NORWOTTOCK 07/25/2013 3 46 PM

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation: NORWOTTOCK CHARITABLE TRUST

A Employer identification number: 04-6561597

Number and street (or P O box number if mail is not delivered to street address): 2440 NORTH LAKEVIEW AVENUE, #15A

Room/suite:

B Telephone number (see instructions): 773-404-5566

City or town, state, and ZIP code: CHICAGO IL 60614

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 740,142

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

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Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	27	27		
	4	Dividends and interest from securities	17,974	17,974		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-11,890			
	b	Gross sales price for all assets on line 6a 112,809				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) Stmt 1	57			
	12	**Total.** Add lines 1 through 11	6,168	18,001	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	3,500			3,500
	c	Other professional fees (attach schedule) Stmt 3	4,451	4,451		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) Stmt 4	578	283		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,529	4,734	0	3,500
	25	Contributions, gifts, grants paid	77,130			77,130
	26	**Total expenses and disbursements.** Add lines 24 and 25	85,659	4,734	0	80,630
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-79,491			
	b	**Net investment income** (if negative, enter -0-)		13,267		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	50,091	-360	-360
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) See Stmt 5	449,726	416,011	591,525
	c	Investments – corporate bonds (attach schedule) See Stmt 6	84,861	102,250	106,757
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) See Statement 7	50,831	38,123	42,220
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	635,509	556,024	740,142
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	635,509	556,024	
	30	**Total net assets or fund balances** (see instructions)	635,509	556,024	
	31	**Total liabilities and net assets/fund balances** (see instructions)	635,509	556,024	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	635,509
2	Enter amount from Part I, line 27a	2	-79,491
3	Other increases not included in line 2 (itemize) ▶ See Statement 8	3	6
4	Add lines 1, 2, and 3	4	556,024
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	556,024

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Worksheet			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-11,890
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-742

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	141,487	778,082	0.181841
2010	77,125	721,413	0.106908
2009	105,321	566,698	0.185850
2008	118,623	764,277	0.155209
2007	118,675	790,827	0.150064

2	**Total** of line 1, column (d)	2	0.779872
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.155974
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	750,863
5	Multiply line 4 by line 3	5	117,115
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	133
7	Add lines 5 and 6	7	117,248
8	Enter qualifying distributions from Part XII, line 4	8	80,630

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	265
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	265
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	265
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a			
b	Exempt foreign organizations – tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	265
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ ADELE SIMMONS Telephone no ▶ 773-404-5566
2440 NORTH LAKEVIEW AVENUE #15A
Located at ▶ CHICAGO IL ZIP+4 ▶ 60614

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN L. SIMMONS CHICAGO 2440 NORTH LAKEVIEW AVENUE #15A IL 60614	TRUSTEE 0.50	0	0	0
ADELE SMITH SIMMONS CHICAGO 2440 NORTH LAKEVIEW AVENUE #15A IL 60614	TRUSTEE 0.50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	733,691
b	Average of monthly cash balances	1b	28,606
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	762,297
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	762,297
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	11,434
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	750,863
6	**Minimum investment return.** Enter 5% of line 5	6	37,543

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	37,543
2a	Tax on investment income for 2012 from Part VI, line 5	2a	265		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	265
3	Distributable amount before adjustments Subtract line 2c from line 1			3	37,278
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	37,278
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	37,278

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	80,630
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	80,630
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	80,630

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					37,278
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only					
b Total for prior years 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2012					
a From 2007	84,475				
b From 2008	80,543				
c From 2009	80,864				
d From 2010	41,404				
e From 2011	141,775				
f **Total** of lines 3a through e		429,061			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 80,630					
a Applied to 2011, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2012 distributable amount					37,278
e Remaining amount distributed out of corpus		43,352			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		472,413			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		84,475			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		387,938			
10 Analysis of line 9					
a Excess from 2008	80,543				
b Excess from 2009	80,864				
c Excess from 2010	41,404				
d Excess from 2011	141,775				
e Excess from 2012	43,352				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a *List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))*

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

JOHN AND ADELE SIMMONS 773-404-5566
2440 NORTH LAKEVIEW AVE #15A CHICAGO IL 60614

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT	NONE	501(C)(3)	UNRESTRICTED	77,130
Total			▶ 3a	77,130
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	27	
4 Dividends and interest from securities			14	17,974	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-11,890	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b CLASS ACTION LEGAL SETTLEMENT			1	57	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		6,168	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 6,168

