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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
NewCity Foundation

A Employer identification number
06-1475198

Number and street (or P O box number if mail is not delivered to street address) Room/suite
Foundation Source 501 Silverside Rd

B Telephone number (see instructions)
(800) 839-1754

City or town, state, and ZIP code
Wilmington, DE 198091377

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,279,432

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	775	775		
	4	Dividends and interest from securities	80,356	80,356		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,139			
	b	Gross sales price for all assets on line 6a 859,538				
	7	Capital gain net income (from Part IV, line 2)		4,139		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	85,270	85,270		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	15,838	15,838		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	700			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	14,789	8		14,781
	24	**Total operating and administrative expenses.** Add lines 13 through 23	31,327	15,846		14,781
	25	Contributions, gifts, grants paid	249,550			249,550
	26	**Total expenses and disbursements.** Add lines 24 and 25	280,877	15,846		264,331
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-195,607			
	b	**Net investment income** (if negative, enter -0-)		69,424		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	488,657	180,264	180,264
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	569,991	643,846	647,116
	b	Investments—corporate stock (attach schedule)	1,295,601	1,334,532	1,452,052
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,354,249	2,158,642	2,279,432
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,354,249	2,158,642	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,354,249	2,158,642	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,354,249	2,158,642	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,354,249
2	Enter amount from Part I, line 27a	2	-195,607
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,158,642
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,158,642

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	859,538		855,399	4,139
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				4,139
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	4,139
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	279,420	2,481,506	000 112601
2010	295,043	2,632,660	000 112070
2009	288,488	2,781,864	000 103703
2008	342,201	3,187,702	000 107350
2007	271,910	4,780,044	000 056884

2	**Total** of line 1, column (d).	2	000 492608
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	000 098522
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	2,302,183
5	Multiply line 4 by line 3.	5	226,816
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	694
7	Add lines 5 and 6.	7	227,510
8	Enter qualifying distributions from Part XII, line 4.	8	264,331

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
	Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	694
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	694
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	694
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,030		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	3,030
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,336
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 700	**Refunded** ▶		11	1,636

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ________			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶co Foundation Source Telephone no ▶(800) 839-1754

Located at ▶501 Silverside Road Suite 123 Wilmington DE ZIP+4 ▶198091377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☐ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Marissa V G Ferguson Foundation Source 501 Silverside Rd Wilmington, DE 19809	Trustee 001 00	0		
Richard A Ferguson Foundation Source 501 Silverside Rd Wilmington, DE 19809	Trustee 001 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,988,643
b	Average of monthly cash balances	1b	348,599
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,337,242
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,337,242
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	35,059
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,302,183
6	**Minimum investment return.** Enter 5% of line 5	6	115,109

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	115,109
2a	Tax on investment income for 2012 from Part VI, line 5	2a	694		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	694
3	Distributable amount before adjustments Subtract line 2c from line 1			3	114,415
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	114,415
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	114,415

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	264,331
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	264,331
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	694
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	263,637

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					114,415
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2012					
a From 2007.					
b From 2008.	177,223				
c From 2009.	150,525				
d From 2010.	164,400				
e From 2011.	158,169				
f **Total** of lines 3a through e.		650,317			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 264,331					
a Applied to 2011, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2012 distributable amount.					114,415
e Remaining amount distributed out of corpus		149,916			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		800,233			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		800,233			
10 Analysis of line 9					
a Excess from 2008. . . .	177,223				
b Excess from 2009. . . .	150,525				
c Excess from 2010. . . .	164,400				
d Excess from 2011. . . .	158,169				
e Excess from 2012. . . .	149,916				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				249,550
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	776	
4 Dividends and interest from securities. . . .			14	80,493	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	3,997	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				85,266	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 85,266

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2013-08-01 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Jeffrey D Haskell	Jeffrey D Haskell	2013-08-01		

