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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 2012 and ending 20

Name of foundation: HORACE A MOSES UA BO CT VLY HIST

A Employer identification number: 04-6193445

Number and street (or P O box number if mail is not delivered to street address): P O BOX 1802

Room/suite:

B Telephone number (see instructions): 888- 866-3275

City or town state and ZIP code: PROVIDENCE, RI 02901-1802

C If exemption application is pending check here ▶ ☐

D 1 Foreign organizations check here ▶ ☐

2 Foreign organizations meeting the 85% test check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II col (c) line 16)* ▶ $ 256,097

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ______________________
(Part I column (d) must be on cash basis)

Part I	**Analysis of Revenue and Expenses** *(The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts grants etc received (attach schedule)				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	5,729	5,628		STMT 1
5a	Gross rents				
b	Net rental income or (loss) ________				
6a	Net gain or (loss) from sale of assets not on line 10	20,967.			
b	Gross sales price for all assets on line 6a 325,894				
7	Capital gain net income (from Part IV line 2)		20,967		
8	Net short term capital gain				
9	Income modifications				
10 a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	3	8		STMT 2
12	**Total** Add lines 1 through 11	26,699.	26,603		
Operating and Administrative Expenses 13	Compensation of officers directors trustees etc	1,291	775		516
14	Other employee salaries and wages				
15	Pension plans employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 3	800	NONE	NONE	800
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 4	1,013	56		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel conferences and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) STMT 5	35.	52		35
24	**Total operating and administrative expenses** Add lines 13 through 23	3,139.	883	NONE	1,351
25	Contributions gifts grants paid	13,400.			13,400
26	**Total expenses and disbursements** Add lines 24 and 25	16,539.	883	NONE	14,751
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	10,160.			
b	**Net investment income** (if negative enter 0)		25,720		
c	**Adjusted net income** (if negative enter 0)				

JSA **For Paperwork Reduction Act Notice see instructions**
2E1410 1 000

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash non interest bearing	NONE		
	2	Savings and temporary cash investments	9,201	14,037	14,037
	3	Accounts receivable ▶ ________________________			
		Less allowance for doubtful accounts ▶ ______________			
	4	Pledges receivable ▶ ________________________			
		Less allowance for doubtful accounts ▶ ______________			
	5	Grants receivable			
	6	Receivables due from officers directors trustees and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ______			
		Less allowance for doubtful accounts ▶ ______________	NONE		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments U S and state government obligations (attach schedule)	NONE		
	b	Investments corporate stock (attach schedule) **STMT 6**	231,772	216,546	221,892
	c	Investments corporate bonds (attach schedule)	NONE		
	11	Investments land buildings and equipment basis ▶ ____________________			
		Less accumulated depreciation (attach schedule) ▶ ____________________	NONE		
	12	Investments mortgage loans	NONE		
	13	Investments other (attach schedule) **STMT 7**	NONE	20,826	20,168
	14	Land buildings and equipment basis ▶ ____________________			
		Less accumulated depreciation (attach schedule) ▶ ____________________			
	15	Other assets (describe ▶ ______________________)	NONE		
	16	**Total assets** (to be completed by all filers see the instructions Also see page 1 item I)	240,973	251,409	256,097
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers directors trustees and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ____________________)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117 check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117 check here and complete lines 27 through 31 ▶ ☒			
	27	Capital stock trust principal or current funds	240,973	251,409	
	28	Paid in or capital surplus or land bldg and equipment fund			
	29	Retained earnings accumulated income endowment or other funds	NONE		
	30	**Total net assets or fund balances** (see instructions)	240,973	251,409	
	31	**Total liabilities and net assets/fund balances** (see instructions)	240,973	251,409	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year Part II column (a) line 30 (must agree with end-of year figure reported on prior year s return)	1	240,973
2	Enter amount from Part I line 27a	2	10,160
3	Other increases not included in line 2 (itemize) ▶ ADJ PARTNERSHIP TAX BASIS	3	391
4	Add lines 1 2 and 3	4	251,524
5	Decreases not included in line 2 (itemize) ▶ **SEE STATEMENT 8**	5	115
6	Total net assets or fund balances at end of year (line 4 minus line 5) Part II column (b) line 30	6	251,409

