



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Open to Public
Inspection

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation CHARLOTTE & HARRY KATZ FOUNDATION INC		A Employer identification number 11-3295712	
% CHARLOTTE KATZ Number and street (or P O box number if mail is not delivered to street address) 76-19 171ST STREET Suite		B Telephone number (see instructions)	
City or town, state, and ZIP code FLUSHING, NY 11366		C If exemption application is pending, check here <input checked="" type="checkbox"/> <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input checked="" type="checkbox"/> <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input checked="" type="checkbox"/> <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input checked="" type="checkbox"/> <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/> <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 514,515	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	(a) Revenue and expenses per books	(b) Net investment income
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue			
1 Contributions, gifts, grants, etc , received (attach schedule)		4,396	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B			
3 Interest on savings and temporary cash investments		232	232
4 Dividends and interest from securities.		1,315	1,315
5a Gross rents			
b Net rental income or (loss) _____			
6a Net gain or (loss) from sale of assets not on line 10		82	
b Gross sales price for all assets on line 6a 329,085			
7 Capital gain net income (from Part IV, line 2) . . .			82
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss) (attach schedule)			
11 Other income (attach schedule)			
12 Total. Add lines 1 through 11		6,025	1,629
Operating and Administrative Expenses			
13 Compensation of officers, directors, trustees, etc		0	
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees (attach schedule)			
b Accounting fees (attach schedule)		3,000	1,500
c Other professional fees (attach schedule)			0
17 Interest		61	1,500
18 Taxes (attach schedule) (see instructions)		1,879	
19 Depreciation (attach schedule) and depletion . . .			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses (attach schedule)		241	141
24 Total operating and administrative expenses.			100
Add lines 13 through 23		5,181	1,641
25 Contributions, gifts, grants paid.		93,999	
26 Total expenses and disbursements. Add lines 24 and 25		99,180	1,641
27 Subtract line 26 from line 12			
a Excess of revenue over expenses and disbursements		-93,155	
b Net investment income (if negative, enter -0-)		0	
c Adjusted net income (if negative, enter -0-)			

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing				
	2 Savings and temporary cash investments		291,817	194,474	
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U S and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	42,251	339,543	320,041	
	c Investments—corporate bonds (attach schedule)				
	11 Investments—land, buildings, and equipment basis ►				
Less accumulated depreciation (attach schedule) ►					
12 Investments—mortgage loans					
13 Investments—other (attach schedule)					
14 Land, buildings, and equipment basis ►					
Less accumulated depreciation (attach schedule) ►					
15 Other assets (describe ►)	293,104				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	627,172	534,017	514,515		
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)	0			
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	572,858	627,172		
	28 Paid-in or capital surplus, or land, bldg , and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds	54,314	-93,155		
	30 Total net assets or fund balances (see page 17 of the instructions)	627,172	534,017		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	627,172	534,017			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	627,172
2	Enter amount from Part I, line 27a	2	-93,155
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	534,017
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	534,017

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a	BLACKSTONE GSO STRATEGIC	P	2012-09-26	2012-10-05
b	BLACKSTONE GSO STRATEGIC	P	2012-09-26	2012-10-05
c	STONE HARBOR EMERGING MAR	P	2012-10-25	2012-11-06
d	STONE HARBOR EMERGING	P	2012-10-25	2012-11-07
e	UTS CIS ACQUISITION	P	2012-12-18	2012-12-19
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 18,088		18,000		88
b 259,999		260,000		-1
c 46,997		47,000		-3
d 2,998		3,000		-2
e 1,003		1,003		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				88
b				-1
c				-3
d				-2
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	82
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	63,628	610,599	0 104206
2010	95,386	449,557	0 212178
2009	87,264	505,099	0 172766
2008	109,370	475,712	0 229908
2007	246,282	324,629	0 758657
2 Total of line 1, column (d).			2 1 477715
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 295543
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.			4 555,281
5 Multiply line 4 by line 3.			5 164,109
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 0
7 Add lines 5 and 6.			7 164,109
8 Enter qualifying distributions from Part XII, line 4.			8 95,599

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	(attach copy of letter if necessary—see instructions)	1	0
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		3	0
3	Add lines 1 and 2.	4		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	5	0	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-			
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d.	7	0	
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

		Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c	Did the foundation file Form 1120-POL for this year?	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	No
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11		No
12		No
13	Yes	

