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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2011**

Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning **DEC 1, 2011**, and ending **NOV 30, 2012**

Name of foundation <b>IRIS B APFEL &amp; CARL B APFEL CHARITABLE TRUST</b>		A Employer identification number <b>59-7194349</b>
Number and street (or P O box number if mail is not delivered to street address) <b>44 COCOANUT ROW</b>	Room/suite <b>A416</b>	B Telephone number <b>561-655-6280</b>
City or town, state, and ZIP code <b>PALM BEACH, FL 33480</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> Foreign organizations meeting the 85% test, 2. check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 254,407.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	5,713.		N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6,408.	6,408.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	14,305.			STATEMENT 2
	b Gross sales price for all assets on line 6a	89,489.			
	7 Capital gain net income (from Part IV, line 2)		155.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11	26,426.	6,563.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	3,385.	0.		3,385.
	c Other professional fees	2,944.	2,944.		0.
	17 Interest				
	18 Taxes	78.	20.		0.
	19 Depreciation and depletion				
	20 Occupancy				
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses Add lines 13 through 23	6,407.	2,964.		3,385.	
25 Contributions, gifts, grants paid	4,970.			4,970.	
26 Total expenses and disbursements Add lines 24 and 25	11,377.	2,964.		8,355.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	15,049.				
b Net investment income (if negative enter -0-)		3,599.			
c Adjusted net income (if negative, enter -0-)			N/A		

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	10,993.	4,894.	4,894.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ <u>1,094.</u> Less: allowance for doubtful accounts ▶ <u>0.</u>	0.	1,094.	1,094.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <b>STMT 6</b>	138,929.	169,283.	226,744.
	c Investments - corporate bonds <b>STMT 7</b>	31,463.	21,163.	21,675.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶				
15 Other assets (describe ▶ _____)				
<b>16 Total assets (to be completed by all filers)</b>	<b>181,385.</b>	<b>196,434.</b>	<b>254,407.</b>	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
<b>23 Total liabilities (add lines 17 through 22)</b>	<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	181,385.	196,434.	
<b>30 Total net assets or fund balances</b>	<b>181,385.</b>	<b>196,434.</b>		
<b>31 Total liabilities and net assets/fund balances</b>	<b>181,385.</b>	<b>196,434.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	181,385.
2 Enter amount from Part I, line 27a	2	15,049.
3 Other increases not included in line 2 (itemize) ▶ _____	3	0.
4 Add lines 1, 2, and 3	4	196,434.
5 Decreases not included in line 2 (itemize) ▶ _____	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	196,434.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a CAPITAL GAINS DIVIDENDS</b>				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 155.			155.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			155.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	155.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	10,000.	234,286.	.042683
2009	12,093.	203,461.	.059436
2008	9,366.	177,380.	.052802
2007	15,412.	239,963.	.064227
2006	8,597.	265,006.	.032441
2 Total of line 1, column (d)			.251589
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			.050318
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			240,199.
5 Multiply line 4 by line 3			12,086.
6 Enter 1% of net investment income (1% of Part I, line 27b)			36.
7 Add lines 5 and 6			12,122.
8 Enter qualifying distributions from Part XII, line 4			8,355.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	72.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	72.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	72.
6	Credits/Payments:		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	72.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

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**Part VII-A** Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	X	
14	The books are in care of ▶ <u>IRIS BARREL APFEL</u> Telephone no. ▶ <u>561-655-6280</u> Located at ▶ <u>44 COCOANUT ROW, #A416, PALM BEACH, FL</u> ZIP+4 ▶ <u>33480</u>	15	N/A	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
IRIS BARREL APFEL 44 COCOANUT ROW, #A416 PALM BEACH, FL 33480	DIRECTOR 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
3 All other program-related investments. See instructions.	

Total. Add lines 1 through 3 ▶ 0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	237,820.
b	Average of monthly cash balances	1b	6,037.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	243,857.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	243,857.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3,658.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	240,199.
6	Minimum investment return. Enter 5% of line 5	6	12,010.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,010.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	72.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	72.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,938.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11,938.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,938.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,355.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,355.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,355.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				11,938.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			8,059.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 8,355.				
a Applied to 2010, but not more than line 2a			8,059.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				296.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				11,642.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

**IRIS B APFEL & CARL B APFEL  
CHARITABLE TRUST**

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**IRIS BARREL APFEL**  
**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

---

- b** The form in which applications should be submitted and information and materials they should include:

---

- c** Any submission deadlines:

---

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
THE LIGHTHOUSE INTERNATIONAL 111 E 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,700.
CROHN'S & COLITIS FOUNDATION 21301 POWERLINE ROAD BOCA RATON, FL 33433	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	250.
ELIM CHRISTIAN FELLOWSHIP 70 CHALMERS AVENUE BUFFALO, NY 14214	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000.
PALM BEACH COUNTY POLICE BENEVOLENT ASSOCIATION 5311 LAKE WORTH ROAD GREENACRES, FL 33463	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	20.
<b>Total</b>				<b>▶ 3a</b> 4,970.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>▶ 3b</b> 0.





FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NEUBERGER BERMAN, NOMINEE	6,563.	155.	6,408.
TOTAL TO FM 990-PF, PART I, LN 4	6,563.	155.	6,408.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 2

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU NEUBERGER BERMAN #16701			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
44,612.	44,311.	0.	0.	301.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU NEUBERGER BERMAN #16701			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
27,431.	14,199.	0.	0.	13,232.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
PENTAIR LTD CASH IN LIEU			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
42.	0.	0.	0.	42.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
NIELSEN FIN LLC 5,000 SHS	5,575.	5,000.	0.	PURCHASED	0.	575.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
CIT GROUP 5,000 SHS	5,000.	5,000.	0.	PURCHASED	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
MUELLER INDS 5,000 SHS	5,000.	5,000.	0.	PURCHASED	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
THRU NEUBERGER BERMAN #16701	1,674.	1,674.	0.	PURCHASED	0.	0.

NET GAIN OR LOSS FROM SALE OF ASSETS						14,150.
CAPITAL GAINS DIVIDENDS FROM PART IV						155.
TOTAL TO FORM 990-PF, PART I, LINE 6A						14,305.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,385.	0.		3,385.
TO FORM 990-PF, PG 1, LN 16B	3,385.	0.		3,385.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	2,944.	2,944.		0.
TO FORM 990-PF, PG 1, LN 16C	2,944.	2,944.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	20.	20.		0.
EXCISE TAXES	58.	0.		0.
TO FORM 990-PF, PG 1, LN 18	78.	20.		0.

FORM 990-PF	CORPORATE STOCK		STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED SCHEDULE	169,283.	226,744.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	169,283.	226,744.	

FORM 990-PF

CORPORATE BONDS

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE	21,163.	21,675.
TOTAL TO FORM 990-PF, PART II, LINE 10C	21,163.	21,675.

# Client Statement

For the period 11/01/2012 to 11/30/2012

IRIS BARREL APFEL & CARL APFEL  
CHARITABLE TRUST IRIS B APFEL  
& CARL B APFEL CO-TTEES

NEUBERGER BERMAN

JPMCC Account: 538-16701 345  
Base Currency: USD  
NB Account Number: 551-16701

## Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt. Value	Unrealized P/L (2)	Annual Income (6)	Yield (10)
4,893.71	DREYFUS TREAS PRIME CASH MGMT INV SHS	DVRXX	09/18/2012	1.0000	4,893.71	1.0000	4,893.71	0.00	0.00	0.00
	<b>TOTAL CASH EQUIVALENT</b>				<b>4,893.71</b>		<b>4,893.71</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>EQUITIES</b>									
50	ADT CORPORATION COM	ADT	08/01/2012	35.7410	1,787.05	45.9000	2,295.00	507.95	25.00	1.09
300	AMERICAN INTERNATIONAL GROUP INC NEW		08/14/2012	33.9136	3,391.36		3,313.00			
50	AMERICAN INTERNATIONAL GROUP INC NEW		09/12/2012	33.8303	1,691.51		1,656.50			
	<b>POSITION TOTAL</b>									
250	AMERICAN TOWER CORPORATION NEW REIT	AIG AMT	12/28/2005	33.8858 27.0420	5,082.87 6,760.50	33.1300 74.9300	4,969.50 18,732.50	(113.37) 11,972.00	0.00 230.00	0.00 1.23
45	BLACKROCK INC	BLK	11/08/2010	163.0000	7,335.00	197.0400	8,866.80	1,531.80	270.00	3.05
40	BOEING CO		07/05/2012	74.4269	2,977.08		2,971.20			
60	BOEING CO		10/24/2012	73.1884	4,391.30		4,456.80			
	<b>POSITION TOTAL</b>									
40	BORG WARNER AUTOMOTIVE INC	BA	11/24/2010	73.6838	7,368.38	74.2800	7,428.00	59.62	176.00	2.37
60	BORG WARNER AUTOMOTIVE INC		12/02/2010	65.2872	2,474.84		2,652.00			
100	<b>POSITION TOTAL</b>									
200	CALPINE CORPORATION	BWA CPN	09/11/2012	63.9267 17.5334	6,392.67 3,506.68	66.3000 17.2600	6,630.00 3,452.00	237.33 (54.68)	0.00 0.00	0.00 0.00

