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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE STEVEN W AND RUTH KATZ FAMILY FOUNDATION INC.		A Employer identification number 02-0652257
Number and street (or P.O. box number if mail is not delivered to street address) 10 STONEHAM DR	Room/suite	B Telephone number 973-535-1888
City or town, state, and ZIP code LIVINGSTON, NJ 07039		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 684,741.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	65,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	13,655.	13,655.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	47,375.			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		47,375.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	126,030.	61,030.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes	90.	90.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	12,192.	12,192.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	12,282.	12,282.		0.
	25 Contributions, gifts, grants paid	35,800.			35,800.
26 Total expenses and disbursements. Add lines 24 and 25	48,082.	12,282.		35,800.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	77,948.				
b Net investment income (if negative, enter -0-)		48,748.			
c Adjusted net income (if negative, enter -0-)			N/A		

 2013 SEP 08
 SCANNED
 Operating and Administrative Expenses

15

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

Form 990-PF (2012)

02-0652257

Page 2

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	33,127.	73,933.	73,933.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 4	163,788.	135,367.	136,779.
	b Investments - corporate stock STMT 5	348,843.	242,919.	261,398.
	c Investments - corporate bonds STMT 6	15,404.	186,891.	212,631.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	561,162.	639,110.	684,741.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	561,162.	639,110.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
30 Total net assets or fund balances	561,162.	639,110.		
31 Total liabilities and net assets/fund balances	561,162.	639,110.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	561,162.
2 Enter amount from Part I, line 27a	2	77,948.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	639,110.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	639,110.

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

Form 990-PF (2012)

02-0652257

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			47,375.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			47,375.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	47,375.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	36,500.	641,214.	.056923
2010	133,500.	589,828.	.226337
2009	99,350.	622,347.	.159638
2008	120,500.	649,503.	.185526
2007	164,400.	567,067.	.289913

2 Total of line 1, column (d)	2	.918337
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.183667
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	618,114.
5 Multiply line 4 by line 3	5	113,527.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	487.
7 Add lines 5 and 6	7	114,014.
8 Enter qualifying distributions from Part XII, line 4	8	35,800.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

02-0652257

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	975.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	975.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	975.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	13.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,012.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 1,012. Refunded ☐ 0.	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STATEMENT 7	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2012)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

Form 990-PF (2012)

02-0652257

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► STEVEN & RUTH KATZ Located at ► 10 STONEHAM DRIVE, LIVINGSTON, NJ	Telephone no. ► 973-535-1888 ZIP+4 ► 07039		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEVEN W. KATZ 10 STONEHAM DRIVE LIVINGSTON, NJ 07039	TREASURER 0.00	0.	0.	0.
RUTH KATZ 10 STONEHAM DRIVE LIVINGSTON, NJ 07039	TRUSTEE 0.00	0.	0.	0.
WAYNE ZUCKERMAN 36 CHELSEA DRIVE LIVINGSTON, NJ 07039	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

Form 990-PF (2012)

02-0652257

Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	588,990.
b	Average of monthly cash balances	1b	38,537.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	627,527.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	627,527.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,413.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	618,114.
6	Minimum investment return. Enter 5% of line 5	6	30,906.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	30,906.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	975.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	975.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	29,931.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	29,931.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	29,931.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	35,800.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	35,800.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	35,800.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2012)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

Form 990-PF (2012)

02-0652257

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				29,931.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	136,576.			
b From 2008	88,324.			
c From 2009	68,389.			
d From 2010	104,616.			
e From 2011	5,036.			
f Total of lines 3a through e	402,941.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$	35,800.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				29,931.
e Remaining amount distributed out of corpus	5,869.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	408,810.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	136,576.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	272,234.			
10 Analysis of line 9:				
a Excess from 2008	88,324.			
b Excess from 2009	68,389.			
c Excess from 2010	104,616.			
d Excess from 2011	5,036.			
e Excess from 2012	5,869.			

N/A

▶

4942(1)(3) or 4942(1)(5)

(4) Gross investment income

[illegible]Form **990-PF** (2012)

**THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.**

Form 990-PF (2012)

02-0652257 Page 11

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANDY BOVIN MEMORIAL FUND 36 UPPER OVERLOOK ROAD, PO 220 SUMMIT, NJ 07902-0220	NONE	N/P	CHARITABLE	500.
CHABAD HOUSE - LUBAVITCH, INC. 170 COLLEGE AVENUE NEW BRUNSWICK, NJ 08901	NONE	N/P	CHARITABLE	5,000.
PREP FOR PREP 328 WEST 71ST STREET NEW YORK, NY 10023	NONE	N/P	CHARITABLE	2,500.
THE DOE FUND INC 232 EAST 84TH STREET NEW YORK, NY 10028	NONE	N/P	CHARITABLE	1,000.
UJA OF METROWEST 901 ROUTE 10 WHIPPANY, NJ 079811156	NONE	N/P	CHARITABLE	25,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				35,800.
b Approved for future payment				
NONE				
Total ▶ 3b				0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.

Employer identification number

02-0652257

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)**

Name of organization
**THE STEVEN W AND RUTH KATZ FAMILY
 FOUNDATION INC.**

Employer identification number

02-0652257**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEVEN AND RUTH KATZ 10 STONEHAM DRIVE LIVINGSTON, NJ 07039	\$ 65,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.

Employer identification number

02-0652257

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.

Employer identification number

02-0652257

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRIL LYNCH- 725-02291- SEE ATTACHED SCHEDULE	P	01/01/12	12/31/12
b	MERRIL LYNCH- 725-02272- SEE ATTACHED SCHEDULE	P	01/01/12	12/31/12
c	GAIN LOSS ADJUSTMENT	P	01/01/12	12/31/12
d	CIL	P	01/01/12	12/31/12
e	AMORTIZATION/ACCREDIATION	P	01/01/12	12/31/12
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			19,463.
b			25,842.
c			-430.
d			82.
e			2,418.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			19,463.
b			25,842.
c			-430.
d			82.
e			2,418.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	47,375.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

THE STEVEN W AND RUTH KATZ FAMILY
FOUNDATION INC.

02-0652257

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRIENDSHIP CIRCLE 66 W MOUNT PLEASANT AVENUE, SUITE 205 LIVINGSTON, NJ 07039	NONE	N/P		1,800.
Total from continuation sheets				1,800.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
INCOME	13,655.	0.	13,655.
TOTAL TO FM 990-PF, PART I, LN 4	13,655.	0.	13,655.

FORM 990-PF	TAXES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	90.	90.		0.	
TO FORM 990-PF, PG 1, LN 18	90.	90.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	12,042.	12,042.		0.	
BANK CHARGES	150.	150.		0.	
TO FORM 990-PF, PG 1, LN 23	12,192.	12,192.		0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	4
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE SECURITY SCHEDULE 1 ATTACHED-725-02291	X		61,393.	62,827.
SEE SECURITY SCHEDULE 2 ATTACHED- 725-02272	X		73,974.	73,952.
TOTAL U.S. GOVERNMENT OBLIGATIONS			135,367.	136,779.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			135,367.	136,779.

FORM 990-PF	CORPORATE STOCK		STATEMENT	5
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
SEE SECURITY SCHEDULE 1 ATTACHED-725-02291			191,133.	209,131.
SEE SECURITY SCHEDULE 2 ATTACHED- 725-02272			51,786.	52,267.
TOTAL TO FORM 990-PF, PART II, LINE 10B			242,919.	261,398.

FORM 990-PF	CORPORATE BONDS		STATEMENT	6
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
SEE SECURITY SCHEDULE 1 ATTACHED-725-02291			6,045.	6,060.
SEE SECURITY SCHEDULE 2 ATTACHED- 725-02272			180,846.	206,571.
TOTAL TO FORM 990-PF, PART II, LINE 10C			186,891.	212,631.

FORM 990-PF	EXPLANATION CONCERNING PART VII-A, LINE 8B	STATEMENT	7
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EXPLANATION

THE STATE OF NEW JERSEY, NEW JERSEY OFFICE OF ATTORNEY GENERAL NO LONGER
REQUIRES A FOUNDATION WHICH RECEIVED CHARITABLE CONTRIBUTIONS ONLY FROM
THE FOUNDER TO REGISTER. THEREFORE NJ DOES NOT REQUIRE THE SUBMISSION OF
THE FEDERAL FORM 990PF.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 8

NAME OF MANAGER

STEVEN W. KATZ
RUTH KATZ

EMATM Fiscal Statement

THE STEVEN W AND RUTH KATZ

FISCAL YEAR 2012

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
14 0000	AIR PRODUCTS&CHEM	09/02/09	01/09/12	1,206 16	1,029 41	176.75 LT
2 0000	AIR PRODUCTS&CHEM	09/02/09	01/10/12	174 32	147 06	27.26 LT
10.0000	AIR PRODUCTS&CHEM	02/23/10	01/10/12	871 62	701 81	169.81 LT
15.0000	CAMECO CORP COM	09/02/09	01/09/12	277 91	383 07	N/C
2 0000	CAMECO CORP COM	09/02/09	01/09/12	37 05	51 08	(14.03) LT
13 0000	CAMECO CORP COM	09/03/09	01/09/12	240 86	334 46	(93 60) LT
2 0000	CME GROUP INC	10/30/09	01/06/12	463 87	618 11	(154 24) LT
2 0000	CME GROUP INC	12/15/09	01/06/12	463 87	662 29	(198 42) LT
1 0000	CME GROUP INC	12/15/09	01/06/12	233 55	331 14	(97 59) LT
1 0000	CME GROUP INC	12/15/09	01/06/12	233 55	331 38	(97 83) LT
1 0000	CME GROUP INC	01/22/10	01/06/12	233 55	315 54	(81 99) LT
1 0000	CME GROUP INC	09/02/10	01/06/12	233 55	261 61	(28.06) LT
5 0000	CME GROUP INC	02/17/11	01/06/12	1,167 80	1,515 26	(347 46) ST
1 0000	CME GROUP INC	11/16/11	01/06/12	233.57	240 18	(6 61) ST
39.0000	DENBURY RES INC	10/14/09	01/18/12	691 19	617 06	74 13 LT
6 0000	EQUINIX INC	10/06/10	01/04/12	598 26	426 07	172 19 LT

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	ILLUMINA INC COM	09/03/09	01/17/12	108 45	107 11	1 34 LT
20.0000	ILLUMINA INC COM	10/29/09	01/17/12	723 01	691 13	31 88 LT
5.0000	ILLUMINA INC COM	12/01/09	01/17/12	180 76	149 59	31 17 LT
7.0000	ILLUMINA INC COM	12/01/09	01/18/12	252 00	209 42	42 58 LT
32.0000	ILLUMINA INC COM	12/01/09	01/25/12	1,730 99	957 35	773 64 LT
44.0000	INTRPUBLIC GRP OF CO	08/23/10	01/18/12	466 60	370 56	96 04 LT
57.0000	INTRPUBLIC GRP OF CO	11/24/10	01/18/12	604 46	602 10	2 36 LT
1.0000	INTRPUBLIC GRP OF CO	07/29/11	01/18/12	10 61	9 94	0 67 ST
77.0000	INTRPUBLIC GRP OF CO	07/29/11	01/19/12	818 47	765 32	53 15 ST
4.0000	QUALCOMM INC	10/07/09	01/20/12	230 48	168 91	61 57 LT
7.0000	QUALCOMM INC	12/14/09	01/20/12	403 36	314 38	88 98 LT
68.0000	WEVERHAEUSER CO	09/02/10	01/05/12	1,282 75	1,056 72	226 03 LT
22.0000	WEVERHAEUSER CO	09/02/10	01/06/12	415 51	341 88	73 63 LT
21.0000	WEVERHAEUSER CO	09/02/10	01/19/12	440 47	326 34	114 13 LT
11.0000	WEVERHAEUSER CO	12/10/10	01/19/12	230 73	195 10	35 63 LT
51.0000	WELLS FARGO & CO NEW DEL	08/02/11	01/18/12	1,536 73	1,399 76	136 97 ST
12.0000	WELLS FARGO & CO NEW DEL	08/03/11	01/18/12	361 59	331 76	29 83 ST
14.0000	WELLS FARGO & CO NEW DEL	08/03/11	01/19/12	420 70	387 07	33 63 ST
5.0000	WELLS FARGO & CO NEW DEL	08/10/11	01/19/12	150 26	120 18	30 08 ST
2,000.0000	US TSY 2 625% NOV 15 2020	08/31/11	02/02/12	2,162 34	2,090 21	72 13 ST
2,000.0000	US TSY 3.375% NOV 15 2019	02/04/10	02/01/12	2,289 05	1,961 56	327 49 LT
3,000.0000	US TSY 3.375% NOV 15 2019	02/04/10	02/01/12	3,433 58	2,942 34	491 24 LT
5,000.0000	US TSY 3.375% NOV 15 2019	02/04/10	02/01/12	5,722 63	4,903 91	818 72 LT
1,000.0000	US TSY 3.375% NOV 15 2019	11/08/10	02/01/12	1,144 52	1,072 83	71 69 LT
2,000.0000	US TSY 3.375% NOV 15 2019	01/13/11	02/01/12	2,289 07	2,033 85	255 22 LT
2,000.0000	US TSY 3.625% FEB 15 2021	03/03/11	02/01/12	2,329 05	2,008 52	320 53 ST
8.0000	BARRICK GOLD CORPORATION	11/03/09	02/03/12	391 60	302 76	88 84 LT
10.0000	BARRICK GOLD CORPORATION	12/01/09	02/03/12	489 51	452 81	36 70 LT
7.0000	CUMMINS INC COM	09/02/09	02/03/12	833 32	311 33	521 99 LT
23.0000	DENBURY RES INC	10/14/09	02/03/12	427 05	363 91	63 14 LT
20.0000	DENBURY RES INC	05/07/10	02/03/12	371 36	341 85	29 51 LT
8.0000	EQUINIX INC	10/06/10	02/01/12	971 42	568 09	403 33 LT
3.0000	EQUINIX INC	10/06/10	02/14/12	387 86	213 03	174 83 LT
3.0000	EQUINIX INC	01/03/11	02/14/12	387 86	252 61	135 25 LT
4.0000	EQUINIX INC	02/24/11	02/14/12	517 15	345 36	171 79 ST
3.0000	EQUINIX INC	02/25/11	02/14/12	387 87	263 51	124 36 ST
1.0000	EQUINIX INC	08/09/11	02/14/12	129 29	87 12	42 17 ST
1.0000	EQUINIX INC	08/09/11	02/15/12	128 54	87 12	41 42 ST
15.0000	GOLDCORP INC	07/29/11	02/03/12	718 90	720 89	(1.99) ST
20.0000	ILLUMINA INC COM	12/01/09	01/30/12	1,019 36	598 34	421 02 LT

