See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

ENVELOPE POSTMARK DATE NOV 0 8 2013

For calendar year 2012 or tax year beginning , and ending

Name of foundation: LITTLE ROYAL-FAMILY FDN TR

A Employer identification number: 05-6016740

Number and street (or P O box number if mail is not delivered to street address): BNY Mellon, N A - P O BOX 185 | Room/suite:

B Telephone number (see instructions): (617) 722-7772

City or town, state, and ZIP code: Pittsburgh PA 15230-0185

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 20,244,602

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	427,306	432,203		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	644,579			
	b Gross sales price for all assets on line 6a 9,511,440				
	7 Capital gain net income (from Part IV, line 2)		644,579		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,126	2,126		
	12 **Total.** Add lines 1 through 11	1,074,011	1,078,908	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	73,468	44,081		29,387
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	15,693	4,093		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	194,485	39,130		155,355
	24 **Total operating and administrative expenses.** Add lines 13 through 23	283,646	87,304	0	184,742
	25 Contributions, gifts, grants paid	1,420,048			1,420,048
	26 **Total expenses and disbursements** Add lines 24 and 25	1,703,694	87,304	0	1,604,790
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-629,683			
	b **Net investment income** (if negative, enter -0-)		991,604		
	c **Adjusted net income** (if negative, enter -0-)			0	

RECEIVED NOV 1 4 2013 OGDEN, UT IRS-OSC

SCANNED NOV 2 2 2013

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

HTA

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing		445,084	445,084
	2 Savings and temporary cash investments	262,106		
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	18,823,589	18,037,500	19,799,518
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	19,085,695	18,482,584	20,244,602
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	19,085,695	18,482,584	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	19,085,695	18,482,584	
	31 **Total liabilities and net assets/fund balances** (see instructions)	19,085,695	18,482,584	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,085,695
2	Enter amount from Part I, line 27a	2	-629,683
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	283,856
4	Add lines 1, 2, and 3	4	18,739,868
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	257,284
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,482,584

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				0
b				0
c				0
d				0
e				0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				0
b				0
c				0
d				0
e				0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	644,579
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,696,928	21,506,712	0 078902
2010	1,593,121	21,717,928	0 073355
2009	1,667,869	19,988,112	0 083443
2008	1,704,872	25,285,225	0 067426
2007	1,973,750	29,996,802	0 065799

2 **Total** of line 1, column (d)	2	0 368925
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 073785
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	20,882,079
5 Multiply line 4 by line 3	5	1,540,784
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,916
7 Add lines 5 and 6	7	1,550,700
8 Enter qualifying distributions from Part XII, line 4	8	1,604,790

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b		1	9,916
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	9,916
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	9,916
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a 11,965		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 2,000		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	13,965
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	4,049
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 4,049	**Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ _____ (2) On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ RI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BNY MELLON, N A Telephone no ▶ (617) 722-7772

Located at ▶ P O BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY Mellon, N A P O BOX 185 Pittsburgh, PA 15230-0185 0	TRUSTEE SEE ATTACHED	149,123	NONE	NONE
BNY Mellon, N A P O. BOX 185 Pittsburgh, 40 15230-0185	FEE REIMBURSEMENT	-75,655	N/A	N/A

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	NONE

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	20,771,907
b	Average of monthly cash balances			1b	428,173
c	Fair market value of all other assets (see instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	21,200,080
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	21,200,080
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)			4	318,001
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	20,882,079
6	**Minimum investment return.** Enter 5% of line 5			6	1,044,104

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,044,104
2a	Tax on investment income for 2012 from Part VI, line 5	2a	9,916		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	148		
c	Add lines 2a and 2b			2c	10,064
3	Distributable amount before adjustments Subtract line 2c from line 1			3	1,034,040
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	1,034,040
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	1,034,040

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	1,604,790
b	Program-related investments—total from Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,604,790
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	9,916
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,594,874

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				1,034,040
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			0	
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2012				
a	From 2007 — 170,921				
b	From 2008 — 451,854				
c	From 2009 — 674,423				
d	From 2010 — 532,975				
e	From 2011 — 650,700				
f	**Total** of lines 3a through e	2,480,873			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 1,604,790				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				1,034,040
e	Remaining amount distributed out of corpus	570,750			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,051,623			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	170,921			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	2,880,702			
10	Analysis of line 9				
a	Excess from 2008 — 451,854				
b	Excess from 2009 — 674,423				
c	Excess from 2010 — 532,975				
d	Excess from 2011 — 650,700				
e	Excess from 2012 — 570,750				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

NOT APPLICABLE

b The form in which applications should be submitted and information and materials they should include

NOT APPLICABLE

c Any submission deadlines

NOT APPLICABLE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NOT APPLICABLE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Attached Statement				
Total			▶ 3a	1,420,048
b *Approved for future payment* NONE				
Total			▶ 3b	NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities	900099	107	14	427,306	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	900099	3,860	18	644,579	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b PARTNERSHIPS	900099	422	01	2,126	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		4,389		1,074,011	0
13 **Total.** Add line 12, columns (b), (d), and (e)				13	1,078,400

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee | 11/7/2013 Date | ▶ VICE PRESIDENT Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Shawn P Hanlon	, CPA	11/7/2013		P00965923
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP			Firm's EIN ▶ 13-4008324	
Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219			Phone no (412) 355-6000	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name				
SEE ATTACHED				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount** 1,420,048

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

Name				
Street				
City	**State**	**Zip Code**	**Foreign Country**	
Relationship	**Foundation Status**			
Purpose of grant/contribution				**Amount**

LITTLE FAMILY FOUNDATION 2012 GRANTS

Transaction Description	Additional Name	Check Num	Entry Date	Amount
CASH DISBURSEMENT PAID TO MIDDLETOWN EDUCATION COLLABORATIVE CHARITABLE GRANT GRANT 2012 - WINTER BLUES BASH	MIDDLETOWN EDUCATION COLLABORATIV	0081398634	01/17/2012	-1 000 00
CASH DISBURSEMENT PAID TO MIRROR REPERTORY COMPANY CHARITABLE GRANT GENERAL OPERATING EXPENSES	MIRROR REPERTORY COMPANY	0081398600	01/17/2012	-5 000 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT WOMEN'S GOLF-LONGITUDINAL STUDY	NGCOA FOUNDATION	0081398599	01/17/2012	-15 000 00
CASH DISBURSEMENT PAID TO CARNEGIE HALL SOCIETY INC CHARITABLE GRANT 2012 GRANT GENERAL PURPOSES	CARNEGIE HALL SOCIETY INC	0081399861	01/25/2012	-2 500 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT WOMEN'S GOLF STUDY	NGCOA FOUNDATION	0081399860	01/25/2012	-2 000 00
CASH DISBURSEMENT PAID TO BIG SISTER ASSOCIATION OF GREATER CHARITABLE GRANT GENERAL PURPOSES	BIG SISTER ASSOCIATION OF GREATER	0081408526	02/23/2012	-1 000 00
CASH DISBURSEMENT PAID TO ENSEMBLE STUDIO THEATER CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	ENSEMBLE STUDIO THEATER	0081412205	03/02/2012	-10 000 00
CASH DISBURSEMENT PAID TO ISABELLA STEWART GARDNER MUSEUM CHARITABLE GRANT GALA	ISABELLA STEWART GARDNER MUSEUM	0081412207	03/02/2012	10 000 00
CASH DISBURSEMENT PAID TO JUNIOR ACHIEVEMENT OF NORTH ENGLAND CHARITABLE GRANT JA GOLF CLASSIC SPONSORSHIP 2012	JUNIOR ACHIEVEMENT OF NORTH ENGLAI	0081412204	03/02/2012	-5 500 00
CASH DISBURSEMENT PAID TO WOMEN S PROJECT CHARITABLE GRANT 2012 GRANT GENERAL OPERATING EXPENSES	WOMEN'S PROJECT	0081412206	03/02/2012	12 500 00
CASH DISBURSEMENT PAID TO JP MORGAN CHASE BANK WIRE TRANSFER 2012 GRANT WOMEN's PROJECT	WOMEN'S PROJECT	WIRE	03/09/2012	-87 500 00
CASH DISBURSEMENT PAID TO MILTON ACADEMY CHARITABLE GRANT 2012 GRANT - ANNUAL GIVING	MILTON ACADEMY	0081416083	03/16/2012	-2 000 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT WOMEN'S GOLF STUDY - GOLF LABORATORIES	NGCOA FOUNDATION	0081425222	04/02/2012	-10 500 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT WOMEN S GOLF STUDY - HUNTER PR	NGCOA FOUNDATION	0081425221	04/02/2012	2 000 00
CASH DISBURSEMENT PAID TO AQUIDNECK SCHOOL PTG CHARITABLE GRANT AQUIDNECK VARIETY SHOW	AQUIDNECK SCHOOL PTG	0081430419	04/13/2012	-300 00
CASH DISBURSEMENT PAID TO NEW YORK CITY BALLET CHARITABLE GRANT 2012 GRANT	NEW YORK CITY BALLET	0081439031	05/02/2012	-4 500 00
CASH DISBURSEMENT PAID TO HOSPARUS OF LOUISVILLE CHARITABLE GRANT IN HONOR OF HARRISON HOUSTON (HATCH) JONES	HOSPARUS OF LOUISVILLE	0081444168	05/21/2012	2 500 00
CASH DISBURSEMENT PAID TO THE SCHOOL OF AMERICAN BALLET CHARITABLE GRANT 2012 GRANT FOR GENERAL OPERATING EXPENSES	THE SCHOOL OF AMERICAN BALLET	0081446590	05/30/2012	2 000 00
CASH DISBURSEMENT PAID TO WAKEFIELD FOOD PANTRY CHARITABLE GRANT 1ST INSTALLMENT - 4 YEAR CHALLENGE GRANT	WAKEFIELD FOOD PANTRY	0081446589	05/30/2012	-40,000 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT WOMEN'S GOLF PROJECT HEAD MEASUREMENT TECH DEVICE	NGCOA FOUNDATION	0081448861	06/01/2012	-7 366 21
CASH DISBURSEMENT PAID TO ISABELLA STEWART GARDNER MUSEUM CHARITABLE GRANT PLEDGE TO SUPPORT MUSEUM S PROGRAMS	ISABELLA STEWART GARDNER MUSEUM	0081452590	06/13/2012	-5 000 00
CASH DISBURSEMENT PAID TO WOMEN'S PROJECT CHARITABLE GRANT TO BE USED FOR OPERATING EXPENSES ASSOCIATED WITH	WOMEN'S PROJECT	0081454174	06/18/2012	-20 000 00
CASH DISBURSEMENT PAID TO MENDOZA COLLEGE OF BUSINESS CHARITABLE GRANT LFF FELLOWSHIP FOR THOMAS MARTIN	MENDOZA COLLEGE OF BUSINESS	0081457451	06/25/2012	-5 000 00
CASH DISBURSEMENT PAID TO MENDOZA COLLEGE OF BUSINESS CHARITABLE GRANT LFF FELLOWSHIP FOR JASON GUINDON	MENDOZA COLLEGE OF BUSINESS	0081457450	06/25/2012	-5,000 00
CASH DISBURSEMENT PAID TO TUCK SCHOOL OF BUSINESS CHARITABLE GRANT LITTLE FAMILY FELLOWSHIP AWARD FOR SUMEETA KUMAR	TUCK SCHOOL OF BUSINESS	0081457453	06/25/2012	-5 000 00
CASH DISBURSEMENT PAID TO TUCK SCHOOL OF BUSINESS CHARITABLE GRANT LITTLE FAMILY FELLOWSHIP AWARD FOR MICHAEL KUO	TUCK SCHOOL OF BUSINESS	0081457452	06/25/2012	-5 000 00
CASH DISBURSEMENT PAID TO THE MINT THEATER CHARITABLE GRANT GENERAL OPERATING EXPENSES	THE MINT THEATER	0081464426	07/11/2012	35 000 00
CASH DISBURSEMENT PAID TO ARTS AND CULTURAL ALLIANCE OF CHARITABLE GRANT 2012 GRANT	ARTS AND CULTURAL ALLIANCE OF	0081466557	07/17/2012	1 200 00
CASH DISBURSEMENT PAID TO SAMUEL CURTIS JOHNSON CHARITABLE GRANT LFF FELLOWSHIP FBO ANDREW GOODMAN	SAMUEL CURTIS JOHNSON	0081467059	07/19/2012	-5 000 00
CASH DISBURSEMENT PAID TO SAMUEL CURTIS JOHNSON CHARITABLE GRANT LFF FELLOWSHIP FBO MICHAEL BECK	SAMUEL CURTIS JOHNSON	0081467058	07/19/2012	-5 000 00
CASH DISBURSEMENT PAID TO ISLAND GREENTECH CHARITABLE GRANT FOR VASHON THEATER PROJECT	ISLAND GREENTECH	0081468247	07/23/2012	-15 000 00
CASH DISBURSEMENT PAID TO ST ANTHONY'S CHURCH CHARITABLE GRANT 2012 GRANT- GENERAL OPERATING EXPENSES	ST ANTHONY'S CHURCH	0081468241	07/23/2012	-5 000 00
CASH DISBURSEMENT PAID TO ST JOSEPH'S CHURCH CHARITABLE GRANT 2012 GRANT GENERAL OPERATING EXPENSES	ST JOSEPH S CHURCH	0081468242	07/23/2012	-5 000 00
CASH DISBURSEMENT PAID TO LIFE BRIDGE INC CHARITABLE GRANT ANNUAL FUND RAISING EVENT MATCHING GIFT FUND	LIFE BRIDGE INC	0081477211	08/22/2012	-22 376 25
CASH DISBURSEMENT PAID TO MOTHER JONES CHARITABLE GRANT 2012 GRANT FOR GENERAL OPERATING EXPENSES	MOTHER JONES	0081481961	09/05/2012	-2 000 00
CASH DISBURSEMENT PAID TO KELLOGG SCHOOL OF MANAGEMENT CHARITABLE GRANT LFF SCHOLARSHIP FOR PRITI PATEL	KELLOGG SCHOOL OF MANAGEMENT	0081486076	09/18/2012	-4,000 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT HPR INVOICE-WOMEN'S GOLF STUDY	NGCOA FOUNDATION	0081486077	09/18/2012	2 000 00
CASH DISBURSEMENT PAID TO ROGER WILLIAMS PARK ZOO CHARITABLE GRANT WILD AFFAIR SPONSORSHIP	ROGER WILLIAMS PARK ZOO	0081491283	09/28/2012	-500 00
CASH DISBURSEMENT PAID TO SACOPEE VALLEY HIGH SCHOOL CHARITABLE GRANT TRANSPORTATION FOR GOLF TEAM	SACOPEE VALLEY HIGH SCHOOL	0081504799	11/01/2012	-6,837 50
CASH DISBURSEMENT PAID TO AMERICAN RED CROSS CHARITABLE GRANT DISASTER RELIEF	AMERICAN RED CROSS	0081505791	11/06/2012	-15,000 00
CASH DISBURSEMENT PAID TO THE ROBIN HOOD FOUNDATION CHARITABLE GRANT ANDREW KLABER NYC MARATHON	THE ROBIN HOOD FOUNDATION	0081505790	11/06/2012	-1 000 00
CASH DISBURSEMENT PAID TO NGCOA FOUNDATION CHARITABLE GRANT WOMEN'S GOLF PROJECT	NGCOA FOUNDATION	0081511048	11/23/2012	2 000 00
CASH DISBURSEMENT PAID TO ALDER CREEK PIONEER ASSOCATION CHARITABLE GRANT 2012 GRANT	ALDER CREEK PIONEER ASSOCATION	0081521869	12/17/2012	500 00
CASH DISBURSEMENT PAID TO BACKBONE CAMPAIGN CHARITABLE GRANT 2012 GRANT	BACKBONE CAMPAIGN	0081521870	12/17/2012	22,000 00
CASH DISBURSEMENT PAID TO CAMP UNALAYEE ASSOCIATION CHARITABLE GRANT 2012 GRANT	CAMP UNALAYEE ASSOCIATION	0081521873	12/17/2012	-8 000 00
CASH DISBURSEMENT PAID TO CENTER FOR TRADITIONAL MUSIC CHARITABLE GRANT 2012 GRANT	CENTER FOR TRADITIONAL MUSIC	0081521871	12/17/2012	-9,000 00
CASH DISBURSEMENT PAID TO CHINESE PROGRESSIVE ASSOCATION CHARITABLE GRANT 2012 GRANT	CHINESE PROGRESSIVE ASSOCATION	0081521874	12/17/2012	-16 000 00
CASH DISBURSEMENT PAID TO CRANE FAMILY SCHOLARSHIP CHARITABLE GRANT 2012 GRANT	CRANE FAMILY SCHOLARSHIP	0081521505	12/17/2012	-8 000 00
CASH DISBURSEMENT PAID TO GARFIELD HIGH SCHOOL PTSA - CHARITABLE GRANT 2012 GRANT	GARFIELD HIGH SCHOOL PTSA -	0081521500	12/17/2012	-5 000 00
CASH DISBURSEMENT PAID TO HARBOR SCHOOL CHARITABLE GRANT 2012 GRANT	HARBOR SCHOOL	0081521910	12/17/2012	2 866 80
CASH DISBURSEMENT PAID TO HARBOR SCHOOL CHARITABLE GRANT 2012 GRANT	HARBOR SCHOOL	0081521876	12/17/2012	-8 000 00
CASH DISBURSEMENT PAID TO KBCS/BELLEVUE COLLEGE FOUNDATION CHARITABLE GRANT 2012 GRANT	KBCS/BELLEVUE COLLEGE FOUNDATION	0081521877	12/17/2012	-4 000 00
CASH DISBURSEMENT PAID TO KBTC ASSOCIATION CHARITABLE GRANT 2012 GRANT	KBTC ASSOCIATION	0081521879	12/17/2012	-4 000 00
CASH DISBURSEMENT PAID TO KBTC CHANNEL 12 CHARITABLE GRANT 2012 GRANT	KBTC CHANNEL 12	0081521911	12/17/2012	-2 866 80
CASH DISBURSEMENT PAID TO KCTS CHARITABLE GRANT 2012 GRANT	KCTS	0081521880	12/17/2012	-4 000 00
CASH DISBURSEMENT PAID TO KCTS CHANNEL 9 CHARITABLE GRANT 2012 GRANT	KCTS CHANNEL 9	0081521912	12/17/2012	-2 866 80
CASH DISBURSEMENT PAID TO KILLER WHALE TALES CHARITABLE GRANT 2012 GRANT	KILLER WHALE TALES	0081521878	12/17/2012	-7,000 00

CASH DISBURSEMENT PAID TO KPLU CHARITABLE GRANT 2012 GRANT	KPLU	0081521881	12/17/2012	-4 000 00
CASH DISBURSEMENT PAID TO KUOW CHARITABLE GRANT 2012 GRANT	KUOW	0081521882	12/17/2012	-4 000 00
CASH DISBURSEMENT PAID TO LAKE FOREST COLLEGE CHARITABLE GRANT 2012 GRANT	LAKE FOREST COLLEGE	0081521504	12/17/2012	-1 000 00
CASH DISBURSEMENT PAID TO LAKE FOREST COLLEGE CHARITABLE GRANT 2012 GRANT	LAKE FOREST COLLEGE	0081521503	12/17/2012	-10 000 00
CASH DISBURSEMENT PAID TO MIDDLETOWN EDUCATION COLLABORATIVE CHARITABLE GRANT 2012 GRANT	MIDDLETOWN EDUCATION COLLABORATIV	0081521528	12/17/2012	-550 00
CASH DISBURSEMENT PAID TO MYSTIC AQUARIUM CHARITABLE GRANT 2012 GRANT	MYSTIC AQUARIUM	0081521507	12/17/2012	-2 500 00
CASH DISBURSEMENT PAID TO NORTH COUNTRY SCHOOL CHARITABLE GRANT 2012 GRANT	NORTH COUNTRY SCHOOL	0081521905	12/17/2012	-2 866 80
CASH DISBURSEMENT PAID TO NORTHWEST FILM FORUM CHARITABLE GRANT 2012 GRANT	NORTHWEST FILM FORUM	0081521872	12/17/2012	-15 000 00
CASH DISBURSEMENT PAID TO OHIO STATE UNIVERSITY CHARITABLE GRANT 2012 GRANT	OHIO STATE UNIVERSITY	0081521883	12/17/2012	3 000 00
CASH DISBURSEMENT PAID TO OREGON COAST AQUARIUM CHARITABLE GRANT 2012 GRANT	OREGON COAST AQUARIUM	0081521884	12/17/2012	-5 000 00
CASH DISBURSEMENT PAID TO PARTNERS IN EDUCATION CHARITABLE GRANT 2012 GRANT	PARTNERS IN EDUCATION	0081521896	12/17/2012	-2 000 00
CASH DISBURSEMENT PAID TO PAWS CHARITABLE GRANT 2012 GRANT	PAWS	0081521502	12/17/2012	-1 000 00
CASH DISBURSEMENT PAID TO PEREGRINE FUND CHARITABLE GRANT 2012 GRANT	PEREGRINE FUND	0081521885	12/17/2012	-4 000 00
CASH DISBURSEMENT PAID TO POINT DEFIANCE ZOOLOGICAL SOCIETY CHARITABLE GRANT 2012 GRANT	POINT DEFIANCE ZOOLOGICAL SOCIETY	0081521886	12/17/2012	-3,000 00
CASH DISBURSEMENT PAID TO POTTER LEAGUE FOR ANIMALS CHARITABLE GRANT 2012 GRANT	POTTER LEAGUE FOR ANIMALS	0081521505	12/17/2012	-2 500 00
CASH DISBURSEMENT PAID TO PRESERVE OUR ISLANDS CHARITABLE GRANT 2012 GRANT	PRESERVE OUR ISLANDS	0081521887	12/17/2012	-10 000 00
CASH DISBURSEMENT PAID TO PUTNEY SCHOOL CHARITABLE GRANT 2012 GRANT	PUTNEY SCHOOL	0081521888	12/17/2012	-2 000 00
CASH DISBURSEMENT PAID TO ROGER WI $250 MEMBERSHIP $2000 ANNUAL FUND	ROGER WILLIAM PARK ZOO	0081521525	12/17/2012	2 250 00
CASH DISBURSEMENT PAID TO SEATTLE ACADEMY OF ARTS AND SCIENCES CHARITABLE GRANT 2012 GRANT	SEATTLE ACADEMY OF ARTS AND SCIENC	0081521889	12/17/2012	-1 000 00
CASH DISBURSEMENT PAID TO SEATTLE ACADEMY OF ARTS AND SCIENCES CHARITABLE GRANT 2012 GRANT	SEATTLE ACADEMY OF ARTS AND SCIENC	0081521906	12/17/2012	-2,866 80
CASH DISBURSEMENT PAID TO SEATTLE AQUARIUM CHARITABLE GRANT 2012 GRANT	SEATTLE AQUARIUM	0081521890	12/17/2012	-12 000 00
CASH DISBURSEMENT PAID TO VASHON ALLIED ARTS CHARITABLE GRANT 2012 GRANT	VASHON ALLIED ARTS	0081521891	12/17/2012	10,000 00
CASH DISBURSEMENT PAID TO VASHON COMMUNITY CARE CENTER CHARITABLE GRANT 2012 GRANT	VASHON COMMUNITY CARE CENTER	0081521892	12/17/2012	-7,000 00
CASH DISBURSEMENT PAID TO VASHON COMMUNITY FOOD BANK CHARITABLE GRANT 2012 GRANT	VASHON COMMUNITY FOOD BANK	0081521907	12/17/2012	-3 822 40
CASH DISBURSEMENT PAID TO VASHON HOUSEHOLD CHARITABLE GRANT 2012 GRANT	VASHON HOUSEHOLD	0081521894	12/17/2012	10,000 00
CASH DISBURSEMENT PAID TO VASHON ISLAND LAND TRUST CHARITABLE GRANT 2012 GRANT	VASHON ISLAND LAND TRUST	0081521895	12/17/2012	-9 000 00
CASH DISBURSEMENT PAID TO VASHON ISLAND PARTNERS IN EDUCATION CHARITABLE GRANT 2012 GRANT	VASHON ISLAND PARTNERS IN EDUCATIOI	0081521913	12/17/2012	2,866 80
CASH DISBURSEMENT PAID TO VASHON ISLAND PET PROTECTORS CHARITABLE GRANT 2012 GRANT	VASHON ISLAND PET PROTECTORS	0081521897	12/17/2012	-2 500 00
CASH DISBURSEMENT PAID TO VASHON ISLAND PET PROTECTORS CHARITABLE GRANT 2012 GRANT	VASHON ISLAND PET PROTECTORS	0081521908	12/17/2012	-2 866 80
CASH DISBURSEMENT PAID TO VASHON ISLAND SCHOOL DISTRICT CHARITABLE GRANT 2012 GRANT	VASHON ISLAND SCHOOL DISTRICT	0081521898	12/17/2012	-20 000 00
CASH DISBURSEMENT PAID TO VASHON MAURY COMMUNITY FOOD BANK CHARITABLE GRANT 2012 GRANT	VASHON MAURY COMMUNITY FOOD BANK	0081521893	12/17/2012	-10 000 00
CASH DISBURSEMENT PAID TO VASHON YOUTH AND FAMILY SERVICES CHARITABLE GRANT 2012 GRANT	VASHON YOUTH AND FAMILY SERVICES	0081521909	12/17/2012	-4 778 00
CASH DISBURSEMENT PAID TO VASHON YOUTH AND FAMILY SERVICES CHARITABLE GRANT 2012 GRANT	VASHON YOUTH AND FAMILY SERVICES	0081521899	12/17/2012	-8 000 00
CASH DISBURSEMENT PAID TO VASHON YOUTH AND FAMILY SERVICES CHARITABLE GRANT 2012 GRANT	VASHON YOUTH AND FAMILY SERVICES	0081521875	12/17/2012	10 000 00
CASH DISBURSEMENT PAID TO VOICE OF VASHON CHARITABLE GRANT 2012 GRANT	VOICE OF VASHON	0081521900	12/17/2012	3 000 00
CASH DISBURSEMENT PAID TO WEST SEATTLE YMCA - PARTNERS WITH CHARITABLE GRANT 2012 GRANT	WEST SEATTLE YMCA PARTNERS WITH	0081521499	12/17/2012	-15 000 00
CASH DISBURSEMENT PAID TO WESTWIND STEWARDSHIP GROUP CHARITABLE GRANT 2012 GRANT	WESTWIND STEWARDSHIP GROUP	0081521901	12/17/2012	-25 000 00
CASH DISBURSEMENT PAID TO WETLANDS CONSERVANCY CHARITABLE GRANT 2012 GRANT	WETLANDS CONSERVANCY	0081521902	12/17/2012	-10 000 00
CASH DISBURSEMENT PAID TO WING LUKE MUSEUM OF THE ASIAN CHARITABLE GRANT 2012 GRANT	WING LUKE MUSEUM OF THE ASIAN	0081521903	12/17/2012	500 00
CASH DISBURSEMENT PAID TO WOODLAND PARK ZOO CHARITABLE GRANT 2012 GRANT	WOODLAND PARK ZOO	0081521904	12/17/2012	-8 000 00
CASH DISBURSEMENT PAID TO YORK SCHOOL CHARITABLE GRANT 2012 GRANT	YORK SCHOOL	0081521501	12/17/2012	-3 000 00
CASH DISBURSEMENT PAID TO BIRDIES FOR TAMPA BAY CHARITIES CHARITABLE GRANT 2012 GRANT - BIG CAT RESCUE	BIRDIES FOR TAMPA BAY CHARITIES	0081522160	12/18/2012	-8 000 00
CASH DISBURSEMENT PAID TO CELEBRITY SERIES CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	CELEBRITY SERIES	0081522161	12/18/2012	-100 000 00
CASH DISBURSEMENT PAID TO CHATTAHOOCHEE RIVER KEEPER CHARITABLE GRANT 2012 GRANT	CHATTAHOOCHEE RIVER KEEPER	0081522636	12/18/2012	5 000 00
CASH DISBURSEMENT PAID TO CLEAR VIEW LEGACY FOUNDATION CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	CLEAR VIEW LEGACY FOUNDATION	0081522162	12/18/2012	-8 000 00
CASH DISBURSEMENT PAID TO CONSTITUTION MARSH AUDUBON CTR CHARITABLE GRANT PLEDGE OF 5,000 ANNUALLY FOR 5 YEARS (1 OF 5)	CONSTITUTION MARSH AUDUBON CTR	0081522163	12/18/2012	-5 000 00
CASH DISBURSEMENT PAID TO CREEKLAND MIDDLE SCHOOL PTA CHARITABLE GRANT 2012 GRANT	CREEKLAND MIDDLE SCHOOL PTA	0081522635	12/18/2012	-7 000 00
CASH DISBURSEMENT PAID TO FEMINIST MAJORITY FOUNDATION CHARITABLE GRANT 2012 GRANT GENERAL OPERATING EXPENSES	FEMINIST MAJORITY FOUNDATION	0081522164	12/18/2012	2 500 00
CASH DISBURSEMENT PAID TO GREEN MOUNTAIN CONSERVATION GROUP CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	GREEN MOUNTAIN CONSERVATION GROU	0081522165	12/18/2012	-40 000 00
CASH DISBURSEMENT PAID TO INSTITUTE OF CONTEMPORARY ART CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	INSTITUTE OF CONTEMPORARY ART	0081522166	12/18/2012	-8 000 00
CASH DISBURSEMENT PAID TO ISABELLA STEWART GARDNER MUSEUM CHARITABLE GRANT FIVE YEAR PLEDGE FOR $250K, 3RD OF FIVE(2012)	ISABELLA STEWART GARDNER MUSEUM	0081522167	12/18/2012	-70,000 00
CASH DISBURSEMENT PAID TO ISABELLA STEWART GARDNER MUSEUM CHARITABLE GRANT 2012 MATCHING GRANT FOR XRF MACHINE	ISABELLA STEWART GARDNER MUSEUM	0081522168	12/18/2012	-25 000 00
CASH DISBURSEMENT PAID TO MAINE PUBLIC RADIO CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	MAINE PUBLIC RADIO	0081522169	12/18/2012	-5,000 00
CASH DISBURSEMENT PAID TO MAINE PUBLIC TELEVISION CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	MAINE PUBLIC TELEVISION	0081522170	12/18/2012	-5,000 00
CASH DISBURSEMENT PAID TO MINT THEATER COMPANY CHARITABLE GRANT 2012 GRANT GENERAL OPERATING EXPENSES	MINT THEATER COMPANY	0081522171	12/18/2012	-24 000 00
CASH DISBURSEMENT PAID TO MS FOUNDATION FOR WOMEN CHARITABLE GRANT 2012 GRANT GENERAL OPERATING EXPENSES	MS FOUNDATION FOR WOMEN	0081522172	12/18/2012	-2 500 00
CASH DISBURSEMENT PAID TO NE AMATEUR SKATING FOUNDATION CHARITABLE GRANT 2012 GRANT - SKATING LESSONS	NE AMATEUR SKATING FOUNDATION	0081522173	12/18/2012	-10 000 00
CASH DISBURSEMENT PAID TO NEW HAMPSHIRE PUBLIC TELEVISION CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	NEW HAMPSHIRE PUBLIC TELEVISION	0081522174	12/18/2012	-5,000 00
CASH DISBURSEMENT PAID TO NOW CHARITABLE GRANT 2012 GRANT - GERNAL OPERATING EXPENSES	NOW	0081522193	12/18/2012	2 500 00
CASH DISBURSEMENT PAID TO OMG (ONE MORE GENERATION) CHARITABLE GRANT 2012 GRANT	OMG (ONE MORE GENERATION)	0081522637	12/18/2012	-5 000 00
CASH DISBURSEMENT PAID TO ORION SCHOOL CHARITABLE GRANT 2012 GRANT	ORION SCHOOL	0081522633	12/18/2012	-8 000 00