# Client Statement

For the period 11/01/2012 to 11/30/2012

IRIS BARREL APFEL & CARL APFEL  
CHARITABLE TRUST IRIS B APFEL  
& CARL B APFEL CO-TIEES

NEUBERGER BERMAN

JPMCC Account: 538-16701 345  
Base Currency: USD  
NB Account Number: 551-16701

## Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	Unrealized P/L (2)	Annual Income (6)	Yield (10)
<b>EQUITIES (Continued)</b>										
100	CAPITAL ONE FINANCIAL CORP		02/03/2012	49.4720	4,947.20		5,760.00			
50	CAPITAL ONE FINANCIAL CORP		03/19/2012	55.6147	2,780.73		2,880.00			
150	POSITION TOTAL	COF		51.5196	7,727.93	57.6000	8,640.00	912.07	30.00	0.35
150	EBAY INC	EBAY	10/27/2011	32.3635	4,854.52	52.8200	7,923.00	3,068.48	0.00	0.00
356	ENBRIDGE ENERGY MANAGEMENT LLC, SHS UNITS REPGTG LTD LIABILITY COMPANY INTERESTS	EEQ	12/06/2002	8.0712	2,892.20	29.5000	10,502.00	7,609.80	768.66	7.32
1,773	ENBRIDGE ENERGY MGMT LLC FRACTIONAL 100000THS SHS			0.0061	0.01		0.00			
21,851	ENBRIDGE ENERGY MGMT LLC FRACTIONAL 100000THS SHS			0.0098	0.00		0.00			
18,006	ENBRIDGE ENERGY MGMT LLC FRACTIONAL 100000THS SHS			0.0100	0.01		0.00			
41,630	POSITION TOTAL	CKC50X106		0.0097	0.02	0.0000	0.00	N/A	0.00	0.00
7,518	FRACTIONAL KINDER MORGAN MGMT 100,000THS SHARES			0.0100	0.01		0.00			
1,939	FRACTIONAL KINDER MORGAN MGMT 100,000THS SHARES			0.0049	0.01		0.00			
51,868	FRACTIONAL KINDER MORGAN MGMT 100,000THS SHARES			0.0097	0.01		0.00			
25,260	FRACTIONAL KINDER MORGAN MGMT 100,000THS SHARES			0.0100	0.01		0.00			
86,585	POSITION TOTAL	EKE55U103		0.0097	0.04	0.0000	0.00	N/A	0.00	0.00
150	GENERAL ELECTRIC CO		03/16/2012	20.2318	3,034.77		3,169.50			
100	GENERAL ELECTRIC CO		07/23/2012	19.9049	1,990.49		2,113.00			
250	POSITION TOTAL	GE		20.1010	5,025.26	21.1300	5,282.50	257.24	170.00	3.22
250	GOLUB CAP BDC INC	GBDC	04/23/2010	14.6903	3,672.57	15.8100	3,952.50	279.93	320.00	8.10

