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Form 990

## Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2012

Open to Public Inspection

Department of the Treasury  
Internal Revenue ServiceUnder section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung  
benefit trust or private foundation)

► The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning and ending

B Check if applicable	C Name of organization <b>COAST GUARD FOUNDATION, INC.</b>		D Employer identification number <b>04-2899862</b>
Doing Business As Number and street (or P.O. box if mail is not delivered to street address) <b>394 TAUGWONK ROAD</b>		Room/suite	E Telephone number <b>(860) 535-0786</b>
City, town, or post office, state, and ZIP code <b>STONINGTON, CT 06378-1807</b>			G Gross receipts \$ <b>7,779,884.</b>
F Name and address of principal officer <b>ANNE B BRENGLE SAME AS C ABOVE</b>			H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No	
J Website: ► <a href="http://WWW.COASTGUARDFOUNDATION.ORG">WWW.COASTGUARDFOUNDATION.ORG</a>		If "No," attach a list. (see instructions)	
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ►		L Year of formation: <b>1986</b> M State of legal domicile: <b>MA</b>	

## Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: <b>THE COAST GUARD FOUNDATION STRIVES TO HELP COAST GUARD MEN AND WOMEN STRENGTHEN THEIR SERVICE</b>	
	2 Check this box ► <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	
Revenue	3 Number of voting members of the governing body (Part VI, line 1a)	30
	4 Number of independent voting members of the governing body (Part VI, line 1b)	30
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	14
	6 Total number of volunteers (estimate if necessary)	100
	7a Total unrelated business revenue from Part VIII, column (C), line 12	1,773.
	b Net unrelated business taxable income from Form 990-T, line 34	<7,250.>
	RECEIVED	
	8 Contributions and grants (Part VIII, line 1h)	Prior Year <b>4,419,012.</b> Current Year <b>4,799,938.</b>
	9 Program service revenue (Part VIII, line 2g)	0. 0.
	10 Investment income (Part VIII, column (A), lines 31d, and 7e)	142,825. 159,625.
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	131,031. 138,391.	
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,692,868. 5,097,954.	
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	2,078,689. 3,166,989.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0. 0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	926,745. 1,035,858.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	22,200. 40,200.
	b Total fundraising expenses (Part IX, column (D), line 25) ► <b>1,109,166.</b>	
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	1,688,390. 1,535,934.
	18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	4,716,024. 5,778,981.
	19 Revenue less expenses. Subtract line 18 from line 12	<23,156.> <681,027.>
Net Assets or Fund Balances	Beginning of Current Year	
	20 Total assets (Part X, line 16)	7,168,110. End of Year
	21 Total liabilities (Part X, line 26)	202,409. 875,071.
	22 Net assets or fund balances. Subtract line 21 from line 20	6,965,701. 6,752,025.

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	► Signature of officer <i>Anne B. Brengle</i>	Date <i>19123113</i>			
	► ANNE B BRENGLE, PRESIDENT				
	Type or print name and title				
Paid	Print/Type preparer's name <b>KATIE KLOSS</b>	Preparer's signature <i>Katie Kloss, CPA</i>	Date <i>9.17.13</i>	Check if self-employed <input type="checkbox"/>	PTIN <b>P01067567</b>
Preparer	Firm's name ► <b>SANSIVERI, KIMBALL &amp; CO., LLP</b>	Firm's EIN ► <b>05-0255779</b>			
Use Only	Firm's address ► <b>55 DORRANCE STREET PROVIDENCE, RI 02903-2220</b>	Phone no. <b>401-331-0500</b>			

May the IRS discuss this return with the preparer shown above? (see instructions)

 Yes  No

232001 12-10-12 LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2012)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

9-19

## Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III  X

1 Briefly describe the organization's mission:

**THE COAST GUARD FOUNDATION'S MISSION IS TO SUPPORT PROJECTS AND PROGRAMS THAT ENHANCE THE LIVES OF ALL COAST GUARD MEMBERS AND THEIR FAMILIES. THE COAST GUARD FOUNDATION SERVES AS A GOODWILL AMBASSADOR FOR THE UNITED STATES COAST GUARD.**

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

 Yes  X No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

 Yes  X No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code ) (Expenses \$ 1,374,471. including grants of \$ 1,281,787. ) (Revenue \$ )  
**SUPPORT TO THE UNITED STATES COAST GUARD AT LARGE:**

THE COAST GUARD FOUNDATION CONTRIBUTES TO THE UNITED STATES COAST GUARD BY PROMOTING THE GENERAL WELFARE OF THOSE IN THE SERVICE. THE FOUNDATION SUPPORTS EDUCATION, DEVELOPS LEADERSHIP POTENTIAL AND PROVIDES MORALE SUPPORT TO THOSE WHO SERVE. THE COAST GUARD FOUNDATION WORKS HAND IN HAND WITH THE COAST GUARD'S EDUCATION GRANT PROGRAM TO SUPPLEMENT THE TUITION ASSISTANCE PROGRAM SO ENLISTED PERSONNEL HAVE ACCESS TO FUNDS FOR BOOKS, FEES AND SUPPLIES THAT MIGHT OTHERWISE BE OUT OF REACH. COAST GUARD FOUNDATION FUNDS PROVIDE SUPPORT FOR PROGRAMS INITIATED ON THE UNIT LEVEL THAT ARE INTENDED TO IMPROVE MORALE AND HONOR AND VALUE THE COAST GUARD MEMBERS' SERVICE TO THE COUNTRY. THE COAST GUARD FOUNDATION ALSO PROVIDES FUNDS FOR SPECIAL

4b (Code ) (Expenses \$ 1,733,861. including grants of \$ 1,603,597. ) (Revenue \$ )  
**SUPPORT TO THE UNITED STATES COAST GUARD ACADEMY:**

THE COAST GUARD FOUNDATION PROVIDES MARGIN OF EXCELLENCE FUNDING THAT PROMOTES ATHLETICS, SAILING, ACADEMICS AND ACTIVITIES AT THE US COAST GUARD ACADEMY TO ENSURE A QUALITY EDUCATIONAL EXPERIENCE FOR ITS CADETS. INITIATIVES INCLUDE ACADEMIC ENRICHMENT PROGRAMS THAT BRING WELL-KNOWN GOVERNMENT FIGURES TO THE ACADEMY TO INTERACT WITH CADETS ON AN INDIVIDUAL CLASS-LEVEL AND THE CADET CORPS AS A WHOLE. ENRICHMENT PROGRAMS AND EQUIPMENT FOR THE ACADEMIC DISCIPLINES PUT THE ACADEMY PROGRAMS ON A PAR WITH OTHER PRESTIGIOUS COLLEGES, UNIVERSITIES AND SERVICE ACADEMIES. THE COAST GUARD FOUNDATION HAS COLLABORATED WITH THE ACADEMY TO DEVELOP LEADERSHIP SKILLS THROUGH A QUALITY WATERFRONT PROGRAM; BY PROVIDING SAIL TRAINING VESSELS AND COACHING STAFF FOR

4c (Code ) (Expenses \$ 367,523. including grants of \$ 281,605. ) (Revenue \$ )  
**SUPPORT TO COAST GUARD FAMILIES:**

THE COAST GUARD FOUNDATION PROVIDES VITAL SUPPORT TO COAST GUARD FAMILIES, WITH SCHOLARSHIPS FOR DEPENDENTS OF ENLISTED MEMBERS TO MAKE HIGHER EDUCATION ATTAINABLE AND AFFORDABLE. THE DISASTER RELIEF FUND, ESTABLISHED IN THE WAKE OF HURRICANE KATRINA, PROVIDES ASSISTANCE TO COAST GUARD MEMBERS AND FAMILIES WHO HAVE LOST PROPERTY AND POSSESSIONS BECAUSE OF A NATURAL DISASTER. THROUGH THE FAMILY DISASTER RELIEF FUND, THE FOUNDATION ASSISTS FAMILIES WHO HAVE SUFFERED THE ULTIMATE HARDSHIP, LOSING A LOVED ONE IN THE LINE OF DUTY WHILE SERVING OUR COUNTRY. FINANCIAL ASSISTANCE AND RESOURCES ARE MADE AVAILABLE TO THE FAMILIES. THE FALLEN HEROES SCHOLARSHIP FUND PROVIDES FOR THE HIGHER EDUCATIONAL NEEDS OF THE COAST GUARD FAMILY MEMBERS WHOSE PARENT HAS

4d Other program services (Describe in Schedule O.)

