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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

Depa	rtmen	nt of the Treasury Note. The	foundation may	Treated as a Privat be able to use a copy of this	e Founda return to sati	ation sfv state repo	rtına reauırements.	Open to public inspection
		ndar year 2012 or tax year beginn			, and e		- Ingredential	Open to public inspection
		f foundation		·	<u> </u>		A Employer identification	number
Т	ΗE	SWANTON FAMILY	FOUNDAT	TION INC.			03-0471427	
Nun	iber a	and street (or P O box number if mail is n	ot delivered to stree	t address)	···········	Room/suite	B Telephone number	
		N.SWANTON, 305	23 PALOS	VERDES DRIV	E	<u> </u>	(212)697-9	
		own, state, and ZIP code CHO PALOS VERDE	S, CA 9	00275			C if exemption application is pe	ending, check here
GC	heck	call that apply: Initial re	eturn	Initial return of a fo	ormer public o	charity	D 1. Foreign organizations	, check here
		Final re	turn	Amended return			2 5	
			s change	Name change			Foreign organizations me check here and attach cor	mputation Lest,
H C	-			xempt private foundation			E If private foundation stat	
<u></u>		ection 4947(a)(1) nonexempt chard		Other taxable private founda			under section 507(b)(1)	(A), check here
		arket value of all assets at end of ye Part II, col. (c), line 16)		ther (specify)	Accr	uai	F If the foundation is in a 6	
('')				ımn (d) must be on cash i	basis)		under section 507(b)(1)	(b), check here
	rt I	Analysis of Revenue and Expen	ses	(a) Revenue and		vestment	'(c) Adjusted net	(d) Disbursements
		(The total of amounts in columns (b), (onecessarily equal the amounts in columns)	nn (a))	expenses per books		ome	income	for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., r	eceived	848,100.		,	N/A	
	2	Check If the foundation is not required interest on savings and temporary	ured to attach Sch B	1.0				
	3	cash investments .		16.		16.		STATEMENT 1
	4	Dividends and interest from secur	rities					· *
		Gross rents						-,
	b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10			<35,262.	>			,
nue	b	Gross sales price for all assets on line 6a	\$\\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		24		> *	
eve	7	Capital gain net income (from Part IV, Iir				0.		
S. R	8	Net short-term capital gain	-					
Ö	9	Income modifications Gross sales less returns			ž > 1	< .		*
UZINNY OSRevenue	10a	and allowances			* *	}		
N	b	Less Cost of goods sold				-		
	11	Gross profit or (loss) Other income					``	1.5
/	12			812,854.		16.		
	13	Compensation of officers, directors, trus	stees, etc	0.		0.		0.
7	14	Other employee salaries and wage	es					
ວ ູ	15	Pension plans, employee benefits	-				RECEIVEL	
ر عود عود		Legal fees	am.am. 0	1 500			1120	
ρē		•	STMT 2	4,500.		ρ.	AUG 0 5 201	$\frac{1}{3}$ $\frac{0}{\sqrt{5}}$ 0.
e E	17	Other professional fees Interest	•	<u> </u>		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	AUG U U Z	<u> </u>
Ut UC Operating and Administrative Expense	18	Taxes	•					17
istr	19	Depreciation and depletion	-			-	OGDEN,	
E	20	Occupancy						<u> </u>
Ad	21	Travel, conferences, and meeting	S					
anc	22	Printing and publications						
ing	23	•	STMT 3	7,830.		0.		0.
erat	24	Total operating and administrati		12 220		0.		^
Ö	25	expenses. Add lines 13 through 2 Contributions, gifts, grants paid	23	12,330. 73,072.		0.		73,072.
		Total expenses and disburseme	nts.	73,072.				13,012.
		Add lines 24 and 25	···	85,402.		0.		73,072.
	27				-			1
	а	Excess of revenue over expenses and d	Isbursements	727,452.				
		Net investment income (if negative	•			16.		
2235	C C	Adjusted net income (if negative, er					N/A	1
22350 12-05	- 12	LHA For Paperwork Reduction	n Act Notice, see	instructions.				Form 990-PF (2012)

