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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation THE STEWART & CONSTANCE GREENFIELD FOUNDATION		A Employer identification number 06-6301506	
Number and street (or P O box number if mail is not delivered to street address) 279 STURGES HIGHWAY		Room/suite	B Telephone number (see instructions) (203) 226-9332
City or town, state, and ZIP code WESTPORT, CT 06880		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 3,933,145		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ☐ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	79	79		
	4 Dividends and interest from securities.	24,984	24,984		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-2,695			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV , line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	22,483	22,483		
	12 Total. Add lines 1 through 11	44,851	47,546		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	7,083	7,083		
	18 Taxes (attach schedule) (see instructions)	3,488	488		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10,973	10,973		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	21,544	18,544		0
	25 Contributions, gifts, grants paid	227,750			227,750
	26 Total expenses and disbursements. Add lines 24 and 25	249,294	18,544		227,750
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-204,443			
	b Net investment income (if negative, enter -0-)		29,002		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	294,238	175,031	175,031
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	231,009	246,332	177,946
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,824,307	2,723,748	3,580,168
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,349,554	3,145,111	3,933,145	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,349,554	3,145,111	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	3,349,554	3,145,111	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	3,349,554	3,145,111		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	3,349,554
2	Enter amount from Part I, line 27a	2	-204,443
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	3,145,111
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,145,111

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	FROM NORTH BRIDGE VENTURE PTNRS K-1	P		
b	FROM NOVAK BIDDLE VENTURE PTNRS K-1	P		
c	FROM ALT INVST INTERNATIONAL K1	P		
d	FROM ALT INVST INTERNATIONAL K-1	P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		3,790	-3,790
b	568		568
c	511		511
d	16		16
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-3,790
b			568
c			511
d			16
e			

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-2,695
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	511

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	130,713	4,221,312	0 030965
2010	195,800	4,174,842	0 046900
2009	258,999	3,994,042	0 064846
2008	254,149	4,840,336	0 052506
2007	231,300	5,064,902	0 045667

2	Total of line 1, column (d).	2	0 240884
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048177
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	3,893,070
5	Multiply line 4 by line 3.	5	187,556
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	290
7	Add lines 5 and 6.	7	187,846
8	Enter qualifying distributions from Part XII, line 4.	8	227,750

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	290
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	290
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	290
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	3,500	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	3,500
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	3,210
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 3,210	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶STEWART H GREENFIELD Telephone no ▶(203) 226-9332 Located at ▶279 STURGES HIGHWAY WESTPORT CT ZIP +4 ▶06880			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEWART H GREENFIELD  279 STURGES HIGHWAY WESTPORT, CT 06880	MANAGER 5 00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

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Part VIII

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

1	
2	
3	
4	

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	190,063
b	Average of monthly cash balances.	1b	237,256
c	Fair market value of all other assets (see instructions).	1c	3,525,036
d	Total (add lines 1a, b, and c).	1d	3,952,355
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	3,952,355
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	59,285
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,893,070
6	Minimum investment return. Enter 5% of line 5.	6	194,654

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	194,654
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	290
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	290
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	194,364
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	194,364
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	194,364

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	227,750
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	227,750
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	290
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	227,460
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1		Distributable amount for 2012 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2012			
a		Enter amount for 2011 only.			
b		Total for prior years 20____, 20____, 20____			
3		Excess distributions carryover, if any, to 2012			
a		From 2007.			
b		From 2008.			
c		From 2009. 50,828			
d		From 2010.			
e		From 2011.			
f		Total of lines 3a through e. 50,828			
4		Qualifying distributions for 2012 from Part XII, line 4 \$ 227,750			
a		Applied to 2011, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2012 distributable amount. 194,364			
e		Remaining amount distributed out of corpus 33,386			
5		Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 84,214			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. 84,214			
10		Analysis of line 9			
a		Excess from 2008.			
b		Excess from 2009. 50,828			
c		Excess from 2010.			
d		Excess from 2011.			
e		Excess from 2012. 33,386			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

STEWART H GREENFIELD

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	227,750
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	79	
4	Dividends and interest from securities. . . .			14	24,984	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14	22,483	
8	Gain or (loss) from sales of assets other than inventory			18	-2,695	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				44,851	
13	Total. Add line 12, columns (b), (d), and (e).					44,851

