



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Department of the Treasury  
Internal Revenue Service

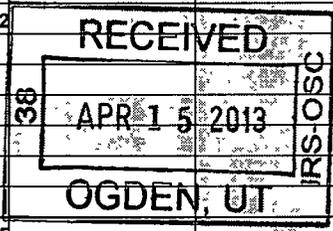
**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

**Open to Public Inspection**

**For calendar year 2012 or tax year beginning** 12/1/11, ~~2011~~, **and ending** 11/30, 2012

Name of foundation <b>LaVerne Hahn Charitable Trust</b>		A Employer identification number <b>52-1585047</b>
Number and street (or P O box number if mail is not delivered to street address) <b>c/o Robert Sloan, 7 St. Paul Street</b>	Room/suite <b>1500</b>	B Telephone number (see instructions) <b>410/347-8787</b>
City or town, state, and ZIP code <b>Baltimore, Maryland 21202-1636</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 33,171,974</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,219,993	1,219,993		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		211,862		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 <b>Total.</b> Add lines 1 through 11	1,219,993	1,431,855			
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	70,485	35,242		35,243
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	5,000			
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	76,839			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	65,569	25,569		40,000
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23	217,893	60,811		75,243
	25 Contributions, gifts, grants paid	1,418,000			1,418,000
26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	1,635,893	60,811		1,493,243	
27 Subtract line 26 from line 12:					
a <b>Excess of revenue over expenses and disbursements</b>	(415,900)				
b <b>Net investment income</b> (if negative, enter -0-)		1,371,044			
c <b>Adjusted net income</b> (if negative, enter -0-)					



SCANNED APR 18 2013

23

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	3,098,457	2,065,043	2,065,043
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	18,917,808	19,823,037	29,414,045
	c	Investments—corporate bonds (attach schedule)	1,422,561	1,307,895	1,612,886
	11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ Tax Credit )	41,187	80,000	80,000	
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	23,480,013	23,275,975	33,171,974	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ )			
23	<b>Total liabilities</b> (add lines 17 through 22)				
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions)				
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)				

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,480,013
2	Enter amount from Part I, line 27a	2	(415,900)
3	Other increases not included in line 2 (itemize) ▶ Capital Gains	3	211,862
4	Add lines 1, 2, and 3	4	23,275,975
5	Decreases not included in line 2 (itemize) ▶	5	0
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30</b>	6	23,275,975

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
<b>1a Short Term - See attached schedule</b>				
<b>b Long-Term - See attached schedule</b>				
<b>c CIL</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 2,753,401		2,656,522	96,879	
<b>b</b> 4,632,273		4,517,315	114,958	
<b>c</b> 25		0	25	
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(f) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	211,862
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,436,552	31,583,866	.046339
2010	1,183,261	28,111,323	.042092
2009	1,433,333	23,850,643	.060096
2008	1,549,992	29,680,788	.052222
2007	1,428,872	33,311,407	.042894
<b>2</b> Total of line 1, column (d)			<b>2</b> .243643
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> .048729
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			<b>4</b> 32,029,224
<b>5</b> Multiply line 4 by line 3			<b>5</b> 1,560,752
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 13,710
<b>7</b> Add lines 5 and 6			<b>7</b> 1,574,462
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 1,493,243

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	27,421	
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0	
<b>3</b>	Add lines 1 and 2	<b>3</b>	27,421	
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	27,421	
<b>6</b>	Credits/Payments:			
<b>a</b>	2012 estimated tax payments and 2011 overpayment credited to 2012	<b>6a</b>	80,000	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	80,000	
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	52,579	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2013 estimated tax</b> <b>52,579</b> <b>Refunded</b>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		✓
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	✓	
14	The books are in care of ▶ Robert Sloan Telephone no. ▶ 410/347-8787 Located at ▶ 7 St. Paul Street - Suite 1500, Baltimore, Maryland ZIP+4 ▶ 21202-1636			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	✓
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert Sloan 7 St. Paul Street - Suite 1500 Baltimore, Maryland 21202-1636	Trustee	70,485		

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				0

**Total number of other employees paid over \$50,000**  Yes  No **0**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		0
<b>Total number of others receiving over \$50,000 for professional services</b>		<b>0</b>

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
<b>1</b> None		0
<b>2</b>		
<b>3</b>		
<b>4</b>		

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
<b>1</b> None		0
<b>2</b>		
<b>3</b> All other program-related investments. See instructions		
<b>Total. Add lines 1 through 3</b>		<b>0</b>

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	29,982,810
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	2,467,107
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	67,062
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	32,516,979
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	32,516,979
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	487,755
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	32,029,224
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	1,601,461

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	1,601,461
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5 . . . . .	<b>2a</b>	27,421
<b>b</b>	Income tax for 2012. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	0
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	27,421
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	1,574,040
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	1,574,040
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	1,574,040

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	1,493,243
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,493,243
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	1,493,243

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7 . . . . .				1,574,040
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only . . . . .			1,400,980	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 . . . . .	0			
b From 2008 . . . . .	0			
c From 2009 . . . . .	0			
d From 2010 . . . . .	0			
e From 2011 . . . . .	0			
f <b>Total</b> of lines 3a through e . . . . .	0			
4 Qualifying distributions for 2012 from Part XII, line 4. ► \$ <u>1,493,243</u>				
a Applied to 2011, but not more than line 2a . . . . .			1,400,980	
b Applied to undistributed income of prior years (Election required—see instructions) . . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions) . . . . .	0			
d Applied to 2012 distributable amount . . . . .				92,263
e Remaining amount distributed out of corpus . . . . .	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	0			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 . . . . .				1,481,777
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .	0			
9 <b>Excess distributions carryover to 2013.</b> Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9.				
a Excess from 2008 . . . . .	0			
b Excess from 2009 . . . . .	0			
c Excess from 2010 . . . . .	0			
d Excess from 2011 . . . . .	0			
e Excess from 2012 . . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . .  **Not Applicable**

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

**Robert Sloan, 7 St. Paul Street - Suite 1500, Baltimore, Maryland 21202-1636**

**b** The form in which applications should be submitted and information and materials they should include:

**Letter applications; information submitted should include background information on charitable entity, including purposes, activities, etc.**

**c** Any submission deadlines:

None

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

None

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i></p> <p>SEE ATTACHED SCHEDULE</p>			<p>UNRESTRICTED</p>	<p>1,418,000</p>
<p><b>Total</b> . . . . .</p>			<p>▶ <b>3a</b></p>	<p>1,418,000</p>
<p><b>b</b> <i>Approved for future payment</i></p> <p>None</p>				<p>0</p>
<p><b>Total</b> . . . . .</p>			<p>▶ <b>3b</b></p>	<p>0</p>





CHARITABLE TRUST U/W LaVERNA HAHN

52-1585047

FORM 990-PF - SUPPORTING SCHEDULE - 2012

Part I

Line 16a	Whiteford, Taylor & Preston L.L.P.		<u>\$ 5,000</u>
Line 18	2011 Tax Liability		<u>\$ 76,839</u>
Line 23	UBS Financial, account fee	\$ 920	
	Administration expense	55,503	
	Foreign taxes	<u>8,895</u>	<u>\$ 65,569</u>

Part III

Line 3	Capital gains per Part IV		<u>\$211,862</u>
--------	---------------------------	--	------------------

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Money Market Accounts:	0	PAINE WEBBER MONEY FUND	11	Sep 6 06		2,065,043.44	0.00	2,065,043.44	0.00
		<b>Total Money Market Accounts:</b>				<b>2,065,043.44</b>		<b>2,065,043.44</b>	<b>0.00</b>
Common Stocks:	5,000	ABB LTD SPON/C	289	Oct 8 09	20.82	104,095.32	19.42	97,100.00	-6,995.32
	2,300	ABODE SYSTEMS/C	20	Dec 5 07	44.25	101,783.61	34.61	79,603.00	-22,180.61
	560.673	ACCO BRANDS LT1/C	253	Jun 14 05	10.00	5,604.45	6.74	3,778.94	-1,825.51
	1,649.327	ACCO BRANDS LT2/C	262	Dec 17 08	4.12	6,794.70	6.74	11,116.46	4,321.76
	<b>2,210</b>	<b>Subtotal for ACCO</b>			<b>5.61</b>	<b>12,399.15</b>	<b>6.74</b>	<b>14,895.40</b>	<b>2,496.25</b>
	900	ADT CORP/C	389	Sep 14 12	36.04	32,434.61	45.90	41,310.00	8,875.39
	2,000	AECOM TECH/C	320	Aug 7 07	27.10	54,202.48	22.59	45,180.00	-9,022.48
	2,000	AECOM TECH LT2/C	34	Dec 10 09	25.10	50,190.14	22.59	45,180.00	-5,010.14
	<b>4,000</b>	<b>Subtotal for ACM</b>			<b>26.10</b>	<b>104,392.62</b>	<b>22.59</b>	<b>90,360.00</b>	<b>-14,032.62</b>
	1,700	AETNA INC/C	167	Mar 8 07	44.39	75,462.02	43.19	73,423.00	-2,039.02
	2,300	AETNA INC LT2/C	173	Jun 25 09	26.16	60,175.95	43.19	99,337.00	39,161.05
	<b>4,000</b>	<b>Subtotal for AET</b>			<b>33.91</b>	<b>135,637.97</b>	<b>43.19</b>	<b>172,760.00</b>	<b>37,122.03</b>
	4,800	ALBERMARLE/C	15	Oct 20 00	4.15	19,898.47	59.79	286,992.00	267,093.53
	3,000	ALCOA INC/C	309	Oct 16 06	27.19	81,558.46	8.41	25,230.00	-56,328.46
	3,000	ALCOA INC LT2/C	335	Sep 23 08	26.46	79,389.90	8.41	25,230.00	-54,159.90
	2,000	ALCOA INC LT3/C	157	Mar 24 10	14.52	29,036.61	8.41	16,820.00	-12,216.61
	<b>8,000</b>	<b>Subtotal for AA</b>			<b>23.75</b>	<b>189,984.97</b>	<b>8.41</b>	<b>67,280.00</b>	<b>-122,704.97</b>
	2,209	ALPHA NATURAL RES/C	369	Oct 31 11	40.36	89,151.87	7.48	16,523.32	-72,628.55
	1,341	AMEREN CORP/C	228	May 16 05	50.78	68,095.25	29.97	40,189.77	-27,905.48

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

Category	Quantity	Security	No	Date Acquired	UmtCost	CostBasis	Market Price	Market Value	Gain/Loss
Common Stocks:	12,000	AMERICA MOVIL SA DE/C	46	Sep 5 86	1.16	13,965.70	23.59	283,080.00	269,114.30
	1,421	AMERIPRISE FINANCIAL/C	277	Oct 20 00	8.68	12,327.43	60.67	86,212.07	73,884.64
	1,379	AMERIPRISE FINANCIAL LT2/C	225	Oct 20 00	39.15	53,993.82	60.67	83,663.93	29,670.11
	<b>2,800</b>	<b>Subtotal for AMP</b>			<b>23.69</b>	<b>66,321.25</b>	<b>60.67</b>	<b>169,876.00</b>	<b>103,554.75</b>
	2,000	AMGEN INC/C	291	Oct 14 09	60.83	121,669.76	88.80	177,600.01	55,930.25
	1,142	ANADARKO PETROLEUM/C	49	Sep 5 86	13.38	15,276.23	73.19	83,582.98	68,306.75
	858	ANADARKO PETROLEUM LT2/C	327	Sep 14 07	51.09	43,832.41	73.19	62,797.02	18,964.61
	500	ANADARKO PETROLEUM LT3/C	133	Mar 7 12	83.14	41,568.44	73.19	36,595.00	-4,973.44
	<b>2,500</b>	<b>Subtotal for APC</b>			<b>40.27</b>	<b>100,677.08</b>	<b>73.19</b>	<b>182,975.00</b>	<b>82,297.92</b>
	6,000	APPLIED MATERIALS/C	159	Mar 24 11	15.46	92,784.83	10.73	64,380.00	-28,404.83
	12,806	ARCHER DANIELS MIDLAND/C	50	Oct 20 00	5.26	67,389.14	26.70	341,920.21	274,531.07
	2,500	ASTRAZENECA/C	40	Dec 10 09	45.58	113,950.86	47.54	118,850.00	4,899.14
	12,756	AT & T/C	126	Oct 20 00	10.95	139,699.44	34.13	435,362.29	295,662.85
	7,939	AT&T BS/C	145	Oct 20 00	8.42	66,883.32	34.13	270,958.08	204,074.76
	<b>20,695</b>	<b>Subtotal for AT&amp;T</b>			<b>9.98</b>	<b>206,582.76</b>	<b>34.13</b>	<b>706,320.37</b>	<b>499,737.61</b>
	2,700	AKZO NOBEL/C	200	May 26 06	18.39	49,656.01	19.08	51,516.00	1,859.99
	3,600	AKZO NOBEL LT2/C	358	Oct 8 10	21.03	75,695.19	19.08	68,688.00	-7,007.19
	<b>6,300</b>	<b>Subtotal for AKZOY</b>			<b>19.90</b>	<b>125,351.20</b>	<b>19.08</b>	<b>120,204.00</b>	<b>-5,147.20</b>
	1,500	BP PLC SPON ADR/C	312	Nov 1 06	68.00	102,005.36	41.76	62,640.00	-39,365.36
	1,300	BP PLC SPON ADR LT2/C	240	Sep 17 09	55.09	71,614.98	41.76	54,288.00	-17,326.98
	<b>2,800</b>	<b>Subtotal for BP</b>			<b>62.01</b>	<b>173,620.34</b>	<b>41.76</b>	<b>116,928.00</b>	<b>-56,692.34</b>
	5,807	BANK OF AMERICA HSBC/C	352	Sep 28 09	17.22	99,996.54	9.86	57,257.02	-42,739.52
	6,257	BANK OF AMERICA DE/C	376	Nov 18 11	13.19	82,509.15	9.86	61,694.02	-20,815.13

