Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation: HASCOE CHARITABLE FOUNDATION

A Employer identification number: 04-3647404

Number and street (or P O box number if mail is not delivered to street address): 24 FIELD POINT ROAD | Room/suite:

B Telephone number: 203-661-7600

City or town, state, and ZIP code: GREENWICH, CT 06830

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,514,391.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	3.	3.		STATEMENT 1
	4 Dividends and interest from securities	59,999.	59,999.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-17,506.			
	b Gross sales price for all assets on line 6a 3,121,324.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	89.	89.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	42,585.	60,091.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 4	5,906.	5,906.		0.
	17 Interest				
	18 Taxes STMT 5	7,093.	2,093.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	1,387.	360.		612.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	14,386.	8,359.		612.
	25 Contributions, gifts, grants paid	198,735.			198,735.
	26 **Total expenses and disbursements.** Add lines 24 and 25	213,121.	8,359.		199,347.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-170,536.			
	b **Net investment income** (if negative, enter -0-)		51,732.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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223501 12-05-12 LHA **For Paperwork Reduction Act Notice, see instructions** Form **990-PF** (2012)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	348,664.	32,703.	32,703.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶	1,367.		
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	1,806,172.	2,377,926.	2,377,926.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ STATEMENT 9)	223,000.	103,762.	103,762.
	16 **Total assets** (to be completed by all filers)	2,379,203.	2,514,391.	2,514,391.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,379,203.	2,514,391.	
	30 **Total net assets or fund balances**	2,379,203.	2,514,391.	
	31 **Total liabilities and net assets/fund balances**	2,379,203.	2,514,391.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,379,203.
2	Enter amount from Part I, line 27a	2	-170,536.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	305,724.
4	Add lines 1, 2, and 3	4	2,514,391.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,514,391.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SCHEDULE ATTACHMENT 1	P	VARIOUS	12/31/12
b	SCHEDULE ATTACHMENT 2	P	VARIOUS	12/31/12
c	ART & ANTIQUES	D	VARIOUS	12/31/12
d				
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,005,469.		2,988,830.	16,639.
b	103,028.		107,000.	-3,972.
c	12,812.		43,000.	-30,188.
d				0.
e	15.			15.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				16,639.
b				-3,972.
c				-30,188.
d				0.
e				15.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -17,506.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	77,264.	2,166,892.	.035657
2010	43,799.	1,082,557.	.040459
2009	34,254.	4,219.	8.118986
2008	272,738.	7,672.	35.549791
2007	964,555.	14,393.	67.015563

2	**Total** of line 1, column (d)	2	110.760456
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	22.152091
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,262,633.
5	Multiply line 4 by line 3	5	50,122,052.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	517.
7	Add lines 5 and 6	7	50,122,569.
8	Enter qualifying distributions from Part XII, line 4	8	199,347.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	1,035.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,035.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,035.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 4,533.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	4,533.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	3,498.
11 Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	1,200. **Refunded** ▶	11	2,298.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ HASCOE ASSOCIATES INC. Telephone no ▶203-661-7600

