Oct 02, 12	54 62	53 24			1 38
	FIFO	2 558	Oct 01, 12	Oct 02, 12	44 36	44 25			0 11
IQ HEDGE MULTI-STRAT TRACKER	VSP	20 000	Feb 01, 12	Mar 22, 12	551 59	553 62		-2 03	
	VSP	6 000	Feb 01, 12	May 11, 12	164 76	166 09		-1 33	
	VSP	2 000	Feb 01, 12	Jul 09, 12	55 06	55 36		-0 30	
	VSP	8 000	Feb 01, 12	Aug 20, 12	222 63	221 45			1 18
	VSP	125 000	Feb 01, 12	Oct 02, 12	3,522 67	3,460 13			62 54
	VSP	21 000	Feb 01, 12	Nov 02, 12	591 55	581 30			10 25
ISHARES BARCLAY 3-7 YEAR TREAS 8D FD	VSP	252 000	Sep 23, 11	Feb 01, 12	30,882 77	30,710 37			172 40
ISHARES BARCLAYS 10-20 YR TREAS 8D FD	VSP	376 000	Oct 19, 11	Feb 01, 12	49,863 74	48,428 80			1,434 94

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ISHARES BARCLAYS 20+ YEAR TREAS BD FD									
	VSP	8 000	Feb 01, 12	Mar 22, 12	895 98	952 72		-56 74	1 34
	VSP	3 000	Feb 01, 12	May 11, 12	358 61	357 27			203 08
	VSP	23 000	Feb 01, 12	Jul 09, 12	2,942 16	2,739 08			9 05
	VSP	3 000	Feb 01, 12	Aug 20, 12	366 32	357 27			225 74
	VSP	43 000	Feb 01, 12	Oct 02, 12	5,346 61	5,120 87			23 48
	VSP	8 000	Feb 01, 12	Nov 02, 12	976 21	952 73			363 93
	VSP	65 000	Feb 01, 12	Dec 03, 12	8,104 79	7,740 86			
ISHARES INC MSCI CANADA INDEX FD									
	VSP	11 000	Jul 15, 11	Jul 09, 12	282 84	351 52		-68 68	
	VSP	6 000	Aug 25, 11	Mar 22, 12	168 65	171 60		-2 95	
	VSP	4 000	Aug 25, 11	Jul 09, 12	102 86	114 40		-11 54	
	VSP	8 000	Sep 23, 11	Feb 01, 12	227 83	205 27			22 56
	VSP	2 000	Sep 23, 11	May 11, 12	53 25	51 32			1 93
	VSP	1 000	Sep 23, 11	Jul 09, 12	25 71	25 66			0 05
ISHARES US DOW JONES MEDICAL EQUIPMENT INDEX									
	VSP	3 000	Aug 25, 11	Mar 22, 12	201 47	174 84			26 63
	VSP	1 000	Aug 25, 11	May 11, 12	66 07	58 28			7 79
	VSP	1 000	Aug 25, 11	Jul 09, 12	64 99	58 28			6 71
JEFFERIES COMMODITY STRATEGY ALLOCATION FUND CLASS A									
	FIFO	63 007	Feb 01, 12	Mar 22, 12	730 25	730 25			
	FIFO	20 551	Feb 01, 12	May 11, 12	217 43	238 19		-20 76	
	FIFO	30 033	Feb 01, 12	Aug 20, 12	325 86	348 08		-22 22	
	FIFO	2,758 801	Feb 01, 12	Oct 02, 12	30,788 22	31,974 50		-1,186 28	
	FIFO	20 959	Mar 28, 12	Oct 02, 12	233 90	240 19		-6 29	
	FIFO	8 480	Jun 27, 12	Oct 02, 12	94 64	84 38			10 26
	FIFO	290 510	Jul 09, 12	Oct 02, 12	3,242 09	3,024 21			217 88
MARKET VECTORS AGRIBUSINESS ETF									
	VSP	8 000	Jul 15, 11	Feb 01, 12	417 69	433 99		-16 30	
	VSP	6 000	Aug 25, 11	Feb 01, 12	313 26	296 00			17 26
	VSP	7 000	Sep 23, 11	Mar 22, 12	363 64	312 78			50 86