Website address ►

14 The books are in care of ►CHARLOTTE KATZ Telephone no ►(718) 463-3700
 Located at ►76-19 171ST STREET FLUSHING NY ZIP +4 ►11366

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ► and enter the amount of tax-exempt interest received or accrued during the year. ► 15

16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? ► 16

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ► Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ► Yes <input checked="" type="checkbox"/> No		
1b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ► Organizations relying on a current notice regarding disaster assistance check here. ►	1b	
1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? ►	1c	No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ► Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____	2a	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) ►	2b	No
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ► Yes <input checked="" type="checkbox"/> No	3a	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) ►	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ►	4a	No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? ►	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>5b</p>
<p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p>	
<p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i></p>	
<p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>6b</p>
<p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p>	<p>No</p>
<p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>	
<p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?</p>	<p>7b</p>

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees and Contractors**

1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
HARRY KATZ 76-19 171ST STREET FLUSHING, NY 11366	PRES 0	0	0	
CHARLOTTE KATZ 76-19 171ST STREET FLUSHING, NY 11366	V P 0	0	0	

2. Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE"

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	
2	
All other program-related investments See page 24 of the instructions	
3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes	
a	Average monthly fair market value of securities.	1a 95,140
b	Average of monthly cash balances.	1b 309,833
c	Fair market value of all other assets (see instructions).	1c 158,764
d	Total (add lines 1a, b, and c).	1d 563,737
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e
2	Acquisition indebtedness applicable to line 1 assets.	2 0
3	Subtract line 2 from line 1d.	3 563,737
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4 8,456
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5 555,281
6	Minimum investment return. Enter 5% of line 5.	6 27,764

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1 27,764
2a	Tax on investment income for 2012 from Part VI, line 5.	2a 0
b	Income tax for 2012 (This does not include the tax from Part VI).	2b
c	Add lines 2a and 2b.	2c 0
3	Distributable amount before adjustments Subtract line 2c from line 1.	3 27,764
4	Recoveries of amounts treated as qualifying distributions.	4
5	Add lines 3 and 4.	5 27,764
6	Deduction from distributable amount (see instructions).	6
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7 27,764

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a 95,599
b	Program-related investments—total from Part IX-B.	1b 0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2 0
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required).	3a 0
b	Cash distribution test (attach the required schedule).	3b 0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 95,599
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6 95,599

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				27,764
2 Undistributed income, if any, as of the end of 2012			0	
a Enter amount for 2011 only.				
b Total for prior years 2010, 2009, 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.	230,363			
b From 2008.	85,865			
c From 2009.	62,071			
d From 2010.	73,307			
e From 2011.	34,967			
f Total of lines 3a through e.	486,573			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$ 95,599			0	
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				27,764
e Remaining amount distributed out of corpus	67,835			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	554,408			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions.			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).	230,363			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	324,045			
10 Analysis of line 9				
a Excess from 2008.	85,865			
b Excess from 2009.	62,071			
c Excess from 2010.	73,307			
d Excess from 2011.	34,967			
e Excess from 2012.	67,835			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. <input type="text"/>			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CHARLOTTE HARRY KATZ