PLEASE SEE REVERSE SIDE
 Page 72 of 83
 Statement Period 12/31/12
 Year Ending 12/31/12
 Account No 725-02291

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5 0000	ILLUMINA INC COM	12/02/09	01/30/12	254 84	151 50	103 34 LT
8 0000	ILLUMINA INC COM	07/27/11	01/30/12	407 75	480 76	(73 01) ST
3 0000	ILLUMINA INC COM	07/27/11	01/31/12	152 94	180 28	(27 34) ST
13 0000	JUNIPER NETWORKS INC	12/15/09	02/16/12	308 56	345 37	(36 81) LT
13 0000	JUNIPER NETWORKS INC	12/15/09	02/16/12	308 56	294 78	13 78 LT
27 0000	JUNIPER NETWORKS INC	01/26/10	02/16/12	640 86	677 38	(36 52) LT
1 0000	JUNIPER NETWORKS INC	01/27/10	02/16/12	23 73	24 98	(1 25) LT
17 0000	JUNIPER NETWORKS INC	04/21/10	02/16/12	403 51	504 44	(100 93) LT
8 0000	JUNIPER NETWORKS INC	04/21/10	02/21/12	189 49	237 38	(47 89) LT
22 0000	JUNIPER NETWORKS INC	05/21/10	02/21/12	521 10	577 12	(56 02) LT
20 0000	JPMORGAN CHASE & CO	11/22/11	02/02/12	755 41	594 81	160 60 ST
17 0000	JPMORGAN CHASE & CO	11/22/11	02/17/12	652 69	505 58	147 11 ST
2 0000	JOHNSON CONTROLS INC	09/02/09	02/07/12	66 09	47 72	18 37 LT
17 0000	JOHNSON CONTROLS INC	09/08/09	02/07/12	561 80	427 34	134 46 LT
12 0000	JOHNSON CONTROLS INC	09/08/09	02/08/12	390 16	301 65	88 51 LT
27 0000	JOHNSON CONTROLS INC	06/30/10	02/08/12	877 86	738 24	139 62 LT
5 0000	JOHNSON CONTROLS INC	08/06/10	02/08/12	162 57	146 67	15 90 LT
4 0000	JOHNSON CONTROLS INC	08/06/10	02/09/12	130 71	117 33	13 38 LT
5 0000	KINDER MORGAN INC DEL	02/15/11	02/03/12	159 83	150 21	9 62 ST
1 0000	KINDER MORGAN INC DEL	02/15/11	02/03/12	31 96	30 48	1 48 ST
14 0000	KINDER MORGAN INC DEL	02/15/11	02/03/12	447 53	406 81	40 72 ST
3 0000	KINDER MORGAN INC DEL	02/15/11	02/03/12	95 90	89 26	6 64 ST
7 0000	NOBLE ENERGY INC	08/16/10	02/21/12	720 03	467 82	252 21 LT
6 0000	QUALCOMM INC	12/14/09	02/03/12	366 14	269 46	96 68 LT
5 0000	QUALCOMM INC	02/02/10	02/03/12	305 12	197 66	107 46 LT
8 0000	SALESFORCE COM INC	09/02/09	02/10/12	1 030 33	412 00	618 33 LT
9 0000	TOYOTA MOTOR CORP ADR	04/06/11	02/03/12	687 48	697 90	(10 42) ST
2 000 0000	US BANCORP 2 25% MAR13 12	09/08/09	03/13/12	2 000 00	2 000 00	0 00
1 000 0000	US BANCORP 2 25% MAR13 12	07/07/11	03/13/12	1 000 00	1 000 00	0 00
3 000 0000	US TSY 2 000% NOV 15 2021	02/01/12	03/14/12	2 941 75	3 041 28	(99 53) ST
1 000 0000	US TSY 2 125% NOV 30 2014	02/04/10	03/14/12	1 042 02	995 51	46 51 LT
2 000 0000	US TSY 2 125% NOV 30 2014	02/04/10	03/14/12	2 084 07	1 991 02	93 05 LT
1 0000	APPLE INC	09/07/06	03/06/12	523 64	72 33	451 31 LT
2 0000	APPLE INC	09/07/06	03/26/12	1 194 60	144 66	1 049 94 LT
6 0000	BARRICK GOLD CORPORATION	12/01/09	03/14/12	263 26	271 68	(8 42) LT
7 0000	BARRICK GOLD CORPORATION	01/22/10	03/14/12	307 14	258 16	48 98 LT
10 0000	BARRICK GOLD CORPORATION	01/22/10	03/15/12	439 95	368 79	71 16 LT
3 0000	BARRICK GOLD CORPORATION	05/05/10	03/15/12	131 99	128 83	3 16 LT
18 0000	DENBURY RES INC	05/07/10	03/07/12	339 31	307 66	31 65 LT
15 0000	DENBURY RES INC	05/18/10	03/07/12	282 76	253 65	29 11 LT

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
14 0000	DENBURY RES INC	03/11/11	03/07/12	263 92	320 27	(56 35) ST
5 0000	EQUINIX INC	08/09/11	03/12/12	696 29	435 58	260 71 ST
2 0000	EQUINIX INC	09/23/11	03/12/12	278 51	179 48	99 03 ST
4 0000	EQUINIX INC	09/26/11	03/12/12	557 03	353 48	203 55 ST
3 0000	EQUINIX INC	10/27/11	03/12/12	417 78	304 37	113 41 ST
2 0000	EQUINIX INC	10/28/11	03/12/12	278 52	201 71	76 81 ST
11 0000	GOLDCORP INC	07/29/11	03/07/12	513 24	528 65	(15 41) ST
15 0000	GOLDCORP INC	07/29/11	03/14/12	666 67	720 89	(54 22) ST
12 0000	GOLDCORP INC	07/29/11	03/15/12	533 06	576 72	(43 66) ST
2 0000	GOOGLE INC CL A	10/19/11	03/09/12	1,216 48	1,176 86	39 62 ST
11 0000	JOHNSON CONTROLS INC	08/06/10	02/28/12	364 65	322 66	41 99 LT
21 0000	JOHNSON CONTROLS INC	06/07/11	02/28/12	696 16	782 24	(86 08) ST
16 0000	JOHNSON CONTROLS INC	08/01/11	02/28/12	530 41	600 87	(70 46) ST
13 0000	JOHNSON CONTROLS INC	10/27/11	02/28/12	430 96	430 87	0 09 ST
13 0000	JOHNSON CONTROLS INC	01/19/12	02/28/12	430 97	422 51	8 46 ST
20 0000	NETAPP INC	02/17/11	03/07/12	832 83	1,096 14	(263 31) LT
40 0000	NETAPP INC	08/19/11	03/07/12	1,665 68	1,434 72	230 96 ST
11 0000	SCHLUMBERGER LTD	02/23/10	03/07/12	816 78	665 37	151 41 LT
1 0000	SCHLUMBERGER LTD	03/30/10	03/07/12	74 26	63 16	11 10 LT
9 0000	SCHLUMBERGER LTD	03/30/10	03/15/12	674 61	568 43	106 18 LT
16 0000	SCHLUMBERGER LTD	06/01/10	03/15/12	1,199 33	844 73	354 60 LT
6 0000	SCHLUMBERGER LTD	10/24/11	03/15/12	449 75	418 52	31 23 ST
1 0000	APPLE INC	09/07/06	04/19/12	593 34	72 33	521 01 LT
6 0000	GOLDMAN SACHS GROUP INC	01/18/12	04/18/12	693 28	630 63	62 65 ST
2 0000	GOOGLE INC CL A	10/24/11	03/30/12	1,289 72	1,198 59	91 13 ST
2 0000	GOOGLE INC CL A	01/25/12	03/30/12	1,289 73	1,136 84	152 89 ST
24 0000	HALLIBURTON COMPANY	06/02/11	04/25/12	800 59	1,198 42	(397 83) ST
14 0000	HALLIBURTON COMPANY	06/28/11	04/25/12	467 01	760 40	(293 39) ST
6 0000	HALLIBURTON COMPANY	08/09/11	04/25/12	200 16	266 34	(66 18) ST
10 0000	JUNIPER NETWORKS INC	02/24/11	04/25/12	205 93	429 31	(223 38) LT
20 0000	JUNIPER NETWORKS INC	06/07/11	04/25/12	411 86	654 99	(243 13) ST
32 0000	JUNIPER NETWORKS INC	07/13/11	04/25/12	658 97	1,008 18	(349 21) ST
4 0000	JUNIPER NETWORKS INC	07/27/11	04/25/12	82 38	100 02	(17 64) ST
8 0000	KINDER MORGAN INC DEL	02/15/11	03/30/12	307 85	238 04	69 81 LT
7 0000	KINDER MORGAN INC DEL	02/15/11	03/30/12	269 37	212 88	56 49 LT
10 0000	RED HAT INC	03/26/10	04/17/12	600 94	283 95	316 99 LT
13 0000	SHAW GROUP INC	09/27/10	04/24/12	376 83	432 92	(56 09) LT
10 0000	SHAW GROUP INC	10/20/10	04/24/12	289 88	315 02	(25 14) LT
2 0000	SALESFORCE COM INC	09/02/09	04/17/12	310 03	103 00	207 03 LT
4 0000	SALESFORCE COM INC	01/03/11	04/20/12	627 61	550 45	77 16 LT

PLEASE SEE REVERSE SIDE

Page 74 of 83
Statement Period Year Ending 12/31/12
Account No 725-02291

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
19,000	WELLS FARGO & CO NEW DEL	08/10/11	04/11/12	639 31	456 71	182 60 ST
3,000,000	US TSY 2.125% NOV 30 2014	02/04/10	05/01/12	3,135 70	2,986 53	149 17 LT
2,000	AMAZON COM INC COM	09/08/09	04/30/12	463 00	159 16	303 84 LT
6,000	AMAZON COM INC COM	02/02/10	04/30/12	1,389 00	692 01	696 99 LT
2,000	AMAZON COM INC COM	02/23/10	04/30/12	463 00	234 57	228 43 LT
9,000	AMER EXPRESS COMPANY	09/28/11	05/03/12	549 20	426 59	122 61 ST
9,000	AMER EXPRESS COMPANY	09/28/11	05/11/12	537 04	426 59	110 45 ST
2,000	APPLE INC	09/07/06	05/17/12	1,066 72	144 67	922 05 LT
15,000	CAMECO CORP COM	09/02/09	05/11/12	323 12	367 06	(43 94) LT
5,000	CAMECO CORP COM	09/03/09	05/11/12	107 70	128 65	(20 95) LT
10,000	CAMECO CORP COM	01/26/10	05/11/12	215 42	286 01	(70 59) LT
4,000	DOW CHEMICAL CO	07/25/11	05/01/12	136 49	144 14	(7 65) ST
22,000	DOW CHEMICAL CO	07/25/11	05/01/12	750 73	834 49	(83 76) ST
7,000	DOW CHEMICAL CO	07/25/11	05/16/12	211 83	265 53	(53 70) ST
23,000	DOW CHEMICAL CO	08/01/11	05/16/12	696 02	808 60	(112 58) ST
8,000	DOW CHEMICAL CO	08/17/11	05/16/12	242 09	317 60	(75 51) ST
30,000	DOW CHEMICAL CO	08/19/11	05/16/12	907 86	797 71	110 15 ST
10,000	DOW CHEMICAL CO	08/20/11	05/16/12	302 61	398 61	(96 00) ST
20,000	DOW CHEMICAL CO	10/28/11	05/16/12	605 25	582 47	22 78 ST
4,000	F5 NETWORKS INC COM	11/02/11	05/01/12	536 96	416 83	120 13 ST
22,000	JUNIPER NETWORKS INC	07/27/11	05/24/12	372 93	550 10	(177 17) ST
41,000	JUNIPER NETWORKS INC	07/29/11	05/24/12	695 01	975 11	(280 10) ST
4,000	JUNIPER NETWORKS INC	10/06/11	05/24/12	67 80	76 88	(9 08) ST
31,000	JUNIPER NETWORKS INC	10/20/11	05/24/12	525 51	637 19	(111 68) ST
4,000	LABORATORY CP AMER HLDGS	01/20/11	05/25/12	342 60	358 73	(16 13) LT
6,000	LINKEDIN CORP	01/30/12	05/08/12	423 07	449 36	185 23 ST
4,000	LINKEDIN CORP	01/31/12	05/08/12	643 71	296 19	126 88 ST
6,000	LINKEDIN CORP	01/31/12	05/11/12	643 71	444 29	199 42 ST
7,000	NOVARTIS ADR	09/23/11	05/08/12	376 79	375 85	0 94 ST
16,000	NOVARTIS ADR	09/28/11	05/08/12	861 24	896 38	(35 14) ST
18,000	NOVARTIS ADR	10/20/11	05/08/12	988 90	1,040 91	(52 01) ST
11,000	NOVARTIS ADR	01/09/12	05/08/12	592 11	625 14	(33 03) ST
38,000	NABORS INDUSTRIES LTD	07/09/10	05/25/12	524 45	696 31	(171 86) LT
7,000	NABORS INDUSTRIES LTD	08/06/10	05/25/12	96 62	124 90	(28 28) LT
16,000	RACKSPACE HOSTING INC	02/14/12	05/17/12	811 88	893 80	(81 92) ST
2,000	RACKSPACE HOSTING INC	02/14/12	05/18/12	101 25	111 72	(10 47) ST
6,000	SHAW GROUP INC	10/20/10	05/25/12	154 29	189 01	(34 72) LT
1,000	SALESFORCE COM INC	01/03/11	05/01/12	157 58	137 61	19 97 LT
4,000	SALESFORCE COM INC	03/04/11	05/01/12	630 32	519 81	110 51 LT
1,000	TOYOTA MOTOR CORP ADR	04/06/11	05/01/12	80 29	77 54	2 75 LT

