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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation NOVACK FAMILY FOUNDATION		A Employer identification number 04-6896914
Number and street (or P.O. box number if mail is not delivered to street address) C/O MINTZ, LEVIN; ONE FINANCIAL CTR	Room/suite	B Telephone number 617-488-2700
City or town, state, and ZIP code BOSTON, MA 02111		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,474,448.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B interest on savings and temporary cash investments					
3 Dividends and interest from securities		40,660.	40,660.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		89,494.			
b Gross sales price for all assets on line 6a 374,091.					
7 Capital gain net income (from Part IV, line 2)			89,494.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		77.	77.		STATEMENT 2
12 Total. Add lines 1 through 11		130,231.	130,231.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees STMT 3		54,881.	54,881.		0.
17 Interest					
18 Taxes STMT 4		301.	301.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		35.	35.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		55,217.	55,217.		0.
25 Contributions, gifts, grants paid		178,250.			178,250.
26 Total expenses and disbursements. Add lines 24 and 25		233,467.	55,217.		178,250.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<103,236.>			
b Net investment income (if negative, enter -0-)			75,014.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	65,781.	108,221.	108,221.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	168,273.	141,787.	151,869.
	b Investments - corporate stock STMT 7	631,457.	513,189.	717,728.
	c Investments - corporate bonds STMT 8	463,189.	462,267.	496,630.
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	1,328,700.	1,225,464.	1,474,448.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,323,882.	1,220,646.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,818.	4,818.	
30 Total net assets or fund balances	1,328,700.	1,225,464.		
31 Total liabilities and net assets/fund balances	1,328,700.	1,225,464.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,328,700.
2 Enter amount from Part I, line 27a	2	<103,236.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,225,464.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,225,464.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b SEE ATTACHED STATEMENTS					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e 374,091.		284,597.	89,494.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e			89,494.		
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	89,494.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	155,000.	1,651,924.	.093830
2010	109,200.	1,656,121.	.065937
2009	58,250.	1,527,839.	.038126
2008	56,000.	1,996,449.	.028050
2007	110,000.	2,288,554.	.048065
2 Total of line 1, column (d)			2 .274008
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .054802
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 1,506,592.
5 Multiply line 4 by line 3			5 82,564.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 750.
7 Add lines 5 and 6			7 83,314.
8 Enter qualifying distributions from Part XII, line 4			8 178,250.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	750.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	750.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	750.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		750.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RINET COMPANY, LLC Telephone no. ► 617-488-2700 Located at ► 101 FEDERAL ST., FL 14, BOSTON, MA ZIP+4 ► 02110			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► _____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH J. NOVACK 81 BEACON STREET BOSTON MA	TRUSTEE - AS	NEEDED		
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,478,640.
b	Average of monthly cash balances	1b	50,895.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,529,535.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,529,535.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	22,943.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,506,592.
6	Minimum investment return. Enter 5% of line 5	6	75,330.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	75,330.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	750.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	750.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	74,580.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	74,580.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	74,580.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	178,250.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	178,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	750.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	177,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				74,580.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010	26,394.			
e From 2011	72,404.			
f Total of lines 3a through e	98,798.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 178,250.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				74,580.
e Remaining amount distributed out of corpus	103,670.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	202,468.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	202,468.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010	26,394.			
d Excess from 2011	72,404.			
e Excess from 2012	103,670.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT	NONE	PUBLIC	UNRESTRICTED	178,250.
Total			3a	178,250.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