Located at ▶24 FIELD POINT ROAD, GREENWICH, CT ZIP+4 ▶06830

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____ , ____ , ____ , ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____ , ____ , ____ , ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LLOYD HASCOE 24 FIELD POINT ROAD GREENWICH, CT 06830	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
ABBE HASCOE 24 FIELD POINT ROAD GREENWICH, CT 06830	SECRETARY/TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	2,262,942.
b	Average of monthly cash balances		1b	34,147.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,297,089.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,297,089.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	34,456.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,262,633.
6	**Minimum investment return.** Enter 5% of line 5		6	113,132.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	113,132.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 1,035.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	1,035.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	112,097.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	112,097.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	112,097.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	199,347.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	199,347.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	199,347.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				112,097.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 964,556.				
b From 2008 272,738.				
c From 2009 34,254.				
d From 2010 43,799.				
e From 2011 77,264.				
f **Total** of lines 3a through e	1,392,611.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 199,347.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				112,097.
e Remaining amount distributed out of corpus	87,250.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,479,861.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	964,556.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	515,305.			
10 Analysis of line 9				
a Excess from 2008 272,738.				
b Excess from 2009 34,254.				
c Excess from 2010 43,799.				
d Excess from 2011 77,264.				
e Excess from 2012 87,250.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the *alternative test relied upon* a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SYRACUSE UNIVERSITY 721 UNIVERSITY AVENUE SYRACUSE, NY 13244-2450	NONE	501(C)(3)	WHITMAN SCHOOL OF MANAGEMENT DEAN'S FUND	15,000.
DANA'S ANGELS RESEARCH TRUST 15 EAST PUTNAM AVENUE, #117 GREENWICH, CT 06830-5424	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	250.
BRUCE MUSEUM ONE MUSEUM DRIVE GREENWICH, CT 06830-7100	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	185.
PARKINSON'S UNITY WALK P.O. BOX 275 KINGSTON, NJ 08528-0275	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	250.
AUTISM SPEAKS 1 EAST 33RD STREET, 4TH FLOOR NEW YORK, NY 10016	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	100.
Total SEE CONTINUATION SHEET(S)			▶ 3a	198,735.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	3.	
4 Dividends and interest from securities			14	59,999.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	89.	
8 Gain or (loss) from sales of assets other than inventory			18	-17,506.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		42,585.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 42,585.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/14/13 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr.)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
GARRETT M. HIGGINS	[signature]	11/14/13		P00543209

Firm's name ▶ O'CONNOR DAVIES, LLP — Firm's EIN ▶ 27-1728945

Firm's address ▶ 500 MAMARONECK AVENUE
HARRISON, NY 10528-1633 — Phone no. 914-381-8900

Form **990-PF** (2012)

223822
12-05-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRUNSWICK SCHOOL 100 MAHER AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	SENIOR 2012 FUND	15,000.
DUKE UNIVERSITY BOX 90581 DURHAM, NC 27708	NONE	501(C)(3)	DUKE ANNUAL FUND	10,000.
MEMORIAL SLOAN KETTERING CANCER CENTER 633 THIRD AVENUE, 28TH FLOOR NEW YORK, NY 10017	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	10,000.
AVON THEATRE FILM CENTER 272 BEDFORD STREET STAMFORD, CT 06901	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	650.
PLAY FOR P.I.N.K. 175 EAST 74TH STREET, #17-B NEW YORK, NY 10021-3213	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	350.
JDRF 432 PARK AVENUE SOUTH NEW YORK, NY 10016	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	500.
BREAST CANCER ALLIANCE 48 MAPLE AVENUE GREENWICH, CT 06830-5424	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	600.
RED RIBBON FOUNDATION 270 GREENWICH AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	500.
MEALS ON WHEELS OF GREENWICH 89 MAPLE AVENUE GREENWICH, CT 06830-5652	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	100.
GREENWICH EMERGENCY MEDICAL SERVICE, INC. 1111 EAST PUTNAM AVENUE RIVERSIDE, CT 06878	NONE	501(C)(3)	GENERAL OPERATING PURPOSES	250.
Total from continuation sheets				182,950.

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UJA FEDERATION OF GREENWICH ONE HOLLY HILL LANE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL OPERATING PURPOSES - 2012 ANNUAL CAMPAIGN.	10,000.
BRUCE MUSEUM ONE MUSEUM DRIVE GREENWICH, CT 06830-7157	NONE	501(C)(3)	ANNUAL FUND	10,000.
SYRACUSE UNIVERSITY SIMS HALL SYRACUSE, NY 13244-1230	NONE	501(C)(3)	EDUCATIONAL PURPOSES	25,000.
SYRACUSE UNIVERSITY SIMS HALL SYRACUSE, NY 13244-1230	NONE	501(C)(3)	EDUCATIONAL PURPOSES	100,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
J.P. MORGAN	3.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	3.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
J.P. MORGAN	46,810.	15.	46,795.
MILLENIUM	10,078.	0.	10,078.
SAGEWORTH	3,126.	0.	3,126.
TOTAL TO FM 990-PF, PART I, LN 4	60,014.	15.	59,999.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	89.	89.	
TOTAL TO FORM 990-PF, PART I, LINE 11	89.	89.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	5,906.	5,906.		0.
TO FORM 990-PF, PG 1, LN 16C	5,906.	5,906.		0.