PLEASE SEE REVERSE SIDE

EMATM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4 0000	TOYOTA MOTOR CORP ADP	04/06/11	05/01/12	321 19	323 47	(2 28) LT
2 0000	TOYOTA MOTOR CORP ADP	04/06/11	05/02/12	159 02	161 74	(2 72) LT
9 0000	WELLS FARGO & CO NEW DEL	08/10/11	05/11/12	299 10	216 33	82 77 ST
10 0000	WELLS FARGO & CO NEW DEL	09/28/11	05/11/12	332 35	249 44	82 91 ST
1,000.0000	NM GENL ELEC CAP CORP BE	09/03/09	06/15/12	1,000 00	1,000 00	0 00
1,000.0000	NM GENL ELEC CAP CORP BE	06/29/11	06/15/12	1,000 00	1,000 00	0 00
63 0000	CITIGROUP INC COM NEW	12/21/11	06/04/12	1,559 37	1,641 62	(82 25) ST
13 0000	DISNEY (WALT) CO COM STK	01/26/10	06/18/12	612 18	387 02	225 16 LT
9 0000	F5 NETWORKS INC COM	11/02/11	06/06/12	928 23	937 88	(9 65) ST
4 0000	F5 NETWORKS INC COM	11/02/11	06/20/12	404 46	416 83	(12 37) ST
1 0000	F5 NETWORKS INC COM	11/02/11	06/21/12	98 67	104 21	(5 54) ST
1 0000	F5 NETWORKS INC COM	11/03/11	06/21/12	98 67	106 56	(7 89) ST
2 0000	F5 NETWORKS INC COM	12/15/11	06/21/12	197 35	212 67	(15 32) ST
4 0000	F5 NETWORKS INC COM	01/09/12	06/21/12	394 72	439 36	(44 64) ST
6 0000	F5 NETWORKS INC COM	03/07/12	06/21/12	592 08	749 13	(157 05) ST
3 0000	INTERCONTINENTALEXCHANGE	12/09/10	06/18/12	408 13	352 78	55 35 LT
1 0000	LABORATORY CP AMER HLDGS	01/20/11	05/29/12	86 09	89 68	(3 59) LT
11 0000	LABORATORY CP AMER HLDGS	02/10/11	05/29/12	947 00	967 00	(19 93) LT
7 0000	LINKEDIN CORP	01/31/12	06/21/12	716 31	518 34	197 97 ST
4 0000	MONSANTO CO NEW DEL COM	06/07/10	06/11/12	317 34	200 43	116 91 LT
4 0000	MONSANTO CO NEW DEL COM	09/29/10	06/11/12	317 35	194 69	122 66 LT
6 0000	MERCADOLIBRE INC	04/30/12	05/29/12	443 02	582 40	N/C
3 0000	MERCADOLIBRE INC	04/30/12	05/29/12	221 51	291 20	N/C
8 0000	MERCADOLIBRE INC	04/30/12	05/29/12	590 70	776 53	(185 83) ST
4 0000	MERCADOLIBRE INC	04/30/12	05/30/12	290 92	388 26	(97 34) ST
6 0000	MERCADOLIBRE INC	04/30/12	05/30/12	436 39	597 79	(161 40) ST
3 0000	MERCADOLIBRE INC	04/30/12	05/30/12	218 20	297 27	(79 07) ST
35 0000	NABORS INDUSTRIES LTD	08/06/10	05/29/12	494 29	624 47	(130 18) LT
27 0000	NABORS INDUSTRIES LTD	06/02/11	05/29/12	381 31	726 94	(345 63) ST
29 0000	NABORS INDUSTRIES LTD	08/15/11	05/29/12	409 56	579 26	(169 70) ST
2 0000	NABORS INDUSTRIES LTD	10/13/11	05/29/12	28 24	29 26	(1 02) ST
30 0000	NABORS INDUSTRIES LTD	10/13/11	05/29/12	423 68	438 84	(15 16) ST
22 0000	NABORS INDUSTRIES LTD	01/18/12	05/29/12	310 70	374 63	(63 93) ST
39 0000	NABORS INDUSTRIES LTD	03/30/12	05/29/12	550 81	681 99	(131 18) ST
16 0000	RACKSPACE HOSTING INC	02/14/12	05/17/12	811 88	893 80	81 92 ST
15 0000	RACKSPACE HOSTING INC	02/14/12	05/17/12	761 14	837 94	N/C
1 0000	RACKSPACE HOSTING INC	02/14/12	05/17/12	50 74	55 86	(5 12) ST
4 0000	SHAW GROUP INC	10/20/10	05/29/12	103 92	126 01	(22 09) LT
9 0000	SHAW GROUP INC	01/10/11	05/29/12	233 84	306 83	(72 99) LT
12 0000	SHAW GROUP INC	01/11/11	05/29/12	311 79	421 12	(109 33) LT

PLEASE SEE REVERSE SIDE

Page 76 of 83
Statement Period Year Ending 12/31/12
Account No 725-02291

EMATM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12 0000	SHAW GROUP INC	03/14/11	05/29/12	311.80	373.24	(61.44) LT
6.0000	SHAW GROUP INC	03/14/11	06/04/12	145.00	186.62	(41.62) LT
4.0000	SHAW GROUP INC	03/17/11	06/04/12	96.68	129.73	(33.05) LT
33 0000	SHAW GROUP INC	03/17/11	06/05/12	806.32	1,070.23	(263.91) LT
8 0000	SHAW GROUP INC	06/29/11	06/05/12	195.48	236.36	(40.88) ST
12 0000	SHAW GROUP INC	06/29/11	06/06/12	306.38	354.53	(48.15) ST
4 0000	SHAW GROUP INC	09/27/11	06/06/12	102.13	86.69	15.44 ST
3 0000	SHAW GROUP INC	10/24/11	06/06/12	76.59	65.05	11.54 ST
8 0000	SHAW GROUP INC	10/25/11	06/06/12	204.27	171.70	32.57 ST
9 0000	TOYOTA MOTOR CORP ADR	04/12/11	05/29/12	692.25	695.71	(3.46) LT
4 0000	TOYOTA MOTOR CORP ADR	04/12/11	05/30/12	306.27	309.21	(2.94) LT
7 0000	TOYOTA MOTOR CORP ADR	09/19/11	05/30/12	535.97	495.42	40.55 ST
3 0000	TOYOTA MOTOR CORP ADR	09/20/11	05/30/12	229.70	212.02	17.68 ST
4 0000	TOYOTA MOTOR CORP ADR	12/07/11	05/30/12	306.28	273.69	32.59 ST
1.0000	TOYOTA MOTOR CORP ADR	12/08/11	05/30/12	76.57	67.96	8.61 ST
43 0000	WEYERHAEUSER CO	12/10/10	06/04/12	819.74	762.64	57.10 LT
11.0000	WEYERHAEUSER CO	06/07/11	06/04/12	209.71	240.14	(30.43) ST
34 0000	AKAMAI TECHNOLOGIES INC	11/02/11	07/19/12	1,037.68	945.39	92.29 ST
2 0000	AMAZON COM INC COM	02/23/10	07/05/12	457.14	234.56	222.58 LT
1 0000	AMAZON COM INC COM	05/28/10	07/05/12	228.57	124.91	103.66 LT
3 0000	AMAZON COM INC COM	05/28/10	07/19/12	679.03	374.74	304.29 LT
2 0000	AMAZON COM INC COM	06/30/10	07/19/12	452.69	224.56	228.13 LT
27 0000	CITRIX SYSTEMS INC COM	03/13/12	07/26/12	2,008.28	2,072.14	(63.86) ST
1 0000	CITIGROUP INC COM NEW	12/21/11	07/06/12	74.39	77.82	(3.43) ST
4.0000	CITIGROUP INC COM NEW	01/03/12	07/06/12	104.63	104.23	0.40 ST
21 0000	CITIGROUP INC COM NEW	01/17/12	07/06/12	549.35	597.08	(47.73) ST
6 0000	CITIGROUP INC COM NEW	05/04/10	07/05/12	156.96	173.04	(16.08) ST
11.0000	DEERE CO	05/04/10	07/19/12	574.95	409.98	164.97 LT
2 0000	DEERE CO	07/20/10	07/19/12	831.74	644.26	187.48 LT
9.0000	DISNEY (WALT) CO COM STK	01/26/10	07/20/12	151.23	120.22	31.01 LT
4 0000	DISNEY (WALT) CO COM STK	08/06/10	07/20/12	438.99	267.94	171.05 LT
1 0000	INTERCONTINENTALEXCHANGE	12/09/10	06/29/12	195.12	138.41	56.71 LT
1 0000	INTERCONTINENTALEXCHANGE	12/10/10	06/29/12	135.45	117.59	17.86 LT
4 0000	INTERCONTINENTALEXCHANGE	01/26/11	06/29/12	135.45	117.58	17.87 LT
1 0000	INTERCONTINENTALEXCHANGE	01/26/11	07/20/12	541.83	460.36	81.47 LT
3 0000	INTERCONTINENTALEXCHANGE	02/01/11	07/20/12	131.42	115.09	16.33 LT
7 0000	INTUIT INC COM	05/26/10	06/29/12	394.26	364.52	29.74 LT
1 0000	INTUIT INC COM	06/30/10	06/29/12	411.72	244.25	167.47 LT
16 0000	INTUIT INC COM	06/30/10	07/20/12	58.82	35.16	23.66 LT
				944.33	562.61	381.72 LT

PLEASE SEE REVERSE SIDE

Page 77 of 83
Statement Period Year Ending 12/31/12
Account No 725-02291

EMATM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
55 0000	JPMORGAN CHASE & CO	11/22/11	07/19/12	1,890.91	1,635.72	255.19 ST
8 0000	LABORATORY CP AMER HLDGS	02/18/11	07/19/12	704.56	711.07	(6.51) LT
7 0000	MONSANTO CO NEW DEL COM	09/29/10	07/20/12	611.83	340.71	271.12 LT
13 0000	ROCHE HLDG LTD SPN ADR	05/08/12	07/12/12	548.94	554.36	(5.42) ST
2,000.0000	US TSY 4 625% FEB 15 2040	08/31/11	08/01/12	2,850.15	2,391.79	458.36 ST
2,000.0000	US TSY 4 375% NOV 15 2039	02/04/10	08/01/12	2,743.12	1,945.94	797.18 LT
1,000.0000	US TSY 4 375% NOV 15 2039	06/29/11	08/01/12	1,371.57	1,001.39	370.18 LT
2 0000	AMAZON COM INC COM	06/30/10	08/23/12	481.32	224.56	256.76 LT
5 0000	CUMMINS INC COM	09/02/09	08/23/12	501.91	222.37	279.54 LT
4 0000	KINDER MORGAN INC DEL	02/15/11	07/30/12	143.24	121.65	21.59 LT
11 0000	KINDER MORGAN INC DEL	02/15/11	07/30/12	393.92	330.62	63.30 LT
3 0000	KINDER MORGAN INC DEL	02/15/11	08/06/12	108.63	90.18	18.45 LT
11 0000	KINDER MORGAN INC. DEL	02/15/11	08/06/12	398.30	313.54	84.76 LT
4 0000	KINDER MORGAN INC. DEL	04/25/11	08/06/12	144.85	117.69	27.16 LT
1 0000	MONSANTO CO NEW DEL COM	09/29/10	08/06/12	88.79	48.67	40.12 LT
6 0000	MONSANTO CO NEW DEL COM	04/12/11	08/06/12	532.79	411.04	121.75 LT
26 0000	NVIDIA	02/17/11	08/23/12	371.91	672.22	(300.31) LT
21 0000	NVIDIA	02/17/11	08/23/12	300.40	580.85	(280.45) LT
3 0000	RED HAT INC	03/26/10	08/21/12	175.72	85.19	90.53 LT
9 0000	RED HAT INC	02/24/11	08/21/12	527.18	364.97	162.21 LT
7 0000	RACKSPACE HOSTING INC	02/14/12	08/21/12	390.16	391.04	(0.88) ST
3 0000	AMAZON COM INC COM	08/08/11	09/18/12	776.57	589.34	187.23 LT
1 0000	APPLE INC	03/01/10	09/18/12	699.55	208.78	490.77 LT
1 0000	APPLE INC	03/01/10	09/25/12	683.27	208.78	474.49 LT
14 0000	CTRIPO COM INTL LTD ADR	01/04/11	09/12/12	236.97	619.03	(382.06) LT
10 0000	CTRIPO COM INTL LTD ADR	01/04/11	09/12/12	169.28	462.40	(293.12) LT
8 0000	DISNEY (WALT) CO COM STK	08/06/10	09/18/12	414.58	276.83	137.75 LT
3 0000	DISNEY (WALT) CO COM STK	09/20/10	09/18/12	155.47	104.80	50.67 LT
9 0000	QUALCOMM INC	02/02/10	09/21/12	581.50	355.79	225.71 LT
11 0000	RACKSPACE HOSTING INC	02/14/12	08/29/12	658.25	614.49	43.76 ST
1 0000	RACKSPACE HOSTING INC	02/14/12	09/21/12	66.53	55.86	10.67 ST
9 0000	RACKSPACE HOSTING INC	03/05/12	09/21/12	598.85	460.09	138.76 ST
5 0000	THERMO FISHER SCIENTIFIC	08/04/11	09/17/12	300.85	272.96	27.89 LT
2 0000	THERMO FISHER SCIENTIFIC	08/09/11	09/17/12	120.35	101.93	18.42 LT
17 0000	THERMO FISHER SCIENTIFIC	08/09/11	09/18/12	1,017.98	866.41	151.57 LT
8 0000	THERMO FISHER SCIENTIFIC	10/07/11	09/18/12	479.05	405.74	73.31 ST
4 0000	THERMO FISHER SCIENTIFIC	10/26/11	09/18/12	239.53	193.85	45.68 ST
1,000 0000	CONCOPHILLIPS 4 75% 2012	09/03/09	10/15/12	1,000.00	1,000.00	0.00
1,000 0000	CONCOPHILLIPS 4 75% 2012	06/29/11	10/15/12	1,000.00	1,000.00	0.00
1 0000	AMAZON COM INC COM	08/08/11	10/01/12	253.13	196.44	56.69 LT

