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EXTENSION ATTACHED
Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation LUCIUS N. LITTAUER FOUNDATION, INC.		A Employer identification number 13-1688027
Number and street (or P O box number if mail is not delivered to street address) 60 EAST 42ND STREET		B Telephone number 212-697-2677
City or town, state, and ZIP code NEW YORK, NY 10165		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 40,435,321. (Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	526.	526.		STATEMENT 1
4 Dividends and interest from securities	994,539.	994,539.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	10,164,944.			
b Gross sales price for all assets on line 6a	37,548,327.			
7 Capital gain net income (from Part IV, line 2)		10,164,944.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	11,160,009.	11,160,009.		
13 Compensation of officers, directors, trustees, etc				0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 3	9,806.	2,452.		7,354.
b Accounting fees STMT 4	9,537,556.	13,389.		40,167.
c Other professional fees STMT 5	68,379.	68,379.		0.
17 Interest				
18 Taxes STMT 6	126,830.	3,921.		1,500.
19 Depreciation and depletion				
20 Occupancy	8,800.	0.		8,800.
21 Travel, conferences, and meetings	1,717.	0.		1,717.
22 Printing and publications				
23 Other expenses STMT 7	54,285.	0.		54,285.
24 Total operating and administrative expenses. Add lines 13 through 23	323,373.	88,141.		113,823.
25 Contributions, gifts, grants paid	2,603,900.			2,603,900.
26 Total expenses and disbursements. Add lines 24 and 25	2,927,273.	88,141.		2,717,723.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	8,232,736.			
b Net investment income (if negative, enter -0-)		11,071,868.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Revenue

Operating and Administrative Expenses

11 G10

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		150.	150.	150.
	2	Savings and temporary cash investments		2,587,008.	3,022,732.	3,022,732.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8	14,140,604.	0.	0.	
	b	Investments - corporate stock STMT 9	12,564,827.	30,641,334.	33,446,515.	
	c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10	0.	3,861,109.	3,964,081.		
14	Land, buildings, and equipment: basis ▶ 4,971.					
	Less: accumulated depreciation STMT 11 ▶ 4,971.					
15	Other assets (describe ▶ STATEMENT 12)	1,843.	1,843.	1,843.		
16	Total assets (to be completed by all filers)	29,294,432.	37,527,168.	40,435,321.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	20,952,398.	20,952,398.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29	Retained earnings, accumulated income, endowment, or other funds	8,342,034.	16,574,770.		
30	Total net assets or fund balances	29,294,432.	37,527,168.			
31	Total liabilities and net assets/fund balances	29,294,432.	37,527,168.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	29,294,432.
2	Enter amount from Part I, line 27a	2	8,232,736.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	37,527,168.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	37,527,168.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT C	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 37,548,327.		27,383,383.	10,164,944.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			10,164,944.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	10,164,944.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	2,577,014.	40,281,636.	.063975
2010	2,049,790.	38,119,344.	.053773
2009	2,063,501.	36,095,083.	.057168
2008	2,807,666.	41,250,390.	.068064
2007	2,799,781.	45,682,051.	.061288

2 Total of line 1, column (d)	2	.304268
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060854
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	39,823,376.
5 Multiply line 4 by line 3	5	2,423,412.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	110,719.
7 Add lines 5 and 6	7	2,534,131.
8 Enter qualifying distributions from Part XII, line 4	8	2,717,723.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	110,719.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	110,719.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	110,719.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 111,180.	7	126,180.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c 15,000.	9	
d Backup withholding erroneously withheld	6d	10	15,461.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax	15,461. Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THOMAS H. ENGELHARDT, CPA</u> Telephone no. ► <u>212-503-8800</u> Located at ► <u>C/O MARKS PANETH & SHRON-685 THIRD AVE., NY, NY</u> ZIP+4 ► <u>10017</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	34,613,954.
b	Average of monthly cash balances	1b	5,814,026.
c	Fair market value of all other assets	1c	1,843.
d	Total (add lines 1a, b, and c)	1d	40,429,823.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	40,429,823.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	606,447.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,823,376.
6	Minimum investment return. Enter 5% of line 5	6	1,991,169.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,991,169.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	110,719.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	110,719.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,880,450.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,880,450.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,880,450.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,717,723.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,717,723.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	110,719.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,607,004.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,880,450.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	582,582.			
b From 2008	806,490.			
c From 2009	309,853.			
d From 2010	160,674.			
e From 2011	616,652.			
f Total of lines 3a through e	2,476,251.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 2,717,723.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,880,450.
e Remaining amount distributed out of corpus	837,273.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,313,524.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	582,582.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	2,730,942.			
10 Analysis of line 9:				
a Excess from 2008	806,490.			
b Excess from 2009	309,853.			
c Excess from 2010	160,674.			
d Excess from 2011	616,652.			
e Excess from 2012	837,273.			

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 14

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions LUCIUS N. LITTAUER FOUNDATION, INC.	Employer identification number (EIN) or 13-1688027
	Number, street, and room or suite no. If a P.O. box, see instructions 60 EAST 42ND STREET, NO. 4600	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10165	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THOMAS H. ENGELHARDT, CPA

- The books are in the care of ► **C/O MARKS PANETH & SHRON-685 THIRD AVE. - NY, NY 10017**
Telephone No ► **212-503-8800** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 126,180.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 111,180.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 15,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2013)

STATEMENT A
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
12/31/12

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>
COMMON STOCKS						
04/10/12	570	3M COMPANY	84.53	48,183.01	92.85	52,924.50
04/10/12	524	ACE LIMITED SHS	71.33	37,377.06	79.80	41,815.20
08/03/12	352	ADOBE SYSTEMS	32.76	11,532.74	37.68	13,263.36
04/10/12	246	ALLERGAN INC CMN	92.60	22,780.51	91.73	22,565.58
04/10/12	2020	ALTRIA GROUP INC	31.05	62,723.83	31.44	63,508.80
02/10/09	194	AMAZON.COM INC	67.33	13,061.26	251.14	48,721.16
10/22/12	231	AMERICAN EXPRESS CO COM	55.97	12,928.39	57.48	13,277.88
04/10/12	371	ANADARKO PETROLEUM CORP	74.39	27,600.20	74.31	27,569.01
06/23/09	288	APPLE, INC. CMN	134.84	38,833.10	532.17	153,265.80
11/15/12	328	ASML HOLDINGS NV	68.25	22,384.43	64.39	21,119.92
04/10/12	1560	ASTRAZENCA PLC ADR	43.99	68,617.54	47.27	73,741.20
01/01/70	1951	AT&T INC COM	6.92	13,504.88	33.71	65,768.21
04/10/12	59	AUTOZONE INC	375.38	22,147.62	354.43	20,911.37
04/10/12	146	BAIDU COM INC SPON ADR REP A	135.43	19,772.88	100.29	14,642.34
04/10/12	3840	BANK OF AMERICA CORP CMN	8.95	34,360.34	11.61	44,582.40
04/10/12	266	BIOGEN IDEC INC	124.75	33,184.75	146.67	39,014.22
04/10/12	690	BOEING CO COM	70.86	48,895.19	75.36	51,998.40
08/13/12	671	BROADCOM CORP CL A	33.34	22,373.78	33.21	22,283.91
10/16/12	360	CAMERON INT'L CORP	54.28	19,542.43	56.46	20,325.60
04/10/12	683	CARDINAL HEALTH INC	41.20	28,138.51	41.18	28,125.94
04/13/12	756	CAREFUSION CORP COM	26.34	19,914.84	28.58	21,606.48
12/03/12	622	CBS CORP CL B	35.81	22,274.73	38.05	23,667.10
04/10/12	380	CELGENE CORP	78.71	29,910.64	78.72	29,913.60
11/07/12	143	CERNER CORP COM	79.23	11,329.73	77.51	11,083.93
10/19/12	809	CHENIERE ENERGY INC	16.16	13,071.42	18.78	15,193.02
01/01/70	580	CHEVRON CORP NEW	6.61	3,835.40	108.14	62,721.20
04/10/12	2409	CISCO SYSTEMS, INC. CMN	19.63	47,279.37	19.65	47,335.40
09/14/12	2200	CISCO SYSTEMS, INC. CMN	19.56	43,033.98	19.65	43,228.68
03/10/09	1211	CITIGROUP INC. CMN	16.85	20,405.57	39.56	47,907.16
04/10/12	249	CITRIX SYSTEMS INC	70.52	17,558.85	65.75	16,371.75
04/10/12	600	CME GROUP INC CMN CLASS A	55.13	33,078.75	50.67	30,402.00
04/10/12	418	COGNIZANT TECH SOLUTIONS CL A	70.47	29,455.03	74.05	30,952.90
04/10/12	1303	COMCAST CORPORATION CMN CLASS A VOTING	28.65	37,326.08	37.36	48,680.08
04/10/12	1200	CONOCOPHILLIPS	55.55	66,662.14	57.99	69,588.00
06/15/12	134	COSTCO WHOLESALE	91.09	12,205.93	98.73	13,229.82
04/10/12	600	COVIDIEN PLC SHS	52.59	31,556.03	57.74	34,644.00
07/20/12	957	CSX CORPORATION	22.36	21,402.73	19.73	18,881.61
10/04/12	174	DAVITA INC	110.59	19,243.04	110.53	19,232.22
04/10/12	510	DIAGEO PLC SPRD ADR NEW	95.01	48,457.50	116.58	59,455.80
12/20/12	246	DISH NETWORK CORP CL A	36.66	9,018.14	36.40	8,954.40
04/10/12	780	DOMINION RES INC	50.28	39,217.78	51.80	40,404.00
10/17/12	535	DOW CHEMICAL CO	30.49	16,310.55	32.33	17,296.23
12/04/35	1040	DU PONT E I DE NEMOURS COM	40.29	41,905.21	44.98	46,777.64
04/10/12	726	EMERSON ELEC CO COM	48.75	35,393.29	52.96	38,448.96
07/02/12	429	ENSCO INT'L INC	48.52	20,813.65	59.28	25,431.12
04/10/12	235	EOG RESOURCES INC CMN	104.52	24,562.85	120.79	28,385.65
01/01/70	717	EXXON MOBIL CORPORATION CMN	3.79	2,716.62	86.55	62,056.35
07/18/12	546	FLUOR CORP	51.11	27,904.78	58.74	32,072.04
04/10/12	452	FREEPORT MCMORAN COPPER& GOLD INC	36.44	16,468.85	34.20	15,458.40
04/10/12	3130	GENERAL ELECTRIC CO COM	18.76	58,703.46	20.99	65,698.70
04/10/12	837	GENERAL MILLS INC COM	38.63	32,334.02	40.42	33,831.54
04/10/12	1385	GENERAL MOTORS CO COM	24.36	33,736.67	28.83	39,929.55
04/10/12	810	GENUINE PARTS	60.47	48,982.64	63.58	51,499.80
04/10/12	272	GOLDMAN SACHS GROUP	115.66	31,458.65	127.56	34,696.32

STATEMENT A
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
12/31/12

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>
07/11/12	72	GOOGLE, INC. CMN CLASS A	623.82	44,914.90	707.38	50,931.36
04/10/12	1550	HEALTH CARE PPTY INVS INC COM	37.99	58,878.15	45.16	69,998.00
05/03/11	1030	HEINZ H J CO COM	51.83	53,382.20	57.68	59,410.40
04/10/12	593	HOME DEPOT INC COM	49.59	29,406.87	61.85	36,677.05
04/10/12	653	HONEYWELL INT'L INC	56.81	37,094.29	63.47	41,445.91
04/10/12	1150	HSBC HLDG PLC SPNS ADR	42.39	48,742.87	53.07	61,030.50
04/10/12	277	HUMANA INC	88.18	24,424.73	68.63	19,010.51
04/10/12	2320	INTEL CORP COM	27.47	63,721.12	20.62	47,838.40
04/10/12	1101	INVESCO LTD CMN	24.82	27,326.37	26.09	28,725.09
10/18/12	419	JOHNSON & JOHNSON	72.19	30,247.79	70.10	29,371.90
04/10/12	1060	JOHNSON & JOHNSON	64.33	68,189.38	70.10	74,306.00
04/10/12	1078	JOHNSON CONTROLS INC CMN	30.55	32,928.16	30.67	33,062.26
01/01/70	910	JPMORGAN CHASE & CO CMN	12.40	11,283.46	43.97	40,011.88
04/10/12	794	JUNIPER NETWORKS	21.05	16,711.48	19.67	15,617.98
04/10/12	800	KIMBERLY CLARK CORP COM	73.56	58,844.32	84.43	67,544.00
06/22/12	409	KINDER MORGAN INC	31.18	12,752.80	35.33	14,449.97
04/10/12	613	KRAFT FOODS GROUP INC COM	39.05	23,940.61	45.47	27,873.12
04/10/12	616	LENNAR CORP COM	25.02	15,412.01	38.67	23,820.72
04/10/12	1600	LILLY ELI & CO COM	39.60	63,366.24	49.32	78,912.00
04/10/12	126	LINKEDIN CORPORATION COM CL A	98.13	12,364.38	114.82	14,467.32
04/10/12	1177	LOWES COS INC	30.49	35,881.32	35.52	41,807.04
07/30/12	1452	MASCO CORP	13.73	19,933.76	16.66	24,190.32
04/10/12	66	MASTERCARD INCORPORATED CMN CLASS A	444.97	29,367.80	491.28	32,424.48
04/10/12	1367	MERCK & CO INC COM	38.61	52,785.61	40.94	55,964.98
04/10/12	1770	MERCK & CO INC COM	38.61	68,336.69	40.94	72,463.80
04/10/12	1168	METLIFE INC COM	33.84	39,519.29	32.94	38,473.92
04/10/12	3415	MICROSOFT CORP	30.37	103,709.61	26.71	91,213.63
04/10/12	2090	MICROSOFT CORP	30.37	63,473.09	26.71	55,823.27
04/10/12	1204	MONDELEZ INTL INC CL A	24.84	29,907.42	25.45	30,645.65
04/10/12	1840	MONDELEZ INTL INC CL A	24.06	44,272.66	25.45	46,833.89
04/10/12	563	NETAPP CORP (Formerly Network Appliance)	38.49	21,672.40	33.55	18,888.65
04/10/12	950	NEXTERA ENERGY INC	62.24	59,131.04	69.19	65,730.50
04/10/12	656	OCCIDENTAL PETROLEUM CORP CMN	89.50	58,710.06	76.61	50,256.16
04/10/12	1770	ORACLE CORPORATION	28.33	50,147.53	33.32	58,976.40
04/10/12	692	PACCAR INC	43.48	30,088.99	45.21	31,285.32
04/10/12	154	PENTAIR INC	28.42	4,376.10	49.15	7,569.12
07/27/12	355	PEPSICO INC CMN	72.27	25,656.69	68.43	24,292.65
04/10/12	2692	PFIZER INC	22.15	59,626.72	25.08	67,513.48
04/10/12	411	PHILIP MORRIS INTL INC COM	86.69	35,628.68	83.64	34,376.04
04/10/12	670	PHILIP MORRIS INTL INC COM	87.05	58,322.16	83.64	56,038.80
10/16/12	499	PHILLIPS 66 COM	48.72	24,310.43	53.10	26,496.90
04/10/12	171	PIONEER NATURAL RESOURCES INC	105.22	17,991.77	106.59	18,226.89
01/01/70	723	PROCTER & GAMBLE COMPANY (THE) CMN	1.32	952.94	67.89	49,084.47
04/10/12	556	PRUDENTIAL FINANCIAL INC CMN	56.97	31,675.60	53.33	29,651.48
04/10/12	956	QUALCOMM INC CMN	64.87	62,011.52	61.86	59,137.78
04/20/12	1150	RAYTHEON CO COM NEW	53.31	61,306.73	57.56	66,194.00
04/10/12	860	ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG	67.67	58,196.89	70.89	60,965.40
02/23/09	21577	S&P DEP RECEIPTS	78.97	1,703,828.98	142.41	3,072,780.57
04/10/12	742	SCHLUMBERGER LTD CMN	67.17	49,841.11	69.30	51,419.56
09/18/12	446	TARGET CORPORATION CMN	64.11	28,591.07	59.17	26,389.82
04/10/12	637	TD AMERITRADE HOLDING CORPORAT CMN	18.70	11,913.62	16.81	10,707.97
04/10/12	930	THE TRAVELERS COMPANIES, INC CMN	58.06	53,997.20	71.82	66,792.60
07/27/12	158	TIME WARNER CABLE INC COM CL A	84.57	13,361.27	97.19	15,356.02
04/10/12	1746	TIME WARNER INC CMN	36.73	64,130.38	47.83	83,511.18
04/10/12	642	TYCO INTERNATIONAL LTD SHS	29.28	18,800.23	29.25	18,778.50