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			► 3a	93,999
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Alyn Hospital 51 E 42nd St 308 New York, NY 10017	None	Exempt	Charitable	100
American Association for Bikur Cholim Hos 156 Fifth Avenue Suite 811 New York, NY 101600360	None	Exempt	Charitable	36
American Cancer Society Eastern Division PO Box 910 New York, NY 101010910	None	Exempt	Charitable	36
American Friends of Beit Issie Shapiro 404 Park Avenue South 7th Floor New York, NY 10016	None	Exempt	Charitable	36
American Friends of Kupat Ha'ir 1569 47th Street Brooklyn, NY 112199833	None	Exempt	Charitable	36
Beth Oloth 704 East Third Street Brooklyn, NY 11218	None	Exempt	Charitable	18
Boys Town Jerusalem Foundation 1 Penn Plaza Suite 6250 New York, NY 101173490	None	Exempt	Charitable	36
Catskill Regional Medical Center Foundati PO Box 280 Harris, NY 127420280	None	Exempt	Charitable	100
Chai Lifeline 151 West 30th Street New York, NY 100014007	None	Exempt	Charitable	486
Chesed L'Abraham Inc 47 18 18th Ave STE 206 Brooklyn, NY 112041260	None	Exempt	Charitable	15,000
Chevra Oneg Shabbos 119 West 72nd Street Suite 155 New York, NY 10023	None	Exempt	Charitable	36
Chevra Tsedoko Vochesed 244 Madison Avenue Suite 352 New York, NY 10016	None	Exempt	Charitable	18
Child Life Society 1347 43rd Street Brooklyn, NY 11219	None	Exempt	Charitable	36
Chmol PO Box 191214 Brooklyn, NY 11219	None	Exempt	Charitable	18
Congregation Netzach Israel 2322 E29th ST Brooklyn, NY 112295028	None	Exempt	Charitable	19,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Congregation Benei Yaakov 1768 54th Street Brooklyn, NY 11204	None	Exempt	Charitable	180
Congregation K'hal Adas Yereim of Hillcrest 173-20 73rd Avenue Hillcrest, NY 11366	None	Exempt	Charitable	2,672
Congregation Ohr Levanah Moonlight Cottages Rubin Road Monticello, NY 12701	None	Exempt	Charitable	4,650
Congregation Yeshivas Israel 1315 54th Street Brooklyn, NY 11219	None	Exempt	Charitable	20,000
Count Me In-Fdtn for Disabled Children 788 East 4th Street Brooklyn, NY 112189823	None	Exempt	Charitable	36
Deena Motechin Jacobs Gemilas Chesed Fund 763 Woolley Avenue Staten Island, NY 10314	None	Exempt	Charitable	18
Diskin Orphan Home of Israel PO Box 191041 Brooklyn, NY 112197041	None	Exempt	Charitable	18
Emunah 3054 Bedford Ave Brooklyn, NY 11210	None	Exempt	Charitable	575
Erna Lindenfeld Hachnossas Kallah Mrs Chai Sorah Gold 97-50 Queens B Rego Park, NY 11374	None	Exempt	Charitable	54
Ezer Halbosho - Clothing Fund for Children 1573 58th Street Brooklyn, NY 11219	None	Exempt	Charitable	18
Ezer Mizion 1281 49th Street Brooklyn, NY 112192099	None	Exempt	Charitable	54
Friends of the Israel Defense Forces 350 Fifth Avenue Suite 2011 New York, NY 101170425	None	Exempt	Charitable	18
Friends of Yad Sarah 450 Park Avenue Suite 3201 New York, NY 100222633	None	Exempt	Charitable	18
General Israel Orphans Home for Girls PO Box 3147 New York, NY 102770074	None	Exempt	Charitable	18
Girls' Town 706 eastern parkway Brooklyn, NY 11219	None	Exempt	Charitable	18

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Great Charity of Jerusalem PO Box 786 New York, NY 10038	None	Exempt	Charitable	18
Hatzolah 5215 16th Ave Brooklyn, NY 11204	None	Exempt	Charitable	100
Hebrew Free Burial Association 224 West 35th Street Room 300 New York, NY 101171340	None	Exempt	Charitable	18
Home of the Infant Orphan-Bayit Layedel PO Box 417 Brooklyn, NY 11219	None	Exempt	Charitable	18
Israel Children's Cancer Foundation 141 Washington Avenue Suite 205 Lawrence, NY 115591669	None	Exempt	Charitable	36
Israel Special Kids Fund 505 Eighth Avenue Suite 803 New York, NY 101381357	None	Exempt	Charitable	36
Itka Lerner Bikur Cholim V'Chesed PO Box 180477 Brooklyn, NY 112189820	None	Exempt	Charitable	18
JCC of Hillcrest c/o Esther Chase 167-18 Jewel Avenue Flushing, NY 11365	None	Exempt	Charitable	36
Jewish Children's Fund 590 Fifth Avenue Suite 1500 New York, NY 101091883	None	Exempt	Charitable	18
Jewish Education Program 76-01 147 Street Flushing, NY 11367	None	Exempt	Charitable	18
Just One Life 587 Fifth Avenue Suite 702 New York, NY 101643563	None	Exempt	Charitable	36
Keren-Or 350 7th Avenue Suite 200 New York, NY 100015013	None	Exempt	Charitable	36
Keren Aniyim PO Box 300443 Brooklyn, NY 112309909	None	Exempt	Charitable	150
Keren Hayeled PO Box 180115 Brooklyn, NY 112189948	None	Exempt	Charitable	36
Kimpatorin Aid 202 Keap Street Brooklyn, NY 112119915	None	Exempt	Charitable	18