PLEASE SEE REVERSE SIDE

EMA[®] Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	AMAZON COM INC COM	10/26/11	10/01/12	253 13	199 65	53 48 ST
2 0000	AMAZON COM INC COM	12/15/11	10/01/12	506 28	364 50	141 78 ST
10 0000	APOLLO GLOBAL MGMT LLC	05/16/11	10/08/12	148 05	179 26	N/C
18 0000	APOLLO GLOBAL MGMT LLC	05/17/11	10/08/12	266 49	310 59	N/C
12 0000	APOLLO GLOBAL MGMT LLC	05/17/11	10/09/12	176 11	207 06	N/C
2 0000	APOLLO GLOBAL MGMT LLC	05/17/11	10/10/12	29 10	34 51	N/C
3 0000	APOLLO GLOBAL MGMT LLC	05/17/11	10/11/12	43 70	51 77	N/C
9 0000	APOLLO GLOBAL MGMT LLC	05/18/11	10/11/12	131 10	158 20	N/C
14 0000	APOLLO GLOBAL MGMT LLC	05/18/11	10/15/12	207 24	246 09	N/C
4 0000	APOLLO GLOBAL MGMT LLC	05/19/11	10/16/12	60 03	70 58	N/C
10 0000	APOLLO GLOBAL MGMT LLC	05/19/11	10/17/12	150 61	176 44	N/C
3 0000	APOLLO GLOBAL MGMT LLC	05/19/11	10/18/12	45 17	52 93	N/C
13 0000	APOLLO GLOBAL MGMT LLC	05/20/11	10/18/12	195 77	233 31	N/C
4 0000	APOLLO GLOBAL MGMT LLC	05/20/11	10/23/12	60 00	71 79	N/C
6 0000	APOLLO GLOBAL MGMT LLC	05/23/11	10/23/12	90 00	105 90	N/C
7 0000	APOLLO GLOBAL MGMT LLC	05/24/11	10/23/12	105 00	124 81	N/C
10 0000	APOLLO GLOBAL MGMT LLC	05/25/11	10/23/12	150 02	174 88	N/C
4 0000	APOLLO GLOBAL MGMT LLC	07/25/11	10/23/12	60 01	68 81	N/C
7 0000	APOLLO GLOBAL MGMT LLC	07/25/11	10/24/12	105 04	120 43	N/C
7 0000	APOLLO GLOBAL MGMT LLC	07/26/11	10/24/12	105 04	121 01	N/C
4 0000	APOLLO GLOBAL MGMT LLC	07/26/11	10/25/12	60 47	69 15	N/C
5 0000	APOLLO GLOBAL MGMT LLC	07/27/11	10/25/12	75 59	84 57	N/C
1 0000	APOLLO GLOBAL MGMT LLC	07/28/11	10/25/12	15 12	16 79	N/C
2 0000	APOLLO GLOBAL MGMT LLC	07/28/11	10/26/12	29 44	33 58	N/C
5 0000	APOLLO GLOBAL MGMT LLC	07/29/11	10/26/12	73 60	84 78	N/C
2 0000	APOLLO GLOBAL MGMT LLC	08/01/11	10/26/12	29 44	34 49	N/C
3 0000	APOLLO GLOBAL MGMT LLC	08/16/11	10/26/12	44 16	41 99	N/C
2 0000	APPLE INC	03/01/10	10/09/12	1,277 83	417 57	860 26 LT
1 0000	BABCOCK (THE) AND WILCOX	08/04/10	10/19/12	25 69	23 08	2 61 LT
8 0000	BABCOCK (THE) AND WILCOX	08/04/10	10/19/12	205 57	212 39	(6 82) LT
3 0000	BABCOCK (THE) AND WILCOX	08/04/10	10/19/12	77 09	82 79	(5 70) LT
10 0000	BABCOCK (THE) AND WILCOX	08/04/10	10/22/12	253 99	228 99	25 00 LT
18 0000	BABCOCK (THE) AND WILCOX	08/05/10	10/22/12	457 19	425 92	31 27 LT
12 0000	BABCOCK (THE) AND WILCOX	08/05/10	10/26/12	296 83	283 94	12 89 LT
10 0000	BABCOCK (THE) AND WILCOX	10/20/10	10/26/12	247 36	229 06	18 30 LT
9 0000	GOLDCORP INC	07/30/11	10/17/12	396 13	437 52	(41 39) LT
6 0000	GOLDCORP INC	08/19/11	10/17/12	264 09	309 22	(45 13) LT
7 0000	HALLIBURTON COMPANY	08/09/11	10/03/12	233 95	310 72	(76 77) LT
11 0000	HALLIBURTON COMPANY	08/15/11	10/03/12	367 63	522 36	(154 73) LT
12 0000	HALLIBURTON COMPANY	10/24/11	10/03/12	401 07	423 92	(22 85) ST

PLEASE SEE REVERSE SIDE

Page 79 of 83
Statement Period Year Ending 12/31/12
Account No 725-02291



Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4 0000	HALLIBURTON COMPANY	10/24/11	10/17/12	136 63	141 31	(4 68) ST
9 0000	HALLIBURTON COMPANY	10/27/11	10/17/12	307 43	349 05	(41 62) ST
21 0000	HALLIBURTON COMPANY	11/04/11	10/17/12	717 36	778 80	(61 44) ST
24 0000	HALLIBURTON COMPANY	11/10/11	10/17/12	819 84	881 34	(61 50) ST
16 0000	HALLIBURTON COMPANY	02/21/12	10/17/12	546 56	599 41	(52 85) ST
2 0000	ILLUMINA INC COM	07/27/11	10/10/12	102 56	120 19	(17 63) LT
12 0000	ILLUMINA INC COM	08/19/11	10/10/12	615 42	559 59	55 83 LT
1 0000	JPMORGAN CHASE & CO	11/22/11	10/01/12	41 26	29 74	11 52 ST
23 0000	JPMORGAN CHASE & CO	12/07/11	10/01/12	949 08	773 38	175 70 ST
3 0000	JPMORGAN CHASE & CO	12/07/11	10/08/12	124 71	100 88	23 83 ST
23 0000	JPMORGAN CHASE & CO	12/15/11	10/08/12	956 16	739 93	216 23 ST
18 0000	JPMORGAN CHASE & CO	01/06/12	10/08/12	748 31	637 65	110 66 ST
17 0000	JPMORGAN CHASE & CO	05/11/12	10/08/12	706 74	628 82	77 92 ST
4 0000	LAUDER ESTEE COS INC A	11/24/10	10/17/12	257 56	151 61	105 95 LT
10 0000	LAUDER ESTEE COS INC A	08/15/11	10/17/12	643 91	470 64	173 27 LT
14 0000	LAUDER ESTEE COS INC A	08/15/11	10/24/12	862 17	658 90	203 27 LT
2 0000	LAUDER ESTEE COS INC A	02/03/12	10/24/12	123 17	113 28	9 89 ST
7 0000	LABORATORY CP AMER HLDGS	02/18/11	10/23/12	597 65	622 19	(24 54) LT
4 0000	LABORATORY CP AMER HLDGS	10/06/11	10/23/12	341 52	309 43	32 09 LT
3 0000	LABORATORY CP AMER HLDGS	10/06/11	10/24/12	256 20	232 07	24 13 LT
10 0000	LABORATORY CP AMER HLDGS	02/10/12	10/24/12	854 02	902 71	(48 69) ST
6 0000	LABORATORY CP AMER HLDGS	08/21/12	10/24/12	512 42	534 20	(21 78) ST
3 0000	LINKEDIN CORP	01/31/12	10/01/12	353 76	222 14	131 62 ST
6 0000	LINKEDIN CORP	02/02/12	10/01/12	707 54	458 26	249 28 ST
15 0000	OCCIDENTAL PETE CORP CAL	04/06/10	10/26/12	1,228 04	1,328 67	(100 63) LT
6 0000	OCCIDENTAL PETE CORP CAL	05/12/11	10/26/12	491 22	621 03	(129 81) LT
7 0000	OCCIDENTAL PETE CORP CAL	04/24/12	10/26/12	573 09	615 18	(42 09) ST
7 0000	OCCIDENTAL PETE CORP CAL	04/25/12	10/26/12	573 09	626 17	(53 08) ST
6 0000	RACKSPACE HOSTING INC	03/05/12	10/17/12	404 52	306 73	97 79 ST
9 0000	RACKSPACE HOSTING INC	03/07/12	10/17/12	606 78	466 65	140 13 ST
2 0000	SALESFORCE COM INC	03/04/11	10/19/12	297 68	259 91	37 77 LT
4 0000	SALESFORCE COM INC	08/19/11	10/19/12	595 37	448 66	146 71 LT
11 0000	WEYERHAEUSER CO	06/07/11	10/01/12	287 02	240 14	46 88 LT
10 0000	WEYERHAEUSER CO	06/29/11	10/01/12	260 93	212 26	48 67 LT
30 0000	WELLS FARGO & CO NEW DEL	08/04/11	10/01/12	234 84	165 21	69 63 LT
11 0000	APOLLO GLOBAL MGMT LLC	09/28/11	10/17/12	1,033 76	748 32	285 44 LT
7 0000	APOLLO GLOBAL MGMT LLC	08/16/11	10/31/12	166 27	153 98	N/C
5 0000	APOLLO GLOBAL MGMT LLC	08/17/11	11/06/12	104 33	100 77	N/C
7 0000	APOLLO GLOBAL MGMT LLC	08/18/11	11/06/12	74 52	68 68	N/C
		08/19/11	11/06/12	104 34	97 64	N/C

PLEASE SEE REVERSE SIDE

Page 80 of 83
Statement Period Year Ending 12/31/12
Account No. 725-02291

EMASM Fiscal Statement

THE STEVEN W AND RUTH KATZ

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4 0000	APOLLO GLOBAL MGMT LLC	08/19/11	11/07/12	57.84	55.80	N/C
4 0000	APOLLO GLOBAL MGMT LLC	08/22/11	11/07/12	57.85	53.13	N/C
10.0000	BARRICK GOLD CORPORATION	05/05/10	11/01/12	367.70	429.44	(61.74) LT
23 0000	BARRICK GOLD CORPORATION	10/20/10	11/01/12	845.72	1,063.78	(218.06) LT
17 0000	BARRICK GOLD CORPORATION	03/11/11	11/01/12	625.10	869.57	(244.47) LT
8 0000	BARRICK GOLD CORPORATION	10/25/11	11/01/12	294.16	381.23	(87.07) LT
8 0000	BARRICK GOLD CORPORATION	12/15/11	11/01/12	294.16	361.60	(67.44) ST
17 0000	BARRICK GOLD CORPORATION	07/30/12	11/01/12	625.11	560.13	64.98 ST
14 0000	BABCOCK (THE) AND WILCOX	10/20/10	10/31/12	355.62	320.68	34.94 LT
2 0000	BABCOCK (THE) AND WILCOX	10/21/10	10/31/12	50.81	46.23	4.58 LT
6 0000	CTRIPO COM INTL LTD ADR	01/04/11	11/06/12	118.82	277.45	(158.63) LT
19 0000	CTRIPO COM INTL LTD ADR	02/10/11	11/06/12	376.29	785.94	(409.65) LT
7 0000	CTRIPO COM INTL LTD ADR	03/10/11	11/06/12	138.63	270.28	(131.65) LT
10 0000	CTRIPO COM INTL LTD ADR	03/11/11	11/06/12	198.05	386.40	(188.35) LT
10 0000	CTRIPO COM INTL LTD ADR	09/27/11	11/06/12	198.06	371.45	(173.39) LT
13 0000	CITIGROUP INC COM NEW	01/17/12	11/12/12	476.44	374.91	101.53 ST
3 0000	CITIGROUP INC COM NEW	02/08/12	11/12/12	109.95	101.64	8.31 ST
25 0000	DENBURY RES INC	03/11/11	11/06/12	377.00	571.90	(194.90) LT
30 0000	DENBURY RES INC	05/05/11	11/06/12	452.42	623.32	(170.90) LT
6 0000	EOG RESOURCES INC	11/03/10	11/06/12	731.92	523.27	208.65 LT
4 0000	EOG RESOURCES INC	06/02/11	11/06/12	487.95	441.69	46.26 LT
1 0000	QUALCOMM INC	02/02/10	11/12/12	61.80	39.53	22.27 LT
9 0000	QUALCOMM INC	03/01/10	11/12/12	556.24	322.43	233.81 LT
1,000 0000	GENL DYNAMICS COR 4 25% 13	09/03/09	12/07/12	1,016.91	1,009.96	6.95 LT
1,000 0000	GENL DYNAMICS COR 4 25% 13	06/29/11	12/07/12	1,016.91	1,015.52	1.39 LT
16 0000	AKAMAI TECHNOLOGIES INC	11/02/11	12/14/12	619.66	444.89	174.77 LT
1 0000	APPLE INC	10/19/10	11/28/12	582.81	308.46	274.35 LT
15 0000	BURBERRY GROU PLC-SP ADR	05/08/12	12/05/12	616.62	699.31	(82.69) ST
1 0000	BURBERRY GROU PLC-SP ADR	05/09/12	12/05/12	41.11	46.86	(5.75) ST
8 0000	NOBLE ENERGY INC	08/23/10	12/14/12	798.19	543.72	254.47 LT
3 0000	RACKSPACE HOSTING INC	03/07/12	11/28/12	204.04	155.55	48.49 ST
7 0000	RACKSPACE HOSTING INC	03/09/12	11/28/12	476.12	375.17	100.95 ST
3 0000	SALESFORCE COM INC	08/19/11	11/28/12	469.06	336.50	132.56 LT

Per page 2 activity summary:

Gross Proceeds: 210,966

Cost Basis: 191,503

Gain on sale: 19,463

PLEASE SEE REVERSE SIDE
Page 81 of 83
Statement Period Year Ending 12/31/12
Account No 725-02291