STATEMENT A
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
12/31/12

<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Unit Cost</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>
04/10/12	1800	UNILEVER NV NEW YORK SHS NEW	32.60	58,685.94	38.30	68,940.00
04/10/12	869	UNITED TECHNOLOGIES CORP CMN	77.89	67,684.11	82.01	71,266.69
04/10/12	589	UNITEDHEALTH GROUP INCORPORATE CMN	57.28	33,735.51	54.24	31,947.36
04/05/12	17720	VANGUARD INTL EQTY IDX EMR MKT ETF	42.64	755,565.57	44.53	789,071.60
05/25/94	900	VERIZON COMMUNICATIONS	45.10	40,592.67	43.27	38,943.00
01/01/70	1460	VERIZON COMMUNICATIONS	17.83	26,031.61	43.27	63,174.20
04/10/12	303	VERTEX PHARMACEUTICALS COM	36.68	11,113.98	41.94	12,707.82
04/10/12	2000	VODAFONE GROUP PLC SPONSORED ADR	26.96	53,926.40	25.19	50,380.00
04/10/12	407	WALTER INDS INC COM	47.32	19,260.79	35.88	14,603.16
04/10/12	2642	WELLS FARGO & CO DEL COM	32.84	86,757.35	34.18	90,303.56
04/10/12	547	WILLIAMS COMPANIES INC (THE) CMN	30.96	16,936.46	32.74	17,908.78
04/10/12	592	YUM BRANDS INC	68.56	40,587.93	66.40	39,308.80
				6,611,739.18		8,707,780.83
MUTUAL FUNDS						
08/28/12	28602.074	ARTISAN PTRNS FDS INC INTL VALUE INV	27.97	800,000.00	30.38	868,931.01
05/24/12	94716.91	BBH INC TAX EFFIC EQ N	15.84	1,500,000.00	17.35	1,643,338.39
04/05/12	33718.726	DODGE & COX INTERNATLSTOCK FUND	32.62	1,100,000.00	34.64	1,168,016.67
04/11/12	222617.988	DOUBLELINE TOTAL RETURN BD FUND CL I	11.23	2,500,000.00	11.33	2,522,261.80
04/05/12	194538.31	EATON VANCE MUT FDS TR FLT RT CL I	9.00	1,750,000.00	9.12	1,774,189.39
04/05/12	183654.729	HARBOUR HIGH YIELD BOND FUND	10.89	2,000,000.00	11.11	2,040,404.04
04/11/12	11688	ISHARES RUSSELL 1000 GROWTH INDEX FUND	64.66	755,746.08	65.49	765,447.12
04/09/12	22675	ISHARES RUSSELL MIDCAP GROWTH IDX	61.74	1,400,063.97	62.80	1,423,990.00
04/09/12	9295	ISHARES S&P MIDCAP 400 INDEX FD	96.82	899,900.41	101.70	945,301.50
04/05/12	227686.703	JP MORGAN SHORT DURATION BOND FUND - SEL	10.98	2,500,000.00	10.99	2,502,276.87
04/09/12	110373.166	JPM LARGE CAP GROWTH FD - SEL	24.92	2,750,000.00	23.95	2,643,437.33
05/24/12	41472.265	JPM VALUE ADVANTAGE	19.29	800,000.00	21.28	882,529.80
04/05/12	32670.419	MATTHEWS PACIFIC TIGER INSTL FUND	22.96	750,000.00	24.41	797,484.93
04/09/12	269012.771	NEUBERGER BERMAN MULTI CAP OPPORT FUND	10.41	2,800,000.00	10.97	2,951,070.10
04/05/12	38486.404	RS INTERNATIONAL GROWTH FUND	16.89	650,000.00	18.04	694,294.73
05/03/12	43131.471	T ROWE PRICE INTL FDS NEW ASIA FD	16.23	700,000.00	16.81	725,040.03
09/25/12	8000	VANGUARD MSCI EUROPE ETF	46.74	373,884.80	48.84	390,720.00
				24,029,595.26		24,738,733.71
INVESTMENTS- OTHER						
04/10/12	1120	HEALTH CARE REIT INC	52.48	58,777.04	61.29	68,644.80
04/05/12	5035	SPDR GOLD TRUST	159.35	802,332.23	162.02	815,772.71
06/28/12	1500	KLS Diversified Fund Ltd		1,500,000.00		1,559,156.84
06/28/12	10000	Sandler Offshore Fund		1,000,000.00		1,007,293.95
06/28/12	2250	SEG Partners Offshore Fund		500,000.00		513,212.52
				3,861,109.27		3,964,080.82
TOTAL PORTFOLIO				34,502,443.71		37,410,595.36

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-12 to 12-31-12

NAME	ADDRESS	If recipient is an individual, show any relationship to any foundation manager or substantial contributor		Foundation status of recipient	PURPOSE		AMOUNT
		None	501 (c) (3)			\$	
Friends of Yad L'kashish/Lifeline for the Old Albert Einstein School of Medicine	P O Box 494 Englewood, NJ 07631	None	501 (c) (3)		Renewed support for Jerusalem's elderly and disabled	10,000	
Yeshiva University	1300 Morris Park Avenue Mazer Bldg., Room 719 New York, NY 10461	None	501 (c) (3)		Support of Einstein Aging Study	25,000	
Archives Partnership Trust	Cultural Education Center, Suite 9C49 Albany, NY 12230	None	501 (c) (3)		Renewed support to strengthen the Trust's Lucius N Littaer Foundation Educational Program endowment	25,000	
	8th Floor	None	501 (c) (3)				
	45 West 36th Street						
AVODAH - The Jewish Service Corps	New York, NY 10018	None	Charitable		Renewed support of programs	25,000	
	Attn Elie Rubenstein Suite 1405						
	171 Madison Avenue						
Blue Card, Inc	New York, NY 10016	None	501 (c) (3)		Renewed support of financial assistance to Holocaust survivors	25,000	
	Attn Dennis J Schnur North Shore Plaza						
	380 North Broadway Suite 203						
	New York, NY 11753						
Federal Drug Agents Foundation	Suite 515	None	501 (c) (3)		Renewed support of law enforcement youth outreach programs	25,000	
	1779 Massachusetts, NW						
Jewish Institute for National Security Affairs	Washington, DC 20036	None	501 (c) (3)		Renewed support of the Law Enforcement Exchange Program (LEEP)	50,000	
	17th Floor						
	330 Seventh Avenue						
Jewish Telegraphic Agency (JTA)	New York, NY 10001	None	501 (c) (3)		Renewed support of the "Archives Project" and general programs	25,000	
	11th Floor						
	80 Maiden Lane						
Learning Leaders	New York, NY 10038	None	Charitable		Renewed support of educational and parental involvement in programs in New York City public schools	25,000	
	8th Floor						
	311 West 43rd Street						
Manhattan Theatre Club	New York, NY 10036	None	501 (c) (3)		Renewed support of programs	25,000	
National Kidney Foundation of Greater New York	30 East 33rd Street	None	501 (c) (3)		Renewed support of programs in preventing kidney disease via public education and screening campaigns	25,000	
	New York, NY 10016				Renewed support of the School's Leadership Fellowship in Palliative Care & End-of-Life Care (PELC)	25,000	
New York Silver School of Social Work	1 Washington Square North New York, NY 10003 10003	None	501 (c) (3)		Renewed support of programs to provide students from New York City's most underserved communities with the academic leadership and social skills that will enable them to succeed in school, college, careers and in all aspects of life	25,000	
Outward Bound of New York City	29-46 Northern Blvd Long Island City, NY 11101	None	501 (c) (3)		Renewed support of programs in New York and Israel	125,000	
	130 East 59th Street						
United Jewish Appeal - Federation of Jewish Philanthropies of New York Inc	New York, NY 10022	None	501 (c) (3)		Renewed support of the Jeannette Solomon NORC Cultural Arts Fund	25,000	
	New York, NY 10022						
United Jewish Appeal - Federation of Jewish Philanthropies of New York Inc	130 East 59th Street New York, NY 10022						

STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
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Visiting Nurse Service of New York	107 East 70th Street New York, NY 10019	None	502 (c) (3)	Renewed support of Pediatric Palliative Care Program	\$ 25,000
Young Women's Leadership Network	322 Eighth Avenue 14th Floor New York, NY 10001	None	501 (c) (3)	Renewed support of the College Bound Initiative programs which offers low-income public school students college guidance services	25,000
Brearley School Annual Fund	610 East 83rd Street New York, NY 10028	None	501 (c) (3)	Matching gift - Noah & Stephanie Perlman	1,000
Collegiate School Annual Fund	260 West 78th Street New York, NY 10132-0180	None	501 (c) (3)	Matching gift - Noah B Perlman	1,250
92nd Street Y	1395 Lexington Avenue New York, NY 10128	None	501 (c) (3)	Support of the Resource Center for Jewish Diversity	10,000
Abraham Joshua Heschel School	30 West End Avenue New York, NY 10023	None	501 (c) (3)	Matching gift- Peter J Solomon Foundation	1,000
American Committee for the Weizmann Institute	Eden Gruber, Director of Development 633 Third Avenue, 20th floor New York, NY 10017	None	501 (c) (3)	Renewed support	7,500
American Friends of Leket Israel	PO Box 2090 Teaneck, NJ 07666	None	501 (c) (3)	Support of Leket Israel's role as leading food bank and its largest food rescue network	5,000
Brown University	Box 1826/ 163 George Street Providence, RI 02912	None	501 (c) (3)	Support of workshop, "Jewish History after the Imperial Turn French and Comparative Perspectives"	3,000
Cooper Union	30 Cooper Square New York, NY 10003	None	Charitable	Renewed support of the Re-training Program for Immigrant Engineers	15,000
Correctional Association of New York	Suite 200 2090 Adam Clayton Powell, Jr Blvd New York, NY 3,000	None	501 (c) (3)	Support of programs to create a more fair, efficient, and humane criminal justice system	3,000
Educational Alliance	197 East Broadway New York, NY 10002	None	501 (c) (3)	Renewed support of "Project Help" which offers substance abuse and rehabilitation services to members of the Russian-speaking community	15,000
Fordham University	Suite 200 441 East Fordham Road Bronx, NY 10458-993	None	501 (c) (3)	Support of research into the Liberal Party of New York State, 1944-2002	5,000
Foundation for Jewish Culture	330 Seventh Ave. 21st Floor New York, NY 10001	None	501 (c) (3)	Support of the Doctoral Dissertation Fellowship in Jewish Studies	10,000
Franklin & Marshall College	Provost and Dean of the Faculty P O Box 3003 Lancaster, PA 17604-3003	None	501 (c) (3)	Endowment of the Lucius N Littauer Judaica Book Fund	5,000
Friends of the Jewish Chapel	Harvard Pinskey, USNA 62 326 First Street, Suite 22 Annapolis, MD 21403	None	501 (c) (3)	Renewed support of the Friends of the Jewish Chapel Program Endowment, U S Naval Academy	6,000
Friends of Van Cortland Park	80 Van Cortlandt Park South Suite E1 Bronx, NY 10463	None	501 (c) (3)	Support of Van Cortland's Adventures - free environmental education programs for school and community groups in 2012	5,000
Global Kids, Inc	2nd Floor 137 East 25th Street New York, NY 10010	None	Charitable	Support of low-income youth program in New York City	7,500
Israel Guide Dog Center for the Blind	Michael J Leventhal, Executive Director 968 Easton Road, Suite H Warrington, PA 18976	None	503 (c) (3)	Renewed support of the programs for the Israel Guide Dog Center for the Blind	5,000