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g real estate 2 story brick warehouse or common stock 200 shs MLC Co)	(b) How acquired P Purchase D Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day yr)
1a	PUBLICLY TRADED SECURITIES			
b	OTHER GAINS AND LOSSES			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	320,019		299,165	20,854
b	5,868		5,762	106
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j) if any	(l) Gains (Col (h) gain minus col (k) but not less than 0) or Losses (from col (h))
a				20,854
b				106
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain also enter in Part I line 7 / If (loss) enter 0 in Part I line 7 } 2 20,967

3 Net short term capital gain or (loss) as defined in sections 1222(5) and (6) If gain also enter in Part I line 8 column (c) (see instructions) If (loss) enter 0 in Part I line 8 } 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If Yes the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	14,253	256,037	0 055668
2010	15,676	238,488	0 065731
2009	17,138	216,631	0 079111
2008	18,778	263,285	0 071322
2007			

2	**Total** of line 1 column (d)	2	0 271832
3	Average distribution ratio for the 5 year base period divide the total on line 2 by 5 or by the number of years the foundation has been in existence if less than 5 years	3	0 067958
4	Enter the net value of noncharitable use assets for 2012 from Part X line 5	4	250,578
5	Multiply line 4 by line 3	5	17,029
6	Enter 1% of net investment income (1% of Part I line 27b)	6	257
7	Add lines 5 and 6	7	17,286
8	Enter qualifying distributions from Part XII line 4	8	14,751

If line 8 is equal to or greater than line 7 check the box in Part VI line 1b and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a) 4940(b) 4940(e) or 4948 see instructions)

1a	Exempt operating foundations described in section 4940(d)(2) check here ▶ ☐ and enter N/A on line 1 Date of ruling or determination letter ________________ (attach copy of letter if necessary see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V check here ▶ ☐ and enter 1% of Part I line 27b			1	514
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I line 12 col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter 0)			2	
3	Add lines 1 and 2			3	514
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter 0)			4	NONE
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less enter 0			5	514
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	500		
b	Exempt foreign organizations tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	500
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7 enter **amount owed** ▶			9	14
10	**Overpayment** If line 7 is more than the total of lines 5 and 8 enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ Refunded ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year did the foundation attempt to influence any national state or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is Yes to **1a** or **1b** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120 POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If Yes attach a detailed description of the activities*	2		X
3	Has the foundation made any changes not previously reported to the IRS in its governing instrument articles of incorporation or bylaws or other similar instruments? *If Yes attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1 000 or more during the year?	4a		X
b	If Yes has it filed a tax return on **Form 990 T** for this year?	4b		
5	Was there a liquidation termination dissolution or substantial contraction during the year? *If Yes attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5 000 in assets at any time during the year? *If Yes complete Part II col (c) and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA			
b	If the answer is Yes to line 7 has the foundation furnished a copy of Form 990 PF to the Attorney General (or designate) of each state as required by *General Instruction G? If No attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If Yes complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If Yes attach a schedule listing their names and addresses*	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year did the foundation directly or indirectly own a controlled entity within the meaning of section 512(b)(13)? If Yes attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If Yes attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no ▶ (888)866-3275

Located at ▶ P O BOX 1802, PROVIDENCE, RI ZIP+4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 PF in lieu of **Form 1041** Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012 did the foundation have an interest in or a signature or other authority over a bank securities or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90 22 1 If Yes enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the Yes column unless an exception applies		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from lend money to or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods services or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to or pay or reimburse the expenses of a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check No if the foundation agreed to make a grant to or to employ the official for a period after termination of government service if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is Yes to 1a(1) (6) did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d) 3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a other than excepted acts that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012 did the foundation have any undistributed income (lines 6d and 6e Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If Yes list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year s undistributed income? (If applying section 4942(a)(2) to **all** years listed answer No and attach statement see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If Yes did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26 1969 **(2)** the lapse of the 5 year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest or **(3)** the lapse of the 10 15 or 20 year first phase holding period? *(Use Schedule C Form 4720 to determine if the foundation had excess business holdings in 2012)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955) or to carry on directly or indirectly any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel study or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable etc organization described in section 509(a)(1) (2) or (3) or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious charitable scientific literary or educational purposes or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is Yes to 5a(1) (5) did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is Yes to question 5a(4) does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If Yes attach the statement required by Regulations section 53 4945 5(d)