Cash - non-witersi-bearing Cash - non-wit			Balance Sheets Attached schedules and amounts in the description	Beginning of year		of year		
2. Swings and temporary scale investments 3. Accounts receivable ▶ Less: allowance for doubtful accounts ▶ 4. Precises receivable ▶ Less: allowance for doubtful accounts ▶ 5. Gorner scenable 8. Receivables due from officers, directors, trustess, and other despulsed persons 7. One must are test read or use 8. Investments test allow use 10. Investments - Curporate blonds 11. Investments - Curporate blonds 12. Investments - Curporate blonds 13. Investments - Curporate blonds 14. Land, butdongs, and equipment bloss ▶ 15. Total assess (describe ▶ LOANS & EXCHANGE) 16. Total assess (describe ▶ LOANS & EXCHANGE) 17. Accounts payable and accrual expenses 18. Different forwance 19. Different forwance 19. Different forwance 29. Morragenes and other notes payable 20. Lance from officers, directored blonds 19. Total Intellitities (discribe ▶ LOANS & EXCHANGE) 19. Total Intellities (discribe ▶ LOANS & EXCHANGE) 19. Total Intellities (discribe ▶ LOANS & EXCHANGE) 19. Different forwance 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities (discribe ▶ LOANS & EXCHANGE) 29. Total Intellities		arı	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value		
Second to receive New York		1	Cash - non-interest-bearing					
Less: allowance for doubful accounts Less: allowance for		2	Savings and temporary cash investments	138,381.	162,172.	162,172.		
Pricigion receivable		3	Accounts receivable >					
S. Girnis researche 5. Girnis researche 5. Girnis researche 5. Girnis researche 7. Ome ons and have research 1. Esse; allowance for doubtful accounts		1	Less: allowance for doubtful accounts					
S cards receivable de Receivables due from officers, directors, trustees, and other disqualified persons 7 to the reas real basis sensible Less, silvovance for doubtful accounts between the cases, allowance for doubtful accounts between the persons and deferred charges 1 wirentifications between the persons and deferred charges 1 wirentifications between the persons and deferred charges 1 wirentifications between the persons are composed took c Investments - corporate bonds 1 westments - corporate bonds 2 west		4	Pledges receivable ►					
Receive black of the complete files of the complete files and deferred complete files		l	Less: allowance for doubtful accounts ▶					
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Total liabilities and inest service between the control of the con			disqualified persons					
Best and complete lines 24 through 28 and controlled persons 20 and 31 to 1 t		7						
Prepared expenses and deferred charges 10a Investments - Corporate bonds 11b investments - Corporate bonds 11 investments - Corporate bonds 11 investments - Corporate bonds 12 investments - Corporate bonds 13 investments - Corporate bonds 14 Land, buildings, and equipment basis 15 investments - Corporate bonds 16 investments - Corporate bonds 17 investments - Corporate bonds 18 investments - Corporate bonds 19 investments - Corporate bonds 19 investments - Corporate bonds 10 investments - Corporate bonds 10 investments - Corporate bonds 10 investments - Corporate bonds 11 investments - Corporate bonds 12 investments - Corporate bonds 13 investments - Corporate bonds 14 Land, buildings, and equipment basis 15 investments - Corporate bonds 16 investments - Corporate bonds 17 investments - Corporate bonds 18 investments - Corporate bonds 19 investments - Corporate bonds 19 investments - Corporate bonds 10 investments - Corporate bonds 11 investments - Corporate bonds 12 investments - Corporate bonds 12 investments - Corporate bonds 13 investments - Corporate bonds 14 Land, buildings, and equipment investments 15 investments - Corporate bonds 16 investments - Corporate bonds 17 investments - Corporate bonds 18 investments - Corporate bonds 19 investments - Corporate bonds 10 investments - Corporate bon		ł		THE STREET PARTY AND LONG TO SERVICE STREET				
b investments - corporate stock c investments - corporate stoc	S	8	Inventories for sale or use	•				
b investments - corporate stock c investments - corporate stoc	set	9	Prepaid expenses and deferred charges					
b investments - corporate stock c investments - corporate stoc	As	•	· · · · ·					
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11 Investments - Land, buildings, and equipment basis		•			-	-		
12 Investments - mortgage loans 12 Investments - mortgage loans 13 Investments - other STMT 5 100,000 .		1	·		······································	-		
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27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, bldg., and equipment fund 29 Retained earnings, accumulated income, endowment, or other funds 30 Total net assets or fund balances 537,576. 1,265,028. Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 1, 265, 028.	Š			337,3701	2,203,0201	* ^		
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Total net assets or fund balances 30 Total net assets or fund balances 537,576. 1,265,028. Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 1, 265, 028.	ets	I						
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Total liabilities and net assets/fund balances 537,576. 1,265,028.	et /	I		537 576	1 265 028.	1		
Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ▶ 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ▶ 5 Oc. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 1, 265, 028.	Z]"	Total liet assets of fullu balances	337,370.	1,203,020.	,		
Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ▶ 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ▶ 5 Oc. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 1, 265, 028.		1	Total lightities and not constant and halaness	537 576	1 265 028	ļ		
1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) 5 Oo. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	=				1,203,020.	<u> </u>		
(must agree with end-of-year figure reported on prior year's return)1537,576.2Enter amount from Part I, line 27a2727,452.3Other increases not included in line 2 (itemize)30.4Add lines 1, 2, and 341,265,028.5Decreases not included in line 2 (itemize)50.6Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 3061,265,028.	P	art	III Analysis of Changes in Net Assets or Fund B	alances				
(must agree with end-of-year figure reported on prior year's return)1537,576.2Enter amount from Part I, line 27a2727,452.3Other increases not included in line 2 (itemize)30.4Add lines 1, 2, and 341,265,028.5Decreases not included in line 2 (itemize)50.6Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 3061,265,028.	1	Tota	net assets or fund balances at beginning of year - Part II, column (a), line	30	<u> </u>	·		
2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ▶ 4 Add lines 1, 2, and 3 5 Decreases not included in line 2 (itemize) ▶ 5 Occesses not included in line 2 (itemize) ▶ 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 2 727, 452. 3 0. 4 1, 265, 028.	•				1	537.576.		
3 Other increases not included in line 2 (itemize) ► 3 0. 4 Add lines 1, 2, and 3 4 1, 265, 028. 5 Decreases not included in line 2 (itemize) ► 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 1, 265, 028.	2	•		•	2	727.452.		
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5 Decreases not included in line 2 (itemize) ► 5 0. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 6 1, 265, 028.								
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30				•				
				olumn (b), line 30				
	Ť			<u> </u>				