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Adam Simmons | 8/29/13 | Trustee

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
Robert G. Bernstein		07/25/13	
Firm's name ▶ Old Greenwich Financial, Inc.		PTIN	P00365707
Firm's address ▶ 5214 N. Lakewood Ave Chicago, IL 60640		Firm's EIN ▶	06-1546854
		Phone no	773-271-9551

NORWOTTOCK 07/25/2013 3 46 PM

Form 990-PF **Capital Gains and Losses for Tax on Investment Income** **2012**

For calendar year 2012, or tax year beginning , and ending

Name: NORWOTTOCK CHARITABLE TRUST

Employer Identification Number: 04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	50 PRUDENTIAL FINL INC	P	02/07/11	01/27/12
(2)	0.57 T ROWE PRICE INTERNATIONAL BON	P	06/28/11	03/30/12
(3)	0.41 MFS EMERGING MKTS DEBT FUND	P	06/28/11	03/30/12
(4)	100 PRUDENTIAL FINL INC	P	12/23/10	01/27/12
(5)	1997.84 PIMCO COMMODITY REAL RETURN	P	12/27/10	03/15/12
(6)	1 SPDR GOLD TR GOLD SHS ETF	P	03/24/10	01/11/12
(7)	1 SPDR GOLD TR GOLD SHS ETF	P	03/24/10	02/24/12
(8)	1 SPDR GOLD TR GOLD SHS ETF	P	03/24/10	03/13/12
(9)	100 CYPRESS SEMICONDUCTOR	P	04/09/12	08/21/12
(10)	18.649 T ROWE PRICE INTERNATIONAL BD	P	Various	08/03/12
(11)	100 JOHNSON & JOHNSON	P	12/21/11	04/24/12
(12)	100 APACHE CORP	P	03/24/10	04/24/12
(13)	100 CELANESE CORP	P	12/23/10	08/03/12
(14)	100 DOVER CORP	P	12/23/10	07/27/12
(15)	200 ISHARES MSCI AUSTRALIA INDEX	P	03/24/10	05/08/12

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	2,819		3,194	-375
(2)	6		6	
(3)	6		6	
(4)	5,638		5,936	-298
(5)	13,805		18,520	-4,715
(6)	3		2	1
(7)	3		2	1
(8)	3		2	1
(9)	1,281		1,426	-145
(10)	184		183	1
(11)	6,360		6,474	-114
(12)	9,144		10,258	-1,114
(13)	3,824		4,088	-264
(14)	5,306		5,866	-560
(15)	4,530		4,770	-240

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-375
(2)				
(3)				
(4)				-298
(5)				-4,715
(6)				1
(7)				1
(8)				1
(9)				-145
(10)				1
(11)				-114
(12)				-1,114
(13)				-264
(14)				-560
(15)				-240

Form 990-PF

Capital Gains and Losses for Tax on Investment Income

2012

For calendar year 2012, or tax year beginning , and ending

Name	Employer Identification Number
NORWOTTOCK CHARITABLE TRUST	04-6561597

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	200 ISHARES MSCI CANADA INDEX	P	03/24/10	08/03/12
(2)	250 JPMORGAN CHASE	P	Various	Various
(3)	100 LABORATORY CORP AMER HLDGS	P	12/23/10	08/03/12
(4)	100 MICROSOFT CORP	P	Various	11/20/12
(5)	100 T ROWE PRICE GROUP	P	12/23/10	04/24/12
(6)	2497 T ROWE PRICE INTERNATIONAL BD	P	06/28/11	08/03/12
(7)	SPDR GOLD TRUST	P	Various	12/31/12
(8)	7 CALL ISHARES TRUST S&P 11/17/12	P	11/19/12	08/21/12
(9)	4 CALL SPDR S&P MIDCAP 400 09/22/12	P	09/18/12	05/23/12
(10)	BANK OF AMERICA			
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	5,294		5,518	-224
(2)	8,429		10,567	-2,138
(3)	8,756		8,814	-58
(4)	2,671		3,263	-592
(5)	6,224		6,442	-218
(6)	24,670		25,694	-1,024
(7)	24		24	
(8)	1,015			1,015
(9)	2,520		3,644	-1,124
(10)	294			294
(11)				
(12)				
(13)				
(14)				
(15)				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-224
(2)				-2,138
(3)				-58
(4)				-592
(5)				-218
(6)				-1,024
(7)				
(8)				1,015
(9)				-1,124
(10)				294
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
CLASS ACTION LEGAL SETTLEMENT	$ 57	$	$
Total	$ 57	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX PREPARATION FEES	$ 3,500	$	$	$ 3,500
Total	$ 3,500	$ 0	$ 0	$ 3,500