Firm's name ▶ Foundation Source

Firm's EIN ▶

Firm's address ▶ One Hollow Lane Suite 212 Lake Success, NY 11042

Phone no (800) 839-1754

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

Marissa V G Ferguson
Richard A Ferguson

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACHIEVEMENT FIRST INC 403 JAMES ST NEW HAVEN, CT 06513	N/A	509a1	Elm City College Prep Operating Fund	20,000
ACHIEVEMENT FIRST INC 403 JAMES ST NEW HAVEN, CT 06513	N/A	509a1	Charitable Event	4,650
ALS ANGELS INC 342 GREENS FARMS RD WESTPORT, CT 06880	N/A	509a1	Charitable Event	500
AMERICARES FOUNDATION INC 88 HAMILTON AVE STAMFORD, CT 06902	N/A	509a1	Hurricane Sandy Relief	1,000
ANTI-DEFAMATION LEAGUE OF BNAI BRITH 1952 WHITNEY AVE 3RD FL HAMDEN, CT 06517	N/A	509a2	Charitable Event	1,500
BOWDOIN COLLEGE 3530 COLLEGE STA BRUNSWICK, ME 04011	N/A	509a1	Parents Fund	2,500
BRIDGEPORT PUBLIC EDUCATION FUND INC 446 UNIVERSITY AVE BRIDGEPORT, CT 06604	N/A	509a1	General Unrestricted	2,100
BRIDGEPORT PUBLIC EDUCATION FUND INC 446 UNIVERSITY AVE BRIDGEPORT, CT 06604	N/A	509a1	Be Enthusiastic About Reading Program	800
BRIDGEPORT PUBLIC EDUCATION FUND INC 446 UNIVERSITY AVE BRIDGEPORT, CT 06604	N/A	509a1	Charitable Event	1,000
BROADCASTERS FOUNDATION OF AMERICA 125 W 55TH ST 21ST FL NEW YORK, NY 10019	N/A	509a1	General Unrestricted	2,000
BROADCASTERS FOUNDATION OF AMERICA 125 W 55TH ST 21ST FL NEW YORK, NY 10019	N/A	509a1	Charitable Event	1,000
BROADCASTERS FOUNDATION OF AMERICA 125 W 55TH ST 21ST FL NEW YORK, NY 10019	N/A	509a1	Charitable Event	1,000
CHARTER OAK CHALLENGE FOUNDATION INC 575 RIVERSIDE AVE STE 202A WESTPORT, CT 06880	N/A	509a1	Charitable Event	1,000
CHARTER OAK CHALLENGE FOUNDATION INC 575 RIVERSIDE AVE STE 202A WESTPORT, CT 06880	N/A	509a1	Charitable Event	500
COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON ST NEW HAVEN, CT 06510	N/A	509a1	Read Revolution College Book Endowment Fund	750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CONNECTICUT COALITION FOR ACHIEVEMENT NOW INC - CONNCAN 85 WILLOW ST NEW HAVEN, CT 06511	N/A	509a1	General Unrestricted	8,000
CONNECTICUT PLAYERS FOUNDATION INC 222 SARGENT DR NEW HAVEN, CT 06511	N/A	509a2	General Unrestricted	2,000
CONNECTICUT PLAYERS FOUNDATION INC 222 SARGENT DR NEW HAVEN, CT 06511	N/A	509a2	Long Wharf Renovation	5,000
COUNCIL OF CHIEF STATE SCHOOL OFFICERS 1 MASS AVE NW STE 700 WASHINGTON, DC 20001	N/A	509a2	Connecticut State Department of Education	10,000
EAGLE HILL-SOUTHPORT SCHOOL INC 214 MAIN ST SOUTHPORT, CT 06890	N/A	509a1	Eagle Hill Southport Annual Fund	4,000
EAGLE HILL-SOUTHPORT SCHOOL INC 214 MAIN ST SOUTHPORT, CT 06890	N/A	509a1	Charitable Event	500
EAGLE HILL-SOUTHPORT SCHOOL INC 214 MAIN ST SOUTHPORT, CT 06890	N/A	509a1	Eagle Hill Capital Campaign	20,000
EXCEL BRIDGEPORT INC 1057 BROAD ST STE 302 BRIDGEPORT, CT 06604	N/A	509a1	General Unrestricted	5,000
FORMAN SCHOOLS INC PO BOX 80 LITCHFIELD, CT 06759	N/A	509a1	Annual Fund	2,000
FRIENDS OF NEW BEGINNINGS FAMILY ACADEMY INC 184 GARDEN ST STE 109 BRIDGEPORT, CT 06605	N/A	509a3	General Unrestricted	4,000
GRUMMAN HILL MONTESSORI ASSOCIATION 34 WHIPPLE RD WILTON, CT 06897	N/A	509a1	Annual Fund	8,000
GRUMMAN HILL MONTESSORI ASSOCIATION 34 WHIPPLE RD WILTON, CT 06897	N/A	509a1	Charitable Event	800
HABITAT FOR HUMANITY OF COASTAL FAIRFIELD COUNTY INC 1542 BARNUM AVE BRIDGEPORT, CT 06610	N/A	509a1	General Unrestricted	500
HOFSTRA UNIVERSITY 205 MEMORIAL HALL 126 HOFSTRA UNIVE HEMPSTEAD, NY 11549	N/A	509a1	The Parent Fund	500
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	2011 Senior Parents Fund	15,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Franklin and Virginia Ferguson Fund	50,000
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Heads Discretionary Fund	2,000
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Hopkins Annual Fund	10,000
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Breakthrough Program	1,000
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Charitable Event	2,000
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Charitable Event	1,800
HOPKINS SCHOOL INCORPORATED 986 FOREST RD NEW HAVEN, CT 06515	N/A	509a1	Endowment	20,000
JUNIOR ACHIEVEMENT OF WESTERN CONNECTICUT 835 MAIN ST BRIDGEPORT, CT 06604	N/A	509a1	General Unrestricted	2,500
KIDS EMPOWERED BY YOUR SUPPORT INC 16 SEIR HILL RD WILTON, CT 06897	N/A	509a1	General Unrestricted	500
LEADERSHIP EDUCATION AND ATHLETICS IN PARTNERSHIP INC 31 JEFFERSON ST NEW HAVEN, CT 06511	N/A	509a1	Charitable Event	1,000
NATIONAL FEDERATION OF THE BLIND 477 CONNECTICUT BLVD STE 217 EAST HARTFORD, CT 06108	N/A	509a1	General Unrestricted	50
NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS & IDEAS INC 195 CHURCH ST 7TH FL NEW HAVEN, CT 06510	N/A	509a1	General Unrestricted	500
SALISBURY SCHOOL INC 251 CANAAN RD SALISBURY, CT 06068	N/A	509a1	Annual Fund	2,500
SCHOOL VOLUNTEER ASSOCIATION OF BRIDGEPORT INC 280 TESINY AVE BRIDGEPORT, CT 06606	N/A	509a1	2012 Read Aloud Day Program	1,000
SMART KIDS WITH LEARNING DISABILITIES INC 38 KINGS HWY N WESTPORT, CT 06880	N/A	509a1	General Unrestricted	2,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TALMADGE HILL COMMUNITY CHURCH 870 HOLLOW TREE RIDGE RD DARIEN, CT 06820	N/A	509a1	General Unrestricted	3,000
TEACH FOR AMERICA - CONNECTICUT 142 TEMPLE ST STE 303 NEW HAVEN, CT 06511	N/A	509a1	General Unrestricted	7,000
THE FAIRFIELD COUNTY COMMUNITY FOUNDATION INC 383 MAIN AVE 4TH FL NORWALK, CT 06851	N/A	509a1	Bridgeport School Improvement Project	10,000
TRUSTEES OF UNION COLLEGE 807 UNION ST SCHENECTADY, NY 12308	N/A	509a1	Annual Fund	5,000
UNITED WAY OF COASTAL FAIRFIELD COUNTY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	509a1	General Unrestricted	1,000
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVE MADISON, WI 53708	N/A	509a1	School of Education Fund	100
WSHU PUBLIC RADIO 5151 PARK AVE FAIRFIELD, CT 06825	N/A	509a1	General Unrestricted	1,000
Total . . . . ▶ **3a**				249,550