, ,

Part I	V Capital Gains	and Lo	osses for Tax on In	vestment	Income						
	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)					(6) I	How ac - Purc - Dona	Habe I	c) Date a (mo., da	cquired y, yr.)	(d) Date sold (mo., day, yr.)
1a 36	,667 SHS WAR	REN :	RESOURCE					D 1	2/30)/10	09/12/12
b DE	UTSCHE BANK	NOTE						D 1	0/04	1/11	09/10/12
C										-	
d											
е						Щ,					
(e) Gross sales price	(f)	Depreciation allowed (or allowable)		t or other basis xpense of sale					in or (loss (f) minus	(g)
a	109,176.	_			162,43						<53,262.
<u> </u>	118,000.				100,00	0.					18,000.
<u> </u>						\dashv					
d	····	<u></u>			. 						
e Com	plete only for assets showir	20 0210 10	column (h) and award by t	ho foundation	on 12/21/60	\dashv				1 (6)	
	piete only for assets shown					\dashv		(1) (col. (1	sains (Co k), but n	ıl. (h) gaın ot less tha	n nus
(i)	F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	, ,	cess of col. (ı) col. (ı), ıf any					from col.	(h)) ´
<u>a</u>											<53,262.
<u> </u>											18,000.
<u> </u>											
d		ļ <u>.</u> .	·	·····							
е						Ţ					
2 Capita	il gain net income or (net ca	apıtal loss) $ \begin{cases} \text{ If gain, also enter} \\ \text{ If (loss), enter -0-} \end{cases} $	ın Part I, line ın Part I, line	7 7	}	2				<35,262.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).				٦							
If (los	s), enter -0- ın Part I, line 8					J	3			N/A	.
Part \	/ Qualification U	Inder S	Section 4940(e) for	Reduced	Tax on Net	Inv	estn	nent Inco	me		
Was the t	4940(d)(2) applies, leave the foundation liable for the section for the section for the section for the appropriate amount in	tion 4942 lify under	tax on the distributable am section 4940(e). Do not co	mplete this pa	rt.						Yes X No
1 Enter	(a)	each colu		istructions bei	ore making any e				Τ	-	(4)
Calend	Base period years dar year (or tax year beginni	ng in)	(b) Adjusted qualifying dist		Net value of no	(c) inchai	ritable-			Distril col. (b) di	(d) bution ratio vided by col. (c))
	2011			9,700.				4,862.			.201843
	2010			1,058.				6,146.			.917662
	2009		11	$\frac{7,310}{0.350}$		-1		4,312.	ļ		.223741
	2008		0	9,350. 3,250.				8,584.	ļ .		.052996
	2007		3	3,250.			, 20	3,158.			.073823
	of line 1, column (d)	· .							2		1.470065
	ge distribution ratio for the undation has been in existe	-	•	on line 2 by 5,	or by the number	of ye	ars		3	****	.294013
4 Enter	the net value of noncharitat	ole-use as	sets for 2012 from Part X, I	ine 5					4		482,935.
5 Multir	bly line 4 by line 3								5		141,989.
•		mo /10/ mi	[Dort Line 97h)				•	•			<u> </u>
	1% of net investment incon	iic (1% 0)	1 F 41 L 1, 11118 2/0}			•			6		0.
7 Add II	nes 5 and 6								7		141,989.
	qualifying distributions from			1h and as	lote that		0/ +	ento.	8		73,072.
	8 is equal to or greater than ne Part VI instructions.	ı ınıe 7, C	HECK LIE DOX III PART VI, IME	iu, aiiu comp	iete triat part USIN	ya I'	/o laX I	alt.			

			0471			Page 4
	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 49	<u> 48 -</u>	- see ir	ıstru	ctior	าร)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.					1
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here And enter 1%	1				0.
	of Part I, line 27b					,
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).					لــــا
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2				0.
	Add lines 1 and 2	3				0.
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4				0.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5				0.
	Credits/Payments:		1			
a	2012 estimated tax payments and 2011 overpayment credited to 2012 6a 1,617.					
b	Exempt foreign organizations - tax withheld at source		1			
	Tax paid with application for extension of time to file (Form 8868)		1			
	Backup withholding erroneously withheld 6d 6d					·
7	Total credits and payments. Add lines 6a through 6d	7	<u> </u>		1,6	<u> 17.</u>
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8	L			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9				
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10			1,6	17.
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax ▶ 1,617. Refunded ▶	11				0.
Pa	rt VII-A Statements Regarding Activities					
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in	1			Yes	
	any political campaign?			1a		X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?			1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published	1 or				1
	distributed by the foundation in connection with the activities					!
C	Did the foundation file Form 1120-POL for this year?			1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			200		
	(1) On the foundation. \blacktriangleright \$ 0 • (2) On foundation managers. \blacktriangleright \$ 0 •			A ?		
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			I		i
	managers. ► \$ 0.			*		. 1
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X
	If "Yes," attach a detailed description of the activities.		ļ			5
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or					,
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		ļ	4a		Х
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N	/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		. [5		X
	If "Yes," attach the statement required by General Instruction T.		ſ			1
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					
	By language in the governing instrument, or					
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state I	aw		1		i
	remain in the governing instrument?			6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year?			7	X	
	If "Yes," complete Part II, col. (c), and Part XV.				·	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) NONE					
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)					
	of each state as required by General Instruction G? If "No," attach explanation SEE STATEME	INE	6	8b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calen	dar				
	year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV			9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses			10		Х
			Forn	1990	-PF(2012)