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# Client Statement

For the period 11/01/2012 to 11/30/2012

IRIS BARREL APFEL & CARL APFEL  
CHARITABLE TRUST IRIS B APFEL  
& CARL B APFEL CO-TTEES

NEUBERGER BERMAN

JPMCC Account: 538-16701 345  
Base Currency: USD  
NB Account Number: 551-16701

## Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost (1)(4)(9)	Total Cost (1)(4)(9)	Price	Mkt Value	Unrealized P/L (2)	Annual Income (6)	Yield (10)
<b>EQUITIES (Continued)</b>										
20	INTERNATIONAL BUSINESS MACHINES CORP	IBM	10/14/2009	126.9694	2,539.39	190.0700	3,801.40	1,262.01	68.00	1.79
100	ISHARES SILVER TR ISHARES	SLV	09/20/2012	33.4619	3,346.19	32.3600	3,236.00	(110.19)	0.00	0.00
125	ITC HOLDINGS CORP	ITC	01/28/2008	51.6810	6,460.13	78.5500	9,818.75	3,358.62	188.75	1.92
100	KANSAS CITY SOUTHERN NEW	KSU	06/06/2012	65.5226	6,552.26	78.1500	7,815.00	1,262.74	78.00	1.00
203	KINDER MORGAN MGMT LLC SHS	KMR	12/06/2002	15.9949	3,272.27	75.9000	15,407.70	12,135.43	887.11	5.76
60	MOTOROLA SOLUTIONS INC		10/03/2012	50.7091	3,042.55		3,267.00			
25	MOTOROLA SOLUTIONS INC		10/05/2012	51.3943	1,284.86		1,361.25			
85	POSITION TOTAL	MSI		50.9106	4,327.41	54.4500	4,628.25	300.84	88.40	1.91
250	NEW MOUNTAIN FINANCE CORP COM	NMFC	07/30/2012	14.3602	3,590.04	15.1800	3,795.00	204.96	340.00	8.96
60	PALL CORP		04/15/2010	39.7065	2,382.39		3,568.80			
65	PALL CORP		05/05/2010	38.2553	2,486.59		3,866.20			
125	POSITION TOTAL	PLL		38.9519	4,868.98	59.4800	7,435.00	2,566.02	125.00	1.68
50	PRAXAIR INC		08/24/2009	78.3264	3,916.32		5,360.50			
25	PRAXAIR INC		02/16/2011	97.1873	2,429.68		2,680.25			
75	POSITION TOTAL	PX		84.6134	6,346.00	107.2100	8,040.75	1,694.75	185.00	2.05
200	SEADRILL PARTNERS LLC COM UNIT REESTG LTD LIABILITY GO INT	SDLP	10/19/2012	24.5185	4,903.70	26.2700	5,254.00	350.30	0.00	0.00
20	SPDR GOLD TR GOLD SHS	GLD	09/20/2012	171.1951	3,423.90	166.0500	3,321.00	(102.90)	0.00	0.00
500	STARWOOD PROPERTY TRUST INC	STWD	11/26/2010	20.2254	10,112.70	22.8600	11,436.00	1,323.30	890.00	7.70

# Client Statement

For the period 11/01/2012 to 11/30/2012

IRIS BARREL APFEL & CARL APFEL  
CHARITABLE TRUST IRIS B APFEL  
& CARL B APFEL CO-TTEES

NEUBERGER BERMAN

JPMCC Account: 538-16701 345  
Base Currency: USD  
NB Account Number: 551-16701

## Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost	Total (1)(4)(9) Cost	Price	Mkt Value	Unrealized P/L	Annual (6) Income	Yield (10)
<b>EQUITIES (Continued)</b>										
100	***SUNCOR ENERGY INC NEW	SU	11/05/2004	16.8544	1,692.94	32.6894	3,268.94	1,576.00	52.00	1.59
100	TARGA RESOURCES CORP	TRGP	03/01/2012	44.3260	4,432.60	50.0900	5,009.00	576.40	169.00	3.37
500	***TEEKAY OFFSHORE PARTNERS LP	TOO	11/26/2010	27.7868	13,893.40	26.6300	13,315.00	(578.40)	1,025.00	7.70
75	***TEEKAY CORPORATION MARSHALL ISLAND		06/09/2010	25.6452	1,923.39		2,416.50			
75	***TEEKAY CORPORATION MARSHALL ISLAND		06/30/2010	27.1566	2,036.75		2,416.50			
100	***TEEKAY CORPORATION MARSHALL ISLAND		11/26/2010	32.8522	3,285.22		3,222.00			
250	POSITION TOTAL			28.9814	7,245.36	32.2200	8,055.00	809.64	316.25	3.93
55	UNITED PARCEL SVC INC CL B	TK	07/23/2010	63.6557	3,501.06		4,021.05			
45	UNITED PARCEL SVC INC CL B		11/26/2010	69.1998	3,113.99		3,289.95			
100	POSITION TOTAL	UPS		66.1505	6,615.05	73.1100	7,311.00	695.95	228.00	3.12
50	VISA INC CL A COMMON STOCK	V	07/12/2011	88.3927	4,419.63	149.7100	7,485.50	3,065.87	66.00	0.88
<b>TOTAL EQUITIES</b>					<b>160,447.64</b>		<b>217,101.09</b>	<b>56,653.51</b>	<b>6,666.17</b>	<b>3.07</b>
<b>PREFERRED STOCK</b>										
100	SEASPAR CORPORATION CUMULATIVE RED PERP PFD SHS 9.50% SER C		01/21/2011	23.1991	2,319.91		2,755.00			
250	SEASPAR CORPORATION CUMULATIVE RED PERP PFD SHS 9.50% SER C		05/24/2011	26.0619	6,515.48		6,887.50			