(Expenses \$ 601,886. including grants of \$ ) (Revenue \$ )

4e Total program service expenses ► 4,077,741.

## Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2	Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	2 X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3 X	
4	<b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4 X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5 X	
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6 X	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7 X	
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8 X	
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9 X	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10 X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	11a X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	11b X	
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c X	
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d X	
e	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	11e X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f X	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	12a X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	12b X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13 X	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a X	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	14b X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV</i>	15 X	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16 X	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	17 X	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18 X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19 X	
20a	Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a X	
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b X	

## Part IV Checklist of Required Schedules (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	X
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25	24a	X
24b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
24c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
24d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	X
25b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b	X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II	26	X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a	a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a	X
28b	b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b	X
28c	c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c	X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31	X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32	X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37	X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O	38	X

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**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response to any question in this Part V 

1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable  
 1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable  
 c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?

	1a	17	Yes	No
	1b	0		

2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return  
 2b If at least one is reported on line 2a, did the organization file all required federal employment tax returns?

**Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)

3a Did the organization have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O

4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?  
 b If "Yes," enter the name of the foreign country ►

See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts.

5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?  
 b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?  
 c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?

6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?  
 b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?

**7 Organizations that may receive deductible contributions under section 170(c).**

a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?  
 b If "Yes," did the organization notify the donor of the value of the goods or services provided?  
 c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?  
 d If "Yes," indicate the number of Forms 8282 filed during the year  
 e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  
 f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

	7d
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g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?  
 h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?  
 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?

**9 Sponsoring organizations maintaining donor advised funds.**

a Did the organization make any taxable distributions under section 4966?  
 b Did the organization make a distribution to a donor, donor advisor, or related person?

**10 Section 501(c)(7) organizations.** Enter:

a Initiation fees and capital contributions included on Part VIII, line 12  
 b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities

	10a
	10b

**11 Section 501(c)(12) organizations.** Enter:

a Gross income from members or shareholders  
 b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)

	11a
	11b

**12a Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?

b If "Yes," enter the amount of tax-exempt interest received or accrued during the year

	12b
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**13 Section 501(c)(29) qualified nonprofit health insurance issuers.**

a Is the organization licensed to issue qualified health plans in more than one state?

**Note.** See the instructions for additional information the organization must report on Schedule O.

b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans

c Enter the amount of reserves on hand

	13b
	13c

**14a Did the organization receive any payments for indoor tanning services during the tax year?**

b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O

	14a	X
	14b	

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

### Section A. Governing Body and Management

1a Enter the number of voting members of the governing body at the end of the tax year  
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.

1b Enter the number of voting members included in line 1a, above, who are independent

2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?

3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?

4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?

5 Did the organization become aware during the year of a significant diversion of the organization's assets?

6 Did the organization have members or stockholders?

7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?

b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?

8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:

a The governing body?

b Each committee with authority to act on behalf of the governing body?

9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O

	Yes	No
1a	30	
1b	30	
2		X
3	X	
4	X	
5	X	
6	X	
7a	X	
7b	X	
8a	X	
8b	X	
9		X

### Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

10a Did the organization have local chapters, branches, or affiliates?

b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?

11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?

b Describe in Schedule O the process, if any, used by the organization to review this Form 990.

12a Did the organization have a written conflict of interest policy? If "No," go to line 13

b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?

c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done

13 Did the organization have a written whistleblower policy?

14 Did the organization have a written document retention and destruction policy?

15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?

a The organization's CEO, Executive Director, or top management official

b Other officers or key employees of the organization

If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).

16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?

b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?

	Yes	No
10a		X
10b		
11a	X	
12a	X	
12b	X	
12c	X	
13	X	
14	X	
15a	X	
15b	X	
16a		X
16b		

### Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► MA, NY, MD, FL, CA, RI, AL, WA, AZ, AK, MI, NJ

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

Own website  Another's website  Upon request  Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► SUZAN SPRINGER - (860) 535-0786  
394 TAUGWONK ROAD, STONINGTON, CT 06378

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

 Check if Schedule O contains a response to any question in this Part VII 
**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation

Enter 0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees, highest compensated employees; and former such persons.

 Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(1) ANNE B BRENGLE PRESIDENT	45.00	X	X				199,500.	0.	9,303.
(2) AL J BERNARD VICE CHAIRMAN	10.00	X	X				0.	0.	0.
(3) DONALD T BOLLINGER DIRECTOR	5.00	X					0.	0.	0.
(4) OTTO CANDIES, JR DIRECTOR	5.00	X					0.	0.	0.
(5) R ANGELO CLEFFI DIRECTOR	5.00	X					0.	0.	0.
(6) JAMES J COLEMAN JR DIRECTOR	5.00	X					0.	0.	0.
(7) WILLIAM H COLLIER JR DIRECTOR	5.00	X					0.	0.	0.
(8) ROBERT J FLYNN CHAIRMAN	20.00	X	X				0.	0.	0.
(9) MONTE FRIEDKIN DIRECTOR	5.00	X					0.	0.	0.
(10) VERA KAYE GIBSON DIRECTOR	5.00	X					0.	0.	0.
(11) LAWRENCE R GLENN DIRECTOR	5.00	X					0.	0.	0.
(12) RICHARD J GRAHN SECRETARY	10.00	X	X				0.	0.	0.
(13) WALTER J HICKEL JR DIRECTOR	5.00	X					0.	0.	0.
(14) R CHRISTIAN JOHNSEN DIRECTOR	5.00	X					0.	0.	0.
(15) JOHN S JOHNSON DIRECTOR	5.00	X					0.	0.	0.
(16) CORRINE X KOSAR DIRECTOR	5.00	X					0.	0.	0.
(17) LEO PAUL KOULOS DIRECTOR	5.00	X					0.	0.	0.

## Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(18) CLAY MAITLAND DIRECTOR	5.00	X					0.	0.	0.
(19) JAMES P MULDOON DIRECTOR	5.00	X					0.	0.	0.
(20) JAMES A O'HARE DIRECTOR	5.00	X					0.	0.	0.
(21) ROSS E ROEDER DIRECTOR	5.00	X					0.	0.	0.
(22) STEVEN T SCALZO DIRECTOR	5.00	X					0.	0.	0.
(23) JOHN F STATTS DIRECTOR	5.00	X					0.	0.	0.
(24) LEROY VANDER PUTTEN DIRECTOR	5.00	X					0.	0.	0.
(25) THOMAS A ALLEGRETTI TRUSTEE	2.00	X					0.	0.	0.
(26) JAMES S ANDRASICK TRUSTEE	2.00	X					0.	0.	0.
<b>1b Sub-total</b>						►	<b>199,500.</b>	0.	<b>9,303.</b>
<b>c Total from continuation sheets to Part VII, Section A</b>						►	<b>102,000.</b>	0.	<b>4,432.</b>
<b>d Total (add lines 1b and 1c)</b>						►	<b>301,500.</b>	0.	<b>13,735.</b>

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 2

	Yes	No
3		X
4	X	
5		X

## Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	NONE	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 0