Statement 3 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	$ 4,451	$ 4,451	$	$
Total	$ 4,451	$ 4,451	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	$ 283	$ 283	$	$
FEDERAL INCOME TAXES	295			
Total	$ 578	$ 283	$ 0	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED STATEMENT	$ 449,726	$ 416,011	Cost	$ 591,525
Total	$ 449,726	$ 416,011		$ 591,525

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED	$ 84,861	$ 102,250	Cost	$ 106,757
Total	$ 84,861	$ 102,250		$ 106,757

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
ALTERNATIVE STRATEGIES-MUTUAL FUNDS	$	$ 32,839		$ 34,119
COMMODITIES	23,824	5,284	Cost	8,101
PUBLIC REIT'S	27,007		Cost	
Total	$ 50,831	$ 38,123		$ 42,220

Federal Statements

Statement 8 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
ROUNDING	$ 6
Total	$ 6

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

UBS Bank USA deposit account balances are included in the Cash and money balances value are insured by the FDIC within applicable limits, but are not protected by SIPC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
UBS BANK USA DEP ACCT	23,238 79	9,744 40					250,000 00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ABBOTT LABS Symbol ABT Exchange NYSE EAI $56 Current yield 0 85%	Jul 15, 08	100 000	58 028	5,802 89	65 500	6,550 00	747 11	LT
ACCENTURE PLC IRELAND CL A Symbol ACN Exchange NYSE EAI $162 Current yield 2 44%	Aug 30, 11	85 000	54 034	4,592 90	66 500	5,652 50	1,059 60	LT
	Dec 16, 11	15 000	53 540	803 10	66 500	997 50	194 40	LT
Security total		100 000	53 960	5,396 00		6,650 00	1,254 00	
AMAZON COM INC Symbol AMZN Exchange OTC	Mar 24, 10	50 000	127 985	6,399 25	250 870	12,543 50	6,144 25	LT
AMERICAN TOWER CORP REIT Symbol AMT Exchange NYSE EAI $96 Current yield 1 24%	Dec 23, 10	100 000	50 565	5,056 50	77 270	7,727 00	2,670 50	LT
AMGEN INC Symbol AMGN Exchange OTC EAI $141 Current yield 2 18%	Dec 15, 08	75 000	57 844	4,338 37	86 200	6,465 00	2,126 63	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CHEVRON CORP								
Symbol CVX Exchange NYSE								
EAI $540 Current yield 3 33%	Dec 9, 08	100 000	76 430	7,643 00	108 140	10,814 00	3,171 00	LT
	Dec 21, 11	50 000	104 565	5,228 25	108 140	5,407 00	178 75	LT
Security total		150 000	85 808	12,871 25		16,221 00	3,349 75	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI $168 Current yield 2 85%	Dec 21, 11	300 000	17 918	5,375 49	19 649	5,894 70	519 21	LT
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $372 Current yield 2 37%	Jun 21, 06	125 000	60 327	7,540 96	104 540	13,067 50	5,526 54	LT
	Jul 24, 06	25 000	62 301	1,557 53	104 540	2,613 50	1,055 97	LT
Security total		150 000	60 657	9,098 49		15,681 00	6,582 51	
CYPRESS SEMICONDUCTOR CP								
Symbol CY Exchange OTC								
EAI $484 Current yield 4 06%	Apr 9, 12	1,078 000	14 148	15,251 86	10 840	11,685 52	-3,566 34	ST
	Apr 9, 12	22 000	14 147	311 25	10 840	238 48	-72 77	ST
Security total		1,100 000	14 148	15,563 11		11,924 00	-3,639 11	
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI $20 Current yield 0 18%	Jun 27, 03	200 000	17 097	3,419 50	55 900	11,180 00	7,760 50	LT
EMC CORP MASS								
Symbol EMC Exchange NYSE	Jul 22, 09	400 000	14 397	5,758 92	25 300	10,120 00	4,361 08	LT
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAI $246 Current yield 2 58%	Dec 21, 11	150 000	53 955	8,093 25	63 470	9,520 50	1,427 25	LT
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $630 Current yield 4 36%	Jan 8, 92	700 000	1 585	1,110 08	20 620	14,434 00	13,323 92	LT
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $255 Current yield 1 77%	May 1, 08	75 000	123 532	9,264 95	191 550	14,366 25	5,101 30	LT

