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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation MAX KAGAN FAMILY FOUNDATION		A Employer identification number 01-6019033	
Number and street (or P O box number if mail is not delivered to street address) 51 PINE STREET		B Telephone number (see instructions) (978) 546-1188	
City or town, state, and ZIP code ORONO, ME 04473		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 3,379,129		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) <u> </u> (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	20,150			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13,554	13,554		
	4 Dividends and interest from securities.	85,026	85,026		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	133,001			
	b Gross sales price for all assets on line 6a <u>1,620,908</u>				
	7 Capital gain net income (from Part IV , line 2)		133,001		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	9,312	0		
	12 Total. Add lines 1 through 11	261,043	231,581		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages	1,136	0		3,409
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,168	0		6,505
	c Other professional fees (attach schedule)		30,508		
	17 Interest		838		
	18 Taxes (attach schedule) (see instructions)	935	2,970		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	4,239	34,316		9,914
	25 Contributions, gifts, grants paid	144,169			144,169
	26 Total expenses and disbursements. Add lines 24 and 25	148,408	34,316		154,083
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	112,635			
	b Net investment income (if negative, enter -0-)		197,265		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	182,285	185,548	185,548
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	174,813	 174,813	268,911
	b	Investments—corporate stock (attach schedule)	2,105,671	 2,312,233	2,496,659
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	494,321	 434,058	428,011
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,957,090	3,106,652	3,379,129	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	 755	 84,662	
	23	Total liabilities (add lines 17 through 22)	755	84,662	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,545,996	1,545,996	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	1,410,339	1,475,994	
	30	Total net assets or fund balances (see page 17 of the instructions)	2,956,335	3,021,990	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,957,090	3,106,652	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,956,335
2	Enter amount from Part I, line 27a	2	112,635
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	3,068,970
5	Decreases not included in line 2 (itemize) ▶  _____	5	46,980
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,021,990

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	133,001
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	180,442	3,296,354	0 054740
2010	168,363	3,175,180	0 053025
2009	96,770	2,884,968	0 033543
2008	171,218	3,394,711	0 050437
2007	163,568	3,923,997	0 041684

2 Total of line 1, column (d).	2	0 233429
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046686
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	3,212,175
5 Multiply line 4 by line 3.	5	149,964
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,973
7 Add lines 5 and 6.	7	151,937
8 Enter qualifying distributions from Part XII, line 4.	8	154,083

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,973
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	1,973
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,973
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	587	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	587
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	17
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,403
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ ME			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶LESLIE KAGAN	Telephone no ▶(978) 546-1188		
	Located at ▶2 OLD GARDEN ROAD ROCKPORT MA	ZIP +4 ▶01966		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?				☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LESLIE KAGAN	TRUSTEE	0	0	0
51 PINE STREET	1 00			
ORONO, ME 04473				
RONALD STRIAR	TRUSTEE	0	0	0
51 PINE STREET	1 00			
ORONO, ME 04473				
RICHARD GROSSMAN	TRUSTEE	0	0	0
51 PINE STREET	1 00			
ORONO, ME 04473				
CANDACE PLATZ	TRUSTEE	0	0	0
51 PINE STREET	1 00			
ORONO, ME 04473				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,077,351
b	Average of monthly cash balances.	1b	183,740
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,261,091
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,261,091
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	48,916
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,212,175
6	Minimum investment return. Enter 5% of line 5.	6	160,609

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	160,609
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	1,973
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,973
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	158,636
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	158,636
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	158,636

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	154,083
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	154,083
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,973
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	152,110
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				158,636
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 154,083				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				154,083
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				4,553
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

LESLIE KAGAN
51 PINE STREET
ORONO, ME 04473
(978) 546-1188
LKAGAN@KAGANASSOC.COM

b

The form in which applications should be submitted and information and materials they should include

N/A

c

Any submission deadlines

N/A

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	144,169
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	13,554	
4 Dividends and interest from securities. . . .			14	85,026	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	133,001	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a <u>EXCISE TAX REFUND</u>			01	9,312	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		240,893	0
13 Total. Add line 12, columns (b), (d), and (e).			13	240,893	240,893

