Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation: NEW ENGLAND BIOLABS FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 240 COUNTY ROAD

Room/suite:

City or town: IPSWICH

State: MA

ZIP code: 01938-2723

A Employer identification number: 04-2776213

B Telephone number (see the instructions): (978) 998-7990

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 7,367,626.

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I	**Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc, received (att sch)	201,100.			
2	Ck ► ☐ if the foundn is **not** req to att Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	216,626.	216,626.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain/(loss) from sale of assets not on line 10	279,289.	L-6a Stmt		
b	Gross sales price for all assets on line 6a 3,527,629.				
7	Capital gain net income (from Part IV, line 2)		279,289.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit/(loss) (att sch)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	697,015.	495,915.		
13	Compensation of officers, directors, trustees, etc	79,005.	0.	0.	79,005.
14	Other employee salaries and wages	35,006.	0.	0.	35,006.
15	Pension plans, employee benefits	23,529.	0.	0.	23,529.
16a	Legal fees (attach schedule)				
b	Accounting fees (attach sch) L-16b Stmt	8,753.	5,753.	0.	3,000.
c	Other prof fees (attach sch) L-16c Stmt	52,185.	51,135.	0.	1,050.
17	Interest				
18	Taxes (attach schedule)(see instrs) See Line 18 Stmt	13,926.	0.	0.	13,926.
19	Depreciation (attach sch) and depletion				
20	Occupancy	845.	0.	0.	845.
21	Travel, conferences, and meetings	12,227.	0.	0.	12,227.
22	Printing and publications	128.	0.	0.	128.
23	Other expenses (attach schedule) See Line 23 Stmt	26,093.	0.	0.	26,093.
24	**Total operating and administrative expenses.** Add lines 13 through 23	251,697.	56,888.	0.	194,809.
25	Contributions, gifts, grants paid	384,325.			384,325.
26	**Total expenses and disbursements.** Add lines 24 and 25	636,022.	56,888.	0.	579,134.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	60,993.			
b	**Net investment income** (if negative enter -0-)		439,027.		
c	**Adjusted net income** (if negative enter -0-)			0.	

REVENUE

OPERATING AND ADMINISTRATIVE EXPENSES

916

2

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

				Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		202,235.	107,051.	107,051.
	3	Accounts receivable ►	14,493.			
		Less allowance for doubtful accounts ►	0.	17,683.	14,493.	14,493.
	4	Pledges receivable ►	1,000.			
		Less. allowance for doubtful accounts ►	0.		1,000.	1,000.
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch) ►	50,000.	L-7 Stmt		
		Less allowance for doubtful accounts ►	0.	0.	50,000.	50,000.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U S and state government obligations (attach schedule) L-10a Stmt		281,535.	790,029.	785,229.
	b	Investments — corporate stock (attach schedule) L-10b Stmt		4,252,383.	4,389,559.	5,240,946.
	c	Investments — corporate bonds (attach schedule) L-10c Stmt		1,060,160.	1,081,543.	1,121,307.
	11	Investments — land, buildings, and equipment basis ►				
		Less accumulated depreciation (attach schedule) ►				
	12	Investments — mortgage loans				
	13	Investments — other (attach schedule) L-13 Stmt		642,771.	48,835.	47,600.
	14	Land, buildings, and equipment basis ►				
		Less accumulated depreciation (attach schedule) ►				
	15	Other assets (describe ► L-15 Stmt)		356,807.	885,116.	0.
	16	**Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)		6,813,574.	7,367,626.	7,367,626.
LIABILITIES	17	Accounts payable and accrued expenses		5,753.	10,794.	
	18	Grants payable		68,850.	94,700.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ►)		29,182.	0.	
	23	**Total liabilities** (add lines 17 through 22)		103,785.	105,494.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ►	☒			
	24	Unrestricted		6,688,789.	7,258,332.	
	25	Temporarily restricted		21,000.	3,800.	
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ►	☐			
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	**Total net assets or fund balances** (see instructions)		6,709,789.	7,262,132.	
	31	**Total liabilities and net assets/fund balances** (see instructions)		6,813,574.	7,367,626.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,709,789.
2	Enter amount from Part I, line 27a	2	60,993.
3	Other increases not included in line 2 (itemize) ► NET UNREALIZED GAIN ON INVESTMENTS	3	491,350.
4	Add lines 1, 2, and 3	4	7,262,132.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	7,262,132.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	INVESTMENT SECURITIES	P	12/31/10	12/31/12
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,527,629.		3,248,340.	279,289.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				279,289.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2** 279,289.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 — **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	513,158.	6,745,627.	0.076073
2010	560,980.	6,536,860.	0.085818
2009	476,119.	6,087,172.	0.078217
2008	520,581.	6,863,832.	0.075844
2007	533,950.	8,183,512.	0.065247