SEE PART VII, SECTION A CONTINUATION SHEETS

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(27) WILLIAM A BISSO III TRUSTEE	2.00	X					0.	0.	0.
(28) MORTON S BOUCHARD III TRUSTEE	2.00	X					0.	0.	0.
(29) ERIC W CAPLAN TRUSTEE	2.00	X					0.	0.	0.
(30) MICHAEL L CARTHEW TRUSTEE	2.00	X					0.	0.	0.
(31) BLAINE E COLLINS TRUSTEE	2.00	X					0.	0.	0.
(32) ANGUS R COOPER II TRUSTEE	2.00	X					0.	0.	0.
(33) BROSIUS D'ARCY TRUSTEE	2.00	X					0.	0.	0.
(34) JOHN J. D'LUHY TRUSTEE	2.00	X					0.	0.	0.
(35) JOHN A DOUGLAS TRUSTEE	2.00	X					0.	0.	0.
(36) DAVID F DYER TRUSTEE	2.00	X					0.	0.	0.
(37) CHERYL D FELDER TRUSTEE	2.00	X					0.	0.	0.
(38) JAMES G FERGUSON TRUSTEE	2.00	X					0.	0.	0.
(39) JOHN B FOLEY TRUSTEE	2.00	X					0.	0.	0.
(40) F DAVID HOFFMAN TRUSTEE	2.00	X					0.	0.	0.
(41) WILLIAM JENKINS TREASURER	10.00	X					0.	0.	0.
(42) GARY JOBSON TRUSTEE	2.00	X					0.	0.	0.
(43) MARK K KNOY TRUSTEE	2.00	X					0.	0.	0.
(44) STEIN KRUSE DIRECTOR	5.00	X					0.	0.	0.
(45) H MERRITT LANE III TRUSTEE	2.00	X					0.	0.	0.
(46) JAMES M MATHIEU TRUSTEE	2.00	X					0.	0.	0.
<b>Total to Part VII, Section A, line 1c</b>									

## Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (check all that apply)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(47) BRIAN B MCALLISTER TRUSTEE	2.00	X					0.	0.	0.
(48) KEVIN J MCSWEENEY TRUSTEE	2.00	X					0.	0.	0.
(49) JAMES C OLSON TRUSTEE	2.00	X					0.	0.	0.
(50) CRAIG E PHILIP TRUSTEE	2.00	X					0.	0.	0.
(51) JEFFREY M PLATT TRUSTEE	2.00	X					0.	0.	0.
(52) JOHN F REINHART TRUSTEE	2.00	X					0.	0.	0.
(53) THOMAS K RICHEY TRUSTEE	2.00	X					0.	0.	0.
(54) LORETTA RIETSEMA TRUSTEE	2.00	X					0.	0.	0.
(55) JUDITH A ROOS TRUSTEE	2.00	X					0.	0.	0.
(56) RICHARD T SHANNON TRUSTEE	2.00	X					0.	0.	0.
(57) HOWARD SLOTNICK TRUSTEE	2.00	X					0.	0.	0.
(58) CARY K SWASAND TRUSTEE	2.00	X					0.	0.	0.
(59) EUGENE F SWEENEY TRUSTEE	2.00	X					0.	0.	0.
(60) AL A GONSOULIN TRUSTEE	2.00	X					0.	0.	0.
(61) SIDNEY C MIZELL TRUSTEE	2.00	X					0.	0.	0.
(62) VINCENT W PATTON TRUSTEE	2.00	X					0.	0.	0.
(63) DAN SCHULTZ TRUSTEE	2.00	X					0.	0.	0.
(64) STEVEN SEIGEL TRUSTEE	2.00	X					0.	0.	0.
(65) DUNCAN C SMITH, III TRUSTEE	2.00	X					0.	0.	0.
(66) JOHN H THOMMEN DIRECTOR	2.00	X					0.	0.	0.

Total to Part VII, Section A, line 1c

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

## Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII 

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a				
	b Membership dues	1b				
	c Fundraising events	1c	2,353,772.			
	d Related organizations	1d				
	e Government grants (contributions)	1e				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	2,446,166.			
	g Noncash contributions included in lines 1a-1f \$		240,948.			
	<b>h Total. Add lines 1a-1f</b>		► 4,799,938.			
Program Service Revenue		Business Code				
	2 a					
	b					
	c					
	d					
	e					
	f All other program service revenue					
	<b>g Total. Add lines 2a-2f</b>		►			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		84,969.		84,969.	
	4 Income from investment of tax-exempt bond proceeds		►			
	5 Royalties		►			
	6 a Gross rents	(i) Real	(ii) Personal			
	b Less: rental expenses	96,480.				
	c Rental income or (loss)	0.				
	d Net rental income or (loss)	96,480.				96,480.
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
b Less: cost or other basis and sales expenses	1,936,470.	146,000.				
c Gain or (loss)	2,007,814.	0.				
d Net gain or (loss)	<71,344.	146,000.				
		►	74,656.	74,656.		
8 a Gross income from fundraising events (not including \$ 2,353,772. of contributions reported on line 1c). See Part IV, line 18	a	674,116.				
b Less: direct expenses	b	674,116.				
c Net income or (loss) from fundraising events		►	0.			
9 a Gross income from gaming activities. See Part IV, line 19	a					
b Less: direct expenses	b					
c Net income or (loss) from gaming activities		►				
10 a Gross sales of inventory, less returns and allowances	a					
b Less: cost of goods sold	b					
c Net income or (loss) from sales of inventory		►				
Miscellaneous Revenue		Business Code				
11 a TRUSTEE DUES	900099	39,500.	39,500.			
b SALE OF PROMOTIONAL ITEMS	453220	1,773.		1,773.		
c MISCELLANEOUS INCOME	900099	638.	638.			
d All other revenue						
e <b>Total. Add lines 11a-11d</b>		► 41,911.				
<b>12 Total revenue.</b> See instructions.		► 5,097,954.	114,794.	1,773.	181,449.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX 

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	2,879,384.	2,879,384.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	287,605.	287,605.		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	230,866.	46,173.	46,173.	138,520.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	665,253.	267,504.	198,678.	199,071.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	25,684.	5,947.	6,593.	13,144.
9 Other employee benefits	44,290.	8,857.	16,296.	19,137.
10 Payroll taxes	69,765.	16,190.	18,094.	35,481.
11 Fees for services (non-employees):				
a Management				
b Legal	8,900.		8,900.	
c Accounting	31,375.		31,375.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17	40,200.			40,200.
f Investment management fees	39,278.		39,278.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	139,090.	73,601.	21,621.	43,868.
12 Advertising and promotion	35,196.	17,598.		17,598.
13 Office expenses	47,157.	8,378.	14,467.	24,312.
14 Information technology	17,826.	1,304.	15,148.	1,374.
15 Royalties				
16 Occupancy	71,993.	36,881.	11,833.	23,279.
17 Travel	97,783.	29,087.	18,705.	49,991.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	63,697.	26,602.	15,662.	21,433.
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a DIRECT MAIL SOLICITATION	387,006.			387,006.
b EVENT PRODUCTION COSTS	268,511.	268,511.		
c MISCELLANEOUS	122,302.	3,984.	93,771.	24,547.
d PRINTING AND POSTAGE	63,008.	44,170.	4,863.	13,975.
e All other expenses	142,812.	55,965.	30,617.	56,230.
25 Total functional expenses. Add lines 1 through 24e	5,778,981.	4,077,741.	592,074.	1,109,166.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				
Check here ► <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720)	701,068.	264,486.	2,972.	433,610.

## Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X 

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	326,682.	1	257,912.
	2 Savings and temporary cash investments	875,998.	2	643,969.
	3 Pledges and grants receivable, net	477,002.	3	502,298.
	4 Accounts receivable, net	3,502.	4	1,666.
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use	33,936.	8	33,928.
	9 Prepaid expenses and deferred charges	72,360.	9	151,901.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	1,705,886.		
	b Less: accumulated depreciation	656,160.	10c	1,049,726.
	11 Investments - publicly traded securities	3,133,169.	11	4,134,462.
	12 Investments - other securities. See Part IV, line 11	1,147,155.	12	851,234.
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	35,678.	15	0.
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34)	<b>7,168,110.</b>	<b>16</b>	<b>7,627,096.</b>	
Liabilities	17 Accounts payable and accrued expenses	155,909.	17	273,874.
	18 Grants payable		18	
	19 Deferred revenue	46,500.	19	54,000.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	547,197.
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		25	
	<b>26 Total liabilities.</b> Add lines 17 through 25	<b>202,409.</b>	<b>26</b>	<b>875,071.</b>
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117 (ASC 958), check here ► <input checked="" type="checkbox"/></b> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	2,829,490.	27	1,853,264.
	28 Temporarily restricted net assets	1,403,902.	28	1,839,665.
	29 Permanently restricted net assets	2,732,309.	29	3,059,096.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here ► <input type="checkbox"/></b> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	6,965,701.	33	6,752,025.
	<b>34 Total liabilities and net assets/fund balances</b>	<b>7,168,110.</b>	<b>34</b>	<b>7,627,096.</b>

Form 990 (2012)

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response to any question in this Part XI 

1 Total revenue (must equal Part VIII, column (A), line 12)	1	5,097,954.
2 Total expenses (must equal Part IX, column (A), line 25)	2	5,778,981.
3 Revenue less expenses. Subtract line 2 from line 1	3	<681,027. >
4 Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	6,965,701.
5 Net unrealized gains (losses) on investments	5	467,353.
6 Donated services and use of facilities	6	
7 Investment expenses	7	
8 Prior period adjustments	8	
9 Other changes in net assets or fund balances (explain in Schedule O)	9	0.
10 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	6,752,027.

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response to any question in this Part XII 

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____		
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant?	2a	X
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:		
<input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
2b Were the organization's financial statements audited by an independent accountant?	2b	X
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:		
<input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		
2c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	X
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	

Form 990 (2012)

**SCHEDULE A**  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No. 1545-0047

**2012**

Open to Public  
Inspection

Name of the organization

COAST GUARD FOUNDATION, INC.

Employer identification number

04-2899862

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1  A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2  A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3  A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4  A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8  A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9  An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10  An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 

a <input type="checkbox"/> Type I	b <input type="checkbox"/> Type II	c <input type="checkbox"/> Type III - Functionally integrated	d <input checked="" type="checkbox"/> Type III - Non-functionally integrated
-----------------------------------	------------------------------------	---	--
- e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f  If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g  Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	<input type="checkbox"/>
(ii) A family member of a person described in (i) above?	<input type="checkbox"/>
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	<input type="checkbox"/>
- h  Provide the following information about the supported organization(s).

	Yes	No
11g(i)	<input checked="" type="checkbox"/>	<input type="checkbox"/>
11g(ii)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11g(iii)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?	(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?	(vii) Amount of monetary support
				Yes	No		
US COAST GUARD	54-6010204	6	X		X	X	1,374,471.
USCG ACADEMY	54-6010204	6	X		X	X	1,733,861.
Total	2						3,108,332.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

232021  
12-04-12

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 <b>Public support.</b> Subtract line 5 from line 4						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 <b>Total support.</b> Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 <b>First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						► <input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	%
16a <b>33 1/3% support test - 2012.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
► <input type="checkbox"/>		
b <b>33 1/3% support test - 2011.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		
► <input type="checkbox"/>		
17a <b>10% -facts-and-circumstances test - 2012.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		
► <input type="checkbox"/>		
b <b>10% -facts-and-circumstances test - 2011.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		
► <input type="checkbox"/>		
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		
► <input type="checkbox"/>		

Schedule A (Form 990 or 990-EZ) 2012

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support</b> (Subtract line 7c from line 6)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12)						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ►

**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%
<b>19a 33 1/3% support tests - 2012.</b> If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
<b>b 33 1/3% support tests - 2011.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
<b>20 Private foundation.</b> If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ► <input type="checkbox"/>		

**SCHEDULE D**  
(Form 990)Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**

► Complete if the organization answered "Yes," to Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

**2012**  
 Open to Public  
Inspection

Name of the organization

COAST GUARD FOUNDATION, INC.

Employer identification number  
04-2899862**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts		
1 Total number at end of year				
2 Aggregate contributions to (during year)				
3 Aggregate grants from (during year)				
4 Aggregate value at end of year				
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No

**Part II Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).	<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area		
	<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure		
	<input type="checkbox"/> Preservation of open space			
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.				
a Total number of conservation easements		Held at the End of the Tax Year		
b Total acreage restricted by conservation easements	2a			
c Number of conservation easements on a certified historic structure included in (a)	2b			
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2c			
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►	2d			
4 Number of states where property subject to conservation easement is located ►				
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►				
7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$				
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?	<input type="checkbox"/>	Yes	<input type="checkbox"/>	No
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.				

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.
b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
(i) Revenues included in Form 990, Part VIII, line 1 ► \$
(ii) Assets included in Form 990, Part X ► \$
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
a Revenues included in Form 990, Part VIII, line 1 ► \$
b Assets included in Form 990, Part X ► \$

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a  Public exhibition      d  Loan or exchange programs  
 b  Scholarly research      e  Other \_\_\_\_\_

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21?

Yes  No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	4,280,324.	4,157,343.	3,717,157.	3,775,113.	4,856,711.
b Contributions	444,145.	279,559.	252,340.	225,290.	285,489.
c Net investment earnings, gains, and losses	440,369.	8,044.	439,192.	<7,025.>	<1,184,850.>
d Grants or scholarships					
e Other expenditures for facilities and programs	179,145.	164,622.	251,346.	276,221.	182,237.
f Administrative expenses					
g End of year balance	4,985,696.	4,280,324.	4,157,343.	3,717,157.	3,775,113.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ► 19.23 %

b Permanent endowment ► 59.98 %

c Temporarily restricted endowment ► 20.79 %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization

by:

(i) unrelated organizations

(ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

	Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		381,374.			381,374.
b Buildings		871,283.	406,237.		465,046.
c Leasehold improvements					
d Equipment		349,852.	214,263.		135,589.
e Other		103,377.	35,660.		67,717.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c))				►	1,049,726.

Schedule D (Form 990) 2012

**Part VII Investments - Other Securities.** See Form 990, Part X, line 12

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) HATTERAS MULTI STRATEGY FUND	851,234.	END-OF-YEAR MARKET VALUE
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
<b>Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ►</b>	<b>851,234.</b>	

**Part VIII Investments - Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
<b>Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ►</b>		

**Part IX Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
<b>Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ►</b>	

**Part X Other Liabilities.** See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
(11)		
<b>Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►</b>		

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

**Part XI: Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

1	Total revenue, gains, and other support per audited financial statements	1	13,177,559.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	467,353.
b	Donated services and use of facilities	2b	6,977,414.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	7,444,767.
3	Subtract line 2e from line 1	3	5,732,792.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	39,278.
b	Other (Describe in Part XIII.)	4b	<674,116.
c	Add lines 4a and 4b	4c	<634,838. >
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	5,097,954.

**Part XII: Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

1	Total expenses and losses per audited financial statements	1	13,391,235.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	6,977,414.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	674,118.
e	Add lines 2a through 2d	2e	7,651,532.
3	Subtract line 2e from line 1	3	5,739,703.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	39,278.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	39,278.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	5,778,981.

