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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20

Name of foundation THE HARKNESS FOUNDATION FOR DANCE, INC.		A Employer identification number 13-1926551
Number and street (or P O box number if mail is not delivered to street address) 145 EAST 48TH STREET		B Telephone number (see instructions) (212) 485-5500
Room/suite 26C		
City or town, state, and ZIP code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,989,910.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part II Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	377,157.	377,157.		
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	524,808.			
	b Gross sales price for all assets on line 6a	4,987,015.			
	7 Capital gain net income (from Part IV, line 2)		524,808.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH. 1	1,744.	1,744.		
	12 Total. Add lines 1 through 11	903,709.	903,709.		
	13 Compensation of officers, directors, trustees, etc.	187,400.	46,850.		140,550.
	14 Other employee salaries and wages	59,800.	23,920.		35,880.
	15 Pension plans, employee benefits	91,355.	30,452.		60,903.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH. 2	19,960.	9,980.		9,980.
	c Other professional fees (attach schedule)	92,686.	92,686.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH. 4	9,487.	2,707.		
	19 Depreciation (attach schedule) and depletion	5,670.	2,835.		
	20 Occupancy	24,362.	12,181.		12,181.
	21 Travel, conferences, and meetings	7,947.			7,947.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH. 5	8,268.	4,134.		4,134.
	24 Total operating and administrative expenses. Add lines 13 through 23	506,935.	225,745.		271,575.
	25 Contributions, gifts, grants paid	791,100.			791,100.
	26 Total expenses and disbursements. Add lines 24 and 25	1,298,035.	225,745.	0	1,062,675.
	27 Subtract line 26 from line 12	-394,326.			
	a Excess of revenue over expenses and disbursements		677,964.		
	b Net investment income (if negative, enter -0-)				
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		17,972.	9,607.	9,607.
	2	Savings and temporary cash investments		371,223.	303,222.	303,222.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges ATCH 6		3,990.	9,740.	9,740.
	10 a	Investments - U S and state government obligations (attach schedule), **		2,327,443.	2,397,184.	2,397,184.
	b	Investments - corporate stock (attach schedule) ATCH 8		8,891,435.	9,016,957.	9,016,957.
	c	Investments - corporate bonds (attach schedule),				
	Liabilities	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans				
13		Investments - other (attach schedule)				
14		Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶	406,975. 181,696.	230,947.	225,279.	225,279.
15		Other assets (describe ▶ ATCH 10)		30,918.	27,921.	27,921.
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		11,873,928.	11,989,910.	11,989,910.
17		Accounts payable and accrued expenses		5,135.	5,021.	
18		Grants payable				
19		Deferred revenue				
20		Loans from officers, directors, trustees, and other disqualified persons				
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		5,135.	5,021.	
	24	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
Net Assets or Fund Balances	25	Unrestricted		11,868,793.	11,984,889.	
	26	Temporarily restricted				
	27	Permanently restricted				
	28	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	29	Capital stock, trust principal, or current funds				
	30	Paid-in or capital surplus, or land, bldg, and equipment fund				
	31	Retained earnings, accumulated income, endowment, or other funds				
32	Total net assets or fund balances (see instructions)		11,868,793.	11,984,889.		
33	Total liabilities and net assets/fund balances (see instructions)		11,873,928.	11,989,910.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,868,793.
2	Enter amount from Part I, line 27a	2	-394,326.
3	Other increases not included in line 2 (itemize) ▶ ATCH 11	3	510,422.
4	Add lines 1, 2, and 3	4	11,984,889.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,984,889.