6a Did the foundation during the year receive any funds directly or indirectly to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation during the year pay premiums directly or indirectly on a personal benefit contract? **6b** No: X

If Yes to 6b file Form 8870

7a At any time during the tax year was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If Yes did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers Directors Trustees Foundation Managers Highly Paid Employees and Contractors

1 List all officers directors trustees foundation managers and their compensation (see instructions)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
BANK OF AMERICA N A SPRINGFIELD, MA 01101	TRUSTEE 1	1,291		-0-

2 Compensation of five highest paid employees (other than those included on line 1 see instructions) If none enter NONE

(a) Name and address of each employee paid more than $50 000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances

Total number of other employees paid over $50 000 ▶

Part VIII Information About Officers Directors Trustees Foundation Managers Highly Paid Employees and Contractors *(continued)*

3 Five highest paid independent contractors for professional services (see instructions) If none enter NONE

(a) Name and address of each person paid more than $50 000	(b) Type of service	(c) Compensation
Total number of others receiving over $50 000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation s four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served conferences convened research papers produced etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program Related Investments (see instructions)

Describe the two largest program related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program related investments See instructions 3 NONE	
Total Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable etc purposes				
a	Average monthly fair market value of securities			1a	236,080
b	Average of monthly cash balances			1b	18,314
c	Fair market value of all other assets (see instructions)			1c	NONE
d	**Total** (add lines 1a b and c)			1d	254,394
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	NONE
3	Subtract line 2 from line 1d			3	254,394
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount see instructions)			4	3,816
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V line 4			5	250,578
6	**Minimum investment return** Enter 5% of line 5			6	12,529

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X line 6			1	12,529
2a	Tax on investment income for 2012 from Part VI line 5	2a	514		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	514
3	Distributable amount before adjustments Subtract line 2c from line 1			3	12,015
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	12,015
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII line 1			7	12,015

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable etc purposes		
a	Expenses contributions gifts etc total from Part I column (d) line 26	1a	14,751
b	Program related investments total from Part IX B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable etc purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V line 8 and Part XIII line 4	4	14,751
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	14,751

Note The amount on line 6 will be used in Part V column (b) in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI line 7					12,015
2	Undistributed income if any as of the end of 2012					
a	Enter amount for 2011 only				NONE	
b	Total for prior years 20_____ 20_____ 20_____			NONE		
3	Excess distributions carryover if any to 2012					
a	From 2007	NONE				
b	From 2008	5,719				
c	From 2009	6,404				
d	From 2010	3,935				
e	From 2011	1,908				
f	**Total** of lines 3a through e		17,966.			
4	Qualifying distributions for 2012 from Part XII line 4 ▶ $	14,751				
a	Applied to 2011 but not more than line 2a				NONE	
b	Applied to undistributed income of prior years (Election required see instructions)			NONE		
c	Treated as distributions out of corpus (Election required see instructions)		NONE			
d	Applied to 2012 distributable amount					12,015
e	Remaining amount distributed out of corpus		2,736			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d) the same amount must be shown in column (a))*		NONE			NONE
6	**Enter the net total of each column as indicated below**					
a	Corpus Add lines 3f 4c and 4e Subtract line 5		20,702.			
b	Prior years undistributed income Subtract line 4b from line 2b			NONE		
c	Enter the amount of prior years undistributed income for which a notice of deficiency has been issued or on which the section 4942(a) tax has been previously assessed			NONE		
d	Subtract line 6c from line 6b Taxable amount see instructions			NONE		
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount see instructions				NONE	
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		NONE			
9	**Excess distributions carryover to 2013** Subtract lines 7 and 8 from line 6a		20,702.			
10	Analysis of line 9					
a	Excess from 2008	5,719				
b	Excess from 2009	6,404				
c	Excess from 2010	3,935				
d	Excess from 2011	1,908				
e	Excess from 2012	2,736				