Signature of officer or trustee

Date 5/7/13

► FOUNDATION MGR
Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

KATHLEEN C. WALLACE

Kathleen Wallace

5/7/13

Firm's name ► **RINET COMPANY, LLC**

Firm's EIN ► 80-0031560

Firm's address ► 101 FEDERAL STREET, 14TH FL
BOSTON, MA 02110

Phone no. (617) 488-2700

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	200 COGNIZANT TECH SOLUTNS CL A	P	02/23/11	06/06/12
b	425 SOUTHWESTERN ENERGY CO COM	P	02/05/10	09/12/12
c	15 AOL INC COM	P	08/25/99	12/21/12
d	7,000 CONOCOPHILLIPS 4.75% 2/1/14	P	02/25/11	10/05/12
e	18,000 CONOCOPHILLIPS 4.75% 2/1/14	P	02/25/11	08/17/12
f	50 DU PONT E I DE NEMOURS COM	P	09/03/10	03/13/12
g	275 DU PONT E I DE NEMOURS COM	P	09/03/10	12/12/12
h	50 PROCTOR & GAMBLE CO COM	P	08/13/04	09/12/12
i	100 INTL EQTY IDX ALLWRLD EX US	P	12/08/08	05/11/12
j	100 INTL EQTY IDX ALLWRLD EX US	P	12/08/08	09/12/12
k	75 PEPSICO INC COM	P	08/18/04	06/13/12
l	25,000 TENNESSEE VALLEY AUTH 6% 3/15/13	P	08/18/06	02/07/12
m	50 MCDONALDS CORP COM	P	12/21/07	06/13/12
n	25,000 JPMORGAN CHASE & CO 3.70% 1/20/15	P	09/17/09	02/08/12
o	50 WABTEC CORP COM	P	12/16/08	03/13/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,097.		14,854.	<2,757.>
b 14,968.		17,721.	<2,753.>
c 448.		1,049.	<601.>
d 7,389.		7,285.	104.
e 19,048.		18,816.	232.
f 2,599.		2,124.	475.
g 12,246.		11,685.	561.
h 3,397.		2,719.	678.
i 4,123.		3,084.	1,039.
j 4,383.		3,084.	1,299.
k 5,125.		3,769.	1,356.
l 26,596.		25,229.	1,367.
m 4,412.		3,001.	1,411.
n 26,507.		24,978.	1,529.
o 3,778.		2,083.	1,695.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,757.>
b			<2,753.>
c			<601.>
d			104.
e			232.
f			475.
g			561.
h			678.
i			1,039.
j			1,299.
k			1,356.
l			1,367.
m			1,411.
n			1,529.
o			1,695.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	50 VISA INC.	P	08/27/08	06/13/12
b	50 VISA INC.	P	08/27/08	03/13/12
c	100 AMERICAN TOWER CORPORATION NEW	P	12/10/09	04/10/12
d	50 MOBIL CORP COM	P	03/22/02	09/12/12
e	175 JOHNSON & JOHNSON COM	P	10/12/01	09/12/12
f	375 WELLS FARGO & CO NEW COM	P	04/10/03	01/25/12
g	75 CERNIA CORP COM	P	02/10/10	03/13/12
h	100 ANSYS INC COM	P	01/29/08	04/10/12
i	75 EXXON MOBIL CORP COM	P	03/22/02	06/13/12
j	50 VISA INC.	P	08/27/08	09/12/12
k	100 AMERICAN TOWER CORPORATION NEW	P	12/10/09	09/12/12
l	50 INTERNATIONAL BUS MACH COM	P	05/07/08	03/13/12
m	100 WABTEC CORP COM	P	12/16/08	09/12/12
n	225 SOCIEDAD QUIMICA MINER SPON ADR SER B	P	08/07/09	04/10/12
o	175 CERNER CORP COM	P	02/10/10	05/11/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,799.		3,662.	2,137.
b 5,843.		3,662.	2,181.
c 6,231.		4,022.	2,209.
d 4,466.		2,171.	2,295.
e 11,903.		9,541.	2,362.
f 11,347.		8,767.	2,580.
g 5,697.		3,035.	2,662.
h 6,295.		3,592.	2,703.
i 6,088.		3,257.	2,831.
j 6,636.		3,662.	2,974.
k 7,167.		4,022.	3,145.
l 10,123.		6,249.	3,874.
m 8,238.		4,167.	4,071.
n 12,872.		8,213.	4,659.
o 14,074.		7,082.	6,992.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,137.
b			2,181.
c			2,209.
d			2,295.
e			2,362.
f			2,580.
g			2,662.
h			2,703.
i			2,831.
j			2,974.
k			3,145.
l			3,874.
m			4,071.
n			4,659.
o			6,992.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	775 ORACLE CORP COM	P	03/24/09	02/03/12
b	600 AKAMAI TECHNOLOGIES COM	P	05/20/09	08/27/12
c	125 PRAXAIR INC COM	P	08/22/03	12/28/12
d	125 AMAZON COM INC COM	P	08/07/09	02/09/12
e	100 GOLDMAN SACHS GROUP COM	P	01/25/12	07/25/12
f	.667 KRAFT FOODS GROUP INC COM	P	05/17/12	10/05/12
g	200 BORG WARNER INC COM	P	02/09/12	11/02/12
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	22,568.		13,819.	8,749.
b	22,289.		13,302.	8,987.
c	13,620.		4,101.	9,519.
d	22,845.		10,679.	12,166.
e	9,560.		10,676.	<1,116.>
f	31.		27.	4.
g	13,283.		15,408.	<2,125.>
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8,749.
b			8,987.
c			9,519.
d			12,166.
e			<1,116.>
f			4.
g			<2,125.>
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	89,494.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
ACCRUED INT ON BUYS	<922.>	0.	<922.>	
AMORTIZATION OF BOND PREMIUM	<8,228.>	0.	<8,228.>	
CHARLES SCHWAB	16,583.	0.	16,583.	
CHARLES SCHWAB	33,227.	0.	33,227.	
TOTAL TO FM 990-PF, PART I, LN 4	40,660.	0.	40,660.	