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
KRAFT FOODS GROUP INC								
Symbol KRFT Exchange OTC								
EAI $100 Current yield 4 40%	Dec 21, 11	50 000	39 115	1,955 78	45 470	2,273 50	317 72	LT
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI $128 Current yield 1 80%	Mar 24, 10	200 000	24 470	4,894 00	35 520	7,104 00	2,210 00	LT
MCKESSON CORP								
Symbol MCK Exchange NYSE								
EAI $80 Current yield 0 83%	Mar 24, 10	100 000	64 185	6,418 50	96 960	9,696 00	3,277 50	LT
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $299 Current yield 3 44%	Jun 27, 03	325 000	25 750	8,368 75	26 709	8,680 42	311 67	LT
MONDELEZ INTL INC								
Symbol MDLZ Exchange OTC								
EAI $78 Current yield 2 04%	Dec 21, 11	150 000	24 291	3,643 72	25 453	3,817 95	174 23	LT
MYLAN INC								
Symbol MYL Exchange OTC	Dec 23, 10	200 000	21 435	4,287 00	27 450	5,490 00	1,203 00	LT
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $270 Current yield 2 82%	Jul 22, 09	125 000	70 280	8,785 00	76 610	9,576 25	791 25	LT
ORACLE CORP								
Symbol ORCL Exchange OTC								
EAI $72 Current yield 0 72%	Jul 22, 09	300 000	21 757	6,527 19	33 320	9,996 00	3,468 81	LT
PARKER HANNIFIN CORP								
Symbol PH Exchange NYSE								
EAI $164 Current yield 1 93%	Jul 22, 09	100 000	47 223	4,722 33	85 060	8,506 00	3,783 67	LT
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $430 Current yield 3 14%	Feb 4, 04	125 000	48 160	6,020 00	68 430	8,553 75	2,533 75	LT
	Aug 6, 04	50 000	51 161	2,558 08	68 430	3,421 50	863 42	LT
	Nov 9, 07	25 000	73 220	1,830 50	68 430	1,710 75	-119 75	LT
Security total		200 000	52 043	10,408 58		13,686 00	3,277 42	

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PNC FINANCIAL SERVICES GROUP Symbol PNC Exchange NYSE EAI $320 Current yield 2 74%	Jul 22, 09	200 000	36 917	7,383 46	58 310	11,662 00	4,278 54	LT
PRAXAIR INC Symbol PX Exchange NYSE EAI $165 Current yield 2 01%	Apr 7, 05	50 000	48 080	2,404 00	109 450	5,472 50	3,068 50	LT
	Nov 9, 07	25 000	85 090	2,127 25	109 450	2,736 25	609 00	LT
Security total		75 000	60 417	4,531 25		8,208 75	3,677 50	
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $450 Current yield 3 31%	Mar 7, 06	125 000	60 429	7,553 67	67 890	8,486 25	932 58	LT
	Dec 23, 10	75 000	65 225	4,891 88	67 890	5,091 75	199 87	LT
Security total		200 000	62 228	12,445 55		13,578 00	1,132 45	
QUALCOMM INC Symbol QCOM Exchange OTC EAI $100 Current yield 1 62%	Apr 1, 04	100 000	33 080	3,308 00	61 859	6,185 90	2,877 90	LT
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB Exchange NYSE EAI $138 Current yield 1 59%	Dec 23, 03	125 000	27 010	3,376 25	69 298	8,662 25	5,286 00	LT
SEMPRA ENERGY Symbol SRE Exchange NYSE EAI $240 Current yield 3 38%	Mar 24, 10	100 000	49 515	4,951 50	70 940	7,094 00	2,142 50	LT
SOUTHERN CO Symbol SO Exchange NYSE EAI $196 Current yield 4 58%	Mar 19, 09	100 000	30 097	3,009 77	42 810	4,281 00	1,271 23	LT
TARGET CORP Symbol TGT Exchange NYSE EAI $216 Current yield 2 43%	Dec 21, 11	150 000	51 774	7,766 18	59 170	8,875 50	1,109 32	LT
UNTD TECHNOLOGIES CORP Symbol UTX Exchange NYSE EAI $321 Current yield 2 61%	Jul 6, 06	100 000	64 261	6,426 18	82 010	8,201 00	1,774 82	LT
	Oct 19, 06	50 000	65 037	3,251 88	82 010	4,100 50	848 62	LT
Security total		150 000	64 520	9,678 06		12,301 50	2,623 44	