Part XIV **Private Operating Foundations** (see instructions and Part VII A question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation and the ruling is effective for 2012 enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85 / of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b or c for the alternative test relied upon **a** Assets alternative test enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b Endowment alternative test enter 2/3 of minimum investment return shown in Part X line 6 for each year listed					
c Support alternative test enter **(1)** Total support other than gross investment income (interest dividends rents payments on securities loans (section 512(a)(5)) or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5 000 or more in assets at any time during the year see instructions)**

1 Information Regarding Foundation Managers

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5 000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution Grant Gift Loan Scholarship etc Programs

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts grants etc (see instructions) to individuals or organizations under other conditions complete items 2a b c and d

a The name address and telephone number or e mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards such as by geographical areas charitable fields kinds of institutions or other factors

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SPFLD LIBRARY AND MUSEUMS ASSN C/O DIRECTOR O 21 EDWARDS ST SPRINGFIELD MA 01103 1548	NONE	PC	AIDING THE CT VALLEY HISTORICAL MUSEUM	13,400
Total			▶ **3a**	13,400
b *Approved for future payment*				
Total			▶ **3b**	

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Part XVI-A Analysis of Income Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512 513 or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	5,729	
5 Net rental income or (loss) from real estate					
a Debt financed property					
b Not debt financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	20,967	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b SMALL CAP GROWTH L			1	7	
c EMERGING MARKETS S			1	-5	
d SMALL CAP VALUE CT			1	1	
e					
12 Subtotal Add columns (b) (d) and (e)				26,699	

13 **Total** Add line 12 columns (b) (d) and (e) 13 26,699

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI A contributed importantly to the accomplishment of the foundation s exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527 relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities equipment or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities equipment mailing lists other assets or paid employees	1c		X

d If the answer to any of the above is Yes complete the following schedule Column **(b)** should always show the fair market value of the goods other assets or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement show in column **(d)** the value of the goods other assets or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions and sharing arrangements

2a Is the foundation directly or indirectly affiliated with or related to one or more tax exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If Yes, complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury I declare that I have examined this return including accompanying schedules and statements and to the best of my knowledge and belief it is true correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date 03/15/2013

Title SVP Tax

BANK OF AMERICA, N A

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer s name	Preparer s signature	Date	Check ☐ if self-employed	PTIN
Firm s name ▶			Firm s EIN ▶	
Firm s address ▶			Phone no	

Form **990 PF** (2012)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ARTIO GLOBAL HIGH INCOME FUND CL I	145	142
AGGREGATE BOND CTF	183	183
SMALL CAP GROWTH LEADERS CTF (FORMERLY S	6	6
EATON VANCE LARGE-CAP VALUE FUND CL I	311	311
EMERGING MARKETS STOCK COMMON TRUST FUND	55	55
MID CAP VALUE CTF	156	156
SMALL CAP VALUE CTF	41	41
MID CAP GROWTH CTF	32	32
DIVIDEND INCOME COMMON TRUST FUND	233	233
ISHARES S&P MIDCAP 400 INDEX FUND	70	70
ISHARES RUSSELL 1000 GROWTH INDEX FUND	234	234
ISHARES TR RUSSELL 2000 INDEX FUND	66	66
IVY ASSET STRATEGY FUND CL I	149	149
METRO WEST T/R BD CL I	504	504
NATIXIS FDS TR IV AEW REAL ESTATE FD CL	6	6
PIMCO TOTAL RETURN FUND INSTL	498	498
PIMCO HIGH YIELD FUND	846	846
PERMANENT PORTFOLIO	56	56
PIMCO COMMODITY REALRETURN STRATEGY FUND	256	256
PIMCO ALL ASSET ALL AUTH FUND INSTL CL	957	957
PIMCO EMERGING MKT CURRENCY FUND INSTL	1	1
VANGUARD MSCI EAFE ETF FUND	141	141
VANGUARD FTSE EMERGING MKTS ETF	312	312
VANGUARD REIT ETF	314	216
BANK OF AMERICA MONEY MARKET SAVINGS ACC	15	15
HIGH QUALITY CORE COMMON TRUST FUND	88	88
SMALL CAP GROWTH LEADERS COMMON TRUST FU	3	3
INTERNATIONAL FOCUSED EQUITY COMMON TRUS	51	51
TOTAL	5,729	5,628