**Part XIII: Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4: ENDOWMENT FUNDS WERE CREATED TO SUPPORT THE COAST**

**GUARD ACADEMY AND THE VARIOUS COAST GUARD DISTRICTS. SUCH SUPPORT COMES  
IN MANY FORMS INCLUDING PROJECTS TO BENEFIT DISTRICT MORALE, ACADEMIC AND  
ATHLETIC PROGRAMS AT THE ACADEMY, AS WELL AS PROGRAMS TO SUPPORT COAST  
GUARD FAMILIES, INCLUDING SCHOLARSHIPS.**

**PART XI, LINE 4B - OTHER ADJUSTMENTS:**

**DIRECT EXPENSES OTHER THAN FUNDRAISING - SPECIAL EVENTS**

**-674,116.**

Schedule D (Form 990) 2012

**Part XIII** Supplemental Information (continued)**PART XII, LINE 2D - OTHER ADJUSTMENTS:**

**DIRECT EXPENSES OTHER THAN FUNDRAISING - SPECIAL EVENTS** **674,116.**

**ROUNDING** **2.**

**TOTAL TO SCHEDULE D, PART XII, LINE 2D** **674,118.**



**Part II Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

	(a) Event #1 NEW YORK DINNER (event type)	(b) Event #2 DC DINNER (event type)	(c) Other events 10 (total number)	(d) Total events (add col. (a) through col. (c))
1 Gross receipts	817,700.	811,000.	1,399,188.	3,027,888.
2 Less: Contributions	586,700.	596,500.	1,033,818.	2,217,018.
3 Gross income (line 1 minus line 2)	231,000.	214,500.	365,370.	810,870.
4 Cash prizes				
5 Noncash prizes				
6 Rent/facility costs	14,850.	95,300.	48,064.	158,214.
7 Food and beverages	118,433.	63,807.	123,155.	305,395.
8 Entertainment	5,669.	5,881.	7,985.	19,535.
9 Other direct expenses	87,862.	38,704.	64,406.	190,972.
10 Direct expense summary. Add lines 4 through 9 in column (d)				► ( 674,116 )
11 Net income summary. Combine line 3, column (d), and line 10				► 136,754.

**Part III Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

	(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
1 Gross revenue				
2 Cash prizes				
3 Noncash prizes				
4 Rent/facility costs				
5 Other direct expenses				
6 Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
7 Direct expense summary. Add lines 2 through 5 in column (d)				► ( )
8 Net gaming income summary. Combine line 1, column d, and line 7				►

9 Enter the state(s) in which the organization operates gaming activities: \_\_\_\_\_  
 a Is the organization licensed to operate gaming activities in each of these states?  Yes  No  
 b If "No," explain: \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?  Yes  No  
 b If "Yes," explain: \_\_\_\_\_

11 Does the organization operate gaming activities with nonmembers?  Yes  No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No

13 Indicate the percentage of gaming activity operated in:

13a	%
13b	%

a The organization's facility  
b An outside facility

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No

b If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_.

c If "Yes," enter name and address of the third party:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

16 Gaming manager information:

Name ► \_\_\_\_\_

Gaming manager compensation ► \$ \_\_\_\_\_

Description of services provided ► \_\_\_\_\_  
\_\_\_\_\_

Director/officer

Employee

Independent contractor

17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ \_\_\_\_\_

**Part IV Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, COLUMN (V): THE CONTRACT WITH LW ROBBINS, INC IS FOR CONSULTING WORK RELATED TO DIRECT MAIL SOLICITATIONS. IN ADDITION, THE COMPANY PROVIDES MAILING SERVICES UNDER A SEPARATE AGREEMENT FOR WHICH THE FOUNDATION IS BILLED SEPARATELY FOLLOWING EACH MAILING. TOTAL FEES PAID TO LW ROBBINS, INC FOR ADDITIONAL SERVICES PROVIDED IN 2012 WERE \$40,200.

**SCHEDULE I**  
(Form 990)

**2012**

OMB No 1545-0047

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

Name of the organization

**COAST GUARD FOUNDATION, INC.**

**Part I** General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

**Part II** Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNITED STATES COAST GUARD	54-6010204		270,000.	0.			TO PROVIDE EDUCATIONAL GRANTS TO ENLISTED PERSONNEL.
UNITED STATES COAST GUARD	54-6010204		101,025.	0.			TO ENHANCE EDUCATIONAL OPPORTUNITIES AT THE COAST GUARD ACADEMY
UNITED STATES COAST GUARD	54-6010204		1,402,972.	0.			TO SUPPORT THE WATERFRONT PROGRAM AT THE COAST GUARD ACADEMY
UNITED STATES COAST GUARD	54-6010204		459,661.	0.			TO SUPPORT THE ATHLETIC PROGRAMS AT THE COAST GUARD ACADEMY
UNITED STATES COAST GUARD	54-6010204		12,600.	0.			TO IMPROVE MORALE AND WELFARE OF COAST GUARDSMEN
UNITED STATES COAST GUARD	54-6010204		87,000.	0.			TO ENHANCE THE ATHLETIC PROGRAMS AT THE COAST GUARD ACADEMY
							TO SUPPORT PUBLIC OUTREACH OPPORTUNITIES AT THE COAST GUARD ACADEMY AND DISTRICTS

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

3 Enter total number of other organizations listed in the line 1 table

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2012)

## Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNITED STATES COAST GUARD	54-6010204		51,291.	0.			TO SUPPORT SPECIAL PROJECTS AT THE COAST GUARD ACADEMY
UNITED STATES COAST GUARD	54-6010204		69,501.	0.			TO PROVIDE AUXILIARY SUPPORT AT THE COAST GUARD ACADEMY
UNITED STATES COAST GUARD	54-6010204		425,334.	0.			TO SUPPORT SHIPMATE FUNDS AT THE COAST GUARD ACADEMY.

**Part III**  
**Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
 Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
COLLEGE SCHOLARSHIPS TO CHILDREN OF ENLISTED PERSONNEL	71	195,667.	0.		
FAMILY RELIEF - EXPENSES INCURRED FOR ATTENDING A COAST GUARD CEREMONY TO PAY TRIBUTE TO A DECEASED COAST GUARD FAMILY OR UNIT MEMBER.	52	62,742.	0.		
COLLEGE SCHOLARSHIPS TO RESERVISTS AND THEIR DEPENDENTS	6	6,000.	0.		
EDUCATION GRANTS TO SPOUSES OF COAST GUARD ENLISTED PERSONNEL	47	23,196.	0.		

**Part IV** Supplemental Information. Complete this part to provide the information required in Part I, line 2, Part II, column (b), and any other additional information

**SCHEDULE I, PART I, LINE 2: SCHOLARSHIPS ARE AWARDED BY AN INDEPENDENT SCHOLARSHIP BOARD CONSISTING OF COAST GUARD PERSONNEL AND ONE FOUNDATION MEMBER. ONCE AWARDED, THE FOUNDATION MANAGES ALL SCHOLARSHIP PAYMENTS. PAYMENTS ARE MADE ONLY UPON VERIFICATION OF ENROLLMENT AND RECEIPT OF A BILL INDICATING THE AMOUNT DUE. PAYMENTS ARE MADE DIRECTLY TO THE INSTITUTION OF HIGHER EDUCATION WITH INSTRUCTIONS THAT NO PART OF THE PAYMENT IS TO BE REIMBURSED TO THE STUDENT. IF FULL PAYMENT IS NOT NEEDED FOR THAT STUDENT IT IS REFUNDED TO THE FOUNDATION.**

**Part IV Supplemental Information**

EDUCATION GRANTS FOR SPOUSES ARE AWARDED BASED ON A GRANT APPLICATION AND ARE PAID DIRECTLY TO THE RECIPIENT.

EDUCATION GRANTS ARE ADMINISTERED BY THE COAST GUARD INSTITUTE WHO ANNOUNCES THE FUNDS AVAILABILITY, CHECKS FOR ELIGIBILITY, AND DISBURSES TO THE INDIVIDUALS SELECTED. THE INSTITUTE REPORTS TO THE FOUNDATION WITH PAYEE, CHECK NUMBER AND AMOUNT. RECIPIENTS ALSO HAVE THE ABILITY TO SEND ACKNOWLEDGEMENT EMAILS.

GRANTS TO THE COAST GUARD ARE DETERMINED BASED ON A NEEDS LIST PRESENTED TO THE GRANTS COMMITTEE WHICH INCLUDES A DESCRIPTION OF HOW THE SUPPORT BENEFITS COAST GUARD PERSONNEL AND HOW MANY ARE BENEFITED. IN ADDITION, AVAILABLE FUNDING BY PROGRAM IS CONSIDERED IN GRANTING REQUESTS. GRANTS ARE DISBURSED TO THE COAST GUARD GIFT FUND AND THE COAST GUARD REPORTS BACK WITH DOCUMENTATION OF EXPENDITURE.