Form	990-PF(2012) THE SWANTON FAMILY FOUNDATION INC. 0	3-04714	127	ı	Page 5
Pa	irt VII-A Statements Regarding Activities (continued)				
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of		Ī		
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	l	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory priv	ileges?			
	If "Yes," attach statement (see instructions)	į	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	Х	
	Website address ► NONE	<u> </u>			
14	The books are in care of ► NORMAN SWANTON Telephone no. ►	(212)69	7-9	966	0
	Located at ▶ 30523 PALOS VERDES DRIVE EAST, RANCHO PALOS VERDE Z	IP+4 ▶902	275		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here				
	and enter the amount of tax-exempt interest received or accrued during the year	15	N,		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank,	_	ľ	Yes	No
	securities, or other financial account in a foreign country?	L	16		Х
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign				
	country				
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required				
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
16	During the year did the foundation (either directly or indirectly):				
		X No	İ		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)		İ	İ	
		X No	ĺ	ľ	
		X No		į	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	X No		•	
	(5) Transfer any income or assets to a disqualified person (or make any of either available		ŀ		
	for the benefit or use of a disqualified person)?	X No			•
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			ļ	
	If the foundation agreed to make a grant to or to employ the official for a period after			~5	
	termination of government service, if terminating within 90 days.)	X No	`	~	
1	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	_			
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here			Ī	
(Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected				
	before the first day of the tax year beginning in 2012?	Ĺ	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	į			
	before 2012?	X No		*	
	If "Yes," list the years , , , , , , , , , , , , , , , , , , ,			I	
1	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	ļ		l	
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach				
	statement - see instructions.)	N/A	2b		
	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				1
•	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	ŀ			
3		[₹] u.			
		X No		ļ	
	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after				
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to disp	ose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,	, l			
	Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	, -	4a		X
	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose the	iat .			
	had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		Х
		Form	990-	·PF (:	2012)

orm 990-PF (2012) THE SWANTON FAMILY FOUND			03-04714	27 Page 6			
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (continu	ued)				
5a During the year did the foundation pay or incur any amount to:							
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	Ye	s X No				
(2) Influence the outcome of any specific public election (see section 4955); or	to carry on, directly or indire	ectly,					
any voter registration drive?			s X No	1 1 .			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	•		s X No				
(4) Provide a grant to an organization other than a charitable, etc., organization							
509(a)(1), (2), or (3), or section 4940(d)(2)?		□ Ye	s X No	.			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for							
• • • • • • • • • • • • • • • • • • • •	or caucational purposes, or i		s X No				
the prevention of cruelty to children or animals?			S A NO				
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und		n Regulations	37/3				
section 53.4945 or in a current notice regarding disaster assistance (see instruc	•	•	N/A	5b			
Organizations relying on a current notice regarding disaster assistance check he		_					
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr							
expenditure responsibility for the grant?	N	I/A 🔲 Ye	es L No				
If "Yes," attach the statement required by Regulations section 53.4945	• •			- } - [- `			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to p	ay premiums on			1 1 ,			
a personal benefit contract?		Ye	es 🗓 No				
b Did the foundation, during the year, pay premiums, directly or indirectly, on a po	ersonal benefit contract?		1	6b X			
If "Yes" to 6b, file Form 8870.							
7a At any time during the tax year, was the foundation a party to a prohibited tax sl	nelter transaction?	Ye	s X No				
b if "Yes," did the foundation receive any proceeds or have any net income attribu			N/A	7b			
Information About Officers Directors Trusto		nagers, Highly					
Part VIII Paid Employees, and Contractors	, · · · · · · · · · · · · · · · · · ·		•				
1 List all officers, directors, trustees, foundation managers and their	compensation.						
	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense			
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	account, other allowances			
NORMAN F. SWANTON	PRESIDENT	Cinci o j	compensation	41101141114			
C/O WARREN RESOURCES, INC, 1114 AVE O	LICEDIDINI						
NEW YORK, NY 10036	20.00	0.	0.	0.			
	DIRECTOR		· ·	•			
C/O WARREN RESOURCES, INC, 1114 AVE O	DIRECTOR						
	20.00	0.	0.	^			
NEW YORK, NY 10036	20.00	0.	0.	0.			
4							
2 Compensation of five highest-paid employees (other than those inc		enter "NONE."	L				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans	(e) Expense account, other			
(a) Name and address of each employee paid more than 400,000	devoted to position	(c) compensation	and deterred compensation	allowances			
NONE							
			·	-			
T-A-I purpher of other employees and over PEO 000		1		0			
Total number of other employees paid over \$50,000		- 	<u> </u>				
			Form	990-PF (2012)			

Form 990-PF (2012) THE SWANTON FAMILY FOUNDATION INC.		0471427	Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Manager Paid Employees, and Contractors (continued)	jers, Highly		
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."			
	(b) Type of service	(c) Co	mpensation
NONE			
			
Total number of others receiving over \$50,000 for professional services		•	
Part IX-A Summary of Direct Charitable Activities			
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	such as the	Expens	es
1 N/A			
		1	
2		1	
		ı	
3		1	
		1	
4			
· · · · · · · · · · · · · · · · · · ·	··-	1	
Part IX-B Summary of Program-Related Investments			
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amoun	<u> </u>
1N/A			
		}	
2			
<u> </u>		1	
		1	
All other program-related investments. See instructions.			
3		ı	
		ı	
Total. Add lines 1 through 3	<u> </u>	Form OOO	0 • -PF (2012)
		ruill 990 .	-r-r-(4014)