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MISC NON-DIV DISTRIBUTION	77.	77.		
TOTAL TO FORM 990-PF, PART I, LINE 11	77.	77.		

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES-APPLETON PARTNERS, INC.	7,679.	7,679.		0.
FINANCIAL/TAX FEES-RINET COMPANY, LLC	47,202.	47,202.		0.
TO FORM 990-PF, PG 1, LN 16C	54,881.	54,881.		0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	301.	301.		0.	
TO FORM 990-PF, PG 1, LN 18	301.	301.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MA FILING FEE	35.	35.		0.	
TO FORM 990-PF, PG 1, LN 23	35.	35.		0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED STATEMENT	X		141,787.	151,869.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			141,787.	151,869.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			141,787.	151,869.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT			513,189.	717,728.
TOTAL TO FORM 990-PF, PART II, LINE 10B			513,189.	717,728.

FORM 990-PF

CORPORATE BONDS

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENT	462,267.	496,630.
TOTAL TO FORM 990-PF, PART II, LINE 10C	462,267.	496,630.

Novack Family Foundation
E.I.N. 04-6896914
Form 990-PF
Y/E 12/31/12

Part II, Balance Sheet

Schedule of Investments

	(A) <u>Begin of</u> <u>Year BV</u>	(B) <u>End of</u> <u>Year BV</u>	(C) <u>FMV on</u> <u>12/31/2012</u>
<u>Line 10a: U.S. Government Obligations:</u>			
Fed Home Loan Bank 2 75% 12/12/14	25,037	25,025	26,200
Fed Farm Credit Bank 3 5% 3/28/16	35,978	35,187	35,254
Fed Farm Credit Bank 4 875% 1/17/17	26,546	26,260	29,282
Fed Home Loan Bank 5 25% 9/12/14	25,315	25,203	27,070
Fed Home Loan Bank 5% 12/21/15	30,147	30,113	34,063
Tennessee Valley Auth 6 00% 03/15/13	25,250	0	0
	<u>168,273</u>	<u>141,787</u>	<u>151,869</u>
<u>Line 10b: Corporate Stock:</u>			
Agrium Inc	5,805	5,805	14,981
AKAMAI Technologies	13,302	0	0
Amazon Com	10,679	0	0
American Tower Corp	20,111	12,067	23,181
Ansys Inc	17,962	14,370	26,939
AOL Inc	1,049	0	0
Apple Inc	13,660	13,660	39,913
Bank NS Halifax	16,040	16,040	17,364
BHP Billito Ltd	13,144	13,144	15,684
Caterpillar Inc	16,225	16,225	29,123
Cerner Corp	18,210	8,093	15,502
Cognizant Tech	14,854	0	0
Costco Whsl	14,939	14,939	19,746
E I duPont	13,809	0	0
Ecolab Inc	0	12,402	12,583
Edwards Lifesciences	20,157	20,157	20,288
Express Scripts Inc	8,921	8,921	16,200
Exxon Mobil Corp	17,371	11,943	23,802
Fastenal Co	19,305	19,305	20,993
Home Depot	0	18,384	20,101
IBM	28,119	21,871	33,521
Johnson & Johnson	21,221	11,680	14,020
JPMorgan Chase	22,134	22,134	21,985
Kraft Foods Group	0	5,679	6,411
McDonalds Corp	21,008	18,007	26,463
Mondelez International Corp	0	10,631	10,818
Oracle Corp	13,819	0	0
Pepsico	22,612	18,843	25,661
Perrigo Co	17,254	17,254	20,806
Praxair Inc	4,101	0	0
Proctor & Gamble	21,750	19,031	23,762
Qualcomm Inc	0	16,816	17,011
Royal Dutch Shell	12,740	12,740	12,066
Schlumberger LTD	13,689	13,689	24,255
Sociedad Quimica Miner Ser B	8,213	0	0

Novack Family Foundation**E.I.N. 04-6896914****Form 990-PF****Y/E 12/31/12****Part II, Balance Sheet****Schedule of Investments**

	(A) <u>Begin of</u> <u>Year BV</u>	(B) <u>End of</u> <u>Year BV</u>	(C) <u>FMV on</u> <u>12/31/2012</u>
Southwestern Energy Corp	17,721	0	0
SPDR Gold Trust	15,970	15,970	16,202
Time Warner Inc	15,215	15,215	8,370
Vanguard Int'l Equity Index All World	21,591	15,422	22,875
Vanguard Int'l Equity Index Emr Mkt	20,409	20,409	22,265
Verizon Communications	20,318	20,318	27,044
VISA Inc	23,804	12,817	26,527
WABTEC	16,693	10,443	28,451
Wells Fargo Company	17,534	8,767	12,818
	<u>631,457</u>	<u>513,189</u>	<u>717,728</u>