**ATCH 7

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> <div style="margin-left: 10px;"> 2 524,808. </div> </div>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 </div> <div style="margin-left: 10px;"> 3 0 </div> </div>

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,020,898.	12,179,633.	0.083820
2010	1,024,109.	12,602,108.	0.081265
2009	1,005,414.	12,233,210.	0.082187
2008	1,063,561.	13,981,714.	0.076068
2007	1,552,312.	16,507,892.	0.094035

2 Total of line 1, column (d)	2 0.417375
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 0.083475
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4 11,751,505.
5 Multiply line 4 by line 3	5 980,957.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 6,780.
7 Add lines 5 and 6	7 987,737.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8 1,062,675.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	6,780.
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	6,780.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,780.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 12,000.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 16,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	28,000.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	21,220.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input checked="" type="checkbox"/> 21,220. Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> THEODORE S. BARTWINK Telephone no <input type="checkbox"/> 212-755-5540			
	Located at <input type="checkbox"/> 145 EAST 48TH STREET SUITE 26C NEW YORK, NY ZIP+4 <input type="checkbox"/> 10017			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? <input type="checkbox"/>	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years <input type="checkbox"/>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☐ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☐ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		187,400.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NOT APPLICABLE

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NOT APPLICABLE

2

All other program-related investments. See instructions.

3 NONE

Total. Add lines 1 through 3 ▶

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,316,510.
b	Average of monthly cash balances	1b	351,012.
c	Fair market value of all other assets (see instructions)	1c	262,940.
d	Total (add lines 1a, b, and c)	1d	11,930,462.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	11,930,462.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	178,957.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,751,505.
6	Minimum investment return. Enter 5% of line 5	6	587,575.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	587,575.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	6,780.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,780.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	580,795.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	580,795.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	580,795.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,062,675.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,062,675.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	6,780.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,055,895.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2012)

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				580,795.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 10, 20 09, 20 08				
3 Excess distributions carryover, if any, to 2012				
a From 2007	751,089.			
b From 2008	373,567.			
c From 2009	400,004.			
d From 2010	405,140.			
e From 2011	423,226.			
f Total of lines 3a through e	2,353,026.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 1,062,675.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				580,795.
e Remaining amount distributed out of corpus	481,880.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,834,906.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	751,089.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	2,083,817.			
10 Analysis of line 9				
a Excess from 2008	373,567.			
b Excess from 2009	400,004.			
c Excess from 2010	405,140.			
d Excess from 2011	423,226.			
e Excess from 2012	481,880.			

Form 990-PF (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 13

b The form in which applications should be submitted and information and materials they should include

IN WRITING STATING AMOUNT REQUESTED & INTENDED USE

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

TO ARTS ORGANIZATIONS QUALIFIED UNDER IRC SEC. 501(C) (3)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 14				
Total			3a	791,100.
b Approved for future payment				
Total			3b	

Form 990-PF (2012)

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
----------------------	--

[illegible]

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,529,152.		UBS SHORT TERM - SEE ATTACHED					VARIOUS	VARIOUS
		1,521,106.					8,046.	
3,457,863.		UBS LONG TERM - SEE ATTACHED					VARIOUS	VARIOUS
		2,941,101.					516,762.	
TOTAL GAIN (LOSS)							<u>524,808.</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ROYALTY INCOME	1,744.	1,744.
TOTALS	<u>1,744.</u>	<u>1,744.</u>

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	19,960.	9,980.		9,980.
TOTALS	<u>19,960.</u>	<u>9,980.</u>		<u>9,980.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISORY FEES	92,686.	92,686.
TOTALS	<u>92,686.</u>	<u>92,686.</u>

ATTACHMENT 4

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES PAID	2,707.	2,707.
EXCISE TAX	6,780.	
TOTALS	<u>9,487.</u>	<u>2,707.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INSURANCE	7,518.	3,759.	3,759.
FILING FEES	750.	375.	375.
TOTALS	<u>8,268.</u>	<u>4,134.</u>	<u>4,134.</u>

ATTACHMENT 6FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INSURANCE		4,520.	4,520.
FEDERAL EXCISE TAX	3,990.	5,220.	5,220.
TOTALS	<u>3,990.</u>	<u>9,740.</u>	<u>9,740.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 7</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS	2,327,443.	2,397,184.	2,397,184.
US OBLIGATIONS TOTAL	<u>2,327,443.</u>	<u>2,397,184.</u>	<u>2,397,184.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
UBS	8,891,435.	9,016,957.	9,016,957.
TOTALS	<u>8,891,435.</u>	<u>9,016,957.</u>	<u>9,016,957.</u>

