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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2012

For calendar year 2012 or tax year beginning

, and ending

## Name of foundation

THE ROSENCRANS FAMILY FOUNDATION INC.  
C/O ROBERT M. ROSENCRANS

Number and street (or P O box number if mail is not delivered to street address)

331 ROUND HILL ROAD

## A Employer identification number

06-1469013

City or town, state, and ZIP code

GREENWICH, CT 06831-3303

## G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

## H Check type of organization

 Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year **J Accounting method**  Cash  Accrual  
(from Part II, col (c), line 16)  Other (specify) **► \$ 440,519.** (Part I, column (d) must be on cash basis)

## B Telephone number

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	11,999.	11,999.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,404.			
b	Gross sales price for all assets on line 6a	311,241.			
7	Capital gain net income (from Part IV, line 2)		2,404.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	14,403.	14,403.		
13	Compensation of officers, directors, trustees, etc	0.	0.	0.	
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 2	2,800.	0.	0.
c	Other professional fees	STMT 3	4,146.	4,146.	0.
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses				
24	Total operating and administrative expenses. Add lines 13 through 23	6,946.	4,146.	0.	
25	Contributions, gifts, grants paid	21,820.		21,820.	
26	Total expenses and disbursements. Add lines 24 and 25	28,766.	4,146.	21,820.	
27	Subtract line 26 from line 12	-14,363.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		10,257.		
c	Adjusted net income (if negative, enter -0-)			N/A	

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing				
2	Savings and temporary cash investments		51,952.	33,800.	33,800.
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U S and state government obligations				
b	Investments - corporate stock	STMT 4	419,696.	276,095.	258,462.
c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 5	0.	150,000.	148,257.
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ► )				
16	Total assets (to be completed by all filers)		471,648.	459,895.	440,519.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
Net Assets or Fund Balances	22	Other liabilities (describe ► )			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>	and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>	and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	471,648.	459,895.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30	Total net assets or fund balances	471,648.	459,895.	
	31	Total liabilities and net assets/fund balances	471,648.	459,895.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	471,648.
2	Enter amount from Part I, line 27a	2	-14,363.
3	Other increases not included in line 2 (itemize) ► <u>BOOK ADJUSTMENT</u>	3	2,610.
4	Add lines 1, 2, and 3	4	459,895.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	459,895.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b	SEE ATTACHED STATEMENT				
c					
d					
e					
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a					
b					
c					
d					
e	311,241.		308,837.		2,404.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a					
b					
c					
d					
e					2,404.
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	2,404.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	20,000.	400,566.	.049929
2010	20,080.	392,064.	.051216
2009	25,595.	375,663.	.068133
2008	31,402.	484,044.	.064874
2007	31,693.	584,204.	.054250

2 Total of line 1, column (d)

2 .288402

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .057680

4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5

4 11,336.

5 Multiply line 4 by line 3

5 23,726.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 103.

7 Add lines 5 and 6

7 23,829.

8 Enter qualifying distributions from Part XII, line 4

8 21,820.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1

Date of ruling, or determination letter \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5 Tax based on Investment Income. Subtract line 4 from line 3 If zero or less, enter -0-

## 6 Credits/Payments

a 2012 estimated tax payments and 2011 overpayment credited to 2012

6a 667.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments Add lines 6a through 6d

7 667.

8 Enter any penalty for underpayment of estimated tax Check here  if Form 2220 is attached

8

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 462.

11 Enter the amount of line 10 to be Credited to 2013 estimated tax ►

11 0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes

No

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

1b X

*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*

c Did the foundation file Form 1120-POL for this year?