continued next page

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $234 Current yield 2 44%	May 8, 09	200 000	19 475	3,895 02	31 940	6,388 00	2,492 98	LT
	Jul 22, 09	100 000	19 089	1,908 99	31 940	3,194 00	1,285 01	LT
Security total		300 000	19 347	5,804 01		9,582 00	3,777 99	
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol DIS Exchange NYSE								
EAI $131 Current yield 1 50%	Sep 24, 08	175 000	32 044	5,607 70	49 790	8,713 25	3,105 55	LT
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI $134 Current yield 2 02%	Dec 21, 11	100 000	58 285	5,828 50	66 400	6,640 00	811 50	LT
Total				**$231,249.13**		**$333,887.22**	**$102,638.09**	

Total estimated annual income: $7,436

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES TRUST CORE S&P									
SMALL-CAP ETF									
Symbol IJR									
Trade date Jun 27, 03	500 000	36 653	18,326 67	18,326 67	78 100	39,050 00	20,723 33		LT
Trade date Nov 9, 07	50 000	65 756	3,287 82	3,287 82	78 100	3,905 00	617 18		LT
Trade date May 7, 09	150 000	44 230	6,634 50	6,634 50	78 100	11,715 00	5,080 50		LT
EAI $905 Current yield 1 66%									
Security total	700 000	40 356	28,248 99	28,248 99		54,670 00	26,421 01	26,421 01	
ISHARES COHEN & STEERS REALTY									

continued next page

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
MAJORS INDEX FUND									
Symbol ICF									
Trade date. Dec 23, 10	200 000	64 725	12,945 00	12,945 00	78 540	15,708 00	2,763 00		LT
Trade date Jun 28, 11	100 000	71 375	7,137 50	7,137 50	78 540	7,854 00	716 50		LT
Trade date Dec 21, 11	100 000	69 245	6,924 50	6,924 50	78 540	7,854 00	929 50		LT
EAI $950 Current yield 3 02%									
Security total	400 000	67 518	27,007 00	27,007 00		31,416 00	4,409 00	4,409 00	
ISHARES MSCI EAFE INDEX FUND									
Symbol EFA									
Trade date Dec 23, 03	800 000	44 066	35,253 33	35,253 33	56 860	45,488 00	10,234 67	10,234 67	LT
EAI $1,407 Current yield 3 09%									
ISHARES MSCI EMERGING MARKETS INDEX FUND									
Symbol EEM									
Trade date Jul 22, 09	750 000	34 750	26,062 50	26,062 50	44 350	33,262 50	7,200 00		LT
Trade date Mar 24, 10	250 000	41 140	10,285 00	10,285 00	44 350	11,087 50	802 50		LT
Trade date Dec 23, 10	150 000	46 580	6,987 00	6,987 00	44 350	6,652 50	-334 50		LT
Trade date Jun 28, 11	50 000	46 485	2,324 25	2,324 25	44 350	2,217 50	-106 75		LT
EAI $894 Current yield 1 68%									
Security total	1,200 000	38 049	45,658 75	45,658 75		53,220 00	7,561 25	7,561 25	
SPDR S&P MIDCAP 400 ETF TR									
Symbol MDY									
Trade date Oct 28, 03	225 000	98 760	22,221 00	22,221 00	185 710	41,784 75	19,563 75		LT
Trade date Nov 9, 07	175 000	156 984	27,472 30	27,472 30	185 710	32,499 25	5,026 95		LT
EAI $848 Current yield 1 14%									
Security total	400 000	124 233	49,693 30	49,693 30		74,284 00	24,590 70	24,590 70	
Total			**$185,861.37**	**$185,861.37**		**$259,078.00**	**$73,216.63**	**$73,216.63**	

Total estimated annual income. $5,004

Your assets › Equities (continued)

