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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE WARD FOUNDATION, INC.		A Employer identification number 06-1519409
Number and street (or P O box number if mail is not delivered to street address) 77 WINFIELD LANE		B Telephone number 203-966-7885
City or town, state, and ZIP code NEW CANAAN, CT 06840		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 575,641.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		17,273.	17,273.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		788.			
b Gross sales price for all assets on line 6a		120,838.			
7 Capital gain net income (from Part IV, line 2)			788.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		18,061.	18,061.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		1,200.	600.		0.
c Other professional fees					
17 Interest					
18 Taxes		847.	847.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		7,791.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		9,838.	1,447.		0.
25 Contributions, gifts, grants paid		45,500.			45,500.
26 Total expenses and disbursements. Add lines 24 and 25		55,338.	1,447.		45,500.
27 Subtract line 26 from line 12		-37,277.			
a Excess of revenue over expenses and disbursements			16,614.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Beginning of year		End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing	40,122.				
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock					
	c Investments - corporate bonds					
	11 Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other STMT 5	532,903.	535,748.	575,641.		
	14 Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)	573,025.	535,748.	575,641.		
	Liabilities	17 Accounts payable and accrued expenses				
		18 Grants payable				
19 Deferred revenue						
20 Loans from officers, directors, trustees, and other disqualified persons						
21 Mortgages and other notes payable						
22 Other liabilities (describe ▶ DUE TO TRUSTEE)		4,000.	4,000.			
23 Total liabilities (add lines 17 through 22)		4,000.	4,000.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds	0.	0.			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.			
	29 Retained earnings, accumulated income, endowment, or other funds	569,025.	531,748.			
30 Total net assets or fund balances	569,025.	531,748.				
31 Total liabilities and net assets/fund balances	573,025.	535,748.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	569,025.
2 Enter amount from Part I, line 27a	2	-37,277.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	531,748.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	531,748.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MORGANSTANLY SMITH BARNEY - SEE ATTACHED	P	VARIOUS	12/31/12
b MORGANSTANLY SMITH BARNEY - SEE ATTACHED	P	VARIOUS	12/31/12
c NEUBERGER EQTY. INCOME FUND - CAP. GAIN			
d DIST.			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,455.		4,493.	-38.
b 113,869.		115,557.	-1,688.
c			
d 2,514.			2,514.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-38.
b			-1,688.
c			
d			2,514.
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	788.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	32,450.	619,138.	.052412
2010	36,400.	645,198.	.056417
2009	40,850.	600,248.	.068055
2008	55,998.	718,608.	.077926
2007	53,890.	881,243.	.061152

2 Total of line 1, column (d)	2	.315962
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063192
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	577,354.
5 Multiply line 4 by line 3	5	36,484.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	166.
7 Add lines 5 and 6	7	36,650.
8 Enter qualifying distributions from Part XII, line 4	8	45,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	166.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	166.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	166.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	520.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	520.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	354.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ☒ 354. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
3 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RICHARD S. WARD Located at ► 77 WINFIELD LANE, NEW CANAAN, CONNECTICUT Telephone no ► 203-966-7885 ZIP+4 ► 06840			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD S. WARD 77 WINFIELD LANE NEW CANAAN, CT 06840	PRESIDENT 0.00	0.	0.	0.
ANNE S. WARD 77 WINFIELD LANE NEW CANAAN, CT 06840	CHAIRMAN 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 MAINTAINS INVESTMENTS AND DISTRIBUTES CONTRIBUTIONS TO SELECT CHARITABLE ORGANIZATIONS.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	568,470.
b	Average of monthly cash balances	1b	17,676.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	586,146.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	586,146.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,792.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	577,354.
6	Minimum investment return. Enter 5% of line 5	6	28,868.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	28,868.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	166.
b	Income tax for 2012. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	166.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	28,702.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	28,702.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,702.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	45,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	45,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	166.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,334.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				28,702.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	10,512.			
b From 2008	20,372.			
c From 2009	11,186.			
d From 2010	4,393.			
e From 2011	2,003.			
f Total of lines 3a through e	48,466.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	45,500.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				28,702.
e Remaining amount distributed out of corpus	16,798.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	65,264.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	10,512.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	54,752.			
10 Analysis of line 9				
a Excess from 2008	20,372.			
b Excess from 2009	11,186.			
c Excess from 2010	4,393.			
d Excess from 2011	2,003.			
e Excess from 2012	16,798.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