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash. (2) Other assets. b Other transactions (1) Sales of assets to a noncharitable exempt organization. (2) Purchases of assets from a noncharitable exempt organization. (3) Rental of facilities, equipment, or other assets. (4) Reimbursement arrangements. (5) Loans or loan guarantees. (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		Yes	No
	1a(1)		No
	1a(2)		No
	1b(1)		No
	1b(2)		No
	1b(3)		No
	1b(4)		No
	1b(5)		No
1b(6)		No	
1c		No	

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-05-13	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid
Preparer
Use
Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN P00209483
	PHILIP A WEITZEL	PHILIP A WEITZEL	2013-05-13		
	Firm's name ☐	ERCOLINI & COMPANY LLP		Firm's EIN ☐ 04-2549813	
		101 ARCH STREET 300			
	Firm's address ☐	BOSTON, MA 02110		Phone no (617) 482-5511	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
LOOMIS SAYLES		2008-03-10	2012-01-09
LOOMIS SAYLES		2008-05-05	2012-01-09
PIMCO TOTAL RETURN		2010-05-26	2012-12-12
UBS - 62596			
UBS - 62596			
UBS - 62597			
UBS - 62597			
UBS - 62598			
UBS - 62598			
UBS - 62599			
UBS - 62599			
UBS - 62600			
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,019		40,005	-986
20,374		20,820	-446
14,300		14,521	-221
250,368		249,789	579
338,321		246,906	91,415
109,096		102,000	7,096
12,699		11,943	756
189,744		187,250	2,494
143,505		111,919	31,586
180,693		165,866	14,827
285,898		303,591	-17,693
32,753		33,297	-544
4,138			4,138

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-986
			-446
			-221
			579
			91,415
			7,096
			756
			2,494
			31,586
			14,827
			-17,693
			-544
			4,138