2	**Total** of line 1, column (d)	2	0.381199
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.076240
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	6,943,277.
5	Multiply line 4 by line 3	5	529,355.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,390.
7	Add lines 5 and 6	7	533,745.
8	Enter qualifying distributions from Part XII, line 4	8	579,134.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _ _ _ _ _ _ _ **(attach copy of letter if necessary – see instrs)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b			1	4,390.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	4,390.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,390.
6	Credits/Payments.				
a	2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	4,400.		
b	Exempt foreign organizations – tax withheld at source	6 b			
c	Tax paid with application for extension of time to file (Form 8868)	6 c			
d	Backup withholding erroneously withheld	6 d			
7	Total credits and payments Add lines 6a through 6d			7	4,400.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	9.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	1.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ►		0. **Refunded** ►	11	1.

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► MA - Massachusetts			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► www.nebf.org

14 The books are in care of ► JESSICA BROWN Telephone no ► (978) 998-7996

Located at ► 240 COUNTY ROAD IPSWICH MA ZIP + 4 ► 01938-2723

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JESSICA L. BROWN 275 HIGH ROAD NEWBURY MA 01951	EXECUTIVE DIRECTOR 30.00	79,005.	15,779.	0.
HENRY P. PAULUS 85 EAST INDIA ROW, #35A BOSTON MA 02110	TRUSTEE 5.00	0.	0.	0.
DAVID C. COMB 1 NORTON'S POINT MANCHESTER MA 01944	TRUSTEE 5.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1- see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
MORGAN STANLEY SMITH BARNEY 1 PENN PLAZA NEW YORK NY 10001	INVESTMENT MANAGEMENT	51,135.
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Foundation provided grants in the amount of $384,325.	384,325.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	6,894,369.
b	Average of monthly cash balances	1b	154,643.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	7,049,012.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	7,049,012.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	105,735.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,943,277.
6	**Minimum investment return.** Enter 5% of line 5	6	347,164.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	347,164.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	4,390.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	4,390.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	342,774.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	342,774.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	342,774.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc— total from Part I, column (d), line 26	1a	579,134.
b	Program-related investments— total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	579,134.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	4,390.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	574,744.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					342,774.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years 20__, 20__, 20__					
3 Excess distributions carryover, if any, to 2012.					
a From 2007	277,631.				
b From 2008	282,982.				
c From 2009	172,768.				
d From 2010	239,460.				
e From 2011	184,384.				
f **Total** of lines 3a through e		1,157,225.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 579,134.					
a Applied to 2011, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2012 distributable amount					342,774.
e Remaining amount distributed out of corpus		236,360.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,393,585.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions			0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		277,631.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		1,115,954.			
10 Analysis of line 9					
a Excess from 2008	282,982.				
b Excess from 2009	172,768.				
c Excess from 2010	239,460.				
d Excess from 2011	184,384.				
e Excess from 2012	236,360.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. **a** 'Assets' alternative test — enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter. **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

See Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SEE ATTACHED SEE ATTACHED MA 01938	N/A	501(c)(3) ORGANIZATIO	SEE ATTACHED	384,325.
Total			► **3a**	384,325.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	216,626.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18		279,289.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				216,626.	279,289.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 495,915.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
8	Investment income is used to provide grants

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	**1 a (1)**		X
(2) Other assets	**1 a (2)**		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
(3) Rental of facilities, equipment, or other assets	**1 b (3)**		X
(4) Reimbursement arrangements	**1 b (4)**		X
(5) Loans or loan guarantees	**1 b (5)**		X
(6) Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 05/01/13 | Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Timothy F. Hagan, CPA		05/09/13		P00365920

Firm's name ► BERNARD, JOHNSON & COMPANY, P.C. | Firm's EIN ► 04-3068663

Firm's address ► 15 MAIN STREET
TOPSFIELD MA 01983 | Phone no (978) 887-2220

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

2012

Name of the organization	Employer identification number
NEW ENGLAND BIOLABS FOUNDATION	04-2776213