**SCHEDULE J**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

- Complete if the organization answered "Yes" to Form 990,  
Part IV, line 23.
- Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

**2012**

Open to Public  
Inspection

Name of the organization

**COAST GUARD FOUNDATION, INC.**

Employer identification number  
**04-2899862**

**Part I Questions Regarding Compensation**

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)

1b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

<input checked="" type="checkbox"/> Compensation committee	<input checked="" type="checkbox"/> Written employment contract
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a Receive a severance payment or change-of-control payment?
- b Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.**

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a The organization?
- b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a The organization?
- b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2012

232111  
12-10-12

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicated

**Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

revert compensation from the organization on row (i) and from related organizations described in the instructions on row (ii).

Do not list any individuals that are not listed on Form 990, Part VII.

**SCHEDULE M**  
(Form 990)

Department of the Treasury  
Internal Revenue Service

**Noncash Contributions**

OMB No 1545-0047

**2012**

Open to Public  
Inspection

Name of the organization

COAST GUARD FOUNDATION, INC.

Employer identification number

04-2899862

**Part I Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes	X	1	27,500.	APPRAISAL AND SALES
8 Intellectual property				
9 Securities - Publicly traded	X	3	106,929.	QUOTED MARKET PRICES
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ( CONSUMER GOOD )	X	6	57,450.	RETAIL VALUE
26 Other ► ( GOLF MEMBERSH )	X	1	25,000.	RETAIL VALUE
27 Other ► ( EQUIPMENT )	X	1	12,543.	RETAIL VALUE
28 Other ► ( SAILING EQUIP )	X	1	11,176.	RETAIL VALUE

29 Number of Forms 8283 received by the organization during the tax year for contributions  
for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

2

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		X
31	X	
32a	X	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2012)

232141  
12-20-12

**Part II Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

PART I, OTHER TYPES OF PROPERTY:

OTHER

(A) CHECK IF APPLICABLE = X

(B) NUMBER OF CONTRIBUTORS = 3

(C) REVENUE REPORTED ON FORM 990, PART VIII \$ 8980.

(D) METHOD OF DETERMINING REVENUE: RETAIL VALUE

SCHEDULE M, LINE 32B: THE FOUNDATION USES AN UNRELATED THIRD PARTY TO SOLICIT AND RECEIVE DONATIONS OF BOATS AND OTHER WATERCRAFT FOR USE BY THE ACADEMY OR COAST GUARD DISTRICTS.

## Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.  
► Attach to Form 990 or 990-EZ.

OMB No 1545-0047

**2012**  
Open to Public  
Inspection

Name of the organization

COAST GUARD FOUNDATION, INC.

Employer identification number  
04-2899862

### FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

TO OUR NATION BY ENCOURAGING THEM TO EXCEL, ON DUTY AND OFF. THE FOUNDATION SUPPORTS NUMEROUS PROGRAMS AND PROJECTS THROUGH DONATIONS FROM INDIVIDUALS, CORPORATIONS AND THROUGH GRANTS AND SPECIAL FUNDRAISING EVENTS. THE FOUNDATION SUPPORTS ACADEMIC, ATHLETIC, AND LEADERSHIP EXCELLENCE FOR CADETS AT THE ACADEMY; OFFERS FINANCIAL RELIEF TO COAST GUARD FAMILIES WHO LOSE THEIR POSSESSIONS IN NATURAL DISASTERS OR LOSE A LOVED ONE IN SERVICE TO OUR COUNTRY; PROVIDES COLLEGE SCHOLARSHIPS TO DEPENDENTS OF ENLISTED PERSONNEL; FUNDS RECREATIONAL AND FAMILY-ORIENTED FACILITIES; AND SUPPORTS EDUCATIONAL AND MORALE PROGRAMS AT BASES, AND ON CUTTERS AROUND THE NATION; AS WELL AS HUNDREDS OF SMALLER PROJECTS FOR COAST GUARD MEMBERS AND THEIR FAMILIES, THROUGHOUT THE UNITED STATES.

### FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

CAPITAL PROJECTS IDENTIFIED BY COAST GUARD HEADQUARTERS; SWIMMING POOLS, RECREATION CENTERS AND MULTI-PURPOSE COURTS, FOR EXAMPLE, PROVIDE SUPPORT TO LARGER COAST GUARD COMMUNITIES, AND IN PARTICULAR OFTEN BENEFIT THOSE SERVING IN REMOTE LOCATIONS THAT LACK LOCAL RESOURCES.

### FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

CADETS TO DEVELOP SEAMANSHIP SKILLS THAT ARE SECOND TO NONE.

### FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

PERISHED IN THE LINE OF DUTY.

Name of the organization

COAST GUARD FOUNDATION, INC.

Employer identification number  
04-2899862

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**FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:**

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**PUBLIC AWARENESS:**

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THE COAST GUARD FOUNDATION SERVES AS A GOODWILL AMBASSADOR FOR THE UNITED STATES COAST GUARD. THROUGH A PUBLIC SERVICE ANNOUNCEMENT CAMPAIGN ONLINE AND ON TELEVISION, THE COAST GUARD FOUNDATION RAISED AWARENESS FOR THE DEDICATION AND BRAVERY OF THE UNITED STATES COAST GUARD MEMBERS SERVING OUR COUNTRY. RECEPTIONS HELD ON BOARD THE COAST GUARD BARQUE EAGLE ALLOWED THE FOUNDATION TO PROMOTE THE RICH HERITAGE OF THE HISTORIC SHIP AND THE COAST GUARD'S ROLE IN TRAINING COAST GUARD CADETS AND OFFICER CANDIDATES IN SAILING AND SEAMANSHIP. IN HONORING COAST GUARD MEMBERS AT EVENTS AROUND THE COUNTRY, THE FOUNDATION SHOWCASED THE EVERYDAY HEROICS OF THESE DEVOTED MEMBERS OF THE SERVICE. COMMUNICATION, IN THE FORM OF NEWSLETTERS, EMAIL MESSAGES AND THE WEB, INFORM COAST GUARD FOUNDATION SUPPORTERS OF THE FOUNDATION'S PROGRAMS AND THE ROLE OF THE UNITED STATES COAST GUARD IN OUR NATIONAL STORY.

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EXPENSES \$ 601,886. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

---

FORM 990, PART VI, SECTION B, LINE 11: PRIOR TO FILING, A COPY OF THE 990 IS SENT TO THE AUDIT COMMITTEE FOR REVIEW. AFTER AUDIT COMMITTEE APPROVAL, AND PRIOR TO FILING, A COPY OF THE 990 IS DISTRIBUTED TO THE GOVERNING BOARD.

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FORM 990, PART VI, SECTION B, LINE 12C: ALL POTENTIAL CONFLICTS OF INTEREST ARE REVIEWED BY THE FOUNDATION'S PRESIDENT AS WELL AS A MEMBER OF THE BOARD WHO SERVES AS LEGAL COUNSEL.

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FORM 990, PART VI, SECTION B, LINE 15: THE COMPENSATION OF TOP MANAGEMENT

232212  
01-04-13

Schedule O (Form 990 or 990-EZ) (2012)

Name of the organization

COAST GUARD FOUNDATION, INC.

Employer identification number  
04-2899862

OFFICIALS IS DETERMINED IN CONJUNCTION WITH THE RELATED EMPLOYEE SEARCH  
FIRM HIRED TO FILL THE POSITION. SALARY RECOMMENDATIONS ARE COMPARED TO  
ON-LINE SALARY SURVEY RANGES AND REVIEWED BY A HUMAN RESOURCES CONSULTANT.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

MA, NY, MD, FL, CA, RI, AL, WA, AZ, AK, MI, NJ, AR, GA, IL, KS, KY, LA, ME, MN, MS, NC, ND, NH, NM  
OH, OK, OR, PA, SC, TN, UT, VA, WI, WV, CT, MO, HI, DC

FORM 990, PART VI, SECTION C, LINE 19: FINANCIAL STATEMENTS ARE INCLUDED  
IN THE ANNUAL REPORT. AUDITED FINANCIAL STATEMENTS AND TAX RETURNS ARE  
AVAILABLE ON THE WEBSITE. GOVERNING DOCUMENTS AND CONFLICT OF INTEREST  
POLICY ARE AVAILABLE UPON REQUEST.

