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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20

Name of foundation **R. S. EVANS FOUNDATION C/O DEUTSCHE BANK
TRUST COMPANY, N.A.****A Employer identification number**
06-1480414

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)**PO BOX 1297 CHURCH ST. STATION****212- 454-1054**

City or town, state, and ZIP code

NEW YORK, NY 10008**G Check all that apply:**☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change**H Check type of organization:**☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I Fair market value of all assets at end****J Accounting method:** ☒ Cash ☐ Accrual

of year (from Part II, col. (c), line

☐ Other (specify) _____**16) ▶ \$ 8,352,912.**

(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐**D 1 Foreign organizations, check here** ☐**2 Foreign organizations meeting the 85% test, check here and attach computation** ☐**E If private foundation status was terminated under section 507(b)(1)(A), check here** ☐**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here** ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	151,128.	151,128.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	173,397.			
b Gross sales price for all assets on line 6a	1,231,368.			
7 Capital gain net income (from Part IV, line 2)		173,397.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	45,000.			STMT 3
12 Total. Add lines 1 through 11	369,525.	324,525.		
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 4	5,871.	5,871.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule) STMT 5	55,101.	42,601.		12,500.
24 Total operating and administrative expenses. Add lines 13 through 23	60,972.	48,472.	NONE	12,500.
25 Contributions, gifts, grants paid	269,500.			269,500.
26 Total expenses and disbursements. Add lines 24 and 25	330,472.	48,472.	NONE	282,000.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements	39,053.			
b Net investment income (if negative, enter -0-)		276,053.		
c Adjusted net income (if negative, enter -0-)				

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Operating and Administrative Expenses

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	125,597.	415,175.	415,175.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) . STMT 6	3,501,192.	3,250,667.	5,027,714.
	c	Investments - corporate bonds (attach schedule)			
	Liabilities	11	Investments - land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule) . STMT 10	2,840,000.	2,840,000.	2,910,023.
14		Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule) ▶			
15		Other assets (describe ▶)			
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	6,466,789.	6,505,842.	8,352,912.
17		Accounts payable and accrued expenses			
18		Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	6,466,789.	6,505,842.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	6,466,789.	6,505,842.	
31	Total liabilities and net assets/fund balances (see instructions)	6,466,789.	6,505,842.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,466,789.
2	Enter amount from Part I, line 27a	2	39,053.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	6,505,842.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,505,842.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,231,368.		1,057,971.	173,397.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			173,397.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	173,397.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	291,050.	7,899,543.	0.036844
2010	370,827.	7,916,857.	0.046840
2009	296,062.	6,130,293.	0.048295
2008	486,500.	11,184,146.	0.043499
2007	442,482.	9,967,561.	0.044392
2 Total of line 1, column (d)			0.219870
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.043974
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5		7,621,482.	
5 Multiply line 4 by line 3			335,147.
6 Enter 1% of net investment income (1% of Part I, line 27b)			2,761.
7 Add lines 5 and 6			337,908.
8 Enter qualifying distributions from Part XII, line 4			282,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	5,521.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	5,521.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,521.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	6,287.
b	Exempt foreign organizations - tax withheld at source	6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	2,500.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	8,787.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,266.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 3,266 . Refunded <input type="checkbox"/> 11	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>N/A</u>				
14	The books are in care of ▶ <u>SEE STATEMENT 11</u>	Telephone no. ▶ _____		
Located at ▶ _____		ZIP+4 ▶ _____		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes X	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ <u>Afghanistan</u>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ▶ _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000

NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,562,596.
b	Average of monthly cash balances	1b	174,949.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	7,737,545.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	7,737,545.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	116,063.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,621,482.
6	Minimum investment return. Enter 5% of line 5	6	381,074.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	381,074.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	5,521.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,521.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	375,553.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	375,553.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	375,553.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	282,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	282,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	282,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				375,553.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			280,309.	
b Total for prior years 20 <u>10</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2012				
a From 2007	NONE			
b From 2008	NONE			
c From 2009	NONE			
d From 2010	NONE			
e From 2011	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>282,000.</u>				
a Applied to 2011, but not more than line 2a			280,309.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				1,691.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				373,862.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2008	NONE			
b Excess from 2009	NONE			
c Excess from 2010	NONE			
d Excess from 2011	NONE			
e Excess from 2012	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a <i>Paid during the year</i> SEE STATEMENT 23				269,500.
Total			3a	269,500.
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	151,128.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	173,397.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue: a _____						
b <u>FED EXCISE TAX REF</u>			14	45,000.		
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			2	369,525.		
13 Total. Add line 12, columns (b), (d), and (e)						