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
NEW ENGLAND BIOLABS FOUNDATION

Employer identification number
04-2776213

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEW ENGLAND BIOLABS, INC 240 COUNTY ROAD IPSWICH MA 01938	$ 142,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	DONALD COMB 240 COUNTY ROAD IPSWICH MA 01938	$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2012

Name: NEW ENGLAND BIOLABS FOUNDATION
Employer Identification Number: 04-2776213

Asset Information:

Description of Property INVESTMENTS
Date Acquired. various How Acquired Purchased
Date Sold various Name of Buyer
Sales Price 3,527,629. Cost or other basis (do not reduce by depreciation) 3,248,340.
Sales Expense Valuation Method Cost
Total Gain (Loss) 279,289. Accumulation Depreciation:

Description of Property
Date Acquired How Acquired
Date Sold Name of Buyer:
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired:
Date Sold Name of Buyer:
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss) Accumulation Depreciation:

Description of Property:
Date Acquired How Acquired:
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method:
Total Gain (Loss): Accumulation Depreciation

Description of Property
Date Acquired: How Acquired:
Date Sold Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method.
Total Gain (Loss): Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold Name of Buyer
Sales Price. Cost or other basis (do not reduce by depreciation)
Sales Expense. Valuation Method
Total Gain (Loss) Accumulation Depreciation

Description of Property
Date Acquired How Acquired
Date Sold Name of Buyer:
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense: Valuation Method
Total Gain (Loss) Accumulation Depreciation.

Description of Property
Date Acquired How Acquired:
Date Sold. Name of Buyer
Sales Price Cost or other basis (do not reduce by depreciation)
Sales Expense Valuation Method
Total Gain (Loss): Accumulation Depreciation:

Form 990-PF Part II, Lines 6 and 7 | **Receivables** | **2012**

Name: NEW ENGLAND BIOLABS FOUNDATION
Employer Identification Number: 04-2776213

Lender Information

Loan Receivable Type 3
Borrower's Name ROOT CAPITAL, INC. Borrower's Title CORPORATION
Check Box, if Borrower is a Business ☒ Relationship of Borrower (other loans only) NONE
Repayment Terms ONE YEAR TERM Borrower's Security UNSECURED
Purpose of Loan GROW RURAL PROSPERITY Description of Consideration CASH
Original Amount 50,000. Beginning Year Balance 0. Year End Balance 50,000.
FMV of at Year End 50,000. FMV of Consideration 50,000.
Allowance for Doubtful Accounts (other loans only) 0.
Date of Note 11/15/12 Maturity Date 11/15/13 Interest Rate 2.50

Loan Receivable Type
Borrower's Name Borrower's Title
Check Box, if Borrower is a Business ☐ Relationship of Borrower (other loans only)
Repayment Terms Borrower's Security
Purpose of Loan Description of Consideration
Original Amount Beginning Year Balance Year End Balance
FMV of at Year End FMV of Consideration
Allowance for Doubtful Accounts (other loans only)
Date of Note Maturity Date Interest Rate

Loan Receivable Type
Borrower's Name Borrower's Title
Check Box, if Borrower is a Business ☐ Relationship of Borrower (other loans only)
Repayment Terms Borrower's Security
Purpose of Loan Description of Consideration
Original Amount Beginning Year Balance Year End Balance
FMV of at Year End FMV of Consideration
Allowance for Doubtful Accounts (other loans only)
Date of Note Maturity Date Interest Rate

Loan Receivable Type
Borrower's Name Borrower's Title
Check Box, if Borrower is a Business ☐ Relationship of Borrower (other loans only)
Repayment Terms Borrower's Security
Purpose of Loan Description of Consideration
Original Amount Beginning Year Balance Year End Balance
FMV of at Year End FMV of Consideration
Allowance for Doubtful Accounts (other loans only)
Date of Note Maturity Date Interest Rate