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Jewish Braille Institute of America (JBI)	President and Chief Executive Officer 110 East 30th Street New York, NY 10016	None	504 (c) (3)	Renewed support of programs that assist the "blind and visually impaired"	\$ 15,000
Leo Baeck Education Center Foundation	15 West 16th Street New York, NY 10011 16th Floor	None	501 (c) (3)	Support of preservation through digitization of historical German Jewish documents	5,000
National Association of Professors of Hebrew	55 West 39th Street New York, NY 10018	None	501 (c) (3)	Support of the publication of the 2013 volume of "Hebrew Studies"	5,000
Zamir Choral Foundation	Elena Neuman Lefkowitz, Vice President 475 Riverside Drive, Suite 1948 New York, NY 10115	None	501 (c) (3)	Support of the programs of the Zamir Choral Foundation to bring together choral singers and audience members from across the denominational and political divide	10,000
American Ballroom Theater Co	Dancing Classrooms 25 West 31st St, 4th floor New York, NY 10001 16th Floor	None	Charitable	Support of the "Dancing Classrooms" in New York City public schools	7,500
American Federation for Aging Research	55 West 39th Street New York, NY 10018 c/o Center for Jewish History	None	501 (c) (3)	Support of research in the science of aging	5,000
American Sephardi Federation	15 West 16th Street New York, NY 10011	None	501 (c) (3)	Support for preserving the history and traditions of the great Sephardi/Mizrahi communities	5,000
Arthritis Foundation/NY Chapter	122 East 42nd Street, 18th floor New York, NY 10168	None	501 (c) (3)	Support for the prevention, control, and cure of arthritis	10,000
Asian American Legal Defense and Education Fund	99 Hudson Street, 12th floor New York, NY 10013	None	501 (c) (3)	Support of programs of the AALDEF	2,500
Brooklyn Botanic Garden	1000 Washington Avenue Brooklyn, NY 11225	None	501 (c) (3)	Renewed support of the Garden Apprentice Program and Project Green Reach	20,000
City Harvest	6 East 32nd Street, 5th Floor New York, NY 10016	None	501 (c) (3)	Support of City Harvest programs	5,000
Eastern District Association	c/o Morvillo, Abramowitz, Grand, Iason, Anello & Bohrer, P C 565 Fifth Avenue New York, NY 10017	None	501 (c) (3)	Renewed support of Intern program of the U S Attorney's Office in the Eastern District of New York	10,000
Legal Information for Families Today	Suite 501 350 Broadway New York, NY 10013	None	501 (c) (3)	Support of programs of LIFT that enhance access to justice by providing legal information, community education and compassionate guidance to thousands of unrepresented Family Court litigants	5,000
Lower East Side Tenement Museum	Director of Institutional Giving 91 Orchard Street New York, NY 10002 Suite 942	None	501 (c) (3)	Support of the museum's general programs	10,000
Neuropathy Association	60 East 42nd Street New York, NY 10165	None	Charitable	Support of research in the causes and cures for this little-known major disease afflicting an estimated 20 million Americans	5,000
New York Public Library	Fifth Ave & 42nd Street New York, NY 10018	None	501 (c) (3)	Renewed support of the DOROT Jewish Division of the New York Public Library	20,000
North American Conference on Ethiopian Jewry (NACOEJ)	255 West 36th Street, Suite 701 New York, NY 10018	None	501 (c) (3)	Renewed support of programs in Israel for Ethiopian Jews	10,000
P E F Israel Endowment Funds, Inc	Israel 317 Madison Avenue #607 New York, NY 10017	None	501 (c) (3)	Renewed support for the Inter-religious Coordinating Council in Israel, to promote understanding between religious groups	15,000

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STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
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From 1-1-12 to 12-31-12

Southern Jewish Historical Society	Mark Bauman, Editor, Southern Jewish History 2517 Hartford Drive Ellenwood, GA 30294 Cornell University Box 223623 Pittsburgh, PA 15251-2631 144 East 39th Street New York, NY 10014 Steven Choi 136-19 41th Avenue, 3rd Floor Flushing, NY 11355 2576 Broadway, PMB 213 New York, NY 10025 47-01 111th Street Queens, NY 11368 55 Broad Street, 23rd Fl New York, NY 10004	None	501 (c) (3)	Support of the publication "Southern Jewish History Journal of the Southern Jewish Historical Society"	\$ 5,000
Cornell Hillel		None	501 (c) (3)	Renewed support of trip to former Soviet Union	15,000
Humanity in Action		None	501 (c) (3)	Renewed support of democratic values and the protection of minorities	3,500
Minkwon Center for Community Action		None	501 (c) (3)	Support for Youth Empowerment Project	5,000
New Heights		None	501 (c) (3)	Support of promising city youth for future success in academics and life	4,000
New York Hall of Science		None	501 (c) (3)	Support of teacher professional development initiation	10,000
New Yorkers for Parks		None	501 (c) (3)	Renewed support of efforts to champion quality parks and open space for all New Yorkers	10,000
Princeton University Press	41 William Street Princeton, NJ 08540 3300 Henry Ave, Suite 500 Philadelphia, PA 19129 30 West End Avenue New York, NY 10023 610 East 83rd Street New York, NY 10028 Attn Matching Gift Coordinator Box 1877 Providence, RI 02912-9962 260 West 78th Street New York, NY 10132-0180 33 West 60th Street New York, NY 10023 124 Mount Auburn Street Cambridge, MA 02138 701 Westchester Ave White Plains, NY 10604 Director of Development 260 West 78th Street New York, NY 10024-6516 25 East 21st Street New York, NY 10010-6297 75 Battery Place New York, NY 10280 52 Mount Auburn Street Cambridge, MA 02138 3080 Broadway New York, NY 100276 198 Madison Ave New York, NY 10016	None	Charitable	Support of publication "Artists & Craftsmen, Jewish and Christian Cultural Exchange in Medieval Marketplace," Shatzmiller	2,500
Visiting Nurse Assoc of Greater Philadelphia		None	501 (c) (3)	Support of conference and presentation documents on Palliative Medicine	5,000
Abraham Joshua Heschel School		None	501 (c) (3)	Matching gift - Robert & Navah Frost	500
Brearely School Annual Fund		None	501 (c) (3)	Matching gift - Robert & Navah Frost	250
Brown College Annual Fund		None	501 (c) (3)	Matching gift - Robert & Navah Frost	500
Collegiate School Annual Fund		None	501 (c) (3)	Matching gift - Robert D Frost	250
Columbia University Graduate School of Business		None	501 (c) (3)	Matching gift - Robert D Frost	250
Harvard College Fund		None	501 (c) (3)	Matching gift - Robert D Frost	500
UJA -Federation of New York - Westchester		None	501 (c) (3)	Support of Westchester Celebration Commemorative Journal honoring Mark and Tracey Bilski	25,000
Collegiate School		None	501 (c) (3)	Renewed support of Dutch West India Company Collegiate School Scholarship Fund established by the Lucius N Littaer Foundation in 1987	10,000
Jewish Labor Committee		None	501 (c) (3)	Support of programs for the voice of the Jewish community in the labor movement and the voice of labor in the Jewish community	5,000
Battery Park City Parks Conservancy		None	501 (c) (3)	Support of the "BPCP's Marine Education Program"	5,000
Hillel Foundation of Cambridge, Inc		None	501 (c) (3)	Matching gift - Judith & Dr Charles Berlin	1,000
Jewish Theological Seminary of America		None	501 (c) (3)	Support of scholarships for JTS' Context Programs	15,000
Oxford University Press		None	Charitable	Support of publication of "Studies in Contemporary Jewry"	3,000

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P E F Israel Endowment Funds, Inc	317 Madison Avenue #607 New York, NY 10017 333 West 57th Street Suite 1L New York, NY 10019 60 East 42nd Street Suite 1320 New York, NY 10165	None	501 (c) (3)	Support of development of library which will serve as a central resource at "Mesvita of Ben Shemesh," a unique institution in Israel with a focus on providing high level secular education modeled on the Jewish educational system in the United States	\$ 5,000
Reading Reform Foundation of New York		None	501 (c) (3)	Support of the in-school teacher training program	10,000
To the Top With Laptops, Inc		None	Charitable	Support of the programs to provide laptop computers and printers to scholarship college-bound students	5,000
		None	Charitable	Support of publication of four books 1) Jewhooning the 1960s American Celebrity and Jewish Identity 2) Holocaust Literature A History Guide 3) A Poetics of Trauma The Work of Dahlia Ravikovich 4) Marriage and Divorce in the Jewish State, Israel's Civil WarSupport of publication of four books 1) Jewhooning the 1960s American Celebrity and Jewish Identity 2) Holocaust Literature A History Guide 3) A Poetics of Trauma The Work of Dahlia Ravikovich 4) Marriage and Divorce in the Jewish State Israel's Civil War	10,000
University Press of New England	Suite 250 One Court Street Lebanon, NH 03766-1358 P O Box 75661	None	501 (c) (3)	General support	4,100
Council On Foundations	Baltimore, MD 21275-5661 Mailstop 045	None	501 (c) (3)	Support of the publication of POLIN	7,500
Brandeis University	Waltham, MA 02453	None	501 (c) (3)	Support of programs	5,000
Bronx County Historical Society	3309 Bainbridge Avenue Bronx, NY 10467	None	Charitable	Support of music performances to homebound seniors, veterans, the disabled and medical patients	5,000
Concert in Motion	139 West 74th Street, Suite 4A New York, NY 10023	None	501 (c) (3)	Renewal grant of Senior Life Program	10,000
Hebrew Senior Life	1200 Centre Street Boston, MA 02131	None	501 (c) (3)	Support of the programs of the George J Dorfman School of Jewish Education in Gloversville, NY	3,000
Kneseth Israel Synagogue	34 East Fulton Street Gloversville, NY 12078	None	501 (c) (3)	Support of the Gaynor McCown Expeditionary Learning School	10,000
Outward Bound of New York City	29-46 Northern Blvd Long Island City, NY 11101	None	501 (c) (3)	Support of Eric Goldman's book, tentatively titled "Yearning to Belong The American Jewish Story Through Film"	2,150
University of Texas (Austin)	PO Box 7819 Austin, TX 78713	None	501 (c) (3)	Matching gift - Robert & Navah Frost	1,800
Abraham Joshua Heschel School	30 West End Avenue New York, NY 10023	None	501 (c) (3)	Support for the "International Center for University Teaching of Jewish Culture at the Hebrew University"	25,000
American Jewish Joint Distribution Committee	c/o Herbert Neuman 122 East 42nd Street, 30th Fl New York, NY 10168	None	501 (c) (3)	Support of travel funds for librarians outside the United States who will attend the Annual Association of Jewish Libraries Annual Convention	5,000
Association of Jewish Libraries	Rachel Leket-Mor P O Box 1118 Teaneck, NJ 07666	None	501 (c) (3)	Support of the Schusterman Center for Israel Studies	10,000
Brandeis University	Mailstop 045 Waltham, MA 02453	None	501 (c) (3)	Support of the programs and services of Easter Seals New York	10,000
Easter Seals New York	Executive Director, Advancement 40 West 37th Street, Suite 503 New York, NY 10018	None	501 (c) (3)		

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Harvard University	Faculty of Arts and Sciences 124 Mr Auburn Street Cambridge, MA 02138	None	501 (c) (3)	Support of The Lucius N Littaer Foundation Teaching Fund to help build, strengthen and sustain a culture of exemplary teaching at Harvard First of five equal installments, 2012-2016, total amount of \$250,000	\$	50,000
Jewish Community Center of Manhattan	334 Amsterdam Ave @ 76th St New York,, NY 10023	None	501 (c) (3)	Support of the effort to transform the Hebrew school model to promote experiential learning as part of the pre-bnei mitzvah education process		25,000
Nature Conservancy	322 Eighth Avenue, 16th floor New York,, NY 10001	None	501 (c) (3)	Support of the Conservancy programs		5,000
New York Botanical Garden	Associate Vice President for Foundation Relations Bronx, NY 10458-5126	None	501 (c) (3)	Support of the Professional Development Program for Teachers and to extend the impact of the Children's Education Program		25,000
New York Landmarks Conservancy	Peg Green, President One Whitehall Street New York, NY 10004	None	501 (c) (3)	Support of programs for the preservation of synagogues and related projects in NY State		5,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue #607 New York, NY 10017	None	501 (c) (3)	Support of "Keren Klita - Jerusalem"		10,000
American Friends of the Israel Philharmonic Orchestra	122 East 42nd Street Suite 4507 New York, NY 10168	None	501 (c) (3)	Renewal support of the publication of "AFIPO Passport to Music" and support of AFIPO		15,000
American Jewish World Service	45 West 36th Street New York, NY 10018	None	501 (c) (3)	Renewal grant to AWJS's initiatives throughout the developing world		25,000
Archivists Round Table of Metropolitan New York	New York support to highlight the importance of the preservation and accessibility of the historical records of New York	None	Charitable			1,000
Bellevue Literary Press	P O Box 151 New York,, NY 10274	None	501 (c) (3)	Renewed support to enhance the human experience through the promotion of science literacy		20,000
GROW NYC	NYU School of Medicine 550 First Avenue OBV A 612 New York, NY 10016	None	501 (c) (3)	Renewal support of "GrowNYC," and "Grow to Learn" that empowers children to grow their own plants, fruits and vegetables, learn science and math in new ways, and understand the connection between ourselves, our food, and our environment		5,000
Jewish Child Care Association of New York	Room 228 51 Chambers Street New York, NY 10007	None	501 (c) (3)	Renewed support for immigrant families who continue to struggle and build lives in New York		10,000
Massachusetts General Hospital Center for Immunology & Inflammatory Diseases	120 Wall Street New York, NY 10005	None	501 (c) (3)	Support for post-doctoral training in Lyme disease and rheumatoid arthritis at MA General Hospital/Harvard Medical School		25,000
Queens Library Foundation	55 Fruit Street, CNY 149-8301 Boston,, MA 02114	None	501 (c) (3)	Support of the Russian, Yiddish and Hebrew collections		15,000
Senior Citizen Services Center of Gloversville and Fulton County, Inc	89-11 Merrick Blvd Jamaica, NY 11432	None	501 (c) (3)	Support of programs and services which benefit the senior community of Gloversville, NY		3,000
Southern Institute for Education and Research	53 Church Street Gloversville, NY 12078	None	501 (c) (3)	Renewed support of programs to provide awareness of, and education about, the Shoah		3,000
American Jewish Committee	Tulane University MR Box 1692 31 McAlister Drive New Orleans, LA 70118-5555	None	501 (c) (3)	Support of programs		10,000