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes[illegible]

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
AMERICAN EXPRESS CO INC COM	2,340.	2,340.
ARCHER DANIELS MIDLAND CO COM	980.	980.
BANK NEW YORK MELLON CORP COM	390.	390.
BERKLEY W R CORP COM	635.	635.
BRINKS CO COM	400.	400.
CBS CORP CL B	1,260.	1,260.
CH ENERGY GROUP INC COM	2,220.	2,220.
CABLEVISION SYS CORP COM	3,000.	3,000.
CHEMED CORP NEW COM	680.	680.
COCA COLA CO COM	2,040.	2,040.
DANONE SPONSORED ADR	1,975.	1,975.
DENTSPLY INTL INC NEW COM	330.	330.
DIEBOLD INC COM	2,565.	2,565.
DISH NETWORK CORP CL A	3,000.	3,000.
DR PEPPER SNAPPLE GROUP COM	2,010.	2,010.
EL PASO ELEC CO COM NEW	1,940.	1,940.
ENERGIZER HLDGS INC COM	400.	400.
EXELIS INC COM	248.	248.
FLOWERS FOODS INC COM	660.	660.
FLOWSERVE CORP COM	1,400.	1,400.
GATX CORP COM	2,550.	2,550.
GENERAL MILLS INC COM	2,540.	2,540.
GENUINE PARTS CO COM	3,483.	3,483.
GOODRICH CORP COM	870.	870.
GRIFFON CORP.	425.	425.
GRUPO TELEVISA SA DE CV GLOBAL	488.	488.
HEINZ H J CO COM	960.	960.
HILLSHIRE BRANDS CO COM	250.	250.
HONEYWELL INTL INC COM	4,583.	4,583.
ITT CORP COM	109.	109.
KRAFT FOODS INC CL A	1,740.	1,740.
LAS VEGAS SANDS CORP COM	1,125.	1,125.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
LEGG MASON INC COM	980.	980.
MIRANT TR I	375.	375.
ARUZE CORP ORD	1,260.	1,260.
NATIONAL FUEL GAS CO NJ COM	5,415.	5,415.
NEWMONT MNG CORP COM	2,800.	2,800.
NEWS CORP INC CL A	595.	595.
NEXEN INC ORD	152.	152.
NORTHERN TRUST CORP COM	1,872.	1,872.
PATTERSON COS INC COM	1,360.	1,360.
ROBBINS & MYERS INC COM	50.	50.
RYMAN HOSPITALITY PROPERTIES REIT	20,520.	20,520.
SJW CORP COM	2,130.	2,130.
SARA LEE CORP COM	16,150.	16,150.
SCRIPPS NETWORKS INTERACTIVE COM	1,440.	1,440.
SPECTRA ENERGY CORP COM	2,863.	2,863.
TELEPHONE AND DATA SYSTEMS COM	4,964.	4,964.
TIME WARNER INC COM	2,808.	2,808.
TREDEGAR INDS INC COM	1,134.	1,134.
VIACOM INC NEW CL B	1,325.	1,325.
VIVENDI SA ORD	3,886.	3,886.
WASTE MGMT INC DEL COM	1,136.	1,136.
XYLEM INC COM	243.	243.
ZEP INC COM	80.	80.
MONEY MARKET DEPOSIT ACCOUNT	63.	63.
ROLLS ROYCE HLDGS PLC PFD	857.	857.
ROLLS-ROYCE HOLDINGS PLC ORD	609.	609.
MILLICOM INTL CELLULAR SA COM NEW	3,465.	3,465.
CNH GLOBAL N V COM NEW	25,000.	25,000.
	-----	-----
TOTAL	151,128.	151,128.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
FED EXCISE TAX REFUND	45,000.