CASH DISBURSEMENT PAID TO PINE STREET INN CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	PINE STREET INN	0081522194	12/18/2012	-15 000 00
CASH DISBURSEMENT PAID TO ROGER WILLIAMS ZOO CHARITABLE GRANT LAST OF TWO PAYMENTS FOR ZOO CAPITAL CAMPAIGN	ROGER WILLIAMS ZOO	0081522195	12/18/2012	-20 000 00
CASH DISBURSEMENT PAID TO SHADY HILL SCHOOL CHARITABLE GRANT 2012 GRANT - 5TH & FINAL PYMT FOR CAPITAL CAMPAIGN	SHADY HILL SCHOOL	0081522197	12/18/2012	-30,000 00
CASH DISBURSEMENT PAID TO SHADY HILL SCHOOL CHARITABLE GRANT 2012 GRANT - ANNUAL GIFT MATCHING	SHADY HILL SCHOOL	0081522196	12/18/2012	-50 000 00
CASH DISBURSEMENT PAID TO SOTUH EFFINGHAM/W PARSONFIELD CHARITABLE GRANT 2012 GRANT - PAINTING OF S EFFINGHAM CHURCH BLDG	SOTUH EFFINGHAM/W PARSONFIELD	0081522198	12/18/2012	3,000 00
CASH DISBURSEMENT PAID TO ST ANTHONY'S CHURCH CHARITABLE GRANT 2012 GRANT - WINTER FUEL AND PLOWING	ST ANTHONY'S CHURCH	0081522199	12/18/2012	-5 000 00
CASH DISBURSEMENT PAID TO STEERE HOUSE CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	STEERE HOUSE	0081522200	12/18/2012	-8,000 00
CASH DISBURSEMENT PAID TO THE SOCIETY OF JESUS CHARITABLE GRANT 2012 GRANT - FINAL OF 3 PAYMENTS	THE SOCIETY OF JESUS	0081522201	12/18/2012	-6 000 00
CASH DISBURSEMENT PAID TO UNIVERSITY OF RHODE ISLAND CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	UNIVERSITY OF RHODE ISLAND	0081522202	12/18/2012	-10,000 00
CASH DISBURSEMENT PAID TO UPPER VALLEY BUSINESS AND EDUCATION CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	UPPER VALLEY BUSINESS AND EDUCATIO	0081522203	12/18/2012	-8 000 00
CASH DISBURSEMENT PAID TO WAKEFIELD FOOD PANTRY CHARITABLE GRANT 2012 GRANT IN SUPPORT OF 2013 BUDGET	WAKEFIELD FOOD PANTRY	0081522204	12/18/2012	15 000 00
CASH DISBURSEMENT PAID TO WGBH CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	WGBH	0081522205	12/18/2012	-5 000 00
CASH DISBURSEMENT PAID TO WHITE HOUSE PROJECT CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	WHITE HOUSE PROJECT	0081522206	12/18/2012	-2 500 00
CASH DISBURSEMENT PAID TO WNETB CHARITABLE GRANT 2012 GRANT GENERAL OPERATING EXPENSES	WNETB	0081522207	12/18/2012	-5,000 00
CASH DISBURSEMENT PAID TO WOMEN OF MEANS CHARITABLE GRANT 2012 GRANT - GENERAL OPERATING EXPENSES	WOMEN OF MEANS	0081522208	12/18/2012	-8 000 00
CASH DISBURSEMENT PAID TO ZOO ATLANTA CHARITABLE GRANT 2012 GRANT	ZOO ATLANTA	0081522634	12/18/2012	-5 000 00
CASH DISBURSEMENT PAID TO RBC ROYAL BANK WIRE TRANSFER LYFORD CAY FOUNDATION 2012 GRANT	RBC ROYAL BANK	0000000000	12/21/2012	100 000 00
CASH DISBURSEMENT PAID TO ST JOSEPH'S CHURCH CHARITABLE GRANT 2012 GRANT	ST JOSEPH S CHURCH	0081524469	12/21/2012	-5,000 00
CASH DISBURSEMENT PAID TO BENTLEY COLLEGE CHARITABLE GRANT 2012 GRANT fbo Rob Henault	BENTLEY COLLEGE	0081525619	12/24/2012	-2 000 00
CASH DISBURSEMENT PAID TO ST JOSEPH'S COLLEGE CHARITABLE GRANT 2012 GRANT	ST JOSEPH'S COLLEGE	0081525621	12/24/2012	2 000 00
CASH DISBURSEMENT REVERSAL OF PAID TO BIG CAT RESCUE CHARITABLE GRANT 2012 GRANT - FOR NEW ENCLOSURES	BIG CAT RESCUE	0000000000	12/31/2012	16,000 00

-1 420 047 96 Total Grants - 2012

Attachment to Form 990-PF Part VIII, Column (b) Title and average hours per week devoted to position

As Trustee, BNY Mellon, N.A. provides administrative services such as acting as investment manager for the named organization. These services require numerous individual bank employees' involvement in the activities of the organization and thus cannot be quantified on an hourly basis. Therefore, the Trustee's fees are not based upon an hourly basis but are calculated based upon factors such as the market value of the account and in accordance with our agreement with the client.

ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
LITTLE ROYAL-FA	G2554F113	COVIDIEN PLC	670 00	38,685 80	31,628 08
LITTLE ROYAL-FA	G29183103	EATON CORP PLC	824 00	44,644 32	42,563 73
LITTLE ROYAL-FA	G3157S106	ENSCO PLC-CL A	680 00	40,310 40	39,037 78
LITTLE ROYAL-FA	H89128104	TYCO INTERNATIONAL LTD	590 00	17,257 50	8,486 07
LITTLE ROYAL-FA	N53745100	LYONDELLBASELL INDU -CL A	770 00	43,959 30	34,396 88
LITTLE ROYAL-FA	00101J106	A D T CORP-W/I	276 00	12,831 24	5,211.83
LITTLE ROYAL-FA	00206R102	AT&T INC	970 00	32,698 70	26,032 38
LITTLE ROYAL-FA	007565302	ADVANTAGE GLOBAL ALPHA-I	24,353 92	311,730 24	294,000 00
LITTLE ROYAL-FA	018581108	ALLIANCE DATA SYS CORP	375 00	54,285 00	14,861 80
LITTLE ROYAL-FA	01988P108	ALLSCRIPTS HEALTHCARE SOLUTI	960 00	9,043 20	15,665 73
LITTLE ROYAL-FA	023135106	AMAZON COM INC	150 00	37,630 50	30,241 52
LITTLE ROYAL-FA	025816109	AMERICAN EXPRESS CO	770 00	44,259 60	32,120 50
LITTLE ROYAL-FA	026874784	AMERICAN INTERNATIONAL GROUP	910 00	32,123 00	30,759 18
LITTLE ROYAL-FA	03027X100	AMERICAN TOWER CORP	190 00	14,681 30	13,485 95
LITTLE ROYAL-FA	03076C106	AMERIPRISE FINANCIAL INC	580 00	36,325 40	11,117 32
LITTLE ROYAL-FA	032511107	ANADARKO PETROLEUM CORP	430 00	31,953 30	23,667 47
LITTLE ROYAL-FA	037411105	APACHE CORP	449 00	35,246 50	30,482 79
LITTLE ROYAL-FA	037833100	APPLE INC	293.00	155,926 69	27,343 92
LITTLE ROYAL-FA	052800109	AUTOLIV INC	420 00	28,303 80	17,483 67
LITTLE ROYAL-FA	05569M301	BNY MELLON INCOME STOCK-M	51,630 05	373,801 58	325,309 52
LITTLE ROYAL-FA	05569M368	BNY MELLON CORP BOND-M	50,422 33	657,002 97	633,040 64
LITTLE ROYAL-FA	05569M475	BNY MELLON FOC EQ OPP-M	102,350 41	1,344,884 39	1,217,991 03
LITTLE ROYAL-FA	05569M509	BNY MELLON M/C MULTI-STRATEG	84,654 79	948,133 68	985,073 85
LITTLE ROYAL-FA	05569M780	BNY MELLON S/T US GOV SECS-M	14,414 12	175,275 76	178,674 30
LITTLE ROYAL-FA	05569M814	BNY MELLON INTERMEDIATE BD-M	30,309 73	400,088 46	390,405 14
LITTLE ROYAL-FA	05569M830	BNY MELLON BOND FUND-M	111,474 93	1,513,829 55	1,512,097 60
LITTLE ROYAL-FA	05569M871	BNY MELLON INTERNATIONAL-M	41,611 01	418,190 73	392,980 61
LITTLE ROYAL-FA	060505104	BANK OF AMERICA CORP	4,080 00	47,368 80	45,931 37
LITTLE ROYAL-FA	073730103	BEAM INC	500 00	30,545 00	29,510 96
LITTLE ROYAL-FA	124857202	C B S CORP-CLASS B W/I	1,150 00	43,757 50	31,722 83
LITTLE ROYAL-FA	12504L109	C B R E GROUP INC	1,510 00	30,049 00	27,775 94
LITTLE ROYAL-FA	125509109	CIGNA CORP	460 00	24,591 60	11,229 06
LITTLE ROYAL-FA	14040H105	CAPITAL ONE FINANCIAL CORP	470 00	27,227 10	20,577 51
LITTLE ROYAL-FA	143658300	CARNIVAL CORP	500 00	18,385 00	15,614 90
LITTLE ROYAL-FA	171232101	CHUBB CORP	360 00	27,115 20	17,399 35
LITTLE ROYAL-FA	19122T109	COCA-COLA ENTERPRISES INC	1,000 00	31,730 00	28,788 50
LITTLE ROYAL-FA	192446102	COGNIZANT TECHNOLOGY SOLUTIO	440 00	32,508 21	30,328 97
LITTLE ROYAL-FA	205887102	CONAGRA FOODS INC	750 00	22,125 00	22,239 36
LITTLE ROYAL-FA	20825C104	CONOCOPHILLIPS	430 00	24,935 70	8,361 76

LITTLE ROYAL-FA	233203421	DFA EMERG MKTS CORE EQUITY	78,024 89	1,591,707 76	1,437,018 73
LITTLE ROYAL-FA	235851102	DANAHER CORP	440 00	24,596 00	11,719 40
LITTLE ROYAL-FA	254687106	WALT DISNEY CO/THE	1,040 00	51,781 60	47,854 37
LITTLE ROYAL-FA	261967830	DREYFUS INFLTN ADJ SEC-INST	27,851 17	385,460 30	351,932 18
LITTLE ROYAL-FA	261980494	DREYFUS EMG MKT DEBT LOC C-I	12,887 15	197,688 93	190,441 39
LITTLE ROYAL-FA	261980759	DREYFUS HIGH YIELD-I	54,415 22	362,949 57	317,574 36
LITTLE ROYAL-FA	261986582	DREYFUS GLOBAL REAL ES SEC-I	60,823 37	494,494 03	458,000 00
LITTLE ROYAL-FA	26200C403	DREYFUS OPPORTUNISTIC S/C	7,947 30	217,994 58	187,204 72
LITTLE ROYAL-FA	26203E604	DREYFUS/NEWTON INTERN EQTY-I	11,545 37	203,198 65	159,820 90
LITTLE ROYAL-FA	268648102	E M C CORP MASS	1,680 00	42,504 00	23,159 82
LITTLE ROYAL-FA	26875P101	E O G RES INC	180 00	21,742 20	18,534 47
LITTLE ROYAL-FA	30231G102	EXXON MOBIL CORP	820 00	70,971 00	28,262 94
10157232000 LITTLE ROYAL-FA	31428X106	FEDEX CORP	510 00	46,777 20	46,739 36
10157232000 LITTLE ROYAL-FA	343412102	FLUOR CORP NEW	440 00	25,845 60	25,107 48
10157232000 LITTLE ROYAL-FA	345370860	FORD MOTOR CO	2,220 00	28,749 00	35,142 64
10157232000 LITTLE ROYAL-FA	369604103	GENERAL ELECTRIC COMPANY	2,620 00	54,993 80	44,034 94
10157232000 LITTLE ROYAL-FA	45666Q102	INFORMATICA CORP	450 00	13,644 00	13,296 30
10157232000 LITTLE ROYAL-FA	45865V100	INTERCONTINENTALEXCHANGE INC	160 00	19,809 60	19,841 05
10157232000 LITTLE ROYAL-FA	459200101	INTERNATIONAL BUSINESS MACHI	215 00	41,183 25	16,980 32
10157232000 LITTLE ROYAL-FA	461202103	INTUIT	420 00	24,979 67	21,764 52
10157232000 LITTLE ROYAL-FA	462846106	IRON MTN INC PA	4,758 00	147,735 90	17,125 16
10157232000 LITTLE ROYAL-FA	46625H100	JP MORGAN CHASE & CO	1,342 00	59,006 53	29,222 10
10157232000 LITTLE ROYAL-FA	478160104	JOHNSON & JOHNSON	630 00	44,163 00	41,424 89
10157232000 LITTLE ROYAL-FA	48121A670	HIGHBRIDGE DYNAMIC COMM STRA	17,085 32	238,682 05	311,077 89
10157232000 LITTLE ROYAL-FA	532457108	LILLY ELI & CO	840 00	41,428 80	41,528 06
10157232000 LITTLE ROYAL-FA	58155Q103	MCKESSON CORPORATION	300 00	29,088 00	14,077 14
10157232000 LITTLE ROYAL-FA	58933Y105	MERCK & CO INC	786 00	32,178 84	12,064 94
10157232000 LITTLE ROYAL-FA	615369105	MOODYS CORP	970 00	48,810 40	42,502 79
10157232000 LITTLE ROYAL-FA	637071101	NATIONAL OILWELL VARCO INC	670 00	45,794 50	47,284 74
10157232000 LITTLE ROYAL-FA	63872T729	ASG MANAGED FUTURES STRAT-Y	31,578 94	287,368 41	300,000 00
10157232000 LITTLE ROYAL-FA	63872T885	ASG GLOBAL ALTERNATIVES FUND	49,728 75	533,092 23	550,000 00
10157232000 LITTLE ROYAL-FA	65248E203	NEWS CORP INC	2,120 00	55,628 80	15,427 88
10157232000 LITTLE ROYAL-FA	65339F101	NEXTERA ENERGY INC	910 00	62,962 90	49,877 62
10157232000 LITTLE ROYAL-FA	674599105	OCCIDENTAL PETROLEUM CORP	458 00	35,087 38	25,423 97
10157232000 LITTLE ROYAL-FA	68389X105	ORACLE CORP	1,340 00	44,648 80	23,086 76
10157232000 LITTLE ROYAL-FA	693656100	P V H CORP	320 00	35,523 20	23,568 03
10157232000 LITTLE ROYAL-FA	713448108	PEPSICO INC	950 00	65,008 50	35,335 28
10157232000 LITTLE ROYAL-FA	717081103	PFIZER INC	4,115 00	103,201 32	71,590 39
10157232000 LITTLE ROYAL-FA	74005P104	PRAXAIR INC	265 00	29,004 25	11,810 36
10157232000 LITTLE ROYAL-FA	74144T108	T ROWE PRICE GROUP INC	420 00	27,349 18	25,239 69
10157232000 LITTLE ROYAL-FA	747525103	QUALCOMM INC	930 00	57,529 43	36,479 28
10157232000 LITTLE ROYAL-FA	751028101	RALCORP HLDGS INC NEW	240 00	21,516 00	17,505 80
10157232000 LITTLE ROYAL-FA	770323103	ROBERT HALF INTL INC	640 00	20,364 80	17,345 33
10157232000 LITTLE ROYAL-FA	80105N105	SANOFI	1,570 00	74,386 60	58,866 20

10157232000	LITTLE ROYAL-FA 83088M102	SKYWORKS SOLUTIONS INC	900 00	18,270.00	21,074 49
10157232000	LITTLE ROYAL-FA 86271F578	DREYFUS SELECT MGER S/C GR-I	14,798 15	280,129 11	252,678 56
10157232000	LITTLE ROYAL-FA 86271F677	DREYFUS SELECT MGER S/C VL-I	16,358 58	318,010 95	291,261 98
10157232000	LITTLE ROYAL-FA 86271F727	DREYFUS US EQUITY FUND-I	93,872 45	1,460,655 46	1,283,814 05
10157232000	LITTLE ROYAL-FA 86271F768	DREYFUS PRE STRAT INTER ST-I	29,727 01	431,041 72	379,801 41
10157232000	LITTLE ROYAL-FA 87234N765	TCW EMERGING MARKETS INCOME	32,756 06	305,286 53	279,382 58
10157232000	LITTLE ROYAL-FA 87612E106	TARGET CORP	460 00	27,218 20	24,531 69
10157232000	LITTLE ROYAL-FA 88076W103	TERADATA CORPORATION	280 00	17,329 20	13,575 32
10157232000	LITTLE ROYAL-FA 89353D107	TRANSCANADA CORP	540 00	25,552 80	23,084.25
10157232000	LITTLE ROYAL-FA 904767704	UNILEVER PLC ADR	1,690 00	65,436 80	46,072 29
10157232000	LITTLE ROYAL-FA 92828T889	VIRTUS EMERGING MKTS OPPOR-I	34,547 28	356,182 55	331,033 40
10157232000	LITTLE ROYAL-FA 928563402	VMWARE INC-CLASS A	314 00	29,559 96	27,642 12
10157232000	LITTLE ROYAL-FA 949746101	WELLS FARGO & COMPANY	2,270 00	77,588 60	56,209 59
10157232000	LITTLE ROYAL-FA 991061052	CRA (BNY MELLON, N A , MEMBE	288,068 80	288,068 80	288,068 80
10157232000	LITTLE ROYAL-FA 991061052	CRA (BNY MELLON, N A , MEMBE	24,817 88	24,817 88	24,817 88
10157232000	LITTLE ROYAL-FA 9989AD543	CAPITAL RESOURCES PARTNERS I	72,332 00	72,332 00	72,332 00
10157232000	LITTLE ROYAL-FA 999Z86567	MELLON OPTIMA L/S STRATEGY F	19,817 22	1,807,651 59	1,775,871 73
10157232000	LITTLE ROYAL-FA 999Z86880	COMMIT TO PUR MELLON OPTIMA	35,874 76	35,874 76	35,874 76
10157232000	LITTLE ROYAL-FA 999Z89454	TUCKER ANTHONY PRIVATE EQUIT	456,301 00	456,301 00	456,301 00
10157232000	LITTLE ROYAL-FA 999Z89462	PARK ST CAPITAL PRIVATE EQUI	421,856 00	421,856 00	421,856 00
10157232000	LITTLE ROYAL-FA 999Z89470	PARK STREET CAPITAL PRIV EQ	319,169 00	319,169 00	319,169 00

TOTAL FOR ACCT#10157232000 20,112,404 49 18,350,386 89

ACCOUNT NO	ACCOUNT NAME	CUSIP ID	ASSET SHORT NAME	SHARES	MARKET VALUE	FEDERAL TAX COST
10157232810	LITTLE ROYAL-FA	991061052	CRA (BNY MELLON, N A., MEMBE	132,197 50	132,197 50	132,197 50

TOTAL FOR ACCT#10157232810 132,197 50 132,197 50

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	GENERAL ELECTRIC COMPAI	369604103			1/28/2011		12/27/2012	9,459	9,324				0	135
2	X	GENERAL ELECTRIC COMPAI	369604103			11/17/2008		12/27/2012	3,290	2,571				0	719
3	X	GENERAL ELEC CAP CORP 5	369622SM8			2/22/2012		5/4/2012	16,659	16,312				0	347
4	X	GENERAL ELEC CAP CRP 5 6	36962G3H5			1/22/2009		2/22/2012	17,179	14,261				0	2,918
5	X	GENERAL MILLS INC 5 65%	370334BH6			1/29/2009		2/24/2012	5,997	4,996				0	1,001
6	X	GENERAL MILLS INC 5 65%	370334BH6			5/21/2009		2/24/2012	5,997	5,160				0	837
7	X	GOLDMAN SACHS GRP 6 7	38141GFD1			9/26/2007		5/4/2012	15,057	14,918				0	139
8	X	GOOGLE INC - CL A	38259P508			6/5/2009		3/22/2012	14,829	10,178				0	4,651
9	X	HSBC FINANCE CORP 5 0%	40429CCS9			8/2/2007		5/4/2012	21,465	19,088				0	2,377
10	X	HESS CORP	42809H107			9/29/2004		1/26/2012	9,287	4,962				0	4,325
11	X	HESS CORP	42809H107			9/29/2004		1/27/2012	10,148	5,402				0	4,746
12	X	HESS CORP	42809H107			9/29/2004		1/27/2012	1,325	705				0	620
13	X	HESS CORP	42809H107			9/29/2004		1/27/2012	3,742	1,996				0	1,746
14	X	HEWLETT-PACKARD CO 3 3	428236BU6			12/6/2011		5/7/2012	5,229	4,990				0	239
15	X	HEWLETT-PACK CO 2 6%	428236BW2			3/7/2012		5/7/2012	5,005	4,999				0	6
16	X	HONEYWELL INTL INC	438516106			1/14/2004		3/13/2012	5,420	3,239				0	2,181
17	X	HONEYWELL INTL INC	438516106			11/17/2008		3/13/2012	33,724	14,885				0	18,839
18	X	HYDRO-QUEBEC 2 0%	448814JB0			6/23/2011		5/8/2012	10,370	9,998				0	372
19	X	ILLINOIS ST 4 421% 1/01	4521516V6			1/8/2010		5/7/2012	21,123	20,000				0	1,123
20	X	INFORMATICA CORP	45666Q102			8/28/2012		12/14/2012	880	982				0	-102
21	X	INTERCONTINENTALEXCHAN	45865V100			8/28/2012		12/14/2012	1,538	1,654				0	-116
22	X	INTERNATIONAL BUSINESS M	459200101			8/28/2012		12/14/2012	3,068	3,131				0	-63
23	X	INTUIT	461202103			8/28/2012		12/14/2012	1,805	1,772				0	33
24	X	IRON MTN INC PA	462846106			11/21/2012		12/6/2012	4	4				0	0
25	X	ISHARES S&P GSCI COMMOD	46428R107			7/24/2009		12/14/2012	95,220	87,218				0	8,002
26	X	JP MORGAN CHASE & CO	46625H100			8/28/2012		12/14/2012	4,714	4,081				0	633
27	X	JP MORGAN CHASE & C 5 12	46625HBV1			6/29/2011		1/20/2012	15,864	16,213				0	-349
28	X	JEFFERIES GROUP INC 7 75	472319AA0			11/9/2011		3/15/2012	15,000	15,000				0	0
29	X	JEFFERIES GROUP INC 8 59	472319AF9			3/12/2012		5/4/2012	5,559	5,438				0	121
30	X	JEFFERIES GROUP INC 8 59	472319AF9			3/22/2012		5/4/2012	5,559	5,538				0	21
31	X	JOHNSON & JOHNSON	478160104			8/28/2012		12/14/2012	2,828	2,697				0	131
32	X	JOHNSON CONTROLS INC 5	478366AR8			1/22/2010		4/18/2012	11,311	10,430				0	881
33	X	JOHNSON CTLS INC 3 75%	478366BA4			4/18/2012		5/4/2012	10,456	10,381				0	75
34	X	KRAFT FOODS INC 5 375%	50075NBA1			9/8/2010		5/4/2012	11,735	11,041				0	694
35	X	LIMITED BRANDS INC	532716107			10/5/2009		7/20/2012	694	260				0	434
36	X	LIMITED BRANDS INC	532716107			10/5/2009		7/23/2012	228	87				0	141
37	X	LIMITED BRANDS INC	532716107			10/5/2009		7/23/2012	9,248	3,499				0	5,749
38	X	LIMITED BRANDS INC	532716107			10/5/2009		7/24/2012	8,796	3,379				0	5,417
39	X	LIMITED BRANDS INC	532716107			10/5/2009		7/24/2012	5,826	2,258				0	3,568
40	X	LINCOLN NATL CORP IND	534187109			8/12/2011		1/26/2012	2,893	3,150				0	-257
41	X	LINCOLN NATL CORP IND	534187109			8/12/2011		1/27/2012	11,653	12,534				0	-881
42	X	LINCOLN NATL CORP IND	534187109			8/12/2011		1/30/2012	7,590	8,168				0	-578
43	X	LLOYDS TSB BANK PLC 6 375	539473AH1			1/13/2011		5/3/2012	22,040	19,940				0	2,100
44	X	LORILLARD INC	544147101			2/16/2012		9/12/2012	5,103	5,558				0	-455
45	X	LORILLARD INC	544147101			8/28/2012		9/12/2012	3,131	3,470				0	-339
46	X	LORILLARD INC	544147101			8/22/2011		9/12/2012	1,276	1,197				0	79
47	X	LORILLARD INC	544147101			8/22/2011		9/13/2012	1,632	1,520				0	112
48	X	LORILLARD INC	544147101			8/22/2011		9/13/2012	20,394	19,050				0	1,344
49	X	LOS ANGELES CALIF CMN 6 7	54438CPA4			10/17/2011		5/7/2012	6,824	6,306				0	518
50	X	LOS ANGELES CALIF CMN 6 7	54438CPA4			10/17/2011		5/7/2012	6,824	6,307				0	517
51	X	LOS ANGELES CALIF CMN 6 7	54438CPA4			10/19/2011		5/7/2012	6,824	6,217				0	607
52	X	MASSACHUSETTS ST 4 2%	57582PWK2			1/12/2011		5/7/2012	17,025	15,027				0	1,998
53	X	MCKESSON CORPORATION	58155Q103			2/4/2009		4/27/2012	8,215	4,101				0	4,114
54	X	MCKESSON CORPORATION	58155Q103			2/4/2009		4/30/2012	18,298	9,114				0	9,184
55	X	US TREASURY NOTE 0 875	912828RU6			12/21/2011		5/2/2012	15,099	15,011				0	88
56	X	U S TREASURY NOTE 0 875	912828RX0			1/10/2012		5/2/2012	5,029	5,005				0	24
57	X	U S TREASURY NOTE 0 25%	912828RZ5			1/13/2012		2/24/2012	4,976	4,986				0	-10
58	X	CONAGRA FOODS INC	205887102			12/6/2012		12/14/2012	1,207	1,187				0	20
59	X	CONOCOPHILLIPS	20825C104			8/28/2012		12/14/2012	1,733	1,695				0	38
60	X	RABOBANK NEDERLAND 5 2	21685WCJ4			5/17/2011		5/4/2012	15,958	14,764				0	1,194
61	X	CUMMINS INC	231021106			5/1/2009		6/7/2012	2,819	987				0	1,832
62	X	CUMMINS INC	231021106			5/1/2009		6/8/2012	24,974	8,818				0	16,156
63	X	DANAHER CORP	235851102			8/28/2012		12/14/2012	1,638	1,603				0	35
64	X	WALT DISNEY CO/THE	254687106			8/28/2012		12/14/2012	3,408	3,475				0	-67
65	X	DIRECTV - CLASS A	25490A101			1/20/2012		7/20/2012	774	689				0	85
66	X	DIRECTV - CLASS A	25490A101			1/20/2012		7/23/2012	14,905	13,569				0	1,336
67	X	DIRECTV - CLASS A	25490A101			1/20/2012		7/24/2012	6,222	5,729				0	493
68	X	DIRECTV - CLASS A	25490A101			1/20/2012		7/24/2012	10,602	9,735				0	867