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American Friends of the Jaffa Institute	171-06 76th Street Flushing, NY 11366 Robert Ambaras, President	None	501 (c) (3)	Support of "Building Better Bridges Program" which encourages respect, understanding and friendship between Jewish, Muslim, and Christian at-risk youth	\$ 10,000
American Friends of the Medem Library, Inc	115 East 89th Street, #5A New York, NY 10128-1527	None	501 (c) (3)	Support of cataloging and digitizing of the sound archives of the Paris Yiddish Center	
Association for Jewish Studies	15 West 16th Street New York, NY 10011	None	501 (c) (3)	Support of AJS annual conference and continued support of "AJS Review"	3,800
DOROT, Inc	171 West 88th Street New York, NY 10024 Suite 1905	None	501 (c) (3)	Support of programs on behalf of homebound seniors	10,000
Hebrew Free Loan Society	675 Third Avenue New York, NY 10017	None	501 (c) (3)	Support of the programs of the Hebrew Free Loan Society that provide vital financial support to people struggling to help themselves	15,000
Jewish Family Services Clifton/Passaic	925 Allwood Road Clifton, NJ 07012	None	501 (c) (3)	Support of the programming of vital mental health services of Jewish Family Services of Greater Passaic-Clifton	10,000
Legal Aid Society	199 Water Street New York, NY 10038	None	501 (c) (3)	Support to provide critical quality legal representation to New York's most vulnerable residents	20,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue #607 New York, NY 10017	None	501 (c) (3)	Support for programs of Dental Volunteers for Israel	10,000
Trinity School	139 West 91st Street New York	None	501 (c) (3)	Matching gift - Sarah & Daniel Levy	5,000
Anti-Defamation League	Regional Director, New York Region 605 Third Avenue New York, NY 10158-3560	None	501 (c) (3)	Support of programs to combat bias and bigotry	5,000
Central Park Conservancy	Douglas Blonsky, President 14 East 60th Street New York, NY 10022	None	501 (c) (3)	Support of Education and Youth Development Programs	5,000
City Harvest	6 East 32nd Street, 5th Floor New York, NY 10016	None	501 (c) (3)	Support of City Harvest programs for hunger relief in New York City	15,000
City Meals on Wheels	355 Lexington Avenue New York, NY 10017-6603	None	501 (c) (3)	Support mission of providing nutritious meals to the homebound elderly	5,000
COJECO (Council of Jewish Emigre Community Organizations)	Roman Shmulenson, Executive Director 40 Exchange Place, Suite 1302 New York, NY 10005	None	501 (c) (3)	Support of providing informal Jewish education to Russian-speaking children across New York City	3,000
Commentary Magazine	561 Seventh Avenue 7th Floor New York, NY 10018	None	Charitable	Support of articles to be published in 2012 about the Jewish historical experience in America	10,000
Foundation for the Jewish Historical Museum in Amsterdam, Inc	44 South Bagles Avenue Suite 304 Port Washington, NY 11050	None	501 (c) (3)	Support of exhibitions including the upcoming "Deliciously Jewish" Support efforts to assist seniors in assisted-living and nursing homes by keeping them emotionally and physically active and engaged with Jewish life	10,000
Friendship Circle	117 Remsen Street Brooklyn, NY 11201	None	501 (c) (3)	Support for the important work of Dr. Michel Sadeiam, Director of MSKCC's Center for Cell Engineering	2,500
Memorial Sloan -Kettering Cancer Center	622 Third Avenue New York, NY 10017	None	501 (c) (3)	Support of interactive, online timeline of Jewish history	10,000
MY Jewish Learning	377 Fifth Avenue, 2nd Floor New York, NY 10016	None	501 (c) (3)		7,500

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P E F Israel Endowment Funds, Inc	317 Madison Avenue #607 New York, NY 10017	None	501 (c) (3)	Support of The Israel Free Loan Association in support of the Lucius N Littaer Fund which supports Israel's working poor	\$ 10,000
P E F Israel Endowment Funds, Inc	317 Madison Avenue #607 New York, NY 10017	None	501 (c) (3)	Support for research on the history of women participation in the Russian Empire 1881-1914	3,000
Renovation in Music Education	1324 R Street NW #3 Washington, DC 20009	None	501 (c) (3)	Provide rehabilitation to America's brave wounded warriors through the power of music	5,000
Yale Law School	PO Box 208341 New Haven, CT 06520-8341 Office of Gift Services PO Box 309	None	501 (c) (3)	Support of the William Lee Frost Memorial Fund First of four equal installments for the years 2012-2015 - total amount of \$100,000	25,000
University of North Carolina (Chapel Hill)	Chapel Hill, NC 27514-0309 PO Box 208341	None	501 (c) (3)	Matching gift - Henry A Lowet, Esq '54	1,000
Yale Law School	New Haven, CT 06520-8341 610 East 83rd Street New York, NY 10028	None	501 (c) (3)	Matching gift - Henry A Lowet, Esq '57	1,000
Brearely School Annual Fund	1000 Washington Avenue Brooklyn, NY 11225	None	501 (c) (3)	Matching gift - Abigail Solomon	5,000
Brooklyn Botanic Garden	121 DeKalb Avenue Brooklyn, NY 11201	None	501 (c) (3)	Matching gift - George Harris, Esq	1,000
Brooklyn Hospital Foundation	6 East 32nd Street, 5th Floor New York, NY 10016	None	501 (c) (3)	Matching gift - George Harris, Esq	5,800
City Harvest	355 Lexington Avenue New York, NY 10017-6603	None	501 (c) (3)	Support of efforts to disaster and longer-term relief to people in need as a result of Hurricane Sandy and other conditions	10,000
City Meals on Wheels	1 College Circle Geneseo, NY 14454	None	501 (c) (3)	Support of efforts to disaster and longer-term relief to people in need as a result of Hurricane Sandy and other conditions	10,000
Geneseo Foundation	300 George Street #900 New Haven, CT 06511	None	501 (c) (3)	Matching gift - George Harris, Esq	3,000
Haskins Laboratories	5300 Jamesville Road DeWitt, NY 13214	None	501 (c) (3)	Matching gift - George Harris, Esq	1,000
Manlius Pebble Hill School	253 Broadway, 8th Floor New York, NY 10007	None	501 (c) (3)	Matching gift - George Harris, Esq	500
Mayor's Fund to Advance New York City	357 Ninth Street Brooklyn, NY 11215	None	501 (c) (3)	Support of Hurricane Sandy relief efforts	25,000
Prospect Park YMCA	Attn Development Dept 89-74 162nd Street Jamaica, NY 11432	None	501 (c) (3)	Matching grant - Mrs George Harris	1,000
Safe Place	130 East 59th Street New York, NY 10022	None	501 (c) (3)	Support of disaster and longer-term relief to people in need as a result of Hurricane Sandy	5,000
UJA Federation of New York	30 West End Avenue New York, NY 10023	None	501 (c) (3)	Support of Hurricane Sandy relief efforts	25,000
Abraham Joshua Heschel School	130 East 59th Street New York, NY 10022	None	501 (c) (3)	Matching gift - Geula & Joshua Solomon	2,500
UJA Federation of New York	30 West End Avenue New York, NY 10023	None	501 (c) (3)	Matching gift - Geula & Joshua Solomon	12,750
Abraham Joshua Heschel School	1140 Broadway, Suite 304 New York, NY 10001	None	501 (c) (3)	Matching Gift - Robert & Navah Frost	1,000
America-Israel Cultural Foundation		None	501 (c) (3)	Support of the Annual AICF newsletter	5,000

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American Academic Association for Israel	27 Chadwick Road Great Neck, NY 10023 500 Fifth Avenue Suite 2540	None	501 (c) (3)	Support of Academic Study visits to Israel	\$ 10,000
American Friends of the Israel Museum	New York, NY 10110 610 East 83rd Street	None	501 (c) (3)	Support of the Lucius N. Littaauer Foundation Periodicals Endowment Fund	5,000
Breartley School Annual Fund	New York, NY 10028 610 East 83rd Street	None	501 (c) (3)	Matching gift - Noah & Stephanie Perlman	1,000
Breartley School Annual Fund	New York, NY 10028 42 West 44th Street	None	501 (c) (3)	Support for the Louise N. Littaauer Scholarship Fund	25,000
City Bar Justice Center	New York, NY 10036 1109 Fifth Avenue	None	501 (c) (3)	Support of pro bono projects to address areas of unmet civil and legal needs to help low-income clients	25,000
Jewish Museum	New York, NY 10128 Peter Geffen, Founder and Executive Director	None	501 (c) (3)	Support for general operating needs Support for the programs of "KIVUNIM New Directions," which believes that by educating young Diaspora Jews (and eventually Israelis, Jews and Arabs	25,000
KIVUNIM New Directions	300 Central Part West, Suite # 12J2 New York, NY 10024	None	501 (c) (3)	Balance of pledge in support of Bronx Science & Health Opportunities Partnership Second of two equal installments - Total amount of \$25,000	25,000
Albert Einstein School of Medicine Yeshiva University	1300 Morris Park Avenue Mazer Bldg., Room 719 New York, NY 10461 P O Box 2035	None	501 (c) (3)		12,500
American Friends of the Hebrew University	New York, NY 10021	None	501 (c) (3)		5,000
Hillel Foundation of Cambridge, Inc	52 Mount Auburn Street Cambridge, MA 02138	None	501 (c) (3)	Support of ensuring Israel remains a global leader Balance of pledge in support of the William Lee Frost Memorial Fund	150,000
Yale Law School	PO Box 208341 New Haven, CT 06520-8341	None	501 (c) (3)	Balance of pledge in support of the William Lee Frost Memorial Fund Our pledge of \$100,000 from 2012-2015 is now fulfilled	75,000
American Associates of Ben-Gurion University of the Negev	1001 Avenue of the America's 19th Floor New York, NY 10018 Suite 1209	None	501 (c) (3)	Continued support of the endowment fund for Ethiopian students at BGU	5,000
American Friends of the Tel Aviv Museum of Art	36 W 44th Street New York, NY 10036 ASPNI Director	None	501 (c) (3)	Support of "Youths Gifted in the Arts" program	7,500
American Society for the Protection of Nature in Israel	28 Arrandale Ave Great Neck, NY 10024 Box 6280	None	501 (c) (3)	Support of new year's calender and newsletter	5,000
Baruch College, CUNY	1 Bernard Baruch New York, NY 10010	None	501 (c) (3)	Support for Baruch College Research Fund for academic research Support to stimulate, foster & promote public interest in the history of the Bronx	2,500
Bronx County Historical Society	3309 Bannbridge Avenue Bronx, NY 10467	None	501 (c) (3)	Support of programs to develop students in New York into peer & future leaders	5,000
Catalog for Giving of New York City	1115 Broadway, Suite 1200 New York, NY 10010	None	501 (c) (3)	Support of programs for children and families dealing with life- threatening diseases	5,000
Double H Ranch	97 Hidden Valley Road Lake Luzerne, NY 12846 79 Fifth Ave	None	501 (c) (3)	Continued support	2,500
Foundation Center	New York, NY 10003 P O Box 494	None	501 (c) (3)		5,000
Friends of Yad L'kashish/Lufeline for the Old	Englewood, NJ 07631	None	501 (c) (3)	Renewed support for Jerusalem's elderly and disabled	5,000

**STATEMENT B
GRANTS MADE
THE LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID#13-1688027
From 1-1-12 to 12-31-12**

	P O 711	None	501 (c) (3)	Renewed support of programs	\$ 5,000
Fulton County Historical Society	237 Kingsboro Avenue Gloversville, NY 12078	None	501 (c) (3)	Support for COJECO NY Metro Library program	7,500
Harold Grinspoon Foundation	380 Union Street, Suite 100 West Springfield, MA 01089	None	501 (c) (3)	Support for programs including a pilot program to offer clinical pastoral education to Jewish women who are not on course to be ordained	10,000
HealthCare Chaplaincy	315 East 62nd Street 4th Floor New York, NY 10065-7767	None	501 (c) (3)	Support for those with learning and visual impairment	5,000
Learning Ally (formerly Recording for the Blind & Dyslexic)	20 Roszel Road Princeton, NJ 08540	None	501 (c) (3)	Support of research programs to treat diseases causing blindness	5,000
Macula Vision Research Foundation	One Tower Bridge West Conshohocken, PA 19428	None	501 (c) (3)	Support of multi-archive project related to Jewish photographers	10,000
Magnum Foundation	P O Box 300 New York, NY 10276	None	501 (c) (3)	Support of programs to educate the community regarding mental health and wellness	10,000
Mental Health Association of Westchester	580 White Plains Road Suite 510 Tarrytown, NY 10591	None	501 (c) (3)	Supporting programs including MetOperaStudents and The Met HD Live in School	10,000
Metropolitan Opera Guild	70 Lincoln Central Plaza, 6th Floor New York, NY 11023	None	501 (c) (3)	Support of the education programs of the museum	10,000
Museum at Eldridge Street	12 Eldridge Street New York, NY 10002	None	501 (c) (3)	Support of medical & health services	10,000
Nathan Littauer Foundation, Inc	99 East State Street Gloversville, NY 12078	None	501 (c) (3)	Support of Pastoral Training	5,000
Rabbinical School of Hebrew College	160 Herrick Road Newton Centre, MA 02459	None	501 (c) (3)	Support of archival programs	5,000
Reconstructionist Rabbinical College	1299 Church Road Wyncote, PA 19095	None	501 (c) (3)	Support of scholarly research and database development related to history of the Sephardic community	5,000
Sephardic Heritage Project	825 E 18th Street Brooklyn, NY 11230	None	501 (c) (3)	Support of subvention for "American Jews Since 1945"	5,000
University Press of New England	Suite 250 One Court Street Lebanon, NH 03766-1358	None	Charitable	Support specifically for the Forest Project Summer Collaborative, an environmental intership program for Bronx teens	20,000
Wave Hill	675 West 252nd Street Bronx, NY 10471-2899	None	Charitable		

\$ 2,603,900

STATEMENT C
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
12/31/12

	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
			<u>Short Term</u>	<u>Long Term</u>
Account No. 0400197				
Total Gains			51,340.14	7,211,411.31
Total Losses	20,131,779.91	27,019,403.14	(79,867.68)	(295,260.52)
Account No. A64881005				
Total Gains			182,696.54	2,214,099.14
Total Losses	6,647,369.90	8,882,226.93	(131,952.49)	(29,986.14)
Account No. A65243007				
Total Gains			28,050.94	1,015,461.90
Total Losses	604,233.00	1,646,696.65	(1,049.19)	-
TOTAL GAINS			262,087.62	10,440,972.35
TOTAL LOSSES			(212,869.36)	(325,246.66)
	27,383,382.81	37,548,326.72	49,218.26	10,115,725.69
TOTAL REALIZED GAIN	<u>10,164,943.95</u>			