TOTALS	45,000.
	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	5,871.	5,871.
	-----	-----
TOTALS	5,871.	5,871.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FEE	150.	150.	
INVT MGMT FEE	29,951.	29,951.	
CUSTODIAL FEE	25,000.	12,500.	12,500.
TOTALS	55,101.	42,601.	12,500.

R. S. EVANS FOUNDATION C/O DEUTSCHE BANK
 FORM 990PF, PART II - CORPORATE STOCK
 =====

06-1480414

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
BOYD GAMING	11,137.	16,600.
CBS CORP CL B	43,854.	114,150.
CABLEVISION SYS CORP COM		74,700.
ECHOSTAR COMM CORP NEW CL A	16,656.	20,532.
GAYLORD ENTMT CO NEW COM		
GENUINE PARTS CO COM	55,657.	114,444.
GRUPO TELEVISIA SA DE CV ADR	29,597.	106,320.
LIBERTY GLOBAL INC COM SER A	16,780.	56,664.
LIBERTY GLOBAL INC COM	15,385.	52,875.
LIBERTY INTERACTIVE A CO	40,238.	49,200.
LIBERTY MEDIA HOLD CAP SER A		
LIN TV CORP CL A	1,804.	15,060.
ARUZE CORP ORD		
NEWS CORP INC CL A	44,903.	76,530.
TIME WARNER INC NEW COM	68,091.	114,792.
VIACOM INC NEW CL B	34,545.	52,740.
VIVENDI SA ORD	122,879.	69,357.
FLOWERS FOODS INC COM	3,670.	23,270.
GENERAL MILLS INC COM	55,169.	80,840.
HEINZ H J CO COM		
AMERICAN EXPRESS CO COM	82,773.	172,440.
CHEMED CORP NEW COM	17,687.	68,590.
DENTSPLY INTL INC NEW COM	30,690.	59,415.
PATTERSON COS INC COM	45,505.	68,460.
SCHEIN HENRY INC COM	13,235.	40,210.
ROLLS ROYCE GROUP PLC ORD	37,444.	70,907.
FLOWSERVE CORP COM	11,610.	146,800.
GATX CORP COM	65,167.	86,600.
HONEYWELL INTL INC COM	82,646.	190,410.

R. S. EVANS FOUNDATION C/O DEUTSCHE BANK
 FORM 990PF, PART II - CORPORATE STOCK
 =====

06-1480414

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
THOMAS & BETTS CORP COM	30,337.	26,992.
WASTE MGMT INC DEL COM	45,965.	100,725.
CNH GLOBAL NV COM NEW	8,497.	9,860.
INTERMEC INC COM	3,649.	8,360.
FERRO CORP COM	41,197.	68,450.
SPECTRA ENERGY CORP COM	2,873.	7,220.
ZEP INC COM	27,310.	54,800.
CINCINNATI BELL INC NEW	7,460.	11,340.
SPRINT NEXTEL CORP COM	313,178.	221,400.
TELEPHONE & DATA SYS INC COM		
TELEPHONE & DATA SYS INC COM N		
US CELLULAR CORP COM	69,321.	77,528.
CH ENERGY GROUP INC COM	41,725.	65,220.
EL PASO ELEC CO COM NEW	27,800.	63,820.
SJW CORP COM	42,355.	79,800.
ASCENT MEDIA CORP ORD CL A	6,929.	17,034.
DIEBOLD INC COM	65,798.	61,220.
DISCOVERY COMM INC CL A	7,437.	31,740.
DISCOVERY COMM INC CL C	5,137.	29,250.
DISH NETWORK CORP CL A	37,843.	109,200.
DR PEPPER SNAPPLE GROUP COM	26,121.	66,270.
GRAFTECH INTL LTD	11,106.	18,780.
SCRIPTS NETWORKS INTERACTIVE	92,714.	173,760.
TREDEGAR INDS INC	16,924.	24,504.
FEDERAL MOGUL CORP COM	27,652.	16,040.
COCA COLA CO COM	54,615.	72,500.
DANONE SPONSORED ADR	58,861.	72,375.
LEGG MASON INC COM	47,741.	51,440.
NATIONAL FUEL GAS CO NJ COM	136,677.	152,070.