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
69	X	DR PEPPER SNAPPLE GROUI	26138E109			8/28/2012		12/6/2012	2,270	2,260				0	10
70	X	DR PEPPER SNAPPLE GROUI	26138E109			6/15/2012		12/6/2012	1,771	1,678				0	93
71	X	DR PEPPER SNAPPLE GROUI	26138E109			6/14/2012		12/7/2012	2,682	2,531				0	151
72	X	DR PEPPER SNAPPLE GROUI	26138E109			6/15/2012		12/7/2012	3,409	3,228				0	181
73	X	DR PEPPER SNAPPLE GROUI	26138E109			6/15/2012		12/7/2012	4,182	3,953				0	229
74	X	DR PEPPER SNAPPLE GROUI	26138E109			6/15/2012		12/7/2012	1,364	1,289				0	75
75	X	DR PEPPER SNAPPLE GROUI	26138E109			6/14/2012		12/10/2012	2,062	1,928				0	134
76	X	DREYFUS INFLTN ADJ SEC-IN	261967830			12/20/2011		12/14/2012	3,411	3,288				0	123
77	X	DREYFUS INFLTN ADJ SEC-IN	261967830			12/30/2011		12/14/2012	168	161				0	7
78	X	DREYFUS INFLTN ADJ SEC-IN	261967830			1/31/2012		12/14/2012	172	168				0	4
79	X	DREYFUS INFLTN ADJ SEC-IN	261967830			2/29/2012		12/14/2012	168	164				0	4
80	X	DREYFUS INFLTN ADJ SEC-IN	261967830			3/30/2012		12/14/2012	242	233				0	9
81	X	DREYFUS INFLTN ADJ SEC-IN	261967830			4/30/2012		12/14/2012	1,009	989				0	20
82	X	DREYFUS INFLTN ADJ SEC-IN	261967830			5/31/2012		12/14/2012	1,751	1,736				0	15
83	X	FNMA POOL AA7694 4 5%	31416RRQ8			11/10/2009		3/25/2012	99	103				0	-4
84	X	FNMA POOL AA7694 4 5%	31416RRQ8			11/10/2009		4/25/2012	361	375				0	-14
85	X	FNMA POOL AA7694 4 5%	31416RRQ8			11/10/2009		5/9/2012	4,641	4,482				0	159
86	X	FNMA POOL AA7694 4 5%	31416RRQ8			11/10/2009		5/25/2012	56	58				0	-2
87	X	FNMA POOL AB4113 3 5%	31417ASB6			2/3/2012		3/25/2012	105	109				0	-4
88	X	FNMA POOL AB4113 3 5%	31417ASB6			2/3/2012		4/25/2012	667	693				0	-26
89	X	FNMA POOL AB4113 3 5%	31417ASB6			2/3/2012		5/8/2012	24,693	24,637				0	56
90	X	FNMA POOL AB4113 3 5%	31417ASB6			2/3/2012		5/25/2012	204	212				0	-8
91	X	FNMA POOL AC8596 4 0%	31417VRS4			2/11/2010		1/13/2012	15,831	15,215				0	616
92	X	UNITED STATES T-NOTE 1 5	912828QR4			8/8/2011		5/2/2012	15,522	15,315				0	207
93	X	UNITED STATES TREAS 0 75	912828QS2			6/15/2011		5/2/2012	5,049	5,010				0	39
94	X	UNITED STATES TREAS 0 75	912828QS2			6/22/2011		5/2/2012	15,148	15,036				0	112
95	X	UNITED STATES T-NOTE 0 63	912828QU7			8/8/2011		5/2/2012	20,146	20,125				0	21
96	X	UNITED STATES T-NOTE 1 5	912828QX1			8/16/2011		5/2/2012	15,520	15,375				0	145
97	X	UNITED STATES T-NOTE 1 5	912828QX1			8/24/2011		5/2/2012	10,346	10,238				0	108
98	X	UNITED STATES T-NOTE 2 25	912828QY9			8/24/2011		5/2/2012	10,674	10,439				0	235
99	X	UNITED STATES T-NOTE 2 12	912828RC6			8/24/2011		4/24/2012	10,210	9,884				0	326
100	X	UNITED STATES T-NOTE 2 12	912828RC6			10/17/2011		4/24/2012	5,105	4,975				0	130
101	X	US TREASURY NOTE 2 0%	912828RR3			11/22/2011		4/24/2012	5,031	5,015				0	16
102	X	US TREASURY NOTE 0 875	912828RU6			11/30/2011		5/2/2012	10,066	9,960				0	106
103	X	GECMC 2004-C3 A3 4 865%	36828QHV1			2/2/2010		3/10/2012	74	76				0	-2
104	X	GECMC 2004-C3 A3 4 865%	36828QHV1			8/27/2010		3/10/2012	74	76				0	-2
105	X	GECMC 2004-C3 A3 4 865%	36828QHV1			2/2/2010		4/10/2012	80	82				0	-2
106	X	GECMC 2004-C3 A3 4 865%	36828QHV1			8/27/2010		4/10/2012	80	82				0	-2
107	X	GECMC 2004-C3 A3 4 865%	36828QHV1			2/2/2010		5/10/2012	70	72				0	-2
108	X	GECMC 2004-C3 A3 4 865%	36828QHV1			8/27/2010		5/10/2012	70	72				0	-2
109	X	GECMC 2004-C3 A3 4 865%	36828QHV1			2/2/2010		5/11/2012	451	464				0	-13
110	X	GECMC 2004-C3 A3 4 865%	36828QHV1			8/27/2010		5/11/2012	451	463				0	-12
111	X	GENERAL ELECTRIC COMPAI	369604103			8/28/2012		12/14/2012	5,206	5,011				0	195
112	X	GENERAL ELECTRIC COMPAI	369604103			8/28/2012		12/26/2012	415	418				0	-3
113	X	GENERAL ELECTRIC COMPAI	369604103			1/28/2011		12/26/2012	11,202	10,945				0	257
114	X	FNMA POOL MA0708 4 5%	31417YYE1			5/19/2011		2/25/2012	69	71				0	-2
115	X	FNMA POOL MA0708 4 5%	31417YYE1			5/19/2011		3/25/2012	100	104				0	-4
116	X	FNMA POOL MA0708 4 5%	31417YYE1			5/19/2011		4/18/2012	26,149	24,675				0	1,474
117	X	FNMA POOL MA0708 4 5%	31417YYE1			5/19/2011		4/25/2012	93	96				0	-3
118	X	FNMA POOL MA0708 4 5%	31417YYE1			5/19/2011		5/25/2012	109	113				0	-4
119	X	FNMA POOL AD0207 6 0%	31418MGR7			4/26/2010		2/25/2012	746	796				0	-50
120	X	FNMA POOL AD0207 6 0%	31418MGR7			4/26/2010		3/25/2012	780	832				0	-52
121	X	FNMA POOL AD0207 6 0%	31418MGR7			4/26/2010		4/25/2012	732	781				0	-49
122	X	FNMA POOL AD0207 6 0%	31418MGR7			4/26/2010		5/8/2012	26,476	25,585				0	891
123	X	FNMA POOL AD0207 6 0%	31418MGR7			4/26/2010		5/25/2012	785	837				0	-52
124	X	FNMA POOL AD2351 4 0%	31418PTH8			12/13/2010		1/13/2012	3,566	3,464				0	102
125	X	FNMA POOL AD2351 4 0%	31418PTH8			12/13/2010		2/25/2012	653	672				0	-19
126	X	FNMA POOL AD2351 4 0%	31418PTH8			12/13/2010		3/25/2012	1,283	1,320				0	-37
127	X	FNMA POOL AD2351 4 0%	31418PTH8			12/13/2010		4/25/2012	689	709				0	-20
128	X	FNMA POOL AD2351 4 0%	31418PTH8			12/13/2010		5/9/2012	25,212	24,329				0	883
129	X	FNMA POOL AD2351 4 0%	31418PTH8			12/13/2010		5/25/2012	665	684				0	-19
130	X	FNMA POOL AE5895 3 322%	31419GRR7			9/21/2011		2/25/2012	1,316	1,367				0	-51
131	X	FNMA POOL AE5895 3 33%	31419GRR7			9/21/2011		3/25/2012	286	297				0	-11
132	X	FNMA POOL AE5895 3 33% 1	31419GRR7			9/21/2011		4/9/2012	39,978	39,787				0	191
133	X	FNMA POOL AE5895 3 33% 1	31419GRR7			9/21/2011		4/25/2012	790	820				0	-30
134	X	FEDEX CORP	31428X106			1/26/2012		12/14/2012	3,612	3,705				0	-93
135	X	FLUOR CORP NEW	343412102			10/16/2012		12/14/2012	1,714	1,732				0	-18
136	X	FORD MOTOR CO	345370860			11/22/2010		12/14/2012	775	1,154				0	-379

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
137	X	FORD MOTOR CO	345370860			11/22/2010		12/14/2012	1,107	1,646				0	-539
138	X	GECMC 2004-C3 A3 4 865%	36828QHV1			2/2/2010		1/10/2012	888	913				0	-25
139	X	GECMC 2004-C3 A3 4 865%	36828QHV1			8/27/2010		1/10/2012	888	912				0	-24
140	X	GECMC 2004-C3 A3 4 865%	36828QHV1			2/2/2010		2/10/2012	76	78				0	-2
141	X	GECMC 2004-C3 A3 4 865%	36828QHV1			8/27/2010		2/10/2012	76	78				0	-2
142	X	UNITED STATES T-NOTE 1 25	912828QC7			4/19/2011		5/2/2012	35,663	35,120				0	543
143	X	UNITED STATES T-NOTE 1 25	912828QH6			2/15/2011		5/2/2012	10,176	9,954				0	222
144	X	UNITED STATES T-NOTE 1 25	912828QH6			2/15/2011		5/2/2012	15,264	14,930				0	334
145	X	UNITED STATES T-NOTE 1 5	912828QR4			7/18/2011		5/2/2012	10,348	10,034				0	314
146	X	UNITED STATES T-NOTE 1 5	912828QR4			7/26/2011		5/2/2012	10,348	9,999				0	349
147	X	U S TREASURY NOTE 0 25%	912828RZ5			1/13/2012		2/29/2012	4,977	4,986				0	-9
148	X	U S TREASURY NOTE 0 25%	912828RZ5			1/13/2012		5/2/2012	39,887	39,886				0	1
149	X	U S TREASURY NOTE 2 0%	912828SF8			2/24/2012		4/4/2012	9,782	10,018				0	-236
150	X	U S TREASURY NOTE 2 0%	912828SF8			2/24/2012		4/5/2012	4,913	5,009				0	-96
151	X	U S TREASURY NOTE 2 0%	912828SF8			2/24/2012		4/24/2012	5,014	5,009				0	5
152	X	U S TREASURY NOTE 1 375	912828SH4			2/29/2012		5/2/2012	35,230	34,932				0	298
153	X	U S TREASURY NOTE 0 375	912828SP6			4/11/2012		5/2/2012	34,993	34,943				0	50
154	X	VALE SA-SP ADR	91912E105			5/10/2006		12/4/2012	1,371	1,153				0	218
155	X	VALE SA-SP ADR	91912E105			8/28/2012		12/4/2012	1,371	1,338				0	33
156	X	VALE SA-SP ADR	91912E105			11/17/2008		12/4/2012	617	421				0	196
157	X	VALE SA-SP ADR	91912E105			11/17/2008		12/5/2012	15,769	10,451				0	5,318
158	X	MERCK & CO INC	58933Y105			8/28/2012		12/21/2012	2,908	3,014				0	-106
159	X	MERCK & CO INC	58933Y105			5/14/2012		12/21/2012	25,465	23,522				0	1,943
160	X	MERCK & CO INC	58933Y105			1/22/2007		12/21/2012	6,288	3,752				0	2,536
161	X	MERCK & CO INC	58933Y105			5/14/2012		12/21/2012	4,458	4,106				0	352
162	X	MERCK & CO INC	58933Y105			11/17/2008		12/21/2012	3,293	1,213				0	2,080
163	X	MET LIFE GLOB FNDG 7 717	59156RAT5			2/11/2009		5/7/2012	12,786	10,035				0	2,751
164	X	MOODYS CORP	615369105			12/5/2012		12/14/2012	2,405	2,474				44	-25
165	X	MORGAN STANLEY GLOB 4	61748AAE6			3/15/2006		5/3/2012	15,135	14,516				0	619
166	X	NYSE EURONEXT 4 8%	629491AA9			10/28/2009		3/6/2012	5,256	5,289				0	-33
167	X	NYSE EURONEXT 4 8%	629491AA9			10/28/2009		5/7/2012	15,638	15,867				0	-229
168	X	NATIONAL OILWELL VARCO I	637071101			8/28/2012		12/14/2012	3,246	3,888				0	-642
169	X	NETAPP INC	64110D104			8/19/2011		6/20/2012	1,606	1,875				0	-269
170	X	NETAPP INC	64110D104			8/23/2011		6/20/2012	4,015	4,796				0	-781
171	X	NETAPP INC	64110D104			8/22/2011		6/20/2012	2,779	3,206				0	-427
172	X	NETAPP INC	64110D104			8/22/2011		6/20/2012	2,784	3,206				0	-422
173	X	NETAPP INC	64110D104			8/22/2011		6/21/2012	575	677				0	-102
174	X	NETAPP INC	64110D104			8/22/2011		6/21/2012	7,513	8,942				0	-1,429
175	X	MCKESSON CORPORATION	58155Q103			8/28/2012		12/14/2012	1,964	1,748				0	216
176	X	MERCK & CO INC	58933Y105			8/28/2012		12/14/2012	5,656	5,597				0	59
177	X	NEW JERSEY ST TPK AU 7 10	646139X83			12/8/2010		5/7/2012	27,878	20,000				0	7,878
178	X	VERIZON COMMUNICATIONS	92343VAL8			4/2/2008		5/7/2012	23,699	19,406				0	4,293
179	X	VMWARE INC-CLASS A	928563402			8/28/2012		12/14/2012	1,846	1,825				0	21
180	X	WFRBS 2011-C5 A2 2 684%	92936JAZ7			11/1/2011		5/11/2012	25,641	25,250				0	391
181	X	WELLS FARGO & COMPANY	949746101			8/28/2012		12/14/2012	5,634	5,782				0	-148
182	X	WOART 2011-A A3 1 11%	98158KAC3			3/2/2011		5/11/2012	14,916	15,000				0	-84
183	X	ZIMMER HOLDINGS INC	98956P102			5/11/2011		10/12/2012	4,980	5,535				0	-555
184	X	ZIMMER HOLDINGS INC	98956P102			5/10/2011		10/12/2012	1,743	1,933				0	-190
185	X	ZIMMER HOLDINGS INC	98956P102			5/10/2011		10/15/2012	10,036	11,046				0	-1,010
186	X	ZIMMER HOLDINGS INC	98956P102			5/9/2011		10/16/2012	5,748	6,122				0	-374
187	X	ZIMMER HOLDINGS INC	98956P102			5/10/2011		10/16/2012	2,682	2,900				0	-218
188	X	ZIMMER HOLDINGS INC	98956P102			8/28/2012		10/16/2012	1,916	1,843				0	73
189	X	COOPER PLC CORP ACTION	G24140108			1/1/2012		12/3/2012	54,629	44,743				0	9,886
190	X	COVIDIEN PLC	G2554F113			8/28/2012		12/14/2012	2,864	2,788				0	76
191	X	EATON CORP PLC	G29183103			11/30/2012		12/14/2012	31	31				0	0
192	X	EATON CORP PLC	G29183103			11/30/2012		12/14/2012	3,681	3,616				0	65
193	X	ENSCO PLC-CL A	G3157S106			3/24/2011		12/14/2012	3,444	3,457				0	-13
194	X	PENTAIR LTD	H6169Q108			8/28/2012		11/2/2012	26	26				0	0
195	X	DREYFUS US EQUITY FUND-I	86271F727			5/9/2012		12/14/2012	75,000	71,626				0	3,374
196	X	DREYFUS PRE STRAT INTER	86271F768			11/14/2011		5/9/2012	300,000	292,033				0	7,967
197	X	DREYFUS PRE STRAT INTER	86271F768			11/14/2011		8/27/2012	110,000	103,165				0	6,835
198	X	TCW EMERGING MARKETS IN	87234N765			7/27/2011		5/9/2012	25,000	25,510				0	-510
199	X	TCW EMERGING MARKETS IN	87234N765			7/27/2011		12/14/2012	20,000	18,958				0	1,042
200	X	TD AMERITRADE HLDG CO 4	87236YAB4			12/14/2009		5/7/2012	5,339	5,033				0	306
201	X	TD AMERITRADE HLDG CO 4	87236YAB4			12/11/2009		5/7/2012	16,016	15,057				0	959
202	X	TARGET CORP	87612E106			8/28/2012		12/14/2012	2,426	2,561				0	-135
203	X	TELEFONICA EMISONES 5 87	87938WAH6			1/24/2012		3/20/2012	5,170	5,062				0	108
204	X	TELEFONICA EMISIONES 4 94	87938WAJ2			6/8/2010		1/24/2012	5,119	5,180				0	-61

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
205	X	TELEFONICA EMISIONES 4 94	87938WAJ2			6/22/2009		1/25/2012	15,355	15,000				0	355
206	X	TELEFONICA EMISIONES 5 13	87938WAM5			1/25/2012		5/7/2012	14,112	14,438				0	-326
207	X	TELEFONICA EMISIONES 5 13	87938WAM5			3/20/2012		5/7/2012	4,704	4,879				0	-175
208	X	TERADATA CORPORATION	88076W103			3/3/2011		7/6/2012	391	300				0	91
209	X	TERADATA CORPORATION	88076W103			3/3/2011		7/6/2012	2,215	1,695				0	520
210	X	AMERIPRISE FINANCIAL INC	03076C106			8/28/2012		12/14/2012	3,060	2,719				0	341
211	X	AMGEN INC 5 65% 6/1	031162BH2			2/24/2012		5/4/2012	5,559	5,597				0	-38
212	X	AMGEN INC 5 65% 6/1	031162BH2			2/24/2012		5/4/2012	5,559	5,599				0	-40
213	X	AMGEN INC 5 65% 6/1	031162BH2			3/6/2012		5/4/2012	5,559	5,598				0	-39
214	X	ANADARKO PETROLEUM COR	032511107			1/27/2012		12/14/2012	2,984	3,180				0	-196
215	X	APACHE CORP	037411105			8/28/2012		12/14/2012	3,083	3,486				0	-403
216	X	APACHE CORP 3 25% 4	037411AZ8			4/3/2012		5/4/2012	5,169	4,983				0	186
217	X	APACHE CORP 3 25% 4	037411AZ8			4/3/2012		5/4/2012	5,169	5,010				0	159
218	X	APACHE CORP 3 25% 4	037411AZ8			4/5/2012		5/4/2012	5,169	5,024				0	145
219	X	APPLE INC	037833100			8/28/2012		12/14/2012	11,200	14,847				0	-3,647
220	X	AUTOLIV INC	052800109			1/23/2012		12/14/2012	1,864	1,917				0	-53
221	X	BP CAPITAL MARKETS PLC 3	05565QBQ0			6/29/2011		5/7/2012	21,280	20,461				0	819
222	X	BNY MELLON CORP BOND-M	05569M368			5/10/2012		12/14/2012	20,000	19,206				0	794
223	X	BNY MELLON SM/MID CAP-M	05569M442			12/15/2009		8/27/2012	204,597	168,871				0	35,726
224	X	BNY MELLON SM/MID CAP-M	05569M442			12/14/2011		8/27/2012	3,054	2,760				0	294
225	X	BNY MELLON SM/MID CAP-M	05569M442			5/9/2012		8/27/2012	377,676	380,000				0	-2,324
226	X	ENERGIZER HLDGS INC	29266R108			11/12/2010		6/8/2012	1,689	1,589				0	100
227	X	ENERGIZER HLDGS INC	29266R108			11/15/2010		6/8/2012	4,405	4,242				0	163
228	X	EXXON MOBIL CORP	30231G102			8/28/2012		12/14/2012	5,291	5,291				0	0
229	X	FHLMC GOLD G11938 4 5%	3128M1BF5			3/14/2006		1/13/2012	2,905	2,733				0	172
230	X	FHLMC GOLD G04719 5 5%	3128M6SG4			11/16/2011		2/15/2012	196	212				0	-16
231	X	FHLMC GOLD G04719 5 5%	3128M6SG4			11/16/2011		3/15/2012	301	326				0	-25
232	X	FHLMC GOLD G04719 5 5%	3128M6SG4			11/16/2011		4/15/2012	303	328				0	-25
233	X	FHLMC GOLD G04719 5 5%	3128M6SG4			11/16/2011		5/8/2012	8,125	8,076				0	49
234	X	FHLMC GOLD G04719 5 5%	3128M6SG4			11/16/2011		5/15/2012	383	414				0	-31
235	X	FHLMC GOLD G05938 5 0%	3128M74X1			9/19/2011		2/15/2012	185	200				0	-15
236	X	FHLMC GOLD G05938 5 0%	3128M74X1			9/19/2011		3/15/2012	207	224				0	-17
237	X	FHLMC GOLD G05938 5 0%	3128M74X1			9/19/2011		4/15/2012	301	325				0	-24
238	X	FHLMC GOLD G05938 5 0%	3128M74X1			9/19/2011		5/8/2012	4,778	4,783				0	-5
239	X	TERADATA CORPORATION	88076W103			3/3/2011		7/9/2012	7,994	6,082				0	1,912
240	X	TERADATA CORPORATION	88076W103			3/2/2011		7/9/2012	3,145	2,278				0	867
241	X	TERADATA CORPORATION	88076W103			8/28/2012		12/14/2012	1,188	1,525				0	-337
242	X	THERMO FISHER SCIENTI 2 2	883556BA9			8/9/2011		5/7/2012	5,205	4,991				0	214
243	X	THERMO FISHER SCIENTI 2 2	883556BA9			8/10/2011		5/7/2012	5,205	5,064				0	141
244	X	PENTAIR LTD	H6169Q108			8/28/2012		12/14/2012	479	448				0	31
245	X	PENTAIR LTD	H6169Q108			11/17/2008		12/21/2012	5,093	1,598				0	3,495
246	X	PENTAIR LTD	H6169Q108			8/28/2012		12/21/2012	69	64				0	5
247	X	TYCO INTERNATIONAL LTD	H89128104			3/16/2009		3/29/2012	11,186	3,894				0	7,292
248	X	TYCO INTERNATIONAL LTD	H89128104			11/17/2008		3/29/2012	5,593	1,924				0	3,669
249	X	TYCO INTERNATIONAL LTD	H89128104			8/28/2012		12/14/2012	1,141	1,113				0	28
250	X	LYONDELLBASELL INDU -CL A	N53745100			8/28/2012		12/14/2012	3,229	2,804				0	425
251	X	A D T CORP-W/I	00101J106			8/28/2012		12/14/2012	914	732				0	182
252	X	AT&T INC	00206R102			8/28/2012		12/14/2012	4,090	4,425				0	-335
253	X	AT&T INC	00206R102			1/22/2012		12/21/2012	4,361	4,573				0	-212
254	X	AT&T INC	00206R102			8/28/2012		12/21/2012	335	369				0	-34
255	X	AT&T INC	00206R102			11/17/2008		12/21/2012	13,419	10,735				0	2,684
256	X	AT&T INC 4 45% 5/15/	00206RAX0			4/26/2011		5/4/2012	5,648	4,996				0	652
257	X	AT&T INC 4 45% 5/15/	00206RAX0			1/23/2012		5/4/2012	5,648	5,526				0	122
258	X	AT&T INC 4 45% 5/15/	00206RAX0			2/9/2012		5/4/2012	5,648	5,586				0	62
259	X	ALLIANCE DATA SYS CORP	018581108			8/28/2012		12/14/2012	4,032	3,867				0	165
260	X	ALLSCRIPTS HEALTHCARE S	01988P108			8/10/2010		12/14/2012	215	341				0	-126
261	X	ALLSCRIPTS HEALTHCARE S	01988P108			8/12/2010		12/14/2012	538	848				0	-310
262	X	COMMIT TO PUR FNMA SF M	01F032617			12/20/2011		1/9/2012	25,816	25,617				0	199
263	X	DREYFUS INFLTN ADJ SEC-IN	261967830			6/29/2012		12/14/2012	1,285	1,262				0	23
264	X	DREYFUS INFLTN ADJ SEC-IN	261967830			7/31/2012		12/14/2012	642	641				0	1
265	X	DREYFUS INFLTN ADJ SEC-IN	261967830			8/31/2012		12/14/2012	521	518				0	3
266	X	DREYFUS INFLTN ADJ SEC-IN	261967830			9/28/2012		12/14/2012	395	394				0	1
267	X	DREYFUS INFLTN ADJ SEC-IN	261967830			10/31/2012		12/14/2012	1,764	1,766				0	-2
268	X	DREYFUS INFLTN ADJ SEC-IN	261967830			11/30/2012		12/14/2012	1,806	1,809				0	-3
269	X	DREYFUS INFLTN ADJ SEC-IN	261967830			12/17/2009		12/14/2012	6,666	5,808				0	858
270	X	DREYFUS HIGH YIELD-I	261980759			11/17/2011		5/9/2012	20,000	19,102				0	898
271	X	DREYFUS/NEWTON INTERN E	26203E604			11/13/2008		5/9/2012	240,000	214,324				0	25,676
272	X	NEW YORK N Y CITY MU 6 28	64972FY26			11/10/2010		5/7/2012	17,329	15,000				0	2,329