STATEMENT C (1)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # 04000197
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
12/12/11	03/15/12	2000000	UNITED STATES TREAS BILL 3/15/12 0.000% Due 03-15-12	1,999,949.44	1,999,949.44	-	
12/19/11	03/22/12	6000000	UNITED STATES TREAS BILL 3/22/12 0.000% Due 03-22-12	5,999,924.16	5,999,924.16	-	
01/01/70	04/05/12	1000000	US TREASURY BONDS DUE 05/15/2016 7.250% Due 05-15-16	1,053,234.00	1,258,438.00		205,204.00
12/20/05	04/09/12	0.47	**JP MORGAN SELECT SMALL CAP EQUITY FUND	200.74	17.88		(182.86)
12/25/05	04/09/12	0.984	**JP MORGAN SELECT SMALL CAP EQUITY FUND	223.82	37.44		(186.38)
12/13/06	04/09/12	34.201	**JP MORGAN SELECT SMALL CAP EQUITY FUND	1,644.39	1,301.35		(343.04)
12/13/06	04/09/12	4.748	**JP MORGAN SELECT SMALL CAP EQUITY FUND	228.28	180.66		(47.62)
09/01/04	04/09/12	10.183	**JP MORGAN SELECT SMALL CAP EQUITY FUND	453.24	387.46		(65.78)
09/04/05	04/09/12	3.262	**JP MORGAN SELECT SMALL CAP EQUITY FUND	143.05	124.12		(18.93)
09/15/05	04/09/12	0.919	**JP MORGAN SELECT SMALL CAP EQUITY FUND	40.15	34.97		(5.18)
12/12/04	04/09/12	98.866	**JP MORGAN SELECT SMALL CAP EQUITY FUND	4,241.35	3,761.85		(479.50)
12/17/03	04/09/12	8.36	**JP MORGAN SELECT SMALL CAP EQUITY FUND	337.07	318.10		(18.97)
07/01/02	04/09/12	3.31	**JP MORGAN SELECT SMALL CAP EQUITY FUND	130.83	125.95		(4.88)
12/17/07	04/09/12	12.783	**JP MORGAN SELECT SMALL CAP EQUITY FUND	478.97	486.39		7.42
12/17/07	04/09/12	98.235	**JP MORGAN SELECT SMALL CAP EQUITY FUND	3,680.85	3,737.84		56.99
10/25/01	04/09/12	497	**JP MORGAN SELECT SMALL CAP EQUITY FUND	18,238.87	18,910.85		671.98
12/19/08	04/09/12	81.74	**JP MORGAN SELECT SMALL CAP EQUITY FUND	1,843.98	3,110.21		1,266.23
12/31/09	04/09/12	8	**JP MORGAN SELECT SMALL CAP EQUITY FUND	-	304.40		304.40
01/01/70	04/09/12	6547.549	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 03/20/2027 7.000% Due 03-20-27	(1,221.26)	6,866.82		8,088.08
11/15/01	04/09/12	104464.32	GNMA II POOL#003160 DD 11/01/01 DUE 11/20/31 6.000% Due 11-20-31	121,518.13	116,902.25		(4,615.88)
03/17/03	04/09/12	212453	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 03/20/2033 5.500% Due 03-20-33	251,072.53	236,154.89		(14,917.64)
06/24/03	04/09/12	181538.241	GNMA II POOL#003375 DD04/01/03 5.500% Due 04-20-33	221,920.96	201,337.35		(20,583.61)
08/21/03	04/09/12	107630.783	GNMA II POOL#003414 5.000% Due 07-20-33	110,134.28	118,595.68		8,461.40
08/21/03	04/09/12	60648.622	GNMA II POOL#003414 5.000% Due 07-20-33 5.500% Due 04-20-34	61,900.48	66,827.21		4,926.73
12/15/04	04/09/12	305496.63	GNMA II POOL# 003651 DUE 12/20/2034 5.000% Due 12-20-34	308,241.31	336,810.04		28,568.73
04/18/05	04/09/12	317281.76	GNMA II POOL# 3701 DUE 04/20/2035 5.000% Due 04-20-35	308,006.82	349,604.84		41,598.02
01/01/06	04/09/12	592141.129	GNMA 2 POOL 3724 DUE 06/20/2035 5.500% Due 06-20-35	602,518.12	653,945.86		51,427.74
12/22/05	04/09/12	299832.156	GNMA II POOL# 003796 DUE 12/20/2035 5.500% Due 12-20-35	303,675.97	331,127.14		27,451.17
12/16/05	04/09/12	311059.564	GNMA II POOL# 003796 DUE 12/20/2035 5.500% Due 12-20-35	309,494.72	343,526.40		34,031.68
05/17/07	04/09/12	490636.825	GNMA II POOL# 003974 DUE 04/20/2037 5.000% Due 04-20-37	445,631.37	540,007.15		94,375.78
01/01/70	04/09/12	3879.401	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 01/15/2028 7.000% Due 01-15-26	3,817.17	3,952.14		134.97
01/01/70	04/09/12	64276.91	GOVERNMENT NATIONAL MORTGAGE ASSOCIATION DUE 05/15/2028 7.000% Due 05-15-28	83,131.39	72,552.56		(10,578.83)
06/21/06	04/09/12	34279.93	GNMA POOL #653615 5.500% Due 05-15-36	15,247.95	37,686.50		22,438.55
02/11/09	04/09/12	311375.45	GNMA POOL #688143 DUE 12/15/38 6.000% Due 12-15-38	348,196.81	350,491.99		2,295.18
01/08/09	04/09/12	483667.297	GNMA POOL # 781929 DUE 06/15/2035 6.000% Due 06-15-35	528,790.63	544,428.06		15,637.43
03/14/11	04/09/12	5000	ALBEMARLE CORP	281,378.35	314,624.99		33,246.64
09/29/31	04/09/12	2075	ALLSTATE CORP COM	67,644.45	67,290.74		(353.71)
09/29/31	04/11/12	196	ALLSTATE CORP COM	6,389.55	6,304.25		(85.30)
01/01/70	04/11/12	4724	ALLSTATE CORP COM	76,140.00	151,945.38		75,805.38
02/10/09	04/09/12	1700	AMAZON.COM INC	114,454.29	328,599.81		214,145.53
06/23/09	04/09/12	275	APPLE, INC CMN	37,080.22	175,620.49		138,540.27

STATEMENT C (1)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # 04000197
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
06/23/09	04/11/12	625	APPLE, INC CMN	84,273.22	390,288.05		306,014.83
01/01/70	04/09/12	3875	BP PLC ADR	8,691.28	168,179.72		159,488.44
01/01/70	04/11/12	9125	BP PLC ADR	20,466.57	384,228.07		363,761.50
01/01/70	04/09/12	2200	CHEVRON CORP NEW	14,547.29	228,596.94		214,049.65
01/01/70	04/11/12	5045	CHEVRON CORP NEW	33,362.74	509,066.68		475,703.94
01/01/70	04/09/12	3600	CIGNA CORP	6,222.22	172,856.42		166,634.20
01/01/70	04/11/12	8400	CIGNA CORP	14,518.52	398,993.57		384,475.05
03/10/09	04/09/12	270	CITIGROUP INC. CMN	3,996.00	9,210.46		5,214.46
03/10/09	04/11/12	630	CITIGROUP INC CMN	9,324.00	21,180.53		11,856.53
02/01/04	04/09/12	10000	COHU INC	227,100.00	110,144.32		(116,955.68)
01/01/70	04/09/12	2880	COLGATE PALMOLIVE CO COM	9,517.06	279,773.72		270,256.66
01/01/70	04/11/12	6920	COLGATE PALMOLIVE CO COM	22,867.39	670,255.49		647,388.10
11/18/45	04/09/12	383	CORNING INC COM	3,336.27	5,096.18		1,759.91
05/17/84	04/09/12	617	CORNING INC COM	5,383.73	8,223.68		2,839.95
01/01/70	04/09/12	15000	CORNING INC COM	71,315.82	199,797.91		128,482.09
02/12/10	04/09/12	8000	CUBIC CORP	261,920.63	359,290.10		97,369.47
01/01/70	04/09/12	1080	DAIMLERCHRYSLER AG	22,765.45	59,690.26		36,924.81
01/01/70	04/11/12	2661	DAIMLERCHRYSLER AG	56,091.55	144,648.71		88,557.16
01/01/70	04/09/12	9000	DOW CHEMICAL CO	15,217.00	291,796.40		276,579.40
05/22/42	04/09/12	596	DU PONT E I DE NEMOURS COM	24,002.68	30,963.56		6,960.88
12/26/50	04/09/12	351	DU PONT E I DE NEMOURS COM	14,161.42	18,268.29		4,106.87
01/01/70	04/09/12	2203	DU PONT E I DE NEMOURS COM	79,835.40	114,527.75		34,692.35
05/22/42	04/11/12	60	DU PONT E I DE NEMOURS COM	2,418.00	3,086.04		668.04
01/01/70	04/11/12	4142	DU PONT E I DE NEMOURS COM	150,103.60	213,039.61		62,936.01
06/08/10	04/11/12	3408	DU PONT E I DE NEMOURS COM	119,935.41	175,287.06		55,351.65
01/01/70	04/09/12	765	EXXON MOBIL CORPORATION CMN	2,898.48	64,312.05		61,413.57
01/01/70	04/11/12	1825	EXXON MOBIL CORPORATION CMN	6,914.68	150,864.36		143,949.68
01/01/70	04/09/12	1170	FIRSTENERGY CORP CMN	-	53,409.87		53,409.87
01/01/70	04/11/12	2830	FIRSTENERGY CORP CMN	-	127,363.41		127,363.41
05/03/11	04/11/12	6280	HEINZ H J CO COM	325,475.92	330,867.76	5,391.85	
05/03/11	04/09/12	2690	HEINZ H J CO COM	139,415.64	142,714.19	3,298.55	
01/01/70	04/09/12	720	INTL BUSINESS MACHINES CORP CMN	10,238.47	148,044.27		137,805.80
01/01/70	04/11/12	1780	INTL BUSINESS MACHINES CORP CMN	25,311.77	361,240.55		335,928.78
01/01/70	04/09/12	1035	NORFOLK SOUTHERN CORP	32,907.31	69,239.64		36,332.33
01/01/70	04/11/12	2465	NORFOLK SOUTHERN CORP	78,373.44	163,228.54		84,855.10
01/01/70	04/09/12	1800	P G & E CORPORATION CMN	43,009.92	76,786.27		33,776.35
01/01/70	04/11/12	4200	P G & E CORPORATION CMN	100,356.48	177,251.22		76,894.74
09/15/06	04/05/12	9000	PHARMACEUTICAL HOLDERS TRUST	697,972.05	679,214.78		(18,757.27)
03/23/06	04/05/12	13000	PHARMACEUTICAL HOLDERS TRUST	963,661.38	981,088.01		17,426.63
01/01/70	04/09/12	1215	PROCTER & GAMBLE COMPANY (THE) CMN	1,601.41	81,258.05		79,656.64
01/01/70	04/11/12	2885	PROCTER & GAMBLE COMPANY (THE) CMN	3,802.53	191,733.06		187,930.53
10/05/10	04/09/12	1800	ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG	111,851.55	123,981.22		12,129.67
10/05/10	04/11/12	4200	ROYAL DUTCH SHELL PLC SPONSORED ADR RESPTG	260,986.95	285,040.60		24,053.65
11/10/11	04/05/12	9000	RYDEX S&P EQUAL WEIGHT ETF	418,950.00	461,599.74	42,649.74	
12/20/07	04/09/12	3735	STARBUCKS CORP	77,060.26	214,919.07		137,858.81
12/20/07	04/11/12	8765	STARBUCKS CORP	180,838.87	517,511.08		336,672.21
05/12/11	04/09/12	8000	SUNCOR ENERGY INC	325,701.28	245,833.60	(79,867.68)	
03/21/05	04/09/12	5000	TEJON RANCH CO.	231,739.58	144,064.96		(87,674.62)
02/15/08	04/09/12	2600	TEJON RANCH CO	94,298.62	74,913.78		(19,384.84)
01/01/70	04/09/12	2430	VERIZON COMMUNICATIONS	24,349.54	91,269.09		66,919.55
01/01/70	04/11/12	5661	VERIZON COMMUNICATIONS	56,725.41	211,264.63		154,539.22
11/11/08	04/09/12	1485	WAL MART STORES INC CMN	82,008.42	89,365.65		7,357.23
11/11/08	04/11/12	3515	WAL MART STORES INC CMN	194,114.19	210,216.92		16,102.73
01/01/70	04/09/12	8748	XCEL ENERGY INC COM	26,927.00	230,039.95		203,112.95
						51,340.14	7,211,411.31
						(79,867.68)	(295,260.52)
OTAL GAINS				20,131,779.91	27,019,403.14	(28,527.54)	6,916,150.79
OTAL LOSSES							