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3,000 0000	FEDERAL NATL MTG ASSOC	11/08/11	01/18/12	3,496.44	3,480.21	16.23 ST
2,000 0000	FEDERAL NATL MTG ASSOC	11/22/11	01/18/12	2,330.96	2,311.15	19.81 ST
3,000 0000	FEDERAL NATL MTG ASSOC	11/30/11	01/18/12	3,496.44	3,471.07	25.37 ST
5,000 0000	FEDERAL NATL MTGE ASSOC	03/02/11	01/18/12	5,370.20	5,299.12	71.08 ST
3,000 0000	FEDERAL NATL MTGE ASSOC	10/18/11	01/18/12	3,222.12	3,218.87	3.25 ST
2,000 0000	US TSY 6.125% AUG 15 2029	06/29/11	01/11/12	3,008.35	2,537.08	471.27 ST
5 0000	ARCHER DANIELS MIDLD	03/03/09	01/10/12	143.52	128.84	14.68 LT
12 0000	ARCHER DANIELS MIDLD	12/18/09	01/10/12	344.47	365.23	(20.76) LT
4 0000	CATERPILLAR INC DEL	02/21/08	01/09/12	388.17	284.65	103.52 LT
5 0000	COVIDIEN PLC SHS NEW	02/26/09	01/10/12	230.20	171.55	58.65 LT
4 0000	COVIDIEN PLC SHS NEW	02/26/09	01/20/12	191.55	137.24	54.31 LT
4 0000	EATON CORP	05/09/08	01/09/12	180.74	171.03	9.71 LT
5 0000	HYATT HOTELS CORP	05/05/10	01/09/12	195.72	210.50	(14.78) LT
4 0000	M&T BANK CORPORATION	03/17/09	01/20/12	326.61	156.57	170.04 LT
4 0000	ZIONS BANCORP COM	03/03/09	01/03/12	67.52	36.16	31.36 LT
5 0000	ZIONS BANCORP COM	03/26/09	01/03/12	84.41	54.03	30.38 LT
6 0000	ZIONS BANCORP COM	03/26/09	01/04/12	101.42	64.83	36.59 LT
6 0000	ZIONS BANCORP COM	03/26/09	01/05/12	103.94	64.83	39.11 LT
2,000 0000	US TSY 6.125% AUG 15 2029	08/01/11	02/14/12	2,979.52	2,624.00	355.52 ST
3,000 0000	US TSY 4.000% AUG 15 2018	07/26/11	02/14/12	3,531.56	3,330.38	201.18 ST
8 0000	ARCHER DANIELS MIDLD	12/18/09	01/27/12	238.38	243.48	(5.10) LT
1 0000	CATERPILLAR INC DEL	02/21/08	02/24/12	116.27	71.16	45.11 LT
1 0000	CATERPILLAR INC DEL	10/28/08	02/24/12	116.28	32.77	83.51 LT
3 0000	EATON CORP	05/09/08	01/30/12	147.81	128.28	19.53 LT
1 0000	EATON CORP	09/30/08	01/30/12	49.28	27.50	21.78 LT
11 0000	HSN INC	02/12/10	02/24/12	415.62	213.69	201.93 LT
7 0000	MARRIOTT INTL INC NEW A	03/17/09	02/22/12	241.53	99.80	141.73 LT
8 0000	OMNICOM GROUP COM	05/14/09	02/24/12	392.26	251.11	141.15 LT
5 0000	SUNTRUST BKS INC COM	07/10/09	02/24/12	112.10	75.81	36.29 LT
2 0000	UNITEDHEALTH GROUP INC	03/06/09	02/13/12	107.41	33.26	74.15 LT
3 0000	CATERPILLAR INC DEL	10/28/08	02/28/12	347.40	98.30	249.10 LT
2 0000	CATERPILLAR INC DEL	03/03/09	02/28/12	231.60	44.38	187.22 LT
2 0000	CATERPILLAR INC DEL	05/14/09	02/28/12	231.61	72.74	158.87 LT
19 0000	DELTA AIR LINES INC	01/23/09	02/27/12	182.43	193.88	(11.45) LT
8 0000	DELTA AIR LINES INC	03/03/09	02/27/12	76.82	32.75	44.07 LT
11 0000	DELTA AIR LINES INC	03/03/09	02/28/12	106.76	45.03	61.73 LT
22 0000	DELTA AIR LINES INC	03/03/09	03/27/12	215.84	90.05	125.79 LT
9 0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	03/08/12	132.12	81.72	50.40 LT
10 0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	03/08/12	148.32	90.80	57.52 LT

PLEASE SEE REVERSE SIDE

Page 99 of 113
Statement Period Year Ending 12/31/12
Account No. 725-02272

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	03/14/12	29 91	18 16	11 75 LT
3 0000	HSN INC	02/12/10	02/27/12	113 65	58 28	55 37 LT
3 0000	HSN INC	02/12/10	03/16/12	111 35	58 28	53 07 LT
8 0000	HSN INC	02/12/10	03/26/12	307 40	155 41	151 99 LT
4 0000	HYATT HOTELS CORP	05/05/10	03/09/12	165 11	168 40	(3 29) LT
5 0000	HYATT HOTELS CORP	05/05/10	03/16/12	204 01	210 50	(6 49) LT
7 0000	MARRIOTT INTL INC NEW A	03/17/09	02/27/12	244 34	99 80	144 54 LT
6 0000	MARRIOTT INTL INC NEW A	03/17/09	02/28/12	211 35	85 54	125 81 LT
5 0000	MARRIOTT INTL INC NEW A	03/17/09	03/08/12	182 68	71 28	111 40 LT
3 0000	MARRIOTT INTL INC NEW A	03/17/09	03/16/12	114 82	42 77	72 05 LT
2 0000	OMNICOM GROUP COM	05/14/09	03/15/12	99 59	62 78	36 81 LT
4 0000	OMNICOM GROUP COM	10/09/09	03/15/12	199 21	144 87	54 34 LT
4 0000	SUNTRUST BKS INC COM	07/10/09	02/27/12	91 13	60 65	30 48 LT
8 0000	SUNTRUST BKS INC COM	07/12/09	02/27/12	182 28	117 91	64 37 LT
5 0000	TARGET CORP COM	01/08/09	02/27/12	275 49	189 55	85 94 LT
4 0000	TIME WARNER CABLE INC	01/23/09	03/23/12	321 09	113 11	207 98 LT
3,000 0000	US TSY 4.000% AUG 15 2018	07/26/11	04/24/12	3,523 00	3,321 22	201 78 ST
14 0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	04/05/12	212 66	127 13	85 53 LT
6 0000	HSN INC	02/12/10	04/03/12	227 43	116 56	110 87 LT
9 0000	KEYCORP NEW COM	04/09/10	04/11/12	73 25	75 26	(2 01) LT
9 0000	KEYCORP NEW COM	07/01/10	04/11/12	73 25	66 66	6 59 LT
14 0000	MICROSOFT CORP	05/19/11	04/11/12	426 67	345 94	80 73 ST
3 0000	UNITEDHEALTH GROUP INC	03/06/09	04/05/12	176 84	49 88	126 96 LT
2,000 0000	US TSY 6.125% AUG 15 2029	08/31/11	05/17/12	3,081 55	2,812 35	269 20 ST
4 0000	BARRICK GOLD CORPORATION	12/18/09	05/07/12	152 03	157 41	(5 38) LT
1 0000	COMCAST CORP NEW CL A	01/14/10	05/07/12	29 58	16 71	12 87 LT
5 0000	COMCAST CORP NEW CL A	05/20/10	05/07/12	147 90	85 09	62 81 LT
2 0000	CHEVRON CORP	09/30/08	05/11/12	207 12	162 12	45 00 LT
1 0000	CHEVRON CORP	10/28/08	05/11/12	103 56	63 53	40 03 LT
3 0000	EXXON MOBIL CORP COM	12/18/09	05/11/12	250 70	204 01	46 69 LT
4 0000	EL PASO CORPORATION	12/20/06	05/31/12	58 60	60 12	(1 52) LT
53 0000	EL PASO CORPORATION	02/16/07	05/31/12	776 44	793 68	(17 24) LT
9 0000	EL PASO CORPORATION	09/30/08	05/31/12	131 85	109 89	21 96 LT
3 0000	EL PASO CORPORATION	10/08/08	05/31/12	43 95	25 41	18 54 LT
13 0000	EL PASO CORPORATION	10/28/08	05/31/12	190 45	95 98	94 47 LT
6 0000	EL PASO CORPORATION	11/21/08	05/31/12	87 90	33 74	54 16 LT
23 0000	EL PASO CORPORATION	03/03/09	05/31/12	336 95	139 04	197 91 LT
47 0000	EL PASO CORPORATION	12/14/09	05/31/12	688 56	455 52	233 04 LT
8 0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	05/01/12	126 97	72 64	54 33 LT
12 0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	05/02/12	194 47	108 96	85 51 LT

PLEASE SEE REVERSE SIDE

Page

Statement Period

Year Ending 12/31/12

Account No 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
25.0000	JPMORGAN CHASE & CO	01/17/08	05/24/12	842.48	1,007.66	(165.18) LT
6.0000	JPMORGAN CHASE & CO	03/18/08	05/24/12	202.20	254.51	(52.31) LT
9.0000	KEYCORP NEW COM	07/01/10	05/18/12	66.86	66.65	0.21 LT
11.0000	KEYCORP NEW COM	09/07/10	05/18/12	81.73	84.60	(2.87) LT
18.0000	KEYCORP NEW COM	09/07/10	05/25/12	134.81	138.43	(3.62) LT
8.0000	KEYCORP NEW COM	10/20/10	05/25/12	59.92	64.75	(4.83) LT
4.0000	MARRIOTT INTL INC NEW A	03/17/09	05/16/12	155.69	57.03	98.66 LT
2.0000	TIME WARNER CABLE INC	03/03/09	05/07/12	156.86	62.85	94.01 LT
1.0000	TIME WARNER CABLE INC	10/14/09	05/07/12	78.43	41.55	36.88 LT
2,000.0000	US TSY 6.125% AUG 15 2029	09/30/11	06/22/12	3,100.31	2,975.68	124.63 ST
3,000.0000	US TSY 4.000% AUG 15 2018	01/31/12	06/22/12	3,544.69	3,522.73	21.96 ST
6.0000	CARNIVAL CORP PAIRED SHS	03/17/09	06/19/12	210.47	128.42	82.05 LT
6.0000	CARNIVAL CORP PAIRED SHS	03/17/09	06/20/12	212.69	128.42	84.27 LT
9.0000	CIGNA CORP	08/16/10	06/07/12	399.01	298.21	100.80 LT
9.0000	CIGNA CORP	08/16/10	06/08/12	403.19	298.20	104.99 LT
12.0000	DISNEY (WALT) CO COM STK	01/27/11	06/19/12	571.71	473.82	97.89 LT
6.0000	DISNEY (WALT) CO COM STK	01/27/11	06/20/12	285.93	236.91	49.02 LT
7.0000	DISNEY (WALT) CO COM STK	01/27/11	06/21/12	334.75	276.39	58.36 LT
3.0000	DISNEY (WALT) CO COM STK	01/27/11	06/22/12	141.10	118.45	22.65 LT
3.0000	DISNEY (WALT) CO COM STK	02/14/11	06/22/12	141.11	129.67	11.44 LT
4.0000	EL PASO CORPORATION CXL	12/20/06	05/31/12	58.60	60.12	1.52 LT
4.0000	EL PASO CORPORATION	12/20/06	05/31/12	58.60	1.46	57.14 LT
53.0000	EL PASO CORPORATION	02/16/07	05/31/12	776.44	793.68	17.24 LT
53.0000	EL PASO CORPORATION	02/16/07	05/31/12	776.45	16.40	760.05 LT
9.0000	EL PASO CORPORATION	09/30/08	05/31/12	131.85	109.89	(21.96) LT
9.0000	EL PASO CORPORATION	09/30/08	05/31/12	131.85	N/A	131.85 LT
3.0000	EL PASO CORPORATION	10/08/08	05/31/12	43.95	25.41	(18.54) LT
3.0000	EL PASO CORPORATION	10/08/08	05/31/12	43.95	N/A	43.95 LT
13.0000	EL PASO CORPORATION	10/28/08	05/31/12	190.45	95.98	(94.47) LT
13.0000	EL PASO CORPORATION	10/28/08	05/31/12	190.45	N/A	190.45 LT
6.0000	EL PASO CORPORATION	11/21/08	05/31/12	87.90	33.74	(54.16) LT
6.0000	EL PASO CORPORATION	11/21/08	05/31/12	87.90	N/A	87.90 LT
23.0000	EL PASO CORPORATION	03/03/09	05/31/12	336.95	139.04	(197.91) LT
23.0000	EL PASO CORPORATION	03/03/09	05/31/12	336.95	N/A	336.95 LT
47.0000	EL PASO CORPORATION	12/14/09	05/31/12	688.56	455.52	(233.04) LT
47.0000	EL PASO CORPORATION	12/14/09	05/31/12	688.55	N/A	688.55 LT
6.0000	HOME DEPOT INC	03/21/12	06/19/12	316.76	298.67	18.09 ST
5.0000	HOME DEPOT INC	03/21/12	06/22/12	258.47	248.89	9.58 ST
1.0000	HOME DEPOT INC	03/22/12	06/22/12	51.70	49.83	1.87 ST
11.0000	INTEL CORP	04/29/09	06/22/12	295.04	169.56	125.48 LT

PLEASE SEE REVERSE SIDE
 Statement Period
 Year Ending 12/31/12
 Account No 725-02272

Fiscal Statement
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
12 0000	INTEL CORP	08/26/09	06/22/12	321 87	230 64	91 23 LT
13 0000	KEYCORP NEW COM	10/20/10	05/29/12	98 68	105 23	(6 55) LT
18 0000	KEYCORP NEW COM	10/20/10	06/19/12	135 18	145 70	(10 52) LT
4 0000	MARriott INTL INC NEW A	03/17/09	06/22/12	154 47	57 03	97 44 LT
15 0000	MICROSOFT CORP	05/19/11	06/15/12	449 32	370 65	78 67 LT
3 0000	OMNICOM GROUP COM	10/09/09	06/21/12	144 65	108 65	36 00 LT
3 0000	TIME WARNER CABLE INC	10/14/09	06/20/12	238 59	124 67	113 92 LT
12 0000	UNITEDHEALTH GROUP INC	03/06/09	06/01/12	668 28	199 54	468 74 LT
3 0000	UNITEDHEALTH GROUP INC	04/06/09	06/01/12	167 08	64 77	102 31 LT
10 0000	UNITEDHEALTH GROUP INC	04/06/09	06/04/12	549 38	215 88	333 50 LT
10 0000	UNITEDHEALTH GROUP INC	04/06/09	06/05/12	553 59	215 89	337 70 LT
6 0000	UNITEDHEALTH GROUP INC	04/06/09	06/06/12	344 00	129 53	214 47 LT
2 0000	UNITEDHEALTH GROUP INC	11/18/09	06/06/12	114 67	57 58	57 09 LT
1 0000	WT05 17KINDER MORGAN WI	12/20/06	06/15/12	2 18	1 90	0 28 LT
34 0000	WT05 17KINDER MORGAN WI	02/16/07	06/15/12	74 17	64 77	9 40 LT
6 0000	WT05 17KINDER MORGAN WI	09/30/08	06/15/12	13 08	9 92	3 16 LT
1 0000	WT05 17KINDER MORGAN WI	10/08/08	06/15/12	2 18	1 10	1 08 LT
9 0000	WT05 17KINDER MORGAN WI	10/28/08	06/15/12	19 63	9 27	10 36 LT
4 0000	WT05 17KINDER MORGAN WI	11/21/08	06/15/12	8 72	3 46	5 26 LT
15 0000	WT05 17KINDER MORGAN WI	03/03/09	06/15/12	32 72	11 82	20 90 LT
30 0000	WT05 17KINDER MORGAN WI	12/14/09	06/15/12	65 47	37 43	28 04 LT
1 0000	WT05 17KINDER MORGAN WI	06/07/12	06/15/12	2 18	N/A	N/C
5,000 0000	FNMA P255179 05 50%2034 COR	03/09/04	07/10/12	983 29	927 88	N/C
11,000 0000	FHLMC A9 6312 04%2041 COR	01/03/11	07/10/12	10,095.73	9,387 06	N/C
6,091.0000	FNMA PAH6783 04%2041 COR	08/02/11	07/10/12	5,660 23	5,421 07	N/C
4,000 0000	FHLMC Q0 0798 04%2041 COR	04/20/11	07/10/12	3,831 17	3,533 46	N/C
7,093.0000	FNMA P894009 05 50%2036 COR	07/21/11	07/10/12	1,692 91	1,683 33	N/C
9,220 0000	FNMA PAE0937 03 50%2041 COR	10/04/11	07/10/12	8,814 97	8,561 13	N/C
1,000 0000	FNMA P890303 05%2025 COR	07/21/11	07/10/12	722 50	721 35	N/C
9,000 0000	FNMA PAJ4140 03 50%2041 COR	09/12/11	07/10/12	7,010 19	6,723 48	N/C
9,000 0000	FHLMC G0 8477 03 50%2042 COR	01/18/12	07/10/12	9,256 87	9,000 08	N/C
2,000 0000	FNMA PAK6816 03 50%2042 COR	05/17/12	07/10/12	2,117 40	2,091 02	N/C
3,000 0000	FHLMC Q0 8999 03 50%2042 COR	05/31/12	07/10/12	3,157 63	3,127 60	N/C
3,000 0000	US TSY 4 500% FEB 15 2036	07/30/10	07/10/12	4,127 81	3,276 73	N/C
2,000 0000	US TSY 4 500% FEB 15 2036	01/11/12	07/10/12	2,751 87	2,582 41	851.08 LT
3,000 0000	US TSY 4 500% FEB 15 2036	02/14/12	07/10/12	4,127 81	3,822 02	169 46 ST
3,000 0000	US TSY 4 500% FEB 15 2036	06/22/12	07/10/12	4,127 82	3,822 02	305.79 ST
3,000 0000	US TSY 2 125% NOV 30 2014	02/14/12	07/10/12	3,127 97	4,046 70	81 12 ST
3,000 0000	US TSY 1 250% AUG 31 2015	07/19/11	07/10/12	3,077 81	3,125 18	2 79 ST
6,000 0000	US TSY 1 250% AUG 31 2015	04/24/12	07/10/12	6,155 62	3,017 08	60 73 ST
					6,147 14	8 48 ST