FORM 990PF, PART II - CORPORATE STOCK
 =====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
BIGLARI HLDGS INC	63,943.	78,004.
LAS VEGAS SANDS CORP	10,632.	13,848.
MADISON SQUARE GARDEN INC	1,608.	88,700.
ARCHER DANIELS MIDLAND CO COM	37,367.	38,346.
KRAFT FOODS INC	15,573.	22,735.
SARA LEE CORP		
NORTHERN TRUST CORP	81,588.	80,256.
GRIFFON CORP	62,115.	57,300.
MILLICOM INTL CELLULAR SA COM	56,150.	59,920.
GENON ENERGY INC		
AMC NETWORKS INC COM CL A		29,700.
DIRECT TV CL A COM		
STEEL EXCEL INC COM	29,580.	24,950.
ENERGIZER HLDGS INC COM	31,743.	39,990.
BRINKS CO COM	25,783.	28,530.
EXELIS INC COM	7,342.	6,762.
GOODRICH CORP COM		
ITT CORP COM	5,671.	7,038.
ROLLS ROYCE HLDs PLC ORD REST	609.	
XYLEM INC COM	16,994.	16,260.
BLUE COAT SYS INC COM NEW		
NETLOGIC MICROSYSTEMS INC COM		
NEWMONT MNG CORP COM		
ARBITRON INC COM	123,484.	102,168.
DIRECTV COM	94,060.	93,360.
LIBERTY MEDIA CORP LIBER A COM	20,103.	80,256.
LIBERTY VENTURES SER A COM	8,309.	87,008.
PEP BOYS MANNY MOE & JACK COM	7,623.	11,384.
UNIVERSAL ENTERTAINMENT CORP	27,386.	29,490.
	4,120.	23,997.

R. S. EVANS FOUNDATION C/O DEUTSCHE BANK

06-1480414

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
HILLSHIRE BRANDS CO COM	24,539.	28,140.
MONDELEZ INTERNATIONAL INC COM	29,013.	38,180.
SMART BALANCE INC COM	18,001.	38,700.
BANK OF NEW YORK MELLON CORP	21,883.	25,700.
BERKLEY W R CORP COM	18,911.	18,870.
RYMAN HOSPITALITY PROP REIT	92,278.	130,576.
NAVISTAR INTL CORP COM NEW	32,944.	26,124.
ROBBINS & MEYERS INC COM	59,704.	59,450.
NRG ENERGY INC COM NEW	17,267.	8,368.
	-----	-----
TOTALS	3,250,667.	5,027,714.
	=====	=====

R. S. EVANS FOUNDATION C/O DEUTSCHE BANK

06-1480414

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	ENDING BOOK VALUE -----	ENDING FMV -----
AXIOM INTL OPPORTUNITY FUND	C	1,840,000.	1,301,944.
AXIOM INTL MICRO CAP FUND	C	1,000,000.	1,608,079.
		-----	-----
TOTALS		2,840,000.	2,910,023.
		=====	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: TRACEY WHITEHEAD
DEUTSCHE BANK TRUST

ADDRESS: 345 PARK AVENUE
NEW YORK, NY 10154

TELEPHONE NUMBER: (212)454-1054

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

ROBERT S EVANS

ADDRESS:

114 GLENWOOD DRIVE
GREENWICH, CT 06830

TITLE:

PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

SUSAN C EVANS

ADDRESS:

114 GLENWOOD DRIVE
GREENWICH, CT 06830

TITLE:

SECRETARY

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

ASHLEY REID EVANS

ADDRESS:

114 GLENWOOD DRIVE
GREENWICH, CT 06830

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

JONATHAN PERRY EVANS

ADDRESS:

114 GLENWOOD DRIVE
GREENWICH, CT 06830

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

MICHAEL ROBINSON

ADDRESS:

114 GLENWOOD DRIVE
GREENWICH, CT 06830

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

DEUTSCHE BANK TRUST COMPANY, N.A.