TOTAL REALIZED GAIN 6,887,623.25

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
09/21/12	10/18/12	16	ABBOTT LABORATORIES	1,118.83	1,055.46	(63.37)	
09/20/12	10/18/12	2	ABBOTT LABORATORIES	139.44	131.93	(7.51)	
09/20/12	10/18/12	5	ABBOTT LABORATORIES	348.17	329.83	(18.34)	
09/19/12	10/18/12	3	ABBOTT LABORATORIES	208.19	197.90	(10.29)	
09/17/12	10/18/12	9	ABBOTT LABORATORIES	620.95	593.70	(27.25)	
09/18/12	10/18/12	3	ABBOTT LABORATORIES	206.96	197.90	(9.06)	
09/13/12	10/18/12	3	ABBOTT LABORATORIES	206.90	197.90	(9.00)	
09/13/12	10/18/12	3	ABBOTT LABORATORIES	206.90	196.31	(10.59)	
09/18/12	10/18/12	1	ABBOTT LABORATORIES	68.96	65.44	(3.52)	
09/13/12	10/18/12	2	ABBOTT LABORATORIES	137.89	130.87	(7.02)	
09/14/12	10/18/12	12	ABBOTT LABORATORIES	825.68	785.24	(40.44)	
09/18/12	10/18/12	2	ABBOTT LABORATORIES	137.61	130.87	(6.74)	
09/13/12	10/18/12	11	ABBOTT LABORATORIES	755.90	719.80	(36.10)	
09/14/12	10/18/12	5	ABBOTT LABORATORIES	343.36	327.18	(16.18)	
09/13/12	10/18/12	46	ABBOTT LABORATORIES	3,151.33	3,010.09	(141.24)	
09/14/12	10/18/12	5	ABBOTT LABORATORIES	341.23	327.18	(14.05)	
04/27/12	10/18/12	74	ABBOTT LABORATORIES	4,593.02	4,842.32	249.30	
04/26/12	10/18/12	6	ABBOTT LABORATORIES	372.15	392.64	20.49	
04/26/12	10/18/12	27	ABBOTT LABORATORIES	1,671.48	1,769.61	98.13	
04/26/12	10/18/12	24	ABBOTT LABORATORIES	1,485.76	1,575.94	90.18	
04/26/12	10/18/12	7	ABBOTT LABORATORIES	433.11	459.65	26.54	
04/26/12	10/18/12	17	ABBOTT LABORATORIES	1,048.20	1,116.29	68.09	
04/25/12	10/18/12	3	ABBOTT LABORATORIES	184.62	196.99	12.37	
04/26/12	10/18/12	1	ABBOTT LABORATORIES	61.54	65.66	4.12	
04/25/12	10/18/12	30	ABBOTT LABORATORIES	1,845.33	1,969.93	124.60	
04/25/12	10/18/12	9	ABBOTT LABORATORIES	551.77	590.98	39.21	
04/24/12	10/18/12	16	ABBOTT LABORATORIES	971.59	1,050.63	79.04	
04/24/12	10/18/12	1	ABBOTT LABORATORIES	60.68	65.66	4.98	
04/24/12	10/18/12	4	ABBOTT LABORATORIES	242.72	262.66	19.94	
04/24/12	10/18/12	8	ABBOTT LABORATORIES	485.12	525.31	40.19	
04/24/12	10/18/12	19	ABBOTT LABORATORIES	1,149.08	1,247.62	98.54	
04/24/12	10/18/12	2	ABBOTT LABORATORIES	120.15	131.33	11.18	
04/24/12	10/18/12	1	ABBOTT LABORATORIES	60.01	65.66	5.65	
04/23/12	10/18/12	19	ABBOTT LABORATORIES	1,136.37	1,247.62	111.25	
04/23/12	10/18/12	10	ABBOTT LABORATORIES	596.79	656.67	59.88	
04/17/12	12/14/12	42	ALTERA CORP	1,628.76	1,381.46	(247.30)	
04/18/12	12/14/12	367	ALTERA CORP	14,041.64	12,071.32	(1,970.32)	
04/13/12	12/14/12	24	ALTERA CORP	906.53	789.40	(117.13)	
04/13/12	12/14/12	182	ALTERA CORP	6,874.52	6,022.24	(852.28)	
04/16/12	12/14/12	111	ALTERA CORP	4,189.37	3,672.91	(516.46)	
02/10/09	04/10/12	106	AMAZON.COM INC	7,136.56	19,845.93		12,709.37
04/10/12	06/01/12	135	AMERIPRISE FINL INC	7,220.01	6,213.26	(1,006.75)	
04/10/12	06/04/12	58	AMERIPRISE FINL INC	3,101.93	2,643.62	(458.31)	
04/10/12	06/04/12	57	AMERIPRISE FINL INC	3,048.45	2,609.31	(439.14)	
07/20/12	09/19/12	7	APPLE, INC. CMN	4,239.90	4,909.09	669.19	
06/23/09	09/19/12	12	APPLE, INC. CMN	1,618.05	8,415.59		6,797.54
11/15/12	12/10/12	1	ASML HOLDINGS NV	65.14	57.59	(7.55)	
07/20/12	11/05/12	50	AT&T INC COM	1,762.99	1,728.70	(34.29)	
01/01/70	11/05/12	83	AT&T INC COM	545.17	2,869.65		2,324.48
01/01/70	12/14/12	74	AT&T INC COM	486.05	2,521.16		2,035.11
01/01/70	12/14/12	221	AT&T INC COM	1,451.59	7,536.06		6,084.47
01/01/70	12/20/12	257	AT&T INC COM	1,688.04	8,724.26		7,036.22
01/01/70	12/21/12	265	AT&T INC COM	1,740.59	8,953.70		7,213.11
04/10/12	08/03/12	14	AUTOZONE INC	5,281.08	5,145.20	(135.88)	
04/10/12	07/18/12	72	CAMERON INT'L CORP	3,601.84	3,242.17	(359.67)	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u> <u>Short Term</u> <u>Long Term</u>
04/10/12	07/18/12	164	CAMERON INT'L CORP	8,204.20	7,382.16	(822.04)
04/10/12	07/18/12	50	CAMERON INT'L CORP	2,501.28	2,235.26	(266.02)
04/10/12	04/12/12	412	CAMPBELL SOUP CO COM	13,596.00	13,642.58	46.58
04/10/12	04/13/12	100	CAMPBELL SOUP CO COM	3,300.00	3,307.54	7.54
04/10/12	10/22/12	1	CAPITAL ONE FINANCIAL CORP	52.72	60.10	7.38
04/10/12	10/22/12	30	CAPITAL ONE FINANCIAL CORP	1,581.67	1,799.64	217.97
04/10/12	10/22/12	89	CAPITAL ONE FINANCIAL CORP	4,692.29	5,334.72	642.43
04/10/12	10/23/12	12	CAPITAL ONE FINANCIAL CORP	632.67	715.44	82.77
04/10/12	10/23/12	24	CAPITAL ONE FINANCIAL CORP	1,265.34	1,429.49	164.15
04/10/12	10/23/12	26	CAPITAL ONE FINANCIAL CORP	1,370.78	1,536.57	165.79
04/10/12	10/23/12	19	CAPITAL ONE FINANCIAL CORP	1,001.72	1,131.13	129.41
04/10/12	10/23/12	3	CAPITAL ONE FINANCIAL CORP	158.17	178.31	20.14
04/10/12	10/23/12	122	CAPITAL ONE FINANCIAL CORP	6,432.12	7,254.47	822.35
04/10/12	10/23/12	21	CAPITAL ONE FINANCIAL CORP	1,107.17	1,260.04	152.87
04/10/12	10/23/12	4	CAPITAL ONE FINANCIAL CORP	210.89	240.47	29.58
04/10/12	10/23/12	8	CAPITAL ONE FINANCIAL CORP	421.78	480.29	58.51
04/10/12	10/23/12	2	CAPITAL ONE FINANCIAL CORP	105.44	120.04	14.60
04/10/12	10/24/12	37	CAPITAL ONE FINANCIAL CORP	1,950.73	2,226.24	275.51
09/07/12	12/12/12	1	CARNIVAL CORP	36.95	38.04	1.09
09/07/12	12/12/12	30	CARNIVAL CORP	1,108.40	1,139.00	30.60
09/07/12	12/12/12	2	CARNIVAL CORP	73.89	75.70	1.81
09/07/12	12/12/12	1	CARNIVAL CORP	36.95	38.03	1.08
09/07/12	12/13/12	26	CARNIVAL CORP	960.61	984.65	24.04
09/07/12	12/13/12	1	CARNIVAL CORP	36.95	37.98	1.03
09/07/12	12/14/12	15	CARNIVAL CORP	554.20	566.90	12.70
09/07/12	12/17/12	14	CARNIVAL CORP	517.25	532.35	15.10
09/07/12	12/17/12	21	CARNIVAL CORP	775.88	809.43	33.55
09/07/12	12/17/12	27	CARNIVAL CORP	997.56	1,028.27	30.71
09/26/12	12/17/12	19	CARNIVAL CORP	701.05	723.60	22.55
09/26/12	12/17/12	53	CARNIVAL CORP	1,955.56	2,039.39	83.83
09/26/12	12/18/12	67	CARNIVAL CORP	2,472.12	2,607.71	135.59
09/07/12	12/18/12	1	CARNIVAL CORP	36.85	38.92	2.07
09/26/12	12/18/12	20	CARNIVAL CORP	736.24	778.42	42.18
09/10/12	12/18/12	13	CARNIVAL CORP	478.53	505.98	27.45
09/10/12	12/18/12	27	CARNIVAL CORP	993.88	1,058.15	64.27
09/10/12	12/18/12	23	CARNIVAL CORP	846.64	898.98	52.34
09/10/12	12/18/12	120	CARNIVAL CORP	4,417.25	4,708.52	291.27
09/26/12	12/18/12	1	CARNIVAL CORP	36.80	39.24	2.44
04/10/12	09/26/12	975	CBS CORP CL B	30,678.86	34,754.36	4,075.50
04/10/12	10/19/12	31	CENTERPOINT ENERGY INC	590.81	663.42	72.61
04/10/12	10/19/12	135	CENTERPOINT ENERGY INC	2,572.90	2,887.25	314.35
04/10/12	10/22/12	3	CENTERPOINT ENERGY INC	57.18	63.79	6.61
04/10/12	10/22/12	112	CENTERPOINT ENERGY INC	2,134.55	2,377.71	243.16
04/10/12	10/22/12	362	CENTERPOINT ENERGY INC	6,899.18	7,679.44	780.26
04/10/12	10/22/12	6	CENTERPOINT ENERGY INC	114.35	127.45	13.10
04/10/12	10/22/12	7	CENTERPOINT ENERGY INC	133.41	148.43	15.02
04/10/12	11/07/12	49	CENTERPOINT ENERGY INC	933.87	1,018.01	84.14
04/10/12	11/07/12	100	CENTERPOINT ENERGY INC	1,905.85	2,089.09	183.24
04/10/12	11/08/12	1	CENTERPOINT ENERGY INC	19.06	20.67	1.61
04/10/12	11/08/12	404	CENTERPOINT ENERGY INC	7,699.63	8,368.83	669.20
04/10/12	11/08/12	66	CENTERPOINT ENERGY INC	1,257.86	1,350.99	93.13
04/10/12	11/08/12	121	CENTERPOINT ENERGY INC	2,306.08	2,513.11	207.03
04/10/12	07/11/12	271	CISCO SYSTEMS, INC. CMN	5,336.10	4,449.45	(886.65)
04/10/12	08/03/12	91	COGNIZANT TECH SOLUTIONS CL A	6,821.29	5,234.16	(1,587.13)
04/10/12	08/03/12	27	COGNIZANT TECH SOLUTIONS CL A	2,023.90	1,545.39	(478.51)

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
01/01/70	07/27/12	200	COLGATE PALMOLIVE CO COM	660.91	21,420.50		20,759.59
07/20/12	12/04/12	34	CSX CORPORATION	760.39	664.55	(95.84)	
07/20/12	12/04/12	153	CSX CORPORATION	3,421.75	3,003.34	(418.41)	
07/20/12	12/05/12	56	CSX CORPORATION	1,252.41	1,109.47	(142.94)	
04/10/12	06/22/12	171	CVS CAREMARK CORP(formerly CVS CORP)	7,452.11	7,814.59	362.48	
04/10/12	06/22/12	38	CVS CAREMARK CORP(formerly CVS CORP)	1,656.02	1,738.51	82.49	
04/10/12	06/22/12	77	CVS CAREMARK CORP(formerly CVS CORP)	3,355.63	3,521.13	165.50	
04/10/12	07/09/12	316	CVS CAREMARK CORP(formerly CVS CORP)	13,771.15	14,903.94	1,132.79	
11/16/03	04/10/12	10000	DEVON ENERGY CORP NEW COM	252,557.99	689,155.55		436,597.56
05/09/07	04/10/12	4869	DEVON ENERGY CORP NEW COM	362,863.35	335,549.84		(27,313.51)
05/09/07	04/24/12	131	DEVON ENERGY CORP NEW COM	9,762.81	8,750.53		(1,012.28)
12/04/35	07/18/12	281	DU PONT E I DE NEMOURS COM	11,324.30	13,659.27		2,334.97
12/04/35	10/17/12	86	DU PONT E I DE NEMOURS COM	3,465.80	4,278.89		813.09
12/04/35	10/18/12	65	DU PONT E I DE NEMOURS COM	2,619.50	3,263.87		644.37
12/04/35	10/18/12	63	DU PONT E I DE NEMOURS COM	2,538.90	3,141.17		602.27
12/04/35	12/03/12	124	DU PONT E I DE NEMOURS COM	4,997.20	5,310.81		313.61
12/04/35	12/03/12	105	DU PONT E I DE NEMOURS COM	4,231.50	4,484.79		253.29
12/04/35	12/03/12	170	DU PONT E I DE NEMOURS COM	6,851.00	7,233.83		382.83
12/04/35	12/04/12	10	DU PONT E I DE NEMOURS COM	403.00	423.09		20.09
12/04/35	12/04/12	96	DU PONT E I DE NEMOURS COM	3,868.80	4,082.64		213.84
04/10/12	07/06/12	746	EMC CORP MASS	21,190.58	17,674.80	(3,515.78)	
09/10/12	11/05/12	18	EOG RESOURCES INC CMN	2,049.27	2,092.45	43.18	
09/07/12	11/05/12	5	EOG RESOURCES INC CMN	563.09	581.23	18.14	
09/07/12	11/05/12	12	EOG RESOURCES INC CMN	1,351.42	1,394.05	42.63	
04/10/12	07/02/12	93	EQT CORP COM	4,400.75	4,990.65	589.90	
04/10/12	07/03/12	30	EQT CORP COM	1,419.60	1,635.26	215.66	
04/10/12	07/03/12	44	EQT CORP COM	2,082.08	2,399.79	317.71	
04/10/12	07/03/12	17	EQT CORP COM	804.44	931.19	126.75	
04/10/12	07/05/12	10	EQT CORP COM	473.20	547.71	74.51	
04/10/12	07/05/12	26	EQT CORP COM	1,230.32	1,428.44	198.12	
04/10/12	07/06/12	24	EQT CORP COM	1,135.68	1,295.60	159.92	
04/10/12	11/05/12	241	ESTEE LAUDER COS CL A	14,678.32	14,316.50	(361.82)	
01/01/70	04/10/12	13306	EXXON MOBIL CORPORATION CMN	50,414.66	1,092,901.08		1,042,486.42
01/01/70	06/21/12	89	EXXON MOBIL CORPORATION CMN	337.21	7,337.38		7,000.17
01/01/70	07/27/12	98	EXXON MOBIL CORPORATION CMN	371.31	8,449.09		8,077.78
01/01/70	09/07/12	101	EXXON MOBIL CORPORATION CMN	382.68	9,064.54		8,681.86
01/01/70	09/13/12	99	EXXON MOBIL CORPORATION CMN	375.10	9,036.78		8,661.68
04/10/12	12/05/12	426	FREEMPORT MCMORAN COPPER& GOLD INC	15,521.52	13,972.36	(1,549.16)	
04/10/12	12/05/12	35	FREEMPORT MCMORAN COPPER& GOLD INC.	1,275.24	1,151.68	(123.56)	
04/10/12	06/15/12	1148	GENERAL ELECTRIC CO COM	21,501.81	22,736.09	1,234.28	
04/10/12	11/08/12	8	GOLDMAN SACHS GROUP	925.25	929.11	3.86	
04/10/12	11/08/12	1	GOLDMAN SACHS GROUP	115.66	116.12	0.46	
04/10/12	11/08/12	7	GOLDMAN SACHS GROUP	809.60	812.53	2.93	
04/10/12	11/08/12	2	GOLDMAN SACHS GROUP	231.31	233.11	1.80	
04/10/12	11/08/12	14	GOLDMAN SACHS GROUP	1,619.20	1,625.57	6.37	
04/10/12	11/08/12	6	GOLDMAN SACHS GROUP	693.94	694.15	0.21	
04/10/12	11/09/12	12	GOLDMAN SACHS GROUP	1,387.88	1,381.36	(6.52)	
04/10/12	11/09/12	23	GOLDMAN SACHS GROUP	2,660.11	2,669.54	9.43	
04/10/12	07/11/12	12	HUMANA INC	1,058.11	894.67	(163.44)	
04/10/12	07/11/12	5	HUMANA INC	440.88	372.70	(68.18)	
04/10/12	07/12/12	21	HUMANA INC	1,851.69	1,547.33	(304.36)	
04/10/12	07/16/12	1	HUMANA INC	88.18	74.58	(13.60)	
04/10/12	07/16/12	5	HUMANA INC	440.88	373.07	(67.81)	
04/10/12	07/16/12	2	HUMANA INC	176.35	149.08	(27.27)	
04/10/12	07/17/12	3	HUMANA INC	264.53	220.87	(43.66)	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
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12/31/12