WARDF001

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHRIST CHURCH SPARKILL N.Y P. O. BOX 177 SPARKILL, NY 10976			GENERAL PURPOSE	300.
EARLHAM ALUMNI FUND 801 NATIONAL ROAD WEST RICHMOND, IN 47374			GENERAL PURPOSE	1,000.
INTERNATIONAL COLLEGE 215 PARK AVE SOUTH NEW YORK, NY 10003			GENERAL PURPOSE	15,000.
KENT SCHOOL 1 MACEDONIA ROAD KENT, CT 06757			GENERAL PURPOSE	10,000.
NEW CANAAN COMMUNITY FOUNDATION 21 LOCUST AVE. SUITE # 1B NEW CANAAN, CT 06840			GENERAL PURPOSE	1,000.
Total	SEE CONTINUATION SHEET(S)			45,500.
b Approved for future payment				
NONE				
Total				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW CANAAN GROUP HOME INC. 162 SOUTH AVENUE NEW CANAAN, CT 06840			GENERAL PURPOSE	500.
NEW CANAAN HIGH SCHOOL ACTIVITY FUND 11 FARM ROAD NEW CANAAN, CT 06840			GENERAL PURPOSE	500.
NEW CANAAN LIBRARY 151 MAIN STREET NEW CANAAN, CT 06840			GENERAL PURPOSE	300.
NEW CANAAN AMBULANCE CORP 182 SOUTH AVENUE NEW CANAAN, CT 06840			GENERAL PURPOSE	100.
STAR INC. LIGHTING THE WAY 182 WOLFPIT AVE. NORWALK, CT 06851			GENERAL PURPOSE	500.
STAYING PUT IN NEW CANAAN 58 PINE STREET NEW CANAAN, CT 06840			GENERAL PURPOSE	1,000.
UNIVERSITY OF VIRGINIA LAW SCHOOL FOUNDATION 580 MASSIE ROAD CHARLOTTESVILLE, VA 22903			GENERAL PURPOSE	5,000.
WAVENY CARE NETWORK 3 FARM ROAD NEW CANAAN, CT 06840			GENERAL PURPOSE	300.
YALE UNIVERSITY 38 HILLHOUSE AVE. NEW HAVEN, CT 06520			GENERAL PURPOSE	10,000.
Total from continuation sheets				18,200.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MORGANSTANLEY SMITH BARNEY	17,273.	0.	17,273.
TOTAL TO FM 990-PF, PART I, LN 4	17,273.	0.	17,273.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	1,200.	600.		0.
TO FORM 990-PF, PG 1, LN 16B	1,200.	600.		0.

FORM 990-PF	TAXES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX - 2011	223.	223.		0.
ESTIMATED TAX PAYMENT - 2012	520.	520.		0.
FOREIGN TAX	104.	104.		0.
TO FORM 990-PF, PG 1, LN 18	847.	847.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REIMBURSEMENT - TRUSTEE MEETING	7,791.	0.		0.
TO FORM 990-PF, PG 1, LN 23	7,791.	0.		0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DELAWARE EMERGING MARKETS FUND CLASS A	COST	77,122.	82,305.
IVY ASSET STRATEGY FUND (5165 SHS)	COST	160,896.	188,164.
NEUBERGER BERMAN EQUITY INCOME FUND	COST	134,298.	135,064.
SUNAMERICA FOCUSED DIVIDEND STRATEGY PORTFOLIO	COST	185,217.	191,893.
FUNDING IN TRANSIT	COST	-21,785.	-21,785.
TOTAL TO FORM 990-PF, PART II, LINE 13		535,748.	575,641.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	6
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NAME OF MANAGER

RICHARD S. WARD
ANNE S. WARD

2012 Year End Summary

Ref 00012893 00098616

THE WARD FOUNDATION

Account Number 359-37916-13 146

Details of 1099 Exempt Dividends 2012

Amounts displayed for dividends include dividend reinvestments, money fund earnings, and accrued dividends received.

Reference number	Description	Ordinary dividends	Alternative minimum tax
160000100	MORGAN STANLEY AA MONEY TRUST	\$ 1.91	
160000200	NEUBERGER BERMAN EQUITY INCOME FUND CL A	1,852.56	
160000300	PRUDENTIAL SHORT-TERM CORP. BOND FD. INC-CLASS A	85.37	
160000400	SUNAMERICA FOCUSED DIVIDEND STRATEGY PORTFOLIO CL A A PORTION OF THIS INCOME HAS BEEN RECLASSIFIED	2,240.99	
Totals		\$ 4,180.83	