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash. (2) Other assets. b Other transactions (1) Sales of assets to a noncharitable exempt organization. (2) Purchases of assets from a noncharitable exempt organization. (3) Rental of facilities, equipment, or other assets. (4) Reimbursement arrangements. (5) Loans or loan guarantees. (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		Yes	No
	1a(1)		No
	1a(2)		No
	1b(1)		No
	1b(2)		No
	1b(3)		No
	1b(4)		No
	1b(5)		No
	1b(6)		No
	1c		No

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-11-08	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN
	JEFFREY A LANDER	JEFFREY A LANDER	2013-11-15		
	Firm's name ☐	KASS & JAFFE CPA'S PC		Firm's EIN ☐	
		1025 WESTCHESTER AVE			
	Firm's address ☐	WHITE PLAINS, NY 10604		Phone no (914) 948-7800	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A BETTER CHANCE OF WESTPORT PO BOX 2153 WESTPORT,CT 06880	NONE	NON-PROFIT	SUPPORT	250
ACHIEVEMENT FIRST 403 JAMES STREET NEW HAVEN,CT 06513	NONE	NON-PROFIT	SUPPORT	5,000
AMERICAN CIVIL LIBERTIES UNION 125 BROAD STREET 18TH FL NEW YORK,NY 10004	NONE	NON-PROFIT	SUPPORT	1,000
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST 79TH NEW YORK,NY 10024	NONE	NON-PROFIT	SUPPORT	1,000
AMERICAN SOCIETY FOR PROTECTION OF NATURE IN ISRAEL 28 ARRANDALE AVENUE GREAT NECK,NY 11024	NONE	NON-PROFIT	SUPPORT	1,000
ASPETUCK LAND TRUST PO BOX 444 WESTPORT,CT 06881	NONE	NON-PROFIT	SUPPORT	2,000
BRENNAN CENTER FOR JUSTICE 161 SIXTH AVENUE 12TH FL NEW YORK,NY 10013	NONE	NON-PROFIT	SUPPORT	1,000
BRIDGEPORT HOSPITAL FOUNDATION 267 GRANT STREET BRIDGEPORT,CT 06610	NONE	NON-PROFIT	SUPPORT	5,000
CHILD MIND INSTITUTE 445 PARK AVENUE NEW YORK,NY 10022	NONE	NON-PROFIT	SUPPORT	1,000
CONN CAN 85 WILLOW STREET NEW HAVEN,CT 065113725	NONE	NON-PROFIT	SUPPORT	5,000
CT AUDOBON SOCIETY 1361 MAIN STREET GLASTONBURY,CT 06033	NONE	NON-PROFIT	SUPPORT	1,000
CT FUND FOR THE ENVIRONMENT 205 WHITNEY AVENUE NEW HAVEN,CT 062113725	NONE	NON-PROFIT	SUPPORT - CLEAN AIR PROGRAM	10,000
CT LEAGUE OF CONSERVATION VOTERS EDUCATION FUND 553 FARMINGTON AVENUE HARTFORD,CT 06105	NONE	NON-PROFIT	SUPPORT - TRANSPORTATION PROJECT	2,500
CT NEWS PROJECT 210 CAPITOL AVE - 409A HARTFORD,CT 06106	NONE	NON-PROFIT	SUPPORT	5,000
CT VETERANS LEGAL CENTER 114 BOSTON POST ROAD WEST HAVEN,CT 06516	NONE	NON-PROFIT	SUPPORT	250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DYSAUTONOMIA FOUNDATION 315 W 39TH ST 701 NEW YORK,NY 10018	NONE	NON-PROFIT	SUPPORT	2,000
ENVIRONMENTAL DEFENSE FUND 1875 CONNECTICUT AVE NW SUITE 600 WASHINGTON,DC 20009	NONE	NON-PROFIT	SUPPORT	2,500
FIDELCO GUIDE DOG FOUNDATION INC 103 OLD IRON ROAD BLOOMFIELD,CT 06002	NONE	NON-PROFIT	SUPPORT	250
FOUNDATION FOR JEWISH CULTURE PO BOX 489 NEW YORK,NY 10011	NONE	NON-PROFIT	SUPPORT	1,000
FRIENDS OF THE SMITHSONIAN 1100 JEFFERSON DRIVE WASHINGTON,DC 20560	NONE	NON-PROFIT	SUPPORT	250
HABITAT FOR HUMANITY INT'L 121 HABITAT STREET AMERICUS,GA 317093498	NONE	NON-PROFIT	SUPPORT	1,000
HEALTH CORPS 505 8TH AVENUE SUITE 1101 NEW YORK,NY 10018	NONE	NON-PROFIT	SUPPORT - STAMP OUT CHILD OBESITY	2,500
HORIZONS NATIONAL 165 MADISON MEMPHIS,TN 38103	NONE	NON-PROFIT	SUPPORT - STUDENT ENRICHMENT PROGRAM	1,000
INTERFAITH ALLIANCE FOUNDATION 1212 NEW YORK AVENUE WASHINGTON,DC 20005	NONE	NON-PROFIT	SUPPORT	1,000
INT'L FESTIVAL OF ARTS & IDEAS 195 CHURCH STREET 12TH FL NEW HAVEN,CT 06510	NONE	NON-PROFIT	SUPPORT	1,000
JUNIOR ACHEIVEMENT OF UPSTATE SC 530 HOWELL ROAD GREENVILLE,SC 29615	NONE	NON-PROFIT	SUPPORT	1,000