<u>Open</u>	<u>Close</u>			<u>Cost</u>		<u>Gain Or (Loss)</u>
<u>Date</u>	<u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Basis</u>	<u>Proceeds</u>	<u>Short Term</u> <u>Long Term</u>
04/10/12	07/17/12	1	HUMANA INC	88.18	73.48	(14.70)
04/10/12	07/18/12	9	HUMANA INC	793.58	659.45	(134.13)
04/10/12	07/18/12	2	HUMANA INC	176.35	146.54	(29.81)
04/10/12	07/18/12	4	HUMANA INC	352.70	292.84	(59.86)
04/10/12	07/18/12	1	HUMANA INC	88.18	73.47	(14.71)
04/10/12	07/19/12	2	HUMANA INC	176.35	147.83	(28.52)
04/10/12	07/19/12	2	HUMANA INC	176.35	147.73	(28.62)
04/10/12	07/19/12	1	HUMANA INC	88.18	75.02	(13.16)
04/10/12	07/20/12	3	HUMANA INC	264.53	221.95	(42.58)
04/10/12	07/20/12	3	HUMANA INC	264.53	221.61	(42.92)
04/10/12	12/14/12	1	INTERCONTINENTALEXCHANGE INC CMN	132.68	128.99	(3.69)
04/10/12	12/14/12	29	INTERCONTINENTALEXCHANGE INC CMN	3,847.71	3,714.59	(133.12)
04/10/12	12/17/12	27	INTERCONTINENTALEXCHANGE INC CMN	3,582.35	3,452.33	(130.02)
04/10/12	12/17/12	22	INTERCONTINENTALEXCHANGE INC CMN	2,918.95	2,808.90	(110.05)
04/10/12	12/18/12	26	INTERCONTINENTALEXCHANGE INC CMN	3,449.67	3,328.76	(120.91)
04/05/12	08/28/12	4687	ISHARES MSCI EAFE INDEX FUND	248,876.42	243,249.85	(5,626.57)
04/05/12	08/28/12	40712	ISHARES MSCI JPN IDX FD	401,013.20	372,913.53	(28,099.67)
04/11/12	05/24/12	5952	ISHARES RUSSELL 1000 VALUE INDEX FUND	403,688.45	388,700.92	(14,987.53)
06/03/11	11/29/12	6000	ISHARES RUSSELL 2000 INDEX FUND	491,656.77	489,996.42	(1,660.35)
02/13/08	11/29/12	6000	ISHARES TR RUSSELL 2000 GROWTH INDX FN	460,229.25	559,646.46	99,417.21
07/09/12	07/27/12	168	JOHNSON & JOHNSON	11,408.43	11,635.13	226.70
07/09/12	07/27/12	68	JOHNSON & JOHNSON	4,613.40	4,709.46	96.06
04/10/12	11/15/12	41	JOHNSON CONTROLS INC CMN	1,252.37	1,028.68	(223.69)
04/10/12	11/15/12	107	JOHNSON CONTROLS INC CMN	3,268.38	2,686.05	(582.33)
04/10/12	11/15/12	42	JOHNSON CONTROLS INC CMN	1,282.92	1,054.39	(228.53)
04/10/12	11/16/12	202	JOHNSON CONTROLS INC CMN	6,170.21	5,061.99	(1,108.22)
04/10/12	04/23/12	403	JUNIPER NETWORKS	8,482.02	8,158.23	(323.79)
04/10/12	10/15/12	80	KRAFT FOODS GROUP INC COM	3,129.95	3,773.44	643.49
04/10/12	10/15/12	23	KRAFT FOODS GROUP INC COM	899.86	1,084.21	184.35
04/10/12	10/15/12	8	KRAFT FOODS GROUP INC COM	313.00	376.36	63.36
04/10/12	10/15/12	54	KRAFT FOODS GROUP INC COM	2,112.72	2,557.53	444.81
04/10/12	10/16/12	144	KRAFT FOODS GROUP INC COM	5,633.92	6,746.45	1,112.53
04/10/12	10/22/12	0	KRAFT FOODS GROUP INC COM	13.03	15.75	2.72
04/10/12	11/15/12	34	LAM RESH CORP	1,411.67	1,180.82	(230.85)
04/10/12	11/16/12	69	LAM RESH CORP	2,864.85	2,377.52	(487.33)
04/10/12	11/16/12	84	LAM RESH CORP	3,487.65	2,878.56	(609.09)
04/10/12	11/19/12	60	LAM RESH CORP	2,491.18	2,082.52	(408.66)
07/06/12	11/19/12	30	LAM RESH CORP	1,059.44	1,041.26	(18.18)
07/06/12	11/19/12	81	LAM RESH CORP	2,860.48	2,804.97	(55.51)
07/06/12	11/20/12	43	LAM RESH CORP	1,518.53	1,471.47	(47.06)
04/10/12	10/24/12	259	LOWES COS INC	7,895.72	8,409.31	513.59
04/10/12	10/24/12	39	LOWES COS INC	1,188.93	1,258.08	69.15
04/10/12	10/25/12	23	LOWES COS INC	701.16	732.09	30.93
04/10/12	10/25/12	29	LOWES COS INC	884.08	938.07	53.99
07/31/12	10/16/12	11	MARATHON PETE CORP COM	526.02	607.06	81.04
07/31/12	10/16/12	11	MARATHON PETE CORP COM	525.80	607.06	81.26
07/31/12	10/16/12	7	MARATHON PETE CORP COM	334.60	385.45	50.85
07/31/12	10/16/12	10	MARATHON PETE CORP COM	477.87	550.64	72.77
07/31/12	10/16/12	9	MARATHON PETE CORP COM	427.65	495.57	67.92
07/31/12	10/16/12	1	MARATHON PETE CORP COM	47.52	55.11	7.59
07/31/12	10/16/12	4	MARATHON PETE CORP COM	190.07	221.07	31.00
07/30/12	10/16/12	15	MARATHON PETE CORP COM	710.48	829.00	118.52
07/30/12	10/16/12	7	MARATHON PETE CORP COM	331.35	386.86	55.51
07/30/12	10/16/12	10	MARATHON PETE CORP COM	473.36	547.57	74.21
07/30/12	10/16/12	1	MARATHON PETE CORP COM	47.12	54.76	7.64

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
07/30/12	10/16/12	56	MARATHON PETE CORP COM	2,637.59	3,066.38	428.79	
07/27/12	10/16/12	57	MARATHON PETE CORP COM	2,677.59	3,121.13	443.54	
07/27/12	10/17/12	30	MARATHON PETE CORP COM	1,409.26	1,669.05	259.79	
07/30/12	10/17/12	1	MARATHON PETE CORP COM	46.90	55.64	8.74	
07/18/12	10/17/12	41	MARATHON PETE CORP COM	1,920.00	2,281.03	361.03	
07/18/12	10/17/12	92	MARATHON PETE CORP COM	4,308.30	5,120.47	812.17	
07/18/12	10/17/12	2	MARATHON PETE CORP COM	93.66	110.32	16.66	
07/18/12	10/17/12	12	MARATHON PETE CORP COM	561.95	670.90	108.95	
07/18/12	10/17/12	30	MARATHON PETE CORP COM	1,404.88	1,654.16	249.28	
04/10/12	07/11/12	164	MARRIOTT INTERNATIONAL INC	6,015.37	6,221.63	206.26	
04/10/12	08/13/12	95	MARRIOTT INTERNATIONAL INC	3,484.51	3,502.57	18.06	
04/10/12	08/14/12	270	MARRIOTT INTERNATIONAL INC	9,903.36	9,972.96	69.60	
04/10/12	04/13/12	11	MERCK & CO INC COM	424.76	417.18	(7.58)	
04/10/12	04/13/12	16	MERCK & CO INC COM	617.83	605.63	(12.20)	
04/10/12	04/13/12	13	MERCK & CO INC COM	501.98	493.41	(8.57)	
04/10/12	04/13/12	7	MERCK & CO INC COM	270.30	265.68	(4.62)	
04/10/12	04/13/12	23	MERCK & CO INC COM	888.13	872.83	(15.30)	
04/10/12	04/16/12	8	MERCK & CO INC COM	308.91	303.69	(5.22)	
04/10/12	04/16/12	1	MERCK & CO INC COM	38.61	37.94	(0.67)	
04/10/12	04/16/12	10	MERCK & CO INC COM	386.14	379.81	(6.33)	
04/10/12	04/16/12	18	MERCK & CO INC COM	695.06	683.44	(11.62)	
04/10/12	04/16/12	2	MERCK & CO INC COM	77.23	75.93	(1.30)	
04/10/12	04/16/12	40	MERCK & CO INC COM	1,544.57	1,518.77	(25.80)	
04/10/12	04/16/12	13	MERCK & CO INC COM	501.98	493.85	(8.13)	
04/10/12	04/16/12	33	MERCK & CO INC COM	1,274.27	1,252.98	(21.29)	
04/10/12	04/16/12	4	MERCK & CO INC COM	154.46	151.59	(2.87)	
04/10/12	04/16/12	13	MERCK & CO INC COM	501.98	493.60	(8.38)	
04/10/12	04/16/12	26	MERCK & CO INC COM	1,003.97	987.20	(16.77)	
04/10/12	04/17/12	31	MERCK & CO INC COM	1,197.04	1,179.65	(17.39)	
04/10/12	04/17/12	8	MERCK & CO INC COM	308.91	304.66	(4.25)	
04/10/12	04/17/12	78	MERCK & CO INC COM	3,011.91	2,982.24	(29.67)	
04/10/12	04/17/12	13	MERCK & CO INC COM	501.98	496.59	(5.39)	
04/10/12	04/23/12	24	MERCK & CO INC COM	926.74	917.81	(8.93)	
04/10/12	04/23/12	5	MERCK & CO INC COM	193.07	191.08	(1.99)	
04/10/12	04/24/12	24	MERCK & CO INC COM	926.74	917.99	(8.75)	
04/10/12	04/24/12	2	MERCK & CO INC COM	77.23	76.57	(0.66)	
04/10/12	04/25/12	47	MERCK & CO INC COM	1,814.87	1,798.01	(16.86)	
04/10/12	04/26/12	2	MERCK & CO INC COM	77.23	76.83	(0.40)	
04/10/12	04/26/12	9	MERCK & CO INC COM	347.53	344.77	(2.76)	
04/10/12	04/26/12	39	MERCK & CO INC COM	1,505.95	1,497.41	(8.54)	
04/10/12	04/27/12	33	MERCK & CO INC COM	1,274.27	1,275.90	1.63	
04/10/12	04/27/12	46	MERCK & CO INC COM	1,776.25	1,768.53	(7.72)	
07/16/10	05/03/12	2500	MIDCAP SPDR TRUST UNIT SER 1	339,509.01	447,809.21		108,300.21
07/16/10	05/24/12	2500	MIDCAP SPDR TRUST UNIT SER 1	339,509.01	422,935.02		83,426.02
04/10/12	06/15/12	1	NIKE INC CL B	106.33	100.77	(5.56)	
04/10/12	06/15/12	18	NIKE INC CL B	1,913.90	1,815.80	(98.10)	
04/10/12	06/15/12	47	NIKE INC CL B	4,997.40	4,761.52	(235.88)	
04/10/12	06/15/12	28	NIKE INC CL B	2,977.17	2,807.01	(170.16)	
04/10/12	06/18/12	9	NIKE INC CL B	956.95	907.14	(49.81)	
04/10/12	09/18/12	3	NIKE INC CL B	318.98	292.84	(26.14)	
04/10/12	09/18/12	3	NIKE INC CL B	318.98	291.55	(27.43)	
04/10/12	09/18/12	55	NIKE INC CL B	5,848.02	5,375.38	(472.64)	
04/10/12	09/19/12	27	NIKE INC CL B	2,870.85	2,696.83	(174.02)	
04/10/12	09/19/12	21	NIKE INC CL B	2,232.88	2,080.00	(152.88)	
04/10/12	09/20/12	28	NIKE INC CL B	2,977.17	2,720.88	(256.29)	