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Common Stocks:	12,064	Subtotal for BAC			15.13	182,505.69	9.86	118,951.04	-63,554.65
	4,716	BARCLAYS PLC JPM/C	170	Mar 19 12	17.53	82,662.80	15.83	74,654.28	-8,008.52
	1,600	BASF AG SPON/C	156	Apr 7 05	36.59	58,551.39	89.89	143,824.00	85,272.61
	1,077	BHP BILLITON:UBS BHP/C	160	Mar 29 11	92.79	99,934.83	72.04	77,587.08	-22,347.75
	2,000	BRISTOL MYERS SQ/C	164	Mar 1 07	26.36	52,721.89	32.63	65,260.00	12,538.11
	4,000	BRISTOL MYERS SQ LT2/C	336	Mar 1 07	21.12	84,466.66	32.63	130,520.00	46,053.34
	6,000	Subtotal for BMY			22.86	137,188.55	32.63	195,780.00	58,591.45
	6,000	CALPINE CORP/C	168	Apr 4 11	15.95	95,675.20	17.26	103,560.00	7,884.80
	2,200	CANADIAN NAT RES/C	180	Apr 20 11	46.48	102,249.63	28.49	62,678.00	-39,571.63
	3,000	CANADIAN PAC RRC	60	Oct 3 01	15.71	47,142.41	93.34	280,019.99	232,877.58
	2,700	CELANESE CORP LT2/C	264	Jun 5 12	37.71	101,829.12	41.04	110,808.00	8,978.88
	406	CENTURYLINK INC/C	122	Sep 5 86	66.85	27,139.09	38.84	15,769.04	-11,370.05
	2,000	CIGNA CORP/C	130	Apr 25 08	43.39	86,783.43	52.27	104,540.00	17,756.57
	2,879	CHEVRON/C	260	Oct 20 00	11.08	31,912.76	105.69	304,281.52	272,368.76
	4,400	COCA COLA/C	310	Oct 19 06	22.45	98,792.28	37.92	166,847.99	68,055.71
	2,000	COLGATE PALMOLIVE/C	64	Oct 20 00	23.70	47,391.92	108.50	217,000.00	169,608.08
	4,500	CONAGRA FOOD/C	30	Dec 21 10	22.55	101,485.77	29.86	134,370.00	32,884.23
	2,400	CONS ENERGY LT2/C	329	Aug 19 11	42.56	102,147.13	31.35	75,240.00	-26,907.13
	700	DEERE CO/C	137	Mar 25 08	82.24	57,568.00	84.05	58,835.00	1,267.00
	2,000	DEUTSCHE BANK/C	4	Dec 1 10	50.03	100,054.08	44.14	88,284.00	-11,770.08
	635	DEUTSCHE BANK LT2/C	172	Mar 30 12	66.91	42,490.47	44.14	28,030.17	-14,460.30
	2,635	Subtotal for DB			54.10	142,544.55	44.14	116,314.17	-26,230.38
	1,300	DOW CHEMICAL LT1/C	197	May 25 06	40.00	51,998.03	30.19	39,247.00	-12,751.03
	1,700	DOW CHEMICAL LT2/C	44	Dec 17 07	42.37	72,023.10	30.19	51,323.00	-20,700.10
	2,200	DOW CHEMICAL LT3/C	241	Sep 22 09	26.73	58,795.81	30.19	66,418.00	7,622.19
	5,200	Subtotal for DOW			35.16	182,816.94	30.19	156,988.00	-25,828.94

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
Common Stocks									
	500	DUN & BRADSTREET/C	71	Sep 5 86	5.50	2,751.08	79.18	39,590.00	36,838.92
	3,000	DU PONT DE NEMOURS/C	72	Oct 20 00	13.60	40,806.49	43.14	129,420.00	88,613.51
	1,700	E ON AG SPON/C	234	Jun 14 05	29.30	49,814.91	18.04	30,671.40	-19,143.51
	792	ENCANA CORP LT1/C	115	Oct 10 01	7.68	6,084.17	21.79	17,257.68	11,173.51
	2,208	ENCANA CORP 5-16/C	117	May 16 01	8.37	18,491.03	21.79	48,112.32	29,621.29
	3,000	Subtotal for ECA			8.19	24,575.20	21.79	65,370.00	40,794.80
	2,600	EQ/T/C	363	Nov 4 10	38.86	101,040.42	60.06	156,156.00	55,115.58
	542	EXPRESS SCRIPTS MED/C	205	Apr 3 12	30.69	16,636.38	53.85	29,186.70	12,550.32
	7,900	EXXON MOBIL/C	76	Oct 20 00	9.44	74,566.75	88.14	696,306.00	621,739.25
	4,000	EXELIS INC/C	374	Oct 20 00	2.39	9,572.41	11.30	45,200.00	35,627.59
	2,200	FIRST ENERGY CORP/C	112	Mar 1 12	44.21	97,267.00	42.46	93,412.00	-3,855.00
	1,300	FOREST LABORATORIES/C	283	Jul 5 06	38.81	50,448.93	35.46	46,098.00	-4,350.93
	4,000	FOSTER WHEELER/C	188	Apr 1 10	28.51	114,033.57	22.46	89,840.00	-24,193.57
	1,033	FRONTIER COMMUNIC/C	305	Jul 2 10	5.91	6,108.41	4.81	4,968.73	-1,139.68
	3,000	GENERAL ELECTRIC LT1/C	80	Oct 20 00	17.11	51,329.39	21.13	63,390.00	12,060.61
	3,000	GENERAL ELECTRIC LT2/C	230	Jul 16 08	27.29	81,863.25	21.13	63,390.00	-18,473.25
	3,000	GENERAL ELECTRIC LT3/C	242	May 16 12	19.27	57,806.05	21.13	63,390.00	5,583.95
	9,000	Subtotal for GE			21.22	190,998.69	21.13	190,170.00	-828.69
	98	GENERAL MOTOR CO/C	199	Feb 12 02	116.88	11,454.48	25.88	2,536.24	-8,918.24
	2,372	GENTIVA HEALTH SER/C	6	Sep 5 86	0.00	1.00	10.30	24,431.60	24,430.60
	5,000	GENWORTH FINANCIAL/C	78	May 24 04	19.50	97,500.00	5.95	29,750.00	-67,750.00
	3,000	GLAXO SMITHKLINE PLC/C	83	Oct 26 00	9.12	27,371.54	43.01	129,029.99	101,658.45
	3,000	HARTFORD FINANCIAL/C	86	Oct 23 00	9.78	29,343.75	21.18	63,540.00	34,196.25
	4,091	HARTFORD FINANCIAL LT2/C	290	Jun 22 12	24.44	99,984.04	21.18	86,647.38	-13,336.66
	7,091	Subtotal for HIG			18.24	129,327.79	21.18	150,187.38	20,859.59

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
	937	HESS CORP/C	339	Jul 13 12	68.93	64,587.07	49.61	46,484.57	-18,102.50
	1,700	HEWLETT PACKARD/C	328	Aug 4 10	47.02	79,936.03	12.99	22,083.00	-57,853.03
	2,000	HEWLETT PACKARD LT2/C	350	Sep 23 11	21.95	43,905.24	12.99	25,980.00	-17,925.24
	<b>3,700</b>	<b>Subtotal for HPQ</b>			<b>33.47</b>	<b>123,841.27</b>	<b>12.99</b>	<b>48,063.00</b>	<b>-75,778.27</b>
	4,000	HUNTSMAN CORP LT1/C	306	Sep 29 06	18.38	73,536.72	16.44	65,760.00	-7,776.72
	2,000	HUNTSMAN CORP LT2/C	237	Jul 21 08	13.38	26,751.27	16.44	32,880.00	6,128.73
	<b>6,000</b>	<b>Subtotal for HUN</b>			<b>16.71</b>	<b>100,287.99</b>	<b>16.44</b>	<b>98,640.00</b>	<b>-1,647.99</b>
	4,000	INTEL CORP/C	340	Sep 24 07	25.98	103,920.00	19.57	78,260.00	-25,660.00
	2,000	INTL BUSINESS MACHC	90	Oct 20 00	31.18	62,352.31	190.07	380,140.01	317,787.70
	3,000	INTL PAPER CO LT2/C	116	Mar 16 09	7.02	21,059.00	37.14	111,420.00	90,361.00
	200	ITC HOLDING CORP/C	247	Jul 25 05	23.00	4,600.00	78.55	15,710.00	11,110.00
	1,200	ITC HOLDING CORP LT2/C	16	Dec 6 11	72.75	87,302.63	78.55	94,260.00	6,957.37
	<b>1,400</b>	<b>Subtotal for ITC</b>			<b>65.64</b>	<b>91,902.63</b>	<b>78.55</b>	<b>109,970.00</b>	<b>18,067.37</b>
	2,000	ITT CORP/C	92	Oct 20 00	3.70	7,394.54	22.37	44,740.00	37,345.46
	2,500	JP MORGAN CHASE/C	272	Jun 28 11	40.16	100,403.00	41.08	102,700.00	2,297.00
	8,040	JOHNSON & JOHNSON LT1/C	94	Oct 20 00	5.12	41,136.74	69.73	560,629.23	519,492.49
	1,960	JOHNSON & JOHNSON LT2/C	95	Oct 20 00	18.97	37,176.93	69.73	136,670.81	99,493.88
	<b>10,000</b>	<b>Subtotal for JNJ</b>			<b>7.83</b>	<b>78,313.67</b>	<b>69.73</b>	<b>697,300.04</b>	<b>618,986.37</b>
	2,500	KUBOTA CORP JAPAN/C	185	May 14 08	36.25	90,633.91	53.67	134,175.00	43,541.09
	1,347	LINCOLN NATL CORP/C	140	Oct 20 00	45.67	61,519.00	24.70	33,270.90	-28,248.10
	3,853	LINCOLN NATL CORP LT2/C	181	Jul 23 09	18.28	70,420.84	24.70	95,169.10	24,748.26
	<b>5,200</b>	<b>Subtotal for LNC</b>			<b>25.37</b>	<b>131,939.84</b>	<b>24.70</b>	<b>128,440.00</b>	<b>-3,499.84</b>

Common Stocks:

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
<b>Common Stocks</b>									
	24,000	MCCORMICK & CO/C	103	Oct 20 00	3.31	79,364.09	64.56	1,549,439.94	1,470,075.85
	4,000	MCDERMOTT INTL/C	127	Feb 18 10	12.56	50,238.00	10.53	42,120.00	-8,118.00
	3,500	MCDERMOTT INTL LT2/C	326	Aug 12 11	14.52	50,811.50	10.53	36,855.00	-13,956.50
	<b>7,500</b>	<b>Subtotal for MDR</b>			<b>13.47</b>	<b>101,049.50</b>	<b>10.53</b>	<b>78,975.00</b>	<b>-22,074.50</b>
	10,125	MDU RESOURCES GR/C	104	Oct 20 00	4.87	49,334.99	20.72	209,789.99	160,455.00
	1,700	MEADWESTVACO CORP/C	238	Jun 14 05	26.50	45,055.35	30.91	52,547.00	7,491.65
	5,000	MEADWESTVACO CORP LT2/C	29	Dec 17 08	10.92	54,613.04	30.91	154,550.00	99,936.96
	<b>6,700</b>	<b>Subtotal for MWV</b>			<b>14.88</b>	<b>99,668.39</b>	<b>30.91</b>	<b>207,097.00</b>	<b>107,428.61</b>
	14,994	MERCK CO SCHPL/C	321	Nov 5 09	2.54	38,129.75	44.30	664,234.19	626,104.44
	2,800	METLIFE INC/C	70	Feb 1 12	36.44	102,045.07	33.19	92,932.00	-9,113.07
	1,200	MF GLOBAL/C	299	Jul 18 07	30.00	36,000.00	0.03	34.80	-35,965.20
	2,000	MOODYS CORP/C	106	Sep 5 86	4.44	8,876.48	48.58	97,160.00	88,283.52
	1,500	NATL FUEL GAS CO/C	270	Sep 26 05	34.20	51,302.01	52.08	78,120.00	26,817.99
	2,200	NATIONAL GRID/C	63	Feb 10 11	45.92	101,033.17	56.64	124,608.00	23,574.83
	2,000	NEXTERA ENERGY/C	153	Mar 22 11	54.20	108,391.75	68.71	137,420.00	29,028.25
	2,000	NISOURCE INCLT1/C	109	Mar 2 01	29.29	58,577.26	24.17	48,340.00	-10,237.26
	4,000	NISOURCE INCLT2/C	110	Oct 2 01	23.77	95,084.81	24.17	96,680.00	1,595.19
	<b>6,000</b>	<b>Subtotal for NI</b>			<b>25.61</b>	<b>153,662.07</b>	<b>24.17</b>	<b>145,020.00</b>	<b>-8,642.07</b>
	8,000	NORANDA ALUM/C	287	Jul 29 10	7.83	62,608.74	5.93	47,440.00	-15,168.74
	1,500	NORFOLK STHN CORP/C	279	Jul 26 06	40.96	61,432.96	60.38	90,570.00	29,137.04
	2,500	NORTHEAST UTIL/C	5	Dec 16 05	20.30	50,759.13	38.74	96,850.00	46,090.87
	2,500	NORTHEAST UTIL LT2/C	359	Oct 8 10	30.43	76,066.86	38.74	96,850.00	20,783.14
	<b>5,000</b>	<b>Subtotal for NU</b>			<b>25.37</b>	<b>126,825.99</b>	<b>38.74</b>	<b>193,700.00</b>	<b>66,874.01</b>