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14294 LP

Your Financial Advisor:
 THE FDG GROUP
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NATIXIS ASG GLOBAL ALTERNATIVE A	VSP	2 000	Sep 23, 11	May 11, 12	99 29	89 37			9 92
	VSP	3 000	Jul 09, 12	Aug 20, 12	151 22	147 81			3 41
	VSP	13 000	Jul 09, 12	Oct 02, 12	675 38	640 51			34 87
PIMCO COMMODITY REAL RETURN STRATEGY FUND CLASS A	FIFO	0 067	Dec 31, 09	Oct 02, 12	0 71	0 71			
	FIFO	0 371	Dec 31, 09	Oct 02, 12	3 91	3 91			
POWERSHARES DYNAMIC LARGE CAP GROWTH PORTFOLIO	FIFO	105 341	Oct 02, 12	Nov 02, 12	697 36	741 60		-44 24	
	VSP	14 000	Aug 25, 11	May 11, 12	254 09	210 24			43 85
POWERSHARES ETF INTL DIVID ACHIEVERS PORTFOLIO	VSP	6 000	Aug 25, 11	Jul 09, 12	107 40	90 11			17 29
	VSP	35 000	Oct 12, 11	Mar 22, 12	533 74	513 62			20 12
POWERSHARES ETF INTL DIVID ACHIEVERS PORTFOLIO	VSP	12 000	Feb 01, 12	May 11, 12	177 47	181 44		-3 97	
	VSP	4 000	Feb 01, 12	Nov 02, 12	61 28	60 48			0 80
SPDR S&P DIVIDEND ETF	VSP	16 000	Jul 09, 12	Aug 20, 12	242 39	232 60			9 79
	VSP	3 000	Jul 09, 12	Oct 02, 12	45 95	43 62			2 33
TCW EMERGING MARKETS INCOME FUND CLASS N	VSP	31 000	Jul 09, 12	Nov 02, 12	474 91	450 67			24 24
	VSP	22 000	Oct 12, 11	Feb 01, 12	1,220 57	1,129 26			91 31
TCW EMERGING MARKETS INCOME FUND CLASS N	VSP	13 000	Oct 12, 11	Mar 22, 12	728 11	667 29			60 82
	VSP	4 000	Oct 12, 11	May 11, 12	222 51	205 32			17 19
TCW EMERGING MARKETS INCOME FUND CLASS N	VSP	1 000	Oct 12, 11	Jul 09, 12	55 09	51 33			3 76
	VSP	6 000	Oct 12, 11	Aug 20, 12	344 45	307 98			36 47
TCW EMERGING MARKETS INCOME FUND CLASS N	VSP	1 000	Oct 02, 12	Nov 02, 12	58 27	57 96			0 31
	FIFO	13 928	Jul 09, 12	Aug 20, 12	162 12	157 39			4 73
TCW EMERGING MARKETS INCOME FUND CLASS N	FIFO	63 136	Jul 09, 12	Nov 02, 12	752 58	713 43			39 15
	FIFO	49 078	Jul 09, 12	Dec 03, 12	591 39	554 58			36 81



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Portfolio Management Program

Account name:
Account number:

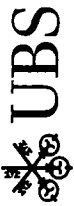
HOLMES FOUNDATION INC
BW 14294 LP

Your Financial Advisor:
THE FDG GROUP
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Realized gains and losses (continued)
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VANGUARD DIVID APPRECIATION ETF									
	VSP	5 000	Aug 25, 11	May 11, 12	285 31	251 69			33 62
	VSP	2 000	Aug 25, 11	Jul 09, 12	112 66	100 68			11 98
VANGUARD EXTD MKT ETF									
	VSP	9 000	Aug 25, 11	Mar 22, 12	527 30	438 93			88.37
	VSP	3 000	Aug 25, 11	May 11, 12	171 50	146 31			25 19
	VSP	1 000	Aug 25, 11	Jul 09, 12	56 43	48 77			7 66
	VSP	4 000	Aug 25, 11	Aug 20, 12	232 39	195 08			37 31
	VSP	17 000	Oct 02, 12	Nov 02, 12	1,010 11	1,012 86		-2 75	
VANGUARD FTSE ALL WO X-US SC									
	VSP	2 000	Aug 25, 11	Mar 22, 12	174 81	174 40			0 41
	VSP	2 000	Sep 23, 11	Feb 01, 12	172.81	159 17			13 64
	VSP	1 000	Sep 23, 11	May 11, 12	83 76	79 58			4 18
	VSP	1 000	Jul 09, 12	Aug 20, 12	84 44	80 79			3 65
	VSP	3 000	Jul 09, 12	Oct 02, 12	264 28	242 36			21 92
	VSP	2 000	Jul 09, 12	Nov 02, 12	175 97	161 57			14.40
	VSP	8 000	Oct 02, 12	Nov 02, 12	523 42	518.24			5 18
VANGUARD TOTAL WORLD STK INDEX FD ETF									
	VSP	13 000	Oct 12, 11	Feb 01, 12	602 22	572.60			29 62
	VSP	31 000	Oct 12, 11	Mar 22, 12	1,478 05	1,365 44			112 61
	VSP	10 000	Oct 12, 11	May 11, 12	457 78	440 46			17 32
	VSP	14 000	Jul 09, 12	Aug 20, 12	664 56	631 71			32 85
	VSP	11 000	Jul 09, 12	Dec 03, 12	533 39	496 34			37 05
	VSP	54 000	Oct 02, 12	Nov 02, 12	2,605 45	2,613 79		-8 34	
	VSP	352 000	Oct 02, 12	Dec 03, 12	17,068 67	17,038.07			30 60
WESTERN ASSET MANAGED MUNICIPALS FUND CLASS A									
	Adjustment	26 760	Feb 01, 12	Mar 22, 12	445.28	447 94	-1 09	-2 66	
	FIFO	9 032	Feb 01, 12	May 11, 12	153 36	151 56			1 80
	FIFO	272,477	Feb 01, 12	Jul 09, 12	4,607 58	4,572 16			35 42
	FIFO	9 315	Feb 01, 12	Aug 20, 12	158 64	156 31			2.33
	FIFO	57 701	Feb 01, 12	Nov 02, 12	997 08	968 22			28 86

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Portfolio Management Program

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HOLMES FOUNDATION INC
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Your Financial Advisor:
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WISDOMTREE EMERGING MARKETS EQUITY INCOM	FIFO	45 527	Feb 01, 12	Dec 03, 12	799 91	763 94			35 97
	VSP	12 000	Feb 01, 12	Mar 22, 12	686 38	680 33			6 05
	VSP	4 000	Feb 01, 12	May 11, 12	218 75	226 78		-8 03	
	VSP	6 000	Jul 09, 12	Aug 20, 12	320 09	306 17			13 92
	VSP	5 000	Jul 09, 12	Oct 02, 12	270 74	255 14			15 60
	VSP	18 000	Jul 09, 12	Nov 02, 12	962 61	918 50			44 11
Total					\$260,463.44	\$262,598.71		-\$7,312.30	\$5,177.03

Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ALLIANCE BERNSTEIN HIGH INCOME MUNI CLASS A	FIFO	50 155	Apr 15, 10	Mar 22, 12	538 16	503 05			35 11
	FIFO	16 890	Apr 15, 10	May 11, 12	186 80	169 41			17 39
	FIFO	28 391	Apr 15, 10	Aug 20, 12	319 97	284 76			35 21
	FIFO	697 761	Apr 15, 10	Oct 02, 12	7,961 45	6,998 55			962 90
	FIFO	65 606	Apr 15, 10	Nov 02, 12	753 16	658 03			95 13
	FIFO	80 100	Apr 15, 10	Dec 03, 12	940 37	803 40			136 97
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	42 698	Dec 17, 09	Mar 22, 12	358 24	341 58			16 66
	FIFO	14 323	Dec 17, 09	May 11, 12	121 32	114 59			6 73
	FIFO	56 019	Dec 17, 09	Jul 09, 12	478 40	448 15			30 25
	FIFO	18 389	Dec 17, 09	Aug 20, 12	157 41	147 11			10 30
	FIFO	86 307	Dec 17, 09	Nov 02, 12	747 42	690 46			56 96
	FIFO	26 759	Dec 17, 09	Dec 03, 12	232 27	214 07			18 20
CALAMOS INTERNATIONAL GROWTH FUND CLASS A	FIFO	49 809	Oct 12, 11	Nov 02, 12	854 72	788 97			65 75
	FIFO	2 023	Oct 12, 11	Dec 03, 12	35 55	32 04			3 51