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENTATTACHMENT 9

FIXED ASSET DETAIL

ACCUMULATED DEPRECIATION DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL		
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS
CONDOMINIUM	SL	216,873			216,873.	132,836	5,422.
OFFICE IMPROVEMENT	SL	3,150.			3,150	1,931	79
OFFICE EQUIPMENT	SL	3,414			3,414	3,414	
OFFICE EQUIPMENT	SL	2,694.			2,694	2,694	
OFFICE EQUIPMENT	SL	460.			460.	460	
LAND	L	144,583			144,583.		
FURNITURE & EQUIP	SL	31,783.			31,783	31,783.	
CARPETING	SL	2,998.			2,998	2,998.	
LAP TOP COMPUTER	SL	1,144.			1,144	1,144	
FURNITURE & EQUIP	SL	995.			995	995.	
PRINTER-COPIER-FA	SL	400			400.	349	51.
OFFICE FURNITURE	SL	1,171			1,171.	117.	118.
TOTALS		<u>409,665.</u>			<u>409,665.</u>	<u>117,721</u>	<u>184,391</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FILMS	1,000.	1,000.	1,000.
INTEREST RECEIVABLE	29,918.	26,921.	26,921.
TOTALS	<u>30,918.</u>	<u>27,921.</u>	<u>27,921.</u>

ATTACHMENT 11FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED GAIN - MARKETABLE SECURITIES	510,422.
TOTAL	<u>510,422.</u>

THE HARKNESS FOUNDATION FOR DANCE, INC.

13-1926551

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
THEODORE S. BARTWINK C/O HARKNESS BALLET FOUNDATION 145 EAST 48TH STREET SUITE 26C NEW YORK, NY 10017	TREASURER 38.00	179,400.	0	0
WILLIAM A. PERLMUTH C/O HARKNESS BALLET FOUNDATION 145 EAST 48TH STREET SUITE 26C NEW YORK, NY 10017	PRESIDENT 1.00	4,000.	0	0
ETTA BRANDMAN C/O HARKNESS BALLET FOUNDATION 145 EAST 48TH STREET SUITE 26C NEW YORK, NY 10017	VP, ASST. 1.00	4,000.	0	0
GRAND TOTALS		187,400.	0	0

ATTACHMENT 13

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THEODORE S BARTWINK
145 EAST 48TH STREET 26C
NEW YORK, NY 10017

13-1926551

THE HARKNESS FOUNDATION FOR DANCE, INC

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHED SCHEDULE

EXEMPT

CHARITABLE

791,100.

TOTAL CONTRIBUTIONS PAID

791,100.

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

THE HARKNESS FOUNDATION FOR DANCE, INC.

Employer identification number

13-1926551

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	8,046.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	8,046.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	516,762.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	516,762.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

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PAGE 29

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
13	Net short-term gain or (loss)	13		8,046.
14	Net long-term gain or (loss):			
a	Total for year	14a		516,762.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		524,808.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 15, column (3) or b \$3,000

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if: • Either line 14b, col (2) or line 14c, col (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30; go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

▶ **Attach to Schedule D to list additional transactions for lines 1a and 6a.**
▶ **Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.**

2012

THE HARKNESS FOUNDATION FOR DANCE, INC.

Employer identification number
13-1926551

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1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	8,046.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

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PAGE 31

Employer identification number

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PAGE 32

Description of Property

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Listed Property

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AMORTIZATION

Asset description	Date placed in service	Cost or basis								Current-year amortization
			Accumulated amortization	Ending accumulated amortization	Code	Life				
TOTALS										