STATEMENT C (2)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A64881005
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
04/10/12	09/20/12	6	NIKE INC CL B	637.97	587.78	(50.19)	
04/10/12	09/21/12	2	NIKE INC CL B	212.66	193.18	(19.48)	
04/10/12	09/25/12	10	NIKE INC CL B	1,063.28	960.39	(102.89)	
04/10/12	09/26/12	3	NIKE INC CL B	318.98	287.55	(31.43)	
04/10/12	09/27/12	3	NIKE INC CL B	318.98	288.27	(30.71)	
01/01/70	07/20/12	500	NORFOLK SOUTHERN CORP	15,897.26	36,433.18		20,535.92
04/10/12	10/11/12	0	PENTAIR INC	1.22	1.95	0.73	
04/19/12	11/05/12	39	PIONEER NATURAL RESOURCES INC	4,128.74	4,156.88	28.14	
01/01/70	06/21/12	177	PROCTER & GAMBLE COMPANY (THE) CMN	233.29	10,601.71		10,368.42
04/11/12	05/24/12	7575	S&P DEP RECEIPTS	1,038,555.22	996,620.42	(41,934.80)	
04/11/12	06/25/12	2212	S&P DEP RECEIPTS	303,271.84	290,716.86	(12,554.98)	
03/01/03	06/25/12	1508	S&P DEP RECEIPTS	129,066.70	198,192.15		69,125.45
03/01/03	08/08/12	3000	S&P DEP RECEIPTS	256,763.99	420,897.47		164,133.48
03/01/03	08/28/12	492	S&P DEP RECEIPTS	42,109.30	69,447.69		27,338.39
02/23/09	08/28/12	778	S&P DEP RECEIPTS	61,034.07	109,817.68		48,783.61
09/21/11	09/13/12	3475	S&P DEP RECEIPTS	418,112.00	501,570.26	83,458.26	
09/21/11	09/25/12	25	S&P DEP RECEIPTS	3,008.00	3,634.71		626.71
09/28/11	09/25/12	2595	S&P DEP RECEIPTS	305,924.55	377,283.01	71,358.46	
04/10/12	05/07/12	661	TEXAS INSTRS INC COM	21,120.93	20,316.50	(804.43)	
06/15/12	10/04/12	38	THE ADT CORPORATION COM	1,322.56	1,463.15	140.59	
06/15/12	10/05/12	7	THE ADT CORPORATION COM	243.63	269.91	26.28	
06/15/12	10/05/12	8	THE ADT CORPORATION COM	278.43	301.93	23.50	
04/10/12	10/05/12	82	THE ADT CORPORATION COM	2,809.18	3,094.73	285.55	
04/10/12	10/10/12	95	THE ADT CORPORATION COM	3,254.53	3,560.70	306.17	
04/10/12	10/15/12	36	THE ADT CORPORATION COM	1,233.30	1,313.46	80.16	
04/10/12	10/16/12	55	THE ADT CORPORATION COM	1,884.20	2,052.80	168.60	
04/10/12	06/05/12	233	US BANCORP DEL COMNEW	7,110.02	6,785.18	(324.84)	
04/10/12	06/05/12	78	US BANCORP DEL COMNEW	2,380.18	2,278.72	(101.46)	
04/10/12	06/05/12	227	US BANCORP DEL COMNEW	6,926.93	6,622.53	(304.40)	
04/10/12	06/05/12	134	US BANCORP DEL COMNEW	4,089.02	3,914.08	(174.94)	
04/10/12	06/05/12	29	US BANCORP DEL COMNEW	884.94	847.39	(37.55)	
04/10/12	06/05/12	30	US BANCORP DEL COMNEW	915.45	875.39	(40.06)	
06/22/12	09/07/12	42	WALGREEN CO. CMN	1,262.63	1,464.61	201.98	
06/22/12	09/07/12	1	WALGREEN CO. CMN	29.95	34.87	4.92	
06/22/12	09/07/12	186	WALGREEN CO. CMN	5,567.11	6,486.12	919.01	
06/22/12	09/07/12	28	WALGREEN CO. CMN	835.70	976.41	140.71	
06/22/12	09/07/12	55	WALGREEN CO. CMN	1,638.99	1,917.94	278.95	
06/22/12	09/07/12	148	WALGREEN CO. CMN	4,389.46	5,160.99	771.53	
04/10/12	06/22/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	28.10	(2.56)	
04/10/12	06/22/12	2	WILLIAMS COMPANIES INC. (THE) CMN	61.31	56.15	(5.16)	
04/10/12	06/22/12	7	WILLIAMS COMPANIES INC. (THE) CMN	214.60	197.18	(17.42)	
04/10/12	06/22/12	11	WILLIAMS COMPANIES INC. (THE) CMN	337.23	310.19	(27.04)	
04/10/12	06/22/12	11	WILLIAMS COMPANIES INC. (THE) CMN	337.23	311.30	(25.93)	
04/10/12	06/22/12	9	WILLIAMS COMPANIES INC. (THE) CMN	275.91	255.26	(20.65)	
04/10/12	06/22/12	2	WILLIAMS COMPANIES INC. (THE) CMN	61.31	56.65	(4.66)	
04/10/12	06/22/12	41	WILLIAMS COMPANIES INC. (THE) CMN	1,256.93	1,163.46	(93.47)	
04/10/12	06/25/12	2	WILLIAMS COMPANIES INC. (THE) CMN	61.31	55.69	(5.62)	
04/10/12	06/25/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.49	(3.17)	
04/10/12	06/25/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.41	(3.25)	
04/10/12	06/25/12	2	WILLIAMS COMPANIES INC. (THE) CMN	61.31	54.58	(6.73)	
04/10/12	06/25/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.32	(3.34)	
04/10/12	06/25/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.33	(3.33)	
04/10/12	06/25/12	2	WILLIAMS COMPANIES INC. (THE) CMN	61.31	55.55	(5.76)	
04/10/12	06/25/12	4	WILLIAMS COMPANIES INC. (THE) CMN	122.63	109.91	(12.72)	
04/10/12	06/25/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.56	(3.10)	

STATEMENT C (2)
 REALIZED GAINS AND LOSSES
 LUCIUS N. LITTAUER FOUNDATION, INC.
 TAX ID #13-1688027
 JP Morgan Chase Private Bank
 Account # A64881005
 12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
04/10/12	06/25/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	83.00	(8.97)	
04/10/12	06/25/12	2	WILLIAMS COMPANIES INC. (THE) CMN	61.31	54.73	(6.58)	
04/10/12	06/25/12	21	WILLIAMS COMPANIES INC. (THE) CMN	643.79	573.78	(70.01)	
04/10/12	06/25/12	12	WILLIAMS COMPANIES INC. (THE) CMN	367.88	328.46	(39.42)	
04/10/12	06/25/12	8	WILLIAMS COMPANIES INC. (THE) CMN	245.26	218.63	(26.63)	
04/10/12	06/26/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.62	(3.04)	
04/10/12	06/26/12	6	WILLIAMS COMPANIES INC. (THE) CMN	183.94	165.52	(18.42)	
04/10/12	06/26/12	8	WILLIAMS COMPANIES INC. (THE) CMN	245.26	220.55	(24.71)	
04/10/12	06/26/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.62	(3.04)	
04/10/12	06/26/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	83.16	(8.81)	
04/10/12	06/26/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.77	(2.89)	
04/10/12	06/26/12	28	WILLIAMS COMPANIES INC. (THE) CMN	858.39	774.26	(84.13)	
04/10/12	06/26/12	43	WILLIAMS COMPANIES INC. (THE) CMN	1,318.25	1,194.57	(123.68)	
04/10/12	06/27/12	6	WILLIAMS COMPANIES INC. (THE) CMN	183.94	167.52	(16.42)	
04/10/12	06/27/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.87	(2.79)	
04/10/12	06/27/12	17	WILLIAMS COMPANIES INC. (THE) CMN	521.17	479.87	(41.30)	
04/10/12	06/27/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.96	(2.70)	
04/10/12	06/27/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	84.32	(7.65)	
04/10/12	06/27/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	83.78	(8.19)	
04/10/12	06/27/12	34	WILLIAMS COMPANIES INC. (THE) CMN	1,042.33	956.57	(85.76)	
04/10/12	06/27/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	27.96	(2.70)	
04/10/12	06/27/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	84.50	(7.47)	
04/10/12	06/27/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	84.50	(7.47)	
04/10/12	06/27/12	6	WILLIAMS COMPANIES INC. (THE) CMN	183.94	168.11	(15.83)	
04/10/12	06/27/12	15	WILLIAMS COMPANIES INC. (THE) CMN	459.85	419.47	(40.38)	
04/10/12	06/27/12	10	WILLIAMS COMPANIES INC. (THE) CMN	306.57	281.78	(24.79)	
04/10/12	06/27/12	5	WILLIAMS COMPANIES INC. (THE) CMN	153.28	140.74	(12.54)	
04/10/12	06/27/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	84.20	(7.77)	
04/10/12	06/28/12	6	WILLIAMS COMPANIES INC. (THE) CMN	183.94	168.71	(15.23)	
04/10/12	06/28/12	18	WILLIAMS COMPANIES INC. (THE) CMN	551.82	504.57	(47.25)	
04/10/12	06/28/12	12	WILLIAMS COMPANIES INC. (THE) CMN	367.88	334.47	(33.41)	
04/10/12	06/28/12	19	WILLIAMS COMPANIES INC. (THE) CMN	582.48	532.69	(49.79)	
04/10/12	06/28/12	22	WILLIAMS COMPANIES INC. (THE) CMN	674.45	618.24	(56.21)	
04/10/12	06/28/12	6	WILLIAMS COMPANIES INC. (THE) CMN	183.94	168.71	(15.23)	
04/10/12	06/29/12	3	WILLIAMS COMPANIES INC. (THE) CMN	91.97	85.75	(6.22)	
04/10/12	06/29/12	8	WILLIAMS COMPANIES INC. (THE) CMN	245.26	228.23	(17.03)	
04/10/12	06/29/12	1	WILLIAMS COMPANIES INC. (THE) CMN	30.66	28.65	(2.01)	
04/10/12	06/29/12	12	WILLIAMS COMPANIES INC. (THE) CMN	367.88	342.02	(25.86)	
04/10/12	06/29/12	7	WILLIAMS COMPANIES INC. (THE) CMN	214.60	200.40	(14.20)	
04/10/12	06/29/12	18	WILLIAMS COMPANIES INC. (THE) CMN	551.82	515.01	(36.81)	
04/10/12	06/29/12	6	WILLIAMS COMPANIES INC. (THE) CMN	183.94	171.41	(12.53)	
04/10/12	04/13/12	209	XILINX INC	7,290.48	7,399.22	108.74	
04/10/12	04/16/12	112	XILINX INC	3,906.86	3,950.17	43.31	
04/10/12	04/17/12	45	XILINX INC	1,569.72	1,605.30	35.58	
04/10/12	04/18/12	369	XILINX INC	12,871.71	13,018.36	146.65	
04/10/12	07/11/12	39	YUM BRANDS INC	2,673.87	2,420.49	(253.38)	
04/10/12	07/12/12	85	YUM BRANDS INC	5,827.66	5,311.65	(516.01)	
						182,696.54	2,214,099.14
						(131,952.49)	(29,986.14)
TOTAL GAINS				6,647,369.90	8,882,226.93	50,744.05	2,184,113.00
TOTAL LOSSES							
TOTAL REALIZED GAIN				<u>2,234,857.05</u>			

STATEMENT C (3)
REALIZED GAINS AND LOSSES
LUCIUS N. LITTAUER FOUNDATION, INC.
TAX ID #13-1688027
JP Morgan Chase Private Bank
Account # A65243007
12/31/12

<u>Open</u> <u>Date</u>	<u>Close</u> <u>Date</u>	<u>Quantity</u>	<u>Security</u>	<u>Cost</u> <u>Basis</u>	<u>Proceeds</u>	<u>Gain Or (Loss)</u>	
						<u>Short Term</u>	<u>Long Term</u>
01/01/70	04/10/12	9275	AT&T INC COM	45,310.48	278,061.97		232,751.49
01/01/70	04/10/12	10149	AT&T INC COM	70,251.68	304,264.25		234,012.57
05/03/11	04/10/12	8340	BRISTOL MYERS SQUIBB COM	242,686.31	270,734.51	28,048.20	
05/03/11	09/14/12	830	BRISTOL MYERS SQUIBB COM	24,152.23	27,546.83		3,394.60
05/03/11	09/14/12	830	BRISTOL MYERS SQUIBB COM	24,152.23	27,392.62		3,240.39
01/01/70	04/10/12	1175	CHEVRON CORP NEW	7,769.99	119,225.04		111,455.05
01/01/70	04/10/12	14090	JPMORGAN CHASE & CO CMN	174,707.56	605,315.36		430,607.80
04/10/12	10/22/12	0	KRAFT FOODS GROUP INC COM	13.01	15.75	2.74	
04/10/12	06/13/12	430	PHILLIPS 66 COM	15,189.51	14,140.32	(1,049.19)	
		44689					
OTAL GAINS						28,050.94	1,015,461.90
OTAL LOSSES						(1,049.19)	-
				604,233.00	1,646,696.65	27,001.75	1,015,461.90
TOTAL REALIZED GAIN		<u>1,042,463.65</u>					

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
JP MORGAN CHASE	526.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	526.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN CHASE	965,333.	0.	965,333.
US TREASURY BILLS	29,206.	0.	29,206.
TOTAL TO FM 990-PF, PART I, LN 4	994,539.	0.	994,539.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEE	9,806.	2,452.		7,354.
TO FM 990-PF, PG 1, LN 16A	9,806.	2,452.		7,354.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	53,556.	13,389.		40,167.
TO FORM 990-PF, PG 1, LN 16B	53,556.	13,389.		40,167.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAN FEES	68,379.	68,379.		0.
TO FORM 990-PF, PG 1, LN 16C	68,379.	68,379.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	121,409.	0.		0.
FOREIGN TAXES WITHHELD	3,921.	3,921.		0.
NYS ANNUAL FILING FEE	1,500.	0.		1,500.
TO FORM 990-PF, PG 1, LN 18	126,830.	3,921.		1,500.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKS & PERIODICALS	130.	0.		130.
DUES & MEMBERSHIPS	500.	0.		500.
INSURANCE	8,183.	0.		8,183.
OFFICE SUPPLIES & EXPENSE	4,312.	0.		4,312.
OFFICE MAINTENANCE	34,450.	0.		34,450.
POSTAGE & DELIVERY	595.	0.		595.
TELEPHONE & FACSIMILE	1,615.	0.		1,615.
HONORARIUM PAYMENTS	4,500.	0.		4,500.
TO FORM 990-PF, PG 1, LN 23	54,285.	0.		54,285.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	X		0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	6,611,739.	8,707,781.
SEE STATEMENT A ATTACHED	24,029,595.	24,738,734.
TOTAL TO FORM 990-PF, PART II, LINE 10B	30,641,334.	33,446,515.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT A ATTACHED	COST	58,777.	68,645.
SEE STATEMENT A ATTACHED	COST	3,000,000.	3,079,663.
SEE STATEMENT A ATTACHED	COST	802,332.	815,773.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,861,109.	3,964,081.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 11

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,871.	2,871.	0.
OFFICE FURNITURE	2,100.	2,100.	0.
TOTAL TO FM 990-PF, PART II, LN 14	4,971.	4,971.	0.

FORM 990-PF OTHER ASSETS STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSIT	1,600.	1,600.	1,600.
PAYROLL TAXES	243.	243.	243.
TO FORM 990-PF, PART II, LINE 15	1,843.	1,843.	1,843.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 13
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HENRY A. LOWET 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 0.50	0.	0.	0.
CHARLES BERLIN 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
BERTHOLD BILSKI 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.00	0.	0.	0.
GEORGE HARRIS 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 0.82	0.	0.	0.
PETER J. SOLOMON 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 0.50	0.	0.	0.
MARK A. BILSKI 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 1.50	0.	0.	0.
ROBERT D. FROST 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	PRESIDENT 17.50	0.	0.	0.
NOAH B. PERLMAN 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	SECRETARY 1.00	0.	0.	0.
GEULA R. SOLOMON 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	TREASURER, CHAIR OF AUDIT 1.00	0.	0.	0.
SARAH K. LEVY 60 EAST 42ND STREET, SUITE #4600 NEW YORK, NY 10165	DIRECTOR 0.33	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

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FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
7	COMPUTER	083004SL		5.00	16	2,871.			2,871.	2,871.		0.
8	OFFICE FURNITURE	080204SL		7.00	16	2,100.			2,100.	2,100.		0.
	* TOTAL 990-PF PG 1					4,971.		0.	4,971.	4,971.	0.	0.
	DEPR											