1c X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

1d

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 X

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

*If "Yes," attach a detailed description of the activities*3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

3 X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 X

*If "Yes," attach the statement required by General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

6 X

• By language in the governing instrument, or

7 X

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

7

*If "Yes," complete Part II, col (c), and Part XV*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

8a

CT

8b X

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

8b

of each state as required by General Instruction G? *If "No," attach explanation*

9 X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*

9

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

10 X

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**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13	X	
Website address ► N/A		
14	The books are in care of ► ROBERT M. ROSENCRANS	Telephone no ► 203-987-3660
	Located at ► 331 ROUND HILL RD., GREENWICH, CT	ZIP+4 ► 06831-3303
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► <input type="checkbox"/> 15 N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ►	Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► <input type="checkbox"/>	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes	X No
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	X No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b X	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4a X	
	4b X	

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**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A  
►

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARJORIE M. ROSENCRANS	PRESIDENT			
	0.00	0.	0.	0.
RICHARD H. ROSENCRANS	TREASURER			
	0.00	0.	0.	0.
RON J. ROSENCRANS	SECRETARY			
	0.00	0.	0.	0.
ALLAN M. ROSENBLUM	ASST. SECRETARY			
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0  
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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
3	
Total. Add lines 1 through 3 ► 0.	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a Average monthly fair market value of securities	1a	375,471.
b Average of monthly cash balances	1b	42,129.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	417,600.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	417,600.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,264.
5 Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	411,336.
6 Minimum investment return. Enter 5% of line 5	6	20,567.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part )

1 Minimum investment return from Part X, line 6	1	20,567.
2a Tax on investment income for 2012 from Part VI, line 5	2a	205.
b Income tax for 2012 (This does not include the tax from Part VI )	2b	
c Add lines 2a and 2b	2c	205.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	20,362.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	20,362.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	20,362.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	21,820.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	21,820.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	21,820.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				20,362.
2 Undistributed income, if any, as of the end of 2012			0.	
a Enter amount for 2011 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	3,328.			
b From 2008	7,760.			
c From 2009	6,952.			
d From 2010	1,116.			
e From 2011	316.			
f Total of lines 3a through e	19,472.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$ 21,820.			0.	
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount	1,458.			
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	20,930.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	3,328.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	17,602.			
10 Analysis of line 9				
a Excess from 2008	7,760.			
b Excess from 2009	6,952.			
c Excess from 2010	1,116.			
d Excess from 2011	316.			
e Excess from 2012	1,458.			



THE ROSENCRANS FAMILY FOUNDATION INC.  
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**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
THE LUSTGARTEN FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	500.
REACH THE WORLD 329 EAST 82ND STREET, 2ND FLOOR NEW YORK, NY 10028	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	500.
RAINBOW BABIES & CHILDREN'S HOSPITAL 11100 EUCLID AVE #122 CLEVELAND, OH 44106	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	300.
STAMFORD HOSPITAL 30 SHELBYNE RD STAMFORD, CT 06902	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	100.
GREENWICH UNITED WAY 1 LAFAYETTE CT GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	250.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 21,820.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

THE ROSENCRANS FAMILY FOUNDATION INC.  
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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		(e) Related or exempt function income
		(a) Business code	(b) Amount	
<b>1</b> Program service revenue				
a				
b				
c				
d				
e				
f				
<b>g</b> Fees and contracts from government agencies				
<b>2</b> Membership dues and assessments				
<b>3</b> Interest on savings and temporary cash investments				
<b>4</b> Dividends and interest from securities			14	11,999.
<b>5</b> Net rental income or (loss) from real estate				
a Debt-financed property				
b Not debt-financed property				
<b>6</b> Net rental income or (loss) from personal property				
<b>7</b> Other investment income				
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	2,404.
<b>9</b> Net income or (loss) from special events				
<b>10</b> Gross profit or (loss) from sales of inventory				
<b>11</b> Other revenue				
a				
b				
c				
d				
e				
<b>12</b> Subtotal Add columns (b), (d), and (e)			0.	14,403.
<b>13</b> Total Add line 12, columns (b), (d), and (e)			13	14,403.
(See worksheet in line 12 instructions to verify calculations.)				