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TY 2012 Gain/Loss from Sale of Other Assets Schedule

Name: NewCity Foundation

EIN: 06-1475198

Software ID: 12000057

Software Version: 12.15.422.1

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly-traded Securities					859,538	855,399			4,139	

TY 2012 General Explanation Attachment

Name: NewCity Foundation

EIN: 06-1475198

Software ID: 12000057

Software Version: 12.15.422.1

TY 2012 Investments Corporate Stock Schedule

Name: NewCity Foundation
EIN: 06-1475198
Software ID: 12000057
Software Version: 12.15.422.1

Name of Stock	End of Year Book Value	End of Year Fair Market Value
597 shares of ABBOTT LABS	31,967	39,104
20385 shares of ALLIANZ FIXED INCOME SHARES SERIES C	258,482	275,197
24480 shares of ALLIANZ FIXED INCOMESHARES SERIES M	258,264	274,666
1129 shares of ATT CORP COM NEW	32,868	38,059
933 shares of CENTURY LINK INC	33,947	36,499
311 shares of CHEVRON CORP	32,039	33,632
472 shares of CHUBB CORP	31,541	35,551
2162 shares of CISCO SYSTEMS INC	37,248	42,481
359 shares of COLGATE-PALMOLIVE COMPANY	31,774	37,530
754 shares of EMERSON ELECTRIC CO.	37,934	39,932
471 shares of ENTERGY CORP	32,521	30,026
1984 shares of GENERAL ELECTRIC CO	32,268	41,644
1336 shares of INTEL CORP	32,047	27,548
506 shares of JOHNSON JOHNSON	32,251	35,471
984 shares of JP MORGAN CHASE CO	32,029	43,265
670 shares of LEAR CORP	25,251	31,383
340 shares of MCDONALDS CORP	31,866	29,991
927 shares of MEDTRONIC INC	32,029	38,026
1239 shares of MICROSOFT CORPORATION	32,054	33,093
3052 shares of NEW YORK COMNTY BANCORP INC.	38,613	39,981
503 shares of PEPSICO INC	31,766	34,420
1636 shares of PFIZER INC.	32,532	41,030
508 shares of PROCTER GAMBLE CO	32,057	34,488
831 shares of REYNOLDS AMERN INC	32,678	34,428
2231 shares of STAPLES INC.	32,115	25,433
605 shares of TARGET CORPORATION	31,740	35,798
1172 shares of WALGREEN CO	34,651	43,376

TY 2012 Other Expenses Schedule

Name: NewCity Foundation

EIN: 06-1475198

Software ID: 12000057

Software Version: 12.15.422.1

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	14,781			14,781
Bank Charges	8	8		

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TY 2012 Other Professional Fees Schedule

Name: NewCity Foundation

EIN: 06-1475198

Software ID: 12000057

Software Version: 12.15.422.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	15,838	15,838		

TY 2012 Taxes Schedule

Name: NewCity Foundation

EIN: 06-1475198

Software ID: 12000057

Software Version: 12.15.422.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Estimated Tax for 2012	700			