Underpayment Penalty Statement

► Attach to return

2012

Name
NEW ENGLAND BIOLABS FOUNDATION

Employer Identification No
04-2776213

'Event'	Date	Amount Due	Amount Paid	Balance Due (Overpayment)	Percent	# of Days	Penalty
Amount Due	05/15/12	1,078.		1,078.	3.00	0	
Applied	05/15/12		0.	1,078.	3.00	28	2.47
Payment	06/12/12		2,200.	-1,122.	3.00		
Amount Due	06/15/12	1,080.		-42.	3.00		
Amount Due	09/15/12	1,080.		1,038.	3.00	79	6.72
Payment	12/03/12		2,200.	-1,162.	3.00		
Amount Due	12/15/12	1,080.		-82.	3.00		
Date Filed	05/15/13			-82.	3.00		

Total Penalty 9.19

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
PAYROLL TAXES	8,130.	0.	0.	8,130.
FEDERAL EXCISE	5,796.	0.	0.	5,796.
Total	13,926.	0.	0.	13,926.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
BANK CHARGES	339.	0.	0.	339.
PAYROLL SERVICE FEES	2,013.	0.	0.	2,013.
OFFICE SUPPLIES	658.	0.	0.	658.
MEMBER DUES	2,590.	0.	0.	2,590.
EVALUATION/GRANTEE NETWORKING	14,247.	0.	0.	14,247.
FUNDRAISERS	3,252.	0.	0.	3,252.
BOARD EXPENSES	1,005.	0.	0.	1,005.
PUBLICATIONS/WEB DESIGN	625.	0.	0.	625.
COMPUTER EXPENSE	1,100.	0.	0.	1,100.
FILING FEES	125.	0.	0.	125.
OTHER EXPENSES	139.	0.	0.	139.
Total	26,093.	0.	0.	26,093.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ HEIDI ELLARD 15 FOREST LANE BOXFORD MA 01921	TRUSTEE 5.00	0.	0.	0.
Person ☒ Business ☐ MARCOS A. NETO 5 SARGENT STREET CAMBRIDGE MA 02140	TRUSTEE 5.00	0.	0.	0.
Total		0.	0.	0.

Form 990-PF, Page 10, Part XV, Line 2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs

Name JESSICA L. BROWN
Address 240 COUNTY ROAD
City, St, Zip, Phone IPSWICH MA 01938-2723 (978) 998-7996
E-mail brown@nebf.org

The form in which applications should be submitted and information and materials they should include.
SEE ATTACHED NOTE

Any submission deadlines:
APPLICATIONS ARE REVIEWED TWICE A YEAR.

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors·
SEE ATTACHED NOTE

Name Susan Foster
Address 240 County Rd
City, St, Zip, Phone Ipswich MA 01938 (978) 998-7996
E-mail

The form in which applications should be submitted and information and materials they should include:
SEE NOTE

Any submission deadlines:
MUST BE POSTMARKED BY MARCH 1 OR SEPTEMBER 1.

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
SEE ATTACHED NOTE

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BERNARD JOHNSON & CO	REVIEW & TAX	8,753.	5,753.	0.	3,000.
Total		8,753.	5,753.	0.	3,000.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MORGAN STANLEY	INVESTMENT MANAGEMENT	51,135.	51,135.	0.	0.
GAIL McELHINNEY	BOOKKEEPING	1,050.	0.	0.	1,050.
Total		52,185.	51,135.	0.	1,050.

Form 990-PF, Page 2, Part II, Line 10a
L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	State and Local Obligations FMV	End of Year US Government Obligations Book Value	US Government Obligations FMV
GOVERNMENT OBLIGATIONS			790,029.	785,229.
Total			790,029.	785,229.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
CORPORATE EQUITIES	4,389,559.	5,240,946.
Total	4,389,559.	5,240,946.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	Fair Market Value
CORPORATE BONDS	1,081,543.	1,121,307.
Total	1,081,543.	1,121,307.

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
MUTUAL FUNDS	48,835.	47,600.
Total	48,835.	47,600.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	Fair Market Value
UNREALIZED GAIN ON INVESTMENTS	356,807.	885,116.	0.
Total	356,807.	885,116.	0.

Additional Information For Tax Return

NEW ENGLAND BIOLABS FOUNDATION 04-2776213

Form 990-PF,p10: Part XV, Line 2b-1

A HISTORY OF THE ORGANIZATION AND ITS FUNDING SOURCES/SUMMARY OF THE PROPOSAL/GOALS/MEANS FOR ACHIEVING THOSE GOALS/BUDGET AND TIMETABLE/OTHER SOURCES OF SUPPORT FOR THE PROJECT.