PLEASE SEE REVERSE SIDE

 Page
102 of 113

 Statement Period
Year Ending 12/31/12

 Account No.
725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
13,000 0000	US TSY 1 250% AUG 31 2015	05/17/12	07/10/12	13,337 20	13,328 16	9 04 ST
7,218 0000	FNMA P725424 05 50%2034 COR	06/17/05	07/10/12	1,201 83	1,111 97	N/C
5,000 0000	FNMA P357797 05 50%2035 COR	07/28/05	07/10/12	1,168 42	1,078 00	N/C
5,000 0000	FNMA P256022 05 50%2035 COR	10/18/05	07/10/12	1,471 50	1,335 95	N/C
5,015 0000	FNMA P256022 05 50%2035 COR	12/05/05	07/10/12	1,475 93	1,324 98	N/C
26,267 0000	FNMA P256199 05%2021 COR	12/14/09	07/10/12	5,186 15	5,100 84	N/C
40,000 0000	FNMA P906274 05 50%2037 COR	12/21/06	07/10/12	9,637 74	8,764 41	N/C
6,000 0000	FNMA P937906 05 50%2037 COR	08/31/07	07/10/12	1,602 76	1,433 03	N/C
32 0000	ADOBE SYS DEL PV\$ 0 001	01/19/11	07/09/12	975 52	1,091 19	(115.67) LT
41 0000	AT&T INC	03/30/07	07/09/12	1,451 72	1,617 81	(166.09) LT
14 0000	AT&T INC	09/30/08	07/09/12	495 71	403 64	92.07 LT
4 0000	AT&T INC	10/08/08	07/09/12	141 63	99 90	41 73 LT
20 0000	AT&T INC	11/21/08	07/09/12	708 16	476 43	231 73 LT
6 0000	AT&T INC	03/03/09	07/09/12	212 46	137 58	74 88 LT
2,0000	ANADARKO PETE CORP	06/23/10	07/09/12	132 20	81 19	51 01 LT
6 0000	APACHE CORP	05/28/10	07/09/12	509 58	541 16	(31 58) LT
4,0000	APACHE CORP	05/28/10	07/26/12	339 99	360 77	(20 78) LT
7,0000	APACHE CORP	11/10/10	07/26/12	594 98	771 18	(176 20) LT
4 0000	APACHE CORP	01/13/11	07/26/12	340 00	503 34	(163 34) LT
20,0000	ARCHER DANIELS MIDLD	12/18/09	07/09/12	550 12	608 71	(58 59) LT
4 0000	ARCHER DANIELS MIDLD	04/19/12	07/09/12	110 02	122 85	(12 83) ST
5 0000	ARCHER DANIELS MIDLD	05/04/12	07/09/12	137 53	160 72	(23 19) ST
9,0000	ARCHER DANIELS MIDLD	05/09/12	07/09/12	247 55	295 19	(47 64) ST
5 0000	ARCHER DANIELS MIDLD	05/11/12	07/09/12	137 54	165 90	(28 36) ST
11 0000	BARRICK GOLD CORPORATION	12/18/09	07/09/12	402 30	432 86	(30 56) LT
7,0000	BARRICK GOLD CORPORATION	09/30/10	07/09/12	256 02	320 89	(64 87) LT
7 0000	BARRICK GOLD CORPORATION	09/19/11	07/09/12	256 02	377 51	(121 49) ST
252 0000	BOSTON SCIENTIFIC CORP	07/09/12	07/26/12	1,284 45	1,414 22	(149 77) ST
255 0000	BOSTON SCIENTIFIC CORP	07/10/12	07/26/12	1,279 51	1,431 11	(151 60) ST
12 0000	BRISTOL-MYERS SQUIBB CO	11/29/11	07/09/12	419 16	377 47	41 69 ST
11,0000	BRISTOL-MYERS SQUIBB CO	12/01/11	07/09/12	384 23	361 10	23 13 ST
12 0000	BRISTOL-MYERS SQUIBB CO	12/02/11	07/09/12	419 17	395 82	23 35 ST
9 0000	BRISTOL-MYERS SQUIBB CO	12/06/11	07/09/12	314 37	298 74	15 63 ST
8 0000	BRISTOL-MYERS SQUIBB CO	12/14/11	07/09/12	279 44	267 99	11 45 ST
8 0000	BRISTOL-MYERS SQUIBB CO	12/30/11	07/09/12	279 45	283 17	(3 72) ST
8 0000	BRISTOL-MYERS SQUIBB CO	05/08/12	07/09/12	279 46	264 30	15 16 ST
24 0000	COMCAST CORP NEW CL A	05/20/10	07/09/12	750 32	408 41	341 91 LT
20 0000	COMCAST CORP NEW CL A	06/29/10	07/09/12	625 27	350 95	274 32 LT
14 0000	COMCAST CORP NEW CL A	09/30/10	07/09/12	437 69	251 95	185 74 LT
23 0000	COMCAST CORP NEW CL A	10/01/10	07/09/12	719 07	413 74	305 33 LT

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
13 0000	CVS CAREMARK CORP	08/30/11	07/09/12	613.44	464.93	148.51 ST
12 0000	CVS CAREMARK CORP	09/02/11	07/09/12	566.25	431.88	134.37 ST
13 0000	CVS CAREMARK CORP	09/06/11	07/09/12	613.44	462.42	151.02 ST
11 0000	CVS CAREMARK CORP	09/09/11	07/09/12	519.07	403.17	115.90 ST
4 0000	CVS CAREMARK CORP	09/12/11	07/09/12	188.75	145.62	43.13 ST
9 0000	CVS CAREMARK CORP	11/28/11	07/09/12	424.70	338.72	85.98 ST
3 0000	CHEVRON CORP	10/28/08	07/09/12	312.32	190.60	121.72 LT
2 0000	CHEVRON CORP	11/21/08	07/09/12	208.21	130.85	77.36 LT
25 0000	CHEVRON CORP	09/28/09	07/09/12	2,602.67	1,795.98	806.69 LT
6 0000	CHEVRON CORP	01/29/10	07/09/12	624.65	434.03	190.62 LT
21 0000	CLIFFS NATURAL RESOURCES	12/18/09	07/09/12	988.78	940.59	48.19 LT
5 0000	CLIFFS NATURAL RESOURCES	06/07/10	07/09/12	235.43	247.74	(12.31) LT
10 0000	CIGNA CORP	08/16/10	07/09/12	428.36	331.34	97.02 LT
10 0000	CIGNA CORP	09/13/10	07/09/12	428.36	351.96	76.40 LT
11 0000	CIGNA CORP	11/05/10	07/09/12	471.21	410.18	61.03 LT
5 0000	CIGNA CORP	06/28/12	07/09/12	214.19	221.92	(7.73) ST
47 0000	CORNING INC	12/21/10	07/09/12	591.89	903.85	(311.96) LT
64 0000	CORNING INC	12/22/10	07/09/12	805.98	1,237.93	(431.95) LT
7 0000	DEVON ENERGY CORP NEW	11/12/10	07/09/12	396.07	504.37	(108.30) LT
6 0000	DUKE ENERGY CORP NEW	12/20/06	07/09/12	390.29	338.24	52.05 LT
2 0000	DUKE ENERGY CORP NEW	09/30/08	07/09/12	130.02	99.44	30.58 LT
4 0000	DUKE ENERGY CORP NEW	10/28/08	07/09/12	260.11	176.76	83.35 LT
3 0000	DUKE ENERGY CORP NEW	11/21/08	07/09/12	195.13	126.76	68.37 LT
4 0000	DUKE ENERGY CORP NEW	03/03/09	07/09/12	260.27	158.13	102.14 LT
6 0000	DUKE ENERGY CORP NEW	05/18/10	07/09/12	390.42	274.07	116.35 LT
4 0000	DUKE ENERGY CORP NEW	05/24/12	07/09/12	260.17	261.77	(1.60) ST
4 0000	DUKE ENERGY CORP NEW	05/25/12	07/09/12	260.17	263.90	(3.73) ST
5 0000	DUKE ENERGY CORP NEW	05/29/12	07/09/12	325.23	328.74	(3.51) ST
5 0000	DUKE ENERGY CORP NEW	06/01/12	07/09/12	325.28	333.94	(8.66) ST
2 0000	DUKE ENERGY CORP NEW	06/05/12	07/09/12	130.11	136.08	(5.97) ST
2 0000	DUKE ENERGY CORP NEW	06/06/12	07/09/12	130.10	134.78	(4.68) ST
1 0000	DUKE ENERGY CORP NEW	06/06/12	07/09/12	65.08	67.46	(2.38) ST
22 0000	DISNEY (WALT) CO COM STK	02/14/11	07/09/12	1,052.62	950.91	101.71 LT
14 0000	DISNEY (WALT) CO COM STK	02/24/11	07/09/12	669.85	585.09	84.76 LT
19 0000	DISNEY (WALT) CO COM STK	05/11/11	07/09/12	909.09	795.46	113.63 LT
33 0000	DOW CHEMICAL CO	07/30/09	07/09/12	1,028.15	721.09	307.06 LT
23 0000	DOW CHEMICAL CO	09/03/09	07/09/12	716.59	458.62	257.97 LT
34 0000	DOW CHEMICAL CO	12/18/09	07/09/12	1,059.31	899.44	159.87 LT
19 0000	DOW CHEMICAL CO	12/09/10	07/09/12	591.98	643.30	(51.32) LT
15 0000	E M C CORPORATION MASS	03/25/10	07/09/12	354.75	282.98	71.77 LT

PLEASE SEE REVERSE SIDE
 Page 104 of 113
 Statement Period Year Ending 12/31/12
 Account No. 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
23.0000	E M C CORPORATION MASS	03/30/10	07/09/12	543.96	416.98	126.98 LT
28.0000	E M C CORPORATION MASS	12/14/10	07/09/12	662.23	635.91	26.32 LT
7.0000	EATON CORP	09/30/08	07/09/12	273.87	192.50	81.37 LT
6.0000	EATON CORP	10/28/08	07/09/12	234.74	120.47	114.27 LT
12.0000	EATON CORP	11/21/08	07/09/12	469.49	230.36	239.13 LT
10.0000	EATON CORP	03/03/09	07/09/12	391.25	168.63	222.62 LT
6.0000	EXXON MOBIL CORP COM	12/18/09	07/09/12	500.05	408.01	92.04 LT
18.0000	EMERSON ELEC CO	08/19/09	07/09/12	808.37	625.91	182.46 LT
20.0000	EMERSON ELEC CO	01/03/11	07/09/12	898.19	1,150.70	(252.51) LT
9.0000	EMERSON ELEC CO	02/09/11	07/09/12	404.20	548.75	(144.55) LT
17.0000	FORD MOTOR CO	07/10/09	07/09/12	159.48	97.14	62.34 LT
14.0000	HALLIBURTON COMPANY	07/10/09	07/09/12	405.15	264.72	140.43 LT
9.0000	HALLIBURTON COMPANY	09/11/09	07/09/12	260.45	232.92	27.53 LT
5.0000	HALLIBURTON COMPANY	06/21/12	07/09/12	144.70	143.73	0.97 ST
7.0000	HALLIBURTON COMPANY	06/27/12	07/09/12	202.58	188.92	13.66 ST
15.0000	HERTZ GLOBAL HOLDINGS IN	08/25/08	07/09/12	195.40	136.21	59.19 LT
17.0000	HERTZ GLOBAL HOLDINGS IN	09/30/08	07/09/12	221.46	123.68	97.78 LT
7.0000	HERTZ GLOBAL HOLDINGS IN	10/08/08	07/09/12	91.18	47.25	43.93 LT
7.0000	HERTZ GLOBAL HOLDINGS IN	10/28/08	07/09/12	91.19	27.68	63.51 LT
38.0000	HERTZ GLOBAL HOLDINGS IN	11/21/08	07/09/12	495.03	77.07	417.96 LT
19.0000	HERTZ GLOBAL HOLDINGS IN	03/03/09	07/09/12	247.51	62.13	185.38 LT
128.0000	HERTZ GLOBAL HOLDINGS IN	04/06/09	07/09/12	1,667.49	595.12	1,072.37 LT
5.0000	HSN INC	02/12/10	06/27/12	194.94	97.13	97.81 LT
17.0000	HSN INC	02/12/10	07/09/12	699.01	330.26	368.75 LT
17.0000	HSN INC	03/31/10	07/09/12	699.02	509.06	189.96 LT
2.0000	HSN INC	03/31/10	07/10/12	82.82	59.89	22.93 LT
8.0000	HSN INC	05/20/10	07/10/12	331.29	198.83	132.46 LT
9.0000	HSN INC	06/24/10	07/10/12	372.70	217.79	154.91 LT
3.0000	HSN INC	06/25/10	07/10/12	124.23	72.89	51.34 LT
1.0000	HSN INC	06/29/10	07/10/12	41.41	24.40	17.01 LT
7.0000	HSN INC	08/25/10	07/10/12	289.89	184.81	105.08 LT
4.0000	HSN INC	08/30/10	07/10/12	165.66	105.60	60.06 LT
18.0000	HYATT HOTELS CORP	05/05/10	07/09/12	651.63	757.79	(106.16) LT
10.0000	HYATT HOTELS CORP	06/30/10	07/09/12	362.02	369.91	(7.89) LT
4.0000	HYATT HOTELS CORP	07/01/10	07/09/12	144.81	144.15	0.66 LT
10.0000	HOME DEPOT INC	03/22/12	07/09/12	519.73	498.29	21.44 ST
11.0000	HOME DEPOT INC	03/23/12	07/09/12	571.70	541.57	30.13 ST
10.0000	HOME DEPOT INC	03/26/12	07/09/12	519.73	499.92	19.81 ST
5.0000	HOME DEPOT INC	04/05/12	07/09/12	259.86	252.97	6.89 ST
6.0000	HOME DEPOT INC	05/07/12	07/09/12	311.85	309.75	2.10 ST