ADDRESS:

280 PARK AVENUE
NEW YORK, NY 10017

TITLE:

AGENT FOR TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

=====

RECIPIENT NAME:

CHESAPEAKE BAY FOUNDATION

ADDRESS:

6 HERNDON AVE

ANNAPOLIS, MD 21403

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

EAGLEBROOK SCHOOL

ADDRESS:

271 PINE NOOK ROAD

DEERFIELD, MA 01342

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

EARTH ISLAND INSTITUTE

ADDRESS:

2150 ALLSTON WAY #460

BERKELEY, CA 94704

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 3,000.

=====

RECIPIENT NAME:
HEBRON ACADEMY
ADDRESS:
339 PARIS ROAD
HEBRON, ME 04238
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:
NATIONAL PUBLIC RADIO
ADDRESS:
160 VARRICK STREET
NEW YORK, NY 10013
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:
PROSTATE CANCER FOUNDATION
ADDRESS:
1250 FOURTH STREET
SANTA MONICA, CA 90401
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

ST LAWRENCE UNIVERSITY

ADDRESS:

23 ROMODA DRIVE
CANTON, NY 13617

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

SUFFIELD ACADEMY

ADDRESS:

185 NORTH STREET
SUFFIELD, CT 06078

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:

TAFT SCHOOL

ADDRESS:

100 WOODBURY ROAD
WATERTOWN, CT 06795

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 6,000.

RECIPIENT NAME:
TRINITY COLLEGE
ADDRESS:
300 SUMMIT STREET
HARTFORD, CT 06106
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 4,000.

RECIPIENT NAME:
STAMFORD HOSPITAL
ADDRESS:
30 SHELBURNE ROAD
STAMFORD, CT 06904
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
GRAYSON JOCKEY CLUB
RESEARCH FOUNDATION
ADDRESS:
821 CORPORATE DRIVE
LEXINGTON, KY 40503
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

MAYO CLINIC

ADDRESS:

200 FIRST STREET SW
ROCHESTER, MN 55905

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

UNIVERSITY OF
PENNSYLVANIA

ADDRESS:

3451 WALNUT STREET
PHILADELPHIA, PA 19104

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

RED CROSS

ADDRESS:

2025 E STREET NW
WASHINGTON, DC 20006

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
BABSON BUSINESS SCHOOL
ADDRESS:
231 FOREST ST
BABSON PARK, MA 02457
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
CHILDRENS SCHOOL
ADDRESS:
118 SCOFIELDTOWN RD
STAMFORD, CT 06903
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 2,000.

RECIPIENT NAME:
COLUMBIA UNIVERSITY
ADDRESS:
622 W 113TH ST
NEW YORK, NY 10025
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ORGANIZATIONS GENERAL EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
TAX EXEMPT
AMOUNT OF GRANT PAID 5,000.

=====

RECIPIENT NAME:

PEN PRISON WRITING
PROGRAM

ADDRESS:

588 BROADWAY - STE 303
NEW YORK, NY 10012

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

GLOBAL PARTNERSHIP
FOR AFGHANISTAN

ADDRESS:

P O BOX 1237
NEW YORK, NY 10276

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

GULF OF MAINE

ADDRESS:

350 COMMERCIAL ST
PORTLAND, ME 04101

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:

RETT

ADDRESS:

4600 DEVITT DRIVE
CINCINNATI, OH 45246

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:

BROOKINGS INSTITUTE

ADDRESS:

1775 MASSACHUSETTS AVE NW
WASHINGTON, DC 20036

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 20,000.

RECIPIENT NAME:

ELIZABETHS HOPE

ADDRESS:

525 E 68TH ST BOX 99
NEW YORK, NY 10065

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 25,000.

RECIPIENT NAME:

NEW CANAAN NATURE CTR

ADDRESS:

144 OENOKE RIDGE

NEW CANAAN, CT 06840

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

NEW CANAAN CARES

ADDRESS:

91 ELM ST

NEW CANAAN, CT 06840

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

SEAS IT

ADDRESS:

P O BOX 51

ALLENHURST, NJ 07711

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

ST PAULS

ADDRESS:

115 COLLEGE DRIVE
LAWRENCEVILLE, VA 23868

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:

SOUND WATERS

ADDRESS:

1281 COVE ISLAND RD
STAMFORD, CT 06902

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 3,000.