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount		Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Long Term CG Distributions	143,834		Capital Gains/Losses	9,511,440	8,866,861	644,579
Short Term CG Distributions	0		Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
273	X	NEWS AMERICA INC 6 15%	652482BN9			11/8/2007		5/4/2012	16,776	14,089				0	2,687
274	X	NEWS CORP INC	65248E203			8/28/2012		12/14/2012	4,021	3,774				0	247
275	X	NEXTERA ENERGY INC	65339F101			8/28/2012		12/14/2012	4,867	4,776				0	91
276	X	NORFOLK SOUTHERN CORP	655844108			11/3/2009		2/16/2012	11,645	8,355				0	3,290
277	X	NORFOLK SOUTHERN CORP	655844108			11/4/2009		2/16/2012	1,849	1,346				0	503
278	X	NORFOLK SOUTHERN CORP	655844108			11/3/2009		2/16/2012	9,795	7,028				0	2,767
279	X	NORFOLK SOUTHERN CORP	655844108			11/3/2009		2/16/2012	11,654	8,355				0	3,299
280	X	OCCIDENTAL PETROLEUM C(	674599105			8/28/2012		12/14/2012	3,049	3,515				0	-466
281	X	OMNICOM GROUP INC	681919106			1/22/2007		1/20/2012	1,502	1,651				0	-149
282	X	OMNICOM GROUP INC	681919106			1/22/2007		1/20/2012	7,398	8,153				0	-755
283	X	OMNICOM GROUP INC	681919106			1/22/2007		1/23/2012	3,232	3,612				0	-380
284	X	OMNICOM GROUP INC	681919106			11/17/2008		1/23/2012	7,340	4,001				0	3,339
285	X	OMNICOM GROUP INC	681919106			11/17/2008		1/24/2012	12,488	6,819				0	5,669
286	X	OMNICOM GROUP INC	681919106			11/17/2008		1/25/2012	7,851	4,278				0	3,573
287	X	ONTARIO (PROVINCE OF) 4 0	6832348D3			9/29/2009		5/8/2012	16,936	14,974				0	1,962
288	X	ORACLE CORP	68389X105			1/22/2007		3/30/2012	20,700	12,096				0	8,604
289	X	ORACLE CORP	68389X105			11/17/2008		3/30/2012	2,332	1,333				0	999
290	X	ORACLE CORP	68389X105			8/28/2012		12/14/2012	3,196	3,194				0	2
291	X	ORACLE CORP 5 75% 4	68389XAC9			4/2/2008		5/7/2012	18,298	14,993				0	3,305
292	X	PPL CORP	69351T106			4/4/2011		1/20/2012	12,924	12,156				0	768
293	X	PPL CORP	69351T106			4/5/2011		1/20/2012	10,449	9,794				0	655
294	X	P V H CORP	693656100			8/28/2012		12/14/2012	2,169	1,867				0	302
295	X	PEPSICO INC	713448108			8/28/2012		12/14/2012	4,913	5,120				0	-207
296	X	PEPSICO INC 4 5% 1/1	713448BN7			1/11/2010		5/4/2012	11,479	9,966				0	1,513
297	X	PETROBRAS INTL FIN C 5 375	71645WAR2			1/20/2011		5/4/2012	10,997	9,980				0	1,017
298	X	PETROBRAS INTL FIN C 5 375	71645WAR2			1/20/2011		5/4/2012	5,498	5,035				0	463
299	X	PFIZER INC	717081103			8/28/2012		12/14/2012	7,806	7,427				0	379
300	X	PHILLIPS 66-W/I	718546104			10/30/2002		5/3/2012	534	199				0	335
301	X	PHILLIPS 66-W/I	718546104			10/30/2002		5/3/2012	6,098	2,259				0	3,839
302	X	PRAXAIR INC	74005P104			8/28/2012		12/14/2012	2,141	2,099				0	42
303	X	T ROWE PRICE GROUP INC	74144T108			8/28/2012		12/14/2012	1,919	1,857				0	62
304	X	PROCTER & GAMBLE CO	742718109			2/1/2002		3/22/2012	7,423	4,517				0	2,906
305	X	PROCTER & GAMBLE CO	742718109			2/1/2002		3/27/2012	1,142	698				0	444
306	X	COMMIT TO PUR FNMA SF M1	01F032625			1/9/2012		2/9/2012	25,930	25,745				0	185
307	X	COMMIT TO PUR FNMA SF	01F032633			2/9/2012		3/5/2012	25,855	25,849				0	6
308	X	AMAZON COM INC	023135106			8/28/2012		12/14/2012	2,733	2,696				0	37
309	X	AMERICAN EXPRESS CO	025816109			8/28/2012		12/14/2012	3,397	3,455				0	-58
310	X	AMERICAN INTERNATIONAL C	026874784			9/12/2012		12/14/2012	1,700	1,690				0	10
311	X	AMERICAN INTL GROUP 4 25	026874CA3			9/8/2011		5/7/2012	10,420	9,945				0	475
312	X	AMERICAN INTL GROUP 4 25	026874CA3			10/18/2011		5/7/2012	5,210	4,841				0	369
313	X	COMMIT TO PUR FHLMC GOL	02R030418			12/5/2011		1/9/2012	31,093	30,633				0	460
314	X	COMMIT TO PUR FHLMC GOL	02R030426			1/9/2012		2/14/2012	26,000	25,830				0	170
315	X	COMMIT TO PURCHASE FHLM	02R030434			2/14/2012		3/5/2012	36,312	36,308				0	4
316	X	COMMIT TO PUR FHLMC GO	02R030442			3/5/2012		4/11/2012	36,444	36,214				0	230
317	X	COMMIT TO PUR FHLMC G	02R030459			4/11/2012		5/15/2012	41,797	41,538				0	259
318	X	COMMIT TO PUR FHLMC	02R032646			3/5/2012		4/9/2012	25,857	25,729				0	128
319	X	COMMIT TO PUR FHLMC GO	02R032653			4/9/2012		5/10/2012	25,984	25,781				0	203
320	X	AMERICAN TOWER CORP	03027X100			7/9/2012		12/14/2012	766	714				0	52
321	X	AMCAR 2010-3 A3 1 14% 4	03063PAC6			9/15/2010		5/11/2012	24,953	24,996				0	-43
322	X	AMCAR 2011-3 A3 1 17% 1	03064PAC5			6/8/2011		5/11/2012	44,902	44,994				0	-92
323	X	DU PONT (E I) DE NEMOURS	263534109			4/14/2009		3/29/2012	9,560	4,841				0	4,719
324	X	DU PONT (E I) DE NEMOURS	263534109			4/14/2009		3/29/2012	10,397	5,267				0	5,130
325	X	E M C CORP MASS	268648102			8/28/2012		12/14/2012	3,210	3,445				0	-235
326	X	E O G RES INC	26875P101			8/28/2012		12/14/2012	1,547	1,428				0	119
327	X	EATON CORP ACTION	278058102			1/1/2012		12/5/2012	18,596	17,552				0	1,044
328	X	ELECTRONIC ARTS INC	285512109			8/12/2011		6/14/2012	3,321	5,329				0	-2,008
329	X	ELECTRONIC ARTS INC	285512109			8/12/2011		6/15/2012	171	276				0	-105
330	X	ELECTRONIC ARTS INC	285512109			8/12/2011		6/15/2012	729	1,165				0	-436
331	X	ELECTRONIC ARTS INC	285512109			8/12/2011		6/15/2012	10,617	16,955				0	-6,338
332	X	ELECTRONIC ARTS INC	285512109			8/12/2011		6/15/2012	592	947				0	-355
333	X	ENERGIZER HLDGS INC	29266R108			11/15/2010		6/7/2012	1,966	1,909				0	57
334	X	ENERGIZER HLDGS INC	29266R108			11/12/2010		6/8/2012	11,746	11,117				0	629
335	X	PROCTER & GAMBLE CO	742718109			2/1/2002		3/27/2012	14,975	9,158				0	5,817
336	X	PROCTER & GAMBLE CO	742718109			2/1/2002		3/28/2012	10,918	6,694				0	4,224
337	X	PROCTER & GAMBLE CO	742718109			2/1/2002		3/28/2012	1,140	698				0	442
338	X	PRUDENTIAL FINL INC 4 75%	74432QBJ3			9/10/2009		5/4/2012	16,325	14,965				0	1,360
339	X	PUERTO RICO COMWLTH 3	745177EW1			5/12/2011		5/7/2012	20,375	20,000				0	375
340	X	QUALCOMM INC	747525103			8/28/2012		12/14/2012	4,180	4,372				0	-192

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
341	X	RALCORP HLDGS INC NEW	751028101			3/30/2012		11/27/2012	8,879	7,402				0	1,477
342	X	RALCORP HLDGS INC NEW	751028101			3/30/2012		11/27/2012	7,103	5,918				0	1,185
343	X	RALCORP HLDGS INC NEW	751028101			3/30/2012		12/14/2012	892	740				0	152
344	X	ROBERT HALF INTL INC	770323103			12/5/2011		12/14/2012	1,489	1,398				0	91
345	X	ROGERS WIRELESS INC 6 37	77531QAD0			5/20/2009		5/7/2012	16,457	15,818				0	639
346	X	ROYAL BANK OF SCOTLA 4 8	78010XAC5			3/9/2010		5/7/2012	5,195	5,001				0	194
347	X	ROYAL BANK OF SCOTLA 4 8	78010XAC5			3/9/2010		5/7/2012	10,389	9,977				0	412
348	X	RYDEX MANAGED FUTURES	78356A160			7/13/2010		8/27/2012	178,811	200,000				0	-21,189
349	X	RYDEX MANAGED FUTURES	78356A160			12/17/2010		8/27/2012	174,095	200,000				0	-25,905
350	X	RYDEX MANAGED FUTURES	78356A160			8/2/2011		8/27/2012	166,868	192,000				0	-25,132
351	X	RYDEX MANAGED FUTURES	78356A160			11/17/2011		8/27/2012	64,140	70,000				0	-5,860
352	X	SBC COMMUNICATIONS 5 87	78387GAK9			3/23/2006		1/23/2012	5,149	5,060				0	89
353	X	SBC COMMUNICATIONS 5 87	78387GAK9			3/23/2006		2/9/2012	5,136	5,060				0	76
354	X	ST JUDE MEDICAL INC	790849103			3/22/2011		7/18/2012	484	669				0	-185
355	X	ST JUDE MEDICAL INC	790849103			3/22/2011		7/18/2012	27,289	37,419				0	-10,130
356	X	SANOFI	80105N105			8/28/2012		12/14/2012	5,175	4,516				0	659
357	X	SIMON PROPERTY GROUP 5	828807CD7			1/19/2010		5/7/2012	23,392	19,924				0	3,468
358	X	SKYWORKS SOLUTIONS INC	83088M102			12/5/2012		12/14/2012	990	1,171				0	-181
359	X	BANK OF AMERICA CORP	060505104			5/21/2009		12/14/2012	1,797	1,959				161	-1
360	X	BANK OF AMERICA CORP 5 7	060505CL6			1/5/2007		5/4/2012	21,054	20,524				0	530
361	X	BARCLAYS BANK PLC 5 0%	06739FGF2			9/17/2009		5/7/2012	16,290	14,981				0	1,309
362	X	BAXTER INTERNATIONAL INC	071813109			8/17/2011		6/14/2012	2,948	3,213				0	-265
363	X	BAXTER INTERNATIONAL INC	071813109			8/18/2011		6/14/2012	2,654	2,833				0	-179
364	X	BAXTER INTERNATIONAL INC	071813109			8/10/2011		6/15/2012	16,721	17,453				0	-732
365	X	BAXTER INTERNATIONAL INC	071813109			8/18/2011		6/15/2012	17,016	18,154				0	-1,138
366	X	BEAM INC	073730103			12/11/2012		12/14/2012	1,832	1,857				25	0
367	X	FHLMC GOLD A90393 5 0%	312938NJ8			3/8/2010		3/15/2012	132	138				0	-6
368	X	FHLMC GOLD A90393 5 0%	312938NJ8			3/8/2010		4/15/2012	143	149				0	-6
369	X	FHLMC GOLD A90393 5 0%	312938NJ8			3/8/2010		5/8/2012	12,601	12,142				0	459
370	X	FHLMC GOLD A90393 5 0%	312938NJ8			3/8/2010		5/15/2012	185	192				0	-7
371	X	FHLMC GOLD A92821 5 0%	312941D20			7/22/2010		2/15/2012	485	516				0	-31
372	X	TIME WARNER INC 3 15%	887317AJ4			7/7/2010		1/10/2012	10,544	9,988				0	556
373	X	TIME WARNER INC 3 15%	887317AJ4			7/8/2010		1/10/2012	5,272	5,023				0	249
374	X	TIME WARNER INC 4 0%	887317AN5			1/10/2012		5/7/2012	15,815	15,536				0	279
375	X	TIME WARNER CABLE IN 4 12	88732JAX6			11/9/2010		5/7/2012	15,842	14,905				0	937
376	X	TRANSCANADA CORP	89353D107			8/28/2012		12/14/2012	1,838	1,840				0	-2
377	X	TYCO INTL FIN 3 375% 10	902118BN7			4/28/2010		5/7/2012	15,959	14,951				0	1,008
378	X	UNILEVER PLC ADR	904767704			8/28/2012		12/14/2012	5,078	4,677				0	401
379	X	UNITED MEXICAN STS 6 625	91086QAL2			1/4/2005		5/17/2012	4,569	4,284				0	285
380	X	UNITED MEXICAN STATE 5 62	91086QAU2			3/3/2006		5/17/2012	17,285	14,868				0	2,417
381	X	US TREAS-CPI INFLAT 2 375%	912810PS1			5/7/2007		5/4/2012	22,473	16,989				0	5,484
382	X	U S INFL IX BD 2 375% 1/1	912828GD6			5/1/2007		5/4/2012	26,562	22,963				0	3,599
383	X	U S TREASURY INFL IN 1 375%	912828JE1			8/21/2008		5/4/2012	36,680	31,107				0	5,573
384	X	US TREAS INFL INDX 1 375%	912828MF4			6/23/2010		5/4/2012	30,716	26,910				0	3,806
385	X	U S TREASURY NOTE 1 75%	912828NP1			7/29/2010		5/2/2012	26,049	25,098				0	951
386	X	U S TREASURY NOTE 0 75%	912828NY2			9/24/2010		1/9/2012	5,043	5,009				0	34
387	X	U S TREASURY NOTE 0 75%	912828NY2			9/14/2010		1/9/2012	5,043	4,996				0	47
388	X	U S TREASURY NOTE 0 75%	912828NY2			9/14/2010		1/20/2012	5,044	4,996				0	48
389	X	U S TREASURY NOTE 0 75%	912828NY2			9/14/2010		2/8/2012	5,040	4,996				0	44
390	X	U S TREASURY NOTE 0 5% 1	912828PB0			10/19/2010		2/8/2012	5,021	4,993				0	28
391	X	BNY MELLON FOC EQ OPP-M	05569M475			12/17/2010		5/9/2012	80,000	78,224				0	1,776
392	X	BNY MELLON M/C MULTI-STR	05569M509			7/27/2011		12/14/2012	142,819	166,253				0	-23,434
393	X	BNY MELLON M/C MULTI-STR	05569M509			12/6/2007		12/14/2012	157,181	180,393				0	-23,212
394	X	BNY MELLON S/T US GOV SE	05569M780			12/17/2009		5/9/2012	45,000	45,588				1	-587
395	X	BNY MELLON INTERMEDIATE	05569M814			1/31/2011		12/14/2012	1,217	1,188				0	29
396	X	BNY MELLON INTERMEDIATE	05569M814			2/28/2011		12/14/2012	1,119	1,089				0	30
397	X	BNY MELLON INTERMEDIATE	05569M814			4/29/2011		12/14/2012	1,224	1,197				0	27
398	X	BNY MELLON INTERMEDIATE	05569M814			5/31/2011		12/14/2012	1,257	1,235				0	22
399	X	BNY MELLON INTERMEDIATE	05569M814			6/30/2011		12/14/2012	1,266	1,238				0	28
400	X	BNY MELLON INTERMEDIATE	05569M814			9/30/2011		12/14/2012	1,130	1,110				0	20
401	X	BNY MELLON INTERMEDIATE	05569M814			11/17/2011		12/14/2012	105,193	103,605				0	1,588
402	X	BNY MELLON INTERMEDIATE	05569M814			11/30/2011		12/14/2012	1,510	1,479				0	31
403	X	BNY MELLON INTERMEDIATE	05569M814			12/15/2011		12/14/2012	1,501	1,474				0	27
404	X	BNY MELLON INTERMEDIATE	05569M814			1/31/2012		12/14/2012	1,334	1,325				0	9
405	X	BNY MELLON INTERMEDIATE	05569M814			2/29/2012		12/14/2012	1,166	1,157				0	9
406	X	BNY MELLON INTERMEDIATE	05569M814			3/30/2012		12/14/2012	1,434	1,417				0	17
407	X	BNY MELLON INTERMEDIATE	05569M814			4/30/2012		12/14/2012	1,343	1,334				0	9
408	X	BNY MELLON INTERMEDIATE	05569M814			5/31/2012		12/14/2012	1,389	1,381				0	8

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
409	X	BNY MELLON INTERMEDIATE	05569M814			6/29/2012		12/14/2012	1,264	1,254				0	10
410	X	BNY MELLON INTERMEDIATE	05569M814			7/31/2012		12/14/2012	1,170	1,170				0	0
411	X	BNY MELLON INTERMEDIATE	05569M814			8/31/2012		12/14/2012	1,172	1,173				0	-1
412	X	BNY MELLON INTERMEDIATE	05569M814			9/28/2012		12/14/2012	1,086	1,088				0	-2
413	X	BNY MELLON INTERMEDIATE	05569M814			10/31/2012		12/14/2012	1,220	1,221				0	-1
414	X	BNY MELLON INTERMEDIATE	05569M814			11/30/2012		12/14/2012	1,178	1,180				0	-2
415	X	FHLMC GOLD G05337 5 5%	3128M7HN9			6/10/2010		2/15/2012	293	315				0	-22
416	X	FHLMC GOLD G05337 5 5%	3128M7HN9			6/10/2010		3/15/2012	157	169				0	-12
417	X	FHLMC GOLD G05337 5 5%	3128M7HN9			6/10/2010		4/15/2012	104	112				0	-8
418	X	FHLMC GOLD G05337 5 5%	3128M7HN9			6/10/2010		5/8/2012	6,237	6,164				0	73
419	X	FHLMC GOLD G05337 5 5%	3128M7HN9			6/10/2010		5/15/2012	99	107				0	-8
420	X	FNMA POOL 975268 5 5%	31414S6D0			4/20/2011		4/25/2012	501	535				0	-34
421	X	FNMA POOL 975268 5 5% 5/1	31414S6D0			4/20/2011		5/8/2012	10,814	10,559				0	255
422	X	FNMA POOL 975268 5 5% 5/1	31414S6D0			4/20/2011		5/25/2012	426	455				0	-29
423	X	FNMA POOL 981644 4 5%	31415BBM0			10/14/2009		2/25/2012	84	87				0	-3
424	X	FNMA POOL 981644 4 5%	31415BBM0			10/14/2009		3/25/2012	196	204				0	-8
425	X	FNMA POOL 981644 4 5%	31415BBM0			10/14/2009		4/25/2012	191	198				0	-7
426	X	FNMA POOL 981644 4 5%	31415BBM0			10/14/2009		5/9/2012	5,170	4,987				0	183
427	X	FNMA POOL 981644 4 5%	31415BBM0			10/14/2009		5/25/2012	231	239				0	-8
428	X	FNMA POOL AA7694 4 5%	31416RRQ8			11/10/2009		1/13/2012	21,116	20,527				0	589
429	X	FNMA POOL AA7694 4 5%	31416RRQ8			11/10/2009		2/25/2012	109	113				0	-4
430	X	CATERPILLAR INC	149123101			6/16/2010		12/5/2012	26,452	19,819				0	6,633
431	X	CHICAGO IL 6 034% 1/01/42	167486NN5			11/9/2011		5/7/2012	21,124	20,000				0	1,124
432	X	CHUBB CORP	171232101			11/17/2008		1/20/2012	9,199	6,189				0	3,010
433	X	CHUBB CORP	171232101			11/17/2008		1/20/2012	5,664	3,809				0	1,855
434	X	CHUBB CORP	171232101			8/28/2012		12/14/2012	2,285	2,209				0	76
435	X	CISCO SYSTEMS INC 5 5%	17275RAC6			12/14/2007		5/4/2012	11,668	10,033				0	1,635
436	X	CITIGROUP INC	172967424			3/22/2012		5/14/2012	12,078	15,906				0	-3,828
437	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	482	821				0	-339
438	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	1,646	2,783				0	-1,137
439	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	937	1,594				0	-657
440	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	709	1,209				0	-500
441	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	1,788	3,042				0	-1,254
442	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	454	772				0	-318
443	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	3,434	5,843				0	-2,409
444	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	1,050	1,790				0	-740
445	X	CITIGROUP INC	172967424			1/31/2011		5/14/2012	1,674	2,850				0	-1,176
446	X	CITIGROUP INC	172967424			3/22/2012		5/14/2012	3,406	4,439				0	-1,033
447	X	CITIGROUP INC 6 125% 1	172967EM9			8/12/2008		5/4/2012	16,779	14,677				0	2,102
448	X	COCA-COLA ENTERPRISES IN	19122T109			8/28/2012		12/14/2012	2,476	2,385				0	91
449	X	COGNIZANT TECHNOLOGY S	192446102			3/30/2012		12/14/2012	2,174	2,311				0	-137
450	X	CATERPILLAR INC	149123101			6/16/2010		12/4/2012	2,526	1,930				0	596
451	X	COMCAST CORP 5 9%	20030NAL5			3/30/2006		5/3/2012	23,182	19,720				0	3,462
452	X	U S TREASURY NOTE 0 5% 1	912828PB0			10/12/2010		2/8/2012	15,062	14,969				0	93
453	X	U S TREASURY NOTE 0 5% 1	912828PB0			10/12/2010		2/24/2012	5,018	4,990				0	28
454	X	U S TREASURY NOTE 0 75%	912828PL8			1/11/2011		2/24/2012	5,040	4,980				0	60
455	X	U S TREASURY NOTE 0 75%	912828PL8			12/13/2010		2/29/2012	5,041	4,965				0	76
456	X	U S TREASURY NOTE 0 75%	912828PL8			12/13/2010		3/7/2012	5,039	4,965				0	74
457	X	U S TREASURY NOTE 1 0%	912828PQ7			1/25/2011		3/28/2012	5,060	4,995				0	65
458	X	U S TREASURY NOTE 1 0%	912828PQ7			1/21/2011		5/2/2012	10,127	9,982				0	145
459	X	U S TREASURY NOTE 1 0%	912828PQ7			1/25/2011		5/2/2012	5,063	4,995				0	68
460	X	U S TREASURY NOTE 1 25%	912828PZ7			3/11/2011		5/2/2012	10,185	10,037				0	148
461	X	U S TREASURY NOTE 1 25%	912828PZ7			3/23/2011		5/2/2012	15,277	15,057				0	220
462	X	FNMA POOL AL0201 5 0%	3138EGGK4			4/15/2011		5/25/2012	359	385				0	-26
463	X	FNMA POOL AL0573 3 5%	3138EGT79			8/2/2011		2/8/2012	57,325	56,266				0	1,059
464	X	FNMA POOL AL0573 3 5%	3138EGT79			8/2/2011		2/25/2012	1,454	1,503				0	-49
465	X	FNMA REMIC 2003-64 5 5%	31393DKA8			5/20/2010		1/25/2012	734	769				0	-35
466	X	FNMA REMIC 2003-64 5 5%	31393DKA8			5/20/2010		2/25/2012	834	874				0	-40
467	X	FNMA REMIC 2003-64 5 5%	31393DKA8			5/20/2010		3/25/2012	882	924				0	-42
468	X	FNMA REMIC 2003-64 5 5%	31393DKA8			5/20/2010		4/25/2012	899	942				0	-43
469	X	FNMA REMIC 2003-64 5 5%	31393DKA8			5/20/2010		5/21/2012	9,602	9,952				0	-350
470	X	FNMA REMIC 2003-64 5 5%	31393DKA8			5/20/2010		5/25/2012	905	948				0	-43
471	X	FED NATL MTGE ASSN 3 0%	31398AYY2			8/13/2009		5/8/2012	10,600	9,973				0	627
472	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		2/25/2012	225	231				0	-6
473	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		3/25/2012	139	143				0	-4
474	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		4/25/2012	122	126				0	-4
475	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		5/9/2012	5,552	5,308				0	244
476	X	FNMA POOL 962301 4 5%	31414CRW0			5/20/2009		5/25/2012	229	235				0	-6