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	18.84	PRUDENTIAL SHORT-TERM CORP.	01/03/11	01/03/12	\$ 213.83	\$ 216.09	(\$ 2.26)	
	29.683	BOND FD. INC-CLASS A	01/21/11		336.90	340.76	(3.86)	
	35.278	CONFIRM #500020030021292	02/22/11		400.41	404.29	(3.88)	
	27.73	TRADE AS OF 01/03/12	03/21/11		314.74	318.90	(4.16)	
	31.22		04/21/11		354.35	358.41	(4.06)	
	31.973		05/23/11		362.89	368.97	(6.08)	
	28.271		06/21/11		320.88	326.25	(5.37)	
	39.331		08/01/11		446.41	454.27	(7.86)	
	29.031		09/01/11		329.50	332.70	(3.20)	
	29.342		10/03/11		333.03	332.44		.59
	26.76		11/01/11		303.73	305.60	(1.87)	
	27.649		12/01/11		313.82	312.43		1.39
	2.085		12/23/11		23.65	23.58		.07
125000030	27.685	PRUDENTIAL SHORT-TERM CORP	01/03/12	06/29/12	317.27	314.22		3.05
	6.929	BOND FD INC-CLASS A	02/01/12		79.41	79.54	(.13)	
	095	CONFIRM #500021810039447	03/01/12		1.09	1.09		

2012 YEAR END SUMMARY

Ref: 00012893 00098617

2012 Year End Summary

THE WARD FOUNDATION

Account Number 359-37916-13 146

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	.104		04/02/12		\$ 1.19	\$ 1.19		
	.095		05/01/12		1.09	1.09		
	.102		06/01/12		1.16	1.17	(.01)	
Total					\$ 4,455.35	\$ 4,492.99	(\$ 42.74)	\$ 5.10

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	422.119	IVY ASSET STRATEGY FUND CLASS A CONFIRM #500021810039538 VERSUS PURCHASE(S)	03/17/09	06/29/12	\$ 10,000.00	\$ 8,172.22		\$ 1,827.78
125000020	864.999	PRUDENTIAL SHORT-TERM CORP.	07/22/09	01/03/12	9,930.19	9,930.19	(112.45)	
	25.783	BOND FD. INC-CLASS A	08/21/09		292.64	290.83		1.81
	30.666	CONFIRM #500020030021292	09/21/09		348.06	347.75		.31
	1,287.554	TRADE AS OF 01/03/12	10/08/09		14,613.74	15,000.00	(386.26)	
	30.324		10/21/09		344.18	345.69	(1.51)	
	37.879		11/23/09		429.93	434.09	(4.16)	
	31.326		12/21/09		355.55	359.31	(3.76)	
	12.476		01/04/10		141.60	142.23	(.63)	
	23.402		01/21/10		265.61	268.89	(3.28)	
	25.897		03/03/10		293.93	296.00	(2.07)	
	11.699		03/03/10		132.78	133.72	(.94)	
	33.458		03/22/10		379.75	383.76	(4.01)	
	35.288		04/21/10		400.52	405.46	(4.94)	
	.165		05/07/10		1.87	1.90	(.03)	
	35.493		05/21/10		402.85	406.75	(3.90)	
	36.294		06/21/10		411.94	414.48	(2.54)	
	35.189		07/21/10		399.40	405.73	(6.33)	
	36.311		08/23/10		412.13	421.21	(9.08)	
	6,350.55		09/02/10		72,078.74	75,000.00	(2,921.26)	
	40.884		09/21/10		464.03	475.07	(11.04)	
	53.929		10/21/10		612.09	630.43	(18.34)	

Y E A R E N D S U M M A R Y

Ref: 00012893 00098618

2012 Year End Summary

THE WARD FOUNDATION

Account Number 359-37916-13 146

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
58,188			11/22/10		\$ 660.43	\$ 674.40	(\$ 13.97)	
53,719			12/21/10		609.71	616.69	(6.98)	
Total					\$ 1,13,869.22	\$ 115,556.80	(\$ 3,517.48)	\$ 1,829.90

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount	Referral number	Amount
220000100	07/06/12	MORGAN STANLEY AA MONEY TRUST		\$ 10,157.70		\$ 14
		TRANSFER TO MSSB				
Total				\$ 10,157.84		

Summary of Expenses 2012

Checks Written

Summary of check expense codes
This chart shows the total amount of the checks you wrote for each of the following codes. Optional categories, defined by you, are available up to the letter Z

Code	Type of expense	Total	Code	Type of expense	Total
H	CAPITAL EXPENDITURES	\$ 23,291.22			
Uncoded		\$ 26,450.00	Total		\$ 49,741.22

2 0 1 2 Y E A R E N D S U M M A R Y

**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See Instructions	Name of exempt organization or other filer, see instructions. THE WARD FOUNDATION, INC.	Employer identification number (EIN) or 06-1519409
	Number, street, and room or suite no. If a P.O. box, see instructions. 77 WINFIELD LANE	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW CANAAN, CT 06840	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RICHARD S. WARD

- The books are in the care of ► **77 WINFIELD LANE, NEW CANAAN, CONNECTICUT - 06840**
Telephone No. ► **203-966-7885** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until
AUGUST 15, 2013, to file the exempt organization return for the organization named above. The extension
is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)