Short options

Holding	Trade date	Number of contracts	Sale price per contract ($)	Sale proceeds ($)	Price per contract on Dec 31 ($)	Contract premium ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CALL SPDR S&P MIDCAP 400									
DUE 03/16/13 190 000									
Expires Mar 13									
Symbol MDY	Dec 05, 12	-4 000	2 749	-1,099 97	360 000	3 600	-1,440 00	-340 03	ST

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

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Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ISHARES BARCLAYS 1-3 YR CD BD									
FD									
Symbol CSJ									
Trade date Aug 3, 12	100 000	104 936	10,493 65	10,493 65	105 480	10,548 00	54 35	54 35	ST
EAI $165 Current yield 1 56%									
ISHARES BARCLAYS INTER CR BD									
FD									
Symbol CIU									
Trade date May 8, 12	100 000	109 340	10,934 00	10,934 00	111 290	11,129 00	195 00	195 00	ST
EAI $351 Current yield 3 15%									
ISHARES IBOXX HIGH YIELD CORP									
BOND									
Symbol HYG									
Trade date Jun 28, 11	250 000	89 773	22,443 25	22,443 25	93 350	23,337 50	894 25		LT
Trade date Dec 21, 11	100 000	88 445	8,844 50	8,844 50	93 350	9,335 00	490 50		LT
EAI $2,156 Current yield 6 60%									

continued next page

Your assets › Fixed income › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	350 000	89 394	31,287 75	31,287 75		32,672 50	1,384 75	1,384 75	
Total			**$52,715.40**	**$52,715.40**		**$54,349.50**	**$1,634.10**	**$1,634.10**	

Total estimated annual income: $2,672

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

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Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EATON VANCE GLOBAL MACRO									
ABSOLUTE RETURN									
FUND A									
Symbol EAGMX									
Trade date Apr 9, 12	2,004 008	9 980	20,000 00	20,000 00	9 840	19,719 43	-280 57		ST
Total reinvested	46 633	9 849		459 30	9 840	458 87	-0 43		
EAI $734 Current yield 3 64%									
Security total	2,050 641	9 977	20,000 00	20,459 30		20,178 30	-281 00	178 30	
MFS EMERGING MARKETS									
DEBT FUND CLASS I									
Symbol MEDIX									
Trade date Jun 28, 11	1,897 000	14 690	27,866 93	27,866 93	16 330	30,978 01	3,111 08		LT
Total reinvested	76 639	15 768		1,208 52	16 330	1,251 51	42 99		
EAI $1,581 Current yield 4 91%									
Security total	1,973 639	14 732	27,866 93	29,075 45		32,229 52	3,154 07	4,362 59	
Total			**$47,866 93**	**$49,534.75**		**$52,407.82**	**$2,873.07**	**$4,540.89**	

Total estimated annual income: $2,315

Your assets (continued)

Alternative strategies

Mutual funds

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Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ARBITRAGE FUND NO LOAD									
CLASS									
Symbol ARBFX									
Trade date Aug 3, 12	389 105	12 850	5,000 00	5,000 00	12 550	4,883 27	-116 73		ST
Trade date Sep 4, 12	195 008	12 819	2,500 00	2,500 00	12 550	2,447 35	-52 65		ST
Total reinvested	16 527	12 459		205 92	12 550	207 41	1 49		
EAI $57 Current yield 0 76%									
Security total	600 640	12 830	7,500 00	7,705 92		7,538 03	-167 89	38 03	
CALAMOS MARKET NEUTRAL									
INCOME FUND CLASS A									
Symbol CVSIX									
Trade date Jul 27, 12	396 197	12 619	5,000 00	5,000 00	12 650	5,011 89	11 89		ST
Total reinvested	2 819	12 735		35 90	12 650	35 66	-0 24		
EAI $60 Current yield 1 19%									
Security total	399 016	12 621	5,000 00	5,035 90		5,047 55	11 65	47 55	
MAINSTAY MARKETFIELD									
FUND CLASS I									
Symbol MFLDX									
Trade date Apr 9, 12	1,353 180	14 779	20,000 00	20,000 00	15 840	21,434 36	1,434 36		ST
Total reinvested	6 253	15 509		96 98	15 840	99 05	2 07		
Security total	1,359 433	14 783	20,000 00	20,096 98		21,533 41	1,436 43	1,533 41	
Total			**$32,500.00**	**$32,838 80**		**$34,118.99**	**$1,280.19**	**$1,618.99**	