*Assets Retired

ASSETS REM
JSA
2X9024 1 000

THE HARKNESS FOUNDATION FOR DANCE, INC.
2012 FORM 990-PF
SCHEDULE OF CAPITAL GAINS AND LOSSES

<u>UBS ACCOUNT</u>	<u>PROCEEDS</u>	<u>BASIS</u>	<u>GAIN (LOSS)</u>
SHORT-TERM			
BT 60781 JP	395,678	400,307	(4,629)
BT 60782 JP	36,311	32,090	4,221
BT 60783 JP	60,493	65,221	(4,728)
BT 60784 JP	356,865	348,756	8,109
BT 60785 JP	2,239	6,159	(3,920)
BT 60786 JP	345,543	334,378	11,165
BT 60787 JP	-	-	-
BT 61715 JP	-	-	-
BT 08213 JP	162	174	(12)
BT 61864 JP	269,755	277,014	(7,260)
BT 04047 JP	62,107	57,006	5,101
TOTAL SHORT-TERM	1,529,152	1,521,106	8,046
LONG-TERM			
BT 60781 JP	1,094,952	880,513	214,439
BT 60782 JP	43,726	38,163	5,563
BT 60783 JP	198,290	211,112	(12,822)
BT 60784 JP	302,722	265,973	36,749
BT 60785 JP	246,878	153,461	93,417
BT 60786 JP	705,156	665,058	40,098
BT 60787 JP	172,073	145,294	26,779
BT 61715 JP	1,986	1,991	(5)
BT 08213 JP	-	-	-
BT 61864 JP	295,920	274,868	21,052
BT 04047 JP	396,159	304,668	91,490
TOTAL LONG-TERM	3,457,863	2,941,101	516,762
TOTAL CAPITAL GAINS	4,987,015	4,462,207	524,808

THE HARKNESS FOUNDATION FOR DANCE, INC.
2012 FORM 990-PF
PART II - BALANCE SHEET - INVESTMENTS

ACCOUNT	LINE 10a - US OBLIGATIONS		LINE 10b - CORPORATE STOCK	
	MARKET VALUE		MARKET VALUE	
	BEGINNING OF THE YEAR	END OF THE YEAR	BEGINNING OF THE YEAR	END OF THE YEAR
BT 60781 JP			1,110,591	940,519
BT 60782 JP			1,163,066	1,214,528
BT 60783 JP			571,076	593,491
BT 60784 JP			809,239	883,520
BT 60785 JP			1,189,080	1,232,602
BT 60786 JP	402,670	364,017	1,206,308	997,294
BT 60787 JP	1,870,828	1,924,906		
BT 61864 JP	53,945	108,262		
BT 04047 JP			715,177	657,411
BT 61715 JP			1,291,284	1,366,936
BT 08213 JP			835,615	937,553
			-	193,103
	2,327,443	2,397,184	8,891,435	9,016,957

THE HARKNESS FOUNDATION FOR DANCE, INC.

CONTRIBUTIONS

1/1/12 - 12/31/12

A/C #	Fund Name	Total YTD Grants
406	ACTOR'S FUND	3,500
14	AMERICAN BALLET THEATRE	13,500
11	AMERICAN BALLROOM	2,500
38	AMERICAN DANCE FESTIVAL	2,500
336	AMERICAN DANCE GUILD	1,000
376	AMERICAN DANCE GUILD (GLORIA MCLEAN)	1,000
264	AMERICAN FRIENDS OF BATSHEVA (BATSHEVA DANCE CO.)	1,000
60	ANNABELLA GONZALEZ DANCE THEATRE	1,000
226	APPLEBY FDTN (REBECCA KELLY)	1,000
65	ARMITAGE FOUNDATION	2,000
32	ART RESOUCES IN COLLABORATION, INC. (EYE ON DANCE)	1,500
151	ART SWEATS, INC (DAVID DORFMAN)	3,000
516	ATLANTIC THEATRE COMPANY	3,500
453	BAIS SHAINDEL HS	10,000
70	BALLET CHICAGO	1,000
18	BALLET HISPANICO	14,000
48	BALLET TECH	3,500
507	BARD COLLEGE	2,500
265	BOSTON BALLET	2,500
200	BRIANSKY SARATOGA BALLET	1,000
519	BROADWAY CARES/EQUITY FIGHTS AIDS	1,500
421	BROOKLYN ARTS MEDIA BKLYN (CELEBRATE BKLYN)	3,000
80	BROOKLYN COLLEGE (BC @ BC)	2,000
397	BROOKLYN BALLET (LYNN PARKERSON)	1,000
391	BRONX DANCE THEATRE	1,000
1	BROOKLYN ACADEMY OF MUSIC	77,000
387	BROOKLYN ARTS EXCHANGE	1,500
240	BUGLISI DANCE THEATRE	7,000
138	CAREER TRANSITION FOR DANCERS	15,000
253	CAROLYN DORFMAN DANCE	2,000
117	CATSKILL BALLET COMPANY	2,000
10	CITY CENTER	42,500
214	CITY PARKS FOUNDATION	2,500
34	COMPANY APPELS	1,000
518	COMPLEXTIONS CONTEMPORARY DANCE	3,000
100	DANCE CONTINIUM (SUSAN MARSHALL)	1,000
	DANCE FILMS ASSOCIATION/JACOBS PILLOW	300
46	DANCE NOTATION BUREAU	1,000
288	DANCE NOW/NYC	1,500
102	DANCE THEATRE OF HARLEM	3,000
379	DANCE THEATRE OF LYNCHBURG	1,500
13	DANCE USA	3,500
140	DANCEWAVE	1,500
11	DANCING CLASSROOMS	3,000