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Kolel Shomre Hachomos 18 Heyward Street Brooklyn, NY 11211	None	Exempt	Charitable	18
Lekovod Shabbos 323 Avenue F Brooklyn, NY 112189816	None	Exempt	Charitable	25
Matan B'Seter Cookie Garrin 424 West End Ave Apt New York, NY 10024	None	Exempt	Charitable	18
Meir Panim Soup Kitchens in Israel 5316 New Utrecht Avenue Brooklyn, NY 11219	None	Exempt	Charitable	36
Memorial Sloan-Kettering Cancer Center PO Box 5028 Hagerstown, MD 217419901	None	Exempt	Charitable	18
Mesamche Lev 1364 53rd Street Brooklyn, NY 11219	None	Exempt	Charitable	18
Mesivta Tiferes Yerushalyim 145 East Broadway New York, NY 10002	None	Exempt	Charitable	100
Mifal 536 East 5th Street Brooklyn, NY 112189826	None	Exempt	Charitable	18
National Council of Young Israel 111 John Street - Suite 450 New York, NY 10038	None	Exempt	Charitable	100
Noam Shabbos 1701 45th Street Brooklyn, NY 11204	None	Exempt	Charitable	18
Ohel Children's Home and Family Services 4510 16th Avenue Brooklyn, NY 11204	None	Exempt	Charitable	136
One Israel Fund 1175 West Broadway Suite 10 Hewlett, NY 115579806	None	Exempt	Charitable	36
Otsar Family Services Inc Kinderlehrer 138-12 76th Avenue Flushing, NY 11367	None	Exempt	Charitable	18
Ozer Dalim 1301 Ave K Brooklyn, NY 112309824	None	Exempt	Charitable	18
Refuah Yeshuah PO Box 1912112 Brooklyn, NY 112199833	None	Exempt	Charitable	43

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Satmar Bikur Cholim 9 Taylor Court Unit 201 Monroe, NY 10950	None	Exempt	Charitable	36
Shor Yoshuv Institute 1525 Central Avenue Far rockaway, NY 11691	None	Exempt	Charitable	100
Siyum hashas of daf yomi 42 Broadway New York, NY 10004	None	Exempt	Charitable	270
The Jerusalem Orphan Home 4910-15th Avenue Suite 6F Brooklyn, NY 11219	None	Exempt	Charitable	18
The Jewish Guild for the Blind Church Street Station PO Box 6259 New York, NY 102496259	None	Exempt	Charitable	18
Tikvah Layedel Foundation 322 West 52nd Street PO Box 1097 New York, NY 10102	None	Exempt	Charitable	36
Tomchei Shabbos 67-07 Austin Street Forest Hills, NY 11375	None	Exempt	Charitable	1,500
Torah Center of Hillcrest 171-05 Jewel Avenue Hillcrest, NY 11365	None	Exempt	Charitable	1,990
Torah Center of Hillcrest maos chitin 171-05 Jewel Avenue Hillcrest, NY 11365	None	Exempt	Charitable	100
Tzidkas Rachel- Skulen Hachnosas Kallah F 1315 54TH ST Brooklyn, NY 11219	None	Exempt	Charitable	500
Yeshiva Kesser Torah 72-11 Vleigh Place Flushing, NY 11367	None	Exempt	Charitable	18
Yeshiva Madreigas HaAdam 69-13 172nd Street Hillcrest, NY 11365	None	Exempt	Charitable	180
Young Israel of Forest Hills 7100 Yellowstone Boulevard Forest Hills, NY 11375	None	Exempt	Charitable	500
Young Israel of Hillcrest 169-07 Jewel Avenue Flushing, NY 11365	None	Exempt	Charitable	765
Zaka Rescue and Recovery 1303 53rd St 170 Brooklyn, NY 11219	None	Exempt	Charitable	36