THE SWANTON FAMILY FOUNDATION INC. 03-0471427 Form 990-PF (2012) Page 8 Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.) Part X Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: 342,381. a Average monthly fair market value of securities 147,908. 1b b Average of monthly cash balances c Fair market value of all other assets 1c 490,289. d Total (add lines 1a, b, and c) 1d e Reduction claimed for blockage or other factors reported on lines 1a and 0. 1c (attach detailed explanation) 1e Acquisition indebtedness applicable to line 1 assets 2 2 490,289. 3 Subtract line 2 from line 1d 7,354. Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) 4 482,935. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 5 24,147. Minimum investment return. Enter 5% of line 5 Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain Part XI foreign organizations check here
and do not complete this part.) Minimum investment return from Part X, line 6 24,147. Tax on investment income for 2012 from Part VI, line 5 2a Income tax for 2012. (This does not include the tax from Part VI.) 2b Add lines 2a and 2b 2c Distributable amount before adjustments. Subtract line 2c from line 1 3 Recoveries of amounts treated as qualifying distributions 4 5 5 Add lines 3 and 4 Deduction from distributable amount (see instructions) 6 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: 73,072. Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 Program-related investments - total from Part IX-B 1b Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes 2 Amounts set aside for specific charitable projects that satisfy the: a Suitability test (prior IRS approval required) 3a Cash distribution test (attach the required schedule) 3b 73,072. Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b 5 Adjusted qualifying distributions. Subtract line 5 from line 4 6

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section

Form **990-PF** (2012)

4940(e) reduction of tax in those years.

Page 9

THE SWANTON FAMILY FOUNDATION INC.

Part XIII Undistributed Income (s	ee instructions)			
	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI,	Оограз	Tears prior to 2011	2011	2012
line 7				24,147.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:	·			
, ,	:	0.		
3 Excess distributions carryover, if any, to 2012:				
aFrom 2007 31,108.				
b From 2008 3,948.				
c From 2009 91,182.				
dFrom 2010 369,753.				
eFrom 2011 59,958.				
f Total of lines 3a through e	555,949.			
4 Qualifying distributions for 2012 from				
Part XII, line 4: ►\$ 73,072.				
a Applied to 2011, but not more than line 2a			0.	<u> </u>
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus		*		
(Election required - see instructions)	0.			
d Applied to 2012 distributable amount				24,147.
e Remaining amount distributed out of corpus	48,925.			
5 Excess distributions carryover applied to 2012	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as				
indicated below:				
& Corpus Add lines 3f, 4c, and 4e Subtract line 5	604,874.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'			,	
undistributed income for which a notice of deficiency has been issued, or on which				
the section 4942(a) tax has been previously		_		
assessed		0.		
d Subtract line 6c from line 6b. Taxable		•		
amount - see instructions		0.		
e Undistributed income for 2011. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract				
lines 4d and 5 from line 1. This amount must				^
be distributed in 2013				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by	0.			
section 170(b)(1)(F) or 4942(g)(3)	U •			
8 Excess distributions carryover from 2007	31 109			
not applied on line 5 or line 7	31,108.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	573,766.			
10 Analysis of line 9:	373,700.			·
a Excess from 2008 3,948.				
b Excess from 2009 91,182.	{			
c Excess from 2010 369,753.				
dExcess from 2011 59,958.				
e Excess from 2012 48,925.				

Form 990-PF (2012) THE SWA	NTON FAMILY	FOUNDATION	INC.	03-04	71427 Page 10
Part XIV Private Operating F	oundations (see ins	structions and Part VII	-A, question 9)	N/A	
1 a If the foundation has received a ruling o	r determination letter that	it is a private operating			
foundation, and the ruling is effective fo	r 2012, enter the date of t	he ruling	▶		
b Check box to indicate whether the found	dation is a private operatir	ig foundation described i	n section	4942(j)(3) or 494	42(1)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a			-		
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6 for each year					
listed _.				_	
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest, dividends, rents, payments on					
securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income			l		
Part XV Supplementary Info			if the foundation	n had \$5,000 or mo	re in assets
at any time during t	the year-see instr	ructions.)			
1 Information Regarding Foundation	•				
a List any managers of the foundation wh			ributions received by th	e foundation before the clos	e of any tax
year (but only if they have contributed r	nore than \$5,000). (See s	ection 507(d)(2).)			
NORMAN F. SWANTON					
b List any managers of the foundation wh			(or an equally large port	ion of the ownership of a pa	rtnership or
other entity) of which the foundation ha	s a 10% or greater interes	SL			
NONE					
2 Information Regarding Contribut					
Check here ► X if the foundation of					
the foundation makes gifts, grants, etc.	(see instructions) to indiv	riduals or organizations u	inder other conditions, o	complete items 2a, b, c, and	d.
a The name, address, and telephone num	ber or e-mail of the perso	n to whom applications s	should be addressed:		
b The form in which applications should be	pe submitted and informat	tion and materials they sh	nould include;		
Annahan I					
c Any submission deadlines:					
d Any restrictions or limitations on award	s, such as by geographic	al areas, charitable fields,	kınds of institutions, or	other factors:	

THE SWANTON FAMILY FOUNDATION INC.