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FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SMALL CAP GROWTH LEADERS CTF (FORMERLY S	7	7
EMERGING MARKETS STOCK COMMON TRUST FUND	-5	-5
SMALL CAP VALUE CTF	1	1
POWERSHARES DB COMMODITY INDEX		5
TOTALS	3	8

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FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	800			800
TOTALS	800	NONE	NONE	800

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FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	15	15
EXCISE TAX - PRIOR YEAR	457	
EXCISE TAX ESTIMATES	500	
FOREIGN TAXES ON QUALIFIED FOR	27	27
FOREIGN TAXES ON NONQUALIFIED	14	14
TOTALS	1,013	56

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FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OTHER CHARITABLE EXPENSES	35		35
PARTNERSHIP EXPENSES		52	
TOTALS	35	52	35

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FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
464287507 ISHARES CORE S&P MID	7,588	7,933
464287614 ISHARES RUSSELL 1000	22,922	22,856
464287655 ISHARES RUSSELL 2000	5,021	5,228
921943858 VANGUARD MSCI EAFE E	4,949	5,285
922042858 VANGUARD MSCI EMERGI	11,514	12,780
922908553 VANGUARD REIT ETF	15,628	15,660
466001864 IVY ASSET STRATEGY F	4,820	5,053
693390841 PIMCO HIGH YIELD FD	4,925	5,132
714199106 PERMANENT PORTFOLIO	10,109	10,174
72200Q182 PIMCO ALL ASSET ALL	18,623	18,741
202671913 AGGREGATE BOND CTF	25,431	25,250
207543877 SMALL CAP GROWTH LEA	5,094	5,201
29099J109 EMERGING MARKETS STO	11,453	12,804
302993993 MID CAP VALUE CTF	8,982	9,208
303995997 SMALL CAP VALUE CTF	5,145	5,318
323991307 MID CAP GROWTH CTF	8,992	9,064
45399C107 DIVIDEND INCOME COMM	22,838	22,816
99Z466163 HIGH QUALITY CORE CO	10,174	10,296
99Z466197 INTERNATIONAL FOCUSE	12,338	13,093
TOTALS	216,546	221,892

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FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
73935S105 POWERSHARES DB COMMO	C	20,826	20,168
TOTALS		20,826	20,168

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
12 TYE INC ADJ	90
ADJ CARRYING VALUE	25
TOTAL	115

STATEMENT 8

HORACE A MOSES UA BO CT VLY HIST 04-6193445

Schedule D Detail of Short term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short term Gain/Loss
OTHER GAINS AND LOSSES					
303 344 MID CAP VALUE CTF	04/20/2012	08/31/2012	5,742 00	5,762 00	-20 00
TOTAL OTHER GAINS AND LOSSES			5,742 00	5,762 00	-20 00
Totals			5,742 00	5,762 00	-20 00

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GAINS AND LOSSES FROM PASS-THRU ENTITIES

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	38 00	
TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)		38 00

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	479 00	
PARTNERSHIPS, TRUSTS, S CORPORATIONS	-391 00	
TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)		88 00

FEDERAL FOOTNOTES

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N A AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE, RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES