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Common Stocks.									
	1,000	NOVARTIS AG/C	229	Jun 6 05	49.70	49,697.11	62.05	62,050.00	12,352.89
	1,000	NOVARTIS AGLT2/C	39	Jan 6 11	58.27	58,266.85	62.05	62,050.00	3,783.15
	<u>2,000</u>	<u>Subtotal for NVS</u>			<u>53.98</u>	<u>107,963.96</u>	<u>62.05</u>	<u>124,100.00</u>	<u>16,136.04</u>
	1,500	OCCIDENTAL PET/C	355	Sep 27 10	75.46	113,187.49	75.21	112,815.00	-372.49
	2,000	OMEGA HEALTHCARE LT1/C	113	Oct 20 00	21.25	42,508.00	22.92	45,840.00	3,332.00
	931	OMEGA HEALTHCARE LT2/C	231	Feb 15 02	2.92	2,718.52	22.92	21,338.52	18,620.00
	<u>2,931</u>	<u>Subtotal for OHI</u>			<u>15.43</u>	<u>45,226.52</u>	<u>22.92</u>	<u>67,178.52</u>	<u>21,952.00</u>
	4,000	PEABODY ENERGY/C	319	Jul 2 12	24.85	99,412.85	25.11	100,440.00	1,027.15
	431	PENTAIR LTD/C	390	Sep 14 12	41.07	17,701.93	48.49	20,899.19	3,197.26
	3,000	PFIZER/C	87	Apr 29 03	31.26	93,766.64	25.02	75,060.00	-18,706.64
	7,880	PFIZER WYETH/C	313	Oct 16 09	17.66	139,178.20	25.02	197,157.60	57,979.40
	<u>10,880</u>	<u>Subtotal for PFE</u>			<u>21.41</u>	<u>232,944.84</u>	<u>25.02</u>	<u>272,217.60</u>	<u>39,272.76</u>
	3,200	PHILLIPS 66/C	243	May 21 12	31.58	101,057.60	52.37	167,584.00	66,526.40
	3,400	PG&E CORP/C	317	Jul 28 11	42.18	143,395.14	40.95	139,230.00	-4,165.14
	3,000	PNC FINANCIAL SER GR/C	118	Oct 20 00	17.58	52,725.23	56.14	168,420.00	115,694.77
	2,218	PNC FINANCIAL MER/C	184	Oct 20 00	15.89	35,247.84	56.14	124,518.52	89,270.68
	<u>5,218</u>	<u>Subtotal for PNC</u>			<u>16.86</u>	<u>87,973.07</u>	<u>56.14</u>	<u>292,938.52</u>	<u>204,965.45</u>
	5,100	POTASH CORPORATIONC	119	Oct 20 00	2.28	11,637.62	38.52	196,452.00	184,814.38
	10,000	PPG INDUSTRIES/C	120	Oct 20 00	16.06	160,579.34	124.27	1,242,699.97	1,082,120.63
	2,000	PACCAR INC/C	295	Jul 6 11	51.71	103,411.38	43.94	87,880.00	-15,531.38
	6,000	PRAIRIE INC/C	121	Oct 20 00	9.46	56,768.00	107.21	643,259.99	586,491.99
	2,000	PRUDENTIAL FIN/C	356	Oct 1 10	53.80	107,590.74	52.12	104,240.00	-3,350.74

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
Common Stocks	1,687	RAYONIER INC LT1/C	14	Sep 5 86	0.00	1.00	49.84	84,080.08	84,079.08
	315	RAYONIER INC LT2/C	13	Dec 19 03	17.03	5,364.80	49.84	15,699.60	10,334.80
	<b>2,002</b>	<b>Subtotal for RYN</b>			<b>2.68</b>	<b>5,365.80</b>	<b>49.84</b>	<b>99,779.68</b>	<b>94,413.88</b>
	2,000	ROYAL DUTCH SHELL/C	360	Oct 13 10	63.67	127,341.01	66.97	133,940.00	6,598.99
	2,000	SEMPRA ENERGY/C	105	Mar 27 08	52.89	105,775.93	68.42	136,840.00	31,064.07
	3,900	SPECTRA ENERGY CORP/C	147	Feb 22 01	17.57	68,509.17	27.95	109,005.00	40,495.83
	2,700	SPECTRA ENERGY CORP LT2/C	32	Jan 7 09	16.66	44,989.51	27.95	75,465.00	30,475.49
	<b>6,600</b>	<b>Subtotal for SE</b>			<b>17.20</b>	<b>113,498.68</b>	<b>27.95</b>	<b>184,470.00</b>	<b>70,971.32</b>
	2,408	SPRINTNEXTEL/C	261	Aug 17 05	19.46	46,861.63	5.73	13,797.84	-33,063.79
	1,925	STANLEYBLKDEC LT2/C	178	Jul 23 09	26.50	51,010.56	71.91	138,426.76	87,416.20
	3,200	STORA ENSO OYJ/C	52	Mar 3 05	15.13	48,420.22	6.50	20,800.00	-27,620.22
	3,000	SUNCOR ENERGY LT1/C	357	Oct 5 10	34.02	102,062.21	32.61	97,830.00	-4,232.21
	1,600	SUNCOR ENERGY LT2/C	368	Oct 5 10	33.20	53,112.75	32.61	52,176.00	-936.75
	2,508	SUNCOR ENERGY HSBC/C	268	May 18 12	36.37	91,204.49	32.61	81,785.88	-9,418.61
	<b>7,108</b>	<b>Subtotal for SU</b>			<b>34.66</b>	<b>246,379.45</b>	<b>32.61</b>	<b>231,791.88</b>	<b>-14,587.57</b>
	1,500	SYSCO CORP/C	190	Nov 3 04	34.65	51,980.03	31.65	47,475.00	-4,505.03
	200	TARGA RESOURCES/C	17	Dec 6 10	22.00	4,400.00	50.09	10,018.00	5,618.00
	2,500	TATE LYLE/C	332	Oct 17 07	37.67	94,175.57	49.72	124,300.00	30,124.43
	2,800	TECK RESOURCES/C	139	Mar 15 12	36.04	100,904.92	33.67	94,275.99	-6,628.93
	16,000	TEXAS INSTRUMENTS/C	134	Oct 20 00	2.26	36,088.58	29.47	471,519.99	435,431.41
	666	TIME WARNER NEW/C	102	Mar 8 07	111.77	74,440.08	94.89	63,196.74	-11,243.34
	2,200	TOTAL SA FRANCE/C	341	Aug 1 12	46.97	103,344.69	50.16	110,352.00	7,007.31
	2,500	TRANSALTA CORP LT1/C	125	Apr 7 06	20.08	50,200.29	15.05	37,625.00	-12,575.29
	2,100	TRANSALTA CORP LT2/C	297	Aug 31 06	22.29	46,816.91	15.05	31,605.00	-15,211.91
	<b>4,600</b>	<b>Subtotal for TAC</b>			<b>21.09</b>	<b>97,017.20</b>	<b>15.05</b>	<b>69,230.00</b>	<b>-27,787.20</b>

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
<b>Common Stocks:</b>									
	4,000	TRAVELERS COS INC/C	129	Oct 20 00	31.39	125,540.35	70.82	283,280.00	157,739.65
	2,160	TREDEGAR INDUSTRIES/C	136	Oct 20 00	2.25	4,850.26	18.85	40,716.00	35,865.74
	3,200	TRINITY INDUSTRIES/C	386	Oct 15 12	31.62	101,176.76	31.77	101,664.00	487.24
	4,300	TRONOX LTD/C	382	Sep 27 12	23.69	101,846.97	15.48	66,564.00	-35,282.97
	1,800	TYCO INTL/C	380	Sep 14 12	27.55	49,594.70	28.37	51,066.00	1,471.30
	3,000	UGI CORP NEW/C	318	Aug 2 07	25.98	77,935.19	33.22	99,660.00	21,724.81
	3,300	UNITED RENTAL INC/C	342	Aug 6 12	30.93	102,063.14	41.53	137,049.00	34,985.86
	3,000	UNITED STATES STEEL/C	81	Feb 6 12	32.02	96,051.22	21.56	64,680.00	-31,371.22
	5,000	UNUM GROUP/C	392	Nov 1 12	20.48	102,378.09	20.39	101,950.00	-428.09
	4,307	VERIZON COMMUNICATIONS/C	142	Sep 5 86	21.45	92,396.92	44.12	190,024.84	97,627.92
	1,750	VODAFONE GROUP/C	42	Feb 9 05	30.17	52,802.32	25.80	45,141.25	-7,661.07
	1,600	VODAFONE GROUP LT2/C	25	Dec 5 07	37.93	60,694.53	25.80	41,272.00	-19,422.53
	<b>3,350</b>	<b>Subtotal for VOD</b>	<b>33.88</b>		<b>113,496.85</b>	<b>25.80</b>	<b>86,413.25</b>	<b>-27,083.60</b>	
	2,000	WELLS FARGO & CO/C	183	Oct 26 04	29.40	58,808.77	33.01	66,020.00	7,211.23
	1,991	WELLS FARGO & CO WACH/C	144	Oct 20 00	63.55	126,523.00	33.01	65,722.91	-60,800.09
	<b>3,991</b>	<b>Subtotal for WFC</b>	<b>46.44</b>		<b>185,331.77</b>	<b>33.01</b>	<b>131,742.91</b>	<b>-53,588.86</b>	
	5,000	WILLIAMS COS INC/C	361	Oct 21 10	17.64	88,186.58	32.84	164,200.00	76,013.42
	4,000	WISCONSIN ENERGY CORP/C	18	Dec 5 07	24.79	99,169.15	37.53	150,120.00	50,950.85
	1,666	WPX ENERGY/C	73	Oct 21 10	12.01	20,003.25	15.79	26,306.14	6,302.89
	4,000	XYLEM INC/C	375	Oct 20 00	5.54	22,158.05	26.09	104,360.00	82,201.95
	1,500	3M CO/C	311	Aug 28 08	72.83	109,240.47	90.95	136,425.00	27,184.53
		<b>Total Common Stocks:</b>				<b>11,114,418.04</b>		<b>20,814,762.58</b>	<b>9,700,344.54</b>
<b>Preferred Stocks:</b>									
	5,000	AEGON NV 6.375/PFD	219	May 26 05	25.00	125,000.00	25.40	127,000.00	2,000.00
	4,000	ALLIANZ SE 8 375/PFD	175	Jun 4 08	25.00	100,000.00	25.78	103,124.00	3,124.00