215	DANSPACE PROJECT	5,000
230	DISCALCED, INC (MARK MORRIS)	5,000
136	DOVA, INC. (DOUG VARONE AND DANCERS)	4,500
23	E. MONTE MOTION (ELISA MONTE)	2,500
402	ETHICAL CULTURE FIELDSTONE SCHOOL (YOUNG DANCEMAKERS)	2,000
510	EVIDENCE/RONALD K. BROWN DANCE	1,500
260	EXPERIMENTAL INTERMEDIA (SALLY GROSS & CO)	1,000
4	EARLY STAGES PROGRAM	4,000
313	FELICE LESSER DANCE THEATRE	1,500
84	THE FIELD	2,000
297	THE FIELD, INC (DOUG ELKINS CHOREOGRAPHY)	1,000
535	THE FIELD, INC (THE BESSIE AWARDS)	5,000
322	FIST & HEEL PERFORMANCE GROUP (REGGIE WILSON)	1,500
392	FJC (HEIDI LATSKY)	1,500
525	FORDHAM UNIVERSITY	2,000
534	GALLIM DANCE COMPANY	1,500
	GIRL SCOUT COUNCIL OF GREATER NEW YORK	500
232	HALEAKALA INC (THE KITCHEN)	2,000
	HB STUDIOS	500
213	HENRY STREET SETTLEMENT	4,500
260	HOSPITAL AUDIENCES	2,500
329	HOUSE FOUNDATION FOR THE ARTS (MEREDITH MONK)	2,000
87	HOUSE OF DANCE (NETA PULVERMACHER)	2,000
312	HUNDRED GRAND FOUNDATION (BILL YOUNG & DANCERS)	1,500
194	HUNTER COLLEGE	4,000
250	INTERNATIONAL ASSOCIATION FOR DANCE MEDICINE & SCIENCE	5,000
146	ISRAELI DANCE INSTITUTE	2,000
5	JACOB'S PILLOW	12,000
15	JOYCE THEATRE	47,600
107	JUILLIARD	5,000
198	JUMP UP INC (HILARY EASTON)	1,000
175	KAUFMAN CENTER	12,000
528	KEGWIN & COMPANY	1,000
405	KEYSTONE DANCE FOUNDATION (MARY SEIDMAN & DANCERS)	2,000
474	LES BALLETS TROCKADERO DE MONTE CARLO	3,000
181	LIFE THROUGH DANCE (ROBIN BECKER)	2,500
95	LINCOLN CENTER	15,000
262	LINCOLN CENTER THEATRE	5,000
21	LIMON DANCE FOUNDATION, INC.	3,000
41	LUBOVITCH DANCE FOUNDATION	3,500
399	MANHATTAN THEATRE CLUB	5,000
57	MARTHA GRAHAM CENTER	6,200
26	METROPOLITAN OPERA	1,250
83	MIAMI CITY BALLET	2,000
110	MIMI GARRAD DANCE CO.	1,000
464	MONTGOMERY BALLET	2,000
522	MORPHOSES, LTD.	2,500
279	MOVEMENT RESEARCH	1,500
27	MULLER WORKS FOUNDATION, INC	1,500
147	NYU/HOSPITAL FOR JOINT DISEASES	71,000
9	92ND STREET "Y"	71,000
8	NATIONAL DANCE INSTITUTE	5,500