PLEASE SEE REVERSE SIDE

EMATM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
71 0000	INTL PAPER CO	11/11/10	07/09/12	2,047 65	1,836 00	211 65 LT
8 0000	KRAFT FOODS INC VA CL A	05/09/12	07/09/12	311 77	312 67	(0 90) ST
8 0000	KRAFT FOODS INC VA CL A	05/10/12	07/09/12	311 77	313 20	(1 43) ST
4 0000	KRAFT FOODS INC VA CL A	05/23/12	07/09/12	155 88	154 98	0 90 ST
5 0000	KRAFT FOODS INC VA CL A	06/04/12	07/09/12	194 86	187 89	6 97 ST
8 0000	KRAFT FOODS INC VA CL A	06/05/12	07/09/12	311 78	300 79	10 99 ST
3 0000	KRAFT FOODS INC VA CL A	06/06/12	07/09/12	116 91	113 36	3 55 ST
3 0000	KRAFT FOODS INC VA CL A	06/08/12	07/09/12	116 92	114 73	2 19 ST
4 0000	KRAFT FOODS INC VA CL A	06/11/12	07/09/12	155 89	152 61	3 28 ST
5 0000	KRAFT FOODS INC VA CL A	06/13/12	07/09/12	194 86	192 59	2 27 ST
6 0000	KRAFT FOODS INC VA CL A	06/19/12	07/09/12	233 85	233 40	0 45 ST
1 0000	KINDER MORGAN INC DEL	12/20/06	07/09/12	32 69	32 11	0 58 LT
22 0000	KINDER MORGAN INC DEL	02/16/07	07/09/12	719 33	706 52	12 81 LT
4 0000	KINDER MORGAN INC DEL	09/30/08	07/09/12	130 78	111 60	19 18 LT
1 0000	KINDER MORGAN INC DEL	10/08/08	07/09/12	32 69	18 54	14 15 LT
6 0000	KINDER MORGAN INC DEL	10/28/08	07/09/12	196 18	104 31	91 87 LT
2 0000	KINDER MORGAN INC DEL	11/21/08	07/09/12	65 39	24 62	40 77 LT
10 0000	KINDER MORGAN INC DEL	03/03/09	07/09/12	326 98	132 88	194 10 LT
13 0000	KINDER MORGAN INC DEL	12/14/09	07/09/12	425 09	273 43	151 66 LT
7 0000	KINDER MORGAN INC DEL	12/14/09	07/10/12	230 15	147 23	82 92 LT
7 0000	ELI LILLY & CO	05/29/12	07/09/12	300 10	289 19	10 91 ST
7 0000	ELI LILLY & CO	05/31/12	07/09/12	300 10	288 70	11 40 ST
5 0000	ELI LILLY & CO	06/01/12	07/09/12	214 36	201 19	13 17 ST
5 0000	ELI LILLY & CO	06/04/12	07/09/12	214 37	200 63	13 74 ST
5 0000	ELI LILLY & CO	06/07/12	07/09/12	214 37	206 90	7 47 ST
26 0000	MARRIOTT INTL INC NEW A	03/17/09	07/09/12	1,013 63	370 66	642 97 LT
9 0000	MCKESSON CORPORATION COM	04/29/11	07/09/12	845 10	747 15	97 95 LT
4 0000	MCKESSON CORPORATION COM	02/03/12	07/09/12	375 60	324 60	51 00 ST
3 0000	MCKESSON CORPORATION COM	02/29/12	07/09/12	281 71	251 12	30 59 ST
3 0000	MCKESSON CORPORATION COM	03/06/12	07/09/12	281 71	246 58	35 13 ST
6 0000	MARATHON OIL CORP	10/14/09	07/09/12	146 14	121 27	24 87 LT
9 0000	MARATHON OIL CORP	11/18/09	07/09/12	219 21	185 49	33 72 LT
10 0000	MARATHON OIL CORP	01/04/10	07/09/12	243 56	190 73	52 83 LT
9 0000	MARATHON OIL CORP	04/26/10	07/09/12	219 21	177 70	41 51 LT
13 0000	MARATHON OIL CORP	04/27/10	07/09/12	316 65	249 41	67 24 LT
40 0000	MERCK AND CO INC SHS	08/07/09	07/09/12	1,685 36	1,218 39	466 97 LT
7 0000	MERCK AND CO INC SHS	08/13/10	07/09/12	294 93	244 70	50 23 LT
5 0000	MERCK AND CO INC SHS	08/19/10	07/09/12	210 67	172 91	37 76 LT
5 0000	MERCK AND CO INC SHS	05/03/11	07/09/12	210 68	182 92	27 76 LT
4 0000	MARATHON PETROLEUM CORP	10/14/09	07/09/12	177 06	109 38	67 68 LT

PLEASE SEE REVERSE SIDE

Page 106 of 113
Statement Period Year Ending 12/31/12
Account No 725-02272



Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5.0000	MARATHON PETROLEUM CORP	11/18/09	07/09/12	221.33	139.15	82.18 LT
5.0000	MARATHON PETROLEUM CORP	01/04/10	07/09/12	221.33	129.01	92.32 LT
4.0000	MARATHON PETROLEUM CORP	04/26/10	07/09/12	177.06	106.85	70.21 LT
7.0000	MARATHON PETROLEUM CORP	04/27/10	07/09/12	309.87	182.07	127.80 LT
18.0000	NEXTERA ENERGY INC SHS	08/10/10	07/09/12	1,219.37	962.85	256.52 LT
2.0000	OCCIDENTAL PETE CORP CAL	02/06/12	07/09/12	169.95	207.26	N/C
1.0000	OMNICOM GROUP COM	10/09/09	07/09/12	47.52	36.22	11.30 LT
25.0000	OMNICOM GROUP COM	12/03/10	07/09/12	1,188.17	1,179.38	8.79 LT
12.0000	OMNICOM GROUP COM	12/06/10	07/09/12	570.33	569.31	1.02 LT
30.0000	ORACLE CORP \$0.01 DEL	09/08/10	07/09/12	872.22	718.99	153.23 LT
6.0000	PG&E CORP	10/09/09	07/09/12	270.46	247.75	22.71 LT
3.0000	PG&E CORP	01/29/10	07/09/12	135.24	127.44	7.80 LT
5.0000	PG&E CORP	01/29/10	07/10/12	225.70	212.41	13.29 LT
11.0000	PG&E CORP	05/06/10	07/10/12	496.57	475.85	20.72 LT
16.0000	PPL CORPORATION	06/23/10	07/09/12	444.96	391.29	53.67 LT
12.0000	PPL CORPORATION	08/10/10	07/09/12	333.72	319.31	14.41 LT
11.0000	PPL CORPORATION	08/18/11	07/09/12	305.92	298.75	7.17 ST
46.0000	PRUDENTIAL FINANCIAL INC	12/17/10	07/09/12	2,172.84	2,672.28	(499.44) LT
7.0000	PRUDENTIAL FINANCIAL INC	02/24/11	07/09/12	330.66	447.98	(117.32) LT
3.0000	PNC FINCL SERVICES GROUP	05/09/08	07/09/12	179.56	201.79	(22.23) LT
16.0000	PHILIP MORRIS INTL INC	03/07/11	07/09/12	1,448.36	1,020.38	427.98 LT
6.0000	PHILIP MORRIS INTL INC	08/02/11	07/09/12	543.13	423.34	119.79 ST
8.0000	PHILIP MORRIS INTL INC	08/10/11	07/09/12	724.19	526.99	197.20 ST
4.0000	PHILIP MORRIS INTL INC	06/15/12	07/09/12	362.10	349.26	12.84 ST
56.0000	PFIZER INC	11/12/10	07/09/12	1,284.55	946.04	318.51 LT
40.0000	PROCTER & GAMBLE CO	11/18/10	07/10/12	2,484.54	2,563.89	(99.35) LT
13.0000	PROCTER & GAMBLE CO	02/07/11	07/10/12	800.97	841.18	(40.21) LT
10.0000	PROCTER & GAMBLE CO	05/03/11	07/10/12	616.13	657.09	(40.96) LT
3.0000	PROCTER & GAMBLE CO	08/02/11	07/10/12	184.84	182.60	2.24 ST
13.0000	PROCTER & GAMBLE CO	08/10/11	07/10/12	800.98	772.38	28.60 ST
6.0000	PROCTER & GAMBLE CO	09/19/11	07/10/12	369.69	383.13	(13.44) ST
2.0000	SCHLUMBERGER LTD	12/20/06	07/09/12	131.50	130.87	0.63 LT
30.0000	SUNCOR ENERGY INC NEW	12/15/09	07/09/12	855.69	1,059.69	(204.00) LT
16.0000	SUNCOR ENERGY INC NEW	11/10/10	07/09/12	456.37	576.11	(119.74) LT
23.0000	SOUTHERN COMPANY	07/12/11	07/09/12	1,076.16	929.23	146.93 ST
4.0000	SOUTHERN COMPANY	07/13/11	07/09/12	187.15	161.97	25.18 ST
8.0000	SOUTHERN COMPANY	08/16/11	07/09/12	374.32	321.63	52.69 ST
9.0000	SOUTHERN COMPANY	08/18/11	07/09/12	421.11	360.05	61.06 ST
12.0000	SOUTHERN COMPANY	09/20/11	07/09/12	561.48	516.18	45.30 ST
3.0000	SOUTHERN COMPANY	03/20/12	07/09/12	140.38	131.80	8.58 ST

PLEASE SEE REVERSE SIDE

Page 107 of 113
Statement Period Year Ending 12/31/12
Account No 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
38 0000	SUNTRUST BKS INC COM	07/21/09	07/09/12	903 98	560 10	343 88 LT
47 0000	SUNTRUST BKS INC COM	10/01/09	07/09/12	1,118 08	1,017 96	100 12 LT
10 0000	SUNTRUST BKS INC COM	11/18/09	07/09/12	237 89	220 57	17 32 LT
9 0000	SUNTRUST BKS INC COM	02/12/10	07/09/12	214 11	199 97	14 14 LT
17 0000	SUNTRUST BKS INC COM	02/12/10	07/10/12	411 54	377 72	33 82 LT
17 0000	SUNTRUST BKS INC COM	10/20/10	07/10/12	411 55	426 02	(14 47) LT
10 0000	TEVA PHARMACTCL INDS ADR	04/13/07	07/09/12	392 10	371 65	20 45 LT
3 0000	TEVA PHARMACTCL INDS ADR	09/30/08	07/09/12	117 63	134 04	(16 41) LT
7 0000	TEVA PHARMACTCL INDS ADR	10/28/08	07/09/12	274 47	269 04	5 43 LT
8 0000	TEVA PHARMACTCL INDS ADR	11/21/08	07/09/12	313 67	326 96	(13 29) LT
7 0000	TEVA PHARMACTCL INDS ADR	03/03/09	07/09/12	274 48	307 86	(33 38) LT
78 0000	TEXAS INSTRUMENTS	12/09/10	07/09/12	2,132 04	2,631 70	(499 66) LT
10 0000	TRAVELERS COS INC	10/25/11	07/09/12	630 83	570 88	59 95 ST
5 0000	TRAVELERS COS INC	11/04/11	07/09/12	315 42	290 17	25 25 ST
3 0000	TRAVELERS COS INC	11/28/11	07/09/12	189 25	162 69	26 56 ST
4 0000	TRAVELERS COS INC	11/29/11	07/09/12	252 33	216 75	35 58 ST
2 0000	TRAVELERS COS INC	12/16/11	07/09/12	126 17	114 68	11 49 ST
3 0000	TRAVELERS COS INC	12/19/11	07/09/12	189 25	172 53	16 72 ST
3 0000	TRAVELERS COS INC	06/19/12	07/09/12	189 26	190 69	(1 43) ST
2 0000	TIME WARNER CABLE INC	10/14/09	06/27/12	160 70	83 11	77 59 LT
5 0000	TIME WARNER CABLE INC	10/14/09	07/09/12	406 82	207 77	199 05 LT
16 0000	TIME WARNER CABLE INC	01/03/11	07/09/12	1,301 83	1,078 33	223 50 LT
21 0000	THE MOSAIC COMPANY	12/15/09	07/09/12	1,161 94	1,287 79	(125 85) LT
8 0000	THE MOSAIC COMPANY	01/14/10	07/09/12	442 65	489 86	(47 21) LT
6 0000	UNITEDHEALTH GROUP INC	11/18/09	07/09/12	335 97	172 75	163 22 LT
22 0000	UNITEDHEALTH GROUP INC	01/11/10	07/09/12	1,231 92	726 96	504 96 LT
15 0000	UNITEDHEALTH GROUP INC	10/01/10	07/09/12	839 94	526 30	313 64 LT
8 0000	UNITEDHEALTH GROUP INC	10/04/10	07/09/12	447 98	280 76	167 22 LT
14 0000	UNITED STS STL CORP NEW	02/03/10	07/09/12	290 07	657 84	(367 77) LT
12 0000	UNITED STS STL CORP NEW	07/01/10	07/09/12	248 64	452 70	(204 06) LT
13 0000	UNITED STS STL CORP NEW	09/21/10	07/09/12	269 36	581 04	(311 68) LT
21 0000	UNITED TECHS CORP COM	02/02/11	07/09/12	1,554 77	1,729 95	(175 18) LT
13 0000	UNITED TECHS CORP COM	02/09/11	07/09/12	962 49	1,089 67	(127 18) LT
51 0000	VALERO ENERGY CORP NEW	03/31/10	07/09/12	1,205 97	1,004 62	201 35 LT
18 0000	VALERO ENERGY CORP NEW	05/20/10	07/09/12	425 64	323 57	102 07 LT
13 0000	VERIZON COMMUNICATNS COM	05/08/09	06/29/12	576 58	364 35	212 23 LT
4 0000	VERIZON COMMUNICATNS COM	06/25/09	06/29/12	177 41	116 54	60 87 LT
38 0000	VERIZON COMMUNICATNS COM	06/25/09	07/09/12	1,695 34	1,107 16	588 18 LT
9 0000	VERIZON COMMUNICATNS COM	07/30/10	07/09/12	401 53	261 28	140 25 LT
14 0000	VERIZON COMMUNICATNS COM	12/14/11	07/09/12	624 60	534 34	90 26 ST