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
477	X	BEAR STEARNS CO INC 5 55	073902PN2			1/20/2012		5/4/2012	16,686	16,051				0	635
478	X	BLACKROCK INC 6 25%	09247XAC5			9/12/2007		5/4/2012	24,458	19,839				0	4,619
479	X	BOSTON PROPERTIES LP 4 1	10112RAS3			8/30/2011		5/4/2012	15,814	14,759				0	1,055
480	X	C B S CORP-CLASS B W/I	124857202			8/28/2012		12/14/2012	3,129	3,271				0	-142
481	X	C B R E GROUP INC	12504L109			12/11/2012		12/14/2012	1,531	1,573				41	-1
482	X	CIGNA CORP	125509109			8/28/2012		12/14/2012	2,118	1,785				0	333
483	X	CRH AMERICA INC 5 3% 1	12626PAE3			10/24/2006		5/7/2012	10,491	9,799				0	692
484	X	CRH AMERICA INC 5 3% 1	12626PAE3			9/13/2006		5/7/2012	10,491	9,813				0	678
485	X	CALIFORNIA ST 7 3% 10/	13063A7D0			10/8/2009		5/7/2012	25,438	20,165				0	5,273
486	X	CAPITAL ONE FINANCIAL COF	14040H105			8/28/2012		12/14/2012	2,274	2,262				0	12
487	X	CARNIVAL CORP	143658300			8/28/2012		12/14/2012	1,508	1,372				0	136
488	X	CATERPILLAR INC	149123101			8/28/2012		12/4/2012	2,526	2,605				0	-79
489	X	FNMA POOL AK2439 3 5%	3138E6V94			2/8/2012		5/25/2012	475	503				0	-28
490	X	FNMA POOL AK2717A 4 0%	3138E7AT1			2/23/2012		4/25/2012	450	477				0	-27
491	X	FNMA POOL AK2717A 4 0%	3138E7AT1			2/23/2012		5/8/2012	41,438	41,371				0	67
492	X	FNMA POOL AK2717A 4 0%	3138E7AT1			2/23/2012		5/25/2012	454	481				0	-27
493	X	FNMA POOL AK5762 4 0%	3138EAML8			3/28/2012		5/8/2012	31,470	31,257				0	213
494	X	FNMA POOL AK5762 4 0%	3138EAML8			3/28/2012		5/25/2012	255	269				0	-14
495	X	FNMA POOL AL0219 3 313%	3138EGG57			10/20/2011		2/25/2012	1,165	1,184				0	-19
496	X	FNMA POOL AL0219 3 311%	3138EGG57			10/20/2011		3/25/2012	1,484	1,508				0	-24
497	X	FNMA POOL AL0219 3 31503	3138EGG57			10/20/2011		4/25/2012	2,501	2,541				0	-40
498	X	FNMA POOL AL0219 3 315%	3138EGG57			10/20/2011		5/9/2012	30,724	30,013				0	711
499	X	FNMA POOL AL0219 3 32%	3138EGG57			10/20/2011		5/25/2012	1,401	1,423				0	-22
500	X	FNMA POOL AL0201 5 0%	3138EGGK4			4/15/2011		1/13/2012	8,305	8,273				0	32
501	X	FNMA POOL AL0201 5 0%	3138EGGK4			4/15/2011		2/25/2012	344	369				0	-25
502	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		2/25/2012	470	470				0	0
503	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		3/25/2012	73	73				0	0
504	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		4/25/2012	1,228	1,229				0	-1
505	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		5/8/2012	40,715	37,244				0	3,471
506	X	FNMA POOL 966608 5 5%	31414HKV8			2/15/2008		5/25/2012	1,588	1,590				0	-2
507	X	FNMA POOL 975268 5 5%	31414S6D0			4/20/2011		2/25/2012	236	252				0	-16
508	X	FNMA POOL 975268 5 5%	31414S6D0			4/20/2011		3/25/2012	292	312				0	-20
509	X	FNMA POOL AJ1758 3 5%	3138AS5U2			9/14/2011		2/25/2012	498	522				0	-24
510	X	FNMA POOL AJ1758 3 5%	3138AS5U2			9/14/2011		3/25/2012	513	538				0	-25
511	X	FNMA POOL AJ1758 3 5%	3138AS5U2			9/14/2011		4/25/2012	597	626				0	-29
512	X	FNMA POOL AJ1758 3 5%	3138AS5U2			9/14/2011		5/9/2012	27,919	27,676				0	243
513	X	FNMA POOL AJ1758 3 5%	3138AS5U2			9/14/2011		5/25/2012	392	411				0	-19
514	X	FNMA POOL AJ5643 4 0%	3138AXHV6			1/19/2012		2/23/2012	25,061	25,043				0	18
515	X	FNMA POOL AJ5643 4 0%	3138AXHV6			1/19/2012		3/25/2012	884	930				0	-46
516	X	FNMA POOL AK2439 3 5%	3138E6V94			2/8/2012		3/25/2012	194	206				0	-12
517	X	FNMA POOL AK2439 3 5%	3138E6V94			2/8/2012		4/25/2012	358	379				0	-21
518	X	FNMA POOL AK2439 3 5%	3138E6V94			2/8/2012		5/9/2012	41,257	41,312				0	-55
519	X	FNMA POOL 255364 6 0%	31371LTV1			2/15/2011		3/25/2012	131	142				0	-11
520	X	FNMA POOL 255364 6 0%	31371LTV1			5/14/2009		4/25/2012	28	30				0	-2
521	X	FNMA POOL 255364 6 0%	31371LTV1			1/3/2007		4/25/2012	85	86				0	-1
522	X	FNMA POOL 255364 6 0%	31371LTV1			2/15/2011		4/25/2012	199	216				0	-17
523	X	FNMA POOL 255364 6 0%	31371LTV1			5/14/2009		5/8/2012	884	840				0	44
524	X	FNMA POOL 255364 6 0%	31371LTV1			2/15/2011		5/8/2012	6,190	6,093				0	97
525	X	FNMA POOL 255364 6 0%	31371LTV1			1/3/2007		5/8/2012	2,258	2,068				0	190
526	X	FNMA POOL 255364 6 0%	31371LTV1			1/3/2007		5/25/2012	357	361				0	-4
527	X	FNMA POOL 555528 6 0%	31385XD95			12/7/2005		2/25/2012	459	462				0	-3
528	X	FNMA POOL 555528 6 0%	31385XD95			12/7/2005		3/25/2012	490	493				0	-3
529	X	FNMA POOL 555528 6 0%	31385XD95			12/7/2005		4/25/2012	543	548				0	-5
530	X	FNMA POOL 555528 6 0%	31385XD95			12/7/2005		5/8/2012	20,663	18,764				0	1,899
531	X	FNMA POOL 555528 6 0%	31385XD95			12/7/2005		5/25/2012	505	509				0	-4
532	X	FNMA POOL AH3519 4 0%	3138A44D4			4/8/2011		2/25/2012	187	183				0	4
533	X	FNMA POOL AH3519 4 0%	3138A44D4			4/8/2011		3/25/2012	198	194				0	4
534	X	FNMA POOL AH3519 4 0%	3138A44D4			4/8/2011		4/25/2012	160	157				0	3
535	X	FNMA POOL AH3519 4 0%	3138A44D4			4/8/2011		5/8/2012	14,740	13,660				0	1,080
536	X	FNMA POOL AH4794 5 0%	3138A6KG4			3/4/2011		2/25/2012	60	63				0	-3
537	X	FNMA POOL AL0201 5 0%	3138EGGK4			4/15/2011		3/25/2012	332	357				0	-25
538	X	FNMA POOL AL0201 5 0%	3138EGGK4			4/15/2011		4/25/2012	354	381				0	-27
539	X	FNMA POOL AL0201 5 0%	3138EGGK4			4/15/2011		5/9/2012	10,995	10,917				0	78
540	X	FHLMC GOLD A92821 5 0%	312941D20			7/22/2010		3/15/2012	593	630				0	-37
541	X	FHLMC GOLD A92821 5 0%	312941D20			7/22/2010		4/15/2012	498	529				0	-31
542	X	FHLMC GOLD A92821 5 0%	312941D20			7/22/2010		5/8/2012	28,582	28,095				0	487
543	X	FHLMC GOLD A92821 5 0%	312941D20			7/22/2010		5/15/2012	392	416				0	-24
544	X	FHLMC GOLD A95160 4 0%	312943WV1			9/19/2011		2/15/2012	128	133				0	-5

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount	Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Long Term CG Distributions	143,834	Capital Gains/Losses	9,511,440	8,866,861	644,579
Short Term CG Distributions	0	Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
545	X	FHLMC GOLD A95160 4 0%	312943WV1			9/19/2011		3/15/2012	202	211				0	-9
546	X	FHLMC GOLD A95160 4 0%	312943WV1			9/19/2011		4/15/2012	251	262				0	-11
547	X	FHLMC POOL Q04584 4 5%	3132GKXM3			11/7/2011		3/15/2012	40	42				0	-2
548	X	FHLMC POOL Q04584 4 5%	3132GKXM3			11/7/2011		4/15/2012	41	43				0	-2
549	X	FHLMC POOL Q04584 4 5%	3132GKXM3			11/7/2011		5/8/2012	31,280	31,047				0	233
550	X	FHLMC POOL Q04584 4 5%	3132GKXM3			11/7/2011		5/15/2012	518	549				0	-31
551	X	FED HOME LOAN BANK 3 62	3133XSAE8			9/11/2008		2/24/2012	10,535	9,971				0	564
552	X	FED HOME LOAN BANK 3 62	3133XSAE8			9/11/2008		2/29/2012	26,334	24,928				0	1,406
553	X	FEDERAL NATL MTG AS 4 37	31359MSL8			9/30/2009		1/9/2012	15,915	16,237				0	-322
554	X	FNMA POOL 255364 6 0%	31371LTV1			5/14/2009		2/25/2012	16	17				0	-1
555	X	FNMA POOL 255364 6 0%	31371LTV1			1/3/2007		2/25/2012	49	49				0	0
556	X	FNMA POOL 255364 6 0%	31371LTV1			2/15/2011		2/25/2012	113	123				0	-10
557	X	FNMA POOL 255364 6 0%	31371LTV1			5/14/2009		3/25/2012	19	20				0	-1
558	X	FNMA POOL 255364 6 0%	31371LTV1			1/3/2007		3/25/2012	56	57				0	-1
559	X	FHLMC GOLD A95160 4 0%	312943WV1			9/19/2011		5/8/2012	8,664	8,562				0	102
560	X	FHLMC GOLD A95160 4 0%	312943WV1			9/19/2011		5/15/2012	210	219				0	-9
561	X	FHLMC GOLD A95828 4 5%	312944PM7			12/8/2011		2/15/2012	112	119				0	-7
562	X	FHLMC GOLD A95828 4 5%	312944PM7			12/8/2011		3/15/2012	169	179				0	-10
563	X	FHLMC GOLD A95828 4 5%	312944PM7			12/8/2011		4/15/2012	213	226				0	-13
564	X	FHLMC GOLD A95828 4 5%	312944PM7			12/8/2011		5/8/2012	24,559	24,323				0	236
565	X	FHLMC GOLD A95828 4 5%	312944PM7			12/8/2011		5/15/2012	240	255				0	-15
566	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/23/2011		2/15/2012	921	982				0	-61
567	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/15/2011		2/15/2012	307	325				0	-18
568	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/23/2011		3/15/2012	1,262	1,346				0	-84
569	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/15/2011		3/15/2012	421	446				0	-25
570	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/23/2011		4/15/2012	751	801				0	-50
571	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/15/2011		4/15/2012	250	265				0	-15
572	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/23/2011		4/19/2012	5,491	5,492				0	-1
573	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/15/2011		4/19/2012	13,170	13,100				0	70
574	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/23/2011		5/8/2012	37,382	37,327				0	55
575	X	FHLMC GOLD Q03517 4 5%	3132GJSE0			9/15/2011		5/15/2012	1,033	1,095				0	-62
576	X	FHLMC POOL Q04584 4 5%	3132GKXM3			11/7/2011		2/15/2012	39	41				0	-2
577	X	FNMA POOL AH4794 5 0%	3138A6KG4			3/4/2011		3/25/2012	631	663				0	-32
578	X	FNMA POOL AH4794 5 0%	3138A6KG4			3/4/2011		4/25/2012	542	570				0	-28
579	X	FNMA POOL AH4794 5% 2/1/	3138A6KG4			3/4/2011		5/8/2012	48,443	46,815				0	1,628
580	X	FNMA POOL AH4794 5% 2/1/	3138A6KG4			3/4/2011		5/25/2012	274	288				0	-14
581	X	FNMA POOL AH8932 4 5%	3138AA4S7			2/16/2011		2/25/2012	660	668				0	-8
582	X	FNMA POOL AH8932 4 5%	3138AA4S7			2/16/2011		3/25/2012	305	309				0	-4
583	X	FNMA POOL AH8932 4 5%	3138AA4S7			2/16/2011		4/25/2012	235	237				0	-2
584	X	FNMA POOL AH8932 4 5%	3138AA4S7			2/16/2011		5/8/2012	18,420	17,356				0	1,064
585	X	FNMA POOL AH8932 4 5%	3138AA4S7			2/16/2011		5/25/2012	526	532				0	-6
586	X	FHLMC GOLD G05477 4 5%	3128M7M29			4/18/2012		6/11/2012	59,185	59,590				0	-405
587	X	FHLMC GOLD G05477 4 5%	3128M7M29			4/18/2012		6/15/2012	1,282	1,388				0	-106
588	X	FHLMC GOLD G06222 4 0%	3128M8G73			4/8/2011		2/15/2012	332	325				0	7
589	X	FHLMC GOLD G06222 4 0%	3128M8G73			4/8/2011		3/15/2012	271	266				0	5
590	X	FHLMC GOLD G06222 4 0%	3128M8G73			4/8/2011		4/15/2012	795	778				0	17
591	X	FHLMC GOLD G06222 4 0%	3128M8G73			4/8/2011		5/8/2012	39,411	36,529				0	2,882
592	X	FHLMC GOLD C91186 5 0%	3128P7J79			8/20/2008		2/15/2012	327	324				0	3
593	X	FHLMC GOLD C91186 5 0%	3128P7J79			8/20/2008		3/15/2012	284	282				0	2
594	X	FHLMC GOLD C91186 5 0%	3128P7J79			8/20/2008		4/15/2012	203	202				0	1
595	X	FHLMC GOLD C91186 5 0%	3128P7J79			8/20/2008		5/8/2012	6,514	5,991				0	523
596	X	FHLMC GOLD C91194 5 0%	3128P7KF9			8/20/2008		2/15/2012	322	320				0	2
597	X	FHLMC GOLD C91194 5 0%	3128P7KF9			8/20/2008		3/15/2012	56	55				0	1
598	X	FHLMC GOLD C91194 5 0%	3128P7KF9			8/20/2008		4/15/2012	306	304				0	2
599	X	FHLMC GOLD C91194 5 0%	3128P7KF9			8/20/2008		5/8/2012	6,832	6,285				0	547
600	X	FHLMC GOLD A90393 5 0%	312938NJ8			3/8/2010		2/15/2012	173	181				0	-8
601	X	FHLMC GOLD G05938 5 0%	3128M74X1			9/19/2011		5/15/2012	203	220				0	-17
602	X	BNY MELLON INTERMEDIATE	05569M814			12/17/2009		12/14/2012	50,827	49,407				0	1,420
603	X	BNY MELLON EMERGING MK	05569M855			12/21/2007		8/27/2012	70,421	152,113				0	-81,692
604	X	BNY MELLON EMERGING MK	05569M855			10/30/2002		8/27/2012	395,748	480,764				0	-85,016
605	X	BNY MELLON EMERGING MK	05569M855			11/17/2008		8/27/2012	71,159	72,000				0	-841
606	X	BNY MELLON EMERGING MK	05569M855			12/22/2008		8/27/2012	571,480	354,182				0	217,298
607	X	BNY MELLON EMERGING MK	05569M855			4/15/2009		8/27/2012	355,344	250,000				0	105,344
608	X	BNY MELLON EMERGING MK	05569M855			7/24/2009		8/27/2012	136,620	124,000				0	12,620
609	X	BNY MELLON EMERGING MK	05569M855			12/15/2009		8/27/2012	15,670	17,000				0	-1,330
610	X	BNY MELLON EMERGING MK	05569M855			12/22/2009		8/27/2012	13,576	14,390				0	-814
611	X	BNY MELLON EMERGING MK	05569M855			12/17/2010		8/27/2012	161,632	200,000				0	-38,368
612	X	BNY MELLON EMERGING MK	05569M855			12/21/2010		8/27/2012	8,880	11,016				0	-2,136

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	9,511,440	8,866,861	644,579
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
613	X	BNY MELLON EMERGING MK	05569M855			12/20/2011		8/27/2012	86,701	81,670				0	5,031
614	X	BNY MELLON INTERNATIONA	05569M871			10/30/2002		5/9/2012	280,000	308,559				0	-28,559
615	X	BNY MELLON INTERNATIONA	05569M871			10/30/2002		8/27/2012	135,000	142,907				0	-7,907
616	X	ISHARES L/T LOSS	999999999			1/1/2009		1/2/2012		121					-121
617	X	CAPITAL IV LP LT GAIN	999999999			1/1/2009		1/2/2012	3,809						3,809
618	X	TUCKER S/T GAIN	999999999			1/1/2012		2/2/2012	303						303
619	X	TUCKER L/T GAIN	999999999			1/1/2009		1/2/2012	90,280						90,280
620	X	PARK VIII S/T GAIN	999999999			1/1/2012		2/2/2012	351						351
621	X	PARK VIII L/T GAIN	999999999			1/1/2009		1/1/2012	39,906						39,906
622	X	PARK IX S/T GAIN	999999999			1/1/2012		2/2/2012	1,283						1,283
623	X	PARK IX L/T GAIN	999999999			1/1/2009		1/1/2012	5,632						5,632

Part I, Line 11 (990-PF) - Other Income

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
		2,126	2,126	0
1	PARK STREET IX PARTNERSHIP INCOME	695	695	
2	TUCKER ANTHONY PARNTERSHIP INCOME	714	714	
3	PARK STREET VIII PARTNERSHIP INCOME	717	717	
4				
5			0	

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		15,693	4,093	0	0
1	FOREIGN TAX WITHHELD	4,093	4,093		
2	ESTIMATED EXCISE PAYMENTS	11,600			
3					

Part I, Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		194,485	39,130	0	155,355
1	RI STATE FILING FEE 2011	50			50
2	SUBJECT TO 2%	1,043	1,043		
3	EXPENSES FOR UPKEEP OF OFFICE	133,200			133,200
4	PARTNERSHIP EXPENSES	38,087	38,087		
5	MISC EXPENSE	22,105			22,105
6					

Part II, Line 13 (990-PF) - Investments - Other

			18,823,589	18,037,500	19,799,518
	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1				18,037,500	19,799,518

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	NONTAXABLE RECEIPTS	1	254,671
2	ISHARES PARTNERSHIP ADJUSTMENT	2	782
3	PURCHASE OF ACCRUED INTEREST C/O FROM PY	3	501
4	ISHARES COST BASIS ADJUSTMENT - SALE	4	22,602
5	FEDERAL TAX REFUND	5	5,300
6	Total	6	283,856

Line 5 - Decreases not included in Part III, Line 2

1	ACCRETION ADJUSTMENT	1	2,075
2	COST BASIS ADJUSTMENT	2	616
3	DISALLOWED LOSS - WASH SALES	3	273
4	FED/STATE TAX COST BASIS ADJUSTMENT	4	110,059
5	CAPITAL RESOURCE PARTNERSHIP ADJUSTMENT	5	12,435
6	TUCKER ANTHONY ADJUSTMENT	6	84,282
7	PARK STREET VIII LP ADJUSTMENT	7	36,808
8	PARK STREET IX LP ADJUSTMENT	8	4,036
9	GRANT REVERSALS	9	6,700
10	Total	10	257,284

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,608	0	272	8,867,133	500,745	0	0	0	500,745
1	GENERAL ELECTRIC COMPANY	369604103		1/28/2011	12/27/2012	9,459		0	9,324	135	0	0	0	135
2	GENERAL ELECTRIC COMPANY	369604103		11/17/2008	12/27/2012	3,290		0	2,571	719	0	0	0	719
3	GENERAL ELEC CAP CORP 5 3% 2/11/	369622SM8		2/22/2012	5/4/2012	16,659		0	16,312	347	0	0	0	347
4	GENERAL ELEC CAP CRP 5 625% 9/15/	36962G3H5		1/22/2009	2/22/2012	17,179		0	14,261	2,918	0	0	0	2,918
5	GENERAL MILLS INC 5 65% 2/15/19	370334BH6		1/29/2009	2/24/2012	5,997		0	4,996	1,001	0	0	0	1,001
6	GENERAL MILLS INC 5 65% 2/15/19	370334BH6		5/21/2009	2/24/2012	5 997		0	5,160	837	0	0	0	837
7	GOLDMAN SACHS GRP 6 75% 10/01/3	38141GFD1		9/26/2007	5/4/2012	15,057		0	14,918	139	0	0	0	139
8	GOOGLE INC - CL A	38259P508		6/5/2009	3/22/2012	14 829		0	10,178	4,651	0	0	0	4 651
9	HSBC FINANCE CORP 5 0% 6/30/15	40429CCS9		8/2/2007	5/4/2012	21,465		0	19,088	2,377	0	0	0	2,377
10	HESS CORP	42809H107		9/29/2004	1/26/2012	9,287		0	4 962	4,325	0	0	0	4,325
11	HESS CORP	42809H107		9/29/2004	1/27/2012	10,148		0	5,402	4,746	0	0	0	4,746
12	HESS CORP	42809H107		9/29/2004	1/27/2012	1,325		0	705	620	0	0	0	620
13	HESS CORP	42809H107		9/29/2004	1/27/2012	3,742		0	1,996	1,746	0	0	0	1,746
14	HEWLETT-PACKARD CO 3 3% 12/09/1	428236BU6		12/8/2011	5/7/2012	5,229		0	4,990	239	0	0	0	239
15	HEWLETT-PACK CO 2 6% 9/15/17	428236BW2		3/7/2012	5/7/2012	5,005		0	4,999	6	0	0	0	6
16	HONEYWELL INTL INC	438516106		1/14/2004	3/13/2012	5,420		0	3,239	2,181	0	0	0	2,181
17	HONEYWELL INTL INC	438516106		11/17/2008	3/13/2012	33,724		0	14,885	18,839	0	0	0	18,839
18	HYDRO-QUEBEC 2 0% 6/30/16	448814JB0		6/23/2011	5/8/2012	10,370		0	9,998	372	0	0	0	372
19	ILLINOIS ST 4 421% 1/01/15	452151BV8		1/8/2010	5/7/2012	21 123		0	20,000	1,123	0	0	0	1 123
20	INFORMATICA CORP	45666Q102		8/28/2012	12/14/2012	880		0	982	-102	0	0	0	-102
21	INTERCONTINENTALEXCHANGE INC	45865V100		8/28/2012	12/14/2012	1,538		0	1,654	-116	0	0	0	-116
22	INTERNATIONAL BUSINESS MACHINES	459200101		8/28/2012	12/14/2012	3,068		0	3,131	-63	0	0	0	-63
23	INTUIT	461202103		8/28/2012	12/14/2012	1,805		0	1,772	33	0	0	0	33
24	IRON MTN INC PA	462846106		11/21/2012	12/6/2012	4		0	4	0	0	0	0	0
25	ISHARES S&P GSCI COMMODITY-IND	46428R107		7/24/2009	12/14/2012	95,220		0	87,218	8 002	0	0	0	8 002
26	JP MORGAN CHASE & CO	46625H100		8/28/2012	12/14/2012	4,714		0	4 081	633	0	0	0	633
27	JP MORGAN CHASE & C 5 125% 9/15/1	46625HBV1		6/29/2011	1/20/2012	15,864		0	16,213	-349	0	0	0	-349
28	JEFFERIES GROUP INC 7 75% 3/15/12	472319AA0		11/9/2011	3/15/2012	15,000		0	15,000	0	0	0	0	0
29	JEFFERIES GROUP INC 8 5% 7/15/19	472319AF9		3/12/2012	5/4/2012	5,559		0	5,438	121	0	0	0	121
30	JEFFERIES GROUP INC 8 5% 7/15/19	472319AF9		3/22/2012	5/4/2012	5,559		0	5,538	21	0	0	0	21
31	JOHNSON & JOHNSON	478160104		8/28/2012	12/14/2012	2,828		0	2,697	131	0	0	0	131
32	JOHNSON CONTROLS INC 5 5% 1/15/	478366AR8		1/22/2010	4/18/2012	11,311		0	10,430	881	0	0	0	881
33	JOHNSON CTLS INC 3 75% 12/01/21	478366BA4		4/18/2012	5/4/2012	10,456		0	10,381	75	0	0	0	75
34	KRAFT FOODS INC 5 375% 2/10/20	50075NBA1		8/8/2010	5/4/2012	11,735		0	11 041	694	0	0	0	694
35	LIMITED BRANDS INC	532716107		10/5/2009	7/20/2012	694		0	260	434	0	0	0	434
36	LIMITED BRANDS INC	532716107		10/5/2009	7/23/2012	228		0	87	141	0	0	0	141
37	LIMITED BRANDS INC	532716107		10/5/2009	7/23/2012	9,248		0	3,499	5,749	0	0	0	5 749
38	LIMITED BRANDS INC	532716107		10/5/2009	7/24/2012	8,796		0	3,379	5,417	0	0	0	5,417
39	LIMITED BRANDS INC	532716107		10/5/2009	7/24/2012	5,826		0	2,258	3,568	0	0	0	3,568
40	LINCOLN NATL CORP IND	534187109		8/12/2011	1/26/2012	2,893		0	3,150	-257	0	0	0	-257
41	LINCOLN NATL CORP IND	534187109		8/12/2011	1/27/2012	11,653		0	12 534	-881	0	0	0	-881
42	LINCOLN NATL CORP IND	534187109		8/12/2011	1/30/2012	7 590		0	8,168	-578	0	0	0	-578
43	LLOYDS TSB BANK PLC 6 375% 1/21/2	539473AH1		1/13/2011	5/3/2012	22 040		0	19,940	2,100	0	0	0	2 100
44	LORILLARD INC	544147101		2/16/2012	9/12/2012	5,103		0	5,558	-455	0	0	0	-455
45	LORILLARD INC	544147101		8/28/2012	9/12/2012	3,131		0	3 470	-339	0	0	0	-339
46	LORILLARD INC	544147101		8/22/2011	9/12/2012	1,276		0	1,197	79	0	0	0	79
47	LORILLARD INC	544147101		8/22/2011	9/13/2012	1,632		0	1,520	112	0	0	0	112
48	LORILLARD INC	544147101		8/22/2011	9/13/2012	20,394		0	19,050	1,344	0	0	0	1 344
49	LOS ANGELES CALIF CMN 6 75% 8/01/4	54438CPA4		10/17/2011	5/7/2012	6,824		0	6,306	518	0	0	0	518
50	LOS ANGELES CALIF CMN 6 75% 8/01/4	54438CPA4		10/17/2011	5/7/2012	6,824		0	6,307	517	0	0	0	517
51	LOS ANGELES CALIF CMN 6 75% 8/01/4	54438CPA4		10/19/2011	5/7/2012	6 824		0	6 217	607	0	0	0	607
52	MASSACHUSETTS ST 4 2% 12/01/21	57582PWK2		1/12/2011	5/7/2012	17,025		0	15,027	1,998	0	0	0	1,998
53	MCKESSON CORPORATION	58155Q103		2/4/2009	4/27/2012	8 215		0	4,101	4,114	0	0	0	4,114
54	MCKESSON CORPORATION	58155Q103		2/4/2009	4/30/2012	18,298		0	9 114	9,184	0	0	0	9,184
55	US TREASURY NOTE 0 875% 11/30/16	912828RU6		12/21/2011	5/2/2012	15 099		0	15,011	88	0	0	0	88
56	U S TREASURY NOTE 0 875% 12/31/16	912828RX0		1/10/2012	5/2/2012	5,029		0	5,005	24	0	0	0	24
57	U S TREASURY NOTE 0 25% 1/15/15	912828RZ5		1/13/2012	2/24/2012	4,976		0	4,986	-10	0	0	0	-10
58	CONAGRA FOODS INC	205887102		12/6/2012	12/14/2012	1,207		0	1,187	20	0	0	0	20
59	CONOCOPHILLIPS	20825C104		8/28/2012	12/14/2012	1,733		0	1,695	38	0	0	0	38
60	RABOBANK NEDERLAND 5 25% 5/24/	21685WCJ4		5/17/2011	5/4/2012	15,958		0	14 764	1,194	0	0	0	1 194
61	CUMMINS INC	231021106		5/1/2009	6/7/2012	2,819		0	987	1,832	0	0	0	1,832
62	CUMMINS INC	231021106		5/1/2009	6/8/2012	24 974		0	8,818	16 156	0	0	0	16,156
63	DANAHER CORP	235851102		8/28/2012	12/14/2012	1,638		0	1,603	35	0	0	0	35
64	WALT DISNEY CO/THE	254687106		8/28/2012	12/14/2012	3,408		0	3 475	-67	0	0	0	-67
65	DIRECTV - CLASS A	25490A101		1/20/2012	7/20/2012	774		0	689	85	0	0	0	85
66	DIRECTV - CLASS A	25490A101		1/20/2012	7/23/2012	14 905		0	13,569	1,336	0	0	0	1,336
67	DIRECTV - CLASS A	25490A101		1/20/2012	7/24/2012	6,222		0	5,729	493	0	0	0	493
68	DIRECTV - CLASS A	25490A101		1/20/2012	7/24/2012	10 602		0	9,735	867	0	0	0	867
69	DR PEPPER SNAPPLE GROUP-W/I	26138E109		8/28/2012	12/6/2012	2 270		0	2,260	10	0	0	0	10
70	DR PEPPER SNAPPLE GROUP-W/I	26138E109		6/15/2012	12/6/2012	1 771		0	1,678	93	0	0	0	93
71	DR PEPPER SNAPPLE GROUP-W/I	26138E109		8/14/2012	12/7/2012	2,682		0	2,531	151	0	0	0	151
72	DR PEPPER SNAPPLE GROUP-W/I	26138E109		6/15/2012	12/7/2012	3 409		0	3,228	181	0	0	0	181
73	DR PEPPER SNAPPLE GROUP-W/I	26138E109		6/15/2012	12/7/2012	4,182		0	3 953	229	0	0	0	229
74	DR PEPPER SNAPPLE GROUP-W/I	26138E109		6/15/2012	12/7/2012	1 364		0	1 289	75	0	0	0	75
75	DR PEPPER SNAPPLE GROUP-W/I	26138E109		6/14/2012	12/10/2012	2,062		0	1,928	134	0	0	0	134
76	DREYFUS INFLTN ADJ SEC-INST	261967830		12/20/2011	12/14/2012	3 411		0	3,288	123	0	0	0	123
77	DREYFUS INFLTN ADJ SEC-INST	261967830		12/30/2011	12/14/2012	168		0	161	7	0	0	0	7
78	DREYFUS INFLTN ADJ SEC-INST	261967830		1/31/2012	12/14/2012	172		0	168	4	0	0	0	4
79	DREYFUS INFLTN ADJ SEC-INST	261967830		2/29/2012	12/14/2012	168		0	164	4	0	0	0	4
80	DREYFUS INFLTN ADJ SEC-INST	261967830		3/30/2012	12/14/2012	242		0	233	9	0	0	0	9
81	DREYFUS INFLTN ADJ SEC-INST	261967830		4/30/2012	12/14/2012	1,009		0	989	20	0	0	0	20
82	DREYFUS INFLTN ADJ SEC-INST	261967830		5/31/2012	12/14/2012	1,751		0	1,736	15	0	0	0	15
83	FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8		11/10/2009	3/25/2012	99		0	103	-4	0	0	0	-4
84	FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8		11/10/2009	4/25/2012	361		0	375	-14	0	0	0	-14