JAMES E LEWIS MUSEUM OF ART 1700 E COLD SPRING LANE BALTIMORE,MD 21281	NONE	NON-PROFIT	SUPPORT	1,000
KIPP ACADEMY NEW YORK 625 W 133RD ST ROOM 308C NEW YORK,NY 100276902	NONE	NON-PROFIT	SUPPORT	2,000
LINCOLN CENTER FOR PERFORMING ARTS 10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	NON-PROFIT	SUPPORT	2,000
LONG WHARF THEATRE 222 SARGENT DRIVE NEW HAVEN,CT 06511	NONE	NON-PROFIT	SUPPORT	2,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MOUNT HOLYOKE COLLEGE 50 COLLEGE STREET SOUTH HADLEY,MA 010751496	NONE	NON-PROFIT	SUPPORT	10,000
MOUNT SINAI MEDICAL CENTER ONE GUSTAV LEVY PLACE NEWYORK,NY 100296574	NONE	NON-PROFIT	SUPPORT	2,500
NARAL PRO-CHOICE CT FOUNDATION 839 CHAPEL STREET NEWHAVEN,CT 06510	NONE	NON-PROFIT	SUPPORT	2,500
NATIONAL AUDOBON SOCIETY 700 BROADWAY NEWYORK,NY 10011	NONE	NON-PROFIT	SUPPORT	5,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEWYORK,NY 10011	NONE	NON-PROFIT	SUPPORT	5,000
NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON,VA 22203	NONE	NON-PROFIT	SUPPORT - BRAZIL PROGRAM PLEDGE	17,000
NORTH SHORE LAND ALLIANCE 151 POST ROAD OLD WESTBURY,NY 11568	NONE	NON-PROFIT	SUPPORT	2,000
OPERA ORCHESTRA OF NY 239 W 72ND ST SUITE 2R NEWYORK,NY 10023	NONE	NON-PROFIT	SUPPORT	25,000
PHOENIX HOUSE FOUNDATION 164 W 74TH ST 4TH FLOOR NEWYORK,NY 10023	NONE	NON-PROFIT	SUPPORT	5,000
POSITIVE DIRECTIONS 414 S COMMERCE WICHITA,KS 67202	NONE	NON-PROFIT	SUPPORT - PREVENTION CENTER	1,000
RARE 1840 WILSON BLVD STE 204 ARLINGTON,VA 22201	NONE	NON-PROFIT	SUPPORT	2,500
RIVERS ALLIANCE OF CT 7 WEST ST 3RD FLOOR LITCHFIELD,CT 06759	NONE	NON-PROFIT	SUPPORT	1,000
SANTA FE INSTITUTE 1399 HYDE PARK ROAD SANTA FE,NM 87501	NONE	NON-PROFIT	ENDOWMENT FUND	25,000
SCHOOL OF ADVANCED RESEARCH 660 GARCIA STREET SANTA FE,NM 87505	NONE	NON-PROFIT	SUPPORT	1,000
TEACH FOR AMERICA 315 W 36TH STREET NEWYORK,NY 10018	NONE	NON-PROFIT	SUPPORT	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TECHNOSERVE INC 49 DAY STREET NORWALK,CT 06854	NONE	NON-PROFIT	SUPPORT	1,000
THE MARITIME AQUARIUM 10 N WATER STREET NORWALK,CT 06854	NONE	NON-PROFIT	SUPPORT	1,000
THIRTEEN ASSOCIATES 10 NORTH WATER STREET ADELPHI,MD 20783	NONE	NON-PROFIT	SUPPORT	250
THE NATURE CONSERVANCY OF CT 4245 NORTH FAIRFAX DRIVE SUITE 100 ARLINGTON,VA 22203	NONE	NON-PROFIT	SUPPORT	10,000
TRUDEAU INSTITUTE 154 ALGONQUIN AVENUE SARANAC LAKE,NY 12983	NONE	NON-PROFIT	SUPPORT	2,000
UJA FEDERATION 130 EAST 59TH STREET NEWYORK,NY 10022	NONE	NON-PROFIT	SUPPORT	5,000
WESTPORT COMMUNITY THEATRE 110 MYRTLE AVENUE WESTPORT,CT 06880	NONE	NON-PROFIT	SUPPORT	250
WESTPORT COUNTY PLAYHOUSE 25 POWERS COURT WESTPORT,CT 06880	NONE	NON-PROFIT	SUPPORT	2,500
WESTPORT PUBLIC LIBRARY ASSOCIATION ARNOLD BERNHARD PLAZA WESTPORT,CT 06880	NONE	NON-PROFIT	SUPPORT	10,000
WESTPORT VOLUNTEER EMS 50 JESUP ROAD WESTPORT,CT 06880	NONE	NON-PROFIT	SUPPORT	250
WESTPORT WARM UP FUND 150 NORTH AVENUE WESTPORT,CT 06880	NONE	NON-PROFIT	SUPPORT	250
WHOLESOME WAVE FOUNDATION 855 MAIN STREET SUITE 910 BRIDGEPORT,CT 06604	NONE	NON-PROFIT	SUPPORT	10,000
WILD EARTH GUARDIANS 312 MONTEZUMA AVENUE SANTA FE,NM 87501	NONE	NON-PROFIT	SUPPORT	2,500
WILDLIFE CONSERVATION SOCIETY 22300 SOUTHERN BOULEVARD BRONX,NY 10460	NONE	NON-PROFIT	SUPPORT	1,000
WNPR CT PUBLIC RADIO 1049 ASYLUM AVENUE HARTFORD,CT 06105	NONE	NON-PROFIT	SUPPORT	250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE NEW HAVEN HOSPITAL FUND PO BOX 1849 NEW HAVEN, CT 06508	NONE	NON-PROFIT	SUPPORT	5,000
YALE MEDICAL CENTER 333 CEDAR STREET NEW HAVEN, CT 06520	NONE	NON-PROFIT	RESEARCH PROGRAM	5,000
Total  3a				227,750