FORM 990-PF	TAXES	STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	2,093.	2,093.		0.
FEDERAL EXCISE TAX	5,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	7,093.	2,093.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER DEDUCTIONS	369.	30.		339.
CUSTODIAN FEES	330.	330.		0.
FRANCHISE FEES	273.	0.		273.
ADMINISTRATIVE EXPENSES	415.	0.		0.
TO FORM 990-PF, PG 1, LN 23	1,387.	360.		612.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7

DESCRIPTION	AMOUNT
UNREALIZED GAIN ON INVESTMENTS	270,724.
GAIN/LOSS ON DONATED ART	35,000.
TOTAL TO FORM 990-PF, PART III, LINE 3	305,724.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
JPM SHORT DURATION BOND FUND	FMV	80,479.	80,479.
SPDR S&P 500 ETF TRUST	FMV	808,034.	808,034.
ISHARES MSCI EAFE INDEX FUND	FMV	121,339.	121,339.
ISHARES MSCI EMERGING MARKET INDEX	FMV	30,158.	30,158.
ISHARES MSCI ACWI INDEX FUND	FMV	1,262,389.	1,262,389.

SHEPHERD INVESTMENTS INTL	FMV	65,449.	65,449.
MILLENIUM INTERNATIONAL	FMV	10,078.	10,078.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,377,926.	2,377,926.

FORM 990-PF OTHER ASSETS STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ARTS AND ANTIQUES HELD FOR CHARITABLE PURPOSES	223,000.	90,000.	90,000.
DISTRIBUTIONS RECEIVABLE	0.	7,860.	7,860.
MONEY MARKET INTEREST RECEIVABLE	0.	5,902.	5,902.
TO FORM 990-PF, PART II, LINE 15	223,000.	103,762.	103,762.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LLOYD HASCOE
24 FIELD POINT ROAD
GREENWICH, CT 06830

TELEPHONE NUMBER

203-661-7600

FORM AND CONTENT OF APPLICATIONS

NO SPECIFIC APPLICATION FORM NEEDS TO BE COMPLETED. INFORMATION AND MATERIALS ENCLOSED BY THE GRANT SEEKING ORGANIZATION SHOULD BE APPROPRIATE CONSIDERING THE CIRCUMSTANCES.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

2012 Tax Information Statement

Account Number: STS6380
Recipient's Tax ID Number: XX-XXX7404
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: CT

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE HASCOE CHARITABLE FOUNDATION
24 FIELD POINT ROAD
GREENWICH , CT 06830

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

2012 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Short Term Sales Reported on 1099-B								
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
7821 0500	01/03/2012	07/21/2011	7,821.05	7,821 05	0.00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
3400.0000	01/05/2012	10/04/2011	3,400 00	3,400 00	0 00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
2455.2000	01/06/2012	07/21/2011	2,455.20	2,455.20	0.00	0.00	0 00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
785 2400	02/02/2012	07/21/2011	785 24	785.24	0 00	0.00	0 00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
1895 4300	02/02/2012	10/04/2011	1,895 43	1,895.43	0 00	0.00	0 00	0.00
26922V101	ETFS PLATINUM TRUST ETF		PPLT					
207 0000	02/21/2012	Various	34,386.22	29,971.42	0 00	4,414.80	0.00	0.00
67065W761	NUVEEN TRADEWINDS GLOBAL ALL CAP-I		NWGRX					
9933 9500	03/15/2012	Various	257,487 98	278,616 22	0 00	-21,128.24	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

ATTACHMENT 1

2012 Tax Information Statement

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Recipient's Tax ID Number: XX-XXX7404
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: CT

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE HASCOE CHARITABLE FOUNDATION
24 FIELD POINT ROAD
GREENWICH, CT 06830