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

LESLIE KAGAN
CANDACE PLATZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A SOCIETY OF SOULS PO BOX 626 LEBANON,NJ 08833	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	3,000
ACADIA HOSPITAL PO BOX 931 BANGOR,ME 044020931	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,500
ACCION INTERNATIONAL PO BOX 84 TOMS RIVER,NJ 087540084	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
ACTION INC 180 MAIN ST GLOUCESTER,MA 01930	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,500
ADVOCATES FOR CHILDREN PO BOX 3316 AUBURN,ME 04212	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
AHA FOUNDATION 130 7TH AVE NEWYORK,NY 10011	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
AMERICAN FDN FOR THE BLIND PO BOX 96051 WASHINGTON,DC 200777624	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
AMERICAN FRIENDS OF MAGEN DAVID PO BOX 96402 WASHINGTON,DC 200777929	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
AMERICAN INSTITUTE CANCER RESEAR 1759 R STREET NW WASHINGTON,DC 200907167	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
AMERICAN JEWISH WORLD SERVICE 45 WEST 36TH STREET NEWYORK,NY 10018	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,500
ANIMAL LEGAL DEFENSE FUND PO BOX 96041 WASHINGTON,DC 200777136	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
ASPCA PO BOX 96929 WASHINGTON,DC 200777127	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	200
BOSTON HEART HOME FUND PO BOX 770001 CINCINNATI,OH 452770053	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	5,000
BROOKFIELD INSTITUTE PO BOX 388 BROOKFIELD,MA 01506	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
CAMP STARFISH 1121 MAIN ST LANCASTER,MA 01523	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAMP SUNSHINE 33 ACADIA RD CASCO,ME 04015	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
CAPE ANN ANIMAL AID 4 PAWS LANE GLOUCESTER,MA 01930	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
CAPE ANN FOOD PANTRY 28 EMERSON AVE GLOUCESTER,MA 01930	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,500
CHAPLAINCY INSTITUTE 1442-A WALNUT ST BERKELEY,CA 94709	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
CIRCLE OF TAPAWINGO 5124 HOLYROOD RD PITTSBURGH,PA 152133810	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	300
CITIZENS ENERGY 88 BLACK FALON AVE BOSTON,MA 02210	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
CLOUD FOUNDATION FREEDOM FUND 107 S 7TH ST COLORADO SPRINGS,CO 80905	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,600
COMBINED JEWISH PHILANTHROPIES 126 HIGH ST BOSTON,MA 021102700	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	3,600
CONCERNS OF POLICE SURVIVORS PO BOX 96272 WASHINGTON,DC 200906272	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
CONGREGATION BETH ABRAHAM 145 YORK ST BANGOR,ME 04401	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	165
CONGREGATION BETH ISRAEL PO BOX 1726 BANGOR,ME 044021726	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,645
CORAL REEF ALLIANCES 351 CALIFORNIA ST SAN FRANCISCO,CA 941042407	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
CORNELL UNIVERSITY OF VET MEDICAL PO BOX 535267 PITTSBURGH,PA 152539874	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
CURE ALZHEIMER'S FUND 34 WASHINGTON ST WELLESLEY HILLS,MA 02481	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
DANA FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST BROOKLINE,MA 024457226	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DEERFIELD ACADEMY PO BOX 306 DEERFIELD,MA 01342	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
DEFENDERS OF WILDLIFE PO BOX 1553 MERRIFIELD,VA 221161553	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
DISABLED AMERICAN VETERANS PO BOX 14301 CINCINNATI,OH 452500301	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
EASTERN MAINE CHARITIES PO BOX 931 BANGOR,ME 044020931	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	20,000
ENGENDER HEALTH 440 NINTH AVE NEWYORK,NY 101172783	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
ENVIRONMENTAL DEFENSE FUND PO BOX 5055 HAGERSTOWN,MD 217419729	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
ESSEX COUNTY GREENBELT 82 EASTERN AVE ESSEX,MA 01929	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	200
FAMILY PLANNING ASSN OF ME PO BOX 587 AUGUSTA,ME 043329970	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
FIRST LITERACY 160 BOYLSTON ST BOSTON,MA 02116	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
FISHER CENTER FOR ALZHEIMER'S 1 INTREDID SQUARE NEWYORK,NY 10036	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,500
FREEPORT GRIDIRON CLUB 30 SEQUOIA DR FREEPORT,ME 04043	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
FRIENDS OF ISRAEL DEFENSE FORCE 1430 BROADWAY NEWYORK,NY 10018	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	259
FRIENDS OF THE LIBRARY ROCKPORT PO BOX 31 ROCKPORT,MA 01966	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
GLOBAL WILDLIFE CONSERVATION PO BOX 129 AUSTIN,TX 787670129	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
GOOD SHEPERD FOOD BANK PO BOX 1807 AUBURN,ME 042111807	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER ANDROSCOGGIN HUMANE SOCIETY 55 STRAWBERRY AVE LEWISTON,ME 04240	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	5,000
GREATER MIAMI JEWISH FEDERATION 4200 BICAYNE BLVD MIAMI,FL 331373279	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	6,500
GREENPEACE PO BOX 90136 FREDERICKSBURG,VA 224049952	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
GROWTH THROUGH LEARNING 86 SHERMAN ST CAMBRIDGE,MA 02140	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,500
HARVARD COLLEGE FUND 124 MOUNT AUBURN ST CAMBRIDGE,MA 021385795	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
HEALTHCARE CHARITIES PO BOX 931 BANGOR,ME 044029809	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	10,000
HOFFMAN INSTITUTE 1299 4TH ST SAN RAFAEL,CA 94901	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
HUMANE SOCIETY OF THE US PO BOX 52137 PHOENIX,AZ 850729995	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	200
INSTITUTE FOR AGING RESEARCH 1200 CENTRE ST BOSTON,MA 02131	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