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
DOUBLE LINE TOTAL RETURN									
FUND N	FIFO	65 097	Jul 21, 10	Mar 22, 12	729 09	700 44			28 65
	FIFO	22 023	Jul 21, 10	May 11, 12	247 32	236 97			10 35
	FIFO	129 018	Jul 21, 10	Jul 09, 12	1,446 29	1,388 23			58 06
	FIFO	28 103	Jul 21, 10	Aug 20, 12	318 41	302 39			16 02
	FIFO	87,464	Jul 21, 10	Nov 02, 12	993 59	941 12			52 47
	FIFO	12 037	Jul 21, 10	Dec 03, 12	136 74	129 51			7 23
EATON VANCE RICHARD BERNSTEIN EQUITY STRATEGY FUND CLASS A									
	FIFO	37 325	Jan 28, 11	Feb 01, 12	384 45	406 84		-22 39	
	FIFO	34 747	Jan 28, 11	Mar 22, 12	368 67	378 74		-10 07	
	FIFO	11 852	Jan 28, 11	May 11, 12	123 85	129 19		-5 34	
	FIFO	60 736	Jan 28, 11	Jul 09, 12	640 77	662 02		-21 25	
	FIFO	14 730	Jan 28, 11	Aug 20, 12	160 85	160 56			0 29
	FIFO	1,380,281	Jan 28, 11	Oct 02, 12	15,403 94	15,045 06			358 88
	FIFO	75 966	Jul 15, 11	Oct 02, 12	847 78	820 43			27 35
	FIFO	39 561	Aug 25, 11	Oct 02, 12	441 50	378 99			62 51
EATON VANCE TAX-ADV BOND STRATEGIES SHORT TERM FUND A									
	FIFO	83 068	Nov 23, 10	Mar 22, 12	886 34	871 38			14 96
	FIFO	27,846	Nov 23, 10	May 11, 12	301 57	292 11			9 46
	FIFO	1,468 790	Nov 23, 10	Jul 09, 12	15,877 62	15,407 60			470 02
	FIFO	0 066	Nov 30, 10	Jul 09, 12	0 71	0 69			0 02
	FIFO	5 172	Dec 22, 10	Jul 09, 12	55 91	53 17			2 74
	FIFO	22 178	Dec 22, 10	Jul 09, 12	239 75	227 99			11 76
	FIFO	1 712	Dec 31, 10	Jul 09, 12	18 50	17 58			0 92
	FIFO	120 997	Jan 28, 11	Jul 09, 12	1,307 98	1,237 80			70 18
	FIFO	1 594	Jan 31, 11	Jul 09, 12	17 23	16 31			0 92
	FIFO	2 078	Feb 28, 11	Jul 09, 12	22 47	21 44			1 03
	FIFO	10 877	Mar 31, 11	Jul 09, 12	117 58	111 82			5 76
	FIFO	1 604	Mar 31, 11	Jul 09, 12	17 34	16 49			0 85

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Portfolio Management Program