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
N/A	

THE ROSENCRANS FAMILY FOUNDATION INC.  
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**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		
	</	

<b>Sign Here</b>	<p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="flex: 1; text-align: center;">  <p>Signature of officer or trustee</p> </div> <div style="flex: 1; text-align: center;"> <p>15/19/13</p> <p>Date</p> </div> <div style="flex: 1; text-align: center;">  <p>Treasurer</p> <p>Title</p> </div> </div>		<p>May the IRS discuss this return with the preparer shown below (see instr.)?</p> <div style="display: flex; justify-content: space-around;"> <input checked="" type="checkbox"/> Yes         <input type="checkbox"/> No       </div>
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<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	JOHN FARRO	<i>John Farro</i>	5/13/13		P00969780
	Firm's name ► WTAS LLC			Firm's EIN ► 33-1197384	
Firm's address ► 1700 EAST PUTNAM AVENUE, SUITE 206 OLD GREENWICH, CT 06870			Phone no	203-987-3660	

THE ROSENCRANS FAMILY FOUNDATION INC.  
C/O ROBERT M. ROSENCRANS

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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRUNSWICK SCHOOL 100 MAHER AVENUE GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	2,000.
AMERICAN RED CROSS METRO NEW YORK NORTH 99 INDIAN FIELD ROAD GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	1,500.
GREENWICH ALLIANCE FOR EDUCATION 48 MAPLE AVE GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	100.
CYSTIC FIBROSIS FOUNDATION 6931 ARLINGTON ROAD, 2ND FLOOR BETHESDA, MD 20814	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	170.
GREENWICH ACADEMY 200 NORTH MAPLE AVENUE GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	2,000.
ELON UNIVERSITY 400 S OKELEY AVE ELON, NC 27244	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	500.
WATERSIDE SCHOOL 770 PACIFIC ST STAMFORD, CT 06902	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	450.
MEDWISH 17325 EUCLID AVENUE CLEVELAND, OH 44112-1209	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	300.
SKIDMORE COLLEGE 815 NORTH BROADWAY SARATOGA SPRINGS, NY 12866	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	150.
MARCH OF DIMES 1275 MAMARONECK AVENUE WHITE PLAINS, NY 10605	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	100.
<b>Total from continuation sheets</b>				<b>20,170.</b>

THE ROSENCRANS FAMILY FOUNDATION INC.  
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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEMPLE BETH SHALOM 1809 WHITNEY AVENUE HAMDEN, CT 06517	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	1,210.
UNIVERSITY SCHOOL 20701 BRANTLEY ROAD SHAKER HEIGHTS, OH 44122	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	3,640.
BIG BROTHERS & BIG SISTERS 230 NORTH 13TH STREET PHILADELPHIA, PA 19107	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	200.
HEART TRUST 23 CHAPEL LANE RIVERSIDE, CT 06878	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	1,000.
CHILDREN OF TANZANIA 3 LITTLE COVE PLACE OLD GREENWICH, CT 06870	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	250.
MASS AUDUBON 208 SOUTH GREAT ROAD LINCOLN, MA 01773	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	200.
MEALS ON WHEELS INC OF GREENWICH 89 MAPLE AVE GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	200.
CONNECTICUT RIVER WATERSHED COUNCIL 15 BANK ROW STREET GREENFIELD, MA 01301	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	500.
MASSACHUSETTS RIVERS ALLIANCE 14 BEACON STREET, SUITE 706 BOSTON, MA 02108	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	200.
GREENWICH LIBRARY 101 W PUTNAM AVE GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	100.
<b>Total from continuation sheets</b>				