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Preferred Stocks:	2,000	ALLIANA SE 8.375LT2/PFD	66	Jan 7 10	25.30	50,601.25	25.78	51,562.00	960.75
	<b>6,000</b>	<b>Subtotal for ALL 8.375</b>			<b>25.10</b>	<b>150,601.25</b>	<b>25.78</b>	<b>154,686.00</b>	<b>4,084.75</b>
	4,000	AMER FIN CR 5.75/PFD	354	Aug 21 12	25.00	100,000.00	25.53	102,120.00	2,120.00
	4,000	AMER FIN CR 6.375/PFD	276	Jun 7 12	25.00	100,000.00	26.40	105,600.00	5,600.00
	4,000	AIG INCORPORATED 6.45/PFD	212	Jun 1 07	25.00	100,000.00	25.18	100,720.00	720.00
	4,000	ARCH CAPITAL 6.75/PFD	162	Mar 26 12	25.00	100,000.00	26.45	105,800.00	5,800.00
	4,000	ARES CAPITAL 5.875/PFD	381	Sep 20 12	25.00	100,000.00	24.97	99,880.00	-120.00
	4,000	ARES CAPITAL 7/PFD	57	Jan 26 12	25.00	100,000.00	26.60	106,400.00	6,400.00
	4,000	BB&T CORP 5.625/PFD	323	Jul 24 12	25.00	100,000.00	25.45	101,800.00	1,800.00
	4,000	BB&T CORP 5.850/PFD	223	Apr 26 12	25.00	100,000.00	25.93	103,720.00	3,720.00
	4,000	BANK OF AMER 8.2/PFD	186	May 21 08	25.00	100,000.00	25.45	101,800.00	1,800.00
	4,000	BANK OF AMER 8.625/PFD	131	Apr 23 08	25.00	100,000.00	25.45	101,800.00	1,800.00
	3,000	CABCO GOLDMAN 6/PFD	53	Mar 2 04	25.00	75,000.00	24.66	73,980.00	-1,020.00
	6,000	CABCO SER 2004 TR/PFD	75	May 14 04	25.00	150,000.00	18.08	108,492.00	-41,508.00
	2,000	CAPITAL ONE 7.5/PFD	193	May 24 06	25.00	50,000.00	25.53	51,060.00	1,060.00
	4,000	CHARLES SCHWAB 6/PFD	249	May 30 12	25.00	100,000.00	26.02	104,080.00	4,080.00
	3,000	CITIGROUP 6.35/PFD	161	Feb 28 07	25.00	75,000.00	25.34	76,017.00	1,017.00
	4,000	CITIGROUP CAP 6.5/PFD	298	Sep 8 06	25.00	100,000.00	25.41	101,636.00	1,636.00
	4,000	CONSTELLATION 8.625/PFD	196	Jun 23 08	25.00	100,000.00	26.40	105,600.00	5,600.00
	4,000	DEUTSCHE BK 6.625/PFD	269	Jul 13 07	25.00	100,000.00	25.16	100,640.00	640.00
	400	DTE ENERGY 6.5/PFD	373	Nov 28 11	25.00	10,000.00	27.42	10,968.00	968.00
	6,000	ESCROW ENRON 8.30/PFD	244	Jul 1 05	0.00	1.00	0.00	1.00	0.00
	4,000	GENERAL ELECTRIC 6.05%/PFD	99	Jan 30 07	25.00	100,000.00	25.76	103,040.00	3,040.00
	4,000	GENERAL ELECTRIC 4.875/PFD	384	Oct 2 12	25.00	100,000.00	25.09	100,360.00	360.00
	1,000	ESC GENERAL MTRS 7.25/PFD	224	Feb 12 02	0.00	1.00	0.00	1.00	0.00
	2,000	GOLDMAN SACHS FL A/PFD	171	Apr 14 05	25.00	50,000.00	20.50	41,000.00	-9,000.00
	4,000	GOLDMAN SACHS 6.5/PFD	366	Oct 20 11	25.00	100,000.00	27.00	108,000.00	8,000.00
	1,055	HOSPITALITY PROP/PFD	154	Feb 16 07	25.00	26,375.00	25.33	26,723.15	348.15
	2,000	HSBC HOLDINGS 6.20/PFD	267	Sep 16 05	25.00	50,000.00	25.06	50,120.00	120.00
	4,000	HSBC HOLDINGS 8.125/PFD	111	Apr 12 08	25.00	100,000.00	25.91	103,640.00	3,640.00

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Preferred Stocks:									
	4,000	ING GROEP 7.375/PFD	330	Sep 27 07	25.00	100,000.00	25.28	101,120.00	1,120.00
	4,000	ING GROEP 8.5/PFD	191	Jun 11 08	25.00	100,000.00	25.76	103,040.00	3,040.00
	4,000	JP MORGAN 5.875/PFD	124	Jun 9 03	25.00	100,000.00	25.38	101,520.00	1,520.00
	1,400	JP MORGAN 6 25/PFD	221	Sep 29 03	25.00	35,000.00	25.49	35,686.00	686.00
	2,000	JP MORGAN 6 875/PFD	308	Jul 26 07	25.00	50,000.00	25.61	51,220.00	1,220.00
	4,000	M&T 8.5/PFD	61	Jan 24 08	25.00	100,000.00	25.75	103,000.00	3,000.00
	4,000	MER LYN 6.45 DEC/PFD	22	Dec 8 06	25.00	100,000.00	25.14	100,560.00	560.00
	2,000	MER LYN 6.45 JUN/PFD	194	Apr 26 07	25.00	50,000.00	25.16	50,320.00	320.00
	2,000	METLIFE FLOATER 4/PFD	232	Jun 7 05	25.00	50,000.00	25.00	50,000.00	0.00
	4,000	METLIFE FLOATER 6.5/PFD	233	Jun 7 05	25.00	100,000.00	25.24	100,960.00	960.00
	2,000	MORGAN STAN FEB 6.6/PFD	23	Jan 19 06	25.00	50,000.00	25.04	50,080.00	80.00
	2,000	MORGAN STAN OCT 6 6/PFD	307	Oct 5 06	25.00	50,000.00	25.10	50,200.00	200.00
	4,000	NEXTERA ENERGY 5 1.25/PFD	395	Nov 14 12	25.00	100,000.00	25.09	100,360.00	360.00
	4,000	NEXTERA ENERGY 5 6.25/PFD	280	Jun 12 12	25.00	100,000.00	26.21	104,840.00	4,840.00
	4,300	PARTNERRE 6.50 LTD/PFD	195	Nov 12 04	25.00	107,505.25	25.21	108,403.00	897.75
	4,000	PRUDENTIAL FIN 5.75/PFD	397	Nov 27 12	25.00	100,000.00	25.00	100,000.00	0.00
	4,000	PUBLIC STORAGE 5 3.75/PFD	378	Sep 11 12	25.00	100,000.00	25.57	102,280.00	2,280.00
	4,000	PUBLIC STORAGE 5 6.25/PFD	274	Jun 6 12	25.00	100,000.00	26.03	104,120.00	4,120.00
	4,000	QWEST CORP 7.00/PFD	149	Mar 22 12	25.00	100,000.00	26.55	106,200.00	6,200.00
	4,000	QWEST CORP 7.375/PFD	252	Jun 1 11	25.00	100,000.00	26.65	106,600.00	6,600.00
	6,500	RBS CAPS SER E5.9/PFD	174	Jun 26 03	25.00	162,500.00	19.81	128,765.00	-33,735.00
	4,000	REINSURANCE GR AMER 6.2/PFD	348	Aug 14 12	25.00	100,000.00	26.85	107,400.00	7,400.00
	4,430	RENAISSANCE RE 6.08/PFD	59	Mar 18 04	25.00	110,750.00	25.01	110,794.30	44.30
	3,000	RENAISSANCE HLDG 6.6/PFD	26	Dec 13 06	25.00	75,000.00	25.14	75,420.00	420.00
	4,000	SCE TRUST 5 6.25/PFD	226	May 10 12	25.00	100,000.00	25.90	103,600.00	3,600.00
	4,000	STANLEY B&D 5.75/PFD	325	Jul 18 12	25.00	100,000.00	26.17	104,680.00	4,680.00
	4,000	TELEPHONE & DATA 5.875/PFD	396	Nov 26 12	25.00	100,000.00	25.00	100,000.00	0.00
	5,000	TELEPHONE & DATA 6 6.25/PFD	85	Mar 24 05	25.00	125,000.00	25.48	127,400.00	2,400.00
	2,000	VORNADO RLTY TR 6 6.25/PFD	257	Aug 24 05	25.00	50,000.00	25.34	50,680.00	680.00
	4,000	WELLS FARGO 5 1.25/PFD	394	Nov 13 12	25.00	100,000.00	25.00	100,000.00	0.00
	4,000	WELLS FARGO 5.2/PFD	347	Aug 9 12	25.00	100,000.00	25.02	100,080.00	80.00

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Preferred Stocks		<b>Total Preferred Stocks:</b>				<b>5,377,733.50</b>		<b>5,416,012.45</b>	<b>38,278.95</b>
Linked Index Basket Fund:									
	1,297	DEUTSCHE BK BHP9.5/LINK	100	Feb 10 12	77.10	99,998.70	76.81	99,618.68	-380.02
	10,000	DEUTSCHE BK HALL AUG/LINK	379	Sep 14 12	10.00	100,000.00	9.99	99,920.00	-80.00
	4,618	HSBC USA CSX 9.2/LINK	12	Dec 2 11	21.65	99,979.70	21.54	99,471.72	-507.98
	1,000	HSBC BANK USA413/LINK	294	Oct 27 09	100.00	100,000.00	116.19	116,190.00	16,190.00
	10,000	JP MORGAN ABX/LINK	377	Sep 7 12	10.00	100,000.00	9.13	91,300.00	-8,700.00
	10,000	JP MORGAN CMI/LINK	385	Oct 5 12	10.00	100,000.00	10.23	102,300.00	2,300.00
	10,000	JP MORGAN CSX/LINK	285	Jun 21 12	10.00	100,000.00	10.10	101,000.00	1,000.00
	10,000	JP MORGAN CSX AUG13/LINK	337	Jul 27 12	10.00	100,000.00	9.71	97,100.00	-2,900.00
	850	JP MORGAN DE 8.65/LINK	48	Jan 6 12	82.30	69,955.00	82.72	70,312.00	357.00
	10,000	JP MORGAN GNW/LINK	393	Nov 9 12	10.00	100,000.00	9.84	98,400.00	-1,600.00
	10,000	JP MORGAN MS/LINK	176	Apr 5 12	10.00	100,000.00	10.14	101,400.00	1,400.00
	10,000	JP MORGAN SLB/LINK	62	Jan 27 12	10.00	100,000.00	10.78	107,800.00	7,800.00
	4,568	JP MORGAN VLO 10.68/LINK	282	Jun 14 12	21.89	99,993.52	22.68	103,602.24	3,608.72
	10,000	UBS AG CARAOS INTC/LINK	114	Mar 2 12	10.00	100,000.00	7.85	78,490.00	-21,510.00
	10,000	UBS AG TRIG PAOS LNC/LINK	388	Oct 19 12	10.00	100,000.00	9.96	99,600.00	-400.00
	10,000	UBS AG TRIG PAOS PBR/LINK	138	Mar 9 12	10.00	100,000.00	6.68	66,770.00	-33,230.00
	10,000	UBS AG TRIG PAOS URI/LINK	398	Nov 28 12	10.00	100,000.00	10.00	100,000.00	0.00
	2,925	UBS TRIG YON MET7 78/LINK	362	Aug 29 12	34.18	99,976.50	33.51	98,016.75	-1,959.75
	3,399	UBS TRIG YON SWN11.13/LINK	56	Jan 13 12	29.42	99,998.58	29.60	100,627.39	628.81
		<b>Total Linked Index Basket Fund:</b>				<b>1,869,902.00</b>		<b>1,831,918.78</b>	<b>-37,983.22</b>
Mutual Fund Investments:									
	6,000	ALPS ETF ALERIAN/MUT	84	Feb 8 12	16.80	100,781.91	16.34	98,040.00	-2,741.91
	3,000	ESC DWS REAL ESTATE/MUT	258	Jun 25 10	0.00	1.00	0.00	1.00	0.00
	5,400	ESC DWS REAL EST11/MUT	256	Jun 23 10	0.00	1.00	0.00	1.00	0.00
	5,000	EATON VANCE ADV/MUT	292	Aug 26 08	19.39	96,938.48	13.82	69,100.00	-27,838.48
	3,500	CBRE CLARION/MUT	41	Jan 27 05	14.49	50,705.08	8.92	31,220.00	-19,485.08