## Related Organizations and Unrelated Partnerships

Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.  
Attach to Form 990. ▶ See separate instructions.

Name of the organization

## COAST GUARD FOUNDATION, INC.

**10. Identification of Disconnected Entities (Complete if the organization answered "Yes" to Form 90 Part IV line 33)**

**Part I** Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 2(b)(3) controlled entity?
Yes	No					
UNITED STATES COAST GUARD ACADEMY -	EDUCATION OF COAST GUARD PERSONNEL	CONNECTICUT	501(C)(1)	LINE 6		X
54-6010204	PROTECTION OF THE US COAST AND WATERWAYS	DISTRICT OF COLUMBIA	501(C)(1)	LINE 6		X
UNITED STATES COAST GUARD -	54-6010204					
		</				

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

**Identification of Related Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

## **Part III** **Identification of Related Organizations Taxable as a Partnership** **organizations treated as a partnership during the tax year.)**

**Identification of Related Organizations Taxable as a Corporation or Trust** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

Part IV

**Part V** Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
- b Gift, grant, or capital contribution to related organization(s)
- c Gift, grant, or capital contribution from related organization(s)
- d Loans or loan guarantees to or for related organization(s)
- e Loans or loan guarantees by related organization(s)
- f Dividends from related organization(s)
- g Sale of assets to related organization(s)
- h Purchase of assets from related organization(s)
- i Exchange of assets with related organization(s)
- j Lease of facilities, equipment, or other assets to related organization(s)
- k Lease of facilities, equipment, or other assets from related organization(s)
- l Performance of services or membership or fundraising solicitations for related organization(s)
- m Performance of services or membership or fundraising solicitations by related organization(s)
- n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o Sharing of paid employees with related organization(s)
- p Reimbursement paid to related organization(s) for expenses
- q Reimbursement paid by related organization(s) for expenses
- r Other transfer of cash or property to related organization(s)
- s Other transfer of cash or property from related organization(s)

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
<b>(1) UNITED STATES COAST GUARD</b>	<b>B</b>	<b>3,166,989. GRANT AMOUNT</b>	
(2)			
(3)			
(4)			
(5)			
(6)			

**Part VI** **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part N, line 37)

**Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.**

## Part VII. Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

2012 DEPRECIATION AND AMORTIZATION REPORT  
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Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
112 VOLLEYBALL COURT	080112SL	20.0016		853.					853.			18.
113 VOLLEYBALL COURT	080112SL	20.0016		1,140.					1,140.			24.
COMCAST INTERNET	061512SL	15.0016		8,906.					8,906.			346.
114 SERVICE ARLINGTON OFFICE	070912SL	10.0016		4,809.					4,809.			240.
115 FURNITURE	080112SL	7.00		16	409.				409.			24.
116 FURNITURE	110512SL	5.00		16	995.				995.			33.
117 IMT DESKTOP SERVER - DELL POWER	110812SL	5.00		16	9,789.				9,789.			326.
118 EDGE OFFICE PROFESSIONAL	121112SL	3.00		16	12,543.				12,543.			348.
119 PLUS	112012SL	5.00		16	11,350.				11,350.			189.
120 SNOWPLOW TRUCK												
LAND	061569L					69,569.			69,569.			0.
1 LAND						311,805.			311,805.			0.
2 LAND - GOLF COURSE						381,374.			381,374.		0.	0.
* 990 PAGE 10 TOTAL - LAND												
LAND IMPROVEMENTS												
3 SKATING POND	061582L					1,983.			1,983.			0.
4 ROAD PAVING	110105SL	20.0016		99,400.					99,400.			4,970.
* 990 PAGE 10 TOTAL - LAND IMPROVEMENT						101,383.			101,383.		0.	4,970.
BUILDINGS												

2012 DEPRECIATION AND AMORTIZATION REPORT  
FORM 990 PAGE 10

990

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
4 OFFICE		06017SL	35.0016	35,172.					35,172.	35,172.		0.
5 GARAGE BARN		06017SL	35.0016	5,627.					5,627.	5,627.		0.
6 CARETAKER HOUSE		06017SL	35.0016	35,173.					35,173.	35,173.		0.
7 SHIPMATE LODGE		06017SL	35.0016	64,715.					64,715.	64,715.		0.
8 UNALLOCATED		060179SL	35.0016	20,839.					20,839.	19,635.		595.
9 SHIPMATE LODGE		060181SL	35.0016	107,475.					107,475.	95,354.		3,071.
10 SHIPMATE LODGE		060182SL	35.0016	11,984.					11,984.	10,278.		342.
11 BUILDING		060182SL	35.0016	790.					790.	691.		23.
12 UTILITY SHED		090193SL	20.0016	6,683.					6,683.	6,109.		334.
13 STAIRS		120184SL	10.0016	700.					700.	700.		0.
ROOF-CARETAKERS		080197SL	39.0016	5,175.					5,175.	1,928.		133.
14 HOUSE		100100SL	39.0016	4,135.					4,135.	1,193.		106.
29 PORCH - LODGE ROOF-LODGE EAST		040101SL	39.0016	5,400.					5,400.	1,484.		138.
30 SIDE		110101SL	10.0016	9,150.					9,150.	9,150.		0.
31 FURNACE-LODGE		110103SL	39.0016	12,700.					12,700.	2,662.		326.
39 CARETAKER WINDOWS		110504SL	39.0016	415,492.					415,492.	78,174.		10,654.
40 NEW OFFICES		080105SL	39.0016	5,820.					5,820.	958.		149.
43 ROOM		1001015L		3,290.					3,290.			0.

228102  
05-01-12

(D) - Asset disposed

\* [TC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

2012 DEPRECIATION AND AMORTIZATION REPORT  
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990

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 19	Current Year Deduction
58	WINDOWS / LODGE	110104SL	39.0016	6,290.				6,290.	752.			161.
59	BATHROOMS / LODGE	110104SL	39.0016	25,850.				25,850.	3,092.			663.
60	SIDING / LODGE	110104SL	39.0016	19,134.				19,134.	2,292.			491.
61	SIDING / CARETAKER HOUSE	090104SL	39.0016	7,200.				7,200.	924.			185.
62	STONE SIGN	080104SL	39.0016	350.				350.	48.			9.
65	ROOF REPAIRS	090106SL	39.0016	6,600.				6,600.	788.			169.
77	WATER PIPE REPAIRS	060107SL	39.0016	11,666.				11,666.	1,370.			299.
78	GENERATOR FLEXLITE - 22 WATER TANK	060107SL	20.0016	29,957.				29,957.	6,866.			1,498.
81		102908SL	20.0016	2,362.				2,362.	374.			118.
87	OIL TANK	112709SL	10.0016	1,425.				1,425.	298.			143.
88	LIGHT POLES	060509SL	20.0016	3,025.				3,025.	390.			151.
93	GARAGE DOORS REMODEL LODGE	102809SL	10.0016	819.				819.	178.			82.
94	SLIDING DOORS	100110SL	39.0016	7,242.				7,242.	232.			186.
12X8	RETAINING WALL	110110SL	20.0016	1,286.				1,286.	75.			64.
95	CARETAKERS	071911SL	5.0016	850.				850.	71.			170.
100	NORDIC TRACK TREADMILL											
* 990	PAGE 10 TOTAL											
	- BUILDINGS											
	EQUIP & FURN -											
	ADMIN											
32	TWO 17" MONITORS	012701SL	5.0016	550.				550.	550.			0.