ISRAEL ENDOWMENT FUND 317 MADISON AVE NEWYORK,NY 10017	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	750
ISRAEL NATIONAL THERAPEUTIC 15 INNINGWOOD RD OSSINING,NY 10562	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
JEWISH FAMILY SERVICES METRO WEST C/O HARVEY ZELLER ROSELAND,NJ 07068	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
JEWISH FEDERATION OF COLLIER COUNTY 2500 VANDERBILT BEACH RD NAPLE,FL 34109	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,500
JEWISH FEDERATION OF PALM BEACH 4601 COMMUNITY DR WEST PALM BEACH,FL 33417	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	3,100
JEWISH MUSEUM OF MAINE 267 CONGRESS ST PORTLAND,ME 04101	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JEWISH VETERANS OF USA PO BOX 1575 MERRIFIELD,VA 221169944	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
LAST STOP HORSE RESCUE 938 TAR RIDGE RD PRENTISS,ME 04487	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	300
MAINE AUDOBON 20 GILSLAND FARM RD FALMOUTH,ME 041052100	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
MAINE STATE SOCIETY PROTECTION PO BOX 10 WINDHAM,ME 040820010	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
MIDDLEBURY COLLEGE PAINTER HOUSE MIDDLEBURY,VT 05753	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	5,000
MSPCA NEVINS FARM METHUEN ACAD NEVINS FARM METHUEN,MA 01844	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
NATIONAL BREAST CANCER COALITION PO BOX 98114 WASHINGTON,DC 200777347	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
NATIONAL FDN FOR CANCER RESEARCH PO BOX 96024 WASHINGTON,DC 200906024	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
NATIONAL WILDLIFE FEDERATION PO BOX 1691 MERRIFIELD,VA 221161691	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
NATIONAL WOMENS HEALTH NETWORK 1413 K ST WASHINGTON,DC 200053405	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
NATURAL RESOURCES COUNCIL OF ME 3 WADE ST AUGUSTA,ME 043309913	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
NATURAL RESOURCES DEFENSE COUNCI PO BOX 1830 MERRIFIELD,VA 221169729	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
NATURE CONSERVANCY 4245 NORTHFAIRFAX DR ARLINGTON,VA 222031606	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
NATURE CONSERVANCY OF MAINE PO BOX 6015 ALBERT LEA,MN 560076615	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
OCEAN CONSERVANCY PO BOX 96003 WASHINGTON,DC 200906003	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPTIONS FOR CHILDREN IN ZAMBIA 20 DASSANCE DR FOXBOROUGH,MA 02035	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
OXFAM AMERICA 226 CAUSEWAY ST BOSTON,MA 02114	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,500
PARTNERS IN HEALTH 888 COMMONWEALTH AVE BOSTON,MA 02215	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
PATHWAYS FOR CHILDREN 29 EMERSON AVE GLOUCESTER,MA 01930	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
PHILHARMONIC CENTER FOR THE ARTS 5833 PELICAN BAY BLVD NAPLES,FL 341082740	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
PHYSICIANS COMMITTEE FOR RESPONSI PO BOX 96736 WASHINGTON,DC 200777541	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
PINE TREE LEGAL ASSISTANCE INC PO BOX 547 PORTLAND,ME 041120547	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
PLANNED PARENHOOD FEDERATION OF PO BOX 97166 WASHINGTON,DC 200777543	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
PLANNED PARENTHOOD OF NNE 128 LAKESIDE AVE BURLINGTON,VT 054019821	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
RIDING TO THE TOP PO BOX 1928 WINDHAM,ME 04062	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,000
ROCKPORT CHAMBER MUSIC PO BOX 312 ROCKPORT,MA 01966	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
ROCKPORT POLICEUNITED WAY PO BOX 203 ROCKPORT,MA 019660203	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	500
SALVATION ARMY PO BOX 178 LEWISTON,ME 042430178	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
SMILE TRAIN PO BOX 96231 WASHINGTON,DC 200906231	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
SOUTH WEST AUTISM RESEARCH CENTER 300 N 18TH ST PHOENIX,AZ 85006	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTHERN POVERTY LAW CENTER PO BOX 5632 MONTGOMERY,AL 361777459	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
SPECIAL OLYMPICS PO BOX 2170 SOUTH PORTLAND,ME 041162170	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
TEMPLE BETH SHALOM 193 EAST PLEASANT AVE LIVINGSTON,NJ 07039	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	4,000
TEMPLE SHALOM SYNAGOGUE CENTER 74 BRADMAN ST AUBURN,ME 042106330	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,400
THE FUND FOR ANIMALS PO BOX 52182 PHOENIX,AZ 850729997	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
TILTON SCHOOL 30 SCHOOL ST TILTON,NH 03276	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	700
UJA OF NEW JERSEY 901 RT 10 WHIPPANY,NJ 07981	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,800
UNITED SERVICE ORGANIZATIONS PO BOX 96860 WASHINGTON,DC 200777677	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
UNIVERSITY OF MAINE PO BOX 370 ORONO,ME 044730370	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	3,500
UNIVERSITY OF MIAMI 1320 S DIXIE HWY CORAL GABLES,FL 33146	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	2,500
UVM COLLEGE OF MEDICINE 411 MAIN ST BURLINGTON,VT 05401	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	5,000
VETERINARY SCHOLARSHIP TRUST PO BOX 3221 NORTH ATTLEBORO,MA 02761	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	100
WELLSPRING HOUSE 302 ESSEX AVE GLOUCESTER,MA 01930	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,000
WOMEN TO WOMEN INTERNATIONAL 4455 CONNECTICUT AVE NW WASHINGTON,DC 20008	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	1,600
WORLD JEWISH CONGRESS FOUNDATION PO BOX 90400 WASHINGTON,DC 200900400	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOUNDED WARRIOR PROJCT PO BOX 75816 TOPEKA,KS 666758516	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	50
YOUTH RENEWAL FUND 250 WEST 57TH ST NEW YORK,NY 10107	NONE	PUBLIC CHARITY	OPERATIONAL SUPPORT	750
Total ▶ 3a				144,169