Form 990-PF,p10: Part XV, Line 2d-1

THE FOUNDATION ORDINARILY LIMITS ITS GRANTS IN THE U.S. TO THE GREATER BOSTON/NORTH SHORE AREA. THE FOUNDATION PREFERS TO HELP ORGANIZATIONS START PROJECTS

Form 990-PF,p10: Part XV, Line 2b-2

AN APPENDIX CONTAINING: THE NAMES OF THE BOARD OF DIRECTORS, RESUME(S) OF PROJECT PRINCIPAL(S), TWO (2) YEARS OF FINANCIAL STATEMENTS OR A CURRENT FORM 990, TAX DETERMINATION LETTER, PROJECT LITERATURE OR PUBLISHED ARTICLES

Form 990-PF,p10: Part XV, Line 2d-2

WITH THE UNDERSTANDING THAT ONCE ESTABLISHED FUNDING FROM OTHER SOURCES WILL BE SOUGHT. GRASSROOTS ORGANIZATIONS, EMERGING SUPPORT GROUPS AND CHARITABLE ORGANIZATIONS ARE ENCOURAGED TO APPLY.

New England Biolabs Foundation
Account QuickReport
January through December 2012

	Date	Name	Address	Pupose	Amount
6100 Grants					
	01/05/2012	PEAWA	P O Box 14677 Yaounde, Cameroon	spport and sustain water catchment area	6,000 00
	06/20/2012	Cultural Survival	215 Prospect Street Cambridge, MA	cultural programs	9,000 00
	06/20/2012	New Haven Leon Sister City	608 Whitney Avenue New Haven, CT 06511	environmental education in local schools	5,000 00
	06/20/2012	Gloucester Adventure, Inc	P O Box 1306 Gloucester, MA 01931	Gloucester Working Waterfront program	5,000 00
	06/21/2012	Grassroots International, Inc	179 Boylston Street, 4th Floor Boston, MA 02130	Womens Vegeatable Garden and Leadership	7,500 00
	06/25/2012	Ahado Youth Environmental Club	P O Box 341 Hohoe, Volta Ghana	environmental leadership	5,000 00
	06/25/2012	Asociacion Andina Cusichaca	Av Andres Avelino Caceres 108 San Jeronimo, Peru	integrated water management system	7,000 00
	06/27/2012	APROSARSTUN	Livingston IZABAL Guatemala C A	clean water and improved living conditions	8,500 00
	06/27/2012	Gloucester Fishermen's Wives Association	2 Blackburn Center Gloucester, MA 01930	support of Cape Ann Fresh Catch program	5,000 00
	06/27/2012	TUCAYTA	Av Paseo de los Cañaris, Sector Iza Viej Cañar Ecuador	alpaca fiber handicrafts	8,000 00
	06/27/2012	Cape Ann Farmer's Market	Box 1458 Gloucester, MA 01930	educational outreach programs	5,000 00
	07/05/2012	ASMUDES	Calle Destavamento 130 #340 Sucre Bolivia	Quechua-led agroforestry	8,000 00
	07/05/2012	Bojoke Assn Pineapple & Plantain Farmers	Buea Road Mutengene Cameroon	promote agroforestry practices	5,000 00
	07/10/2012	MASANGNI	Barrio Galilea Puerto Cabezas RAAN Nicaragua	planting native ojoche trees	5,000 00
	07/10/2012	ASDECHI	calle Ceiba Pologono N1 Barrio Dolores Chinameca	support community based agroforestry	5,000 00
	07/19/2012	Ya'axche Conservation Trust	Box 177 2 Alejandro Vernon St Punta Gorda	rural poverty and unsustainable farming	9,000 00
	07/24/2012	SATIM	81 Main St Punta Gorda Town Belize	changes in biodiversity	8,000 00
	07/24/2012	American Bird Conservancy	4229 Loudoun Avenue The Plains, VA 20198	community based reforestation	5,000 00
	12/05/2012	Community Action for Development	P O Box 85 Bangem Kupe-Muanenguba	primary school environmental tree planting	1,000 00
	12/14/2012	NATURE CARE CAMEROON	BOX 121 BUI DIVISION KUMBO	reforestation and agroforestry	6 500 00
	12/14/2012	Wildlife Conservation Society	2300 Southern Blvd Bronx NY 10460	wildlife conservation	7,500 00
	12/14/2012	Community Action for Development	P O Box 85 Bangem Kupe-Muanenguba	primary school environmental tree planting	5,000 00
	12/14/2012	RURAL ALLIANCE FOR YOUTH DEVELOPMENT	BOX 441 LIMBE CAMEROON	great ape conservation	7,350 00