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Foundation Purpose of grant or show any relationship to status of recipient Amount contribution any foundation manager Name and address (home or business) or substantial contributor a Paid during the year GRADES OF GREEN NONE TAX-EXEMPT CHARITY 420 27TH STREET MANHATTAN BEACH, CA 90266 13,120. HILLSIDES HOME FOR CHILDREN BENEFIT NONE TAX-EXEMPT CHARITY 940 AVENUE 64 PASADENA, CA 91105 7,250. JERSEY BATTERED WOMEN'S SERVICES NONE TAX-EXEMPT CHARITY PO BOX 1437 MORRISTOWN, NJ 07962 5,000. JUNIOR BLIND OF AMERICA NONE TAX-EXEMPT CHARITY 5300 ANGELES VISTA BOULEVARD LOS ANGELES, CA 90043 5,000. ROSLYN DOWNTOWN ASSOCIATION NONE TAX-EXEMPT CHARITY P.O. BOX 546 ROSLYN, WA 98941 5,000. SEE CONTINUATION SHEET(S) Total ▶ 3a 73,072. b Approved for future payment NONE Total **▶** 3b

Part XVI-A	Analysis of	Income-Producing	Activities
------------	-------------	------------------	-------------------

Enter gross amounts unless otherwise indicated.	Unrelated	business income	Excluded	by section 512, 513, or 514	(e)
	(a) Business	(b) Amount	(C) Exclu- sion	(d) Amount	Related or exempt function income
1 Program service revenue:	code		code	Amount	Tunction income
a	_		 		
b			 		
<u> </u>	_				
0	_		 		
e	_	-	 		
g Fees and contracts from government agencies	_		 		
g Fees and contracts from government agencies 2 Membership dues and assessments	-	-			
3 Interest on savings and temporary cash			 		
investments			14	16.	
4 Dividends and interest from securities		 	+	10.	
5 Net rental income or (loss) from real estate:			 -	····	
a Debt-financed property					
b Not debt-financed property			 		
6 Net rental income or (loss) from personal					
property					
7 Other investment income					
8 Gain or (loss) from sales of assets other		·			
than inventory			14	<35,262.	>
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory				·	
11 Other revenue:			 -		
a					
b	_		† <u> </u>		
C					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		<35,246.	> 0.
13 Total. Add line 12, columns (b), (d), and (e)				13	<35,246.
(See worksheet in line 13 instructions to verify calculations.	.)				
Part XVI-B Relationship of Activities	s to the Accor	mplishment of Ex	kempt F	Purposes	
Line No. Explain below how each activity for which in	ncome is reported in	column (e) of Part XVI-A	contribute	ed importantly to the accom	polishment of
the foundation's exempt purposes (other th				,,	
			-		
			-		
					· · · · · · · · · · · · · · · · · · ·
		_	-		
				= :	
223621					
223621 12-05-12					Form 990-PF (2012)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations Part XVII

1	Did the o	organization directly or indi	rectly engage in any	of the followin	g with any other organization	on described in sect	on 501(c) of	ľ	Yes	No
	the Code	e (other than section 501(c))(3) organizations) o	r in section 52	7, relating to political organ	izations?				
а	Transfer	s from the reporting found	ation to a noncharital	ble exempt org	ganization of:			1		i
	(1) Cas	h						1a(1)		X
		er assets						1a(2)		X
ь	Other tra	ansactions;					•	- <u>`</u>		
	(1) Sale	es of assets to a noncharita	ble exempt organizat	ion				1b(1)		_x
	· · · · · · · · · · · · · · · · · · ·									X
		ital of facilities, equipment,		gamzenen				1b(2) 1b(3)		X
	` '	mbursement arrangements						1b(4)	_	X
	` '	ins or loan guarantees					•	1b(5)		X
		formance of services or me	mharchin or fundrau	eina ealicitatio	ne			1b(6)		X
		of facilities, equipment, ma		-		-	•	1c		X
						wave about the four n	nortest units of the goods of			
u						-	narket value of the goods, of		15,	
					eu iess man fair market vail	ie in any transaction	or sharing arrangement, shi	OW III		
701.		(d) the value of the goods, (b) Amount involved			avamet organization	(d) =	 	_		
(a)L	ine no	(b) Amount myored	(C) Name of		exempt organization	(u) Description	of transfers, transactions, and sh	aring arra	ngeme	nts
				N/A						
			ļ							
										
			ļ			<u> </u>				
				, , , , ,						
						- 				
			† 							
22	Is the fo	undation directly or indirec	tly affiliated with or i	elated to one	or more tax-exempt organi	zations described				
		in 501(c) of the Code (other	-		, -	zations acochiaca		Yes	X	No
		complete the following sch	٠,	(0)) 01 111 3000	1011 327			_ 163	L 41	7 140
		(a) Name of org			(b) Type of organization	<u> </u>	(c) Description of relationshi	<u>n</u>		—
		N/A	junization .	· · · -	(b) Typo or organization		(V) Description of relations in			
		- N/A								
										
	_									
		· · · · · · · · · · · · · · · · · · ·				ļ				
	1,	or possibles of service 1.4.	that I have are a second	n natura			h			
۵.	200				g accompanying schedules and n taxpayer) is based on all inform		May 1	ine IRS di		
Sig	gn	-/	10.		1 – 1		show	n with the n below (:		
He		Comon	t. June /on	, 	7/23/13	PRESI	DENT X	Yes	L_	No
	Sı	gnature of officer or trustee	<u>; </u>		Date	Title				
		Print/Type preparer's na	ame	Preparer's si	gnature	Date	Check if PTIN			
				- T	. ,	,	self- employed			
Pa	id	THOMAS TUR	RIN C			6/13	P00	8502	244	
	eparei		CH ENDE M	ALTER /	& CO., LL/P	7	Firm's EIN ▶ 11-23	3643	34	
Us	e Only	/		,	/	,				
		Firm's address ▶ 13	75 BROADW	AY	· ·	·	<u> </u>			
			W YORK, N		8		Phone no. 212-9	44-4	143	3
								n 990 -		
									- 1	· - /