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Mutual Fund Investments	9,000	CBRE CLARION LT2/MUT	55	Jan 10 12	7.23	65,032.24	8.92	80,280.00	15,247.76
	98	CBRE CLARION DR5/MUT	288	Jan 27 05	13.52	1,324.51	8.92	874.16	-450.35
	<b>12,598</b>	<b>Subtotal for IGR</b>	<b>9,29</b>		<b>117,061.83</b>	<b>8.92</b>	<b>112,374.16</b>	<b>-4,687.67</b>	
	2,500	ING GLOBAL EQUITY/MUT	88	Mar 28 05	20.00	50,000.00	8.55	21,375.00	-28,625.00
	2,500	ING GLOBAL ADV/MUT	275	Oct 26 05	20.00	50,000.00	11.32	28,300.00	-21,700.00
	2,000	JOHN HANCOCK I/MUT	227	Sep 4 03	24.81	49,612.11	22.32	44,640.00	-4,972.11
	2,200	JOHN HANCOCK LT2/MUT	296	Aug 31 06	23.93	52,647.76	22.32	49,104.00	-3,543.76
	<b>4,200</b>	<b>Subtotal for HPF</b>	<b>24.35</b>		<b>102,259.87</b>	<b>22.32</b>	<b>93,744.00</b>	<b>-8,515.87</b>	
	2,000	KAYNE ANDRSN ENDL /MUT	301	Sep 20 06	25.00	50,000.00	26.01	52,020.00	2,020.00
	2,000	KAYNE ANDRSN ENDL LT2/MUT	69	Feb 13 08	25.01	50,029.13	26.01	52,020.00	1,990.87
	<b>4,000</b>	<b>Subtotal for KED</b>	<b>25.01</b>		<b>100,029.13</b>	<b>26.01</b>	<b>104,040.00</b>	<b>4,010.87</b>	
	4,000	KAYNE AND MIDSTREAM/MUT	365	Nov 23 10	25.00	100,000.00	28.04	112,160.00	12,160.00
	476	KAYNE AND MIDSTREAM DR/MUT	163	Nov 23 10	25.50	12,136.81	28.04	13,347.04	1,210.23
	<b>4,476</b>	<b>Subtotal for KMF</b>	<b>25.05</b>		<b>112,136.81</b>	<b>28.04</b>	<b>125,507.04</b>	<b>13,370.23</b>	
	6,400	NUVEEN QUALITY/MUT	322	Aug 17 07	11.07	70,871.45	8.64	55,296.00	-15,575.45
	4,000	STONE HBR/MUT	33	Dec 22 10	25.00	100,000.00	24.70	98,800.00	-1,200.00
	713	STONE HBR DR/MUT	166	Dec 22 10	23.70	16,901.62	24.70	17,611.10	709.48
	<b>4,713</b>	<b>Subtotal for STONE</b>	<b>24.80</b>		<b>116,901.62</b>	<b>24.70</b>	<b>116,411.10</b>	<b>-490.52</b>	
	8,710	TEMPLETON EMER LTI/MUT	21	Sep 5 86	12.65	110,178.26	17.07	148,679.70	38,501.44
	708	TEMPLETON EMER LT2/MUT	187	Nov 4 04	13.38	9,473.04	17.07	12,085.56	2,612.52
	<b>9,418</b>	<b>Subtotal for TEI</b>	<b>12.70</b>		<b>119,651.30</b>	<b>17.07</b>	<b>160,765.26</b>	<b>41,113.96</b>	
	4,000	TORTOISE PIPELINE/MUT	367	Oct 26 11	25.00	100,000.00	24.15	96,616.00	-3,384.00
	17,725	UBS DYNAMIC CL A/MUT	45	Feb 23 05	8.32	147,414.21	6.81	120,708.49	-26,705.72

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
Manual Fund Investments	15,531,939	UBS GLOBAL ALLOC/MUT	158	Jun 16 03	10.52	163,388.99	9.41	146,155.54	-17,233.45
	25	MOTORS LIQ CO/MUT	303	Jun 12 12	0.04	1.00	19.50	487.50	486.50
		<b>Total Mutual Fund Investments:</b>				<b>1,447,438.60</b>		<b>1,348,922.09</b>	<b>-98,516.51</b>
Corporate Bonds:									
	100,000	APPALACHIAN PWR 4.95/B	35	Jan 15 09	91.41	91,405.25	107.46	107,462.00	16,056.75
	50,000	BEAR STEARNS COMP/B	38	Feb 11 04	100.00	50,000.00	100.40	50,201.50	201.50
	60,000	CONSTELLATION ENERGY/B	74	May 5 04	91.80	55,078.05	108.42	65,049.00	9,970.95
	100,000	CSX 6.25/B	65	Feb 2 09	94.50	94,496.25	122.86	122,855.00	28,358.75
	100,000	ENCANA CORP 5.9/B	349	Nov 5 08	86.32	86,324.25	119.20	119,200.00	32,875.75
	82,000	ENERGY TRANSFER 5.95/B	96	Feb 26 09	92.60	75,932.33	109.80	90,036.82	14,104.49
	110,000	EQUITABLE RES 6.5/B	316	Nov 4 09	105.67	116,241.15	116.01	127,611.00	11,369.85
	50,000	INDIANA MICH PWR 7/B	36	Jan 23 09	99.84	49,919.25	127.62	63,809.50	13,890.25
	100,000	INTL PAPER 7.95/B	315	Aug 6 08	101.86	101,859.25	129.45	129,453.00	27,593.75
	50,000	JOHN HANCOCK SIGN/B	43	Feb 22 05	100.00	50,000.00	100.00	50,001.50	1.50
	100,000	KRAFT FOODS 6.125/B	343	Oct 27 08	86.42	86,419.25	122.40	122,399.00	35,979.75
	100,000	LAKEHEAD 7/B	98	Mar 10 09	86.61	86,609.25	120.03	120,034.00	33,424.75
	25,000	MISSOURI PAC RR 4.75 LT1/B	235	May 9 02	66.42	16,605.25	101.50	25,375.00	8,769.75
	12,000	MISSOURI PAC RR 4.75 LT2/B	239	May 23 02	66.09	7,931.25	101.50	12,180.00	4,248.75
	5,000	MISSOURI PAC RR 4.75 LT3/B	248	Jul 26 02	66.16	3,307.75	101.50	5,075.00	1,767.25
	<b>42,000</b>	<b>Subtotal for MISS PAC RR</b>			<b>66.30</b>	<b>27,844.25</b>	<b>101.50</b>	<b>42,630.00</b>	<b>14,785.75</b>
	50,000	MORGAN STAN 4.0/B	273	Jul 28 10	100.00	50,000.00	101.02	50,511.50	511.50
	50,000	NORANDA INC 6/B	202	May 23 05	101.16	50,577.75	111.50	55,752.00	5,174.25
	60,000	PPL ENERGY SUPP 6.5/B	2	Dec 2 08	79.49	47,692.05	120.93	72,559.20	24,867.15
	50,000	ROUSE CO NTS/B	146	Sep 8 04	96.18	48,091.75	102.50	51,250.00	3,158.25
	40,000	SOUTHERN POWER/B	128	Mar 29 05	98.10	39,239.25	110.09	44,036.80	4,797.55
	70,000	UNION CAR LT1 7.875/B	8	Dec 27 02	100.01	70,005.25	128.03	89,623.80	19,618.55
	10,000	UNION CAR LT2 7.875/B	27	Mar 4 03	99.55	9,955.25	128.03	12,803.40	2,848.15

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2012**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Corporate Bonds:	20,000	UNION CAR LT3 7 875/B	152	Sep 28 04	101.03	20,205.25	128.03	25,606.80	5,401.55
	<u>100,000</u>	<u>Subtotal for UNION 7.875</u>			<u>100.17</u>	<u>100,165.75</u>	<u>128.03</u>	<u>128,034.00</u>	<u>27,868.25</u>
		Total Corporate Bonds:				<u>1,307,895.08</u>		<u>1,612,885.82</u>	<u>304,990.74</u>
Warrants									
	89	WTS GENRL MOTORS JULY19/WT	182	Feb 12 02	66.48	5,917.14	10.57	940.73	-4,976.41
	89	WTS GENRL MOTORS JULY16/WT	189	Feb 12 02	85.70	7,627.38	16.72	1,488.08	-6,139.30
		Total Warrants:				<u>13,544.52</u>		<u>2,428.81</u>	<u>-11,115.71</u>
		<b>TOTAL ALL SECURITIES</b>				<u>23,195,975.18</u>		<u>33,091,973.97</u>	<u>9,895,998.79</u>
<b>SUMMARY BY TYPE</b>									
Common/Preferred Stocks									
Common Stocks:						11,114,418.04		20,814,762.58	9,700,344.54
Preferred Stocks:						5,377,733.50		5,416,012.45	38,278.95
Linked Index Basket Fund:						1,869,902.00		1,831,918.78	-37,983.22
Mutual Fund Investments:						1,447,438.60		1,348,922.09	-98,516.51
Total Common/Preferred Stocks						<u>19,809,492.14</u>		<u>29,411,615.90</u>	<u>9,602,123.76</u>
Bonds									
Corporate Bonds:						1,307,895.08		1,612,885.82	304,990.74
Total Bonds						<u>1,307,895.08</u>		<u>1,612,885.82</u>	<u>304,990.74</u>
Traded Options/Warrants									
Warrants:						13,544.52		2,428.81	-11,115.71
Total Traded Options/Warrants						<u>13,544.52</u>		<u>2,428.81</u>	<u>-11,115.71</u>
Marketable Investments									
Money Market Accounts:						2,065,043.44		2,065,043.44	0.00
Total Marketable Investments						<u>2,065,043.44</u>		<u>2,065,043.44</u>	<u>0.00</u>
<b>TOTAL ALL SECURITIES</b>						<u>23,195,975.18</u>		<u>33,091,973.97</u>	<u>9,895,998.79</u>

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
<b>Common Stocks:</b>									
5,000	WILLIAMS COS INC/C	361	Oct 21 10	21.64	108,177.86	32.28	161,399.99	53,222.13	
4,000	WISC ENERGY CORP/C	18	Dec 5 07	24.79	99,169.15	33.18	132,720.00	33,550.85	
4,000	XYLEM INC/C	375	Oct 20 00	5.54	22,158.05	23.90	95,600.00	73,441.95	
1,500	3M CO/C	311	Aug 28 08	72.83	109,240.47	81.04	121,560.00	12,319.53	
	<b>Total Common Stocks:</b>				<b>9,784,882.80</b>		<b>17,902,549.23</b>	<b>8,117,666.43</b>	
<b>Preferred Stocks:</b>									
5,000	AEGON NV 6.375/PFD	219	May 26 05	25.00	125,000.00	19.80	99,000.00	-26,000.00	
4,000	ALLIANZ SE 8.375/PFD	175	Jun 4 08	25.00	100,000.00	24.97	99,876.00	-124.00	
2,000	ALLIANA SE 8.375LT2/PFD	66	Jan 7 10	25.30	50,601.25	24.97	49,938.00	-663.25	
6,000	Subtotal for ALL 8.375			25.10	150,601.25	24.97	149,814.00	-787.25	
4,000	AMER FIN GR 7.125/PFD	37	Jan 29 04	25.00	100,000.00	25.20	100,800.00	800.00	
4,000	AIG INCORPORATED 6.45/PFD	212	Jun 1 07	25.00	100,000.00	20.74	82,960.00	-17,040.00	
2,000	BAC CAP TR 6.25/PFD	67	Mar 22 06	25.00	50,000.00	20.09	40,180.00	-9,820.00	
4,000	BAC CAP TR 6.875/PFD	281	Jul 27 06	25.00	100,000.00	20.84	83,360.00	-16,640.00	
4,000	BANK OF AMER 8.2/PFD	186	May 21 08	25.00	100,000.00	22.05	88,200.00	-11,800.00	
4,000	BANK OF AMER 8.625/PFD	131	Apr 23 08	25.00	100,000.00	22.20	88,800.00	-11,200.00	
3,000	CABCO GOLDMAN 6/PFD	53	Mar 2 04	25.00	75,000.00	21.56	64,677.00	-10,323.00	
6,000	CABCO SER 2004 TR/PFD	75	May 14 04	25.00	150,000.00	15.70	94,200.00	-55,800.00	
2,000	CAPITAL ONE 7.5/PFD	193	May 24 06	25.00	50,000.00	25.33	51,060.00	1,060.00	
3,000	CBS 6.75/PFD	169	Mar 21 07	25.00	75,000.00	25.79	77,370.00	2,370.00	
3,000	CITIGROUP 6.35/PFD	161	Feb 28 07	25.00	75,000.00	22.06	66,180.00	-8,820.00	
4,000	CITIGROUP CAP 6.5/PFD	298	Sep 8 06	25.00	100,000.00	22.32	89,280.00	-10,720.00	
1,000	COMCAST CORP 6.625/PFD	201	May 4 07	25.00	25,000.00	25.81	25,810.00	810.00	
2,000	COMCAST CORP 7 SEPT/PFD	300	Sep 15 06	25.00	50,000.00	25.35	50,700.00	700.00	
2,000	COMMWEALTH REIT 7.125/PFD	28	Feb 3 06	25.00	50,000.00	23.87	47,740.00	-2,260.00	
4,000	CONSTELLATION 8.625/PFD	196	Jun 23 08	25.00	100,000.00	27.09	108,360.00	8,360.00	
4,000	DEUTSCHE BK 6.625/PFD	269	Jul 13 07	25.00	100,000.00	20.16	80,640.00	-19,360.00	