HORACE A MOSES UA BO CT VLY HIST 04-6193445

Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short term Gain/Loss
PUBLICLY TRADED SECURITIES					
260 414 INVESCO INTL GROWTH FUND CL Y	09/30/2011	08/31/2012	7,156 00	6,281 00	875 00
726 239 ARTIO GLOBAL HIGH INCOME FUND					
CL I	01/31/2012	04/18/2012	7,008 00	6,933 00	75 00
134 452 COLUMBIA ACORN FUND CLASS Z	11/30/2011	01/31/2012	4,032 00	3,870 00	162 00
112 508 COLUMBIA SMALL CAP VALUE I					
FUND CLASS Z SHARES	11/30/2011	08/31/2012	4,839 00	4,950 00	-111 00
100 604 COLUMBIA SELECT LARGE CAP					
GROWTH FUND CLASS Z SHARES	08/31/2011	08/31/2012	1,344 00	1,284 00	60 00
74 908 EAGLE SM CAP GROWTH FUND CL I	07/29/2011	01/31/2012	3,065 00	3,130 00	-65 00
68 254 EAGLE SM CAP GROWTH FUND CL I	11/30/2011	08/31/2012	2,851 00	2,624 00	227 00
134 125 EATON VANCE LARGE-CAP VALUE					
FUND CL I	08/31/2011	08/31/2012	2,573 00	2,277 00	296 00
63 145 IVY ASSET STRATEGY FUND CL I	08/31/2012	11/30/2012	1,649 00	1,570 00	79 00
228 425 JOHN HANCOCK FDS III					
DISCIPLINED VALUE MID CAP FUND	03/31/2011	01/31/2012	2,727 00	2,816 00	-89 00
670 82 JOHN HANCOCK FDS III					
DISCIPLINED VALUE MID CAP FUND	11/30/2011	04/18/2012	8,452 00	7,621 00	831 00
21 879 JOHN HANCOCK FDS III					
DISCIPLINED VALUE MID CAP FUND	08/31/2011	04/18/2012	276 00	240 00	36 00
881 44 LAZARD FUNDS INC EMERGING MKTS					
PORTFOLIO INSTL	11/30/2011	08/31/2012	16,386 00	16,404 00	-18 00
1600 474 METRO WEST T/R BD CL I	01/31/2012	08/31/2012	17,477 00	16,805 00	672 00
394 748 METRO WEST T/R BD CL I	03/30/2012	08/31/2012	4,311 00	4,161 00	150 00
145 594 PIMCO TOTAL RETURN FUND INSTL	11/30/2011	08/31/2012	1,674 00	1,570 00	104 00
376 678 PIMCO TOTAL RETURN FUND INSTL	03/30/2012	08/31/2012	4,332 00	4,177 00	155 00
896 051 PIMCO HIGH YIELD FUND	01/31/2012	08/31/2012	8,468 00	8,262 00	206 00
218 739 PIMCO HIGH YIELD FUND	01/31/2012	11/30/2012	2,098 00	2,017 00	81 00
590 416 PIMCO HIGH YIELD FUND	04/20/2012	11/30/2012	5,662 00	5,461 00	201 00
144 816 PIMCO COMMODITY REALRETURN					
STRATEGY FUND	09/30/2011	08/31/2012	1,017 00	1,070 00	-53 00
1768 097 PIMCO COMMODITY REALRETURN	11/30/2011	08/31/2012	12,412 00	13,827 00	-1,415 00
496 124 PIMCO ALL ASSET ALL AUTH FUND					
Totals INSTL CL					

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Schedule D Detail of Short term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short term Gain/Loss
	08/31/2012	11/30/2012	5,631 00	5,467 00	164 00
19 925 PIMCO EMERGING MKT CURRENCY					
FUND INSTL	02/28/2011	01/31/2012	206 00	213 00	-7 00
409 598 PRUDENTIAL JENNISON MID-CAP	01/31/2012	08/31/2012	13,435 00	12,542 00	893 00
TOTAL PUBLICLY TRADED SECURITIES			139,081 00	135,572 00	3,509 00
Totals			139,081 00	135,572 00	3,509 00

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HORACE A MOSES UA BO CT VLY HIST 04-6193445