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Employer identification number

	THE SWANTON FAMILY FOUNDATION INC.	03-0471427
Organization type (chec	k one):	
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organization	
	4947(a)(1) nonexempt charitable trust not treated as a private foundation	
	527 political organization	
Form 990-PF	X 501(c)(3) exempt private foundation	
	4947(a)(1) nonexempt charitable trust treated as a private foundation	
	501(c)(3) taxable private foundation	
Note. Only a section 501	on is covered by the General Rule or a Special Rule. (c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special R	Rule. See instructions.
General Rule		
	tion filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in rmplete Parts I and II.	noney or property) from any one
Special Rules		
509(a)(1) and 17	01(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the re 70(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.	·
total contribution	01(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one cont ons of more than \$1,000 for use <i>exclusively</i> for religious, chantable, scientific, literary, or ea of cruelty to children or animals. Complete Parts I, II, and III.	-
contributions fo If this box is che purpose. Do no	01(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contor use exclusively for religious, charitable, etc., purposes, but these contributions did not to ecked, enter here the total contributions that were received during the year for an exclusive to complete any of the parts unless the General Rule applies to this organization because able, etc., contributions of \$5,000 or more during the year	otal to more than \$1,000. vely religious, chantable, etc.,
but it must answer "No"	n that is not covered by the General Rule and/or the Special Rules does not file Schedule on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Paret the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).	•

Employer identification number

THE SWANTON FAMILY FOUNDATION INC.

03-0471427

Part I	Contributors (see instructions). Use duplicate copies of Part I	ıf addıtıonal space ıs needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NORMAN F. SWANTON 100 OCEANGATE STE. 950 LONG BEACH, CA 90802	\$\$\$\$	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
223452 12-	11.12	\$Schedule B (Form	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)

Employer identification number

THE SWANTON FAMILY FOUNDATION INC.

03-0471427

Part II	Noncash Property (see instructions). Use duplicate copies of Part II	if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		- - - - - - - - - - - - - - -	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
223453 12-21-		- - - \$	90 900-E7 or 900 PEV (2012)

Employer identification number

HE SWA	NTON FAMILY FOUNDATION	INC.		03-0471427
art III ;	Exclusively religious, charitable, etc., individually year. Complete columns (a) through (e) and the the total of exclusively religious, charitable, etc., Use duplicate copies of Part III if additional	following line entry. For organization contributions of \$1,000 or less for space is needed.	ns completing Part III, enter the year-(Enter this information once	ons that total more than \$1,000 for the
a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held
		(e) Transfer of gift		
	Transferee's name, address, and			insferor to transferee
a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held
	Transferee's name, address, and	(e) Transfer of gift		insferor to transferee
a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held
-		(e) Transfer of gif		
	Transferee's name, address, and	12IP + 4	Helationship of tra	ansferor to transferee
n) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held
		(e) Transfer of gif	t	
-	Transferee's name, address, and	d ZIP + 4	Relationship of tra	ansferor to transferee
-				

Part XV Supplementary Information				
3 Grants and Contributions Paid During the	fear (Continuation) If recipient is an individual,			
Recipient Name and address (home or business)	show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Marile and address (nome of business)	or substantial contributor	recipient		
YESHEVA BETH JOSEPH NOWAREDOK 268 EAST BROADWAY A307	NONE	TAX-EXEMPT	CHARITY	
NEW YORK, NY 10002				1,000
JIMMY MILLER MEMORIAL FOUNDATION	NONE	TAX-EXEMPT	CHARITY	
524 14TH STREET				
MANHATTAN BEACH, CA 90266				2,500
BROADWAY CARES 165 WEST 46TH STREET, SUITE 1300	NONE	TAX-EXEMPT	CHARITY	
NEW YORK, NY 10036				5,000.
EMQ FAMILIES FIRST	NONE	TAX-EXEMPT	CHARITY	
9343 TECH CENTER DRIVE				
SACRAMENTO, CA 95826	· · · · · · · · · · · · · · · · · · ·			1,500.
VISTAS FOR CHILDREN P.O. BOX 7000-251	NONE	TAX-EXEMPT	CHARITY	
REDONDO BEACH, CA 90277				2,980.
PALOS VERDES LAND CONSERVANCY	NONE	TAX-EXEMPT	CHARITY	
916 SILVER SPUR RD, #207 ROLLING HILL ESTATES, CA 90274				5,000.
MODELING HILL BOTHLED, CR 302/4	 	 	- 	3,000.
ST PETER'S BOYS SCHOOL	NONE	MAY BYBYDM	CHAD TIMY	
200 CLINTON AVE	NONE	FAX-EXEMPT	CHARITY	
STATEN ISLAND, NY 10301		<u> </u>	<u> </u>	10,000.
TORRANCE MEMORIAL HOSPITAL	NONE	TAX-EXEMPT	CHARITY	
3330 LOMITA BLVD TORRANCE, CA 90505				8,122.
				<u> </u>
NATIONAL CENTER FOR MISSING CHILDREN	NONE	TAX-EXEMPT	CHARITY	
699 PRINCE STREET				
ALEXANDRIA, VA 22314			+	1,600.
Total from continuation sheets				37,702.