PLEASE SEE REVERSE SIDE

Page 108 of 113
Statement Period Year Ending 12/31/12
Account No 725-02272



Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4.0000	VERIZON COMMUNICATNS COM	03/20/12	07/09/12	178.46	158.54	19.92 ST
4.0000	VERIZON COMMUNICATNS COM	06/06/12	07/09/12	178.46	165.66	12.80 ST
21.0000	WELLS FARGO & CO NEW DEL	10/26/07	07/09/12	694.43	719.68	(25.25) LT
15.0000	WELLS FARGO & CO NEW DEL	03/28/08	07/09/12	496.03	442.95	53.08 LT
7,961.0000	FHLMC Q0 1759 04 50%2041	07/10/12	08/13/12	5,363.56	5,369.03	N/C
1,000.0000	US TSY 2.500% MAR 31 2013	07/12/12	08/14/12	1,014.49	1,016.52	N/C
4,000.0000	US TSY 2.500% MAR 31 2013	07/12/12	08/14/12	4,057.97	4,066.10	(8.13) ST
7.0000	AT&T INC	03/03/09	08/01/12	267.67	160.50	107.17 LT
7.0000	AT&T INC	05/25/10	08/01/12	267.67	166.90	100.77 LT
8.0000	AT&T INC	06/11/10	08/01/12	305.92	201.80	104.12 LT
34.0000	AMGEN INC COM PV \$0.0001	07/09/12	08/02/12	2,745.42	2,532.87	212.55 ST
35.0000	CME GROUP INC	07/09/12	08/07/12	1,855.92	1,833.16	22.76 ST
7.0000	COLGATE PALMOLIVE	08/16/10	07/31/12	753.36	532.00	221.36 LT
20.0000	EXELON CORPORATION	07/09/12	08/28/12	741.52	743.21	(1.69) ST
6.0000	HESS CORP	03/17/09	07/27/12	288.69	368.12	(79.43) LT
6.0000	HESS CORP	04/06/09	07/27/12	288.69	345.28	(56.59) LT
6.0000	HESS CORP	06/17/09	07/27/12	288.69	326.82	(38.13) LT
7.0000	HESS CORP	11/09/10	07/27/12	336.81	487.14	(150.33) LT
1.0000	HESS CORP	11/10/10	07/27/12	48.11	70.08	(21.97) LT
6.0000	HESS CORP	07/09/12	07/27/12	288.70	258.17	30.53 ST
10.0000	KROGER CO	01/11/10	07/27/12	219.16	202.69	16.47 LT
16.0000	KROGER CO	03/11/10	07/27/12	350.66	348.66	2.00 LT
100.0000	KROGER CO	07/09/12	07/27/12	2,191.67	2,242.27	(50.60) ST
17.0000	PNC FINCL SERVICES GROUP	05/09/08	08/03/12	1,021.17	1,143.49	(122.32) LT
5.0000	PNC FINCL SERVICES GROUP	09/30/08	08/03/12	300.34	358.48	(58.14) LT
6.0000	PNC FINCL SERVICES GROUP	10/28/08	08/03/12	360.41	356.42	3.99 LT
4.0000	PNC FINCL SERVICES GROUP	11/21/08	08/03/12	240.28	163.78	76.50 LT
6.0000	PNC FINCL SERVICES GROUP	03/03/09	08/03/12	360.42	155.27	205.15 LT
36.0000	J C PENNEY CO COM	07/10/12	08/16/12	884.10	789.22	74.88 ST
10.0000	POTASH CORP SASKATCHEWAN	07/09/12	08/06/12	430.03	449.59	(19.56) ST
23.0000	STATE STREET CORP	07/09/12	08/24/12	951.42	1,003.19	(51.77) ST
1.0000	UNITED PARCEL SVC CL B	07/09/12	07/31/12	75.99	79.19	(3.20) ST
8.0000	UNITED PARCEL SVC CL B	07/09/12	08/03/12	608.17	633.51	(25.34) ST
23.0000	UNITED PARCEL SVC CL B	07/09/12	08/07/12	1,749.72	1,821.33	(71.61) ST
3,000.0000	FHLMC A9 6312 04%2041	01/03/11	09/20/12	2,645.34	2,460.70	N/C
2,000.0000	US TSY 2.500% MAR 31 2013	07/12/12	09/04/12	2,026.56	2,033.05	(6.49) ST
2,000.0000	US TSY 2.500% MAR 31 2013	07/12/12	09/11/12	2,025.70	2,033.05	(7.35) ST
3,484.0000	FHLMC A9 3748 04%2040	11/03/11	09/20/12	2,368.98	2,305.54	N/C
23.0000	BERKSHIRE HATHAWAY INC	07/09/12	08/30/12	1,936.79	1,918.00	18.79 ST
26.0000	CARDINAL HEALTH INC OHIO	08/01/12	09/04/12	1,032.80	1,113.16	(80.36) ST

PLEASE SEE REVERSE SIDE

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6 0000	CARDINAL HEALTH INC OHIO	08/01/12	09/06/12	236 39	256 88	(20 49) ST
16 0000	CARDINAL HEALTH INC OHIO	08/01/12	09/10/12	612 86	685 02	(72 16) ST
49 0000	CENTERPOINT ENERGY INC	07/09/12	09/06/12	1,021 65	1,005 27	16 38 ST
48 0000	CENTERPOINT ENERGY INC	07/10/12	09/06/12	1,000 81	993 07	7 74 ST
56 0000	EXELON CORPORATION	07/09/12	09/07/12	2,016 90	2,081 00	(64 10) ST
3 0000	FEDEX CORP DELAWARE COM	07/09/12	09/11/12	266 99	273 25	(6 26) ST
12 0000	FEDEX CORP DELAWARE COM	07/09/12	09/12/12	1,068 12	1,093 00	(24 88) ST
25 0000	PFIZER INC	11/12/10	09/07/12	608 74	422 34	186 40 LT
17 0000	PFIZER INC	11/12/10	09/19/12	413 95	287 19	126 76 LT
9 0000	PFIZER INC	11/12/10	09/20/12	219 14	152 04	67 10 LT
43 0000	STATE STREET CORP	07/09/12	08/31/12	1,787 55	1,875 53	(87 98) ST
15 0000	SEMPRA ENERGY	07/09/12	09/10/12	1,001 16	1,026 44	(25 28) ST
2,000 0000	US TSY 0 875% APR 30 2017	07/12/12	10/03/12	2,030 00	2,023 10	6 90 ST
1,000 0000	US TSY 2 500% MAR 31 2013	07/12/12	09/28/12	1,011 60	1,016 52	(4 92) ST
15 0000	AT&T INC	06/11/10	09/28/12	566 52	378 38	188 14 LT
8 0000	AT&T INC	08/15/11	09/28/12	302 14	230 41	71 73 LT
7 0000	AT&T INC	08/22/11	09/28/12	264 38	199 85	64 53 LT
15 0000	AT&T INC	09/20/11	09/28/12	566 54	437 00	129 54 LT
25 0000	BERKSHIRE HATHAWAY INC	07/09/12	09/28/12	2,208 24	2,084 78	123 46 ST
22 0000	CONSOL ENERGY INC COM	08/28/12	10/22/12	814 78	701 06	113 72 ST
9 0000	COLGATE PALMOLIVE	08/16/10	09/28/12	966 08	684 00	282 08 LT
3 0000	COLGATE PALMOLIVE	04/07/11	10/26/12	312 00	243 62	68 38 LT
3 0000	COLGATE PALMOLIVE	08/10/11	10/26/12	312 00	244 42	67 58 LT
4 0000	COLGATE PALMOLIVE	07/09/12	10/26/12	416 00	416 90	(0 90) ST
6 0000	DEVON ENERGY CORP NEW	11/12/10	10/10/12	367 08	432 31	(65 23) LT
8 0000	DEVON ENERGY CORP NEW	11/12/10	10/11/12	488 91	576 42	(87 51) LT
18 0000	DR PEPPER SNAPPLE GROUP	07/09/12	09/28/12	801 11	777 51	23 60 ST
15 0000	DR PEPPER SNAPPLE GROUP	07/09/12	10/01/12	669 21	647 92	21 29 ST
15 0000	DR PEPPER SNAPPLE GROUP	07/10/12	10/01/12	669 22	655 87	13 35 ST
47 0000	FIRSTENERGY CORP	07/09/12	10/11/12	2,120 07	2,299 79	(179 72) ST
10 0000	GRACE W R & CO (NEW	09/05/12	10/24/12	611 01	586 00	25 01 ST
17 0000	GRACE W R & CO (NEW	09/05/12	10/25/12	1,048 90	996 20	52 70 ST
24 0000	HEWLETT PACKARD CO DEL	01/18/11	10/03/12	369 54	1,111 80	(742 26) LT
11 0000	HEWLETT PACKARD CO DEL	11/07/11	10/03/12	169 37	302 54	(133 17) ST
10 0000	HEWLETT PACKARD CO DEL	11/28/11	10/03/12	153 97	260 85	(106 88) ST
6 0000	HEWLETT PACKARD CO DEL	12/01/11	10/03/12	92 38	169 97	(77 59) ST
50 0000	HEWLETT PACKARD CO DEL	07/09/12	10/03/12	769 91	968 55	(198 64) ST
21 0000	JOHNSON AND JOHNSON COM	08/07/09	10/16/12	1,453 93	1,267 16	186 77 LT
45 0000	NEWS CORP CL B	07/09/12	10/11/12	1,107 75	999 65	108 10 ST
43 0000	J C PENNEY CO COM	07/09/12	10/26/12	1,075 79	942 67	133 12 ST

PLEASE SEE REVERSE SIDE
 Page 110 of 113
 Statement Period Year Ending 12/31/12
 Account No. 725-02272

EMASM Fiscal Statement

STEVEN & RUTH KATZ FAMILY

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
8 0000	PEPSICO INC	02/03/10	10/26/12	552.05	488 69	63.36 LT
11 0000	PEPSICO INC	11/10/10	10/26/12	759 08	714 48	44 60 LT
2 0000	PEPSICO INC	07/09/12	10/26/12	138 02	139 79	(1 77) ST
34 0000	POTASH CORP SASKATCHEWAN	07/10/12	10/17/12	1,411 91	1,528.61	(116 70) ST
38 0000	US BANCORP (NEW)	07/09/12	10/26/12	1,256 46	1,213 10	43 36 ST
2,000.0000	ABBOTT LABORATORI 5 87% 16	07/12/12	11/13/12	2,366 96	2,346 63	20 33 ST
2,000.0000	US TSY 2 500% MAR 31 2013	07/12/12	10/31/12	2,019 22	2,033 05	(13 83) ST
57 0000	AMERICAN INTERNATIONAL	07/09/12	11/05/12	1,885 31	1,762 12	123 19 ST
21 0000	AMERICAN INTERNATONAL	07/09/12	11/06/12	693 36	649 20	44 16 ST
14 0000	ANADARKO PETE CORP	06/23/10	11/01/12	982 32	568 31	414 01 LT
71 0000	HCA HOLDINGS INC SHS	11/06/12	11/07/12	2,413 76	2,183 73	230 03 ST
2,000.0000	CVS CAREMARK CORP 5 75% 17	07/12/12	12/27/12	2,363 76	2,349 79	13 97 ST
5,000 0000	US TSY 3 125% SEP 30 2013	07/12/12	11/30/12	5,120 70	5,118 93	1 77 ST
2,000 0000	US TSY 2 500% MAR 31 2013	07/12/12	11/30/12	2,015 46	2,033 04	(17 58) ST
1,000 0000	US TSY 2 500% MAR 31 2013	07/15/12	11/30/12	1,007 73	1,016 25	(8 52) ST
58 0000	FREERT-MCMRAN CPR & GLD	07/10/12	12/06/12	1,799 76	1,969 72	(169 96) ST
12 0000	FREERT-MCMRAN CPR & GLD	08/24/12	12/06/12	372 36	432 38	(60 02) ST
29 0000	FREERT-MCMRAN CPR & GLD	08/27/12	12/06/12	899 88	1,044 46	(144 58) ST
21 0000	FREERT-MCMRAN CPR & GLD	09/28/12	12/06/12	651 64	831 40	(179 76) ST
18 0000	FREERT-MCMRAN CPR & GLD	12/03/12	12/06/12	558 55	692 65	(134 10) ST
29 0000	METLIFE INC COM	07/30/09	12/13/12	989 65	966 87	22 78 LT
7 0000	METLIFE INC COM	11/05/10	12/13/12	238 88	294 20	(55 32) LT
9 0000	METLIFE INC COM	07/09/12	12/13/12	307 14	270 94	36 20 ST

Per page 2 activity summary:

Gross Proceeds: 411,083

Cost Basis: 385,241

Gain on sale: 25,842

PLEASE SEE REVERSE SIDE
Page 111 of 113
Statement Period Year Ending 12/31/12
Account No 725-02272

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file) - You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions THE STEVEN W AND RUTH KATZ FAMILY FOUNDATION INC.	Employer identification number (EIN) or 02-0652257
	Number, street, and room or suite no. If a P.O. box, see instructions 10 STONEHAM DR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions LIVINGSTON, NJ 07039	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STEVEN & RUTH KATZ

- The books are in the care of ► **10 STONEHAM DRIVE - LIVINGSTON, NJ 07039**
Telephone No. ► **973-535-1888** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013** to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	2,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)