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long term Gain/Loss
PUBLICLY TRADED SECURITIES					
56 891 ARTIO GLOBAL HIGH INCOME FUND	02/28/2011	04/18/2012	549 00	596 00	-47 00
293 159 ARTIO GLOBAL HIGH INCOME FUND CL I	06/18/2010	04/18/2012	2,829 00	2,948 00	-119 00
379 821 COLUMBIA ACORN FUND CLASS Z	06/18/2010	01/31/2012	11,391 00	9,826 00	1,565 00
75 935 COLUMBIA ACORN INTERNATIONAL FUND CL Z	06/18/2010	03/30/2012	2,998 00	2,553 00	445 00
47 864 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	06/18/2010	01/31/2012	2,091 00	1,951 00	140 00
47 419 COLUMBIA SMALL CAP VALUE I FUND CLASS Z SHARES	06/18/2010	08/31/2012	2,039 00	1,933 00	106 00
82 807 COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES	06/18/2010	01/31/2012	1,067 00	855 00	212 00
78 619 COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES	06/18/2010	02/29/2012	1,089 00	812 00	277 00
266 63 COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES	06/18/2010	03/30/2012	3,847 00	2,754 00	1,093 00
1837 001 COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES	06/18/2010	08/31/2012	24,542 00	18,976 00	5,566 00
446 546 COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES	08/31/2010	08/31/2012	5,966 00	4,398 00	1,568 00
103 278 EAGLE SM CAP GROWTH FUND CL I	07/29/2011	08/31/2012	4,314 00	4,316 00	-2 00
35 98 EATON VANCE LARGE-CAP VALUE FUND CL I	06/18/2010	01/31/2012	641 00	597 00	44 00
1659 497 EATON VANCE LARGE-CAP VALUE FUND CL I	06/18/2010	08/31/2012	31,829 00	27,548 00	4,281 00
179 652 EATON VANCE LARGE-CAP VALUE FUND CL I	08/31/2010	08/31/2012	3,446 00	2,790 00	656 00
21 973 HARBOR INTERNATIONAL FUND INSTL	06/18/2010	01/31/2012	1,242 00	1,134 00	108 00
119 712 HARBOR INTERNATIONAL FUND INSTL CL	06/18/2010	08/31/2012	6,845 00	6,178 00	667 00
361 053 JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND	03/31/2011	04/18/2012	4,549 00	4,452 00	97 00
Totals					

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Schedule D Detail of Long term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long term Gain/Loss
328 88 LAZARD FUNDS INC EMERGING MKTS					
PORTFOLIO INSTL	06/18/2010	01/31/2012	6,163 00	6,035 00	128 00
480 56 LAZARD FUNDS INC EMERGING MKTS					
PORTFOLIO INSTL	06/18/2010	08/31/2012	8,934 00	8,818 00	116 00
164 248 NATIXIS FDS TR IV AEW REAL					
ESTATE FD CL Y COM	06/18/2010	04/18/2012	3,057 00	2,364 00	693 00
2243 93 PIMCO TOTAL RETURN FUND INSTL	06/18/2010	01/31/2012	24,953 00	25,042 00	-89 00
711 564 PIMCO TOTAL RETURN FUND INSTL	06/18/2010	08/31/2012	8,183 00	7,941 00	242 00
247 215 PIMCO TOTAL RETURN FUND INSTL	11/30/2010	08/31/2012	2,843 00	2,841 00	2 00
416 233 PIMCO TOTAL RETURN FUND INSTL	02/28/2011	08/31/2012	4,787 00	4,529 00	258 00
756 198 PIMCO COMMODITY REALRETURN					
STRATEGY FUND	06/18/2010	01/31/2012	5,188 00	5,664 00	-476 00
636 931 PIMCO COMMODITY REALRETURN					
STRATEGY FUND	06/18/2010	08/31/2012	4,471 00	4,771 00	-300 00
98 101 PIMCO EMERGING MKT CURRENCY					
FUND INSTL	06/18/2010	01/31/2012	1,016 00	971 00	45 00
TOTAL PUBLICLY TRADED SECURITIES			180,869 00	163,593 00	17,276 00
Totals			180,869 00	163,593 00	17,276 00

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FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

28% RATE CAPITAL GAIN DIVIDENDS		
PERMANENT PORTFOLIO	7 00	
TOTAL 28% RATE CAPITAL GAIN DIVIDENDS		7 00
15% RATE CAPITAL GAIN DIVIDENDS		
ARTIO GLOBAL HIGH INCOME FUND CL I		
PERMANENT PORTFOLIO	69 00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		69 00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		76 00