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Preferred Stocks:									
	400	DTE ENERGY 6.5/PFD	373	Nov 28 11	25.00	10,000.00	25.00	10,000.00	0.00
	5,000	DTE ENERGY TR 17.8/PFD	213	Jan 11 02	25.00	125,000.00	25.50	126,500.00	1,500.00
	6,000	ESCROW ENRON 8.30/PFD	244	Jul 1 05	0.00	1.00	0.00	1.00	0.00
	4,000	FIFTH THIRD CAP/PFD	334	Oct 25 07	25.00	100,000.00	25.15	100,600.00	600.00
	3,000	FPC CAPITAL 7.1/PFD	206	Nov 29 00	21.53	64,594.50	25.50	76,500.00	11,905.50
	4,000	GENL ELEC 6.05%/PFD	99	Jan 30 07	25.00	100,000.00	25.51	102,040.00	2,040.00
	1,000	ESC GENERAL MTRS 7.25/PFD	224	Feb 12 02	0.00	1.00	0.00	1.00	0.00
	2,000	GOLDMAN SACHS FLA/PFD	171	Apr 14 05	25.00	50,000.00	17.75	35,500.00	-14,500.00
	4,000	GOLDMAN SACHS 6.5/PFD	366	Oct 20 11	25.00	100,000.00	24.70	98,800.00	-1,200.00
	2,000	HOSPITALITY PROP/PFD	154	Feb 16 07	25.00	50,000.00	24.54	49,080.00	-920.00
	2,000	HSBC HOLDINGS 6.20/PFD	267	Sep 16 05	25.00	50,000.00	23.40	46,800.00	-3,200.00
	4,000	HSBC HOLDINGS 8.125/PFD	111	Apr 12 08	25.00	100,000.00	26.00	104,000.00	4,000.00
	2,200	HCP 7.10/PFD	266	Nov 26 03	25.00	55,000.00	25.14	55,308.00	308.00
	1,500	HEALTH CARE REIT 7.875/PFD	155	Jun 12 03	25.00	37,500.00	25.49	38,235.00	735.00
	4,000	ING GROEP 7.375/PFD	330	Sep 27 07	25.00	100,000.00	18.68	74,720.00	-25,280.00
	4,000	ING GROEP 8.5/PFD	191	Jun 11 08	25.00	100,000.00	21.73	86,920.00	-13,080.00
	4,000	JP MORGAN 5.875/PFD	124	Jun 9 03	25.00	100,000.00	25.00	100,000.00	0.00
	1,400	JP MORGAN 6.25/PFD	221	Sep 29 03	25.00	35,000.00	25.18	35,252.00	252.00
	2,000	JP MORGAN 6.875/PFD	308	Jul 26 07	25.00	50,000.00	25.22	50,440.00	440.00
	4,040	KIMCO REALTY/PFD	331	Oct 3 07	25.00	101,000.00	25.69	103,787.60	2,787.60
	4,000	M&T 8.5/PFD	61	Jan 24 08	25.00	100,000.00	26.13	104,520.00	4,520.00
	4,000	MER LYN 6.45 DEC/PFD	22	Dec 8 06	25.00	100,000.00	20.09	80,360.00	-19,640.00
	2,000	MER LYN 6.45 JUN/PFD	194	Apr 26 07	25.00	50,000.00	20.05	40,100.00	-9,900.00
	2,000	METLIFE FLOATER 4/PFD	232	Jun 7 05	25.00	50,000.00	22.64	45,280.00	-4,720.00
	4,000	METLIFE FLOATER 6.5/PFD	233	Jun 7 05	25.00	100,000.00	25.12	100,480.00	480.00
	2,000	MORGAN STAN FEB 6.6/PFD	23	Jan 19 06	25.00	50,000.00	21.27	42,540.00	-7,460.00
	2,000	MORGAN STAN OCT 6.6/PFD	307	Oct 5 06	25.00	50,000.00	21.46	42,920.00	-7,080.00
	4,000	NATL CITY TR III 6.625/PFD	222	May 21 07	25.00	100,000.00	25.31	101,240.00	1,240.00
	4,300	PARTNERRE 6.50 LTD/PFD	195	Nov 12 04	25.00	107,505.25	24.83	106,769.00	-736.25
	2,000	PROTECT LIFE 7.25 LT1/PFD	245	Jun 28 06	25.00	50,000.00	25.09	50,180.00	180.00
	4,000	PUBLIC STORAGE R PSA/PFD	324	Jul 19 11	25.00	100,000.00	26.45	105,800.00	5,800.00

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
Preferred Stocks:	4,000	PUBLIC STORAGE Q/PFD	177	Apr 5 11	25.00	100,000.00	26.71	106,840.00	6,840.00
	4,000	QWEST CORP 7.375/PFD	252	Jun 1 11	25.00	100,000.00	25.47	101,880.00	1,880.00
	6,500	RBS CAPS SER E5.9/PFD	174	Jun 26 03	25.00	162,500.00	10.10	65,650.00	-96,850.00
	4,430	RENAISSANCE RE 6.08/PFD	59	Mar 18 04	25.00	110,750.00	23.83	105,566.90	-5,183.10
	3,000	RENAISSANCE HLDG 6.6/PFD	26	Dec 13 06	25.00	75,000.00	24.60	73,800.00	-1,200.00
	4,000	SUN TRUST CAP 7.875/PFD	77	Feb 26 08	25.00	100,000.00	25.25	101,000.00	1,000.00
	5,000	TELEPHONE & DATA 6.625/PFD	85	Mar 24 05	25.00	125,000.00	25.33	126,650.00	1,650.00
	2,000	TORCHMARK 7.1/PFD	236	Jun 1 06	25.00	50,000.00	25.32	50,638.00	638.00
	3,000	USB CAPITAL 6.350/PFD	10	Dec 22 05	25.00	75,000.00	25.33	75,990.00	990.00
	2,000	USB CAPITAL 6.6/PFD	293	Aug 24 06	25.00	50,000.00	25.28	50,560.00	560.00
	3,000	VIACOM 6.85/PFD	19	Dec 7 06	25.00	75,000.00	25.15	75,450.00	450.00
	2,000	VORNADO RLTY TR 6.625/PFD	257	Aug 24 05	25.00	50,000.00	24.77	49,540.00	-460.00
	4,000	WELLS FARGO 6.25/PFD	210	May 16 07	25.00	100,000.00	25.26	101,040.00	1,040.00
	4,000	WACHOVIA 6.375/PFD	151	Feb 9 07	25.00	100,000.00	25.00	100,000.00	0.00
		<b>Total Preferred Stocks:</b>				<b>5,134,453.00</b>		<b>4,788,409.50</b>	<b>-346,043.50</b>
Linked Index Basket Fund:	3,104	BARCLAYS BK 10.35/LINK	79	Jan 15 10	25.77	79,990.08	25.97	80,610.88	620.80
	12,500	DB PERFRM NIKKEI/LINK	82	Jan 24 07	10.00	125,000.00	4.72	59,000.00	-66,000.00
	12,500	DB PERFRM DJ EURO/LINK	93	Jan 24 07	10.00	125,000.00	5.46	68,300.00	-56,700.00
	4,091	DEUTSCHE BK HIG 10/LINK	255	Jun 17 11	24.44	99,984.04	18.82	76,992.62	-22,991.42
	2,034	DEUTSCHE BK PRU 10.10/LINK	47	Dec 15 09	49.15	99,971.10	48.53	98,712.05	-1,259.05
	635	HSBC USA 8.9/LINK	165	Mar 29 10	78.63	49,930.05	41.42	26,301.70	-23,628.35
	2,508	HSBC USA 9.2/LINK	209	May 13 11	39.87	99,993.96	31.83	79,829.64	-20,164.32
	10,000	HSBC USA CAT/LINK	371	Nov 11 11	10.00	100,000.00	9.95	99,500.00	-500.00
	1,000	HSBC BANK USA413/LINK	294	Oct 27 09	100.00	100,000.00	104.16	104,160.00	4,160.00
	2,901	JP MORGAN 8.00/LINK	364	Oct 14 11	34.47	99,997.47	33.95	98,488.95	-1,508.52
	4,716	JP MORGAN 10.0/LINK	150	Mar 15 10	21.20	99,979.20	12.36	58,289.76	-41,689.44
	100	JP MORGAN 10.85/LINK	353	Oct 13 11	1,000.00	100,000.00	998.01	99,801.00	-199.00
	1,850	JP MORGAN 12.81 BHF/LINK	372	Nov 18 11	54.05	99,992.50	52.71	97,513.50	-2,479.00
	937	ROYAL BANK CAN 8.44/LINK	314	Jul 8 11	74.68	69,975.16	60.61	56,791.57	-13,183.59

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Linked Index Basket Fund:									
	9,416	ROYAL BANK CAN 11.1/LINK	344	Sep 16 11	10.62	99,997.92	10.13	95,384.08	-4,613.84
	10,000	ROYAL BANK CAN XOM/LINK	345	Sep 16 11	10.00	100,000.00	10.12	101,200.00	1,200.00
	10,000	ROYAL BANK CAN HAL/LINK	370	Nov 4 11	10.00	100,000.00	9.20	92,000.00	-8,000.00
	10,000	UBS AG TRIGPAOS ANR/LINK	179	Apr 15 11	10.00	100,000.00	4.36	43,600.00	-56,400.00
	10,000	UBS AG TRIGPAO CMCSA/LINK	278	Jun 28 11	10.00	100,000.00	9.43	94,300.00	-5,700.00
	10,000	UBS AG TRIGPAOS IP2/LINK	217	May 20 11	10.00	100,000.00	9.21	92,100.00	-7,900.00
	10,000	UBS AG TRIGPAOS GE/LINK	251	May 24 11	10.00	100,000.00	8.58	85,800.00	-14,200.00
	10,000	UBS AG TRIGPAOS EL W/LINK	123	Mar 21 11	10.00	100,000.00	6.48	64,800.00	-35,200.00
	1,077	UBS TRIG YON BHP 7.85/LINK	160	Mar 29 11	92.79	99,934.83	79.02	85,104.54	-14,830.29
	9,469	UBS TRIG YON ING 9.28/LINK	54	Jan 14 11	10.56	99,992.64	8.45	80,013.05	-19,979.59
	6,993	UBS TRIG YON F 9.25/LINK	89	Mar 14 11	14.30	99,999.90	11.29	78,950.97	-21,048.93
	4,033	UBS TRIG YON MMH0.29/LINK	207	May 6 11	24.79	99,978.07	25.72	103,728.76	3,750.69
		<b>Total Linked Index Basket Fund:</b>				<b>2,549,716.92</b>		<b>2,121,273.07</b>	<b>-428,443.85</b>
Mutual Fund Investments:									
	3,400	BLACKROCK ENH DIV/MUT	259	Aug 25 05	15.00	51,000.00	7.15	24,310.00	-26,690.00
	3,109	BLACKROCK ENH DRSMUT	284	Sep 6 06	9.60	29,852.25	7.15	22,229.35	-7,622.90
	<b>6,509</b>	<b>Subtotal for BDJ</b>			<b>12.42</b>	<b>80,852.25</b>	<b>7.15</b>	<b>46,539.35</b>	<b>-34,312.90</b>
	2,000	BLACKRCK GLOB OPPRT/MUT	208	May 25 05	25.00	50,000.00	13.83	27,660.00	-22,340.00
	2,112	BLACKRCK GLOB OPDRS/MUT	250	Sep 6 06	20.02	42,282.01	13.83	29,208.96	-13,073.05
	<b>4,112</b>	<b>Subtotal for BOE</b>			<b>22.44</b>	<b>92,282.01</b>	<b>13.83</b>	<b>56,868.96</b>	<b>-35,413.05</b>
	3,000	ESC DWS REAL ESTATE/MUT	258	Jun 25 10	0.00	1.00	0.00	1.00	0.00
	5,400	ESC DWS REAL EST11/MUT	256	Jun 23 10	0.00	1.00	0.00	1.00	0.00
	5,000	EATON VANCE ADV/MUT	292	Aug 26 08	19.39	96,938.48	12.43	62,150.00	-34,788.48
	3,500	CBRE CLARION/MUT	41	Jan 27 05	14.49	50,705.08	6.89	24,115.00	-26,590.08
	98	CBRE CLARION DRSMUT	288	Jan 27 05	13.52	1,324.51	6.89	675.22	-649.29
	<b>3,598</b>	<b>Subtotal for IGR</b>			<b>14.46</b>	<b>52,029.59</b>	<b>6.89</b>	<b>24,790.22</b>	<b>-27,239.37</b>