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,387,606	0	272	8,887,133	500,745	0	0	0	500,745
85	FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8		11/10/2009	5/9/2012	4 641		0	4,482	159	0	0	0	159
86	FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8		11/10/2009	5/25/2012	56		0	58	-2	0	0	0	-2
87	FNMA POOL AB4113 3 5% 12/01/41	31417ASB6		2/3/2012	3/25/2012	105		0	109	-4	0	0	0	-4
88	FNMA POOL AB4113 3 5% 12/01/41	31417ASB6		2/3/2012	4/25/2012	667		0	693	-26	0	0	0	-26
89	FNMA POOL AB4113 3 5% 12/01/41	31417ASB6		2/3/2012	5/8/2012	24,693		0	24,637	56	0	0	0	56
90	FNMA POOL AB4113 3 5% 12/01/41	31417ASB6		2/3/2012	5/25/2012	204		0	212	-8	0	0	0	-8
91	FNMA POOL AC8596 4 0% 1/01/25	31417VRS4		2/11/2010	1/13/2012	15,831		0	15,215	616	0	0	0	616
92	UNITED STATES T-NOTE 1 5% 6/30/16	912828QR4		8/8/2011	5/2/2012	15,522		0	15 315	207	0	0	0	207
93	UNITED STATES TREAS 0 75% 6/15/14	912828QS2		8/15/2011	5/2/2012	5 049		0	5,010	39	0	0	0	39
94	UNITED STATES TREAS 0 75% 6/15/14	912828QS2		8/22/2011	5/2/2012	15,148		0	15,036	112	0	0	0	112
95	UNITED STATES T-NOTE 0 63% 7/15/1	912828QU7		8/8/2011	5/2/2012	20,146		0	20,125	21	0	0	0	21
96	UNITED STATES T-NOTE 1 5% 7/31/16	912828QX1		8/16/2011	5/2/2012	15,520		0	15,375	145	0	0	0	145
97	UNITED STATES T-NOTE 1 5% 7/31/16	912828QX1		8/24/2011	5/2/2012	10,346		0	10,238	108	0	0	0	108
98	UNITED STATES T-NOTE 2 25% 7/31/1	912828QY9		8/24/2011	5/2/2012	10,674		0	10,439	235	0	0	0	235
99	UNITED STATES T-NOTE 2 125% 8/15/2	912828RC6		8/24/2011	4/24/2012	10,210		0	9 884	326	0	0	0	326
100	UNITED STATES T-NOTE 2 125% 8/15/2	912828RC6		10/17/2011	4/24/2012	5 105		0	4,975	130	0	0	0	130
101	US TREASURY NOTE 2 0% 11/15/21	912828RR3		11/22/2011	4/24/2012	5,031		0	5,015	16	0	0	0	16
102	US TREASURY NOTE 0 875% 11/30/16	912828RU6		11/30/2011	5/2/2012	10,066		0	9,960	106	0	0	0	106
103	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		2/2/2010	3/10/2012	74		0	76	-2	0	0	0	-2
104	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		8/27/2010	3/10/2012	74		0	76	-2	0	0	0	-2
105	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		2/2/2010	4/10/2012	80		0	82	-2	0	0	0	-2
106	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		8/27/2010	4/10/2012	80		0	82	-2	0	0	0	-2
107	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		2/2/2010	5/10/2012	70		0	72	-2	0	0	0	-2
108	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		8/27/2010	5/10/2012	70		0	72	-2	0	0	0	-2
109	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		2/2/2010	5/11/2012	451		0	464	-13	0	0	0	-13
110	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		8/27/2010	5/11/2012	451		0	463	-12	0	0	0	-12
111	GENERAL ELECTRIC COMPANY	369604103		8/28/2012	12/14/2012	5,206		0	5 011	195	0	0	0	195
112	GENERAL ELECTRIC COMPANY	369604103		8/28/2012	12/26/2012	415		0	418	-3	0	0	0	-3
113	GENERAL ELECTRIC COMPANY	369604103		1/28/2011	12/26/2012	11,202		0	10,945	257	0	0	0	257
114	FNMA POOL MA0708 4 5% 3/01/41	31417YYE1		5/19/2011	2/25/2012	69		0	71	-2	0	0	0	-2
115	FNMA POOL MA0708 4 5% 3/01/41	31417YYE1		5/19/2011	3/25/2012	100		0	104	-4	0	0	0	-4
116	FNMA POOL MA0708 4 5% 3/01/41	31417YYE1		5/19/2011	4/18/2012	26,149		0	24,675	1,474	0	0	0	1,474
117	FNMA POOL MA0708 4 5% 3/01/41	31417YYE1		5/19/2011	4/25/2012	93		0	96	-3	0	0	0	-3
118	FNMA POOL MA0708 4 5% 3/01/41	31417YYE1		5/19/2011	5/25/2012	109		0	113	-4	0	0	0	-4
119	FNMA POOL AD0207 6 0% 10/01/38	31418MGR7		4/26/2010	2/25/2012	746		0	796	-50	0	0	0	-50
120	FNMA POOL AD0207 6 0% 10/01/38	31418MGR7		4/26/2010	3/25/2012	780		0	832	-52	0	0	0	-52
121	FNMA POOL AD0207 6 0% 10/01/38	31418MGR7		4/26/2010	4/25/2012	732		0	781	-49	0	0	0	-49
122	FNMA POOL AD0207 6 0% 10/01/38	31418MGR7		4/26/2010	5/8/2012	26,476		0	25,585	891	0	0	0	891
123	FNMA POOL AD0207 6 0% 10/01/38	31418MGR7		4/26/2010	5/25/2012	785		0	837	-52	0	0	0	-52
124	FNMA POOL AD2351 4 0% 3/01/25	31418PTH8		12/13/2010	1/13/2012	3,566		0	3,464	102	0	0	0	102
125	FNMA POOL AD2351 4 0% 3/01/25	31418PTH8		12/13/2010	2/25/2012	653		0	672	-19	0	0	0	-19
126	FNMA POOL AD2351 4 0% 3/01/25	31418PTH8		12/13/2010	3/25/2012	1,283		0	1,320	-37	0	0	0	-37
127	FNMA POOL AD2351 4 0% 3/01/25	31418PTH8		12/13/2010	4/25/2012	689		0	709	-20	0	0	0	-20
128	FNMA POOL AD2351 4 0% 3/01/25	31418PTH8		12/13/2010	5/9/2012	25,212		0	24,329	883	0	0	0	883
129	FNMA POOL AD2351 4 0% 3/01/25	31418PTH8		12/13/2010	5/25/2012	665		0	684	-19	0	0	0	-19
130	FNMA POOL AE5895 3 322% 10/01/40	31419GRR7		9/21/2011	2/25/2012	1,316		0	1,367	-51	0	0	0	-51
131	FNMA POOL AE5895 3 33% 10/01/40	31419GRR7		9/21/2011	3/25/2012	286		0	297	-11	0	0	0	-11
132	FNMA POOL AE5895 3 33% 10/1/40	31419GRR7		9/21/2011	4/9/2012	39,978		0	39,787	191	0	0	0	191
133	FNMA POOL AE5895 3 33% 10/1/40	31419GRR7		9/21/2011	4/25/2012	790		0	820	-30	0	0	0	-30
134	FEDEX CORP	31428X106		1/26/2012	12/14/2012	3,612		0	3,705	-93	0	0	0	-93
135	FLUOR CORP NEW	343412102		10/16/2012	12/14/2012	1,714		0	1,732	-18	0	0	0	-18
136	FORD MOTOR CO	345370860		11/22/2010	12/14/2012	775		0	1 154	-379	0	0	0	-379
137	FORD MOTOR CO	345370860		11/22/2010	12/14/2012	1,107		0	1,646	-539	0	0	0	-539
138	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		2/2/2010	1/10/2012	888		0	913	-25	0	0	0	-25
139	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		8/27/2010	1/10/2012	888		0	912	-24	0	0	0	-24
140	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		2/2/2010	2/10/2012	76		0	78	-2	0	0	0	-2
141	GECMC 2004-C3 A3 4 865% 7/10/39	36828QHV1		8/27/2010	2/10/2012	76		0	78	-2	0	0	0	-2
142	UNITED STATES T-NOTE 1 25% 4/15/14	912828QC7		4/19/2011	5/2/2012	35,663		0	35,120	543	0	0	0	543
143	UNITED STATES T-NOTE 1 25% 2/15/14	912828QH6		2/15/2011	5/2/2012	10 176		0	9,954	222	0	0	0	222
144	UNITED STATES T-NOTE 1 25% 2/15/14	912828QH6		2/15/2011	5/2/2012	15,264		0	14,930	334	0	0	0	334
145	UNITED STATES T-NOTE 1 5% 6/30/16	912828QR4		7/18/2011	5/2/2012	10,348		0	10,034	314	0	0	0	314
146	UNITED STATES T-NOTE 1 5% 6/30/16	912828QR4		7/26/2011	5/2/2012	10,348		0	9,999	349	0	0	0	349
147	U S TREASURY NOTE 0 25% 1/15/15	912828RZ5		1/13/2012	2/29/2012	4,977		0	4,986	-9	0	0	0	-9
148	U S TREASURY NOTE 0 25% 1/15/15	912828RZ5		1/13/2012	5/2/2012	39,887		0	39 886	1	0	0	0	1
149	U S TREASURY NOTE 2 0% 2/15/22	912828SF8		2/24/2012	4/4/2012	9 782		0	10,018	-236	0	0	0	-236
150	U S TREASURY NOTE 2 0% 2/15/22	912828SF8		2/24/2012	4/5/2012	4 913		0	5,009	-96	0	0	0	-96
151	U S TREASURY NOTE 2 0% 2/15/22	912828SF8		2/24/2012	4/24/2012	5,014		0	5,009	5	0	0	0	5
152	U S TREASURY NOTE 1 375% 2/28/19	912828SH4		2/29/2012	5/2/2012	35,230		0	34 932	298	0	0	0	298
153	U S TREASURY NOTE 0 375% 4/15/15	912828SP6		4/11/2012	5/2/2012	34,993		0	34,943	50	0	0	0	50
154	VALE SA-SP ADR	91912E105		5/10/2006	12/4/2012	1 371		0	1 153	218	0	0	0	218
155	VALE SA-SP ADR	91912E105		8/28/2012	12/4/2012	1,371		0	1,338	33	0	0	0	33
156	VALE SA-SP ADR	91912E105		11/17/2008	12/4/2012	617		0	421	196	0	0	0	196
157	VALE SA-SP ADR	91912E105		11/17/2008	12/5/2012	15 769		0	10,451	5,318	0	0	0	5,318
158	MERCK & CO INC	58933Y105		8/28/2012	12/21/2012	2,908		0	3,014	-106	0	0	0	-106
159	MERCK & CO INC	58933Y105		5/14/2012	12/21/2012	25,465		0	23,522	1,943	0	0	0	1 943
160	MERCK & CO INC	58933Y105		1/22/2007	12/21/2012	6,288		0	3,752	2,536	0	0	0	2,536
161	MERCK & CO INC	58933Y105		5/14/2012	12/21/2012	4,458		0	4,106	352	0	0	0	352
162	MERCK & CO INC	58933Y105		11/17/2008	12/21/2012	3 293		0	1 213	2,080	0	0	0	2,080
163	MET LIFE GLOB FNDG 7 717% 2/15/19	59156RAT5		2/11/2009	5/7/2012	12,786		0	10,035	2 751	0	0	0	2 751
164	MOODYS CORP	615369105		12/5/2012	12/14/2012	2 405		44	2,474	-25	0	0	0	-25
165	MORGAN STANLEY GLOB 4 75% 4/01/	61748AAE8		3/15/2006	5/3/2012	15,135		0	14,516	619	0	0	0	619
166	NYSE EURONEXT 4 8% 6/28/13	629491AA9		10/28/2009	3/6/2012	5,256		0	5,289	-33	0	0	0	-33
167	NYSE EURONEXT 4 8% 6/28/13	629491AA9		10/28/2009	5/7/2012	15,638		0	15,867	-229	0	0	0	-229
168	NATIONAL OILWELL VARCO INC	637071101		8/28/2012	12/14/2012	3 246		0	3,888	-642	0	0	0	-642

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,606	0	272	8,867,133	500,745	0	0	0	500,745
169	NETAPP INC	64110D104		8/19/2011	6/20/2012	1,606		0	1,875	-269	0	0	0	-269
170	NETAPP INC	64110D104		8/23/2011	6/20/2012	4,015		0	4,796	-781	0	0	0	-781
171	NETAPP INC	64110D104		8/22/2011	6/20/2012	2,779		0	3,206	-427	0	0	0	-427
172	NETAPP INC	64110D104		8/22/2011	6/20/2012	2,784		0	3 206	-422	0	0	0	-422
173	NETAPP INC	64110D104		8/22/2011	6/21/2012	575		0	677	-102	0	0	0	-102
174	NETAPP INC	64110D104		8/22/2011	6/21/2012	7,513		0	8,942	-1,429	0	0	0	-1,429
175	MCKESSON CORPORATION	58155Q103		8/28/2012	12/14/2012	1,964		0	1,748	216	0	0	0	216
176	MERCK & CO INC	58933Y105		8/28/2012	12/14/2012	5,656		0	5 597	59	0	0	0	59
177	NEW JERSEY ST TPK AU 7 102% 1/01/4	646139X83		12/8/2010	5/7/2012	27,878		0	20,000	7,878	0	0	0	7,878
178	VERIZON COMMUNICATIONS 5 5% 2/1	92343VAL8		4/2/2008	5/7/2012	23,699		0	19,406	4,293	0	0	0	4,293
179	VMWARE INC-CLASS A	928563402		8/28/2012	12/14/2012	1,846		0	1 825	21	0	0	0	21
180	WFRBS 2011-C5 A2 2 884% 11/15/44	92936JAZ7		11/1/2011	5/11/2012	25,641		0	25,250	391	0	0	0	391
181	WELLS FARGO & COMPANY	949746101		8/28/2012	12/14/2012	5,634		0	5,782	-148	0	0	0	-148
182	WOART 2011-A A3 1 11% 5/15/15	98158KAC3		3/2/2011	5/11/2012	14 916		0	15,000	-84	0	0	0	-84
183	ZIMMER HOLDINGS INC	98956P102		5/11/2011	10/12/2012	4,980		0	5 535	-555	0	0	0	-555
184	ZIMMER HOLDINGS INC	98956P102		5/10/2011	10/12/2012	1 743		0	1,933	-190	0	0	0	-190
185	ZIMMER HOLDINGS INC	98956P102		5/10/2011	10/15/2012	10,036		0	11,046	-1,010	0	0	0	-1,010
186	ZIMMER HOLDINGS INC	98956P102		5/9/2011	10/16/2012	5,748		0	6,122	-374	0	0	0	-374
187	ZIMMER HOLDINGS INC	98956P102		5/10/2011	10/16/2012	2,682		0	2 900	-218	0	0	0	-218
188	ZIMMER HOLDINGS INC	98956P102		8/28/2012	10/16/2012	1,916		0	1 843	73	0	0	0	73
189	COOPER PLC CORP ACTION	G24140108		1/1/2012	12/3/2012	54,629		0	44,743	9,886	0	0	0	9 886
190	COVIDIEN PLC	G2554F113		8/28/2012	12/14/2012	2 864		0	2 788	76	0	0	0	76
191	EATON CORP PLC	G29183103		11/30/2012	12/14/2012	31		0	31	0	0	0	0	0
192	EATON CORP PLC	G29183103		11/30/2012	12/14/2012	3,681		0	3,616	65	0	0	0	65
193	ENSCO PLC-CL A	G3157S106		3/24/2011	12/14/2012	3,444		0	3,457	-13	0	0	0	-13
194	PENTAIR LTD	H6169Q108		8/28/2012	11/2/2012	26		0	26	0	0	0	0	0
195	DREYFUS US EQUITY FUND-I	86271F727		5/9/2012	12/14/2012	75,000		0	71,626	3,374	0	0	0	3 374
196	DREYFUS PRE STRAT INTER ST-I	86271F768		11/14/2011	5/9/2012	300 000		0	292,033	7,967	0	0	0	7,967
197	DREYFUS PRE STRAT INTER ST-I	86271F768		11/14/2011	8/27/2012	110,000		0	103,165	6,835	0	0	0	6,835
198	TCW EMERGING MARKETS INCOME FU	87234N765		7/27/2011	5/9/2012	25,000		0	25,510	-510	0	0	0	-510
199	TCW EMERGING MARKETS INCOME FU	87234N765		7/27/2011	12/14/2012	20,000		0	18,958	1,042	0	0	0	1,042
200	TD AMERITRADE HLDG CO 4 15% 12/01	87236YAB4		12/14/2009	5/7/2012	5,339		0	5,033	306	0	0	0	306
201	TD AMERITRADE HLDG CO 4 15% 12/01	87236YAB4		12/11/2009	5/7/2012	16,016		0	15,057	959	0	0	0	959
202	TARGET CORP	87612E106		8/28/2012	12/14/2012	2,426		0	2 561	-135	0	0	0	-135
203	TELEFONICA EMISIONES 5 877% 7/15/	87938WAH6		1/24/2012	3/20/2012	5,170		0	5,062	108	0	0	0	108
204	TELEFONICA EMISIONES 4 949% 1/15/	87938WAJ2		6/8/2010	1/24/2012	5,119		0	5,180	-61	0	0	0	-61
205	TELEFONICA EMISIONES 4 949% 1/15/	87938WAJ2		6/22/2009	1/25/2012	15,355		0	15,000	355	0	0	0	355
206	TELEFONICA EMISIONES 5 134% 4/27/	87938WAM5		1/25/2012	5/7/2012	14,112		0	14,438	-326	0	0	0	-326
207	TELEFONICA EMISIONES 5 134% 4/27/	87938WAM5		3/20/2012	5/7/2012	4,704		0	4,879	-175	0	0	0	-175
208	TERADATA CORPORATION	88076W103		3/3/2011	7/6/2012	391		0	300	91	0	0	0	91
209	TERADATA CORPORATION	88076W103		3/3/2011	7/6/2012	2,215		0	1,695	520	0	0	0	520
210	AMERIPRISE FINANCIAL INC	03076C106		8/28/2012	12/14/2012	3,060		0	2,719	341	0	0	0	341
211	AMGEN INC 5 85% 6/15/42	031162BH2		2/24/2012	5/4/2012	5 559		0	5,597	-38	0	0	0	-38
212	AMGEN INC 5 85% 6/15/42	031162BH2		2/24/2012	5/4/2012	5 559		0	5 599	-40	0	0	0	-40
213	AMGEN INC 5 85% 6/15/42	031162BH2		3/6/2012	5/4/2012	5 559		0	5 598	-39	0	0	0	-39
214	ANADARKO PETROLEUM CORP	032511107		1/27/2012	12/14/2012	2 984		0	3,180	-196	0	0	0	-196
215	APACHE CORP	037411105		8/28/2012	12/14/2012	3,083		0	3,486	-403	0	0	0	-403
216	APACHE CORP 3 25% 4/15/22	037411AZ8		4/3/2012	5/4/2012	5 169		0	4 983	186	0	0	0	186
217	APACHE CORP 3 25% 4/15/22	037411AZ8		4/3/2012	5/4/2012	5,169		0	5 010	159	0	0	0	159
218	APACHE CORP 3 25% 4/15/22	037411AZ8		4/5/2012	5/4/2012	5,169		0	5,024	145	0	0	0	145
219	APPLE INC	037833100		8/28/2012	12/14/2012	11,200		0	14,847	-3,647	0	0	0	-3 647
220	AUTOLIV INC	052800109		1/23/2012	12/14/2012	1 864		0	1,917	-53	0	0	0	-53
221	BP CAPITAL MARKETS PLC 3 2% 3/11/1	05565QBQ0		6/29/2011	5/7/2012	21,280		0	20,461	819	0	0	0	819
222	BNY MELLON CORP BOND-M	05569M368		5/10/2012	12/14/2012	20,000		0	19,206	794	0	0	0	794
223	BNY MELLON SMMID CAP-M	05569M442		12/15/2009	8/27/2012	204,597		0	168,871	35 726	0	0	0	35 726
224	BNY MELLON SMMID CAP M	05569M442		12/14/2011	8/27/2012	3,054		0	2 760	294	0	0	0	294
225	BNY MELLON SMMID CAP-M	05569M442		5/9/2012	8/27/2012	377 676		0	380,000	-2,324	0	0	0	-2 324
226	ENERGIZER HLDGS INC	29266R108		11/12/2010	6/8/2012	1 689		0	1,589	100	0	0	0	100
227	ENERGIZER HLDGS INC	29266R108		11/15/2010	6/8/2012	4,405		0	4,242	163	0	0	0	163
228	EXXON MOBIL CORP	30231G102		8/28/2012	12/14/2012	5,291		0	5,291	0	0	0	0	0
229	FHLMC GOLD G11938 4 5% 3/01/21	3128M1BF5		3/14/2006	1/13/2012	2,905		0	2,733	172	0	0	0	172
230	FHLMC GOLD G04719 5 5% 1/01/38	3128M6SG4		11/16/2011	2/15/2012	198		0	212	-16	0	0	0	-16
231	FHLMC GOLD G04719 5 5% 1/01/38	3128M6SG4		11/16/2011	3/15/2012	301		0	326	-25	0	0	0	-25
232	FHLMC GOLD G04719 5 5% 1/01/38	3128M6SG4		11/16/2011	4/15/2012	303		0	328	-25	0	0	0	-25
233	FHLMC GOLD G04719 5 5% 1/01/38	3128M6SG4		11/16/2011	5/8/2012	8,125		0	8,076	49	0	0	0	49
234	FHLMC GOLD G04719 5 5% 1/01/38	3128M6SG4		11/16/2011	5/15/2012	383		0	414	-31	0	0	0	-31
235	FHLMC GOLD G05938 5 0% 1/01/38	3128M74X1		9/19/2011	2/15/2012	185		0	200	-15	0	0	0	-15
236	FHLMC GOLD G05938 5 0% 1/01/38	3128M74X1		9/19/2011	3/15/2012	207		0	224	-17	0	0	0	-17
237	FHLMC GOLD G05938 5 0% 1/01/38	3128M74X1		9/19/2011	4/15/2012	301		0	325	-24	0	0	0	-24
238	FHLMC GOLD G05938 5 0% 1/01/38	3128M74X1		9/19/2011	5/8/2012	4,778		0	4 783	-5	0	0	0	-5
239	TERADATA CORPORATION	88076W103		3/3/2011	7/9/2012	7,994		0	6 082	1 912	0	0	0	1 912
240	TERADATA CORPORATION	88076W103		3/2/2011	7/9/2012	3,145		0	2,278	867	0	0	0	867
241	TERADATA CORPORATION	88076W103		8/28/2012	12/14/2012	1,188		0	1,525	-337	0	0	0	-337
242	THERMO FISHER SCIENTI 2 25% 8/15/1	883556BA9		8/9/2011	5/7/2012	5,205		0	4 991	214	0	0	0	214
243	THERMO FISHER SCIENTI 2 25% 8/15/1	883556BA9		8/10/2011	5/7/2012	5,205		0	5,064	141	0	0	0	141
244	PENTAIR LTD	H6169Q108		8/28/2012	12/14/2012	479		0	448	31	0	0	0	31
245	PENTAIR LTD	H6169Q108		11/17/2008	12/21/2012	5,093		0	1 598	3,495	0	0	0	3,495
246	PENTAIR LTD	H6169Q108		8/28/2012	12/21/2012	69		0	64	5	0	0	0	5
247	TYCO INTERNATIONAL LTD	H89128104		3/16/2009	3/29/2012	11,186		0	3 894	7 292	0	0	0	7 292
248	TYCO INTERNATIONAL LTD	H89128104		11/17/2008	3/29/2012	5,593		0	1,924	3,669	0	0	0	3 669
249	TYCO INTERNATIONAL LTD	H89128104		8/28/2012	12/14/2012	1,141		0	1,113	28	0	0	0	28
250	LYONDELLBASELL INDU -CL A	N53745100		8/28/2012	12/14/2012	3,229		0	2,804	425	0	0	0	425
251	A D T CORP-W/I	00101J106		8/28/2012	12/14/2012	914		0	732	182	0	0	0	182
252	AT&T INC	00206R102		8/28/2012	12/14/2012	4,090		0	4,425	-335	0	0	0	-335