Total estimated annual income· $117

Your assets (continued)

Broad commodities

Closed end funds & Exchange traded products

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Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
SPDR GOLD TRUST									
Symbol GLD									
Trade date Mar 24, 10	50 000	105 673	5,283 67	5,283 67	162 020	8,101 00	2,817 33	2,817 33	LT

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**9,744.40**	**1.30%**	**9,744.40**		
Equities	Common stock	333,887 22		231,249 13	7,436 00	102,638 09
	Closed end funds & Exchange traded products	259,078 00		185,861 37	5,004 00	73,216 63
	Short options	-1,440 00		-1,099 97		-340 03
	Total equities	**591,525.22**	**78.84%**	**416,010.53**	**12,440.00**	**175,514.69**
Fixed income	Closed end funds & Exchange traded products	54,349 50		52,715 40	2,672 00	1,634 10
	Mutual funds	52,407 82		49,534 75	2,315 00	2,873 07
	Total fixed income	**106,757.32**	**14.23%**	**102,250.15**	**4,987.00**	**4,507.17**
Alternative strategies	**Mutual funds**	**34,118.99**	**4.55%**	**32,838 80**	**117.00**	**1,280 19**
Broad commodities	**Closed end funds & Exchange traded products**	**8,101.00**	**1.08%**	**5,283.67**		**2,817.33**
Total		**$750,246.93**	**100.00%**	**$566,127.55**	**$17,544.00**	**$184,119.38**

Norwottock Charitable Trust
Contributions Paid 12-31-12
2012 Form 990-PF, Page 11, Part XV, Line 3a

Name	Paid Amount
Contributions Paid	
Adlai Stevenson Center on Democracy	1,000.00
All Stars Project of Chicago	250.00
American Academy of Arts & Sciences	250.00
Arizona Center for Integrative Medicine	2,500.00
Art Institute	2,500 00
Bulletin for Atomic Scientists	500.00
Center for International Policy	250.00
Center for Neighborhood Technology	250.00
Center On Budget & Policy Priorities	100.00
CERES	1,000 00
Chicago Council on Global Affairs	500.00
Chicago Council on Global Affairs	1,560.00
Chicago History Museum	1,000.00
Chicago Humanities Festival	2,500.00
Chicago Humanities Festival	700.00
Chicago Symphony Orchestra	1,500.00
Council on Foreign Relations	150.00
Delta Institute	1,000.00
Delta Institute	250.00
Demos	5,000.00
Environmental Defense Fund	500.00
Environmental Law & Policy Center	250.00
Field Museum	1,500 00
Field Museum	1,500.00
Foundation for National Progress	2,500.00
Fractured Atlas	1,700.00
Garrison Forest School	50.00
Global Fund for Women	500.00
Global Justice Center	200.00
Hampshire College	10,000.00
Hampshire College	250.00
Harvard University	1,200.00
Health & Medicine Policy Research Group	100 00
Institute for Policy Studies	150.00
Interfaith Worker Justice	100.00
Interfaith Youth Core	100 00
International Crane Foundation	500 00
Lake Tanganyika Floating Health Clinic	250.00
Lincoln Park Conservancy	50.00
Lyric Opera of Chicago	2,500.00
Lyric Opera of Chicago	2,000.00
Mad Shak Dance Company	250.00
Metropolitan Opera	100.00
Mexican Fine Arts Museum	500.00
Mother Jones	870.00
Museum of Science & Industry	250.00
Newberry Library	500 00
Openlands Project	250.00
Operation Crossroads Africa	100.00
Peggy Notebaert Museum	1,000.00
Rocky Mountain Institute	1,500 00
Strategic Learning Initiatives	2,000 00
Synergos Institute	2,100 00
The Chicago Council on Global Affairs	10,000.00

All receipients are Section 501)(c)(3) organizations.

Norwottock Charitable Trust
Contributions Paid 12-31-12
2012 Form 990-PF, Page 11, Part XV, Line 3a

Name	Paid Amount
The McCarter Fund	5,000.00
Truthout	50.00
Union of Concerned Scientists	2,500.00
WFMT Fine Arts Station	150 00
Women's Global Education Project	500.00
Women's Global Education Project	150.00
WTTW Channel 11	700.00
Total Contributions Paid	77,130.00
TOTAL	**77,130.00**

All receipients are Section 501)(c)(3) organizations.