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

<u>Category</u>	<u>Quantity</u>	<u>Security</u>	<u>No</u>	<u>Date Acquired</u>	<u>Unit Cost</u>	<u>Cost Basis</u>	<u>Market Price</u>	<u>Market Value</u>	<u>Gain/Loss</u>
Mutual Fund Investments:									
	2,500	INGGLOBAL EQUITY/MUT	88	Mar 28 05	20.00	50,000.00	9.43	23,575.00	-26,425.00
	2,500	INGGLOBAL ADV/MUT	275	Oct 26 05	20.00	50,000.00	11.16	27,900.00	-22,100.00
	2,000	JOHN HANCOCK II/MUT	227	Sep 4 03	24.81	49,612.11	20.74	41,480.00	-8,132.11
	2,200	JOHN HANCOCK LT2/MUT	296	Aug 31 06	23.93	52,647.76	20.74	45,628.00	-7,019.76
	4,200	Subtotal for HPF			24.35	102,259.87	20.74	87,108.00	-15,151.87
	2,000	KAYNE ANDRSN ENDL /MUT	301	Sep 20 06	25.00	50,000.00	20.21	40,420.00	-9,580.00
	2,000	KAYNE ANDRSN ENDL LT2/MUT	69	Feb 13 08	25.01	50,029.13	20.21	40,420.00	-9,609.13
	4,000	Subtotal for KED			25.01	100,029.13	20.21	80,840.00	-19,189.13
	119	KAYNE ANDERSON ENG DR /MUT	271	Jul 29 08	25.28	3,008.47	23.82	2,834.58	-173.89
	4,000	KAYNE AND MIDSTREAM/MUT	365	Nov 23 10	25.00	100,000.00	22.46	89,840.00	-10,160.00
	207	KAYNE AND MIDSTREAM DR/MUT	163	Nov 23 10	23.29	4,820.06	22.46	4,649.22	-170.84
	4,207	Subtotal for KMF			24.92	104,820.06	22.46	94,489.22	-10,330.84
	6,400	NUVEEN QUALITY/MUT	322	Aug 17 07	11.07	70,871.45	7.65	48,960.00	-21,911.45
	4,000	STONE HBR/MUT	33	Dec 22 10	25.00	100,000.00	22.38	89,520.00	-10,480.00
	276	STONE HBR DR/MUT	166	Dec 22 10	23.80	6,569.24	22.38	6,176.88	-392.36
	4,276	Subtotal for STONE			24.92	106,569.24	22.38	95,696.88	-10,872.36
	8,710	TEMPLETON EMER LTI/MUT	21	Sep 5 86	12.65	110,178.26	15.19	132,304.90	22,126.64
	708	TEMPLETON EMER LT2/MUT	187	Nov 4 04	13.38	9,473.04	15.19	10,754.52	1,281.48
	9,418	Subtotal for TEI			12.70	119,651.30	15.19	143,059.42	23,408.12
	4,000	TORTOISE PIPELINE/MUT	367	Oct 26 11	25.00	100,000.00	25.01	100,040.00	40.00
	17,725.182	UBS DYNAMIC CL A/MUT	45	Feb 23 05	8.32	147,414.21	5.94	105,287.58	-42,126.63
	14,953.378	UBS GLOBAL ALLOC/MUT	158	Jun 16 03	10.60	158,482.79	8.98	134,281.33	-24,201.46
		Total Mutual Fund Investments:				1,435,210.85		1,134,422.54	-300,788.31

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
	100,000	APPALACHIAN PWR 4.95/B	35	Jan 15 09	91.41	91,405.25	108.96	108,962.00	17,556.75
	50,000	BEAR STEARNS COMP/B	38	Feb 11 04	100.00	50,000.00	100.54	50,268.00	268.00
	60,000	CONSTELLATION ENERGY/B	74	May 5 04	91.80	55,078.05	104.63	62,775.00	7,696.95
	100,000	CSX 6.25/B	65	Feb 2 09	94.50	94,496.25	118.78	118,780.00	24,283.75
	100,000	ENCANA CORP 5.9/B	349	Nov 5 08	86.32	86,324.25	112.10	112,097.00	25,772.75
	82,000	ENERGY TRANSFER 5.95/B	96	Feb 26 09	92.60	75,992.33	108.64	89,088.08	13,155.75
	110,000	EQUITABLE RES 6.5/B	316	Nov 4 09	105.67	116,241.15	111.94	123,135.10	6,893.95
	115,000	GMAC NTS 7.00/B	148	Aug 1 03	99.71	114,666.00	100.02	115,026.45	360.45
	50,000	INDIANA MICH PWR 7/B	36	Jan 23 09	99.84	49,919.25	121.81	60,903.00	10,983.75
	100,000	INTL PAPER 7.95/B	315	Aug 6 08	101.86	101,859.25	119.20	119,201.00	17,341.75
	50,000	JOHN HANCOCK SIGN/B	43	Feb 22 05	100.00	50,000.00	99.49	49,747.00	-253.00
	100,000	KRAFT FOODS 6.125/B	343	Oct 27 08	86.42	86,419.25	115.50	115,495.00	29,075.75
	100,000	LAKEHEAD 7/B	98	Mar 10 09	86.61	86,609.25	119.60	119,596.00	32,986.75
	25,000	MISSOURI PAC RR 4.75 LT1/B	235	May 9 02	66.42	16,605.25	98.25	24,562.50	7,957.25
	12,000	MISSOURI PAC RR 4.75 LT2/B	239	May 23 02	66.09	7,931.25	98.25	11,790.00	3,858.75
	5,000	MISSOURI PAC RR 4.75 LT3/B	248	Jul 26 02	66.16	3,307.75	98.25	4,912.50	1,604.75
	42,000	Subtotal for MISS PAC RR			66.30	27,844.25	98.25	41,265.00	13,420.75
	50,000	MORGAN STAN 4.0/B	273	Jul 28 10	100.00	50,000.00	98.65	46,824.50	-3,175.50
	50,000	NORANDA INC 6/B	202	May 23 05	101.16	50,577.75	107.44	53,719.50	3,141.75
	60,000	PPL ENERGY SUPP 6.5/B	2	Dec 2 08	79.49	47,692.05	113.88	68,326.80	20,634.75
	50,000	ROUSE CO NTS/B	146	Sep 8 04	96.18	48,091.75	99.00	49,500.00	1,408.25
	40,000	SOUTHERN POWER/B	128	Mar 29 05	98.10	39,239.25	109.22	43,688.00	4,448.75
	70,000	UNION CAR LT1 7.875/B	8	Dec 27 02	100.01	70,005.25	119.15	83,407.80	13,402.55
	10,000	UNION CAR LT2 7.875/B	27	Mar 4 03	99.55	9,955.25	119.15	11,915.40	1,960.15
	20,000	UNION CAR LT3 7.875/B	152	Sep 28 04	101.03	20,205.25	119.15	23,830.80	3,625.55
	100,000	Subtotal for UNION 7.875			100.17	100,165.75	119.15	119,154.00	18,988.25

Corporate Bonds:

**Rohahn**  
**All Marketable Security Data Output**  
**As of: November 30, 2011**

Category	Quantity	Security	No	Date Acquired	Unit Cost	Cost Basis	Market Price	Market Value	Gain/Loss
Corporate Bonds:		Total Corporate Bonds:				1,422,561.08		1,667,551.43	244,990.35
Warrants:									
	89	WTS GENRL MOTORS JULY19/WT	182	Feb 12 02	66.48	5,917.14	8.74	777.86	-5,139.28
	89	WTS GENRL MOTORS JULY16/WT	189	Feb 12 02	85.70	7,627.38	12.65	1,125.85	-6,501.53
		Total Warrants:				13,544.52		1,903.71	-11,640.81
		<b>TOTAL ALL SECURITIES</b>				<b>23,438,825.69</b>		<b>30,714,566.66</b>	<b>7,275,740.97</b>
<b>SUMMARY BY TYPE</b>									
Common/Preferred Stocks									
Common Stocks:						9,784,882.14		17,902,549.23	8,117,667.09
Preferred Stocks:						5,134,453.00		4,788,409.50	-346,043.50
Linked Index Basket Fund:						2,549,716.92		2,121,273.07	-428,443.85
Mutual Fund Investments:						1,435,210.85		1,134,422.54	-300,788.31
Total Common/Preferred Stocks						18,904,262.91		25,946,654.34	7,042,391.43
Bonds									
Corporate Bonds:						1,422,561.08		1,667,551.43	244,990.35
Total Bonds						1,422,561.08		1,667,551.43	244,990.35
Traded Options/Warrants									
Warrants:						13,544.52		1,903.71	-11,640.81
Total Traded Options/Warrants						13,544.52		1,903.71	-11,640.81
Marketable Investments									
Money Market Accounts:						3,098,457.18		3,098,457.18	0.00
Total Marketable Investments						3,098,457.18		3,098,457.18	0.00
<b>TOTAL ALL SECURITIES</b>						<b>23,438,825.69</b>		<b>30,714,566.66</b>	<b>7,275,740.97</b>

**Rohahn**  
**Schedule D**  
**As of: November 30, 2012**

<u>Quantity</u>	<u>Asset</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Proceeds</u>	<u>Tax Basis</u>	<u>Gain/Loss</u>
<b>Short-Term Capital Gains and Losses</b>						
1,700	AMER ELECTRIC POWER LT3/C	Mar 18 11	Jan 27 12	67,945.11	57,579.16	10,365.95
2,500	CELANESE CORP/C	Aug 23 11	Feb 1 12	126,105.90	98,971.91	27,133.99
10,000	DEUTSCHE BK CSX/LINK	Mar 16 12	Jun 20 12	102,688.00	100,000.00	2,688.00
10,000	DEUTSCHE BK GE/LINK	May 29 12	Aug 31 12	100,000.00	100,000.00	0.00
100,000	DEUTSCHE BK HAL/LINK	Aug 16 12	Sep 14 12	1,000,000.00	1,000,000.00	0.00
10,000	HSBC USA CAT/LINK	Nov 11 11	Feb 15 12	100,000.00	100,000.00	0.00
100	JP MORGAN 10.85/LINK	Oct 13 11	Apr 19 12	100,000.00	100,000.00	0.00
5,000	JP MORGAN AOSC/LINK	Jan 20 12	Apr 24 12	52,484.00	50,000.00	2,484.00
10,000	JP MORGAN CARASOC/LINK	Apr 26 12	Oct 31 12	106,180.00	100,000.00	6,180.00
10,000	JP MORGAN SWN/LINK	Feb 10 12	Nov 15 12	109,608.00	100,000.00	9,608.00
0.897	PENTAIR LTD/C_SLD#1	Sep 14 12	Oct 1 12	38.68	36.84	1.84
4,000	PUBLIC STORAGE 5.75/PFD	Mar 6 12	Oct 2 12	105,142.49	100,000.00	5,142.49
4,000	PUBLIC STORAGE S 5.9/PFD	Jan 5 12	Nov 15 12	103,891.41	100,000.00	3,891.41
4,000	REALTY INC 6.625/PFD	Jan 31 12	Aug 29 12	108,426.88	100,000.00	8,426.88
10,000	ROYAL BANK CAN HAL/LINK	Nov 4 11	Feb 8 12	100,000.00	100,000.00	0.00
10,000	ROYAL BANK CAN XOM/LINK	Sep 16 11	Dec 20 11	100,000.00	100,000.00	0.00
10,000	UBS AG CARAOS/LINK	Feb 27 12	Aug 31 12	106,700.00	100,000.00	6,700.00
10,000	UBS AG TRIG PAO CMCSA/LINK	Jun 28 11	Mar 30 12	100,000.00	100,000.00	0.00
10,000	UBS AG TRIG PAOS IP2/LINK	May 20 11	Feb 23 12	100,000.00	100,000.00	0.00
2,000	VALERO ENERGY LT2/C	Apr 12 12	Sep 20 12	64,190.94	49,934.14	14,256.80
<b>Total Short-term</b>				<b>2,753,401.41</b>	<b>2,656,522.05</b>	<b>96,879.36</b> ✓
<b>Long-Term Capital Gains and Losses</b>						
800	AMER ELECTRIC POWER LT1/C	Apr 1 09	Jan 27 12	31,974.18	19,600.00	12,374.18
2,300	AMER ELECTRIC POWER LT2/C	Aug 7 09	Jan 27 12	91,925.75	72,024.82	19,900.93
4,000	AMER FIN GR 7.125/PFD	Jan 29 04	Sep 28 12	100,000.00	100,000.00	0.00
4,000	BAC CAP TR 6.875/PFD	Jul 27 06	Nov 5 12	100,000.00	100,000.00	0.00
2,000	BAC CAP TR 6.25/PFD	Mar 22 06	Jul 25 12	50,000.00	50,000.00	0.00
3,104	BARCLAYS BK 10.35/LINK	Jan 15 10	Jan 23 12	79,990.08	79,990.08	0.00
2,710	BLACKRCK GLOB OPDRS/MUT	Sep 6 06	Oct 5 12	37,598.98	50,723.01	-13,124.03
2,000	BLACKRCK GLOB OPPRT/MUT	May 25 05	Oct 5 12	27,748.32	50,000.00	-22,251.68
3,400	BLACKROCK ENH DIV/MUT	Aug 25 05	Oct 5 12	25,846.82	51,000.00	-25,153.18
3,725	BLACKROCK ENH DRS/MUT	Sep 6 06	Oct 5 12	28,317.47	34,417.31	-6,099.84
3,000	CBS 6.75/PFD	Mar 21 07	Mar 28 12	75,000.00	75,000.00	0.00
3,400	CITIGROUP INC LT2/C	Jun 1 06	Sep 21 12	114,065.74	99,567.10	14,498.64
150	CITIGROUP NEW/C	Nov 18 04	Sep 21 12	5,032.31	69,758.73	-64,726.42
1,000	COMCAST CORP 6.625/PFD	May 4 07	Jul 23 12	25,000.00	25,000.00	0.00
1,000	COMCAST CORP 7 SEPT/PFD	Sep 15 06	Apr 20 12	25,000.00	25,000.00	0.00
1,000	COMCAST CORP 7 SEPT/PF_S#1	Sep 15 06	Feb 27 12	25,000.00	25,000.00	0.00
2,000	COMMWEALTH REIT 7.125/PFD	Feb 3 06	Aug 24 12	50,000.00	50,000.00	0.00
12,500	DB PERFRM DJ EURO/LINK	Jan 24 07	Jan 31 12	72,598.24	125,000.00	-52,401.76
12,500	DB PERFRM NIKKEI/LINK	Jan 24 07	Jan 31 12	62,725.84	125,000.00	-62,274.16
4,091	DEUTSCHE BK HIG 10/LINK	Jun 17 11	Jun 22 12	99,984.04	99,984.04	0.00
2,034	DEUTSCHE BK PRU 10.10/LINK	Dec 15 09	Dec 21 11	99,971.10	99,971.10	0.00