Account name: HOLMES FOUNDATION INC
 Account number: BW 14294 LP

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ETFs PRECIOUS METALS BASKET TR	FIFO	1 797	Apr 29, 11	Jul 09, 12	19 42	18 69			0 73
	FIFO	1 471	May 31, 11	Jul 09, 12	15 90	15 45			0 45
	FIFO	1 783	Jun 30, 11	Jul 09, 12	19 28	18 70			0 58
	FIFO	14 534	Jul 15, 11	Aug 20, 12	157 11	153 04			4 07
	FIFO	45 476	Jul 15, 11	Nov 02, 12	496 14	478 87			17 27
	FIFO	750 194	Jul 15, 11	Dec 03, 12	8,229.63	7,899.54			330.09
GABELLI ENTERPRISE MERGERS & ACQUISITIONS FUND CLASS A	VSP	1 000	Jul 15, 11	Nov 02, 12	92 79	102 13		-9 34	
GUGGENHEIM MID CAP VALUE FUND CLASS A	FIFO	1,358 772	Apr 15, 10	Feb 01, 12	14,647 56	13,750 77			896 79
	FIFO	112 495	Nov 23, 10	Feb 01, 12	1,212 70	1,151 95			60 75
	FIFO	47 169	Dec 01, 10	Feb 01, 12	1,497 14	1,468 37			28 77
	FIFO	11 422	Dec 01, 10	Mar 22, 12	377 27	355 57			21 70
GUGGENHEIM S&P GLOBAL WATER INDEX ETF	FIFO	3 885	Dec 01, 10	May 11, 12	120 80	120 94		-0 14	
	FIFO	456 127	Dec 01, 10	Jul 09, 12	14,089 77	14,199 23		-109 46	
	FIFO	1 948	Dec 13, 10	Jul 09, 12	60 17	62 61		-2 44	
	VSP	18 000	Jan 20, 09	Mar 22, 12	370 97	232 02			138 95
ING GLOBAL REAL ESTATE FUND CLASS A	VSP	7 000	Jan 20, 09	Jul 09, 12	141 75	90 23			51 52
	VSP	8 000	Jan 20, 09	Aug 20, 12	170 87	103 12			67 75
	VSP	785 000	Jan 20, 09	Oct 02, 12	17,122 32	10,118 65			7,003 67
	VSP	18 000	Jul 21, 10	Feb 01, 12	363 37	313 52			49 85
	FIFO	32 839	Nov 23, 10	Feb 01, 12	535 61	512 62			22 99
ING GLOBAL REAL ESTATE FUND CLASS A	FIFO	11 069	Nov 23, 10	Mar 22, 12	183 31	172 78			10 53
	FIFO	3 769	Nov 23, 10	May 11, 12	62 75	58 84			3 91
	FIFO	31 295	Nov 23, 10	Jul 09, 12	528 26	488 51			39 75
	FIFO	4 621	Nov 23, 10	Aug 20, 12	79 75	72 14			7 61
	FIFO	380 130	Nov 23, 10	Oct 02, 12	6,591 45	5,933 83			657 62



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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ISHARES INC MSCI CANADA INDEX FD	FIFO	20,386	Jan 03, 11	Oct 02, 12	353 49	331 88			21.61
	FIFO	17,379	Mar 31, 11	Oct 02, 12	301 36	289 71			11.65
	FIFO	6,691	Apr 04, 11	Oct 02, 12	116 02	111 54			4.48
	FIFO	6,126	Jul 01, 11	Oct 02, 12	106 22	106 10			0.12
	FIFO	6,164	Aug 25, 11	Oct 02, 12	106 89	94 13			12.76
	FIFO	21,217	Sep 23, 11	Oct 02, 12	367 90	303 61			64.29
ISHARES US DOW JONES MEDICAL EQUIPMENT INDEX	VSP	268,000	Sep 10, 09	Jul 09, 12	6,891 18	6,537 01			354.17
	VSP	9,000	Jul 21, 10	Feb 01, 12	582 85	471.89			110.96
	VSP	1,000	Jul 21, 10	Jul 09, 12	65.00	52.44			12.56
	VSP	1,000	Jul 21, 10	Aug 20, 12	65.53	52.43			13.10
	VSP	1,000	Jul 21, 10	Oct 02, 12	69.03	52.43			16.60
	VSP	4,000	Jul 21, 10	Nov 02, 12	268.71	209.73			58.98
JP MORGAN TAX AWARE REAL RETURN A	VSP	117,000	Jul 21, 10	Dec 03, 12	7,871 46	6,134 55			1,736.91
	FIFO	319,141	Apr 15, 10	Feb 01, 12	3,357.36	3,172.26			185.10
	FIFO	34,336	Apr 15, 10	Mar 22, 12	356.75	341.30			15.45
	FIFO	11,546	Apr 15, 10	May 11, 12	120.89	114.77			6.12
	FIFO	6,734	Apr 15, 10	Jul 09, 12	69.76	66.93			2.83
	FIFO	15,180	Apr 15, 10	Aug 20, 12	157.72	150.89			6.83
KAYNE ANDERSON MLP INVESTMENT CO	FIFO	47,266	Apr 15, 10	Nov 02, 12	497.24	469.83			27.41
	FIFO	18,265	Apr 15, 10	Dec 03, 12	193.97	181.55			12.42
	FIFO	52,000	Apr 15, 10	Feb 01, 12	1,633.20	1,410.52			222.68
	FIFO	11,000	Apr 15, 10	Mar 22, 12	329.77	298.37			31.40
	FIFO	4,000	Apr 15, 10	May 11, 12	117.51	108.51			9.00
	FIFO	5,000	Apr 15, 10	Aug 20, 12	148.44	135.62			12.82
KAYNE ANDERSON MLP INVESTMENT CO	FIFO	16,000	Apr 15, 10	Nov 02, 12	503.98	434.01			69.97
	FIFO	1,000	Apr 15, 10	Dec 03, 12	30.79	27.12			3.67