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization MAX KAGAN FAMILY FOUNDATION	Employer identification number 01-6019033
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization MAX KAGAN FAMILY FOUNDATION	Employer identification number 01-6019033
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	LESLIE KAGAN 51 PINE STREET ORONO, ME 04473	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>2</u>	CANDACE PLATZ 51 PINE STREET ORONO, ME 04473	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization MAX KAGAN FAMILY FOUNDATION	Employer identification number 01-6019033
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 _____	 _____	 \$ _____	 _____
 _____	 _____	 \$ _____	 _____
 _____	 _____	 \$ _____	 _____
 _____	 _____	 \$ _____	 _____
 _____	 _____	 \$ _____	 _____
 _____	 _____	 \$ _____	 _____
 _____	 _____	 \$ _____	 _____

Name of organization MAX KAGAN FAMILY FOUNDATION	Employer identification number 01-6019033
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

TY 2012 Accounting Fees Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & TAX PREP	2,168	0		6,505

**TY 2012 Investments Corporate
Stock Schedule**

Name: MAX KAGAN FAMILY FOUNDATION
EIN: 01-6019033

Name of Stock	End of Year Book Value	End of Year Fair Market Value
	2,312,233	2,496,659

TY 2012 Investments Government Obligations Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

**US Government Securities - End of
Year Book Value:**

174,813

**US Government Securities - End of
Year Fair Market Value:**

268,911

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2012 Investments - Other Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS	AT COST	434,058	428,011

TY 2012 Other Decreases Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

Description	Amount
BOOK BASIS VERSUS TAX BASIS	46,980

TY 2012 Other Income Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
EXCISE TAX REFUND	9,312		9,312

TY 2012 Other Liabilities Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL WITHHOLDING	755	0
MARGIN LOAN	0	84,662

TY 2012 Taxes Schedule

Name: MAX KAGAN FAMILY FOUNDATION

EIN: 01-6019033

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	935	0		0
FOREIGN TAXES	0	2,970		0