225	NEW DANCE ALLIANCE	1,750
419	NEW VICTORY THEATRE	3,500
12	NEW YORK CITY BALLET	22,000
210	NEW YORK FOUNDATION FOR THE ARTS	1,000
7	NEW YORK SHAKESPEARE FESTIVAL	5,000
139	NEW YORK THEATRE BALLET	3,500
533	NOTES IN MOTION, INC (AMANDA SELWYN)	1,000
164	NEW YORK PUBLIC LIBRARY - DANCE DIVISION	8,000
131	NYU - TISCH SCHOLL OF THE ARTS	3,000
229	ORCHESTRA OF ST. LUKE'S	2,000
363	OVERFOOT, INC (JODY OBERFELDER)	1,000
530	PARK AVENUE ARMORY	3,500
267	PARSONS DANCE FOUNDATION	8,500
400	PACIFIC NORTHWEST BALLET	2,500
254	PASCAL RIOULT DANCE THEATRE	3,500
24	PAUL TAYLOR DANCE FOUNDATION	9,000
90	PERFORMANCE SPACE 122	2,500
2	PENTACLE	2,500
124	PILOBOLUS	4,000
494	PLAYWRIGHTS HORIZON	4,000
523	PRIAMRY STAGES	3,500
157	QUEENS COLLEGE	2,500
491	QUEENS THEATRE IN THE PARK	2,500
	RICHARD TUCKER MUSIC FOUNDATION	2,000
326	RINGSIDE (ELIZABETH STREB)	1,500
467	ROUNABOUT THEATRE	5,000
202	SAEKO ICHINOHE & COMPANY	1,000
62	SCHOOL OF AMERICAN BALLET	27,000
496	SECOND STAGE THEATRE	5,000
446	SKIDMORE COLLEGE	2,000
192	SPANISH DANCE ARTS (CARLOTA SANTANA)	3,000
42	SPOLETO FESTIVAL	13,500
296	STEPHEN PETONIO COMPANY	3,000
176	TADA!	1,000
174	THEATRE DEVELOPMENT FUND	5,000
220	THEATREWORKS USA	1,500
163	TOWN HALL	2,500
114	TRISHA BROWN DANCE COMPANY	3,000
	ROBERT WOOD JOHNSON MEDICAL SCHOOL (DR. ROSE)	1,500
370	VINEYARD THEATRE	2,500
462	VOLUNTEER CONSULTING GROUP	1,500
16	VOLUNTEER LAWYERS FOR THE ARTS	3,000
208	YOUNG AUDIENCES	3,000
340	ZVI GOTHENIER & DANCERS	2,500

TOTAL 791,100

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	THE HARKNESS FOUNDATION FOR DANCE, INC.		13-1926551
	Number, street, and room or suite no. If a P.O. box, see instructions.		Social security number (SSN)
	145 EAST 48TH STREET		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	NEW YORK, NY 10017		

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THEODORE S. BARTWINK

Telephone No ► 212 755-5540FAX No ► 212 485-5501

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 2012 or
- ☐ tax year beginning , 20 , and ending , 20

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	28,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	12,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	16,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE HARKNESS FOUNDATION FOR DANCE, INC.	13-1926551
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
File by the due date for filing your return. See instructions	145 EAST 48TH STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10017	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 1 ☐ 2 ☐ 3 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☒ THEODORE S. BARTWINK
Telephone No ☒ 212 755-5540 FAX No ☒ 212 485-5501
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until 11/15, 2013
- For calendar year 2012, or other tax year beginning , 20 , and ending , 20
- If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period
- State in detail why you need the extension ALL INFORMATION REQUIRED TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	28,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	28,000.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c \$	

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date