FORM 990-PF INTEREST ON SAVI	NGS AND TEM	PORARY CASH	INVESTMENTS	STATEMENT	1
SOURCE				AMOUNT	
JP MORGAN CHASE BANK					16.
TOTAL TO FORM 990-PF, PART I,	LINE 3, CO	LUMIN A			16.
FORM 990-PF	ACCOUNTI	NG FEES		STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST MENT INCOM			
ACCOUNTING FEES	4,500.		0.		0.
TO FORM 990-PF, PG 1, LN 16B	4,500.		0.		0.
FORM 990-PF	OTHER E	XPENSES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST MENT INCOM			
INVESTMENT EXPENSES MISC EXPENSES	4,060. 3,770.		0.		0.
TO FORM 990-PF, PG 1, LN 23	7,830.		0.		0.
FORM 990-PF	CORPORAT	E STOCK		STATEMENT	4
			BOOK VALUE	FAIR MARKE VALUE	T
DESCRIPTION			DOOK VIIBOR	V11202	
CORPORATE STOCKS			984,856.	1,057,1	24.

FORM 990-PF OTHER	INVESTMENTS		STATEMENT	5
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKE	r
DEUTSCHE NOTES	COST	0.		0.
TOTAL TO FORM 990-PF, PART II, LINE 13	3	0.		0.
	· · · · · · · · · · · · · · · · · · ·			
FORM 990-PF EXPLANATION CONCERNING	PART VII-A,	LINE 8B	STATEMENT	6

EXPLANATION

SWANTON FAMILY FOUNDATION, INC DID NOT CONDUCT ANY ACTIVITY IN NEW YORK STATE.

Form 8868

(Rev. January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

• If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

OMB No. 1545-1709

 $\triangleright X$

Form 8868 (Rev. 1-2013)

• If you	u are filing for an Additional (Not Automatic) 3-Month Ext	tension, c	omplete only Part II (on page 2 of	this form).		
Do not	complete Part II unless you have already been granted a	an automa	tic 3-month extension on a previous	ly filed For	m 8868.	
	onic filing _(e-file) . You can electronically file Form 8868 if y					
require	d to file Form 990-T), or an additional (not automatic) 3-moi	nth extens	ion of time. You can electronically f	le Form 88	368 to reques	t an extension
	to file any of the forms listed in Part I or Part II with the exc	•				
Person	al Benefit Contracts, which must be sent to the IRS in pap	er format ((see instructions). For more details o	on the elec	tronic filing o	f this form,
visit w	wwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwwww		=			
Part						
•	oration required to file Form 990-T and requesting an autor	natic 6-mo	onth extension - check this box and	complete		. \square
Part I c	-					▶ □
	er corporations (including 1120-C filers), partnerships, REM ncome tax returns.	ICs, and ti	rusts must use Form 7004 to reques	t an exten	sion of time	
Туре о	Name of exempt organization or other filer, see instru-	ctions.		Employer	identification	number (EIN) or
print						
Cda books	THE SWANTON FAMILY FOUNDATE	ION II	NC.		03-047	1427
File by th due date	for Number, street, and room or suite no. If a P.O. box, so			Social se	cunty numbe	r (SSN)
filing your return Se		VERDI	ES DRIVE EAST			
instructio	City, town or post office, state, and ZIP code. For a fo	oreign add 0275	ress, see instructions.			
Enter ti	he Return code for the return that this application is for (file	e a separa	te application for each return)			0 4
Applic	ation	Return	Application			Return
Is For		Code	Is For			Code
	990 or Form 990-EZ	01	Form 990-T (corporation)			07
Form 9		02	Form 1041-A			
	720 (indıvıdual)	03	Form 4720			09
Form 9		04	Form 5227			10
	90-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11
	990-T (trust other than above)	06	Form 8870			12
	NORMAN SWANTON	- 30!	23 PALOS VERDES D	RIVE	EAST -	RANCHO
• The	books are in the care of PALOS VERDES, C	CA 902	275			
Tele	ephone No. ► (212)697-9660		FAX No. 🕨	·		
• If th	e organization does not have an office or place of business	s in the Ur	nited States, check this box	_		▶ □
• If th	is is for a Group Return, enter the organization's four digit	Group Exe	emption Number (GEN) I	If this is foi	the whole gi	oup, check this
box 🕨	. If it is for part of the group, check this box	and atta	ch a list with the names and EINs o	f all memb	ers the exten	sion is for.
1 1	request an automatic 3-month (6 months for a corporation	required t	to file Form 990-T) extension of time	until		
_	AUGUST 15, 2013 , to file the exemp	t organıza	tion return for the organization name	ed above.	The extension	า
t	s for the organization's return for:					
)	lacksquare $lacksquare$ $lacksquare$ $lacksquare$ $lacksquare$ or					
)	tax year beginning	, an	d ending		_•	
			-			
2 1	f the tax year entered in line 1 is for less than 12 months, c Change in accounting period	heck reas	on: LInitial return L	Final retur	n	
	f this application is for Form 990-BL, 990-PF, 990-T, 4720,	or 6069, e	nter the tentative tax, less any			
-	nonrefundable credits. See instructions.				<u> </u>	
	f this application is for Form 990-PF, 990-T, 4720, or 6069,	•				1 64-
	estimated tax payments made. Include any pnor year overp			3b	\$	1,617.
	Balance due. Subtract line 3b from line 3a. Include your pa					_
t	by using EFTPS (Electronic Federal Tax Payment System).	See instru	ctions.	3c	\$	0.
Cautio	on. If you are going to make an electronic fund withdrawal v	with this Fo	orm 8868, see Form 8453-EO and F	orm 8879-	EO for payme	ent instructions.