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,606	0	272	8,867,133	500,745	0	0	0	500,745
253	AT&T INC	00206R102		1/22/2007	12/21/2012	4,361		0	4,573	-212	0	0	0	-212
254	AT&T INC	00206R102		8/28/2012	12/21/2012	335		0	369	-34	0	0	0	-34
255	AT&T INC	00206R102		11/17/2008	12/21/2012	13,419		0	10,735	2,684	0	0	0	2,684
256	AT&T INC 4 45% 5/15/21	00206RAX0		4/26/2011	5/4/2012	5,648		0	4 996	652	0	0	0	652
257	AT&T INC 4 45% 5/15/21	00206RAX0		1/23/2012	5/4/2012	5,648		0	5,526	122	0	0	0	122
258	AT&T INC 4 45% 5/15/21	00206RAX0		2/9/2012	5/4/2012	5,648		0	5,586	62	0	0	0	62
259	ALLIANCE DATA SYS CORP	018581108		8/28/2012	12/14/2012	4,032		0	3,867	165	0	0	0	165
260	ALLSCRIPTS HEALTHCARE SOLUTIONS	01988P108		8/10/2010	12/14/2012	215		0	341	-126	0	0	0	-126
261	ALLSCRIPTS HEALTHCARE SOLUTIONS	01988P108		8/12/2010	12/14/2012	538		0	848	-310	0	0	0	-310
262	COMMIT TO PUR FNMA SF MTG 1/01/	01F032617		12/20/2011	1/9/2012	25,816		0	25,617	199	0	0	0	199
263	DREYFUS INFLTN ADJ SEC-INST	261967830		8/29/2012	12/14/2012	1,285		0	1 262	23	0	0	0	23
264	DREYFUS INFLTN ADJ SEC-INST	261967830		7/31/2012	12/14/2012	642		0	641	1	0	0	0	1
265	DREYFUS INFLTN ADJ SEC-INST	261967830		8/31/2012	12/14/2012	521		0	518	3	0	0	0	3
266	DREYFUS INFLTN ADJ SEC-INST	261967830		9/28/2012	12/14/2012	395		0	394	1	0	0	0	1
267	DREYFUS INFLTN ADJ SEC-INST	261967830		10/31/2012	12/14/2012	1,764		0	1,766	-2	0	0	0	-2
268	DREYFUS INFLTN ADJ SEC-INST	261967830		11/30/2012	12/14/2012	1,806		0	1,809	-3	0	0	0	-3
269	DREYFUS INFLTN ADJ SEC-INST	261967830		12/17/2009	12/14/2012	6,666		0	5,808	858	0	0	0	858
270	DREYFUS HIGH YIELD-I	261980759		11/17/2011	5/9/2012	20,000		0	19,102	898	0	0	0	898
271	DREYFUS/NEWTON INTERN EQTY-I	26203E604		11/13/2008	5/9/2012	240,000		0	214,324	25,676	0	0	0	25,676
272	NEW YORK N Y CITY MU 6 282% 6/15/4	64972FY26		11/10/2010	5/7/2012	17,329		0	15,000	2,329	0	0	0	2,329
273	NEWS AMERICA INC 6 15% 3/01/37	652482BN9		11/8/2007	5/4/2012	16,776		0	14,089	2,687	0	0	0	2,687
274	NEWS CORP INC	65248E203		8/28/2012	12/14/2012	4,021		0	3,774	247	0	0	0	247
275	NEXTERA ENERGY INC	65339F101		8/28/2012	12/14/2012	4,867		0	4,776	91	0	0	0	91
276	NORFOLK SOUTHERN CORP	655844108		11/3/2009	2/16/2012	11,645		0	8,355	3,290	0	0	0	3,290
277	NORFOLK SOUTHERN CORP	655844108		11/4/2009	2/16/2012	1,849		0	1,346	503	0	0	0	503
278	NORFOLK SOUTHERN CORP	655844108		11/3/2009	2/16/2012	9,795		0	7,028	2,767	0	0	0	2,767
279	NORFOLK SOUTHERN CORP	655844108		11/3/2009	2/16/2012	11,654		0	8,355	3,299	0	0	0	3,299
280	OCCIDENTAL PETROLEUM CORP	674599105		8/28/2012	12/14/2012	3,049		0	3,515	-466	0	0	0	-466
281	OMNICOM GROUP INC	681919106		1/22/2007	1/20/2012	1,502		0	1,651	-149	0	0	0	-149
282	OMNICOM GROUP INC	681919106		1/22/2007	1/20/2012	7,398		0	8,153	-755	0	0	0	-755
283	OMNICOM GROUP INC	681919106		1/22/2007	1/23/2012	3,232		0	3 612	-380	0	0	0	-380
284	OMNICOM GROUP INC	681919106		11/17/2008	1/23/2012	7,340		0	4,001	3,339	0	0	0	3,339
285	OMNICOM GROUP INC	681919106		11/17/2008	1/24/2012	12,488		0	6 819	5 669	0	0	0	5 669
286	OMNICOM GROUP INC	681919106		11/17/2008	1/25/2012	7,851		0	4,278	3,573	0	0	0	3,573
287	ONTARIO (PROVINCE OF) 4 0% 10/07/1	6832348D3		9/29/2009	5/8/2012	16 936		0	14,974	1,962	0	0	0	1,962
288	ORACLE CORP	68389X105		1/22/2007	3/30/2012	20,700		0	12,096	8,604	0	0	0	8,604
289	ORACLE CORP	68389X105		11/17/2008	3/30/2012	2,332		0	1,333	999	0	0	0	999
290	ORACLE CORP	68389X105		8/28/2012	12/14/2012	3,196		0	3,194	2	0	0	0	2
291	ORACLE CORP 5 75% 4/15/18	68389XAC9		4/2/2008	5/7/2012	18,298		0	14,993	3,305	0	0	0	3 305
292	PPL CORP	69351T106		4/4/2011	1/20/2012	12,924		0	12,156	768	0	0	0	768
293	PPL CORP	69351T106		4/5/2011	1/20/2012	10,449		0	9,794	655	0	0	0	655
294	P V H CORP	693656100		8/28/2012	12/14/2012	2,169		0	1 867	302	0	0	0	302
295	PEPSICO INC	713448108		8/28/2012	12/14/2012	4,913		0	5,120	-207	0	0	0	-207
296	PEPSICO INC 4 5% 1/15/20	713448BN7		1/11/2010	5/4/2012	11 479		0	9,966	1,513	0	0	0	1 513
297	PETROBRAS INTL FIN C 5 375% 1/27/2	71645WAR2		1/20/2011	5/4/2012	10,997		0	9 980	1 017	0	0	0	1,017
298	PETROBRAS INTL FIN C 5 375% 1/27/2	71645WAR2		1/20/2011	5/4/2012	5,498		0	5,035	463	0	0	0	463
299	PFIZER INC	717081103		8/28/2012	12/14/2012	7,806		0	7,427	379	0	0	0	379
300	PHILLIPS 66-W/I	718546104		10/30/2002	5/3/2012	534		0	199	335	0	0	0	335
301	PHILLIPS 66-W/I	718546104		10/30/2002	5/3/2012	6,098		0	2,259	3,839	0	0	0	3,839
302	PRAXAIR INC	74005P104		8/28/2012	12/14/2012	2,141		0	2,099	42	0	0	0	42
303	T ROWE PRICE GROUP INC	74144T108		8/28/2012	12/14/2012	1,919		0	1,857	62	0	0	0	62
304	PROCTER & GAMBLE CO	742718109		2/1/2002	3/22/2012	7,423		0	4 517	2,906	0	0	0	2 906
305	PROCTER & GAMBLE CO	742718109		2/1/2002	3/27/2012	1,142		0	698	444	0	0	0	444
306	COMMIT TO PUR FNMA SF MTG 2/01/4	01F032625		1/9/2012	2/9/2012	25,930		0	25,745	185	0	0	0	185
307	COMMIT TO PUR FNMA SF 3/01/42	01F032633		2/9/2012	3/5/2012	25,855		0	25 849	6	0	0	0	6
308	AMAZON COM INC	023135106		8/28/2012	12/14/2012	2,733		0	2,696	37	0	0	0	37
309	AMERICAN EXPRESS CO	025816109		8/28/2012	12/14/2012	3,397		0	3,455	-58	0	0	0	-58
310	AMERICAN INTERNATIONAL GROUP	026874784		9/12/2012	12/14/2012	1,700		0	1,690	10	0	0	0	10
311	AMERICAN INTL GROUP 4 25% 9/15/1	026874CA3		9/8/2011	5/7/2012	10 420		0	9 945	475	0	0	0	475
312	AMERICAN INTL GROUP 4 25% 9/15/1	026874CA3		10/18/2011	5/7/2012	5,210		0	4,841	369	0	0	0	369
313	COMMIT TO PUR FHLMC GOLD SFM 1/0	02R030418		12/5/2011	1/9/2012	31,093		0	30,633	460	0	0	0	460
314	COMMIT TO PUR FHLMC GOLD 2/01/	02R030426		1/9/2012	2/14/2012	26,000		0	25,830	170	0	0	0	170
315	COMMIT TO PURCHASE FHLMC 3/1/	02R030434		2/14/2012	3/5/2012	36,312		0	36,308	4	0	0	0	4
316	COMMIT TO PUR FHLMC GO 4/01/26	02R030442		3/5/2012	4/11/2012	36,444		0	36,214	230	0	0	0	230
317	COMMIT TO PUR FHLMC G 5/01/27	02R030459		4/11/2012	5/15/2012	41 797		0	41,538	259	0	0	0	259
318	COMMIT TO PUR FHLMC 4/01/42	02R032646		3/5/2012	4/9/2012	25 857		0	25,729	128	0	0	0	128
319	COMMIT TO PUR FHLMC GO 5/01/42	02R032853		4/9/2012	5/10/2012	25,984		0	25 781	203	0	0	0	203
320	AMERICAN TOWER CORP	03027X100		7/9/2012	12/14/2012	766		0	714	52	0	0	0	52
321	AMCAR 2010-3 A3 1 14% 4/08/15	03063PAC8		9/15/2010	5/11/2012	24,953		0	24 996	-43	0	0	0	-43
322	AMCAR 2011-3 A3 1 17% 1/08/16	03064PAC5		6/8/2011	5/11/2012	44,902		0	44,994	-92	0	0	0	-92
323	DU PONT (E I) DE NEMOURS	263534109		4/14/2009	3/29/2012	9,560		0	4,841	4,719	0	0	0	4,719
324	DU PONT (E I) DE NEMOURS	263534109		4/14/2009	3/29/2012	10 397		0	5,267	5 130	0	0	0	5,130
325	E M C CORP MASS	268648102		8/28/2012	12/14/2012	3 210		0	3,445	-235	0	0	0	-235
326	E O G RES INC	26875P101		8/28/2012	12/14/2012	1 547		0	1 428	119	0	0	0	119
327	EATON CORP ACTION	278058102		1/1/2012	12/5/2012	18 596		0	17,552	1,044	0	0	0	1 044
328	ELECTRONIC ARTS INC	285512109		8/12/2011	6/14/2012	3,321		0	5,329	-2,008	0	0	0	-2,008
329	ELECTRONIC ARTS INC	285512109		8/12/2011	6/15/2012	171		0	276	-105	0	0	0	-105
330	ELECTRONIC ARTS INC	285512109		8/12/2011	6/15/2012	729		0	1 165	-436	0	0	0	-436
331	ELECTRONIC ARTS INC	285512109		8/12/2011	6/15/2012	10,617		0	16,955	-6,338	0	0	0	-6,338
332	ELECTRONIC ARTS INC	285512109		8/12/2011	6/15/2012	592		0	947	-355	0	0	0	-355
333	ENERGIZER HLDGS INC	29266R108		11/15/2010	6/7/2012	1,966		0	1,909	57	0	0	0	57
334	ENERGIZER HLDGS INC	29266R108		11/12/2010	6/8/2012	11,746		0	11,117	629	0	0	0	629
335	PROCTER & GAMBLE CO	742718109		2/1/2002	3/27/2012	14 975		0	9 158	5 817	0	0	0	5 817
336	PROCTER & GAMBLE CO	742718109		2/1/2002	3/28/2012	10,918		0	6,694	4 224	0	0	0	4,224

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,606	0	272	8,867,133	500,745	0	0	0	500,745
337	PROCTER & GAMBLE CO	742718109		2/1/2002	3/28/2012	1,140		0	698	442	0	0	0	442
338	PRUDENTIAL FINL INC 4 75% 9/17/15	74432QBJ3		9/10/2009	5/4/2012	16 325		0	14,965	1,360	0	0	0	1,360
339	PUERTO RICO COMWLTH 3 67% 5/01/	745177EW1		5/12/2011	5/7/2012	20 375		0	20,000	375	0	0	0	375
340	QUALCOMM INC	747525103		8/28/2012	12/14/2012	4,180		0	4,372	-192	0	0	0	-192
341	RALCORP HLDGS INC NEW	751028101		3/30/2012	11/27/2012	8 879		0	7,402	1 477	0	0	0	1,477
342	RALCORP HLDGS INC NEW	751028101		3/30/2012	11/27/2012	7,103		0	5,918	1,185	0	0	0	1,185
343	RALCORP HLDGS INC NEW	751028101		3/30/2012	12/14/2012	892		0	740	152	0	0	0	152
344	ROBERT HALF INTL INC	770323103		12/5/2011	12/14/2012	1,489		0	1,398	91	0	0	0	91
345	ROGERS WIRELESS INC 6 375% 3/01/	775315QAD0		5/20/2009	5/7/2012	16,457		0	15,818	639	0	0	0	639
346	ROYAL BANK OF SCOTLA 4 875% 3/16/	78010XAC5		3/9/2010	5/7/2012	5,195		0	5,001	194	0	0	0	194
347	ROYAL BANK OF SCOTLA 4 875% 3/16/	78010XAC5		3/9/2010	5/7/2012	10,389		0	9,977	412	0	0	0	412
348	RYDEX MANAGED FUTURES STRATEG	78356A160		7/13/2010	8/27/2012	178,811		0	200,000	-21,189	0	0	0	-21,189
349	RYDEX MANAGED FUTURES STRATEG	78356A160		12/17/2010	8/27/2012	174,095		0	200,000	-25,905	0	0	0	-25,905
350	RYDEX MANAGED FUTURES STRATEG	78356A160		8/2/2011	8/27/2012	166,868		0	192,000	-25,132	0	0	0	-25,132
351	RYDEX MANAGED FUTURES STRATEG	78356A160		11/17/2011	8/27/2012	64,140		0	70,000	-5,860	0	0	0	-5,860
352	SBC COMMUNICATIONS 5 875% 8/15/	78387GAK9		3/23/2006	1/23/2012	5,149		0	5,060	89	0	0	0	89
353	SBC COMMUNICATIONS 5 875% 8/15/	78387GAK9		3/23/2006	2/9/2012	5,136		0	5,060	76	0	0	0	76
354	ST JUDE MEDICAL INC	790849103		3/22/2011	7/18/2012	484		0	669	-185	0	0	0	-185
355	ST JUDE MEDICAL INC	790849103		3/22/2011	7/18/2012	27,289		0	37,419	-10,130	0	0	0	-10,130
356	SANOFI	80105N105		8/28/2012	12/14/2012	5,175		0	4 516	659	0	0	0	659
357	SIMON PROPERTY GROUP 5 65% 2/01	828807CD7		1/19/2010	5/7/2012	23,392		0	19,924	3,468	0	0	0	3,468
358	SKYWORKS SOLUTIONS INC	83088M102		12/5/2012	12/14/2012	990		0	1,171	-181	0	0	0	-181
359	BANK OF AMERICA CORP	060505104		5/21/2009	12/14/2012	1,797		161	1,959	-1	0	0	0	-1
360	BANK OF AMERICA CORP 5 75% 8/15/	060505CL6		1/5/2007	5/4/2012	21,054		0	20,524	530	0	0	0	530
361	BARCLAYS BANK PLC 5 0% 9/22/16	06739FGF2		9/17/2009	5/7/2012	16 290		0	14,981	1,309	0	0	0	1,309
362	BAXTER INTERNATIONAL INC	071813109		8/17/2011	6/14/2012	2 948		0	3,213	-265	0	0	0	-265
363	BAXTER INTERNATIONAL INC	071813109		8/18/2011	6/14/2012	2 654		0	2,833	-179	0	0	0	-179
364	BAXTER INTERNATIONAL INC	071813109		8/10/2011	6/15/2012	16,721		0	17,453	-732	0	0	0	-732
365	BAXTER INTERNATIONAL INC	071813109		8/18/2011	6/15/2012	17,016		0	18,154	-1,138	0	0	0	-1,138
366	BEAM INC	073730103		12/11/2012	12/14/2012	1,832		25	1,857	0	0	0	0	0
367	FHLMC GOLD A90393 5 0% 12/01/39	312938NJ8		3/8/2010	3/15/2012	132		0	138	-6	0	0	0	-6
368	FHLMC GOLD A90393 5 0% 12/01/39	312938NJ8		3/8/2010	4/15/2012	143		0	149	-6	0	0	0	-6
369	FHLMC GOLD A90393 5 0% 12/01/39	312938NJ8		3/8/2010	5/8/2012	12,601		0	12,142	459	0	0	0	459
370	FHLMC GOLD A90393 5 0% 12/01/39	312938NJ8		3/8/2010	5/15/2012	185		0	192	-7	0	0	0	-7
371	FHLMC GOLD A92821 5 0% 7/01/40	312941D20		7/22/2010	2/15/2012	485		0	516	-31	0	0	0	-31
372	TIME WARNER INC 3 15% 7/15/15	887317AJ4		7/7/2010	1/10/2012	10,544		0	9,988	556	0	0	0	556
373	TIME WARNER INC 3 15% 7/15/15	887317AJ4		7/8/2010	1/10/2012	5 272		0	5,023	249	0	0	0	249
374	TIME WARNER INC 4 0% 1/15/22	887317AN5		1/10/2012	5/7/2012	15,815		0	15,536	279	0	0	0	279
375	TIME WARNER CABLE IN 4 125% 2/15/2	88732JAX6		11/9/2010	5/7/2012	15,842		0	14,905	937	0	0	0	937
376	TRANSCANADA CORP	89353D107		8/28/2012	12/14/2012	1,838		0	1,840	-2	0	0	0	-2
377	TYCO INTL FIN 3 375% 10/15/15	902118BN7		4/28/2010	5/7/2012	15,959		0	14,951	1 008	0	0	0	1 008
378	UNILEVER PLC ADR	904767704		8/28/2012	12/14/2012	5,078		0	4,677	401	0	0	0	401
379	UNITED MEXICAN STS 6 625% 3/03/15	91086QAL2		1/4/2005	5/17/2012	4,569		0	4,284	285	0	0	0	285
380	UNITED MEXICAN STATE 5 625% 1/15/1	91086QAU2		3/3/2008	5/17/2012	17,285		0	14,868	2,417	0	0	0	2,417
381	US TREAS-CPI INFLAT 2 375% 1/15/27	912810PS1		5/7/2007	5/4/2012	22,473		0	16 989	5,484	0	0	0	5,484
382	U S INFL IX BD 2 375% 1/15/17	912828GD6		5/1/2007	5/4/2012	26,562		0	22,963	3,599	0	0	0	3 599
383	U S TREASURY INFL IN 1 375% 7/15/18	912828JE1		8/21/2008	5/4/2012	36,680		0	31,107	5,573	0	0	0	5,573
384	US TREAS INFL INDX 1 375% 1/15/20	912828MF4		6/23/2010	5/4/2012	30,716		0	26 910	3,806	0	0	0	3,806
385	U S TREASURY NOTE 1 75% 7/31/15	912828NP1		7/29/2010	5/2/2012	26,049		0	25,098	951	0	0	0	951
386	U S TREASURY NOTE 0 75% 9/15/13	912828NY2		9/24/2010	1/9/2012	5,043		0	5,009	34	0	0	0	34
387	U S TREASURY NOTE 0 75% 9/15/13	912828NY2		9/14/2010	1/9/2012	5,043		0	4,996	47	0	0	0	47
388	U S TREASURY NOTE 0 75% 9/15/13	912828NY2		9/14/2010	1/20/2012	5,044		0	4 996	48	0	0	0	48
389	U S TREASURY NOTE 0 75% 9/15/13	912828NY2		9/14/2010	2/8/2012	5 040		0	4 996	44	0	0	0	44
390	U S TREASURY NOTE 0 5% 10/15/13	912828PB0		10/19/2010	2/8/2012	5,021		0	4,993	28	0	0	0	28
391	BNY MELLON FOC EQ OPP-M	05569M475		12/17/2010	5/9/2012	80,000		0	78,224	1,776	0	0	0	1,776
392	BNY MELLON M/C MULTI-STRATEGY FU	05569M509		7/27/2011	12/14/2012	142,819		0	166,253	-23,434	0	0	0	-23,434
393	BNY MELLON M/C MULTI-STRATEGY FU	05569M509		12/6/2007	12/14/2012	157 181		0	180 393	-23,212	0	0	0	-23,212
394	BNY MELLON S/T US GOV SECS-M	05569M760		12/17/2009	5/9/2012	45 000		1	45,588	-587	0	0	0	-587
395	BNY MELLON INTERMEDIATE BD-M	05569M814		1/31/2011	12/14/2012	1,217		0	1 188	29	0	0	0	29
396	BNY MELLON INTERMEDIATE BD-M	05569M814		2/28/2011	12/14/2012	1,119		0	1,089	30	0	0	0	30
397	BNY MELLON INTERMEDIATE BD-M	05569M814		4/29/2011	12/14/2012	1,224		0	1,197	27	0	0	0	27
398	BNY MELLON INTERMEDIATE BD-M	05569M814		5/31/2011	12/14/2012	1,257		0	1,235	22	0	0	0	22
399	BNY MELLON INTERMEDIATE BD-M	05569M814		6/30/2011	12/14/2012	1 266		0	1 238	28	0	0	0	28
400	BNY MELLON INTERMEDIATE BD-M	05569M814		9/30/2011	12/14/2012	1,130		0	1 110	20	0	0	0	20
401	BNY MELLON INTERMEDIATE BD-M	05569M814		11/17/2011	12/14/2012	105,193		0	103,605	1,588	0	0	0	1,588
402	BNY MELLON INTERMEDIATE BD-M	05569M814		11/30/2011	12/14/2012	1,510		0	1,479	31	0	0	0	31
403	BNY MELLON INTERMEDIATE BD-M	05569M814		12/15/2011	12/14/2012	1,501		0	1 474	27	0	0	0	27
404	BNY MELLON INTERMEDIATE BD M	05569M814		1/31/2012	12/14/2012	1,334		0	1 325	9	0	0	0	9
405	BNY MELLON INTERMEDIATE BD-M	05569M814		2/29/2012	12/14/2012	1,166		0	1,157	9	0	0	0	9
406	BNY MELLON INTERMEDIATE BD-M	05569M814		3/30/2012	12/14/2012	1,434		0	1,417	17	0	0	0	17
407	BNY MELLON INTERMEDIATE BD-M	05569M814		4/30/2012	12/14/2012	1,343		0	1,334	9	0	0	0	9
408	BNY MELLON INTERMEDIATE BD-M	05569M814		5/31/2012	12/14/2012	1,389		0	1 381	8	0	0	0	8
409	BNY MELLON INTERMEDIATE BD-M	05569M814		6/29/2012	12/14/2012	1,264		0	1,254	10	0	0	0	10
410	BNY MELLON INTERMEDIATE BD-M	05569M814		7/31/2012	12/14/2012	1,170		0	1,170	0	0	0	0	0
411	BNY MELLON INTERMEDIATE BD-M	05569M814		8/31/2012	12/14/2012	1 172		0	1,173	-1	0	0	0	-1
412	BNY MELLON INTERMEDIATE BD-M	05569M814		9/28/2012	12/14/2012	1,086		0	1,088	-2	0	0	0	-2
413	BNY MELLON INTERMEDIATE BD-M	05569M814		10/31/2012	12/14/2012	1,220		0	1,221	-1	0	0	0	-1
414	BNY MELLON INTERMEDIATE BD-M	05569M814		11/30/2012	12/14/2012	1,178		0	1,180	-2	0	0	0	-2
415	FHLMC GOLD G05337 5 5% 12/01/38	3128M7HN9		6/10/2010	2/15/2012	293		0	315	-22	0	0	0	-22
416	FHLMC GOLD G05337 5 5% 12/01/38	3128M7HN9		6/10/2010	3/15/2012	157		0	169	-12	0	0	0	-12
417	FHLMC GOLD G05337 5 5% 12/01/38	3128M7HN9		6/10/2010	4/15/2012	104		0	112	-8	0	0	0	-8
418	FHLMC GOLD G05337 5 5% 12/01/38	3128M7HN9		6/10/2010	5/8/2012	6,237		0	6,164	73	0	0	0	73
419	FHLMC GOLD G05337 5 5% 12/01/38	3128M7HN9		6/10/2010	5/15/2012	99		0	107	-8	0	0	0	-8
420	FNMA POOL 975268 5 5% 5/01/38	31414S6D0		4/20/2011	4/25/2012	501		0	535	-34	0	0	0	-34