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

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Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
231440.7000	03/20/2012	Various	231,440.70	231,440.70	0 00	0.00	0 00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
764.0000	04/27/2012	07/21/2011	764 00	764 00	0.00	0 00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
1915.0000	04/27/2012	03/19/2012	1,915 00	1,915 00	0.00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
21140 1600	05/21/2012	07/21/2011	21,140.16	21,140.16	0.00	0 00	0.00	0 00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
330 0000	05/30/2012	07/21/2011	330.00	330 00	0 00	0 00	0 00	0.00
140543828	CAPITAL WORLD GROWTH & INCOME FD-F2		WGIFX					
3913 6000	05/31/2012	Various	127,348.54	129,414 16	0.00	-2,065 62	0 00	0.00
315911206	FIDELITY SPARTAN 500 INDEX-IV		FUSEX					
6013 2630	05/31/2012	Various	280,278.20	264,786 44	0 00	15,491.76	0.00	0.00
64122Q309	NEUBERGER BERMAN MULT-CAP OPP FD-INS		NMULX					
25827.2030	05/31/2012	Various	259,563.38	240,856 08	0.00	18,707 30	0.00	0.00
648018828	AMERICAN NEW PERSPECTIVE FD-F2		ANWFX					
4820 9230	05/31/2012	Various	131,611.19	128,922.09	0.00	2,689.10	0.00	0.00
81063U503	SCOUT INTERNATIONAL FUND		UMBWX					
4153.6980	05/31/2012	Various	117,009.68	129,419.55	0.00	-12,409.87	0.00	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service The taxpayer is ultimately responsible for the accuracy of their own tax return.

ATTACHMENT 1

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Recipient's Tax ID Number: XX-XXX7404
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: CT

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE HASCOE CHARITABLE FOUNDATION
24 FIELD POINT ROAD
GREENWICH, CT 06830

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
922908421	VANGUARD SMALL CAP INDEX FUND-SIGN		VSISX					
2769.6840	05/31/2012	Various	86,940 38	76,000.00	0 00	10,940.38	0.00	0 00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
193.8000	05/31/2012	03/19/2012	193.80	193 80	0 00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
74.6900	05/31/2012	07/21/2011	74.69	74.69	0 00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
76.0000	06/04/2012	07/21/2011	76.00	76 00	0 00	0.00	0 00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
202.0000	06/04/2012	03/19/2012	202.00	202 00	0 00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
1096708.4300	06/05/2012	Various	1,096,708.43	1,096,708 43	0.00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
258679.9500	06/13/2012	Various	258,679.95	258,679 95	0.00	0.00	0.00	0.00
CM1SSGXX4	SSGA US GOVT MONEY MARKET FD #301		SSGXX					
82962 0900	06/13/2012	06/01/2012	82,962.09	82,962.09	0.00	0.00	0.00	0.00
Total Short Term Sales Reported on 1099-B Report on Form 8949, Part I, with Box B checked			**3,005,469.31**	**2,988,829.70**	**0.00**	**16,639.61**	**0.00**	**0.00**

Long Term 15% Sales Reported on 1099-B

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service The taxpayer is ultimately responsible for the accuracy of their own tax return.

ATTACHMENT 1

2012 Tax Information Statement

Account Number: STS6380
Recipient's Tax ID Number: XX-XXX7404
Payer's Federal ID Number: 20-8007203
Payer's State ID Number:
State: CT

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE HASCOE CHARITABLE FOUNDATION
24 FIELD POINT ROAD
GREENWICH , CT 06830

Payer's Name and Address:
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip / Quantity Sold (Box 1e)	Description (Box 8) / Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
315911206	FIDELITY SPARTAN 500 INDEX-IV		FUSEX					
1233 7770	05/31/2012	Various	57,506.35	57,000.00	0.00	506.35	0.00	0.00
64122Q309	NEUBERGER BERMAN MULT-CAP OPP FD-INS		NMULX					
1943 6350	05/31/2012	05/23/2011	19,533.53	20,000.00	0 00	-466.47	0.00	0.00
81063U503	SCOUT INTERNATIONAL FUND		UMBWX					
922.5610	05/31/2012	Various	25,988.55	30,000 00	0.00	-4,011.45	0.00	0.00
Total Long Term 15% Sales Reported on 1099-B Report on Form 8949, Part II, with Box B checked			103,028.43	107,000.00	0.00	-3,971.57	0.00	0.00

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ATTACHMENT 2