**Rohahn**  
**Schedule D**  
**As of: November 30, 2012**

<u>Quantity</u>	<u>Asset</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Proceeds</u>	<u>Tax Basis</u>	<u>Gain/Loss</u>
5,000	DTE ENERGY TR I 7.8/PFD	Jan 11 02	Dec 29 11	125,000.00	125,000.00	0.00
4,000	FIFTH THIRD CAP/PFD	Oct 25 07	Aug 15 12	100,000.00	100,000.00	0.00
3,000	FPC CAPITAL 7.1/PFD	Nov 29 00	Jul 24 12	77,410.01	64,594.50	12,815.51
115	GMAC NTS 7.00/B	Aug 1 03	Feb 1 12	115,000.00	114,666.00	334.00
2,200	HCP 7.10/PFD	Nov 26 03	Apr 23 12	55,000.00	55,000.00	0.00
1,500	HEALTH CARE REIT 7.875/PFD	Jun 12 03	Apr 2 12	37,516.41	37,500.00	16.41
945	HOSPITALITY PROP/PFD_SLD#1	Feb 16 07	Sep 10 12	23,625.00	23,625.00	0.00
635	HSBC USA 8.9/LINK	Mar 29 10	Mar 30 12	42,490.47	49,930.05	-7,439.58
2,508	HSBC USA SU 9.2/LINK	May 13 11	May 18 12	91,204.49	99,993.96	-8,789.47
4,000	INTL CONS AIRLINE GR/C	Jun 13 08	Nov 1 12	51,642.81	98,176.87	-46,534.06
1,800	INTL BUSINESS MACH/C_SLD#1	Oct 20 00	Oct 18 12	353,806.10	56,117.08	297,689.02
4,716	JP MORGAN 10.0/LINK	Mar 15 10	Mar 19 12	82,662.80	99,979.20	-17,316.40
1,850	JP MORGAN 12.81 BH/LINK	Nov 18 11	Nov 26 12	99,992.50	99,992.50	0.00
2,901	JP MORGAN DIS 8.00/LINK	Oct 14 11	Oct 19 12	99,997.47	99,997.47	0.00
127	KAYNE ANDERSON ENG DR /MUT	Jul 29 08	Nov 1 12	3,409.40	3,229.45	179.95
4,040	KIMCO REALTY/PFD	Oct 3 07	Oct 10 12	101,000.00	101,000.00	0.00
670	MEDCO HEALTH SOL/C	Aug 17 05	Apr 3 12	35,972.78	16,657.87	19,314.91
4,000	NATL CITY TR III 6.625/PFD	May 21 07	May 25 12	100,000.00	100,000.00	0.00
100	PENN VIRGINIA COPR/C	Nov 29 07	Oct 18 12	455.43	4,125.00	-3,669.57
1,250	PROTECT LIFE 7.25 LT1/PFD	Jun 28 06	Sep 4 12	31,250.00	31,250.00	0.00
750	PROTECT LIFE 7.25 LT1/_S#1	Jun 28 06	Jun 14 12	18,750.00	18,750.00	0.00
4,000	PUBLIC STORAGE Q/PFD	Apr 5 11	Sep 11 12	113,097.11	100,000.00	13,097.11
4,000	PUBLIC STORAGE R PSA/PFD	Jul 19 11	Nov 13 12	109,194.70	100,000.00	9,194.70
9,416	ROYAL BANK CAN 11.1/LINK	Sep 16 11	Sep 21 12	99,997.92	99,997.92	0.00
937	ROYAL BANK CAN 8.44/LINK	Jul 8 11	Jul 13 12	64,587.07	69,975.16	-5,388.09
4,000	SUN TRUST CAP 7.875/PFD	Feb 26 08	Jul 11 12	100,000.00	100,000.00	0.00
2,000	TECO ENERGY LT2/C	Jan 6 11	Nov 1 12	35,428.24	36,043.35	-615.11
3,803	TECO ENERGY/C	Aug 26 04	Nov 1 12	67,366.78	1.00	67,365.78
880	THOMAS & BETTS CORP TN/C	Oct 20 00	Feb 8 12	62,977.15	24,090.00	38,887.15
2,000	TORCHMARK 7.1/PFD	Jun 1 06	Aug 7 12	51,893.68	50,000.00	1,893.68
10,000	UBS AG TRIG PAOS ANR/LINK	Apr 15 11	Apr 20 12	28,169.00	100,000.00	-71,831.00
10,000	UBS AG TRIG PAOS GE/LINK	May 24 11	Jun 1 12	100,000.00	100,000.00	0.00
10,000	UBS AG TRIG PAOS GLW/LINK	Mar 21 11	Mar 23 12	68,753.00	100,000.00	-31,247.00
6,993	UBS TRIG YON F 9.25/LINK	Mar 14 11	Mar 20 12	99,999.90	99,999.90	0.00
9,469	UBS TRIG YON ING 9.28/LINK	Jan 14 11	Jan 23 12	99,992.64	99,992.64	0.00
4,033	UBS TRIG YON MMI10.29/LINK	May 6 11	May 11 12	99,978.07	99,978.07	0.00
3,000	USB CAPITAL 6.350/PFD	Dec 22 05	Feb 22 12	75,000.00	75,000.00	0.00
2,000	USB CAPITAL 6.6/PFD	Aug 24 06	May 21 12	50,000.00	50,000.00	0.00
4,000	VALERO ENERGY/C	Jul 6 11	Sep 20 12	128,381.90	101,816.15	26,565.75
3,000	VIACOM 6.85/PFD	Dec 7 06	Jan 9 12	75,000.00	75,000.00	0.00
200	VISA INC CL A/C	Mar 18 08	Oct 18 12	28,491.63	8,800.00	19,691.63
4,000	WACHOVIA 6.375/PFD	Feb 9 07	Apr 13 12	100,000.00	100,000.00	0.00
4,000	WELLS FARGO 6.25/PFD	May 16 07	Jun 15 12	100,000.00	100,000.00	0.00
<b>Total Long-term</b>				<b>4,632,273.33</b>	<b>4,517,315.33</b>	<b>114,958.00</b> ✓
<b>TOTAL CAPITAL GAINS</b>				<b>7,385,674.74</b>	<b>7,173,837.38</b>	<b>211,837.36</b>

LaVerna Hahn Charitable Trust  
Contributions  
12/01/11 – 11/30/12

	2012 Contributions
Baltimore Museum of Industry c/o Mr. Roland H. Woodward Executive Director 1415 Key Highway Baltimore, Maryland 21230	15,000.00
Spotlighters Theatre 817 St. Paul Street Baltimore, Maryland 21202	5,000.00
Heros Helping Heros 4990 Mercantile Rd. - #43574 Baltimore, Maryland 21236	5/7/2012 10,000.00
Maryland Volunteer Lawyers Service c/o Ms. Bonnie A. Sullivan, Exec. Dir 1 N. Charles Street - Suite 222 Baltimore, Maryland 21201	11/14/2012 10,000.00
Independent College Fund of Maryland 3225 Eilerslie Avenue, Suite C610 Baltimore, Maryland 21218	15,000.00
Living Classrooms Foundation c/o Mr. Parker Rockefeller, Sr. V.P. 802 S. Caroline Street Baltimore, Maryland 21231	11/14/2012 25,000.00
Enoch Pratt Free Library Director of Institutional Advancement 400 Cathedral Street Baltimore, Maryland 21201-4484	15,000.00
GBMC Foundation c/o Jenny Goldiron, President 6701 N. Charles Street Baltimore, Maryland 21204	350,000.00
Hospice of Baltimore, Inc. C/o Mrs. Lori Mulligan Development Director 11311 McCormick Road - Suite 350 Hunt Valley, MD 21031	350,000.00

Ms. Leigh Vella The Leukemia & Lymphoma Society c/o Jacqueline E. Stem, M.H.S. 100 Painters Mill Road - Suite 800 Owings Mills, Maryland 21117	11/14/2012	10,000.00
House of Ruth 2201 Argonne Drive Baltimore, Maryland 21218-1627	3/15/2012	15,000.00
Maryland Science Center c/o Mr. Mark Potter Vice President - Development 601 Light Street Baltimore, Maryland 21230-3812	5/1/2012	50,000 00
National Aquarium in Baltimore c/o Georgette Frederick, Director of Corp & Foundation Relations 501 East Pratt Street Baltimore, Maryland 21202	11/14/2012	50,000 00
SPCA of Maryland c/o Ms Aileen O. Gabbey, Executive Director 3300 Falls Road Baltimore, MD 21211-2403 12/13/11 - final contribution on \$150,00.00	12/13/2011	50,000.00
B&O Railroad Museum c/o Mr. Courtney B Wilson, Executive Director 901 W. Pratt Street Baltimore, Maryland 21223	11/14/2012	25,000.00
Chesapeake Bay Foundation c/o Mr. Wm. C. Baker, President 6 Herndon Avenue Annapolis, Maryland 21403	12/13/2011	25,000.00
Presbyterian Home of Maryland, Inc. c/o Susan F. Shea 400 Georgia Court Towson, Maryland 21204	12/13/2011	30,000.00

Hopewell Cancer Support c/o Suzanne K. Brace P. O. Box 755 Brooklandville, Maryland 21022	12/31/2011	30,000.00
Stevenson University c/o Mr. Stevenson W. Close, Jr. Vice President - Development 1525 Greenspring Road Stevenson, Maryland 21153-0641	11/14/2012	20,000.00
Maryland House & Garden Pilgrimage 6200 N. Charles Street Baltimore, Maryland 21212	11/14/2012	10,000.00
The Baltimore Museum of Art c/o Ms Judy Gibbs, Deputy Director for Development 10 Art Museum Drive Baltimore, Maryland 21218-9914	5/31/2012	25,000.00
Long Green Volunteer Fire Company Post Office Box 4009 Glen Arm, Maryland 21057	11/14/2012	10,000.00
St Mary's College of Maryland c/o Office of the President 18952 E. Fisher Road St. Mary's, Maryland 20686-3001		25,000
The Star Spangled Banner Flag House 844 E. Pratt Street Baltimore, Maryland 21202	5/7/2012	5,000.00
Maryland Food Bank Lawyers Campaign Against Hunger		5,000 00
The Interfaith Service Coalition c/o Ms. Deborah S Cohill, Executive Director 116 West High Street Hancock, Maryland 21750	5/7/2012	10,000.00
Govans Ecumenical Development GEDCO 5513 York Road Baltimore, Maryland 21212		10,000 00

Port Discovery Children's Museum 35 Market Place Baltimore, Maryland 21202 Attn: Dawn Sacks		12,000.00
Baltimore Symphony Orchestra c/o Allison Burr-Livingstone 1212 Cathedral Street Baltimore, Maryland 21201	11/14/2012	15,000.00
National Museum of Women in the Arts 1250 New York Avenue, N.W. Washington, D.C. 20005-3970 Attn. Ms Irene Gutman, Deputy Director	5/7/2012	10,000 00
Johns Hopkins University c/o Dina M. Kilcos, Director of Major Gifts 100 N. Charles Street - Suite 234 Baltimore, Maryland 21201	11/14/2012	30,000.00
Memorial Sloan-Kettering Cancer Center Office of Development 633 Third Avenue New York, New York 10017	12/13/2011	25,000 00
UMAR Youth & Boxing, Inc. 1813 Lorman Street Baltimore, Maryland 21217	1/13/2012	10,000.00 1,000.00
McDaniel College c/o Mr. Lawrance R. Junkin Assoc V.P., Development 2 College Hill Westminster, Maryland 21157-4390		15,000 00
The Woman's Industrial Exchange 333 N. Charles Street Baltimore, Maryland 21201		20,000 00
Center Stage 700 N. Calvert Street Baltimore, Maryland 21201		10,000.00

Good Samaritan Hospital Foundation c/o Linda Fbisch Russell Morgan Bldg - Suite 200 5601 Loch Raven Blvd. Baltimore, Maryland 21239		20,000.00
Saint Ignatius Loyola Academy c/o John J. Ciccone, President 740 N. Calvert Street Baltimore, Maryland 21202	11/14/2012	20,000.00
North Point Ministries 4350 North Point Parkway Alpharetta, Georgia 30022	3/15/2012	5,000.00
Doctors Without Borders 333 7th Avenue - 2nd Floor New York, New York 10001	5/7/2012	10,000.00
Legal Aid Bureau, Inc. 500 East Lexington Street Baltimore, Maryland 21202	5/7/2012	<u>15,000.00</u>
		<u>\$1,418,000.00</u>

2045957