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Portfolio Management Program

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Your Financial Advisor:
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
LORD ABBETT INTERMEDIATE TAX FREE FUND CLASS A									
	FIFO	116 344	Nov 23, 10	Mar 22, 12	1,241 39	1,191 36			50 03
	FIFO	39 204	Nov 23, 10	May 11, 12	427 72	401 45			26 27
	FIFO	54 325	Nov 23, 10	Aug 20, 12	592 14	556 29			35 85
	FIFO	1,346 320	Nov 23, 10	Oct 02, 12	14,809 52	13,786 31			1,023 21
	FIFO	124 559	Nov 23, 10	Nov 02, 12	1,372 64	1,275 49			97 15
	FIFO	283 383	Nov 23, 10	Dec 03, 12	3,162 56	2,901 84			260 72
	FIFO	0 549	Nov 30, 10	Dec 03, 12	6 12	5 64			0 48
	FIFO	8 191	Dec 31, 10	Dec 03, 12	91 41	82 40			9 01
	FIFO	156 663	Jan 28, 11	Dec 03, 12	1,748 36	1,560 36			188 00
	FIFO	8 414	Jan 31, 11	Dec 03, 12	93 90	83 80			10 10
	FIFO	9 022	Feb 28, 11	Dec 03, 12	100 69	90 85			9 84
	FIFO	8 769	Mar 31, 11	Dec 03, 12	97 86	87 69			10 17
	FIFO	8 845	Apr 29, 11	Dec 03, 12	98 71	89 60			9 11
	FIFO	8 616	May 31, 11	Dec 03, 12	96 16	88 31			7 85
	FIFO	8 633	Jun 30, 11	Dec 03, 12	96 34	88 75			7 59
	FIFO	663 144	Jul 15, 11	Dec 03, 12	7,400 69	6,850 28			550 41
MARKET VECTORS AGRIBUSINESS ETF									
	VSP	308 000	Sep 10, 09	Oct 02, 12	16,001 28	11,855 40			4,145 88
	VSP	15 000	Apr 15, 10	Feb 01, 12	783 16	673 26			109 90
	VSP	1 000	Aug 25, 11	Oct 02, 12	51 95	49 33			2 62
	VSP	1 000	Sep 23, 11	Oct 02, 12	51 95	44 68			7 27
NATIXIS ASG GLOBAL ALTERNATIVE A									
	FIFO	68 029	Nov 19, 09	Mar 22, 12	716 35	719 07		-2 72	
	FIFO	22 123	Nov 19, 09	May 11, 12	226 32	233 84		-7 52	
	FIFO	31 075	Nov 19, 09	Aug 20, 12	315 72	328 46		-12 74	
	FIFO	961 565	Nov 19, 09	Oct 02, 12	10,125 28	10,163 74		-38 46	
	FIFO	14 257	Dec 31, 09	Oct 02, 12	150 13	148 13			2 00
	FIFO	83 331	Dec 31, 09	Oct 02, 12	877 47	865 81			11 66
	FIFO	21 347	Dec 31, 09	Oct 02, 12	224 79	221 80			2 99



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Portfolio Management Program