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# Client Statement

For the period 11/01/2012 to 11/30/2012

IRIS BARREL APFEL & CARL APFEL  
CHARITABLE TRUST IRIS B APFEL  
& CARL B APFEL CO-TIEES

NEUBERGER BERMAN

JPMCC Account: 538-16701 345  
Base Currency: USD  
NB Account Number: 551-16701

## Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average Unit Cost (1)(4)(9)	Total (1)(4)(9) Cost	Price	Mkt. Value	Unrealized P/L (2)	Annual Income (6)	Yield (10)
<b>PREFERRED STOCK (Continued)</b>										
350	POSITION TOTAL	SSWPRC		25.2440	8,835.39	27.5500	9,642.50	807.11	831.25	8.62
<b>TOTAL PREFERRED STOCK</b>										
<b>CORPORATE BONDS</b>										
5,000	EQUINIX INC SENIOR NOTES DATED DATE 03/03/10 PAR CALL 03/01/2016 DUE 03/01/2018 @ 125% MS.01	29444UAJ5	12/02/2010	105.8750	5,293.75	110.0000	5,500.00	206.25	406.25	3.07
5,000	REGAL CINEMAS CORP GTD SR NT 19 PAR CALL 07/15/2017 ORIGINAL ISSUE DISCOUNT DUE 07/15/2019 @ 625% JJ 15	758753AD9	12/09/2011	107.0000	5,350.00	109.7500	5,487.50	137.50	431.25	4.86
5,000	REGENCY ENERGY PARTNERS LP I REGENCY ENERGY FIN CORP SR NT PAR CALL 12/01/2016 DUE 12/01/2018 @ 875% JD 01	75886AAE8	10/22/2010	103.0000	5,150.00	108.5000	5,425.00	275.00	343.75	4.06
5,000	***TEEKAY CORP SR NT DATED DATE 01/27/10 DUE 01/15/2020 @ 500% JJ 15	87900YAA1	08/18/2010	107.3750	5,368.75	105.2500	5,262.50	(106.25)	425.00	7.53
<b>TOTAL CORPORATE BONDS</b>										
					21,162.50		21,675.00	512.50	1,606.25	4.85
<b>GRAND TOTAL (6)</b>					195,339.24		253,312.30	57,973.12	9,103.67	3.59

# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box 
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	IRIS B APFEL & CARL B APFEL CHARITABLE TRUST	59-7194349
	Number, street, and room or suite no. If a P O box, see instructions.	Social security number (SSN)
	44 COCOANUT ROW, NO A416	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	PALM BEACH, FL 33480	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ IRIS B APFEL 44 COCOANUT ROW, A416 PALM BEACH, FL 33480

Telephone No ▶ 561- 655-6280 FAX No ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until JULY 15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
  - ▶  calendar year 20 \_\_\_\_\_ or
  - ▶  tax year beginning DECEMBER 1, 20 11, and ending NOVEMBER 30, 20 12
- 2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a \$</b>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b \$</b>
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c \$</b>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box  **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

<b>Type or print</b>	Name of exempt organization or other filer, see instructions <b>IRIS B APFEL &amp; CARL B APFEL CHARITABLE TRUST</b>	Employer identification number (EIN) or <input checked="" type="checkbox"/> <b>59-7194349</b>
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. <b>44 COCOANUT ROW, NO. A416</b>	Social security number (SSN) <input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>PALM BEACH, FL 33480</b>	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**IRIS BARREL APFEL**

• The books are in the care of **44 COCOANUT ROW, #A416 - PALM BEACH, FL 33480**  
Telephone No. **561-655-6280** FAX No.

• If the organization does not have an office or place of business in the United States, check this box

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **OCTOBER 15, 2013.**

5 For calendar year , or other tax year beginning **DEC 1, 2011**, and ending **NOV 30, 2012**.

6 If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

7 State in detail why you need the extension  
**ADDITIONAL TIME NEEDED TO GATHER ALL DOCUMENTATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Jared Krumi** Title **DIRECTOR CPA** Date **7/9/13**