	12/14/2012	FCAT Conservacion de los Andes Tropicales	Mariano Hurtado No 5089 y Vincente Heredia Quito Ecuador	community based habitat protection	8,000 00
	12/14/2012	Pan African Organization for Research	PO Box 2153 Tamale, Ghana	educational programs for women charcoal	8,500 00
	12/14/2012	FUNDENOR AQ'AB'AL	5aCalle 3a Calle, zona 1 Barrio El Carpintero Purulha, Baja Verapaz	indigenous families in San Cristobal	7,500 00
	12/14/2012	EcoLogic - AJAASSPIB	25 Mt Auburn St #23 Cambridge, MA 02138	support of community water councils	8,500 00
	12/14/2012	Madre	121 West 27th St , Room 301 New York, NY 10001	food, water, and hope project	7,000 00
	12/14/2012	PeaceWorks - FEDICAMP	6 Dumont Place, 2nd floor Morristown, NJ 07960	expansion of reforestation project	6,000 00
	12/14/2012	Strategies International (SID)	330 Pennsylvania Avenue, SE, Suite 304 Washington, D C 20003	support of women farmers	5,700 00
	12/14/2012	Raw Art Works, Inc	37 Central Square Lynn, MA 01901	support for arts-based employment program	5,000 00
	12/14/2012	Gloucester Stage Company	267 East Main St Gloucester, MA 01930	support of Youth Acting Workshop	4,000 00
	12/14/2012	The Food Project	120 Munroe St Lynn, MA 01901	support for Lynn Central Sq Farmers Mkt	5,000 00
	12/14/2012	Asociacion Civil Armonia	Av Lomas de Arena #400 Casila Correro 3566, Santa Cruz	conserve and restore endemic forest habitat	7,500 00
	12/14/2012	Rural Integrated Relief Service	Box KA301 Kasoa	protection of Kyabobo National Reserve	2,500 00
	12/14/2012	Ghana Wildlife Society	Box 13252 Accra Ghana	community based wildfire prevention	7,000 00
	02/01/2012	Artcorps	240 County Road, Ipswich, MA 01938	arts and creative facilitation	110,000 00
	04/24/2012	Mangrove Association	Carretera Litoral Km 85, San Vincente, El Salvador	raise environmental awareness	7,950 00
	06/25/2012	Fundaeco	25 Calle 2-39, Zona 1 Guatemala	engaging local fishers in no-take zone	9,000 00
	12/14/2012	Oceana	1350 Connecticut Ave, Washington DC 20036	reduce overfishing and fishing methods	5,000 00
	12/14/2012	Toledo Institute	1 Mile San Antonio Road, Punta Gorda Town, Belize	expansion of no-take zones	9,000 00
	12/14/2012	Bay Island Conservation Association	Guanaja Island, Bay Islands, Honduras	community based reforestation	7,450 00
	12/14/2012	ELAW	1877 Garden Avenue, Eugene OR 97403	protect fishing grounds from development	5,000 00

New England Biolabs Foundation
Account QuickReport
January through December 2012

Date	Name	Address	Pupose	Amount
05/02/2012	Artsbridge, Inc	PO Box 113, Swampscott, MA 01907	support spring fundraiser	375 00
12/14/2012	Ipswich River Watershed Assn	PO Box 576, Ipswich, MA 01938	support community fundraiser	2,000 00
12/14/2012	Change is Simple	10 Summit Avenue, Beverly, MA 01915	sustainability enrichment programs	1,000 00
12/14/2012	Sacred Land Film Project	2150 Allston Way, Berkeley, CA 94704	protect and defend sacred natural sites	250 00
12/14/2012	The Actors Studio	50 Water Street, Newburyport, MA 01950	education programs on indigenous rights	750 00
12/14/2012	Coastal Trails Coalition, Inc	PO Box 1016, Newburyport, MA 01950	outreach to coastal trail communities	250 00
12/14/2012	New England International Donors	160 Federal Street, Boston, MA 02110	increase global philanthropy	500 00
12/14/2012	Small Solutions	3 Oak Street, Newburyport, MA 01950	children's educational programs in Africa	250 00
				384,325 00