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,606	0	272	8,867,133	500,745	0	0	0	500,745
421	FNMA POOL 975268 5 5% 5/1/38	31414S8D0		4/20/2011	5/8/2012	10,814		0	10,559	255	0	0	0	255
422	FNMA POOL 975268 5 5% 5/1/38	31414S6D0		4/20/2011	5/25/2012	426		0	455	-29	0	0	0	-29
423	FNMA POOL 981644 4 5% 8/01/23	31415BBM0		10/14/2009	2/25/2012	84		0	87	-3	0	0	0	-3
424	FNMA POOL 981644 4 5% 8/01/23	31415BBM0		10/14/2009	3/25/2012	196		0	204	-8	0	0	0	-8
425	FNMA POOL 981644 4 5% 8/01/23	31415BBM0		10/14/2009	4/25/2012	191		0	198	-7	0	0	0	-7
426	FNMA POOL 981644 4 5% 8/01/23	31415BBM0		10/14/2009	5/9/2012	5,170		0	4,987	183	0	0	0	183
427	FNMA POOL 981644 4 5% 8/01/23	31415BBM0		10/14/2009	5/25/2012	231		0	239	-8	0	0	0	-8
428	FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8		11/10/2009	1/13/2012	21,116		0	20 527	589	0	0	0	589
429	FNMA POOL AA7694 4 5% 7/01/24	31416RRQ8		11/10/2009	2/25/2012	109		0	113	-4	0	0	0	-4
430	CATERPILLAR INC	149123101		6/18/2010	12/5/2012	26,452		0	19,819	6,633	0	0	0	6,633
431	CHICAGO IL 6 034% 1/01/42	167486NN5		11/9/2011	5/7/2012	21,124		0	20 000	1,124	0	0	0	1,124
432	CHUBB CORP	171232101		11/17/2008	1/20/2012	9,199		0	6,189	3,010	0	0	0	3,010
433	CHUBB CORP	171232101		11/17/2008	1/20/2012	5,664		0	3,809	1,855	0	0	0	1,855
434	CHUBB CORP	171232101		8/28/2012	12/14/2012	2,285		0	2,209	76	0	0	0	76
435	CISCO SYSTEMS INC 5 5% 2/22/16	17275RAC8		12/14/2007	5/4/2012	11,668		0	10,033	1,635	0	0	0	1,635
436	CITIGROUP INC	172967424		3/22/2012	5/14/2012	12,078		0	15,906	-3,828	0	0	0	-3 828
437	CITIGROUP INC	172967424		1/31/2011	5/14/2012	482		0	821	-339	0	0	0	-339
438	CITIGROUP INC	172967424		1/31/2011	5/14/2012	1,646		0	2,783	-1,137	0	0	0	-1,137
439	CITIGROUP INC	172967424		1/31/2011	5/14/2012	937		0	1,594	-657	0	0	0	-657
440	CITIGROUP INC	172967424		1/31/2011	5/14/2012	709		0	1,209	-500	0	0	0	-500
441	CITIGROUP INC	172967424		1/31/2011	5/14/2012	1,788		0	3,042	-1,254	0	0	0	-1,254
442	CITIGROUP INC	172967424		1/31/2011	5/14/2012	454		0	772	-318	0	0	0	-318
443	CITIGROUP INC	172967424		1/31/2011	5/14/2012	3,434		0	5,843	-2,409	0	0	0	-2,409
444	CITIGROUP INC	172967424		1/31/2011	5/14/2012	1,050		0	1,790	-740	0	0	0	-740
445	CITIGROUP INC	172967424		1/31/2011	5/14/2012	1,674		0	2 850	-1,176	0	0	0	-1,176
446	CITIGROUP INC	172967424		3/22/2012	5/14/2012	3,406		0	4,439	-1,033	0	0	0	-1,033
447	CITIGROUP INC 6 125% 11/21/17	172967EM9		8/12/2008	5/4/2012	16,779		0	14,677	2,102	0	0	0	2 102
448	COCA-COLA ENTERPRISES INC	19122T109		8/28/2012	12/14/2012	2,476		0	2,385	91	0	0	0	91
449	COGNIZANT TECHNOLOGY SOLUTIONS	192446102		3/30/2012	12/14/2012	2,174		0	2,311	-137	0	0	0	-137
450	CATERPILLAR INC	149123101		6/16/2010	12/4/2012	2,526		0	1,930	596	0	0	0	596
451	COMCAST CORP 5 9% 3/15/16	20030NAL5		3/30/2006	5/3/2012	23,182		0	19,720	3,462	0	0	0	3 462
452	U S TREASURY NOTE 0 5% 10/15/13	912828PB0		10/12/2010	2/8/2012	15,062		0	14,969	93	0	0	0	93
453	U S TREASURY NOTE 0 5% 10/15/13	912828PB0		10/12/2010	2/24/2012	5,018		0	4,990	28	0	0	0	28
454	U S TREASURY NOTE 0 75% 12/15/13	912828PL8		1/11/2011	2/24/2012	5,040		0	4,980	60	0	0	0	60
455	U S TREASURY NOTE 0 75% 12/15/13	912828PL8		12/13/2010	2/29/2012	5,041		0	4,965	76	0	0	0	76
456	U S TREASURY NOTE 0 75% 12/15/13	912828PL8		12/13/2010	3/7/2012	5,039		0	4,965	74	0	0	0	74
457	U S TREASURY NOTE 1 0% 1/15/14	912828PQ7		1/25/2011	3/28/2012	5 060		0	4,995	65	0	0	0	65
458	U S TREASURY NOTE 1 0% 1/15/14	912828PQ7		1/21/2011	5/2/2012	10,127		0	9,982	145	0	0	0	145
459	U S TREASURY NOTE 1 0% 1/15/14	912828PQ7		1/25/2011	5/2/2012	5,063		0	4,995	68	0	0	0	68
460	U S TREASURY NOTE 1 25% 3/15/14	912828PZ7		3/11/2011	5/2/2012	10,185		0	10,037	148	0	0	0	148
461	U S TREASURY NOTE 1 25% 3/15/14	912828PZ7		3/23/2011	5/2/2012	15,277		0	15,057	220	0	0	0	220
462	FNMA POOL AL0201 5 0% 12/01/21	3138EGGK4		4/15/2011	5/25/2012	359		0	385	-26	0	0	0	-26
463	FNMA POOL AL0573 3 5% 8/01/26	3138EGT79		8/2/2011	2/8/2012	57,325		0	56,266	1,059	0	0	0	1,059
464	FNMA POOL AL0573 3 5% 8/01/26	3138EGT79		8/2/2011	2/25/2012	1,454		0	1,503	-49	0	0	0	-49
465	FNMA REMIC 2003-64 5 5% 3/25/30	31393DKA8		5/20/2010	1/25/2012	734		0	769	-35	0	0	0	-35
466	FNMA REMIC 2003-64 5 5% 3/25/30	31393DKA8		5/20/2010	2/25/2012	834		0	874	-40	0	0	0	-40
467	FNMA REMIC 2003-64 5 5% 3/25/30	31393DKA8		5/20/2010	3/25/2012	882		0	924	-42	0	0	0	-42
468	FNMA REMIC 2003-64 5 5% 3/25/30	31393DKA8		5/20/2010	4/25/2012	899		0	942	-43	0	0	0	-43
469	FNMA REMIC 2003-64 5 5% 3/25/30	31393DKA8		5/20/2010	5/21/2012	9 602		0	9 952	-350	0	0	0	-350
470	FNMA REMIC 2003-64 5 5% 3/25/30	31393DKA8		5/20/2010	5/25/2012	905		0	948	-43	0	0	0	-43
471	FED NATL MTGE ASSN 3 0% 9/18/14	31398AYY2		8/13/2009	5/8/2012	10,600		0	9,973	627	0	0	0	627
472	FNMA POOL 962301 4 5% 3/01/23	31414CRW0		5/20/2009	2/25/2012	225		0	231	-6	0	0	0	-6
473	FNMA POOL 962301 4 5% 3/01/23	31414CRW0		5/20/2009	3/25/2012	139		0	143	-4	0	0	0	-4
474	FNMA POOL 962301 4 5% 3/01/23	31414CRW0		5/20/2009	4/25/2012	122		0	126	-4	0	0	0	-4
475	FNMA POOL 962301 4 5% 3/01/23	31414CRW0		5/20/2009	5/9/2012	5,552		0	5,308	244	0	0	0	244
476	FNMA POOL 962301 4 5% 3/01/23	31414CRW0		5/20/2009	5/25/2012	229		0	235	-6	0	0	0	-6
477	BEAR STEARNS CO INC 5 55% 1/22/17	073902PN2		1/20/2012	5/4/2012	16,686		0	16,051	635	0	0	0	635
478	BLACKROCK INC 6 25% 9/15/17	09247XAC5		9/12/2007	5/4/2012	24 458		0	19,839	4,619	0	0	0	4,619
479	BOSTON PROPERTIES LP 4 125% 5/15/	10112RAS3		8/30/2011	5/4/2012	15,814		0	14,759	1,055	0	0	0	1,055
480	C B S CORP-CLASS B W/I	124857202		8/28/2012	12/14/2012	3,129		0	3,271	-142	0	0	0	-142
481	C B R E GROUP INC	12504L109		12/11/2012	12/14/2012	1,531		41	1,573	-1	0	0	0	-1
482	CIGNA CORP	125509109		8/28/2012	12/14/2012	2,118		0	1,785	333	0	0	0	333
483	CRH AMERICA INC 5 3% 10/15/13	12626PAE3		10/24/2006	5/7/2012	10,491		0	9,799	692	0	0	0	692
484	CRH AMERICA INC 5 3% 10/15/13	12626PAE3		9/13/2006	5/7/2012	10,491		0	9 813	678	0	0	0	678
485	CALIFORNIA ST 7 3% 10/01/39	13063A7D0		10/8/2009	5/7/2012	25,438		0	20,165	5,273	0	0	0	5 273
486	CAPITAL ONE FINANCIAL CORP	14040H105		8/28/2012	12/14/2012	2 274		0	2,262	12	0	0	0	12
487	CARNIVAL CORP	143658300		8/28/2012	12/14/2012	1 508		0	1 372	136	0	0	0	136
488	CATERPILLAR INC	149123101		8/28/2012	12/4/2012	2 526		0	2 605	-79	0	0	0	-79
489	FNMA POOL AK2439 3 5% 2/01/27	3138E6V94		2/8/2012	5/25/2012	475		0	503	-28	0	0	0	-28
490	FNMA POOL AK2717A 4 0% 2/01/42	3138E7AT1		2/23/2012	4/25/2012	450		0	477	-27	0	0	0	-27
491	FNMA POOL AK2717A 4 0% 2/01/42	3138E7AT1		2/23/2012	5/8/2012	41,438		0	41 371	67	0	0	0	67
492	FNMA POOL AK2717A 4 0% 2/01/42	3138E7AT1		2/23/2012	5/25/2012	454		0	481	-27	0	0	0	-27
493	FNMA POOL AK5762 4 0% 4/01/42	3138EAML8		3/28/2012	5/8/2012	31,470		0	31,257	213	0	0	0	213
494	FNMA POOL AK5762 4 0% 4/01/42	3138EAML8		3/28/2012	5/25/2012	255		0	269	-14	0	0	0	-14
495	FNMA POOL AL0219 3 313% 4/1/41	3138EGG57		10/20/2011	2/25/2012	1,165		0	1,184	-19	0	0	0	-19
496	FNMA POOL AL0219 3 311% 4/01/41	3138EGG57		10/20/2011	3/25/2012	1,484		0	1,508	-24	0	0	0	-24
497	FNMA POOL AL0219 3 31503% 4/01/41	3138EGG57		10/20/2011	4/25/2012	2,501		0	2 541	-40	0	0	0	-40
498	FNMA POOL AL0219 3 315% 4/1/41	3138EGG57		10/20/2011	5/9/2012	30,724		0	30 013	711	0	0	0	711
499	FNMA POOL AL0219 3 32% 4/01/41	3138EGG57		10/20/2011	5/25/2012	1,401		0	1 423	-22	0	0	0	-22
500	FNMA POOL AL0201 5 0% 12/01/21	3138EGGK4		4/15/2011	1/13/2012	8,305		0	8,273	32	0	0	0	32
501	FNMA POOL AL0201 5 0% 12/01/21	3138EGGK4		4/15/2011	2/25/2012	344		0	369	-25	0	0	0	-25
502	FNMA POOL 986608 5 5% 2/01/38	31414HKV8		2/15/2008	2/25/2012	470		0	470	0	0	0	0	0
503	FNMA POOL 986608 5 5% 2/01/38	31414HKV8		2/15/2008	3/25/2012	73		0	73	0	0	0	0	0
504	FNMA POOL 986608 5 5% 2/01/38	31414HKV8		2/15/2008	4/25/2012	1,228		0	1,229	-1	0	0	0	-1

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,606	0	272	8,867,133	500,745	0	0	0	500,745
505	FNMA POOL 986608 5 5% 2/01/38	31414HKV8		2/15/2008	5/8/2012	40,715		0	37,244	3,471	0	0	0	3 471
506	FNMA POOL 986608 5 5% 2/01/38	31414HKV8		2/15/2008	5/25/2012	1,588		0	1,590	-2	0	0	0	-2
507	FNMA POOL 975268 5 5% 5/01/38	31414S6D0		4/20/2011	2/25/2012	236		0	252	-16	0	0	0	-16
508	FNMA POOL 975288 5 5% 5/01/38	31414S6D0		4/20/2011	3/25/2012	292		0	312	-20	0	0	0	-20
509	FNMA POOL AJ1758 3 5% 9/01/26	3138AS5U2		9/14/2011	2/25/2012	498		0	522	-24	0	0	0	-24
510	FNMA POOL AJ1758 3 5% 9/01/26	3138AS5U2		9/14/2011	3/25/2012	513		0	538	-25	0	0	0	-25
511	FNMA POOL AJ1758 3 5% 9/01/26	3138AS5U2		9/14/2011	4/25/2012	597		0	626	-29	0	0	0	-29
512	FNMA POOL AJ1758 3 5% 9/01/26	3138AS5U2		9/14/2011	5/9/2012	27,919		0	27,676	243	0	0	0	243
513	FNMA POOL AJ1758 3 5% 9/01/26	3138AS5U2		9/14/2011	5/25/2012	392		0	411	-19	0	0	0	-19
514	FNMA POOL AJ5843 4 0% 11/01/41	3138AXHV8		1/19/2012	2/23/2012	25,061		0	25,043	18	0	0	0	18
515	FNMA POOL AJ5843 4 0% 11/01/41	3138AXHV8		1/19/2012	3/25/2012	884		0	930	-46	0	0	0	-46
516	FNMA POOL AK2439 3 5% 2/01/27	3138E6V94		2/8/2012	3/25/2012	194		0	206	-12	0	0	0	-12
517	FNMA POOL AK2439 3 5% 2/01/27	3138E6V94		2/8/2012	4/25/2012	358		0	379	-21	0	0	0	-21
518	FNMA POOL AK2439 3 5% 2/01/27	3138E6V94		2/8/2012	5/9/2012	41,257		0	41,312	-55	0	0	0	-55
519	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		2/15/2011	3/25/2012	131		0	142	-11	0	0	0	-11
520	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		5/14/2009	4/25/2012	28		0	30	-2	0	0	0	-2
521	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		1/3/2007	4/25/2012	85		0	86	-1	0	0	0	-1
522	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		2/15/2011	4/25/2012	199		0	216	-17	0	0	0	-17
523	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		5/14/2009	5/8/2012	884		0	840	44	0	0	0	44
524	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		2/15/2011	5/8/2012	6,190		0	6,093	97	0	0	0	97
525	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		1/3/2007	5/8/2012	2,258		0	2,068	190	0	0	0	190
526	FNMA POOL 255384 6 0% 9/01/34	31371LTV1		1/3/2007	5/25/2012	357		0	361	-4	0	0	0	-4
527	FNMA POOL 555528 6 0% 4/01/33	31385XD95		12/7/2005	2/25/2012	459		0	462	-3	0	0	0	-3
528	FNMA POOL 555528 6 0% 4/01/33	31385XD95		12/7/2005	3/25/2012	490		0	493	-3	0	0	0	-3
529	FNMA POOL 555528 6 0% 4/01/33	31385XD95		12/7/2005	4/25/2012	543		0	548	-5	0	0	0	-5
530	FNMA POOL 555528 6 0% 4/01/33	31385XD95		12/7/2005	5/8/2012	20,663		0	18,764	1 899	0	0	0	1 899
531	FNMA POOL 555528 6 0% 4/01/33	31385XD95		12/7/2005	5/25/2012	505		0	509	-4	0	0	0	-4
532	FNMA POOL AH3519 4 0% 2/01/41	3138A44D4		4/8/2011	2/25/2012	187		0	183	4	0	0	0	4
533	FNMA POOL AH3519 4 0% 2/01/41	3138A44D4		4/8/2011	3/25/2012	198		0	194	4	0	0	0	4
534	FNMA POOL AH3519 4 0% 2/01/41	3138A44D4		4/8/2011	4/25/2012	160		0	157	3	0	0	0	3
535	FNMA POOL AH3519 4 0% 2/01/41	3138A44D4		4/8/2011	5/8/2012	14,740		0	13,660	1,080	0	0	0	1,080
536	FNMA POOL AH4794 5 0% 2/01/41	3138A6KG4		3/4/2011	2/25/2012	60		0	63	-3	0	0	0	-3
537	FNMA POOL AL0201 5 0% 12/01/21	3138EGGK4		4/15/2011	3/25/2012	332		0	357	-25	0	0	0	-25
538	FNMA POOL AL0201 5 0% 12/01/21	3138EGGK4		4/15/2011	4/25/2012	354		0	381	-27	0	0	0	-27
539	FNMA POOL AL0201 5 0% 12/01/21	3138EGGK4		4/15/2011	5/9/2012	10,995		0	10,917	78	0	0	0	78
540	FHLMC GOLD A92821 5 0% 7/01/40	312941D20		7/22/2010	3/15/2012	593		0	630	-37	0	0	0	-37
541	FHLMC GOLD A92821 5 0% 7/01/40	312941D20		7/22/2010	4/15/2012	498		0	529	-31	0	0	0	-31
542	FHLMC GOLD A92821 5 0% 7/01/40	312941D20		7/22/2010	5/8/2012	28,582		0	28,095	487	0	0	0	487
543	FHLMC GOLD A92821 5 0% 7/01/40	312941D20		7/22/2010	5/15/2012	392		0	416	-24	0	0	0	-24
544	FHLMC GOLD A95160 4 0% 11/01/40	312943WV1		9/19/2011	2/15/2012	128		0	133	-5	0	0	0	-5
545	FHLMC GOLD A95160 4 0% 11/01/40	312943WV1		9/19/2011	3/15/2012	202		0	211	-9	0	0	0	-9
546	FHLMC GOLD A95160 4 0% 11/01/40	312943WV1		9/19/2011	4/15/2012	251		0	262	-11	0	0	0	-11
547	FHLMC POOL Q04584 4 5% 11/01/41	3132GKXM3		11/7/2011	3/15/2012	40		0	42	-2	0	0	0	-2
548	FHLMC POOL Q04584 4 5% 11/01/41	3132GKXM3		11/7/2011	4/15/2012	41		0	43	-2	0	0	0	-2
549	FHLMC POOL Q04584 4 5% 11/01/41	3132GKXM3		11/7/2011	5/8/2012	31,280		0	31,047	233	0	0	0	233
550	FHLMC POOL Q04584 4 5% 11/01/41	3132GKXM3		11/7/2011	5/15/2012	518		0	549	-31	0	0	0	-31
551	FED HOME LOAN BANK 3 625% 10/18/	3133XSAE8		9/11/2008	2/24/2012	10,535		0	9,971	564	0	0	0	564
552	FED HOME LOAN BANK 3 625% 10/18/	3133XSAE8		9/11/2008	2/29/2012	26,334		0	24 928	1,406	0	0	0	1,406
553	FEDERAL NATL MTG AS 4 375% 7/17/1	31359MSL8		9/30/2009	1/9/2012	15,915		0	16 237	-322	0	0	0	-322
554	FNMA POOL 255364 6 0% 9/01/34	31371LTV1		5/14/2009	2/25/2012	16		0	17	-1	0	0	0	-1
555	FNMA POOL 255364 6 0% 9/01/34	31371LTV1		1/3/2007	2/25/2012	49		0	49	0	0	0	0	0
556	FNMA POOL 255364 6 0% 9/01/34	31371LTV1		2/15/2011	2/25/2012	113		0	123	-10	0	0	0	-10
557	FNMA POOL 255364 6 0% 9/01/34	31371LTV1		5/14/2009	3/25/2012	19		0	20	-1	0	0	0	-1
558	FNMA POOL 255364 6 0% 9/01/34	31371LTV1		1/3/2007	3/25/2012	56		0	57	-1	0	0	0	-1
559	FHLMC GOLD A95160 4 0% 11/01/40	312943WV1		9/19/2011	5/8/2012	8 664		0	8 562	102	0	0	0	102
560	FHLMC GOLD A95160 4 0% 11/01/40	312943WV1		9/19/2011	5/15/2012	210		0	219	-9	0	0	0	-9
561	FHLMC GOLD A95828 4 5% 12/01/40	312944PM7		12/8/2011	2/15/2012	112		0	119	-7	0	0	0	-7
562	FHLMC GOLD A95828 4 5% 12/01/40	312944PM7		12/8/2011	3/15/2012	169		0	179	-10	0	0	0	-10
563	FHLMC GOLD A95828 4 5% 12/01/40	312944PM7		12/8/2011	4/15/2012	213		0	226	-13	0	0	0	-13
564	FHLMC GOLD A95828 4 5% 12/01/40	312944PM7		12/8/2011	5/8/2012	24,559		0	24,323	236	0	0	0	236
565	FHLMC GOLD A95828 4 5% 12/01/40	312944PM7		12/8/2011	5/15/2012	240		0	255	-15	0	0	0	-15
566	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/23/2011	2/15/2012	921		0	982	-61	0	0	0	-61
567	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/15/2011	2/15/2012	307		0	325	-18	0	0	0	-18
568	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/23/2011	3/15/2012	1 262		0	1,346	-84	0	0	0	-84
569	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/15/2011	3/15/2012	421		0	446	-25	0	0	0	-25
570	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/23/2011	4/15/2012	751		0	801	-50	0	0	0	-50
571	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/15/2011	4/15/2012	250		0	265	-15	0	0	0	-15
572	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/23/2011	4/19/2012	5,491		0	5 492	-1	0	0	0	-1
573	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/15/2011	4/19/2012	13 170		0	13,100	70	0	0	0	70
574	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/23/2011	5/8/2012	37,382		0	37 327	55	0	0	0	55
575	FHLMC GOLD Q03517 4 5% 9/01/41	3132GJSE0		9/15/2011	5/15/2012	1,033		0	1 095	-62	0	0	0	-62
576	FHLMC POOL Q04584 4 5% 11/01/41	3132GKXM3		11/7/2011	2/15/2012	39		0	41	-2	0	0	0	-2
577	FNMA POOL AH4794 5 0% 2/01/41	3138A6KG4		3/4/2011	3/25/2012	631		0	663	-32	0	0	0	-32
578	FNMA POOL AH4794 5 0% 2/01/41	3138A6KG4		3/4/2011	4/25/2012	542		0	570	-28	0	0	0	-28
579	FNMA POOL AH4794 5% 2/1/41	3138A6KG4		3/4/2011	5/8/2012	48,443		0	46,815	1 628	0	0	0	1,628
580	FNMA POOL AH4794 5% 2/1/41	3138A6KG4		3/4/2011	5/25/2012	274		0	288	-14	0	0	0	-14
581	FNMA POOL AH8932 4 5% 4/01/41	3138AA4S7		2/16/2011	2/25/2012	660		0	668	-8	0	0	0	-8
582	FNMA POOL AH8932 4 5% 4/01/41	3138AA4S7		2/16/2011	3/25/2012	305		0	309	-4	0	0	0	-4
583	FNMA POOL AH8932 4 5% 4/01/41	3138AA4S7		2/16/2011	4/25/2012	235		0	237	-2	0	0	0	-2
584	FNMA POOL AH8932 4 5% 4/01/41	3138AA4S7		2/16/2011	5/8/2012	18,420		0	17,356	1,064	0	0	0	1,064
585	FNMA POOL AH8932 4 5% 4/01/41	3138AA4S7		2/16/2011	5/25/2012	526		0	532	-6	0	0	0	-6
586	FHLMC GOLD G05477 4 5% 5/01/39	3128M7M29		4/18/2012	6/11/2012	59,185		0	59,590	-405	0	0	0	-405
587	FHLMC GOLD G05477 4 5% 5/01/39	3128M7M29		4/18/2012	6/15/2012	1,282		0	1,388	-106	0	0	0	-106
588	FHLMC GOLD G08222 4 0% 1/01/41	3128M8G73		4/8/2011	2/15/2012	332		0	325	7	0	0	0	7

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	143,834
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						9,367,606	0	272	8,867,133	500,745	0	0	0	500,745
589	FHLMC GOLD G08222 4 0% 1/01/41	3128M8G73		4/8/2011	3/15/2012	271		0	266	5	0	0	0	5
590	FHLMC GOLD G08222 4 0% 1/01/41	3128M8G73		4/8/2011	4/15/2012	795		0	778	17	0	0	0	17
591	FHLMC GOLD G08222 4 0% 1/01/41	3128M8G73		4/8/2011	5/8/2012	39,411		0	36,529	2,882	0	0	0	2 882
592	FHLMC GOLD C91186 5 0% 6/01/28	3128P7J79		8/20/2008	2/15/2012	327		0	324	3	0	0	0	3
593	FHLMC GOLD C91186 5 0% 6/01/28	3128P7J79		8/20/2008	3/15/2012	284		0	282	2	0	0	0	2
594	FHLMC GOLD C91186 5 0% 6/01/28	3128P7J79		8/20/2008	4/15/2012	203		0	202	1	0	0	0	1
595	FHLMC GOLD C91186 5 0% 6/01/28	3128P7J79		8/20/2008	5/8/2012	6,514		0	5,991	523	0	0	0	523
596	FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9		8/20/2008	2/15/2012	322		0	320	2	0	0	0	2
597	FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9		8/20/2008	3/15/2012	56		0	55	1	0	0	0	1
598	FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9		8/20/2008	4/15/2012	306		0	304	2	0	0	0	2
599	FHLMC GOLD C91194 5 0% 7/01/28	3128P7KF9		8/20/2008	5/8/2012	6,832		0	6,285	547	0	0	0	547
600	FHLMC GOLD A90393 5 0% 12/01/39	312938NJ8		3/8/2010	2/15/2012	173		0	181	-8	0	0	0	-8
601	FHLMC GOLD G05938 5 0% 1/01/38	3128M74X1		9/19/2011	5/15/2012	203		0	220	-17	0	0	0	-17
602	BNY MELLON INTERMEDIATE BD-M	05569M814		12/17/2009	12/14/2012	50,827		0	49,407	1,420	0	0	0	1,420
603	BNY MELLON EMERGING MKTS-M	05569M855		12/21/2007	8/27/2012	70,421		0	152,113	-81,692	0	0	0	-81,692
604	BNY MELLON EMERGING MKTS-M	05569M855		10/30/2002	8/27/2012	395,748		0	480,764	-85,016	0	0	0	-85 016
605	BNY MELLON EMERGING MKTS-M	05569M855		11/17/2008	8/27/2012	71,159		0	72,000	-841	0	0	0	-841
606	BNY MELLON EMERGING MKTS-M	05569M855		12/22/2008	8/27/2012	571,480		0	354,182	217,298	0	0	0	217,298
607	BNY MELLON EMERGING MKTS-M	05569M855		4/15/2009	8/27/2012	355,344		0	250,000	105,344	0	0	0	105,344
608	BNY MELLON EMERGING MKTS-M	05569M855		7/24/2009	8/27/2012	136,620		0	124,000	12,620	0	0	0	12,620
609	BNY MELLON EMERGING MKTS-M	05569M855		12/15/2009	8/27/2012	15,670		0	17,000	-1,330	0	0	0	-1,330
610	BNY MELLON EMERGING MKTS-M	05569M855		12/22/2009	8/27/2012	13,576		0	14,390	-814	0	0	0	-814
611	BNY MELLON EMERGING MKTS-M	05569M855		12/17/2010	8/27/2012	161,832		0	200 000	-38,368	0	0	0	-38,368
612	BNY MELLON EMERGING MKTS-M	05569M855		12/21/2010	8/27/2012	8,880		0	11,016	-2,136	0	0	0	-2,136
613	BNY MELLON EMERGING MKTS-M	05569M855		12/20/2011	8/27/2012	86,701		0	81,670	5,031	0	0	0	5,031
614	BNY MELLON INTERNATIONAL-M	05569M871		10/30/2002	5/9/2012	280,000		0	308,559	-28,559	0	0	0	-28,559
615	BNY MELLON INTERNATIONAL-M	05569M871		10/30/2002	8/27/2012	135,000		0	142,907	-7,907	0	0	0	-7,907
616	ISHARES L/T LOSS	999999999		1/1/2009	1/2/2012				121	-121	0	0	0	-121
617	CAPITAL IV LP LT GAIN	999999999		1/1/2009	1/2/2012	3,809			0	3,809	0	0	0	3,809
618	TUCKER S/T GAIN	999999999		1/1/2012	2/2/2012	303			0	303	0	0	0	303
619	TUCKER L/T GAIN	999999999		1/1/2009	1/2/2012	90,280			0	90,280	0	0	0	90,280
620	PARK VIII S/T GAIN	999999999		1/1/2012	2/2/2012	351			0	351	0	0	0	351
621	PARK VIII L/T GAIN	999999999		1/1/2009	1/1/2012	39,906			0	39,906	0	0	0	39,906
622	PARK IX S/T GAIN	999999999		1/1/2012	2/2/2012	1,283			0	1,283	0	0	0	1,283
623	PARK IX L/T GAIN	999999999		1/1/2009	1/1/2012	5 632			0	5,632	0	0	0	5,632

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
										73,468	0	0
1	BNY Mellon, N A		P O BOX 185	Pittsburgh	PA	15230-0185		TRUSTEE	SEE ATTAC	149,123	NONE	NONE
2	BNY Mellon, N A		P O BOX 185	Pittsburgh	40	15230-0185		FEE	REIMBURS	-75,655	N/A	N/A

Part VI, Line 6a (990-PF) - Estimated Tax Payments

		Date		Amount
1	Credit from prior year return		1	365
2	First quarter estimated tax payment		2	
3	Second quarter estimated tax payment		3	
4	Third quarter estimated tax payment		4	
5	Fourth quarter estimated tax payment	12/10/2012	5	11,600
6	Other payments		6	0
7	Total		7	11,965

Form **8868**

(Rev January 2013)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions LITTLE ROYAL-FAMILY FDN TR	Employer identification number (EIN) or 05-6016740
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions BNY Mellon, N A - P O BOX 185	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions Pittsburgh PA 15230-0185	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BNY MELLON, N A

Telephone No ▶ (617) 722-7772 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2013, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 2012 or

▶ [ ] tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 12,886
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 11,728
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 2,000

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization	Employer identification number (EIN) or
	LITTLE ROYAL-FAMILY FDN TR	05-6016740
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions	Social security number (SSN)
	BNY Mellon, N A - P O BOX 185	
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	Pittsburgh PA 15230-0185	

Enter the Return code for the return that this application is for (file a separate application for each return) . 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ BNY MELLON, N A
  Telephone No ▶ 412-234-8833 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box . ▶ [ ] If it is for part of the group, check this box . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2013

5 For calendar year 2012 , or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension Additional time is requested to acquire necessary information to file a complete and accurate return

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 10,309
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 13,728
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ EA Date ▶ 8-2-13