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Helping Hand PO Box 513 Jerusalem 91050 IS	NONE	EXEMPT	CHARITABLE	18
Zion Orphan home for boys po box 190430 Brooklyn, NY 11219	NONE	EXEMPT	CHARITABLE	18
The Widow & Orphan Aid Fund 75 Meah Searim Jerusalem 91050 IS	NONE	EXEMPT	CHARITABLE	18
Achiezer 334 Central Avenue Lawrence, NY 11559	NONE	exempt	Charitable	10,000
Agudah of the five towns charity fund 508 Peninsula Blvd Cedarhurst, NY 11516	None	exempt	Charitable	18
American Funds of Bet El 50 Polk Avenue Hempstead, NY 11550	None	Exempt	Charitable	75
American Friends of Shalva 315 5th Avenue 6th floor New York, NY 10157	None	Exempt	Charitable	36
American friends of tzohar 1274 49th street suite 478 Brooklyn, NY 11219	None	Exempt	Charitable	36
Bayit lepletot - Girls town Jerusalem 1362 east 21st street Brooklyn, NY 11210	none	exempt	charitable	43
Bnos chaya academy 8100 N University Drive Tamarac, FL 33321	none	exempt	charitable	100
Bonei Olam 1755 46th street brooklyn, NY 11204	none	exempt	charitable	18
Children of Chernoybl 675 3rd Avenue New York, NY 10164	none	exempt	charitable	18
Cong Bais Hamedrash of Queens 4102 16th avenue brooklyn, NY 11204	none	exempt	charitable	100
Congregation Bais Tefilah 409 edward avenue woodmere, NY 11598	none	exempt	charitable	400
Education Opportunity Fund 825 Forest Avenue lakewood, NJ 08701	none	exempt	charitable	360

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Ezra L'marpeh 1148 44th street Brooklyn, NY 11219	none	exempt	charitable	18
Ezras Torah 235 East broadway New York, NY 10002	none	exempt	charitable	36
HASC 5902 14TH avenue brooklyn, NY 11219	none	exempt	charitable	600
Jaffa Institute 3825 Oceanview Avenue Brooklyn, NY 11224	none	exempt	charitable	500
JCC OF HILLCREST 70-15 170th Street Hillcrest, NY 11365	none	exempt	charitable	36
Jewish institute for the blind 185 Madison Ave room 1601 New York, NY 10157	none	exempt	charitable	25
Masbia 4114 14th avenue brooklyn, NY 11219	none	exempt	charitable	100
Childrens day nurseries po box 5135 jerusalem 91050 IS	none	exempt	charitable	18
Metropolitan Council on Jewish Poverty 80 Maiden Lane New York, NY 10038	none	exempt	charitable	5,000
National Jewish Health po box 5898 denver, CO 80217	none	exempt	charitable	61
Ner Israel Rabbinical college 400 Mt Wilson Lane Baltimore, MD 21208	none	exempt	charitable	5,000
One family fund po box 822732 Philadelphia, PA 19182	none	exempt	charitable	18
Orphan hospital ward of israel po box 190536 brooklyn, NY 11219	none	exempt	charitable	36
Pearl blau learning center 233 Carey st lakewood, NJ 08701	none	exempt	charitable	18
Yad lachim 579 5th avenue New York, NY 10164	none	exempt	charitable	18

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Yeshiva darchei torah 257 Beach 17th street far rockaway, NY 11690	none	exempt	charitable	3
Yeshiva education for special students 147-37 70th road kew gardens, NY 11367	none	exempt	charitable	100
Yeshiva Me'on hatorah po box 188 roosevelt, NJ 08555	none	exempt	charitable	18
Zichron yehuda fund 5821 Aylesboro avnuie pittsburgh, PA 15217	none	exempt	charitable	18
Total			► 3a	93,999

TY 2012 Accounting Fees Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC

EIN: 11-3295712

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,000	1,500		1,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC

EIN: 11-3295712

TY 2012 Investments Corporate Bonds Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC

EIN: 11-3295712

Name of Bond	End of Year Book Value	End of Year Fair Market Value
KANGRAA RESOURCES LIMITED		

TY 2012 Investments Corporate Stock Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC

EIN: 11-3295712

Name of Stock	End of Year Book Value	End of Year Fair Market Value
716 SH CAPITAL INCOME BUILDER	43,543	39,137
29,600 SH CRIUS ENERGY TRUST	296,000	280,904

TY 2012 Land, Etc. Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC

EIN: 11-3295712

TY 2012 Other Assets Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC**EIN:** 11-3295712

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
LOANS & EXCHANGES	293,104		

TY 2012 Other Expenses Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC

EIN: 11-3295712

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS FILING FEES	100			100
BANK CHARGES	141	141		

TY 2012 Taxes Schedule

Name: CHARLOTTE & HARRY KATZ FOUNDATION INC**EIN:** 11-3295712

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,879			