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38	ARTWORK	010105SL	10.00	16	7,369.			7,369.	5,159.			737.
41	FILE CABINET	080103SL	7.00	16	325.			325.	325.			0.
42	FILE CABINET	1001013SL	7.00	16	399.			399.	399.			0.
46	COLOR PRINTER	010105SL	5.00	16	1,034.			1,034.	1,034.			0.
47	NETWORK UPGRADE	010105SL	5.00	16	8,316.			8,316.	8,316.			0.
48	PRINTER	020105SL	5.00	16	661.			661.	638.			0.
49	RE WEALTHPOINT	020105SL	3.00	16	8,400.			8,400.	8,400.			0.
50	RE SEARCH	020105SL	3.00	16	8,090.			8,090.	8,090.			0.
51	FIRE/SECURITY ALARM	020105SL	7.00	16	2,740.			2,740.	2,704.			33.
52	FLAG POLE	040105SL	7.00	16	1,139.			1,139.	1,100.			39.
53	OFFICE FURNITURE	070105SL	7.00	16	16,901.			16,901.	15,691.			1,207.
54	WINDOW TREATMENT	070105SL	7.00	16	2,091.			2,091.	1,944.			147.
55	COMPUTER - LISA CARPETING - ARCHIVE	070105SL	5.00	16	1,123.			1,123.	1,123.			0.
56	ROOM	070105SL	10.00	16	982.			982.	637.			98.
57	NETWORK UPGRADE SOFTWARE - SPECIAL	123105SL	3.00	16	1,605.			1,605.	1,605.			0.
63	EVENTS	123104SL	3.00	16	2,125.			2,125.	2,125.			0.
66	COMPUTER	020106SL	5.00	16	550.			550.	550.			0.
67	SIGNS	100106SL	7.00	16	3,067.			3,067.	2,300.			438.

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68CREDENZA	BOOKCASE AND CREDENZA	120106SL	7.00	16	7,891.			7,891.	5,730.			1,127.
69COMPUTER	COMPUTER	110106SL	5.00	16	980.			980.	980.			0.
70HP4350TN	HP LASERJET PRINTER	110206SL	5.00	16	1,769.			1,769.	1,769.			0.
SPAM AND BARRACUDA												
71WARE	WARE	121206SL	3.00	16	1,624.			1,624.	1,624.			0.
72(D)NETWORK SERVER	NETWORK SERVER	120806SL	5.00	16	7,779.			7,779.	7,650.			0.
73TEMPORARY WALL	TEMPORARY WALL	120106SL	7.00	16	1,000.			1,000.	727.			143.
74FLAGS	FLAGS	100106SL	3.00	16	1,420.			1,420.	1,420.			0.
75FINANCE PRINTER	FINANCE PRINTER	123106SL	5.00	16	637.			637.	637.			0.
EVENT POP-UP												
79DISPLAY BOOTH	DISPLAY BOOTH	010107SL	5.00	16	2,928.			2,928.	2,928.			0.
80PAINTING - SEASCAPE	PAINTING - SEASCAPE	070107L			10,000.			10,000.				0.
82ARROWWOOD DESK	ARROWWOOD DESK	082808SL	7.00	16	1,332.			1,332.	633.			190.
COMPUTER AND												
83MONITOR - BRAD	MONITOR - BRAD	072408SL	5.00	16	950.			950.	649.			190.
LAPTOP COMPUTER -												
84PATTI	PATTI	022008SL	5.00	16	2,629.			2,629.	2,016.			526.
COMPUTER & MONITOR												
85KATHY	KATHY	091008SL	5.00	16	1,069.			1,069.	713.			214.
TAPE DRIVE AND BACK												
86UP	UP	101408SL	5.00	16	1,850.			1,850.	1,203.			370.
89NEW COMPUTER - LISAS	NEW COMPUTER - LISAS	020209SL	5.00	16	895.			895.	522.			179.
90DELL INSPIRON 470	DELL INSPIRON 470	111509SL	5.00	16	1,016.			1,016.	440.			203.
91RAV 4	RAV 4	021009SL	5.00	16	12,554.			12,554.	7,324.			2,511.

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92HP NOTEBOOK	031209SL	5.00	16	1,200.					1,200.	680.		240.
2 AIR DUCTS	070110SL	10.00	16	1,495.					1,495.	225.		150.
96ARCHIVE ROOM												
2 HP ELITE 7000	092310SL	5.00	16	2,508.					2,508.	627.		502.
15 OFFICE												
98PROFESSIONAL WINDOW 092010SL		3.00	16	11,873.					11,873.	4,947.		3,958.
48" ROUND TABLE												
99WITH 12 STACKING CH111110SL		10.00	16	1,607.					1,607.	188.		161.
HP PROBOOK COMPUTER												
103- JEAN	010111SL	5.00	16	1,018.					1,018.	204.		204.
HP PROBOOK COMPUTER	010111SL	5.00	16	1,018.					1,018.	204.		204.
104- KATHY												
HP PROBOOK COMPUTER	022811SL	5.00	16	933.					933.	156.		187.
105- BRAD												
19" WIDESCREEN												
106MONITOR - LISA	043011SL	5.00	16	162.					162.	22.		32.
HP PROBOOK COMPUTER												
107- ANNE	043011SL	5.00	16	994.					994.	133.		199.
LEXMARK MONOCHROME	043011SL	5.00	16	1,425.					1,425.	190.		285.
108LASER PRINTER												
CUBICLES AND OFFICE	053111SL	10.00	16	11,165.					11,165.	651.		1,117.
109FURNITURE - 8 STAT												
WALL RACK FOR IT	063011SL	5.00	16	1,697.					1,697.	170.		339.
110SERVER												
111PHONE SYSTEM	063011SL	7.00	16	20,972.					20,972.	1,498.		2,996.
* 990 PAGE 10 TOTAL												
- EQUIP & FURN - A												
EQUIP & FURN - LODGE												
15MISC EQUIP	VARIESSL	10.00	16	44,723.					44,723.	44,723.		0.
16LODGE PLAQUES	060182SL	5.00	16	182.					182.	182.		0.

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17MISC EQUIP	060182SL	5.00	16		636.				636.	636.		0.
18MISC EQUIP	060185SL	5.00	16		1,543.				1,543.	1,543.		0.
19CARPETING	033189SL	10.00	16		3,006.				3,006.	3,006.		0.
20LODGE	070194SL	5.00	16		260.				260.	260.		0.
21REFRIGERATOR	060595SL	5.00	16		250.				250.	250.		0.
21CARETAKER												
22BRUSH CUTTER	032796SL	5.00	16		330.				330.	330.		0.
23FURNACE - CARETAKER												
28HOUSE	040199SL	10.00	16		2,029.				2,029.	2,029.		0.
33TRACTOR	110101SL	10.00	16		8,000.				8,000.	8,000.		0.
34BBQ GRILL	030102SL	5.00	16		730.				730.	730.		0.
35CARETAKER KITCHEN	123103SL	10.00	16		1,577.				1,577.	1,264.		158.
35FLOORING												
36CARETAKER CARPET	123103SL	10.00	16		2,814.				2,814.	2,248.		281.
37(D)SNOWPLOW TRUCK	121503SL	5.00	16		7,500.				7,500.	7,500.		0.
4AUDIO VIDEO												
64EQUIPMENT	010104SL	5.00	16		595.				595.	595.		0.
65CYCLE MOWER	100106SL	7.00	16		3,500.				3,500.	2,625.		500.
* 990 PAGE 10 TOTAL					77,675.				77,675.	75,921.	0.	939.
- EQUIP & FURN - L												
7WEBSITE												
102WEBSITE DEVELOPMENT	100111	36M	43		51,703.				51,703.	4,309.		17,234.
* 990 PAGE 10 TOTAL												
7WEBSITE												
102WEBSITE												

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Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
* GRAND TOTAL 990 PAGE 10 DEPR & AMOR						1721162.		0.	1721162.	607,181.	0.	63,877.

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