RECIPIENT NAME:

UNIVERSITY OF VIRGINIA

ADDRESS:

MADISON HALL PO BOX 400224
CHARLOTTESVILLE, VA 22904

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

ORGANIZATIONS GENERAL EXEMPT PURPOSE

FOUNDATION STATUS OF RECIPIENT:

TAX EXEMPT

AMOUNT OF GRANT PAID 2,000.

TOTAL GRANTS PAID:

269,500.

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**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust

R. S. EVANS FOUNDATION C/O DEUTSCHE BANK

Employer identification number

06-1480414

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	8,425.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	8,425.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					3,702.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	161,270.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	164,972.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		8,425.
14	Net long-term gain or (loss):			
a	Total for year	14a		164,972.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		173,397.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000	16	()
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Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No. 1545-0092

2012

Name of estate or trust

Employer identification number

06-1480414

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	8,425.00
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Schedule D-1 (Form 1041) 2012

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

R. S. EVANS FOUNDATION C/O DEUTSCHE BANK

06-1480414

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example, 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 250. AMC NETWORKS INC COM	08/02/2002	06/11/2012	9,523.00		9,523.00
400. AMC NETWORKS INC COM	08/02/2002	07/11/2012	16,457.00		16,457.00
1000. DANONE SPONSORED ADR	12/28/2005	03/20/2012	13,670.00	10,702.00	2,968.00
1000. DIEBOLD INC COM	04/02/2008	03/20/2012	38,956.00	37,437.00	1,519.00
400. DIRECTV CL A COM	03/27/2002	03/20/2012	19,137.00	5,711.00	13,426.00
200. FLOWERS FOODS INC COM	01/09/2003	03/20/2012	3,998.00	734.00	3,264.00
500. GATX CORP COM	01/29/2007	03/20/2012	21,349.00	22,443.00	-1,094.00
3000. GENON ENERGY INC COM	03/11/2002	03/20/2012	7,440.00	27,890.00	-20,450.00
1000. HEINZ H J CO COM	09/03/2002	06/11/2012	53,490.00	33,457.00	20,033.00
1244. INTERMEC INC COM	12/24/2009	03/20/2012	9,666.00	20,293.00	-10,627.00
256. INTERMEC INC COM	09/10/2010	03/21/2012	1,974.00	3,215.00	-1,241.00
42. LIBERTY VENTURES RTS E	02/20/2004	10/23/2012	551.00	536.00	15.00
800. ARUZE CORP ORD	04/15/2009	03/22/2012	18,474.00	5,494.00	12,980.00
600. ARUZE CORP ORD	04/15/2009	06/19/2012	12,805.00	4,120.00	8,685.00
.8 NRG ENERGY INC	03/11/2002	12/17/2012	18.00	61.00	-43.00
1000. NEWS CORP INC CL A	01/16/2004	03/20/2012	19,896.00	14,968.00	4,928.00
1000. SANOFI-AVENTIS RTS E	03/02/2011	03/20/2012	1,270.00		1,270.00
5000. SARA LEE CORP COM	10/18/2010	06/29/2012	52,071.00	48,949.00	3,122.00
3035. SPRINT CORP COM	10/08/2009	03/23/2012	8,233.00	16,908.00	-8,675.00
522. TELEPHONE AND DATA SY	08/22/2001	06/11/2012	10,680.00	25,105.00	-14,425.00
2000. THOMAS & BETTS CORP	09/03/2002	05/17/2012	144,000.00	30,965.00	113,035.00
600. TIME WARNER INC COM	01/28/2004	06/11/2012	20,992.00	23,387.00	-2,395.00
5000. DE MASTER BLENDERS 1	10/18/2010	10/05/2012	61,782.00	52,787.00	8,995.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 161,270.00

Schedule D-1 (Form 1041) 2012

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