TY 2012 Compensation Explanation

Name: THE STEWART & CONSTANCE GREENFIELD
FOUNDATION

EIN: 06-6301506

Person Name	Explanation
STEWART H GREENFIELD	

**TY 2012 Investments Corporate
Stock Schedule**

Name: THE STEWART & CONSTANCE GREENFIELD
FOUNDATION

EIN: 06-6301506

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2,563 VIROPHARMA INC	74,096	58,334
1,000 SANDISK CORP	21,453	43,500
1,000 POLYCOM INC	9,838	20,920
4,000 SONUS NETWORKS INC	121,260	6,800
685 EMC CORP	1,200	17,331
328 ACME PACKET INC.	2,214	7,255
403 SANOFI CONTINGENT VALUE RT	948	685
152 ACTIVE NETWORK INC	2,379	746
819 DEMANDWARE INC	12,944	22,375

TY 2012 Investments - Other Schedule

Name: THE STEWART & CONSTANCE GREENFIELD
FOUNDATION

EIN: 06-6301506

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ALTERNATIVE INVST INSTL PTRS LP	FMV	85,102	979,248
NORTH BRIDGE VENTURE PTRS V-A LP	FMV	95,888	151,544
NOVAK BIDDLE VENTURE PTRS III, LP	FMV	75,156	67,557
ALTERNATIVE INVST INTL PTRS LP	FMV	667,602	660,457
STONWORKS PARTNERS	FMV	1,800,000	1,721,362

TY 2012 Other Expenses Schedule

Name: THE STEWART & CONSTANCE GREENFIELD
FOUNDATION

EIN: 06-6301506

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
PORTFOLIO EXPENSES - FROM K-1	10,973	10,973		

TY 2012 Other Income Schedule

Name: THE STEWART & CONSTANCE GREENFIELD
FOUNDATION

EIN: 06-6301506

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ALTERNATIVE INV INSTL K-1	23,210	23,210	
ALTERNATIVE INV INTL K-1	-727	-727	

TY 2012 Taxes Schedule

Name: THE STEWART & CONSTANCE GREENFIELD
FOUNDATION

EIN: 06-6301506

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	488	488		
FEDERAL EXCISE TAX	3,000			