Line 10c: Corporate Bonds:

American Express 5 125% 8/25/14	26,205	25,761	26,784
Caterpillar Inc 5 70% 8/15/16	27,141	26,707	29,002
CISCO Sys Inc 5 50% 02/22/16	25,939	25,728	28,576
Conocophillips 4 75% 2/1/14	26,612	0	0
Exelon Generation Co 6 20% 10/1/17	28,412	27,866	29,523
Goldman Sachs Group 5 125% 1/15/15	25,668	25,457	26,857
Hewlett Packard Co 5 5% 3/1/18	27,855	27,430	27,039
JPMorgan Chase & Co 3 70% 1/20/15	24,978	0	0
JPMorgan Chase & Co 4 95% 3/25/20	0	26,891	28,998
Loews Cos 6 10% 9/15/17	27,478	27,084	30,357
Merck & Co 4 75% 3/1/15	19,259	19,479	21,802
Medtronic Inc 4 45% 3/15/20	0	28,144	29,040
Morgan Stanley 5 375% 10/15/15	40,899	40,676	43,487
Oracle Corp Ozark Hldg 5 25% 1/15/16	26,824	26,393	28,290
SBC Communications 5 10% 9/15/14	26,258	25,805	26,855
Target Corp 5 375% 5/1/17	26,633	26,351	29,428
Walgreen Co 5 25% 1/15/19	31,850	31,619	35,188
Wells Fargo Bk 4 75% 02/9/15	25,435	25,301	26,850
Wyeth 5 50% 02/25/16	25,744	25,576	28,555
	<u>463,189</u>	<u>462,267</u>	<u>496,630</u>

Novack Family Foundation**EIN: 04-6896914****2012 FORM 990-PF****Part XV, Line 3: Grants and Contributions Paid During the Year**

<u>Recipient</u>	<u>Relationship to Foundation Manager</u>	<u>Status</u>	<u>Contribution Purpose</u>	<u>Amount</u>
ACLUF of MA	None	Public	Unrestricted	1,000
Adoption & Foster Care Mentoring	None	Public	Unrestricted	2,000
American Jewish Committee	None	Public	Unrestricted	1,000
Artists for Humanity	None	Public	Unrestricted	5,000
Bend The Arc	None	Public	Unrestricted	1,000
Big Apple Circus	None	Public	Unrestricted	2,500
Boston Foundation Civic Leadership Fund	None	Public	Unrestricted	250
Boston Medical Center	None	Public	Unrestricted	29,000
Camp Starfish	None	Public	Unrestricted	5,000
Children of Chernobyl	None	Public	Unrestricted	1,000
Christians for Fair Witness	None	Public	Unrestricted	10,000
Elizabeth Stone House	None	Public	Unrestricted	250
Facing History & Ourselves	None	Public	Unrestricted	10,000
Franciscan Hospital for Children	None	Public	Unrestricted	25,000
Friends of Mary Lynn School	None	Public	Unrestricted	2,500
Greater Boston Food Bank	None	Public	Unrestricted	1,000
Hebrew Senior Life	None	Public	Unrestricted	11,000
Home for Little Wanderers	None	Public	Unrestricted	1,000
ITISMY org	None	Public	Unrestricted	2,500
Jewish Big Brothers Big Sisters	None	Public	Unrestricted	1,500
Lenny Zakim Fund	None	Public	Unrestricted	1,250
Lynn Wellness & Recovery Foundation	None	Public	Unrestricted	2,500
Maritime Gloucester	None	Public	Unrestricted	1,000
North Shore United Way	None	Public	Unrestricted	1,000
Pathways for Children	None	Public	Unrestricted	10,250
Project Bread	None	Public	Unrestricted	250
Rockport Music	None	Public	Unrestricted	12,500
The Smile Train	None	Public	Unrestricted	1,000
St Egbert Catholic School	None	Public	Unrestricted	2,500
Temple Shalom	None	Public	Unrestricted	1,000
Thatcher Island Association	None	Public	Unrestricted	1,000
The Gloucester Adventure	None	Public	Unrestricted	10,000
The MacDonald Defense Fund	None	Public	Unrestricted	2,000
The Israel Project	None	Public	Unrestricted	10,000
The Schwartz Center	None	Public	Unrestricted	1,000
Tufts Medical Center	None	Public	Unrestricted	5,000
W&L Hillel House	None	Public	Unrestricted	1,000
WW II Foundation	None	Public	Unrestricted	2,500
Total Contributions				<u>178,250</u>