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Realized gains and losses (continued)
 Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
PIMCO ALL ASSETS ALL AUTH-A	FIFO	0.298	Dec 31, 09	Oct 02, 12	3.13	3.14		-0.01	
	FIFO	0.031	Apr 13, 10	Oct 02, 12	0.32	0.33		-0.01	
	FIFO	113.710	Nov 23, 10	Oct 02, 12	1,197.37	1,226.93		-29.56	
	FIFO	57.555	Nov 23, 10	Nov 02, 12	592.82	621.02		-28.20	
POWERSHARES DYNAMIC LARGE CAP GROWTH PORTFOLIO	FIFO	17.517	Jan 20, 09	Feb 01, 12	184.98	157.65			27.33
	FIFO	86.500	Jan 20, 09	Mar 22, 12	909.98	778.50			131.48
	FIFO	28.149	Jan 20, 09	May 11, 12	296.41	253.34			43.07
	FIFO	72.923	Jan 20, 09	Jul 09, 12	769.34	656.31			113.03
	FIFO	36.893	Jan 20, 09	Aug 20, 12	399.92	332.04			67.88
	FIFO	50.076	Jan 20, 09	Oct 02, 12	558.35	450.68			107.67
	FIFO	108.645	Jan 20, 09	Nov 02, 12	1,213.56	977.81			235.75
	FIFO	45.344	Jan 20, 09	Dec 03, 12	512.39	408.09			104.30
	VSP	1,656.000	Jan 08, 10	Dec 03, 12	31,413.61	24,106.54			7,307.07
	VSP	131.000	Nov 23, 10	Feb 01, 12	2,223.24	2,027.87			195.37
	VSP	42.000	Nov 23, 10	Mar 22, 12	776.14	650.16			125.98
	VSP	107.000	Nov 23, 10	Jul 09, 12	1,915.36	1,656.36			259.00
VSP	17.000	Nov 23, 10	Aug 20, 12	316.36	263.16			53.20	
VSP	15.000	Nov 23, 10	Oct 02, 12	285.64	232.19			53.45	
VSP	52.000	Nov 23, 10	Nov 02, 12	973.59	804.96			168.63	
VSP	51.000	Nov 23, 10	Dec 03, 12	967.45	789.48			177.97	
POWERSHARES ETF INTL DIVID ACHIEVERS PORTFOLIO	VSP	13.000	Oct 12, 11	Nov 02, 12	199.15	190.77			8.38
	VSP	7.000	Oct 12, 11	Dec 03, 12	107.70	102.72			4.98
	VSP	16.000	Oct 12, 11	Nov 02, 12	932.29	821.28			111.01
	VSP	560.000	Oct 12, 11	Dec 03, 12	32,632.31	28,744.77			3,887.54
TEMPLETON FRONTIER MARKETS CLASS A	FIFO	25.574	Jul 21, 10	Mar 22, 12	374.40	370.82			3.58
	FIFO	8.748	Jul 21, 10	May 11, 12	128.68	126.85			1.83

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Portfolio Management Program

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Your Financial Advisor:
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VANGUARD DIVID APPRECIATION ETF	FIFO	34 238	Jul 21, 10	Jul 09, 12	483 10	496 45		-13 35	
	FIFO	10 961	Jul 21, 10	Aug 20, 12	161 01	158 93			2 08
	FIFO	27 125	Jul 21, 10	Oct 02, 12	415 56	393 32			22 24
	FIFO	32 310	Jul 21, 10	Nov 02, 12	504 04	468 49			35 55
	FIFO	15 547	Jul 21, 10	Dec 03, 12	241 13	225 43			15 70
VANGUARD EXTD MKT ETF	VSP	59 000	Nov 23, 10	Feb 01, 12	3,341 76	2,957 08			384 68
	VSP	16 000	Nov 23, 10	Mar 22, 12	927 34	801 92			125 42
	VSP	7 000	Nov 23, 10	Aug 20, 12	414 46	350 84			63 62
	VSP	5 000	Nov 23, 10	Oct 02, 12	298 75	250 60			48 15
	VSP	21 000	Nov 23, 10	Nov 02, 12	1,246 74	1,052 52			194 22
VANGUARD EXTD MKT ETF	VSP	59 000	Jan 28, 11	Feb 01, 12	3,355 38	3,244 36			111 02
VANGUARD FTSE ALL WO X-US SC	VSP	1 000	Aug 25, 11	Nov 02, 12	87 99	87 20			0 79
	VSP	1 000	Aug 25, 11	Dec 03, 12	88 51	87 20			1 31
VANGUARD TOTAL WORLD STK INDEX FD ETF	VSP	142 000	Oct 12, 11	Dec 03, 12	6,885 66	6,254 58			631 08
Total					\$305,957.13	\$269,726.92		-\$313.00	\$36,543.21
Net long-term capital gains or losses									\$36,230.21
Net capital gains/losses:									\$34,094.94