THE ROSENCRANS FAMILY FOUNDATION INC.  
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**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOYS & GIRLS CLUBS OF GREENWICH 4 HORSENECK LN GREENWICH, CT 06830	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	500.
BIOCITIZEN SCHOOL OF ENVIRONMENTAL PHILOSOPHY 1 STAGE RD WESTHAMPTON, MA 01027	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	1,500.
THE WILLISTON NORTHAMPTON SCHOOL 19 PAYSON AVE EASTHAMPTON, MA 01027	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	2,000.
NEW HEIGHTS YOUTH INC. 1825 MADISON AVE NEW YORK, NY 10035	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	200.
PLANNED PARENTHOOD FEDERATION OF AMERICA 434 WEST 33RD STREET NEW YORK, NY 10001	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	200.
LITERACY VOLUNTEERS STAMFORD/GREENWICH INC. 141 FRANKLIN ST STAMFORD, CT 06901	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	100.
TOXICS ACTION CENTER 198 PARK RD, 2ND FLOOR WEST HARTFORD, CT 06119	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	500.
NORTHAMPTON SURVIVAL CENTER 265 PROSPECT ST NORTHAMPTON, MA 01060	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	300.
GROW FOOD NORTHAMPTON P.O. BOX 849 NORTHAMPTON, MA 01061	N/A	EXEMPT UNDER SEC. 501(C)(3)	GENERAL SUPPORT	100.
<b>Total from continuation sheets</b>				

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a JPMORGAN CHASE & CO			P	07/12/11	03/16/12
b JOHNSON & JOHNSON COM			P	02/10/06	08/07/12
c JOHNSON & JOHNSON COM			P	04/22/09	08/07/12
d MERCK & CO INC NEW COM			P	07/12/11	08/07/12
e PROCTER & GAMBLE CO			P	04/13/07	10/05/12
f WEYERHAEUSER CO			P	05/20/08	03/16/12
g WEYERHAEUSER CO			P	09/01/10	03/16/12
h					
i					
j					
k					
l					
m					
n					
o					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 53,354.		47,457.	5,897.		
b 61,742.		52,623.	9,119.		
c 13,720.		10,301.	3,419.		
d 53,269.		42,969.	10,300.		
e 69,788.		63,330.	6,458.		
f 21,972.		65,708.	-43,736.		
g 37,396.		26,449.	10,947.		
h					
i					
j					
k					
l					
m					
n					
o					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			5,897.		
b			9,119.		
c			3,419.		
d			10,300.		
e			6,458.		
f			-43,736.		
g			10,947.		
h					
i					
j					
k					
l					
m					
n					
o					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	2,404.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }		3	N/A	

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS FINANCIAL SERVICES #TN 12174 LK	11,999.	0.	11,999.
TOTAL TO FM 990-PF, PART I, LN 4	11,999.	0.	11,999.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
WTAS FEES	2,800.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,800.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
UBS INVESTMENT FEES	4,146.	4,146.		0.
TO FORM 990-PF, PG 1, LN 16C	4,146.	4,146.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BANK OF AMERICA CORP.	12,880.	11,610.
BAXTER INTERNATIONAL INC.	55,518.	66,660.
SPRINT NEXTEL CORP.	42,461.	11,340.
PROCTER & GAMBLE CO.	0.	0.
WEYERHAEUSER CO.	0.	0.
JPMORGAN CHASE & CO	0.	0.
MERCK & CO INC NEW COM	0.	0.
ASTRAZENECA PLC SPON ADR	56,880.	56,724.

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NOVARTIS AG SPON ADR	54,668.	63,300.
POTASH CORP SASK INC CANADA CAD	53,688.	48,828.
JOHNSON & JOHNSON	0.	0.
<b>TOTAL TO FORM 990-PF, PART II, LINE 10B</b>	<b>276,095.</b>	<b>258,462.</b>

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CENTER COAST MLP FOCUS FUND CLASS A	COST	75,000.	72,157.
PIMCO ALL ASSETS ALL AUTH-A	COST	75,000.	76,100.
<b>TOTAL TO FORM 990-PF, PART II, LINE 13</b>		<b>150,000.</b>	<b>148,257.</b>